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Analysis of satellite and in situ ground deformation data integrated by the SISTEM approach: The April 3, 2010 earthquake along the Pernicana fault (Mt. Etna - Italy) case study

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ABSTRACT

Etna is known worldwide as one of the most studied and monitored active volcanoes. Flank instability along the eastern and southern portions of Mt. Etna has been observed and measured thanks to geodetic networks and InSAR data analysis. The spreading area is bordered to the north by the east–west Pernicana Fault System (PFS) whose dynamics is often linked with the eruptive activity, as recently observed during the 2002–2003 eruption.

A seismic sequence occurred from April 2–3, 2010, along the PFS with very shallow (a few hundred meters) mainshocks of magnitude 4.3 and 3.6. Explosions and ash emissions at the summit craters followed this swarm culminating a few days later (April 7–8). Despite their small magnitude, the earthquakes caused damage and significant surface fracturing along the PFS.

In order to investigate and measure the deformations in the near field of the earthquakes, the SISTEM integration approach has been exploited. The SISTEM enabled integrating geodetic in situ ground deformation measurements (GPS and leveling) with satellite interferometric measurements (ENVISAT and ALOS), in order to obtain high resolution 3D displacement maps, allowing to overcome the limitations of each technique and take advantage of the particular features of each of them. The integrated ground deformation field evidenced that the medium behave elastically.

We inverted the SISTEM results using an optimization algorithm based on the Genetic Algorithm (GA) in order to model the kinematics of the PFS associated to seismic swarm; the results are in good agreement with the field evidence and improved the knowledge on the kinematics of the PFS and Mt. Etna's unstable flank.

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1. Introduction

Mt. Etna is a 3300 m high and ~40×60 km wide volcano, formed in the last 200 Ka (Branca et al., 2008; Corsaro and Mazzoleni, 2002; Romano, 1982, and references therein). The volcano lies on the eastern coast of Sicily, and it is characterized by a structural setting resulting from a complex regional tectonic with a compressive regime along a near N-S trend and an extensional regime oriented approximately E-W that is observable along the eastern coast of Sicily (see Bousquet and Lanzafame, 2004).

The eastern flank of the volcanic edifice shows a fairly continuous seaward motion, due to the interrelationship between gravity instability

and magma intrusion (e.g. Bonforte and Puglisi, 2003, 2006; Bonforte et al., 2011; Borgia et al., 1992; Obrizzo et al., 2001; Puglisi et al., 2008).

The Pernicana Fault System (PFS) is considered as the northern border of this sliding eastern flank of Mt. Etna. The PFS is one of the most active tectonic structures in the Etna area and has been studied thoroughly in the recent decade (Acocella and Neri, 2005; Azzaro et al., 2001; Bonforte et al., 2007a; Currenti et al., 2010; Neri et al., 2004; Palano et al., 2006).

The PFS is composed of discrete segments (Fig. 1), arranged in a right stepping en-èchelon configuration, forming a near continuous E-W left lateral shear zone. It develops from the North-East Rift eastwards crossing the Piano Provenzana, Piano Pernicana, and the Rocca Campana area; at the eastern end the morphological evidence disappears, and the fault can be detected only by creep-induced damage to manmade features along the dislocation lines.

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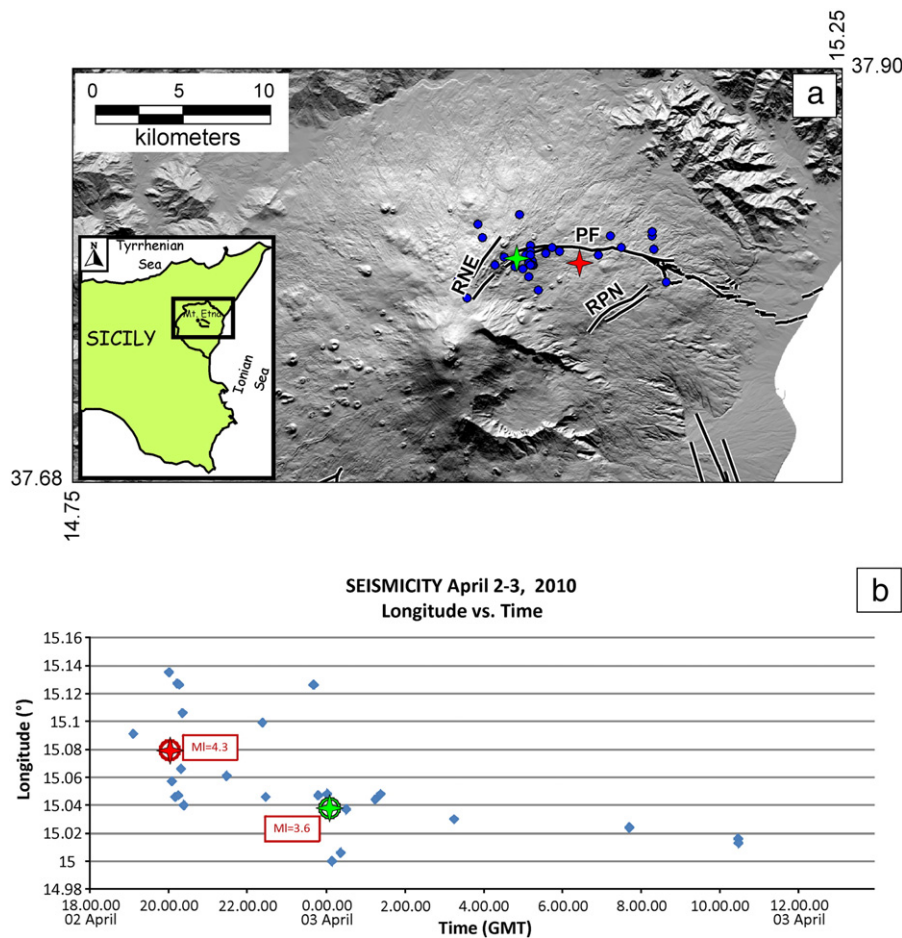


Fig. 1. (a) Structural outline of Mt. Etna reporting main faults known from geological surveys; RNE = NE-rift; PF = Pernicana fault; RPN = Ripe della Naca faults; the blue circles represent the epicenter of the earthquakes occurring between 02 and 03 April (Gruppo Analisi Dati Sismici, 2010); the main shocks ($M_I = 4.3$ and $M_I = 3.6$) with a red and a green star respectively are reported. Bottom left and upper right corner coordinates are in decimal degree WGS84 datum. (b) In the bottom panel, the seismicity recorded between 02 and 03 April along the PFS is reported. The plot represents the longitude of the earthquake epicenters versus time. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

This structure shows a constant and continuous eastward motion at a rate of about 2 cm/year, mainly related to the gravitational spreading of the eastern flank that is occasionally accelerated by volcanic activity (Bonforte et al., 2007a); it is characterized by continuous seismic movements associated with the eastern flank sliding, while shallow (<2 km) seismic activity ($2 < M < 3.5$) sometimes accompanies the surface deformation along the central and western portion of the PFS (Azzaro et al., 1998; Bonforte et al., 2007b). The Mt. Etna leveling network has permitted the study and monitoring of the PFS since 1980, highlighting that the fault slips almost homogeneously over a large time scale, with a kinematic according to listric southward dipping fault (Obrizzo et al., 2004).

Between April 2nd and 3rd 2010, an earthquake swarm took place along the central part of the PFS, accompanied by ground fracturing with left lateral movement of about 0.5 m, propagating from east to west from the PFS area and then migrating to the eastern NE Rift.

Between 19.06 GMT on April 2nd and 07.42 GMT, April 3rd 2010, a seismic swarm (about 170 earthquakes recorded) took place along the central part of the PFS (Langer, 2010), accompanied by ground fracturing with left lateral movement of about 0.5 m, propagating from east to west from the PFS area and then migrating to the eastern NE Rift. All the earthquakes were very shallow (depth <1 km) and the main shocks ($M_{4.3}$ and $M_{3.6}$) were recorded at 20.04 GMT on April 2nd and at 00:05 GMT, April 3rd respectively, in the Villaggio Turistico Mareneve area. In this work, different geodetic datasets coming from

different techniques are analyzed in order to figure out image the ground deformation pattern associated with this seismic swarm.

2. Data

The analysis of the ground deformation pattern associated with the seismic swarm, was performed by integrating the in situ data with available interferometric measurements, coming from the Synthetic Aperture Radar (SAR) data acquired by ALOS and ENVISAT satellites.

2.1. In situ ground deformation data

2.1.1. GPS networks and surveys

Immediately after the earthquakes, a specific GPS survey was planned on part of the GPS monitoring network of Mt. Etna on the NE side of the volcano, including also two small sub-networks, aimed at monitoring the central section of the PFS (Bonforte et al., 2007a, 2007b). During this survey, some GPS stations were installed on the self-centering benchmarks belonging to the main GPS monitoring network of the volcano, collecting data at 30 s rate during 24 h static sessions from April 13 (DOY 103) to April 20 (DOY 110). The data from these stations were then processed together with those collected by the permanent GPS network of Mt. Etna, in order to insert the surveyed network into a wider reference covering the entire volcano. The comparison between the results of this survey with those of the previous campaign, carried out in June 2009 (at

either periodic or permanent stations) revealed the 3D ground deformation pattern of the volcano (see Fig. 2), with a higher detail on the whole northeastern flank of Mt. Etna. In this period, ground displacements of the GPS network highlighted a general inflation of the volcano, evidenced by the slight uplift and radial pattern of the vectors on the southern, western and northern sides of the edifice. As already highlighted by previous works (Bonforte and Puglisi, 2003; Houlié et al., 2006; Puglisi and Bonforte, 2004), the eastern flank shows an independent pattern with subsidence and stronger horizontal displacements due to its continuous seawards motion. In particular, from July 2009 to April 2010, the ground deformation pattern on this unstable sector of the volcano was unusually strong; indeed, horizontal displacements of about 5–6 cm were measured at all stations located along the NE Rift-PFS as well as on the entire north-eastern and lower eastern flanks of the volcano. The horizontal vectors show a significant rotation of the azimuth, from NE-ward on the upper part to SE-ward on the lower flank, testifying about the driving effect played by the PFS in the general seaward motion; furthermore, the decoupling role of the PFS is certified by the sharp decay of the deformation from benchmark RPN5 to benchmark RPN1, only 800 m far from the northern side of the fault (see Fig. 2).

2.1.2. Leveling route and surveys

During the GPS survey, on April 2010, the Pernicana segment of the leveling network was also surveyed in order to achieve the most accurate sampling of the vertical ground deformation field. The measured leveling route is 11 km long and consists of 39 benchmarks which perpendicularly crosses the Pernicana fault trace at an altitude of about 1400 m asl (Fig. 3) (Obrizzo et al., 2001, 2004). The leveling line crossing the PFS has a higher density of points, near the geological structure, for

allowing the detailed study of the observed displacement field. The benchmarks are made by steel or brass and generally consolidated either directly into solid lava outcrops or into concrete foundation. Surveys were carried out with an electronic Leica DNA03 and an optical Wild NA2 levels and we used the double-run precise leveling method in order to achieve the maximum precision in the vertical position of the benchmarks, with a mean error less than 1.0 mm/km^{1/2}. 19 of the 39 benchmarks were measured during two leveling surveys carried out in November 2009 and April 2010 along the Pernicana leveling route. The reference benchmark used to calculate the height variations is the 73A-B benchmark (Casa Pitarrone), which lies on the northern side of the fault at a distance of about 1 km from it.

In this 5-month comparison, the benchmarks lying on the northern side of the fault show an uplift, suddenly interrupted by a jump of about 70 mm affecting the stations across the fault (77B and 78A benchmarks), due to the earthquake. On the southern side of the fault, the benchmarks lying just south of the structure showed a subsidence of about 15 mm due to the continuous and independent seaward sliding of the eastern flank of Mt. Etna (Bonforte et al., 2008). We highlighted here that in the area close to the fault, where the high vertical deformation was recorded, the medium seems to behave elastically, confirmed by the opposite motion of the two sides of the fault.

2.2. DInSAR satellite data

Seeking to investigate the deformation occurring along the PFS during the events of April 3rd 2010, we performed a DInSAR (Differential Interferometry Synthetic Aperture Radar) analysis of ascending and descending ENVISAT-ASAR, and of ascending ALOS-PALSAR images encompassing the date of the earthquake.

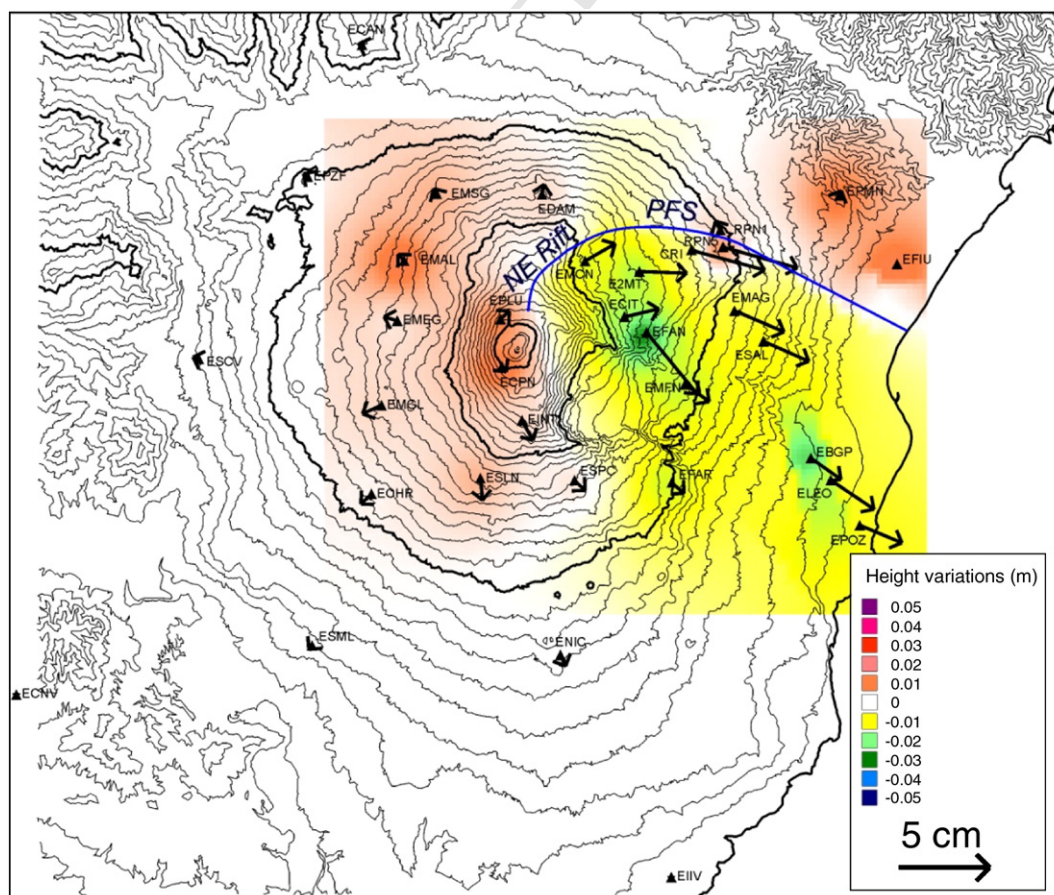


Fig. 2. Displacement vectors and height variations from the comparison between June 2009 and April 2010. The arrows represent the horizontal displacement vectors, while the vertical displacement value is presented by a color map obtained by interpolating the measurements relative to each benchmark.

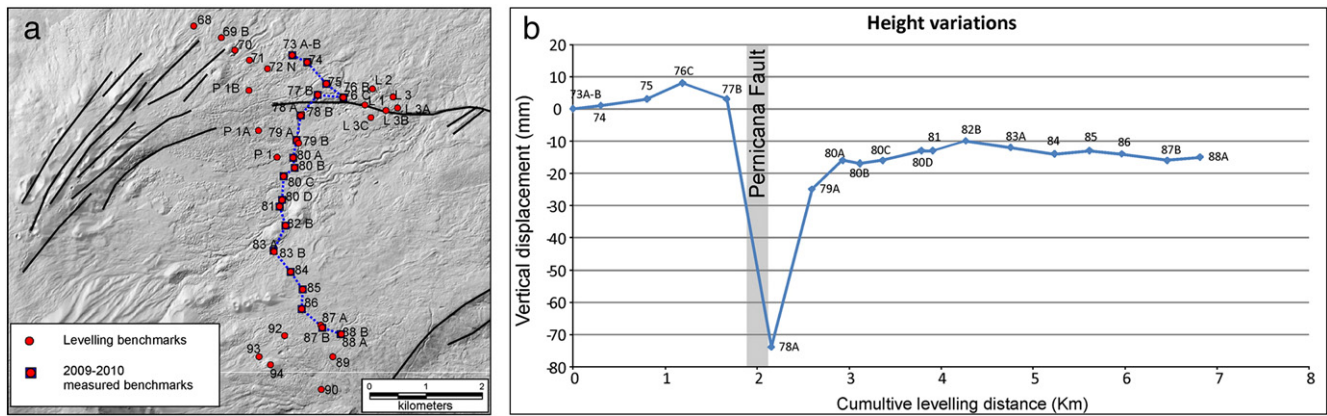


Fig. 3. Pernicana leveling network operating on Mt. Etna (a), the main structural features are reported in black, the blue dashed line represents the segment of the leveling route surveyed in 2009 and 2010. (b) Height variations measured from November 2009 to April 2010; the gray area represents the fault trace crossing the profile. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

The procedure used for the generation of interferometric products was the so-called “two-pass interferometry” (Massonnet and Feigl, 1998). This method requires two SAR images to generate a real phase interferogram that is correlated with topography and changes in topography. To analyze the topographical displacements, the topography-dependent part of the interferogram should be eliminated by using an external DEM (Digital Elevation Model). The SAR satellite data have better spatial resolution with respect to in situ geodetic measurements, even if the accuracy of the DInSAR measurements is about one order of magnitude lower due to the orbit errors, to the DEM accuracy and atmospheric artifacts. The DInSAR ground deformation measurements are able to detect the 1D displacements along the Line of Sight (LOS) of the sensor, and this kind of measurement does not allow the real ground displacement to be imaged.

We took advantage of the available data acquired by different SAR sensors on board the ENVISAT and ALOS platform, with different viewing angles and different acquisition geometries on ascending and descending orbits. This dataset allowed us, to better image the ground deformation which occurred in the coseismic period.

2.2.1. Envisat data

ENVISAT is the European ESA spacecraft equipped with the ASAR C-band sensor (5.3 GHz with a wavelength of 5.6 cm), acquiring data over Mt. Etna on both ascending and descending orbits with a revisiting time of 35 days.

We chose a time window consistent with the leveling data, that also allowed avoiding the decorrelation due to the snow coverage affecting the volcano in the winter time. We analyzed an ascending pair related to 07/10/2009–05/05/2010 period and a descending pair related to 18/11/2009–07/04/2010 time interval. We processed these data using the Jet Propulsion Laboratory (JPLs)/Caltech Repeat Orbit Interferometry Package (ROIPAC, version 3.0) (Rosen et al., 2004).

The ENVISAT images (Fig. 4) show the general seaward movement of the eastern flank of Mt. Etna well. They also show very intense but local LOS displacements (about three fringes) on the ENVISAT ascending interferogram and a lower variation for the descending geometry, on the hanging wall area close to the PFS trace. Here, the strong ground deformation gradient produces the decorrelation in the ascending image, indicating that the LOS displacement between adjacent pixels is higher than 1 wavelength.

Conversely, the footwall area close to the PFS trace (northern side of the fault), approaches to the sensor on the ascending geometry while LOS displacement is not detected by the descending pair. The differences in the LOS displacements between ascending and descending geometry, are probably due to the oblique normal/left-lateral kinematics of the PFS (as deduced also by GPS and leveling data); indeed, both vertical (lowering) and horizontal (eastward) components of motion on the southern side of the fault, produce a strong lengthening of the LOS distance for ascending geometry, while the two components act in opposite ways for the descending geometry,

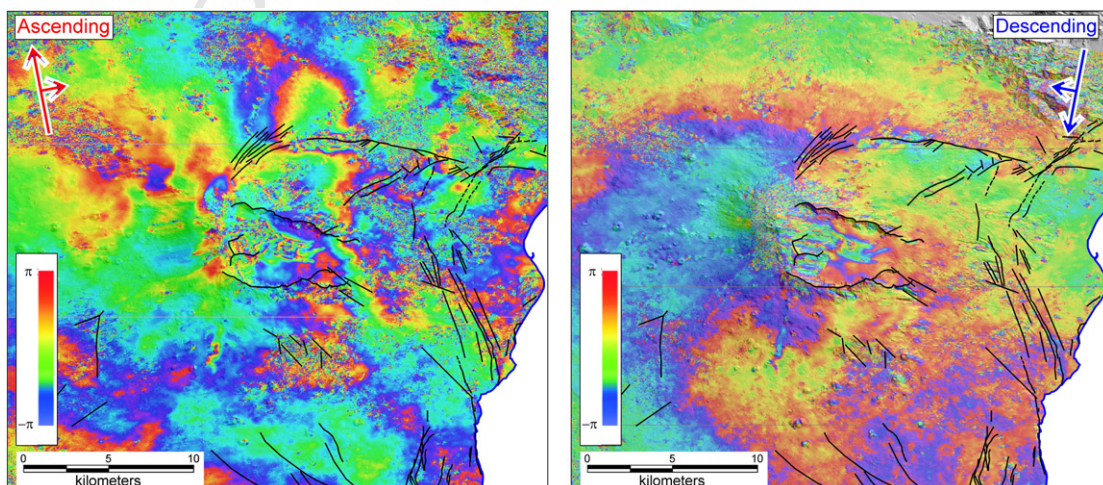


Fig. 4. (a) Ascending ENVISAT interferogram referring to 07/10/2009–05/05/2010 and (b) the ENVISAT descending interferogram of the 18/11/2009–07/04/2010 time interval.

231 resulting in lower LOS distance variations compared to the ascending
 232 data set (see Fig.S1 supplementary materials). In the same way, on the
 233 northern side, an uplift coupled with a westward motion, produce
 234 LOS shortening in the ascending view but almost no variation in
 235 the descending one.

236 In order to compare the SAR data with the in situ measurements, we
 237 extracted LOS displacements of those pixels closest to the leveling
 238 benchmarks; in Fig. 5 the vertical displacements measured by leveling
 239 are plotted together with the ascending LOS displacements of their
 240 nearest pixels. The plot shows a very good agreement between the
 241 two datasets, and as expected the magnitude of the LOS displacements
 242 is higher due to the contribution of the eastward motion of the southern
 243 side of the fault. Finally, both leveling and DInSAR data confirm that an
 244 intense local deformation episode occurred very close to the PFS, affect-
 245 ing a narrow strip (500 m) along the southern side of the fault.

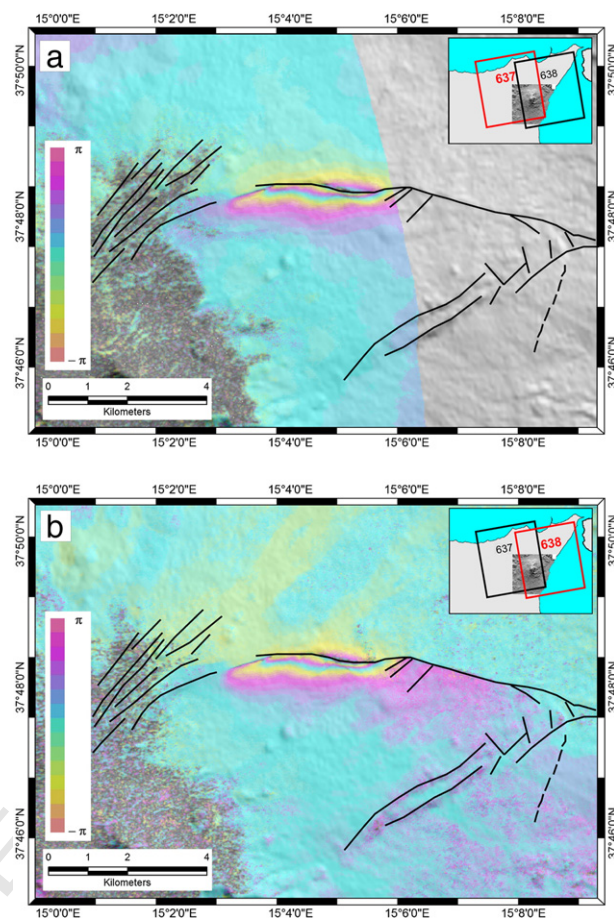
246 2.2.2. ALOS data

247 We exploited the data acquired by PALSAR (Phase Array type L-
 248 band Synthetic Aperture) on board the Japanese ALOS (Advanced
 249 Land Observation Satellite) platform. PALSAR is an L-band (1.27 GHz,
 250 corresponding to 23.6 cm wavelength) SAR sensor, with high resolu-
 251 tion capabilities. Thanks to its carrier frequency and spatial resolution,
 252 PALSAR is less affected by loss of complex correlation due to temporal
 253 and spatial baselines, which reflects in more coherent interferograms.

254 Two frames covering two adjacent tracks (track 637 and 638)
 255 were used, both along ascending path. Concerning the track number
 256 638, the images were taken on February 21 and April 8, while for the
 257 track 637, the scenes have been acquired on March 22 and May 7
 258 2010. All the images except the one acquired in May 2010, are
 259 Fine Beam Single (FBS) polarization images, i.e. HH polarization at
 260 about 9 m resolution in range and 4 m in azimuth. The May 7th
 261 scene is a Fine Beam Dual (FBD) polarization, i.e. HH and HV polariza-
 262 tions with the same resolution in azimuth and double resolution
 263 (18 m) in range with respect to FBS.

264 The deformation field has been retrieved by applying a two-pass
 265 DInSAR processing using GAMMA software.

266 In order to exploit the high resolution of PALSAR and the typical
 267 high coherence of L-band, especially with these low perpendicular
 268 baselines (178 m and 133 m for tracks 637 and 638 respectively),
 269 the interferograms have been derived at a resolution of 25 m per
 270 pixel. The phase contribution of height dependent atmospheric
 271 delay, has been estimated and removed. To avoid a misinterpretation
 272 of deformation over the volcano the estimation was done over the
 273 hills to the south and north of Etna using a linear model. In order to
 274 further reduce the interferometric phase noise, the interferograms
 275 have been adaptively filtered (Goldstein and Werner, 1998). The

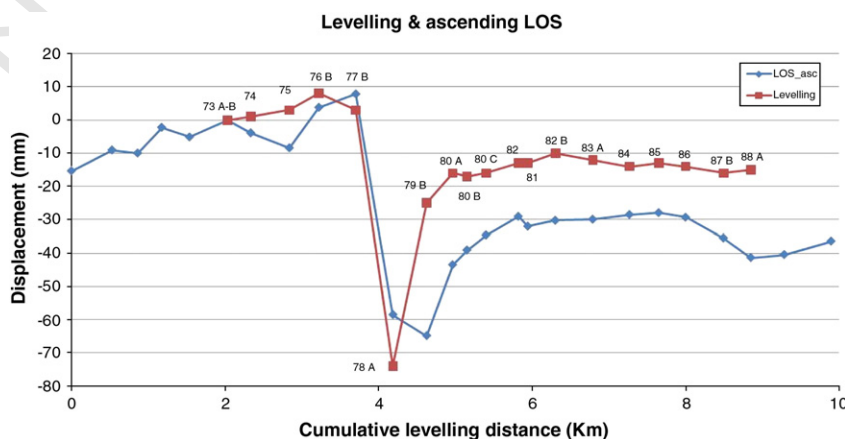


276 **Fig. 6.** (a) The resulting L-band interferograms for track 637, and (b) for track 638. The
 277 upper right box show the ALOS-PALSAR frames superimposed on the map of the Etna
 278 area.

279 position of the two frames with respect to the PFS with the results
 280 for both tracks, are shown in Fig. 6.

281 It is worth noting that the deformation patterns, i.e. the fringe
 282 number, are the same for the two tracks. In particular, a maximum
 283 of two fringes can be counted close to the PFS fracture, which means,
 284 at L band, that a deformation of about 23 cm in the LOS of PALSAR
 285 (i.e. about 38 incidence angle), has been measured.

286 On the northern side of the PFS area an uplift is visible which con-
 287 firms the fault kinematic already evidenced by ENVISAT ascending
 288 and leveling datasets. This movement toward the sensor has a
 289



290 **Fig. 5.** Comparison between vertical displacement measured by leveling and ascending LOS distance variations measured by ENVISAT.

286 minor extent with respect to the ENVISAT ascending data, probably
287 due to the geometry because ALOS has a higher incidence angle com-
288 pared to ENVISAT.

289 From this analysis we highlight that both L-band ALOS images con-
290 firmed a strong ground deformation in the near field of the fault, rapidly
291 decreasing on moving away from it. This pattern is not imaged by ENVI-

SAT ascending data because it exceeds the ASAR maximum detectable
292 deformation gradient for C-band frequency, which is 1.4 cm per pixel
293 according to [Massonnet and Feigl \(1998\)](#). Furthermore, it is possible
294 to affirm that the LOS deformation of about 23 cm, detected by ALOS,
295 is due mainly to the horizontal component because the maximum ver-
296 tical displacement measured by leveling data is only of 7 cm.
297

298

299 3. Integration of heterogeneous data: SISTEM approach

300 In order to investigate the ground deformation pattern in its 3D components (east, north and up) associated with this event, an application
301 of the novel SISTEM (Simultaneous and Integrated Strain Tensor Estimation from geodetic and satellite deformation measurements) approach
302 proposed by ([Guglielmino et al., 2011](#)) is here presented. To achieve higher accuracy and get better constraints on the 3D components of the
303 displacements, we improved the standard formulation of SISTEM approach, originally based on the GPS and a single DInSAR sensor, in order to
304 take into account all the available datasets (GPS, leveling, ascending and descending ENVISAT C-band interferograms and the ALOS L-band
305 data).
306

307 In the following, we describe briefly the mathematical background of the SISTEM approach.

308 Let us assume that a geodynamic process (e.g. an earthquake) deforms a portion of the Earth's surface; according to small deformation theo-
309 ry, we define an arbitrary point P, having position $x_0 = (x_{10}, x_{20}, x_{30})$, and N surrounding experimental points (EPs) whose positions and dis-
310 placements are respectively $x_{(n)} = (x_{1(n)}, x_{2(n)}, x_{3(n)})$ and $u_{(n)} = (u_{1(n)}, u_{2(n)}, u_{3(n)})$ where $n = 1..N$. Under such a hypothesis, adopting a linear
311 approach, the problem of estimating the displacement components U_i ($i = 1..3$) of the point P, from the experimental data $u_{(n)} = (u_{1(n)}, u_{2(n)},$
312 $u_{3(n)})$, can be modeled by the N equations [[Teza et al. 2008](#)]:

$$313 u_{i(n)}(x) = H_{ij} \Delta x_{j(n)} + U_i (i, j = 1..3) \quad (1)$$

314 where $\Delta x_{j(n)} = x_{j(n)} - x_{j0}$ are the components of the vector distance between the n^{th} EP experimental points and the arbitrary point P, while
315 $H_{ij} = \partial u_i / \partial x_j$ are the elements of the displacement gradient tensor. In (1) the matrix H can be broken down into a symmetric and an anti-
316 symmetric part as $H = E + \Omega$, where E is the Strain Tensor and Ω is the rigid body rotation tensor.

317 A number K of InSAR interferograms can be related to the components of the displacement vector of an arbitrary point P according to the
318 following equation:

$$319 D_{LOS}^{SP} = [U_1, U_2, U_3] [S_x^{SP}, S_y^{SP}, S_z^{SP}]^T (S = 1..K) \quad (2)$$

320 where D_{LOS}^{SP} is the S^{th} known LOS displacement of the point P and $[S_x^{SP}, S_y^{SP}, S_z^{SP}]^T$ is the unit vector pointing from the point P toward the S^{th} satellite
321 acquisition.

322 In order to integrate GPS, InSAR and leveling dataset, we resolve a linear system of the kind $A\mathbf{l} = \mathbf{u}$ using the Weighted Least Squares (WLS)
323 approach, where the Design Matrix **A**, the Observation vector **u**, and the column vector of unknown parameters **l** are defined as follows:

$$324 A = \begin{bmatrix} 1 & 0 & 0 & \Delta x_{1(1)} & \Delta x_{2(1)} & \Delta x_{3(1)} & 0 & 0 & 0 & 0 & \Delta x_{3(1)} & -\Delta x_{2(1)} \\ 0 & 1 & 0 & 0 & \Delta x_{1(1)} & 0 & \Delta x_{2(1)} & \Delta x_{3(1)} & 0 & -\Delta x_{3(1)} & 0 & \Delta x_{1(1)} \\ 0 & 0 & 1 & 0 & 0 & \Delta x_{1(1)} & 0 & \Delta x_{2(1)} & \Delta x_{3(1)} & \Delta x_{2(1)} & -\Delta x_{1(1)} & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 1 & 0 & 0 & \Delta x_{1(N)} & \Delta x_{2(N)} & \Delta x_{3(N)} & 0 & 0 & 0 & 0 & \Delta x_{3(N)} & -\Delta x_{2(N)} \\ 0 & 1 & 0 & 0 & \Delta x_{1(N)} & 0 & \Delta x_{2(N)} & \Delta x_{3(N)} & 0 & -\Delta x_{3(N)} & 0 & \Delta x_{1(N)} \\ 0 & 0 & 1 & 0 & 0 & \Delta x_{1(N)} & 0 & \Delta x_{2(N)} & \Delta x_{3(N)} & \Delta x_{2(N)} & -\Delta x_{1(N)} & 0 \\ 0 & 0 & 1 & 0 & 0 & \Delta x_{1(1)} & 0 & \Delta x_{2(1)} & \Delta x_{3(1)} & \Delta x_{2(1)} & -\Delta x_{1(1)} & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & 0 & 1 & 0 & 0 & \Delta x_{1(M)} & 0 & \Delta x_{2(M)} & \Delta x_{3(M)} & \Delta x_{2(M)} & -\Delta x_{1(M)} & 0 \\ S_x^{(1)P} & S_y^{(1)P} & S_z^{(1)P} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ S_x^{(K)P} & S_y^{(K)P} & S_z^{(K)P} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \quad (3)$$

$$325 \mathbf{u} = [u_{1(1)} u_{2(1)} u_{3(1)} \dots u_{1(n)} u_{2(n)} u_{3(n)} u_{3(1)} \dots u_{3(M)} D_{LOS}^{1P} \dots D_{LOS}^{KP}]^T \quad (4)$$

$$326 \mathbf{l} = [U_1 U_2 U_3 \varepsilon_{11} \varepsilon_{12} \varepsilon_{13} \varepsilon_{22} \varepsilon_{23} \varepsilon_{33} \omega_1 \omega_2 \omega_3]^T \quad (5)$$

329 where ε_{ij} are the components of the strain tensor E defined as:

$$334 \quad E = \begin{bmatrix} \varepsilon_{11} & \varepsilon_{12} & \varepsilon_{13} \\ \varepsilon_{12} & \varepsilon_{22} & \varepsilon_{23} \\ \varepsilon_{13} & \varepsilon_{23} & \varepsilon_{33} \end{bmatrix} \quad (6)$$

330 and ω_i are the components of the rigid body rotation tensor Ω defined as:

$$331 \quad \Omega = \begin{bmatrix} 0 & -\omega_3 & \omega_2 \\ \omega_3 & 0 & -\omega_1 \\ -\omega_2 & \omega_1 & 0 \end{bmatrix} \quad (7)$$

332

333 It should be observed that the A matrix consists of $3N + M + K$ rows : the first $3N$ rows can be viewed as N blocks of three equations which
334 represent information on the position of each GPS benchmark with respect to the arbitrary point P ; similarly the M equations refer to leveling
335 benchmarks, while the last K equations refer to the corresponding DInSAR data. A detailed description of the WLS solution of the proposed linear
336 system is reported in Guglielmino et al., (2011).

337 The heterogeneous dataset that we analyzed in the previous sections, ranging over a different time span, and having different spatial reso-
338 lution, was able to image the coseismic displacement due to the earthquakes, except for the GPS data. In fact, the GPS dataset, covering June
339 2009–April 2010 time span, well depicted the ground deformation occurred on Mt. Etna, evidencing the eastward movement of its eastern
340 flank, but it is not able to image the very local ground deformation due to earthquakes since there are no benchmarks close to the fault. In con-
341 trast the ALOS track 638 dataset covers a short time span (46 days) and accurately shows the ground deformation induced by earthquake along
342 the PFS. Furthermore, on the bases of ALOS-PALSAR interferometric time-series analysis, we found that no significant deformation are detected
343 during the pre-seismic period in the area near the PFS.

Q11

344 For these reasons and in order to have all the datasets (i.e. GPS, leveling and DInSAR) temporally consistent, we scaled the GPS values ob-
345 served over a ten-month period to a five-month one, corresponding to the leveling and DInSAR ENVISAT time coverage, under the assumption
346 of a linear evolution of the ground deformation pattern through time. The coseismic displacements affected only a narrow area close to the
347 fault, where no GPS benchmarks are present; this let us assume that the GPS network here considered, is not affected by the coseismic dis-
348 placements but is only sensitive to the constant sliding of the eastern flank of the volcano. We applied the SISTEM integration only on the
349 north-east Mt. Etna area along the PFS (see Fig. 7). In particular, we performed the SISTEM integration on an area of $17,500 \times 7550$ m with
350 a pixel resolution of 25 m.

351 The results of the integration are reported in Fig. 7. The 3D components analysis shows a maximum eastward movement (370 mm) as-
352 sociated with maximum relative vertical displacements (70 mm) in a narrow area along the PFS. We performed an analysis of the accuracy,
353 in terms of the estimated standard errors provided by WLS approach. This analysis highlights that it is generally lower than 15, 20 and
354 12 mm for the east, north and up components respectively, except for some limited areas where the discrepancy between the original
355 data is higher, probably due to local effects (e.g. time difference between GPS survey and SAR passes; higher errors in GPS data, very
356 local deformations, etc.)

357 In order to better depict the kinematic of the PFS, we show the three cross-sections trending NS (long. 507,000 m UTM) relative to the east,
358 north and up displacement components. The cross sections indicate the strong displacements occurring very close to the PFS and, on its southern
359 side, the widespread ESE-ward motion of the eastern flank of Mt. Etna. Furthermore, the three cross sections highlight the opposite movement of
360 the fault hanging wall (generally trending south) with respect to the footwall, due to the “elastic rebound” of the PFS involved by earthquakes. In
361 the area very close to the fault trace, the north relative components are inverted probably due to a rollback mechanism over a listric fault accord-
362 ing to Ruch et al., 2010. This behavior is probably due to the sliding of the eastern flank of Mt. Etna, which moves eastward and gradually stores
363 energy along its northern border (the PFS) in the interseismic period. The northward motion measured on a very narrow strip along the south-
364 ern side of the fault could be imputable to ruptures occurring on shallow north-dipping antithetic fault planes. When an earthquake occurs,
365 these fault arrangements (semi-graben) locally and temporarily produce a convergent motion towards the PFS, releasing the stretching stress
366 previously stored and snapping back to its original undeformed shape according to Reid's elastic rebound theory (Reid, 1910).

Q12

367 The 3D displacement maps show the kinematics of the PFS well, and are able to also reconstruct the ground deformation affecting the whole
368 investigated area, defining the movements of the north-eastern flank of the volcano.

369 We highlight here that the deformations detected by SISTEM method are cumulative, and the heterogeneous input data, ranging over a time
370 window longer than coseismic displacement, include the contribution of other earthquakes of the sequence and therefore also the pre- and post
371 seismic ground deformation.

372

373 4. Model

374 We performed data inversion using the high resolution 3D dis-
375 placement maps provided by SISTEM integration. Since the larger de-
376 formation effect is visible along the northwest area of the image, i.e.
377 the area extended 7.5×2.5 km along the PFS, it was decided to use
378 only a portion of the whole dataset. This allows simplifying the in-
379 version process and, at the same time, reducing the computational
380 effort. The considered detail image (300×100 image with a pixel
381 size = 25 m) is shown in Fig. 8.

382 We performed an analytical inversion under the assumption of
383 homogeneous, isotropic and elastic half-space by using Okada's
384

385 model (1985). For the minimization process, we used software
386 based on the GA (Genetic Algorithms) optimization approach as
387 modified by Nunnari et al. (2005). The cost function d assumed is
388 the Index of Agreement as proposed by Nunnari et al. (2005), and
389 is defined according to expression (1):

$$390 \quad d = \frac{\sum_{i=1}^N (P_i - O_i)^2}{\sum_{i=1}^N (|P_i - O| + |O_i - O|)^2} \quad (1)$$

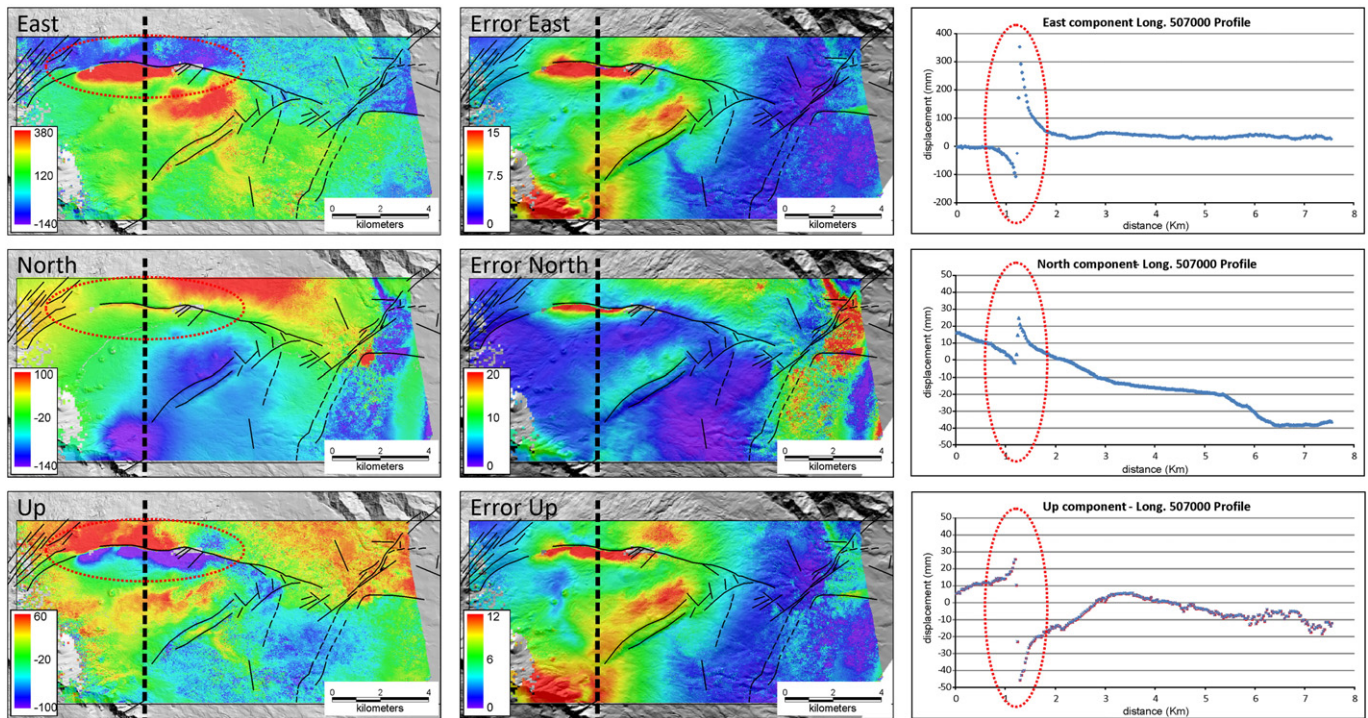


Fig. 7. SISTEM results. In the left column, the three displacement component maps calculated by the SISTEM integration method are reported (for details, see text). The black lines represent the geological structures known from literature. The black dashed lines represent the position of the cross section, and the red ellipses indicate the near field area where the larger deformation effect is visible. In the central column the corresponding estimated standard errors of the east, north, and up components are reported. In the right column, the three cross-sections relative to east, north and up displacement components are reported respectively. All the values are in millimeters.

390 where N represents the number of measured points, O_i and P_i indicate the real and predicted values respectively, O is the mean values
 392 of the real variable.
 393

394 We inverted our data sets, searching for 5 planar sources together
 395 in a homogeneous elastic half space, and the inversion process was
 396 performed searching for all parameters of the models without any a
 397 priori constraints. In this way, the inversion process was able to
 398 search the global minimum by adjusting all parameters of all sources
 399 simultaneously.

400 Each dislocation source in the Okada model is defined by 10 pa-
 401 rameters: 3 coordinates of the top center, 2 dimensions of the struc-
 402 ture (length and width), its orientation (azimuth and dip angles),
 403 and 3 components of displacement (rake, slip and opening) following
 404 the Aki and Richards (1980) definition.

405 The search grid parameters and results of the GA search are sum-
 406 marized in Table 1 and the results of the inversion are shown in Fig. 9.
 407 The solution converged to a final fitness value of 92%, and the final re-
 408 sult predicting the effect produced by 5 aligned dislocation planes
 409 conforms to a left-lateral structure with a mainly maximum slip
 410 movement of 65 cm, and an average dipping of 63°S. The model
 411 gives a good fit to the data with an average misfit of 1.1, 0.5 and

0.5 cm for east, north and vertical components respectively. The re- 412
 duced chi-squared χ^2 is equal to 1 considering the a posteriori stan- 413
 dard deviation of 2.3, 1.3 and 1.4 cm, for east, north and vertical 414
 components respectively, which is of the same order of the average 415
 errors (including the errors of the data, the errors of the SISTEM 416
 and the uncertainty of the model). The expected 3D displacement 417
 components fit the integrated SISTEM geodetic data well, producing 418
 residuals within ± 5 cm on almost the whole area, except small 419
 areas falling in the near field of the fault (i.e. where the deformations 420
 are due to a locally more complex secondary fault arrangement). The 421
 inversion results provided some first order constraints on the fault 422
 and on the phenomenon: (i) faulting is very shallow, it is mainly lo- 423
 cated between 100 m and 250 m beneath the surface; (ii) the overall 424
 orientation (N85E) of ground displacements on the hanging wall pro- 425
 duced different amplitude of opening on each dislocation plane, 426
 closely related to their azimuth orientation. This means that the west- 427
 ern block moves away from the fault trace (this block has a minor az- 428
 imuth with respect to the ground motion direction) revealing a 429
 positive opening component, conversely the other dislocation planes 430
 (with an azimuth higher than N85E) reveal a closure component. 431
 Bonforte et al. (2007b) have already revealed the increasing inverse 432

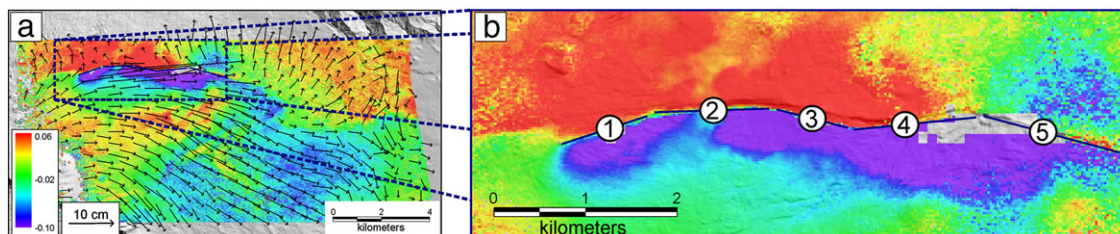


Fig. 8. (a) Displacement vectors and height variations from SISTEM integration. The arrows represent the horizontal displacement vectors, while the vertical displacement is presented by a color map. The dashed-blue rectangle defines the extracted investigated area. (b) Inverted area, the blue lines identify the 5 planar sources searched.

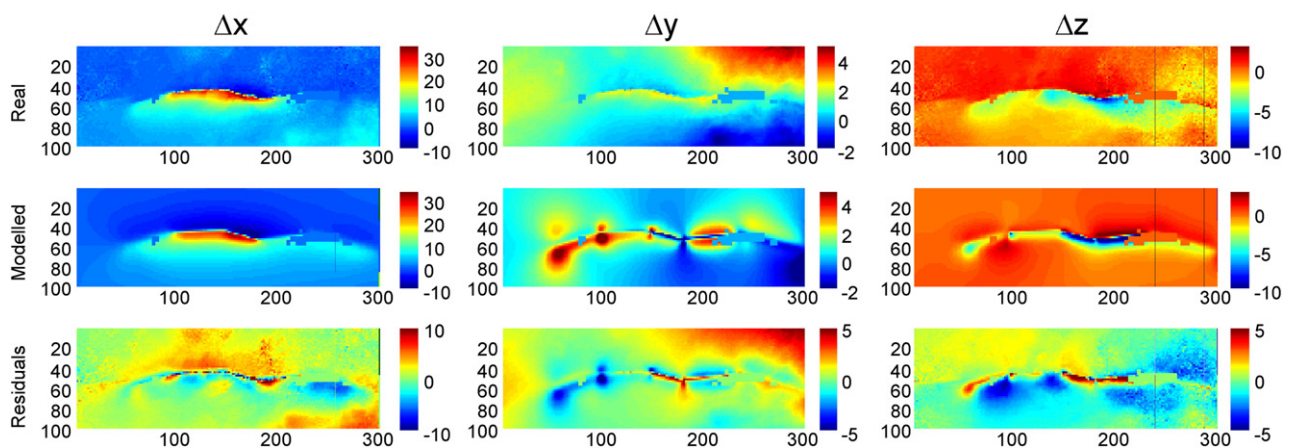


Fig. 9. Inversion results. In the first row are reported the measured Δx , Δy , and Δz components of the displacement field; in the second and third rows the corresponding calculated components, and the residual between measured and modeled displacement values are reported respectively. The values are in mm.

433 component of displacement on the PFS towards its eastern part,
 434 reporting evidence of compressive strain and slight thrusting, along
 435 the PFS. They imputed this behavior to the relation between the general
 436 eastward motion of the flank of the volcano with the variable azimuth
 437 of the fault, ranging from ENE-WSW to ESE-WNW moving from W to E;
 438 this rotation of the PFS induces the sliding block to move away from
 439 the fault on its western half and to collide with it on its eastern one.
 440

441 5. Conclusion

442 The proposed SISTEM method has been satisfactorily applied to
 443 study the ground deformation related to the April 2–3 earthquakes
 444 that occurred at Mt. Etna.

445 The SISTEM simultaneously integrates all the available datasets,
 446 providing information on ground deformations by taking advantage
 447 of the positive features of all these techniques, i.e. the high spatial
 448 resolution of DInSAR ENVISAT C-band data, the good coherence of ALOS
 449 L-band interferometric data which is also able to detect large gradients
 450 of ground deformation, the leveling measurements with sub-mm
 451 accuracy, and the 3D displacements component provided by
 452 GPS with sub-cm displacement level.

453 Furthermore, the availability of geodetic in situ data with lower
 454 spatial resolution, coupled with different (ALOS and ENVISAT) and
 455 multiple (ascending and descending orbit) SAR data, permitted a
 456 detailed integrated high resolution analysis. This enabled us to define
 457 the kinematics of PFS and also reconstructing the ground deformation
 458 affecting the entire investigated area, identifying the movements of
 459 the north-eastern flank of the volcano during a short period characterized
 460 by important seismic release.

461 In particular, the integrated ground deformation field evidenced
 462 the strong displacement affecting about 5 km of the fault trace. The
 463 kinematics of the fault is confirmed as normal and left-lateral; conversely,
 464 while the slip usually occurs only on the southern side of the fault,
 465 during this short co-seismic period we measured an evident rebound
 466 of the northern side, moving upwards and westwards. The ground
 467 deformation field is always asymmetric with much stronger
 468 displacements affecting the southern side with respect to the northern
 469 one, but it is the first instrumental evidence of an elastic rebound
 470 on this fault that decouples a moving flank from a stable one.

471 Another important aspect coming from the integrated dataset is
 472 the areal distribution of the ground displacement. A very strong
 473 attenuation of the deformation is evident moving away from the fault.
 474 An exceptional displacement of several tens of cm has been detected
 475 close to the PFS trace but all datasets testify how this displacement
 476 rapidly decreases to a few cm just 1 km away from the fault, and it
 477 remains fairly stable over the entire NE flank. This aspect prompted us

to hypothesize that the main dynamics is always the seawards sliding
 of the eastern side of Mt. Etna, which, furthermore, was particularly
 intense during this period; this general motion deformed and elastically
 charged a locked portion of the fault that suddenly released the energy
 by the seismic swarm, recovering its original condition by elastic rebound.
 All the above considerations would suggest that the earthquake was the
 result of the movement of the entire north-eastern flank of the volcano
 rather than its cause.
 485 Q13

486 It should be noted that only the SISTEM integration permitted us
 487 to reconstruct the real 3D ground deformation field, allowing over-
 488 coming the limitations of each technique and taking advantage of
 489 the particular features of each of them. So, we were able to take
 490 advantage of the best of each technique, i.e. the fairly continuous spatial
 491 sampling of the displacement field (even if 1D) provided by InSAR,
 492 the 3D displacements provided by GPS stations (even if on a lower
 493 number of points), and the exceptional accuracy of the leveling
 494 measurements on a medium-density array that allowed the vertical
 495 component of motion to be clearly discriminated from the oblique
 496 InSAR views especially in the near field. This was fundamental in a
 497 such a displacement field, characterized by very intense and very local
 498 ground displacement with an oblique behavior of the fault superimposed
 499 on the wider kinematics of the entire north-eastern slope of the
 500 volcano.

501 These results, which provide an accurate spatial characterization
 502 of ground deformation, are hence promising for future studies
 503 aimed at improving the knowledge about the kinematics of the PFS
 504 and the Mt. Etna's unstable flank.

505 Supplementary materials related to this article can be found online
 506 at [doi:10.1016/j.epsl.2011.10.028](https://doi.org/10.1016/j.epsl.2011.10.028)

507 6. Uncited reference Q14

508 Okada, 1992

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