

Disentangling the "many-eyes", "dilution effect", "selfish herd", and "distracted prey" hypotheses in shaping alert and flight initiation distance in a colonial seabird

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1	Disentangling the "many-eyes", "dilution effect", "selfish herd", and
2	"distracted prey" hypotheses in shaping alert and flight initiation distance in a
3	colonial seabird
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18 ABSTRACT

Group living is thought to have important antipredator benefits for animals, owing to the 19 mechanisms of shared vigilance ("many-eyes" hypothesis), risk dilution ("dilution effect" 20 hypothesis), and relative safety in the center of the group ("selfish herd" hypothesis). However, it 21 can also incur costs since social stimuli, such as conspecific aggression, may distract individuals 22 from anti-predator behavior ("distracted prey" hypothesis). We simultaneously evaluated how 23 24 these four different hypotheses shape anti-predator behaviors of breeding king penguins (Aptenodytes patagonicus), which aggregate into large colonies, experience frequent aggressive 25 social interactions, and are regularly exposed to predation by giant petrels (Macronectes sp.) and 26 brown skuas (*Catharacta loonbergi*) when breeding on land. We approached 200 incubating 27 28 penguins at four different periods of the breeding season across a range of overall increasing colony densities. We measured the distance at which focal birds detected the approaching threat 29 (alert distance: AD), whether birds decided to flee or not, and the distance of flight initiation 30 31 (flight initiation distance: FID, viz. the bird attempting to walk away with its egg on its feet). We 32 quantified relative local neighbor density, centrality within the colony (rank), and the number of 33 aggressions the focal bird emitted towards neighbors during the approach. We found that birds 34 engaged in aggressive conflicts with neighbors were less likely to flee, and that increasing 35 relative local neighbor density at low and medium overall colony density resulted in a decrease in bird AD, both supporting the "distracted prey" hypothesis. However, at maximal overall 36 37 colony density, increasing relative local neighbor density resulted in longer AD, supporting the "many-eyes" hypothesis. We found no support for the "dilution effect" and "selfish herd" 38 hypotheses, and no effects of any hypothesis on FID. 39

Keywords: antipredator behaviour, escape flight distance, optimal escape decisions, predation
risk, predator-prey decision, risk taking

42 **INTRODUCTION**

Predation can be an important source of mortality in wild animals (Roos et al. 2018).
Aggregating into large social groups is often viewed as a solution to decrease predation risk for
the individual and its offspring (Alexander 1974; Hoogland 1981). At least four non-mutually
exclusive hypotheses have been proposed to explain how group size and social interactions may
influence predator-prey interactions (for a review of the relationship between group size and
flight initiation distance, see Ydenberg and Dill 1986; Stankowich and Blumstein 2005).

Firstly, one important mechanism identified in decreasing predation risk with increasing 49 group size is that of shared vigilance by group members towards potential threats (Caraco et al. 50 1980; Lima and Dill 1990; Quenette 1990). Since larger groups have more individuals scanning 51 for predators at any given time, the presence of "many eyes" in a group allows for the earlier 52 detection of predators than would be possible for single individuals (the "many-eyes hypothesis"; 53 Pulliam 1973; Lima and Dill 1990). Information on the presence of a predator can disseminate 54 55 rapidly through the group, most often through the use of alarm calls, allowing individuals to assess the risk and decide to flee sooner than is possible alone (Burger and Gochfeld 1991; 56 57 Mayer et al. 2019). Thus, larger groups are often more rapid in detecting a predator than single 58 individuals, and more likely to raise alarm of an approaching predator sooner than smaller groups (Hoogland 1981; van Heezik and Seddon 1990; Cresswell 1994), though vigilance 59 behavior may also be affected by other environmental characteristics such as species 60 61 gregariousness, diet or habitat (Morelli et al. 2019).

62 Secondly, large groups also provide the advantage of diluting individual predation risk (Ydenberg and Dill 1986). Most predatory species can only capture a single prey at a time, and 63 thus the chance that each individual prey will be the target of predation reduces with increasing 64 group size (Dehn 1990; Roberts 1996; Bednekoff and Lima 1998). According to the "dilution 65 effect hypothesis" individuals in larger groups may tolerate the closer approach of predators 66 before initiating flight (enabling a longer amount of time to be spent on tasks such as foraging), 67 since the risk of predation is diluted with each additional conspecific nearby (Cresswell 1994; 68 Boland 2003; Fernández-Juricic and Schroeder 2003). The dilution effect is not only limited to 69 larger group sizes, but also to denser groupings of individual prey, regardless of overall group 70 71 size (Roberts 1996; Hebblewhite and Pletscher 2002; Frommen et al. 2009). For example, many bird species that migrate in flocks or cluster together on breeding grounds may benefit from the 72 dilution effect by reducing individual predation risk while foraging or breeding (Wiklund and 73 Andersson 1994; Harts et al. 2016; Duca et al. 2019), though in some groups individual risk-74 taking may vary with life-history stage (e.g., in long-distance migratory waders, 75 Charadriiformes, risk-taking is lowest during reproduction and highest during migration; Mikula 76 et al. 2018). 77

Thirdly, individual spatial positioning within the group may provide dissimilar antipredator benefits. The "selfish herd" hypothesis (Hamilton 1971) suggests that animals located more centrally in a group should be less vulnerable to attacks from predators than those on the periphery as predators come from outside the group and target the closest available prey (Hamilton 1971). In other words, maintaining conspecifics between a predator and oneself will reduce individual predation risk. Prey animals should therefore select higher value central positions when joining a group, which is indeed seen in minnows, *Phoxinus phoxinus* (Krause

85 1993), sheep, Ovis aries (King et al. 2012), and fiddler crabs, Uca pugilator (Viscido and Wethey 2002). If selfish herd effects are important, there should be evidence of divergent 86 predation rate, pressure or risk between the center and the periphery of a group (Morton et al. 87 1994; Viscido et al. 2001). When redshanks, *Tringa totanus*, were targeted by sparrowhawks, 88 Accipiter nisus, birds that were more peripheral and further from neighbors were at the highest 89 risk of predation (Quinn and Cresswell 2006). In colonial web-building spiders, Meteperira 90 incrassata, predatory attacks occur most often on the periphery (Rayor and Uetz 1990). There 91 may also be evidence of different levels of investment by prey into anti-predator monitoring 92 93 between central and peripheral animals. Increased vigilance by peripheral animals has been observed in black-tail prairie dogs, Cynomys ludovicianus (Hoogland 1981), African mammals 94 (Burger and Gochfeld 1994), including springboks, Antidorcas marsupialis, (Bednekoff and 95 Ritter 1994), and scaled doves, Columbina squammata (Dias 2006). 96

Finally, although larger groups provide the benefit of shared vigilance and predation risk 97 dilution, individuals in such groups have to process a noteworthy amount of social information 98 from their conspecifics (Treves 2000). As a consequence, the time spent processing social cues 99 and interacting with conspecifics, noise and conflicts from and between conspecifics may detract 100 101 from the time and energy investments usually placed into scanning for predators (Mooring and Hart 1995; Yee et al. 2013). This is known as the "distracted prey hypothesis" which proposes 102 that external stimuli – such as sounds – are capable of hijacking finite resources, thus impairing 103 104 the prey's ability to detect and react to approaching predators (Chan et al. 2010; Petrelli et al. 2017). Social distractions (such as aggressive interactions between conspecifics) may also 105 distract prey from predator detection and delay behavioral responses such as the initiation of 106 107 flight. This is, for instance, the case in a cichlid fish species (*Neolamprologus pulcher*), where

individuals respond significantly later to predator images during territorial contests than when
they are alone, illustrating the trade-off between time and energy investments into aggressive
social behaviors and vigilance towards predators (Hess et al. 2016).

Here, we investigated the relative importance of the (1) "many-eyes", (2) "dilution 111 effect", (3) "selfish herd" and (4) "distracted prey" hypotheses on prey anti-predator behaviors of 112 113 breeding king penguins (Aptenodytes patagonicus). These four hypotheses are not mutuallyexclusive, and thus can be investigated simultaneously. For instance, individuals in larger groups 114 may benefit from risk-dilution, shared vigilance, and selfish herd effects, all while paying 115 116 potential costs of social distractions. King penguins are an ideal model system to test these nonmutually exclusive alternatives. This seabird species forms extensive, densely packed colonies 117 118 when breeding on land (over tens of thousands of birds strong, Barbraud et al. 2020). Breeding 119 birds incubate/raise a single egg/chick on their feet and aggressively defend their small breeding territory against both predators and conspecifics (Stonehouse 1960; Weimerskirch et al. 1992). 120 Aggressive social interactions are particularly high and can average 100 interactions per hour 121 (Côté 2000). Of particular interest is the finding that king penguins are highly sensitive to social 122 aggression, even when they are not directly involved in social conflicts (as demonstrated by 123 124 elevated by-stander heart rate responses when witnessing aggressive neighbors fighting; Viblanc et al. 2012). In addition, higher stress hormones and heart rates are detected in more densely 125 packed colony areas (Viblanc et al. 2014a; Viblanc et al. 2014b). Thus, frequent social 126 127 aggression in these birds may interfere with antipredator behaviors, such as vigilance and flight. King penguins are subject to on-land predation by giant petrels, Macronectes giganteus and 128 129 *Macronectes halli*, and brown skuas, *Catharacta loonbergi*, especially known to predate on eggs 130 and young chicks, but occasionally targeting adults as well (Hunter 1991; Descamps et al. 2005).

131 These predators will harass incubating and brooding adults sometimes causing them to flee and 132 abandon their eggs. Breeding birds then face three choices: to stay and fight, risking injuries that could be fatal; to flee cumbersomely by waking with their egg or offspring on the top of their 133 134 feet and to cluster closer to neighbors; or to abandon reproduction and flee entirely by walking rapidly (often includes tripping and flipper beating against the ground; RG, VAV, JPR, BG, PB, 135 TH; personal observations). Yet, how shared vigilance (1. "many-eyes" hypothesis), safety in 136 large numbers (2. "dilution effect" hypothesis), safety in a central location (3. "selfish herd"), 137 and acute social interactions (4. "distracted prey" hypothesis) (Table 1) interact in shaping prey 138 139 antipredator behavioral responses is unknown.

140 We used Alert Distance (AD), or the distance at which the targeted bird detects an approaching threat (in this case, an experimenter), and Flight Initiation Distance (FID), or the 141 distance at which the targeted bird begins to flee from the approaching threat to simultaneously 142 investigate the "many-eyes", "dilution effect", "selfish herd" and "distracted prey" hypotheses. 143 AD and FID are popular measures used in the literature to study the reactions of prey to 144 approaching danger and optimal escape strategies. The great advantage of these measures is that 145 they can be collected through non-lethal approaches by a human experimenter using standardized 146 147 approach protocols (see Hammer et al. 2022 for an example in king penguins). From an evolutionary perspective, prey are expected to react in much the same way to a human approach 148 as to an approach by a true predator, as behavioral responses should be selected to over-estimate 149 150 rather than under-estimate risk (Frid and Dill 2002; Beale and Monaghan 2004). AD and FID are therefore promising behavioral responses that can be used to better understand how group size 151 and social interactions may shape predator-prey interactions. 152

153 We evaluated AD and FID in response to standardized human approaches in relation to 154 both overall colony density (low, med, high, maximal) that increased as the breeding season progressed, relative local neighbor density (number of neighbors around a focal bird centered 155 156 around the mean overall colony density at any given stage of the season), relative colony rank (rank centered with each colony density stage), and the number of aggressive interactions (i.e., 157 social distractions) exchanged between the focal bird and its neighboring conspecifics during the 158 approach. Whereas the "many eyes" hypothesis predicts that AD should occur earlier in larger 159 groupings as there are more vigilant individuals present at any given point in time, the 160 "distracted prey" hypothesis predicts that AD and/or FID will be delayed in denser groups due to 161 increased social stimuli, such as aggression with neighbors, that hinders predator detection and 162 flight. The "selfish herd" hypothesis predicts that peripheral individuals in the colony (those of 163 low colony rank as counted from the periphery to the center of the colony) where predation risk 164 is highest, will have higher AD and FID than individuals in less risky central positions. Finally, 165 the "dilution effect" predicts that FID will be delayed at high local social density due to reduced 166 167 predation risk on the individual, but should be unrelated to social interactions (social aggression, in our case). Predators may be allowed to approach even closer in aggressive groupings of prey 168 169 than the dilution effect predicts alone, as is the case in king penguins, as larger groups may allow for group defense from predators (i.e., mobbing). 170

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172 METHODS

173 Study site and animals

During the 2011-2012 breeding season, 200 king penguins were randomly chosen and 174 approached in "La Baie du Marin" colony (Possession Island, Crozet Archipelago, 46°25'S -175 51°52'E), home to approximately 22 000 breeding pairs (Barbraud et al. 2020). According to 176 177 STRANGE guidelines (Webster and Rutz 2020), study subjects were selected to reduce potential biases (and were hence not blindly selected), nevertheless we highlight some of the potential 178 179 biases here. All birds were incubating and therefore of similar breeding status (but see 180 discussion) and appeared in physically good overall condition; but we did not have any 181 information on bird age, sex, laying date, or previous exposure to humans. Individuals were 182 chosen from a distance and not after being approached or captured, therefore we expect no strong initial sampling bias. Between successive approaches, birds were at least 50 meters apart. The 183 individuals were divided into four groups of 50 birds, measured approximately 15 days apart 184 185 from mid-November 2011 to mid-January 2012. As colony density increases over the breeding season (see Viblanc et al. 2014b for colony dynamics), our objective was to sample birds in 186 natural conditions of increasing social density. Thus, we defined four groups corresponding to 187 periods of increasing overall colony density with: low density (mean \pm SE birds/m²: 4.05 \pm 0.11, 188 19-22 Nov. 2011), medium density (4.48 \pm 0.14, 3-5 Dec. 2011), high density (5.04 \pm 0.11, 22-189 190 23 Dec 2011), and maximal density $(5.47 \pm 0.11, 13-16 \text{ Jan } 2012)$.

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Approaches (always the same experimenter, BG, dressed in the same clothing) were started at a 193 194 standardized start distance of 18 m when the focal individual was awake. A distance of 18 m corresponded to a distance 1.5 times greater than maximal detection distance recorded in a 195 196 preliminary study to ensure starting distance far exceeded maximum alert distance (Hammer et al. 2022, see also Fleming and Bateman 2017). Start distance was standardized due to its known 197 198 effect on AD and FID (Blumstein 2003; Blumstein 2010; Dumont et al. 2012). Distances were measured to the nearest cm using a laser telemeter (Leica DISTOTM D5 Lasermeter, Leica 199 Geosystems AG, Hexagon, Sweden). The experimenter, while walking at a regular speed, 200 followed a direct trajectory towards the focal individual. Both when the first sign of detection 201 202 was observed (AD; focal animal tilting its head or stretching its neck in the direction of the 203 experimenter), and when flight was initiated (FID; focal animal attempting to walk away with its 204 egg on its feet), the experimenter took a standardized one-minute pause to record the distance 205 between his position and the bird. Several focal birds did not flee up until contact. Thus, FID was 206 divided into two separate analyses: i) the decision to flee or not, and ii) the flight initiation 207 distance for birds that decided to flee. During the approach, the number of aggressive 208 interactions initiated by the focal bird towards its neighbors was counted by the approaching 209 experimenter and recorded as a proxy of social distraction. Aggressive interactions included: bill 210 gaping (non-physical-threats displays, the focal bird extending its head with bill open wide, 211 vocalizing towards a neighbor); bill pecking (the birds extending its neck and physically pecking a neighbour) and flipper blows (the bird striking a neighbor with an extended flipper) (see Côté 212 2000, Viera et al. 2011). The number of aggressive interactions initiated by the focal bird was 213 214 then weighted to account for known differences in energetics: aggressions with physical contact (bill pecking, flipper blows) weighed more heavily than non-physical threats (bill gaping); according to Viera et al. (2011), contact aggressions are 3.2 times more energy costly than threats (mean \pm SE = 0.69 \pm 1.00, range = 0.0 - 5.2).

Two speeds of approach (prior to AD and prior to FID) were calculated using the distance walked (m) and the duration of approach (s). The mean \pm SE speed of approach prior to AD was 0.51 ± 0.07 m/s (range = 0.28 - 0.76 m/s), and between AD and FID was 0.42 ± 0.05 m/s (range = 0.24 - 0.54 m/s). Weather conditions were recorded prior to each approach and used as control variables in the analyses (Hammer et al. 2022). Air temperature was recorded to the nearest 1°C, and wind and rain levels were scored between 0 (none) and 2 (strong or heavy), intermediate levels (0.5) being allowed.

Before approaching the birds, we counted the number of neighbors surrounding each 225 226 focal individual, defined as the first circle of animals that were direct neighbors (mean \pm SE = 7.49 \pm 1.93; range = 4 - 14) and recorded the mean distance of the neighbors to the focal 227 individual (mean \pm SE = 0.81 \pm 0.14; range = 0.53 - 1.22 m). The distance between the birds 228 was initially visually estimated from a distance and subsequently corrected to the closest 10 cm 229 during the approach. Local density (number of $birds/m^2$) was then calculated as the number of 230 neighbors surrounding a focal bird divided by the area of a circle (πr^2) with the mean distance 231 between the focal bird and its neighbors acting as the radius. Local bird density increased with 232 overall colony density (F_{3,192}=28.0, P<0.001) (Fig. 1). As local neighbor density naturally 233 increased together with increasing colony density (i.e., low, medium, high, maximal) as the 234 breeding season advanced, we centered local neighbor density within each colony density stage 235 to obtain an index of local neighbor density which was independent of overall colony density. 236

Thus, relative local neighbor density was calculated as d - μd , with d being the local neighbor density of a focal bird, and μd being the mean neighbor density over all birds measured at a given stage (i.e., within low, medium, high, and maximal colony density stages). A bird with relative local neighbor density >0 (or <0) thus experienced a "local" social environment that was relatively more or less crowded than the average at a given stage.

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243 Statistical analyses

All statistical analyses were performed in R 1.4.1106 (R Development Core Team 2021). Results 244 are presented as means \pm SE. Effects were considered statistically significant for P < 0.05. We 245 investigated the "many eyes", "dilution effect", "selfish herd", and "distracted prey" hypotheses 246 by considering the joint effects of overall colony density, relative local neighbor density, relative 247 colony rank (see below), and number of aggressive interactions of the focal individual to their 248 neighbor (all independent variables) on focal bird AD, FID, and decision to flee or not (all 249 dependent variables in separate models). The number of aggressions displayed against 250 neighboring individuals during the entire approach was used to quantify the amount of social 251 252 distraction to which the focal birds were subject. Similar to relative local neighbor density, we calculated relative colony rank (or the relative centrality of the focal bird within the colony) by 253 centering bird rank within each overall colony density stage (low, medium, high, maximal) in the 254 manner of r - $\mu \bar{r}$, with r being the rank of a focal bird, and $\mu \bar{r}$ being the mean rank over all 255 measured birds at a given stage. Thus, a bird with a relative colony rank >0 (or <0) was 256 257 relatively more (or less) central in the colony than the average at a given stage. General linear mixed models with appropriate error distributions were used to investigate sources of variation in 258

259 AD (gaussian distribution), the decision to flee or not (binomial distribution), and FID (gaussian 260 distribution after log transformation). Models were run using the package "lme4" functions *lmer* and glmer (Bates et al. 2015). Models were checked for the normality of their residuals using 261 262 "fitdistrplus" (Delignette-Muller and Dutang 2015). For models with a gaussian distribution, the significance of fixed effects were tested with "ImerTest" anova (Kuznetsova et al. 2017) using F 263 tests with Satterthwaite estimation for the denominator degree of freedom. Wald chi-square tests 264 were used for models with a binomial distribution (Anova). We further tested if behavioral 265 decisions (AD, FID, and the decision to flee or not) were affected by the interaction between 266 267 relative local neighbor density and overall colony density (low, medium, high, maximal); this interaction was dropped from the models if it was non-significant. The area of the colony in 268 which we approached the bird was initially included as a random factor in all our models to 269 270 control for unmeasured differences in landscape, chronic and spatially variable amounts of human disturbance, etc., and the fact that several birds were measured in similar areas. However, 271 this random effect was removed from the binomial model as no variation in the decision to flee 272 273 could be attributed, and the model could not converge. In all models we initially controlled for time of day (linear and quadratic effects, decimal), weather (temperature, wind and rain levels), 274 275 and speed of approach to account for tentative effects on AD, FID, and the decision to flee (Hammer et al. 2022), but removed them from the final models if they were non-significant (all P 276 > 0.097). All independent variables were tested for collinearity using Variance Inflation Factors 277 278 (VIF) according to the suggestions by Zuur et al. (2010) and all variables were scaled and centered prior to inclusion (Schielzeth 2010). Temperature was, in any case, removed from all 279 the models due to collinearity issues with colony density as temperature generally increased as 280 281 the summer season progressed and the breeding season advanced.

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283 Ethics statement

No animal was caught or handled over the course of this study. The research was approved by
the Ethical Committee of the Institut Polaire Français – Paul-Emile Victor. Authorization to enter
the colony and approach birds was obtained from Terres Australes et Antarctiques Françaises.
The observations complied with the current laws of France. No eggs were abandoned during the
course of this study.

289

290 **RESULTS**

291 Over the entire season, individuals (N = 194) became alert on average at 7.31 ± 1.91 m; (range = 292 3.41 - 12.3 m). The interaction between relative local neighbor density and overall colony density was significant (LMM, $F_{3,173.3} = 2.84$, P = 0.039) (Fig. 2). AD decreased with increasing 293 294 relative local neighbor density at low (slope = -0.48, 95% CI [-1.02, 0.06]) (Fig. 3). However, at medium (slope = -0.46, 95% CI [-95, 0.04]) and high (slope = -0.12, 95%CI [-0.71, 0.47]) 295 overall colony density, there was no relationship between AD and relative local neighbor 296 density. Finally, at maximal colony density AD increased with increasing relative local neighbor 297 density (slope= 0.64, 95% CI [0.02, 1.26]). AD was not significantly affected by the number of 298 aggressive interactions between the focal individual and their neighbors ($F_{1,172.7} < 1$), nor by 299 300 relative colony rank ($F_{1,175,0} < 1$).

301 Of the 200 individuals approached, 50% did not flee. Focal birds that were more 302 aggressive towards their neighbors during approach were significantly less likely to flee (X^2 = 4.16, P = 0.041). The decision to flee (GLM, N = 193 with complete information), was not explained by relative colony rank, relative local neighbor density, or overall colony density (all $X^2 < 1.6$) (Fig. 4).

Of the individuals that decided to flee (LMM, N = 93), the mean FID was at 3.00 ± 1.57 m; (range = 0.54 - 8.46 m). Birds showed greater FID when approached at faster speeds between AD and FID (F_{1,79.8} = 20.1, *P* < 0.001) (Fig. 5). Variation in FID was not explained by aggression with neighbors (F_{1,78.5} = 2.45, *P* = 0.122), relative colony rank (F_{1,72.0} < 1), relative local neighbor density (F_{1,80.0} < 1), or overall colony density (F_{3,78.7} = 1.02, *P* = 0.390).

311

312 **DISCUSSION**

This study examined the contribution of the "many-eyes", "dilution effect", "selfish 313 314 herd", and "distracted prey" hypotheses in shaping prey anti-predator behavior in colonial king penguins. Our results show that at low and medium overall colony density, increasing relative 315 local neighbor density resulted in a decrease in bird alert distance (AD) to an approaching 316 experimenter, while at maximum overall colony density, increasing relative local neighbor 317 density resulted in longer AD. These results provide support for the "distracted prey" hypothesis 318 at low colony densities and the "many-eyes" hypothesis at maximum colony density. In addition, 319 birds who engaged in aggressive behaviors with their neighbors during the approach were less 320 likely to initiate flight, supporting the "distracted prey" hypothesis. In contrast, bird flight 321 322 initiation distance (FID) did not appear to vary depending on overall or relative local bird density, nor was it affected by bird aggression or relative colony rank. Our results provide 323 limited evidence in support of a selfish herd effect or risk dilution effect in flightless colonial 324

penguins typically exposed to both land-based (giant petrels stalking the outskirts of the colony)and airborne (brown skuas) predators.

To the best of our knowledge, no study has so far investigated all four of these 327 hypotheses simultaneously, especially not in incubating birds, although the "many-eyes" and 328 "dilution effect" hypotheses have been simultaneously studied using both mathematical models 329 330 (Fairbanks and Dobson 2007; Rieucau and Martin 2008; Beauchamp 2017) and field studies (Boland 2003; Schmitt et al. 2014). For instance, in bighorn sheep (Ovis canadensis) foraging 331 ewes were found in predictive models to benefit from both the "many-eyes" and "dilution effect" 332 333 hypotheses as group size increased (Rieucau and Martin 2008). Similarly, emus (Dromaius 334 novaehollandiae) spent less time in vigilance in larger groups, detected approaching threats sooner, and waited longer before initiating flight, supporting both the many-eyes and dilution 335 336 effect hypotheses (Boland 2003). In Columbian ground squirrels (Urocitellus columbianus) a predator detection model was better supported than a dilution model (Fairbanks and Dobson 337 2007), and in plains zebras (Equus quagga), predator detection benefits were found to be more 338 influential in mixed-species groupings than dilution effects (Schmitt et al. 2014). Over 53 339 340 published studies, Beauchamp (2017) found the many-eyes and dilution effect hypothesis acted 341 together to reduce predation risk most often in species that form groupings. These studies serve to emphasize the point that hypotheses in relation to group size on prey reactions to approaching 342 predators and other environmental (social) stimuli are not mutually exclusive and may frequently 343 work in concert (Roberts 1996). Yet, whereas the "many-eyes" and "dilution effect" hypotheses 344 have been considered together in past studies, the inclusion of the "distracted prey" or "selfish 345 herd" hypotheses in understanding prev reactions remains an open question. Although these 346 347 hypotheses have not been considered conjointly, all but the "distracted prey" hypothesis have

348 been tested individual in starlings, *Sturnus vulgaris* (Zoratto et al. 2009). They have been shown 349 in larger groups to decrease individual vigilance yet respond faster to the approach of a model predator ("many-eyes", Powell 1974), form larger flocks when predation risk is high ("dilution 350 351 effect", Carere et al. 2009), and individuals show higher rates of vigilance and decreased foraging rate when on the periphery of the group ("selfish herd", Jennings and Evans 1980). 352 When considered alone, the "selfish herd" hypothesis has mixed support in the field (for 353 mathematical support see: Reluga and Viscido 2005; Morrell et al. 2011), with some studies 354 supporting individual risk minimization by selfish attraction to other members when under attack 355 (sheep, King et al. 2012; fish, Krause 1993; crabs, Viscido and Wethey 2002), and other failing 356 to detect such effects (fish, Parrish 1989; birds, Sankey et al. 2021). 357

Of the four hypotheses ("many-eyes", "dilution effect", "selfish herd", and "distracted 358 359 prey"), only the "many-eyes", "selfish herd", and "distracted prey" hypotheses imply changes in vigilance effort. In the "many-eyes" hypothesis, larger and/or denser groupings contain more 360 individuals that can contribute to group-vigilance at any point in time, leading to an increase in 361 AD. Indeed, AD is expected to be longer when the focal individual is investing more into 362 vigilance behavior, surveying its surroundings, thus being able to detect approaching threats 363 sooner (Fernández-Juricic and Schroeder 2003; Beauchamp 2015; Uchida et al. 2019; Morelli et 364 al. 2019; but see Tätte et al. 2019). According to the "selfish herd" hypothesis, individuals in the 365 periphery who are less protected by conspecifics face the highest predation risk and should 366 therefore have the highest vigilance (hence highest AD). In contrast, the "distracted prev" 367 hypothesis predicts that external stimuli may distract from vigilance behavior, leading to shorter 368 AD. At low and medium colony densities, we observed that incubating adult king penguins show 369 370 a decrease in AD as relative local neighbor density increased supporting the "distracted prey"

371 hypothesis. However, at maximal colony density AD increased with relative local neighbor density, consistent with the "many-eyes" hypothesis. Earlier in the breeding season (at low 372 colony density) king penguin breeding pairs establish their territories within the colony 373 374 (Stonehouse 1960; Weimerskirch et al. 1992). The social aggression that occurs during territory establishment (Côté 2000) and the resulting colony instability at that time (Viblanc et al. 2014b) 375 376 may distract individual birds from surveying for predators. These results are consistent with reports from other species. For instance, in a study that surveyed both a South American cichlid 377 fish, Nannacara anomala, and the willow warbler, Phylloscopus trochilus, male-male conflicts 378 379 reduced vigilance behavior and resulted in a delay of predator detection when shown the image of a predator (Jakobsson et al. 1995) A similar result was found in another cichlid species, 380 Neolamprologus pulcher (Hess et al. 2016). Similarly, European robins, Erithacus rubecula, 381 382 were significantly slower to react to a stuffed sparrowhawk, Accipiter nisus, when engaged in territorial defense (Dunn et al. 2004). Later in the breeding season (at maximum colony density), 383 king penguins are already settled into their territories and colony density is relatively stable 384 (Viblanc et al. 2014). At high density, the information about disturbances may disseminate 385 rapidly from neighbor to neighbor and may aid in searching for and detecting predators (a ripple 386 387 of disturbance is caused by entering the colony, which alerts neighboring individuals of approaching threats, TLH, VAV, PB pers. obs.) (see also Hernández-Matías et al. 2003). Hence, 388 at low colony densities, the distraction hypothesis appears supported, but at high colony densities 389 390 the many eyes hypothesis is supported. Furthermore, those results may be amplified in concert with predation pressure on the eggs of incubating king penguins which varies across the breeding 391 392 season. Indeed, previous studies show that predation pressure increases over the breeding season 393 (Descamps et al. 2005). With low predation pressure and low colony density at the start of the

breeding season, breeding king penguins may be more attentive to their social environment than to predators. In contrast, with higher predation pressure later in the season when the colony is at its peak density, breeders would shift their attention more towards predators and antipredator responses than to their peers (Descamps et al. 2005).

In contrast to AD, bird flight initiation distance (FID) is expected to vary with the 398 399 "dilution effect", "distracted prey" and "selfish herd" hypotheses. All hypotheses predict similar 400 responses in terms of FID. FID is the result of a decision-making process that occurs after a predator is detected. On one hand, as the number of individuals within a group increases, 401 individual predation risk decreases, and therefore FID should be reduced ("dilution effect" 402 403 hypothesis). On the other hand, as the number of individuals increases, so does the number of social distractions (e.g., aggression between neighbors), which in turn should distract the focal 404 405 individuals from performing the necessary decisions needed to initiate flight at an appropriate time, causing FID to be delayed. In the "selfish herd" hypothesis, central positions face reduced 406 predation risk, and the colony is more densely packed, and should therefore have shorter FID. 407 Yet, FID was not impacted by relative local neighbor density, overall colony density, rank, or 408 aggression with neighbors in incubating king penguins, and therefore neither hypothesis 409 410 appeared to be strongly supported. One potential explanation to this result may have to do with the cost of reproduction shaping optimal flight initiation distances (Cooper and Frederick 2007). 411 In king penguins, truly fleeing from an approaching predator means abandoning the egg/chick 412 413 and losing reproduction for the year. Most often, flight is attempted by slowly moving away with the egg or young chick on top of the feet – an action that is obviously mechanically constrained. 414 In addition, even attempting to slowly move away with the egg or young chick may trigger 415 416 aggressive responses from neighboring conspecifics and increase the risk of injuries and damage

417 to the egg. Indeed, the commitment to reproduction is especially high in king penguins, as it 418 takes the cooperation of a breeding pair for over 14 months to raise a single chick, and successful reproduction occurs at best every two years (Jiguet and Jouventin 1999). Thus, there may be 419 420 strong selection in this species for behaviors aimed at the early detection of approaching threats and for active territory defense (Côté 2000) despite risks of injury, rather than fleeing altogether 421 from approaching predators, given the elevated fitness costs of abandoning reproduction. FID 422 may then be a relatively inflexible trait in breeding individuals of this species and therefore 423 dilution or distraction effects on this trait may be small. Our previous study found that FID and 424 425 the decision to flee were significantly repeatable, highlighting moderate to strong individual 426 components in incubating king penguins (Hammer et al. 2022). It is perhaps then not surprising that personality traits were not significantly affected by the social environment, whereas AD, a 427 trait found to be highly flexible and lowly repeatable (Hammer et al. 2022), was. 428

429 We found that the decision to initiate flight in this species was significantly reduced when the focal bird was engaged in social aggression with neighboring conspecifics, a result which 430 supports the "distracted prey" hypothesis. In this species, territorial defense of incubation and 431 brooding territories is high (Côté 2000), and the "distracted prey" hypothesis suggests that time 432 spent processing social cues and engaging in social interactions with conspecifics may 433 undermine the ability to perform anti-predator behaviors such as vigilance and flight (Chan et al. 434 2010). It appears that for king penguins, social aggression may be a strong distraction from the 435 436 ability to decide when to initiate flight. Although previous studies have not considered how social aggression may affect individual responses to approaching predators, allogrooming 437 impala, Aepyceros melampus, had reduced vigilance rates and responded on average 4 seconds 438 439 later to a simulated predator than non-allogrooming individuals (Mooring and Hart 1995).

Anoles, *Anolis sagrei*, when presented with a conspecific tolerated closer approaches of a model predator before initiating flight (Yee et al. 2013). Of particular interest is that territorial defense, such is seen in king penguins, is particularly distracting for focal individuals (as discussed above). For example, in a South American cichlid fish, *Nannacara anomala*, individuals engaged in territorial mouth wrestling showed reduced vigilance, and chose low intensity behaviors such as display and tail beating when in the presence of a model predator (Brick 1998).

Many studies have focused on prey behavioral responses to predators in the context of 446 foraging (Fernández et al. 2003; Randler 2005; Fairbanks and Dobson 2007; Rieucau and Martin 447 448 2008; Taraborelli 2008; Schmitt et al. 2014), urbanization (Chapman et al. 2012; Møller et al. 2015; Hall et al. 2020; Morelli et al. 2019), distance to refuges (Dill & Houtman 1989; 449 Bonenfant & Kramer 1996; Engelhardt et al. 2011; Morelli et al. 2022), or in relation to sexual 450 451 dimorphism (Møller et al. 2016, 2019; Kalb et al. 2019; Hensley et al. 2015; Samia et al. 2015). In contrast, ours focused on the existence of a trade-off between vigilance and flight behavior 452 depending on the individual perceptions of the social and predatory environments in the context 453 of colonial breeding (Williams 1966). Colonial breeding in seabirds has mostly been suggested 454 455 to emerge as a consequence of the utilization of patchy and unpredictable marine food resources 456 and limited favorable breeding grounds (Clode 1993; Boulinier et al. 1996; Danchin and Wagner 1997; Boulinier et al. 2008). The role predation plays in its evolution, however, remains unclear 457 (Rolland et al. 1998). Several studies have reported antipredator benefits to colonial breeding, by 458 459 diminishing individual predation risk through dilution, predator-mobbing, or selfish herd effects 460 (Brown and Brown 1987; Picman et al. 2002; Hoogland and Sherman 2012). Our results are consistent with apparent anti-predator benefits of colonial breeding which comes in the form of 461

shared vigilance and predator detectability, but that are traded-off with costs and loss of predatordetectability due to social distractions.

464 Although our present study provided little support for selfish herd effects in king 465 penguins, observations in the field show that birds subject to an experimental reduction in local breeding density (by means of exclosure pens) cluster closer together rather than use the 466 467 available freed-up space (Viblanc 2011), consistent with the selfish herd notion of minimizing 468 individual predation risk. Clustering close to conspecifics combined with the high territorial and aggressive behavior of king penguins (Côté 2000) results in producing a tightly woven network 469 470 or aggressive birds, making it hard for predators to land in the middle of the colony (TLH, PB, BG, JPR, RG, VAV; personal observations). Of interest is the idea that breeding aggregations in 471 penguins result from a combination of self-organized dynamics related to predation risk (selfish 472 herd effects) and external forcing factors such as topographically limited possibilities of spatial 473 474 re-arrangement, for instance due to the retainment of nesting sites year after year (e.g., in Adélie penguins, Pygoscelis adeliae, McDowall and Lynch 2019). This may result in trapping 475 individuals into suboptimal spatial arrangements, with strong edge effects (McDowall and Lynch 476 477 2019; Schmidt et al. 2021). King penguins incubate their single egg on their feet, hence, virtually 478 nothing is known on how closely individuals retain breeding sites year after year, nor whether 479 variation in individual's ability to maintain a breeding site may lead to a situation of entrapment into suboptimal conditions. Future studies should aim at understanding how the "dilution effect", 480 "many-eyes", "selfish herd", and "distracted prey" hypotheses are shaped not only by social and 481 predatory environments, but in interaction with local topography and individual quality. In 482 addition, nothing is known on how such interactions might be shaped by residual reproductive 483 484 value. For instance, studies in seabirds have found that older parents for which residual

reproductive value is low are less responsive to stressors (Heidinger et al. 2006, 2010, but see
Elliott et al. 2014). It would thus be of interest to understand how age and residual reproductive
values factor into affecting physiological stress responses in addition to behavior in shaping
antipredator decisions.

While overall colony density increases as the breeding season progresses, reproductive 489 490 investment of the breeding individuals also changes with advancing breeding season. Two 491 factors might affect the responses of incubating birds sampled early or late in the breeding 492 season. First, birds that entered reproduction early in the season come nearer to hatching as the 493 breeding season progresses, and hence reproductive investment should increase. It is likely that, as reproductive investment increases, protecting the investment (i.e., deciding to not flee, or to 494 flee later from an approaching threat) may weigh more heavily on anti-predator behavior (Clark 495 496 and Ydenberg 1990). Second, birds sampled later in the season may be late breeding birds just starting their incubation. Late breeders in king penguins rarely, if ever, succeed, as chicks are 497 most likely to die before or over winter (Stonehouse 1960; Weimerskirch et al. 1992; Stier et al. 498 2014). In turn, the lower value of reproduction later in the season may render individuals less 499 500 willing to defend their brood and more likely to flee. Unfortunately, not knowing the incubation 501 stage of birds in our study, we were unable to differentiate responses under those different scenarios. Thus, future studies are needed to specifically test if anti-predator responses are linked 502 503 to reproductive value and breeding advancement in the king penguin as found in other species 504 (Albrecht & Klvana 2004; Osiejuk & Kuczynski 2007; see also Dowling & Bonier 2018). While we did not see a change in FID or a change in the probability of initiating flight as the breeding 505 506 season progressed, there remains a link between reproductive success, brood investment, and risk 507 assessment in later breeding birds that needs to be explored in more depth. For instance, focusing

on physiological stress responses (e.g., heart rate known to vary with advancing breeding season;
Viblanc et al. 2015) in addition to behavioral responses to approaching predators may yield some
valuable information (Viblanc et al. 2015).

511 To conclude, our study found that the "many-eyes" hypothesis was supported at maximum colony density, while the "distracted prey" hypothesis was supported at lower colony 512 513 densities in incubating king penguins. In addition, focal birds that were engaged in social aggression with neighboring conspecifics were less likely to initiate flight. We found little 514 support for the "selfish herd" hypothesis based on bird centrality in the colony, and no evidence 515 516 that the dilution effect was strongly influential. These results show that prey behavioral decisions towards approaching threats are complex, and likely explained by a mix of mutually non-517 exclusive hypotheses. Future studies on prey reactions to predators should consider testing for 518 519 joint effects of environmental and social factors in understanding risk-benefit assessments in wild animals. 520

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834 FIGURE CAPTIONS

Figure 1. The linear relationship of colony density and relative local neighbour density in incubating king penguins (*Aptenodytes patagonicus*). Significant differences are distinguished using the letters a, b, c, d. Dots represent the relative local density values; the horizontal bar represents the median, shading represents the interquartile range, and the vertical line represents the 95% confidence interval.

840

Figure 2. Variables affecting Alert Distance (AD) in incubating king penguins (*Aptenodytes patagonicus*). A) Standardized linear mixed model estimates (z-scores) and 95% confidence intervals for the effects of the number of aggressions with conspecifics, relative local neighbour density, colony density (low, medium, high, and maximal), and the interaction between relative local neighbour density and colony density (n = 194 observations).

846

Figure 3. The significant effect of the interaction between relative local neighbour density (number of birds/m²) and colony density (low, medium, high, and max) on Alert Distance (AD) is depicted in incubating king penguins (*Aptenodytes patagonicus*). Dots represent the raw AD values (n = 194 observations); shading represents the 95% confidence interval predicted from the model while holding other variables in the model constant.

852

Figure 4. Variables affected the probability of initiating flight in incubating king penguins
(*Aptenodytes patagonicus*). A) A generalized linear mixed model depicting the decision to flee

(binomial; 0 = no flight initiated, 1 = flight initiated). Odds ratios and 95% confidence intervals for the number of aggressions with conspecifics during the approach, relative local neighbour density, and colony density (low, medium, high, and maximum) (n = 193 observations). B) The significant predicted effect between the probability to initiate flight (binomial; 0 = no flight initiated, 1 = flight initiated), and the number of aggressions during the approach against neighbouring individuals by the focal individual. Shading represents the 95% confidence interval predicted from the model while holding other variables in the model constant.

862

Figure 5. Variables affecting Flight Initiation Distance (FID) in incubating king penguins (*Aptenodytes patagonicus*). A) Standardized linear mixed model estimates (z-scores) and 95% confidence intervals for the effects of the number of aggressions against conspecifics, relative local neighbour density, and colony density (low, medium, high, and maximum) (n = 93 observations). B) The significant predicted effect of approach speed on log FID is depicted. Dots represent log FID values; shading represents the 95% confidence interval predicted from the model while holding other variables in the model constant.

870

872 **TABLES**

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	Hypothesis	Predictions	References
1	Many eyes hypothesis	Alert distance should occur earlier in larger or denser groupings, as more vigilant individuals are present to raise an alarm	Pulliam 1973; Lima and Dill 1990; Lima 1995
2	Dilution hypothesis	Flight initiation distance should be delayed at high social density, as individual predation risk is reduced	Pulliam 1973; Alexander 1974; Ydenberg and Dill 1986; Lima and Dill 1990
3	Selfish herd hypothesis	Alert and flight initiation distances should be higher when individuals are in the periphery of a group, as predation risk is higher than in the center	Hamilton 1971
4	Distracted prey hypothesis	Alert and/or flight initiation distances should be delayed in denser groups, as increased stimuli (e.g. noise) may hijack finite attention resources and hinder predator detection. The distracted prey hypothesis was originally proposed for distractions of anthropogenic origin, but can be extended to any kind of distraction.	Chan et al. 2010; Petrelli et al. 2017

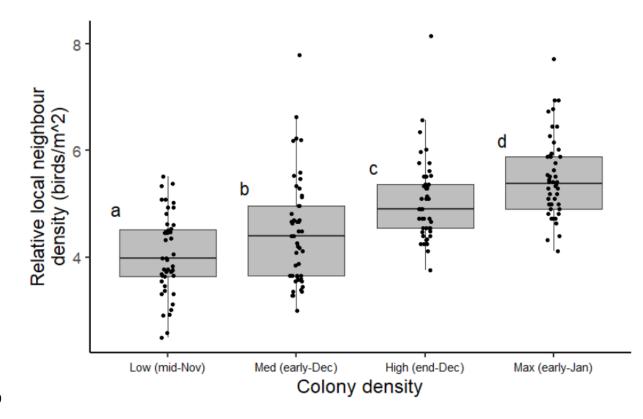
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Table 1. Description of the four main hypotheses proposed to explain the effects of group size,

social density and social interactions on antipredator behavior measured by alert and flight

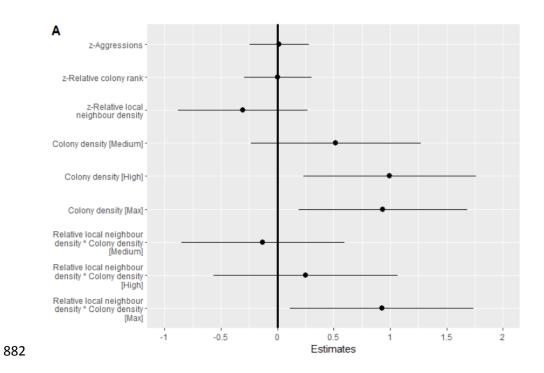
877 initiation distances. Note that these hypotheses are not mutually-exclusive.

878 FIGURES

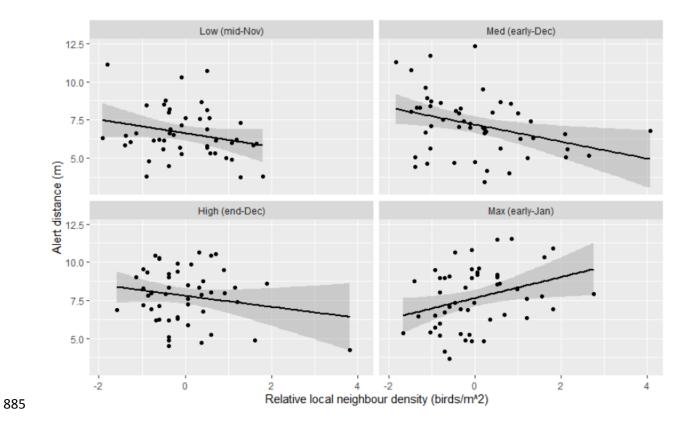


879

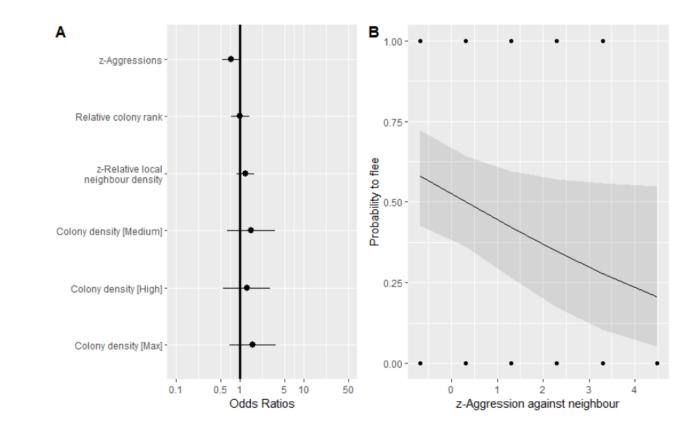
880 Fig 1.



883 Fig 2.







889 Fig 4.

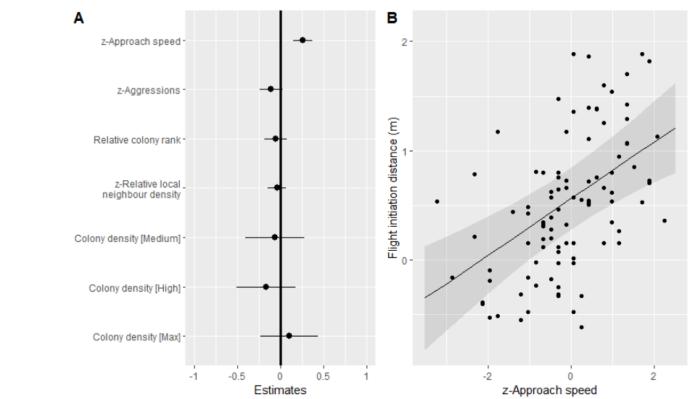


Fig 5.