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Modelling deep rooted thrust mechanism of crustal thickening

2	in Eastern Tibet
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10	Key Points:
11	• 2-D numerical models of thrusts embedded in the high viscosity upper crust, to test
12	thermo-kinematic models based on thermochronology data.
13	• accommodation in the lower crust by ductile flow of the deformation induced by the
14	high angle thrust in the upper crust.
15	• predicting exhumation rates and subsidence patterns that are compatible with the
16	measured ones in Eastern Tibet.
17 18	Abstract
19	To test Eastern Tibet crustal thickening modes, we compare 2-D numerical models
20	of two emblematic end-member models, with either an obstacle in the low viscosity lower
21	crust or a thrust embedded in the high viscosity one. We show that the obstacle halts the
22	viscous lower crustal flow potentially initiated by the weight of the high Central Tibet,
23	generating a smooth exhumation gradient at the edge of the plateau, not observed in Eastern
24	Tibet. On the contrary, including a low viscosity discontinuity in the upper crust, mimicking
25	a shallow steep listric fault as inferred in the region, reproduces a sharper exhumation

profile, as constrained from thermo-kinematic inversions of thermochronological data, and the lack of foreland basin, as observed in the field. Moreover, such fault drives deformation throughout the entire crust, suggesting a deep crustal ductile shear zone limiting the more ductile deformation in the lower crust although no discontinuity is imposed.

Plain Language Summary

The role of thrusting in crustal thickening during the formation of Tibet, the world's largest and highest orogenic plateau, constitutes one of the main controversies in earth sciences. In Eastern Tibet in particular, two end-members based on two contrasting controversial hypotheses can be tested: the thickening is dominated either by the flow of the lower Tibetan crust halted by the hard Sichuan craton, or by thrusting of the Tibetan upper crust. Here, we present 2-D crustal numerical models of a shallow steep listric thrust (as inferred in the region) embedded in the high viscosity upper crust, and we show that such model reproduces the exhumation profile constrained from thermochronological data and the lack of foreland basin observed in the field. Interestingly, we also show that such upper crustal thrust drives upward the more ductile lower crust albeit no discontinuity is imposed. On the contrary, by using a model driven by an overpressure in the lower crust, we show that the obstacle halts the viscous lower crustal flow and generates a smooth exhumation gradient at the edge of the plateau, not observed in Eastern Tibet.

1. Introduction

Despite decades of controversy, our understanding of the formation of the Tibetan
Plateau remains limited. The role of competing mechanisms, such as distributed crustal
thickening versus lateral propagation of thrust faulting at crustal or lithospheric scales, is
still poorly understood. Conceptual models explaining observations at the continental
scale are based on hypotheses that are hard to reconcile. On the one hand, buoyancy
forces associated with very thick crust and a low-viscosity channel in the Tibetan crust
may drive distributed outward flow of the partially molten middle crust towards the east
caused by the weight of the high Central Tibet, with entrenchment of the rivers within
relict peneplains contemporaneous of the uplift ("channel flow model", Royden et al.,
2001; Clark et al., 2005a). On the other hand, surface geological observations of fault
systems favor the role of thrust faulting in the upper crustal thickening, while the lower
crust subducts attached to the lithospheric mantle, with discrete outward propagation of
thrusts since the onset of collision at ~50 Ma, through strike-slip faults, such as the
XianShuiHe fault to the east ("stepwise model", Tapponnier et al., 2001; Jiao et al.,
2023). However, no numerical model considering the role of thrust faulting in the
interaction between the lower and upper crusts has yet been implemented in Eastern Tibet
in the stepwise model to be compared with the analytical dynamic pressure generated by
the lower crustal flow used as the driving load for the flexure equation to compute a
vertical deflection done for the channel flow model. Therefore, it remains difficult to test
the mechanical and rheological consistency, and the ability to explain observations, of
these end-member conceptual models.
In order to generate new insights in deformation modes in Tibet, we model the
mechanical behavior of the crust under boundary conditions corresponding to both end-
member models. We focus on the very contrasted Tibet eastern edge, with to the

72	northeast the Longmenshan thrust belt (LMTB) bounding the rigid Sichuan craton with a
73	steep topographic gradient, and to the southeast the Yalong thrust belt (YTB) crossing the
74	Yalong margin bounding the South China craton with a gentler topographic gradient.
75	This key location has long been studied to propose different models of Tibetan Plateau
76	formation (Fig. 1). In this region, a collection of multi-scale data is available that we use
77	to constrain the models, including a dense thermochronologic dataset thanks to numerous
78	granite outcrops in Eastern Tibet compared to mostly sedimentary rocks in Central Tibet
79	(e.g., Clark et al., 2005; Pitard et al., 2021). At depth, receiver function profiles (Robert et
80	al. 2010) and regional shear waves tomography images (Yao et al., 2008) show a sharp
81	~20 km step of the Moho depth between the plateau (~60-63 km) and the Sichuan craton
82	(~40 km) across the LMTB, and a ~15 km smoother transition in crustal thickness across
83	the YTB between the plateau (~60-63 km) and the South China craton (45-50 km) (Fig.
84	1). In the channel flow model, mid-crustal flow is halted in the Longmenshan by the rigid
85	Sichuan craton, inducing topographic growth at its border as in the high-standing LMTB
86	(Fig. 1C). Across the Yunnan margin, flow is continuous in the absence of obstacle in the
87	lower crust, generating a smooth topographic gradient with no spatial discontinuity and
88	no thickening of the upper crust (Clark et al., 2005a). By contrast, in the stepwise model
89	of Tapponnier et al. (2001), discrete thrust faults of the YTB merge on an intracrustal
90	decollement, allowing the thickening of the upper crust and forming topographic steps
91	(Fig. 1B).
92	In this paper, we present 2-D numerical models of thrust embedded in the high viscosity
93	upper crust (model 1 under "lateral constant velocity" representing the eastward motion
94	of Tibet shown by the GPS velocity field, reproducing the "stepwise model" boundary
95	conditions), in which upper crustal thrust geometry is based upon thermo-kinematic
96	modelling of thermochronology data. We compare with models with no fault but

showcasing an obstacle in the lower crust (model 2 under "Poiseuille velocity" representing the velocity field generating by the weight of the high Central Tibet in a lower crustal channel, reproducing the "channel flow model" boundary conditions), which setup is based upon analytical dynamic pressure result. We test the short-term mechanical consistency of the fault geometry for varying fault and lower crust viscosity to shed light on end-member mechanisms of Tibetan plateau formation. Such modelling allows to include the localisation of deformation on faults in the long-term history of building the Tibetan Plateau, to quantify the mechanisms of crustal thickening up to the modern value of ~65 km (Fig. 1).

2. Geological and geophysical constraints on the crustal structure of Tibet eastern edge

The LMTB at the western margin of the Sichuan basin (Fig. 1) is active, as attested by major earthquakes, such as the 2008 Mw7.9 Wenchuan earthquake. During the earthquake, thrusts ruptured with steep shallow ramps (up to 70°), rooting between 15 and 25 km-depth on a sub-horizontal décollement zone at the brittle-ductile transition (e.g., Hubbard and Shaw, 2009; deMichele et al., 2010; Fielding et al., 2013). On the longer term, high exhumation rate above the ramp and low above the décollement have been measured using thermochronology (e.g., Li et al., 2023). It has been proposed that brittle deformation occurs in the thick upper crust above the décollement, a Cretaceous passive margin with a thick sedimentary wedge, while the thin lower crust remain mostly passive (e.g. Airaghi et al., 2018). It has also been proposed that the brittle deformation observed in the upper crust has been induced by the lower crust flow, above the location where the flow is deviated upward, with no foreland flexural basin in the footwall (e.g. Burchfiel et al., 2008). Last, considering that the LMTB coincides with the limit of a low shear velocity layer in the middle and lower crusts which

limits the southeastward extent of the thickened Tibetan crust, it has been proposed that thrusts should extend in the lower crust (Robert et al., 2010) (Fig. 1C).

Across the Yalong margin, the YTB is present-day inactive, with no reverse focal mechanism observed. On the longer term, the Muli thrust of the YTB shows a thermochronology age zonation parallel to the fault, with Miocene ages (<10 Ma) close to the thrust, rapidly becoming older away from it (Clark et al., 2005b; Pitard et al., 2021). Such spatial distribution of the ages is best reproduced by a listric thrust geometry, at a velocity rate of 0.26 km/Ma until 11.5 Ma then at a faster rate of 0.52 km/Ma, yielding since 50 Ma to a total of ~15 km of exhumation along the uppermost crustal high-angle ramp and ~8 km above the sub-horizontal deep décollement (Pitard et al., 2021) (Fig. 1E). The depth of the intra-crustal décollement is not well constrained at depth greater than 20 km, as the thermochronometers are only sensible to upper crustal thermal evolution. On the contrary, the age zonation could not be reproduced only by the entrenchment of the Yalong River as proposed in the channel flow model (Clark et al., 2005), with too old ZHe and AFT ages predicted (Fig. 1E).

3. Method: 2-D mechanical models of a viscous crust

A 2-D code has been developed in which the Stokes equations are solved for an incompressible Newtonian fluid on a Lagrangian grid (Pitard et al., 2023). We define a rectangular box of 200 km × 50 km with four different zones for which we can assign different values of viscosities and densities (Fig. 2). Two horizontal layers correspond to the upper and lower crust. Viscosity is constant within the lower crust in model 1, while in model 2, the lower crust is separated in two blocks, with the high viscosity one on the right mimicking a rigid obstacle. Viscosity within the upper crust is computed with a plastic yield stress increasing with depth to simulate a more realistic crustal rheological profile

corresponding to a traditional model of a quartzo-feldspathic crust (Burov and Watt, 2006; Chen and Molnar, 1983). It defines a high strength upper crust and a ductile lower crust with analogy to the jam layer of a jelly sandwich (Burgmann and Dresen, 2008). In model 1, a fault is mimicked in the upper crust by a thinly meshed constant-width zone of lower viscosity (Fig. 2). Such low viscosity in the fault, three order of magnitude lower than for the upper crust, simulates a weak fault with low shear stresses, often reproduced in model by strain-softening (e.g., Huismans and Beaumont, 2003; Buiter et al., 2016).

The triangle mesh is deforming at each time step. The time stepping is adaptive, following the CFL condition (van Zelst et al., 2022). The boundary conditions of the model are as follows: convergence is imposed on the left side of the box, with a constant horizontal velocity (model 1, "stepwise model" boundary conditions) or a Poiseuille horizontal velocity in the lower crust and zero velocity in the upper crust (model 2, "lower channel flow model" boundary conditions). The right side is a closed boundary. Vertical velocity is allowed on both sides with a zero shear stress boundary condition. The top boundary of the box is a free surface, allowing the growth of topography, while the bottom boundary condition is no slip with no vertical velocity.

4. Results for endmember models: "lateral constant velocity" versus "Poiseuille velocity"

In the model 1 (stepwise model), to mechanically test the accommodation at depth by ductile flow of the shallow kinematic velocity field induced by the high angle of the Muli thrust, constant horizontal velocity condition of 0.05 cm/yr are imposed on the western side of the model, representing the eastward motion of Tibet shown by the GPS velocity field (e.g. Wang et al., 2001). To mimick a shallow high angle listric fault (70° dip), a discontinuity with a lower viscosity of 10^{20} Pa.s is introduced in the upper crust with a

listric shape and a steep shallow dip. Under this constant lateral kinematical boundary
condition, the upper crust moves horizontally close to the moving boundary, and
progressively moves upward toward the fault, reaching a maximum vertical velocity of
~0.06 cm/yr, i.e., ~0.6 km/Ma (Fig. 3B). It corresponds to an increase of the uplift of the
surface toward the fault, less than 10 meters 100 km away from the fault and more than 60
meters near the fault after 110 000 years (Fig. 3A). Interestingly, the lower crust moves
horizontally close to the moving boundary, but also progressively moves upward toward the
fault, even if the fault does not extend at depth. The velocity magnitude is higher in the
lower crust than in the upper one until the flow reaches the position of the fault, with a rapid
decrease of the horizontal velocity. A complete parametric study has been carried out (see
supplementary material), to systematically test the influence of each parameter (viscosities
within the fault and the lower crust, lower crustal thickness, fault width and geometry,
lateral velocity, background strain rate, cohesive strength, friction angle in the upper crust),
before choosing the parameter values of model 1, so that the velocity gradient toward the
fault is similar to the exhumation gradient obtained by thermo-kinematic modelling based
on thermochronology data (Fig. 1E). By changing the geometry of the fault, we show that
with a straight fault the surface velocity is much more symmetric, showing a strong
subsidence in the footwall (Fig. 3A, S5). It is worth noting that adding a rigid lower crust
obstacle with a listric upper crustal fault does not change the velocity profile (Fig. S9).
The fault is absent in model 2 (lower channel flow model) but a rigid block is present in the
lower crust, acting as a barrier to the flow, with a Poiseuille velocity boundary condition in
the lower crust (Fig. 3D). The maximum horizontal velocity imposed on the left boundary is
8 cm/yr (Clark and Royden, 2000), the optimum published value to reproduce the
topography of the LMTB (Fig. 1C). It has been obtained with analytical model of dynamic
overpressure within a lower crustal channel of 15 km width. Under these Poiseuille velocity

boundary conditions, but considering the upper and lower crust as incompressible Newtonian fluid with different values of viscosities and densities (Fig. 2), the horizontal lower crustal motion is transmitted to vertical motion in the upper crust (Fig. 3D). The maximum vertical velocity is of 0.94 cm/yr on the left boundary of the box, leading after ~100 000 years to ~1 km of positive deflection, decreasing to ~300m above the obstacle edge, and no deflection above the rigid obstacle (Fig. 3D). A complete parametric study has also been carried out (see supplementary material). In particular, we show that without the obstacle in the lower crust, the velocity profile is much smoother, almost horizontal (Fig. 3C). Interestingly, with a fault in the upper crust, only a small local perturbation is observed above the fault (Fig. 3C), corresponding to a minor flow in the upper crust driven by the fault (S15).

5. Discussion: comparison of model predictions with morphotectonic observations

We compare the modelled deflection profiles with the exhumation profile constrained by
thermochronologic ages for the Muli thrust of the YTP crossing the Yalong margin (Fig.

1E). Such exhumation profile is more pertinent for a quantitative comparison with the
modelled deflection profile, as erosion that shaped the topography during and after the
tectonic uplift is not included in our models. Previously, only the topographic profiles have
been used, showing smoother topographic steps across the YTB than across the LMTB (fig.

1).

5.1. Lower crust channel flow model

In the channel flow model, no obstacle has been inferred for the Yalong margin and a smooth topographic step has been calculated (Clark et al., 2005a). Our numerical results showcase a very different deflection profile which is almost flat (Fig. 3C). Such profile is not comparable with the sharp exhumation profile of the Muli thrust, with a sharp positive

222 deflection above the thrust (Fig. 1). Furthermore, in the Yalong margin region, the channel 223 flow scenario has been related to a regional uplift of low-relief surfaces where exhumation 224 is mainly driven by deeply entrenched river incision. By testing such scenario on the 225 complete thermochronologic dataset available for the Muli thrust (Pitard et al., 2021), we 226 show that incision alone is not reproducing the thermochronologic ages (Fig. 1E). 227 Nevertheless, assuming that the South China craton is comparable with the Sichuan craton, as seen in tomography models (Yao et al., 2008), with a positive velocity anomaly in 228 229 the foreland of the Yalong margin (Fig. 4), we also compare the Yalong margin with the 230 model including an obstacle in the lower crust. In the channel flow model, such obstacle is 231 mimicking the Sichuan craton stopping the eastward weak lower crust flow below the 232 LongmenShan range (Clark and Royden, 2000), the dynamic pressure at the edge of the 233 obstacle generating an overpressure localizing the thrusts in the upper crust (Burchfiel et al., 234 2008). Using the published strikingly high velocity calculated for the flow to fit the 235 topographic step observed in the LongmenShan range above the LMTB (8 cm/yr), a value 236 that is unrealistic in nature, a symmetric negative vertical flexural deflection has been 237 obtained on the other side of the obstacle (Clark and Royden, 2000). First, such negative 238 deflection in the footwall of the LMTB is not observed in the field, and such a lack of 239 foreland flexural basin has been pointed out as a peculiar characteristic for such high 240 mountain range (Burchfiel et al., 2008), so that regional topography deduced from flexure 241 equation (Clark and Royden, 2000) is not pertinent. Second, by testing such boundary 242 conditions in our model 2, we show that the rapid flow in the lower crust leads to the 243 thickening of the crust with unrealistic rapid growth of the topography (1 km in 110.000 244 years, Fig. 3D), but also with a very different deflection profile, smooth with a gradual 245 decrease of the velocity being almost null at the edge of the obstacle (Fig. 3C). Such smooth 246 deflection profile is not comparable with the sharp exhumation profile of the YTB (Fig. 1E)

at the edge of the South China craton (Fig. 4), similar to the one of the LMTB at the edge of the Sichuan craton (e.g., Li et al., 2023). Indeed, by adding a thrust in the upper crust at the edge of the obstacle (Figure S15), as the lower crust flow is not reaching the edge of the obstacle, such thrust is not playing a significant role in limiting the deformation, unlike what it is observed in SE Tibet (Figure 1).

5.2. Low viscosity thrust embedded in the upper crust

With a straight fault geometry, the deflection profile is symmetric, with a negative deflection in the footwall of the thrust (Fig. 3A), which does not agree with the lack of foreland flexural basin observed in the footwall of both the YTB and LMTB (Burchfiel et al., 2008). On the contrary, the deflection profile obtained in our model 1 with a listric fault (Fig. 3B), is comparable to the exhumation profile measured for the Yalong margin, with a sharp positive deflection above the Muli thrust and no symmetric negative deflection above the foreland (Fig. 1C). For the hanging wall, the thermo-kinematic inversion of the thermochronological data obtains an exhumation rate between 0.26 and 0.52 km/Ma (Pitard et al., 2021), of the same order of magnitude than the rate at 0.6 km/Ma obtained in our model (Fig. 3A). The maximum total exhumation is of ~15 km close to the Muli fault trace (Fig. 1E), corresponding in nature, where erosion at the edge of the plateau is active, to a smooth topographic step of ~2 km (Fig. 1B). Such quantitative and qualitative difference makes the comparison of the model deflection with only the topographic profile not pertinent.

However, the velocity field near the Muli thrust is constrained only in the first 20 km of the crust by thermochronological data (Pitard et al., 2021). Our 2-D numerical modelling overcome such limitations raised by thermo-kinematic modelling, in particular for the implied viscous flow in the lower crust. Indeed, our model 1 shows that the listric thrust in

the upper crust influences deformation throughout the entire crust, limiting the propagation of the flow in the lower crust below the fault, albeit no discontinuity is imposed (Fig. 3B). The regional tomographic images show that the crustal thickening stops at the Muli thrust (Yao et al., 2008). It suggests that the limit of the more ductile deformation in the lower crust is driven by the upper crustal Muli thrust, extending at depth by a ductile shear zone that could reach the base of the crust (Robert et al., 2010), acting as a ramp to exhume crustal material to the surface (Fig. 4). On the contrary, in North Tibet, the lower crust appears rigid and subducts attached to the lithospheric mantle, while thrusts are branching at depth on an intracrustal decollement, thickening only the upper crust (e.g., Meyer et al., 1998; Lin et al., 2022).

Adding a rigid obstacle in the lower crust mimicking the South China craton is not modifying the deflection profile (Fig. 3A), but in our setup we impose the position of the upper crust thrust (Fig. 2), while in nature the thrust belts are located at the edge of the cratons (Fig. 4). Indeed, the LMTB is located at the edge of the Sichuan craton, extending at depth and marking a strong crustal contrast (Robert et al., 2010) (Fig. 1C). The YTB is also at the edge of the South China craton, and we conclude to a structure at depth similar to the one of the LMTB, with the Muli thrust being the dominant structure (Fig. 4). Nevertheless, with respect to the LMTB, the YTB is spreading more over the craton, making a smoother topographic transition between the high plateau and the foreland (Fig. 1B). Such spreading is most probably due to the propagation of crustal thickening along the strike-slip fault of XianShuiHe, as shown in the north of the plateau along the Altyn Tagh fault (Tapponnier et al., 2001; Jiao et al., 2023).

6. Conclusion: implication for crustal thickening processes of Eastern	Tibet	Eastern	processes of I	thickening	or crustal	ication for	imp	clusion:	Cond	6.
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We conclude that structural, topographic, tomography, thermochronology, and thermo-kinematic modelling data from the Yalong thrust belt region contradict the hypothesis of a fast flow in a lower crust channel, but favor the model where shallow high angle listric thrusts (70° dip) act as a ramp to exhume crustal material to the surface. Our modelling yields the thickening of the entire crust, with a thick, warmer and therefore more ductile lower crust (Fig. 4) as seen on tomographic images. In this region (LongmenShan and Yalong margins), the former passive margin structure of the SongPan Ganze block with a thick sedimentary wedge and a thinned lower crust (e.g., Roger et al., 2010; Airaghi et al., 2018), bounding the rigid Sichuan and South China craton (Yao et al., 2008), probably leads to this peculiar deformation regime.

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- 311 "Tibetan Orchestra").

Open Research

- 313 The code used for this paper can be found at https://doi.org/10.5281/zenodo.7558920 (Pitard
- 314 et al., 2023).

Supplemental Material

(viscosities within the fault and the lower crust, lower crustal thickness, fault width and geometry, lateral velocity), and parametric study for optimization of physical parameters of

8 models with varying basic parameters from the two models presented in the paper

319 models 1&2 are joined to this paper as Supporting Information.

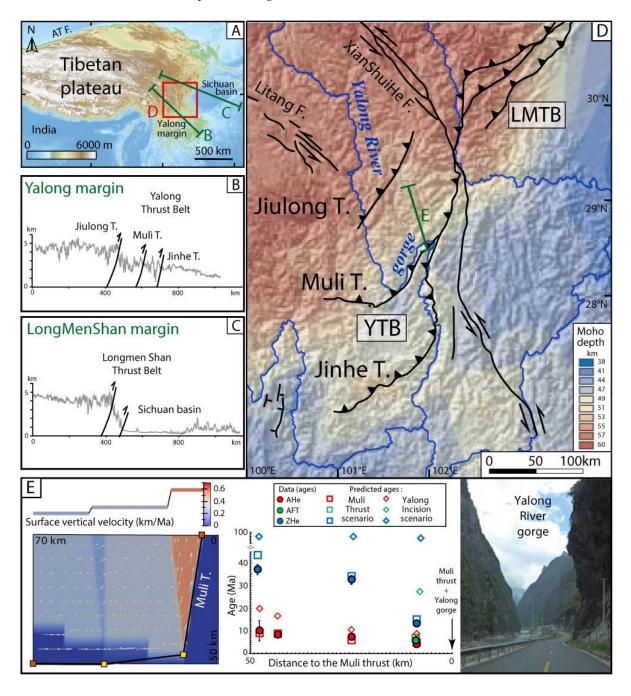


Figure 1. A/ Location of the Yalong margin, South-East Tibet. AT F.: Altyn Tagh fault. B/ & C/ Topographic profiles across the Yalong and the LongMenShan margins (see A/ for location). D/ Moho depth map (Yao et al., 2008), with main faults, LMTB: Longmenshan thrust belt, YTB: Yalong thrust belt including the Jiulong, Muli and Jinhe thrusts (T.), XianShuiHe and Litang strike-slip faults (F.). E/ 2-D crustal velocity field obtained by inversion of thermochronology ages with corresponding measured and predicted ages (AHe and ZHe: Apatite or Zircon (U-Th)/He, AFT: Apatite fission track) for the tectonics

scenario of exhumation above the Muli thrust (square)(Pitard et al., 2021), to be compared with an incision scenario (diamond) of exhumation due to the incision of the Yalong River, illustrated by a picture of the deep gorge where the river crosses the thrust.

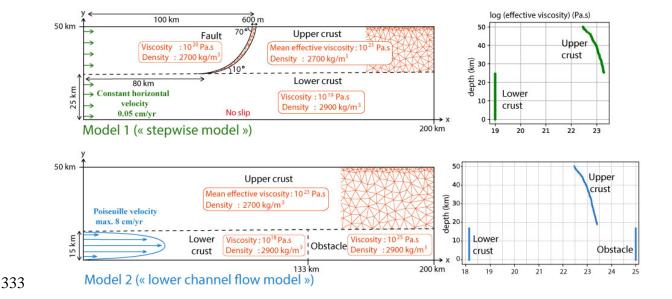


Figure 2. 2D crustal mechanical models setup with effective viscosity of a pseudo-plastic behavior for the upper crust (mean value 10^{23} Pa.s), constant viscosity for the lower crust (10^{19} Pa.s in model 1, 10^{18} Pa.s in model 2), the fault in model 1 (10^{20} Pa.s) and the obstacle in model 2 (10^{25} Pa.s). Deformation under a constant horizontal velocity in model 1, or under a Poiseuille flow in model 2, no-slip basal condition.

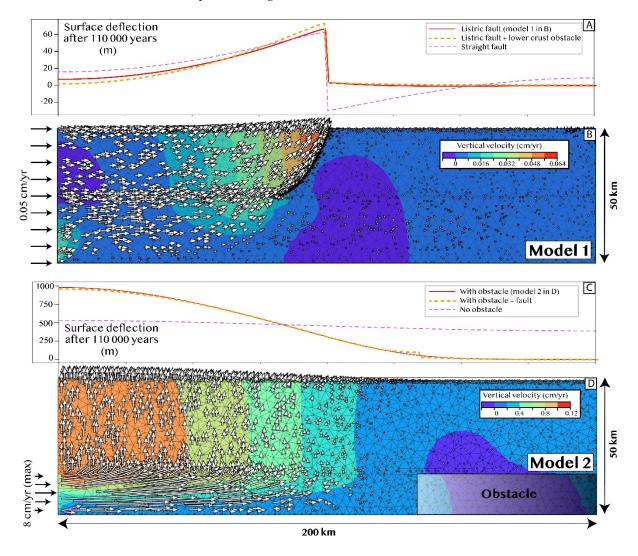


Figure 3. A/ Surface deflection and B/ velocity field of model 1, deforming under constant horizontal velocity boundary condition, and a fault embedded in the upper crust. C/ Surface deflection and D/ Velocity field of model 2, deforming under Poiseuille flow condition in the lower crust.

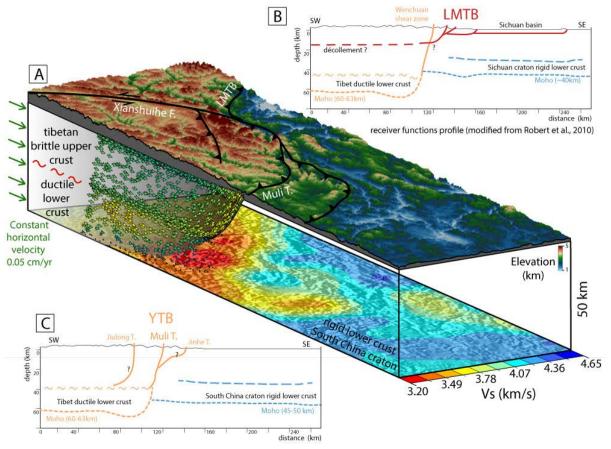


Figure 4. A/3D block diagram of the Yalong margin with surface topography (2X

2008), and the 2D crustal velocity field of our model 1, with constant lateral push, where

vertical exaggeration, Pitard et al., 2021), tomography section at 50 km-depth (Yao et al.,

shallow the high angle listric Muli thrust acts as a ramp to exhume crustal material. B/2D

vertical section across the LongMenShan Thrust Belt (LMTB) drawn from the receiver

function profile of Robert et al. (2010). C/2D vertical section across the Yalong margin,

modified from B, showing a similar crustal geometry inferred for the Yalong Thrust Belt

(YTB).

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