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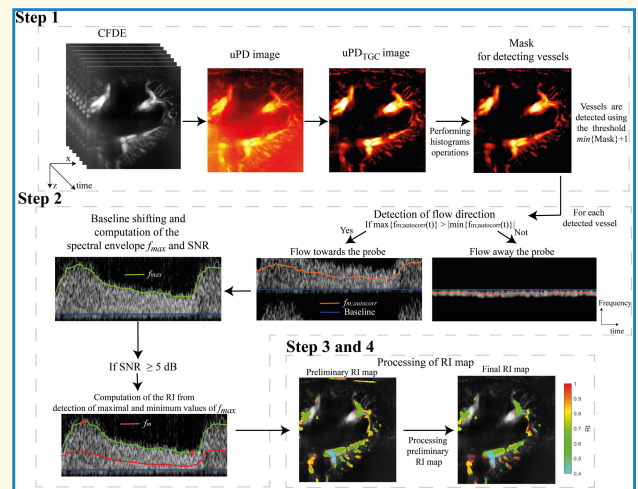
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# Resistivity Index Mapping in Kidney Based on Ultrasensitive Pulsed-Wave Doppler and Automatic Spectrogram Envelope Detection

Lenin Chinchilla<sup>1</sup>, Thomas Frappart, Christophe Fraschini, Jean-Michel Correas, and Jean-Luc Gennisson, *Member, IEEE*

**Abstract**—In recent years, ultrasensitive pulsed-wave Doppler (uPWD) ultrasound (US) has emerged as an alternative imaging approach for microcirculation imaging and as a complementary tool to other imaging modalities, such as positron emission tomography (PET). uPWD is based on the acquisition of a large set of highly spatiotemporally coherent frames, which allows high-quality images of a wide field of view to be obtained. In addition, these acquired frames allow calculation of the resistivity index (RI) of the pulsatile flow detected over the entire field of view, which is of great interest to clinicians, for example, in monitoring the transplanted kidney course. This work aims to develop and evaluate a method to automatically obtain an RI map of the kidney based on the uPWD approach. The effect of time gain compensation (TGC) on the visualization of vascularization and aliasing on the blood flow frequency response was also assessed. A pilot study conducted in patients referred for renal transplant Doppler examination showed that the proposed method provided relative errors of about 15% for RI measurements with respect to conventional pulsed-wave (PW) Doppler.

**Index Terms**—Microcirculation imaging, renal transplantation, resistivity index (RI), ultrasensitive pulse Doppler.



## I. INTRODUCTION

IN RECENT years, ultrasensitive pulsed-wave Doppler (uPWD) ultrasound (US) has emerged as an alternative imaging approach for microcirculation imaging [1], [2],

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[3], [4] and as a complementary tool to other imaging modalities, such as positron emission tomography (PET) [5], [6]. This method is based on ultrafast plane wave imaging with multiple-angle compounding, which enables the acquisition of a large set of highly spatiotemporal coherent frames, allowing high-quality imaging of a wide field of view. uPWD provides detection of blood flow, from which the spectrogram can be computed using the clutter-filtered Doppler ensemble (CFDE) [3]. Using this spectrogram, quantitative measurements, such as the resistivity index (RI), could be obtained in an automatic way in a wide region of interest. RI color maps can be displayed, in contrast with the current method where single RI measurements are obtained from a single vessel.

The renal RI has been used for the assessment of kidney state [7], [8], [9]; however, recent evidence has shown that renal RI is also related to inherent hemodynamic characteristics of patients [10], [11]. This suggests that only the use of RI in certain regions of the kidney, as currently performed, as an indicator of kidney malfunction might be biased. Therefore, new approaches to RI measurement and combination with other biomarkers could improve the interpretation of renal RI for diagnosis. Thus, the aim of this work is to propose and evaluate a method to automatically obtain an RI map in the

### Highlights

- We developed a methodology to automatically obtain a 2-D color resistivity index map of the renal vasculature, based on the ultrafast ultrasound Doppler approach and using a clinic ultrasound scanner.
- Results of the proposed method in patients referred for renal transplant Doppler examination provided relative errors of less than 15% for RI measurements compared with conventional pulsed-wave Doppler.
- The proposed method provides the information currently required by clinicians, and visualization of pulsatile regions with corresponding resistivity index, which could be useful for diagnostic purposes.

kidney based on uPWD, which could provide new insights to better interpret renal RI.

Obtaining an RI map based on the uPWD approach has already been studied for the neonatal brain [3], [12] and liver [13]. As far as we know, this is the first time that an RI map for the kidney is intended to be obtained automatically. However, an RI map in deep organs, such as kidneys, remains challenging as the detection of vessels, the computation of the spectrogram envelope (or maximal flow velocity), can be strongly affected by the movement and attenuation of the Doppler spectrum. For instance, Song et al. [14], [15] showed that time gain compensation (TGC) plays an important role in uPWD as it increases the inherent channel noise, leading to the degradation of flow signal visualization in the deep field where blood signals and noise present similar amplitudes. Therefore, uPWD is affected by spatially varying background noise. Some of the methods to automatically detect spectrogram envelope are spectral-based approaches, which relies on noise threshold estimation [16] and variants [17], [18]. These techniques require a proper detection of the baseline, which must be performed manually prior to envelope detection. Moreover, in uPWD, the baseline changes from one point to another as it is affected by aliasing effects. Therefore, the detection and subsequent mitigation of these undesirable effects are necessary in order to obtain an RI map.

In the method proposed in this work, the ultrasensitive power Doppler (uPD) image is improved by using a strategy to compensate for TGC effects based on the equalization approach [15], [19], [20], [21], [22], [23], [24], [25], which does not assume homogeneity of the background noise through the channels. Then, a method adapted to signals from the kidney is presented to automatically detect the spectrogram envelope, where issues related to aliasing effects are addressed. The improved power Doppler image is used jointly with the proposed detection envelope algorithm to obtain the RI map. The proposed method to compute the RI is evaluated using simulated power Doppler spectrograms, as well as in vivo acquisitions. In this work, some of the methods previously presented in [25] were used. However, this work presents notable differences with respect to the one presented in [25] in the obtaining and processing of the RI map, the algorithm for envelope detection, which is better adapted and faster, and the use of a larger group of patients.

This work is organized as follows. Section II describes conventional pulsed-wave (PW) Doppler acquisitions (here-

inafter referred to as PW Doppler), procedures to perform simulations and obtain the uPD image and envelope detection, and comparison between RI measurements performed by using PW Doppler and the proposed method. Section III presents results of RI obtained from simulations that are used to evaluate the proposed method for measuring the RI, followed by results of the RI map and its comparison with PW Doppler measurements, followed by discussion and conclusion.

## II. MATERIALS AND METHODS

### A. In Vivo Acquisitions

The Aixplorer V12 ultrafast US system (Supersonic Imagine, Aix-en-Provence, France) was used to perform specific acquisitions on renal transplants, in patients referred for renal Doppler examination. A total of five patients were recruited and gave written informed consent according to the ethical protocol # IRB CRM-2203-244, which was approved by the institutional review board of the Comité d'éthique de la recherche en imagerie médicale (CERIM), Paris, France. An ultrafast US sequence was developed consisting of two insonification angles ( $-2^\circ$  and  $2^\circ$ ), with a compounded pulse repetition frequency (PRF) of 2000 Hz, using a linear transducer (L10-2) with a center frequency of 5.8 MHz. The acquisitions included routine evaluation of the transplanted kidney using B-mode and conventional color Doppler US imaging, followed by PW Doppler interrogation of several interlobular arteries, including calculation of the local RI. Color Doppler US is used to detect the position of the vessel and PW Doppler performed by moving the Doppler gate upon a vessel of pulsatile flow. The radiologist determines the peak systolic and end-diastolic velocities to calculate the RI. In a subsequent step, the same US machine and probe were used at approximately the same location to collect data with the developed sequence in the acquisitions of 1-s duration. For all acquisitions, patients were asked to hold their breath.

Spectrograms and the corresponding RI from PW Doppler were recorded in at least three different regions of the kidney for each patient. These measurements were used to evaluate the obtained RI map.

### B. Simulations

The simulated Doppler signals were generated based on the approach proposed by Mo and Cobbold [26] and using typical spectrograms of acquired data from transplanted patients. In brief, Mo and Cobbold [26] proposed a method to generate

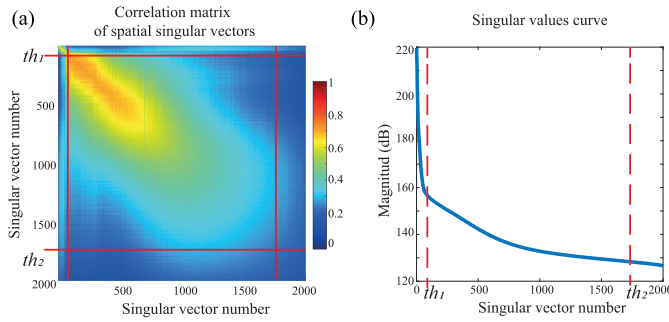


Fig. 1. Thresholds used for SVD filtering for patient 2, shown on (a) correlation pattern of spatial singular vectors (correlation matrix of spatial singular vectors) and (b) singular values' curve.

a synthetic time sequence from a smoothed measured power spectral density (PSD) that extends in the range  $[0, f_{\max}]$ , calculated from acquisitions of about 10 ms of duration. Thus, each realization of a synthetic time sequence Doppler signal is computed as the concatenation of segments of 10 ms,  $x(t)$ , computed as

$$x(t) = \sum_{m=1}^M a_m \cos(2\pi f_m t + \phi_m) \quad (1)$$

where

$$f_m = \left(m - \frac{1}{2}\right) \frac{f_{\max}}{M}, \quad m = 1, 2, \dots, M \quad (2)$$

and

$$a_m = \sqrt{\frac{2}{M} \text{PSD}(f_m) f_{\max} y_m} \quad (3)$$

in which  $(f_{\max}/M)$  and the set of values  $y_m$  are independent chi-square random variables with two degrees-of-freedom, and  $\phi_m$  values are random variables each uniformly distributed over  $[0, 2\pi]$ . Statistical analysis was performed by considering a set of ten signals, where each signal consisted of an average of one, nine, or 200 realizations.

### C. Ultrasensitive PW Doppler Processing

1) *Clutter Filtering*: Each ultrasensitive Doppler acquisition provides an ensemble of  $N_t$  frames, IQ, from which the CFDE,  $\text{IQ}_{\text{blood}}$ , is obtained using a singular value decomposition (SVD) thresholding approach [3] as

$$\text{IQ}_{\text{blood}}(x, z, t) = \text{IQ}(x, z, t) - \left( \sum_{k=1}^{k=\text{th}_1} U_k \lambda_k V_k^* + \sum_{k=\text{th}_2}^{k=N_t} U_k \lambda_k V_k^* \right) \quad (4)$$

where  $\text{th}_1$  and  $\text{th}_2$  represent the singular value thresholds, corresponding to clutter and noise signals, respectively. These thresholds were selected manually for each acquisition based on the correlation pattern of spatial singular vectors [27]. Fig. 1 shows, as an example, the thresholds used for SVD filtering for patient 2 on the correlation pattern of spatial singular vectors, and the singular values' curve.

2) *Ultrasensitive Power Doppler Image*: Using the CFDE, the uPD image is computed as

$$\text{uPD}(x, z) = \frac{1}{N_t} \sum_{t=1}^{N_t} |\text{IQ}_{\text{blood}}(x, z, t)|^2. \quad (5)$$

The compensated uPD image for TGC effects,  $\text{uPD}_{\text{TGC}}$ , is computed as

$$\text{uPD}_{\text{TGC}}(x, z) = \frac{\text{uPD}(x, z)}{N_{\text{BKG}}(x, z)} \quad (6)$$

where  $N_{\text{BKG}}(x, z)$  is computed adaptively from the uPD image as follows.

First, the uPD image is downsampled in the axial direction by a factor of 7. Then, each column and then each row of the resulting image are smoothed using the *rlowess* algorithm of MATLAB (The MathWorks, Inc. MATLAB, Version 2020b). Finally, the smoothed subsampled image is interpolated in the axial direction back to the original size and then smoothed again using a 2-D Gaussian filter (with a standard deviation of 15). A more detailed description of the estimation of  $N_{\text{BKG}}(x, z)$  is presented in [25].

### D. Computation of the Spectrogram Compensated for Aliasing Effects

The spectrogram,  $\text{SSTF}(x, z, f, t_0)$ , is computed from the CFDE based on the short-time Fourier transformation as

$$\text{SSTF}(x, z, f, t_0) = \left| \int_{t_0 - t_w/2}^{t_0 + t_w/2} \text{IQ}(x, z, t') e^{-j2\pi f t'} W(t' - t_0) dt' \right|^2 \quad (7)$$

where  $W(t)$  is a Hann window centered at 0 of duration  $t_w$  equal to 32 points (16 ms at a sampling frequency  $f_s = 2000$  Hz). SSTF is computed from times  $t_0 = t_w/2$  to  $t_0 = \Delta t_{\text{total}} - t_w/2$  with a lag of two samples, where  $\Delta t_{\text{total}}$  is the total duration of signal in  $t$ .

The baseline is automatically detected, and the spectrogram is shifted accordingly to compensate for aliasing effects.

The procedure to obtain this corrected spectrogram,  $\text{SSTF}_{\text{corr}}$ , was presented in [25], and a more detailed description is given in the Appendix.

### E. Envelope Detection: Modified Threshold Method (MTM)

The detection of the spectrogram envelope, or equivalently the maximum frequencies,  $f_{\max}(t_0)$ , is performed following a spectrum-based approach [17], [28], where a signal region and a noise region are defined, and the maximum frequency between these regions, commonly referred to as the knee region, is searched for.

In the MTM, the following assumptions are made.

- 1) The absolute value of the largest frequency corresponds to  $f_s - 100$ .
- 2) No aliasing is present in the signal.

Fig. 2 depicts the procedure to estimate  $f_{\max}(t_0)$ . In summary, a first threshold,  $\text{Thres}_1$ , is estimated from the noise floor,  $\text{noise}_f$ , so that  $f_{\max}(t_0)$  to a given time  $t_0$  is set as the

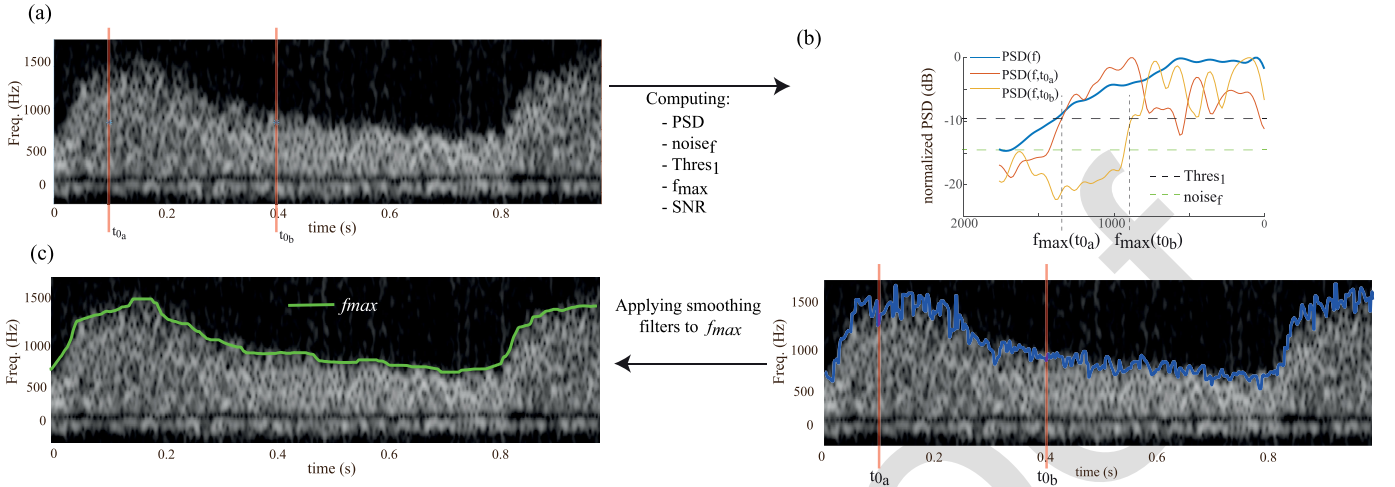


Fig. 2. Procedure to perform the envelope detection based on the MTM algorithm. (a) Corrected spectrogram (compensated for aliasing effects). (b) Computation of the PSD from the spectrogram, from which threshold,  $Thres_1$ , is calculated based on the noise floor,  $noise_f$ , to determine the maximal frequency in function of time. (c) Estimated envelope after applying the smoothing filtering.

highest frequency at which the corresponding normalized PSD is above this threshold. More specifically,  $Thres_1$  is defined as follows. If  $noise_f < -18$  dB,  $Thres_1 = -10$  dB, or if  $noise_f < -10$  dB,  $Thres_1 = noise_f/2 - 1.5$  dB; otherwise,  $Thres = noise_f/2$ .

This first envelope is then smoothed by a 64-point median filter (32 ms at a sampling rate of  $f_s = 2000$  Hz). Using this smoothed envelope, the noise bins are calculated as the values of the side of the spectrogram related to the flow direction whose frequencies are higher than the calculated envelope. Using these values, the average noise power,  $\langle N \rangle$ , is calculated as the average of the values below the 90th percentile, and the SNR is calculated as

$$SNR = \frac{\langle S \rangle - \langle N \rangle}{\langle N \rangle} \quad (8)$$

where  $\langle S \rangle$  corresponds to the average signal power, computed as the average of the spectrogram values on the side corresponding to the flow direction.

Both baseline and envelope detection algorithms were evaluated in terms of the number of realizations used to compute the spectrogram, SNR, and aliasing. Since the ground truth of the peak systolic and end-diastolic velocities, and consequently that of the RI, is unknown, we use the method *modified signal noise slope intersection* (MSNSI), proposed by Kathaplia et al. [17], to calculate the envelope of the spectrogram under the best conditions in terms of SNR, aliasing, and realizations. The calculation of the RI from this envelope is what we use as a benchmark in this study.

#### F. Resistivity Index Map Computation and Processing

Fig. 3 depicts the procedure to automatically obtain the RI map from the CFDE, which is obtained as follows.

*Step 1:* After clutter filtering, uPD and uPD<sub>TGC</sub> images are obtained. The uPD<sub>TGC</sub> image is used to define a mask with a homogeneous background that is obtained by applying histogram operations on the uPD<sub>TGC</sub>: first, a thresholding operation, and then, a contrast stretching

operation. Using this mask, the vessels are detected so that their intensity is greater than the background intensity value by 1 dB.

*Step 2:* For each detected vessel, the flow direction is calculated using the absolute maximum value of the axial velocity calculated by the autocorrelation method,  $f_{m;autocorr}$ . If the flow direction is away from the probe, the RI calculation is not performed. If the flow direction is toward the probe, the CFDE is used to calculate the corresponding spectrogram compensated for aliasing effects, from which the spectrogram envelope,  $f_{max}(t_0)$ , is calculated by using the MTM algorithm proposed in this work, as well as the SNR. Only if the SNR is equal to or greater than 5 dB, the RI is calculated as

$$RI = \frac{\max_t[f_{max}(t)] - \min_t[f_{max}(t)]}{\max_t[f_{max}(t)]} \quad (9)$$

where  $\min_t[\cdot]$  and  $\max_t[\cdot]$  correspond to the minimum and maximum values' operators,  $\min_t[f_{max}(t)]$  represents the estimated end-diastolic velocity, and  $\max_t[f_{max}(t)]$  represents the estimated peak systolic velocity.

This RI value is checked by using the mean frequency,  $f_m(t_0)$ , computed as

$$f_m(t_0) = \frac{\int f' SSTF_{corr}(x, z, f', t_0) df'}{\int SSTF_{corr}(x, z, f', t_0) df'} \quad (10)$$

and the following criteria.

- RI value has to be smaller than 1.
- $\min_t[f_{max}(t)]$  has to be greater than  $f_m(t_{min})$ , with  $t_{min}$  being the time of occurrence of  $\min_t[f_{max}(t)]$ . This is to avoid RI measurements where the end-diastolic velocity is not properly estimated.
- Signals with SNR values smaller than 10 dB must have a value of  $\max_t[f_{max}(t)]$  less than  $3/4$  the sampling frequency. This threshold is based on simulations, which have shown that, in these cases,

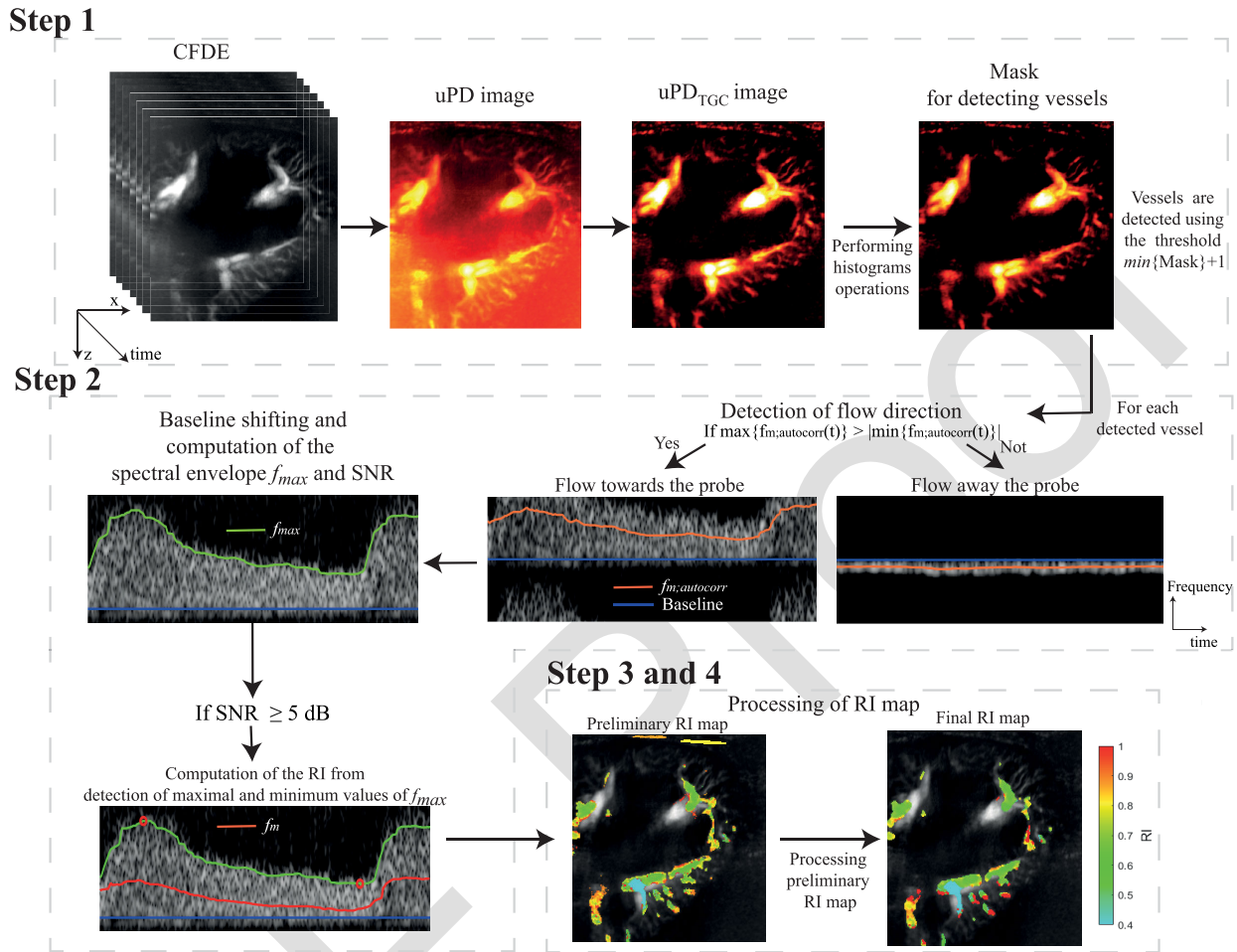


Fig. 3. Procedure to automatically obtain the RI map.

the RI measurements are inaccurate, as described in the results in Section III-A.

- d) Signals with SNR values equal to or greater than 10 dB must have a value of  $\max_t[f_{max}(t)]$  less than the sampling frequency,  $f_s$ , minus 100 Hz.  $f_s - 100$  represents the maximum detectable frequency.

If one of these conditions is not met, the RI is set to 1, which represents pulsatile flow regions where the RI calculation is unreliable. At the end of this step, a preliminary RI map is obtained.

*Step 3:* In this step, RI values less than 0.4 are adjusted to a minimum RI value of 0.4, which is considered a nonpulsatile flow, and would probably correspond to a vein.

*Step 4:* In this step, it is checked whether the RI values between 0.7 and 1 have a maximum mean frequency (this mean frequency is calculated by the autocorrelation method and differs from  $f_m(t_0)$  defined above) higher than a certain threshold. This threshold is defined as the median of the maximum frequencies for the flow directions to the probe (regions with RI values equal to 1 and 0.4 are excluded for the calculation of these thresholds). Regions that do not meet this criterion are removed from the RI map. This helps to remove regions

of false vessels, e.g., subcutaneous tissue. Finally, the RI map is smoothed using a 2-D median filter, where each output pixel contains the median value in the  $3 \times 3$  neighborhood around the corresponding pixel.

RI measurements obtained with the proposed approach were compared to those obtained with PW Doppler: first, by comparing local measurements obtained approximately in the same region; second, by calculating the Pearson correlation coefficient between the median of the values given by the RI map and the measurements obtained by the PW Doppler approach.

All algorithms used to obtain the RI map were developed in MATLAB and were implemented using a computer system consisting of an Intel<sup>1</sup> Core i9 processor 2.3 GHz and 32 GB of RAM.

### III. RESULTS

#### A. Evaluation of Baseline and Envelope Detection Algorithms

Fig. 4 shows, as an example for a certain signal, results of compensation for aliasing effects and computation of the spectrogram envelope for the cases of different sampling

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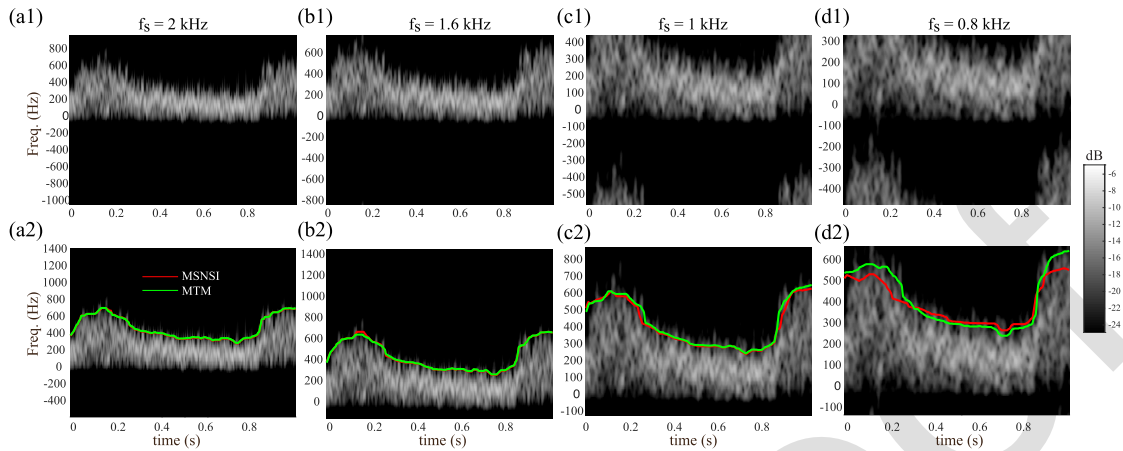


Fig. 4. Example of results of the aliasing compensation and envelope detection algorithms for the signal 1 with an SNR = 15 dB. (a1)–(d1) Spectrogram before aliasing compensation and (a2)–(d2) after aliasing compensation. Envelope detection is only performed after aliasing compensation.

TABLE I

RI COMPUTATIONS WHERE MEAN VALUES AND STANDARD DEVIATIONS OVER TEN ESTIMATES ARE SHOWN FOR THE DIFFERENT ANALYZED SIGNALS WITH SNR = 15 dB

	MSNSI			MTM		
	$N_{rz} = 1$	$N_{rz} = 9$	$N_{rz} = 200$	$N_{rz} = 1$	$N_{rz} = 9$	$N_{rz} = 200$
Signal <sub>1</sub>	0.58 ± 0.03	0.60 ± 0.01	<b>0.60 ± 0.01</b>	0.59 ± 0.02	0.59 ± 0.01	0.59 ± 0.01
Signal <sub>2</sub>	0.53 ± 0.03	0.55 ± 0.01	<b>0.54 ± 0.01</b>	0.55 ± 0.03	0.54 ± 0.01	0.54 ± 0.01
Signal <sub>3</sub>	0.52 ± 0.04	0.50 ± 0.01	<b>0.49 ± 0.01</b>	0.41 ± 0.21	0.49 ± 0.01	0.49 ± 0.01

frequencies and SNR of 15 dB. Overall, it can be seen that the compensation for aliasing effects works quite well for the conditions tested, and the differences in the envelope of the spectrogram computed using MSNSI and MTM increase as the aliasing effects become more important. These differences will be discussed in more detail in the discussion (see Section IV).

The variability of the simulated RI was studied in terms of the number of realizations averaged to compute the spectrogram,  $N_{rz}$ , for the cases of one, nine, or 200 realizations, and for the different simulated signals with the highest SNR of 15 dB. Table I presents results of the RI where mean values and standard deviations over ten estimates are shown, for both algorithms, MSNSI and MTM, for the highest SNR of 15 dB. It is important to note that results for  $N_{rz} = 200$  using the MSNSI algorithm (highlighted in red in Table I) are used as reference values to compare the performance of the algorithms in the different configurations tested. The results allow inferring that, from  $N_{rz} = 9$ , the variability of the RI measurements, represented by the standard deviation, is sufficiently reduced for both methods, where the relative errors between both methods are equal or less than 2%.

Based on these results, a number of nine realizations were established to compute the spectrogram, which presents a good tradeoff between variability and spatial resolution for in vivo applications, where the realizations are chosen as the eight-neighbor signals.

Table II presents the results of the RI for same signal of Fig. 3, where mean values and standard deviations over ten estimates are shown for both algorithms, MSNSI and

MTM, as well as the peak frequency value. Results are shown for different simulated conditions varying the SNR from 1.5 to 15 dB and varying the sampling frequency from 800 to 2000 Hz.

Results were also obtained for two other signals (not shown), with peak frequencies of 570 and 480 Hz, signal 2 and signal 3, respectively.

For the cases with SNR values of 6 and 15 dB, when the sampling frequency is equal to or larger than twice the peak frequency ( $f_s = 2, 1.6$  kHz for Signals 1 and 2, and  $f_s = 2, 1.6, 1$  kHz for Signal 3), both methods present similar results with relative errors less than 5% with respect to the reference values given in Table I. Moreover, when the sampling frequency is about or larger than 1.3 times and less than twice the peak frequency ( $f_s = 1$  kHz for Signal 1, and  $f_s = 1$  and 0.8 kHz for Signals 2 and 3), the MTM method presents relative errors below 7%, and the MSNSI method presents relative errors of up to 12%. When the sampling frequency is less than 1.5 the peak frequency ( $f_s = 0.8$  kHz for Signal 1), relative errors ranged from 7% (SNR = 15 dB) to 13% (SNR = 6 dB) for the MTM method and from 22% to 33% for the MSNSI method.

For cases with SNR values of 1.5 and 4 dB, when the sampling frequency is equal to or larger than twice the peak frequency, the MTM method presents relative errors of less than 11%, and the MSNSI method presents relative errors of up to 48%. When the sampling frequency is about or larger than 1.5 times and less than twice the peak frequency, the MTM method presents relative errors below 7%, and the MSNSI method presents relative errors of up to 19%. When the sampling frequency is below the peak frequency of 1.5, relative errors were below 17% for the MTM method and 48% for the MSNSI method.

These results show that the MTM method performs better under limiting conditions than the MSNSI method for the type of signals studied. Thus, based on these results, some criteria were defined in order to guarantee relative errors below 10% in the measurement of RI. Therefore, only signals with an SNR higher than 5 dB were considered for computing the RI. From

TABLE II

RI COMPUTATION WHERE MEAN VALUES AND STANDARD DEVIATION OVER TEN ESTIMATES ARE SHOWN FOR SIGNAL<sub>1</sub> FOR VARIOUS SNRS AND SAMPLING FREQUENCIES. THE PEAK FREQUENCY,  $f_{pk}$ , CORRESPONDS TO 710 HZ

SNR (dB)	MSNSI				MTM			
	$f_s = 2$ kHz	$f_s = 1.6$ kHz	$f_s = 1$ kHz	$f_s = 0.8$ kHz	$f_s = 2$ kHz	$f_s = 1.6$ kHz	$f_s = 1$ kHz	$f_s = 0.8$ kHz
15	$0.60 \pm 0.01$	$0.59 \pm 0.01$	$0.55 \pm 0.01$	$0.47 \pm 0.05$	$0.59 \pm 0.01$	$0.59 \pm 0.01$	$0.56 \pm 0.01$	$0.56 \pm 0.01$
6.0	$0.59 \pm 0.01$	$0.57 \pm 0.02$	$0.54 \pm 0.02$	$0.38 \pm 0.09$	$0.59 \pm 0.01$	$0.59 \pm 0.02$	$0.58 \pm 0.01$	$0.52 \pm 0.05$
4.0	$0.59 \pm 0.02$	$0.55 \pm 0.02$	$0.53 \pm 0.02$	$0.31 \pm 0.05$	$0.59 \pm 0.01$	$0.59 \pm 0.02$	$0.57 \pm 0.02$	$0.50 \pm 0.02$
1.5	$0.58 \pm 0.02$	$0.56 \pm 0.02$	$0.43 \pm 0.05$	$0.33 \pm 0.05$	$0.60 \pm 0.02$	$0.59 \pm 0.02$	$0.55 \pm 0.02$	$0.55 \pm 0.03$

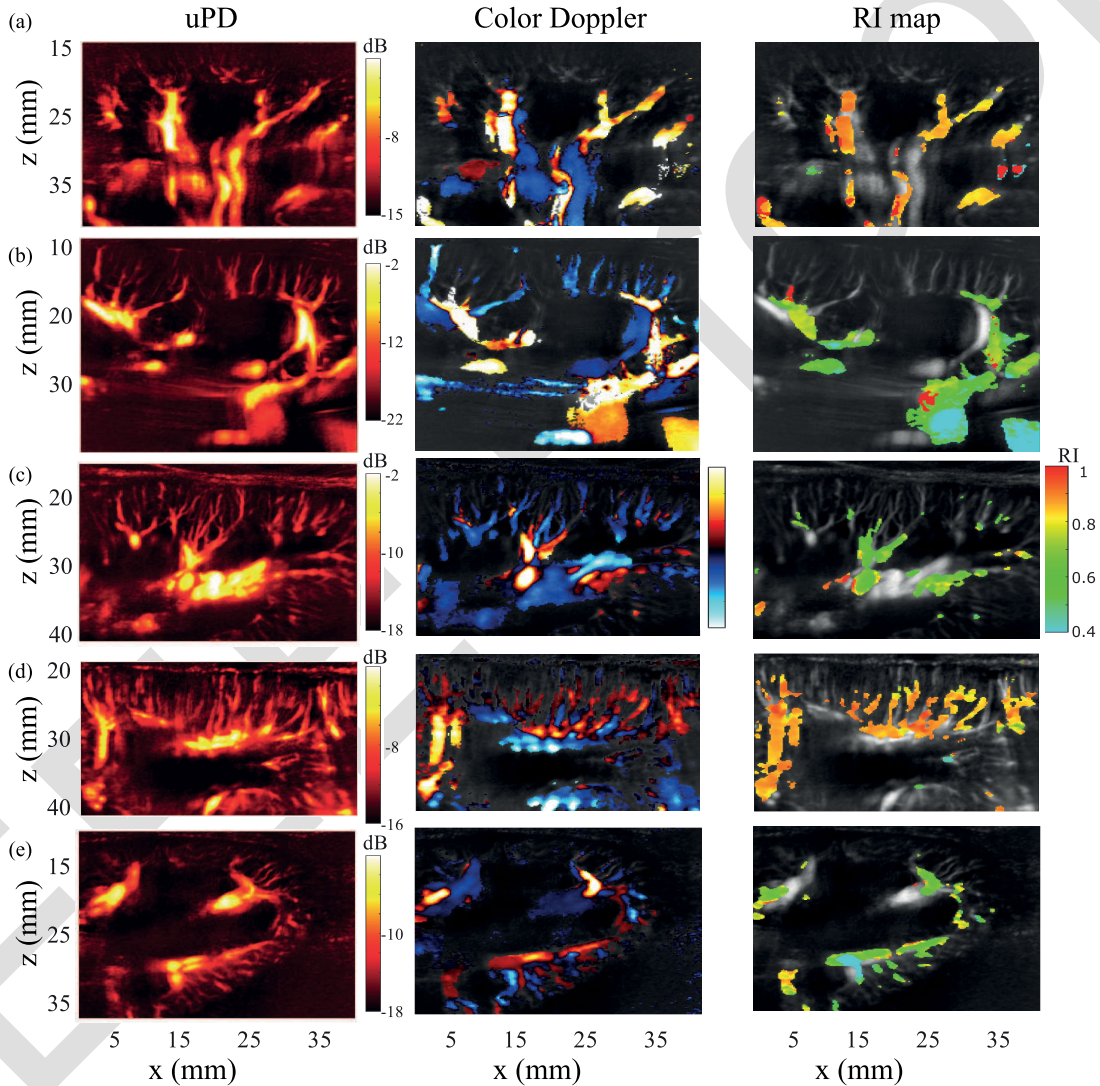


Fig. 5. Examples for five patient acquisitions of the uPD, color Doppler, and RI map for (a) patient 1, (b) patient 2, (c) patient 3, (d) patient 4, and (e) patient 5.

that, RI computation from signals with SNR between 5 and 10 dB was considered only if the peak frequency (related to the peak systolic velocity) was lower than  $3/4$  of the sampling frequency. If SNR was higher than 10 dB, the RI computation is considered even if the peak frequency is higher than  $3/4$  of the sampling frequency.

### B. RI Measurements and Mapping

Fig. 5 shows the uPD, color Doppler images, and the RI map, obtained for the five patients from the uPWD

acquisitions. It is recalled that regions in red, corresponding to RI values equal to 1, are not real RI measurements but an indication of pulsatile regions where the RI could not be measured correctly.

Fig. 6 shows a comparison between the PW Doppler method and the proposed method for measuring the RI on patient 2. For the PW Doppler measurement, the conventional color Doppler is shown, from which the radiologist manually detects a region of pulsatile flow, and in a subsequent step, the spectrogram is calculated, from which the radiologist determines the peak systolic and end-diastolic velocities to calculate the RI.



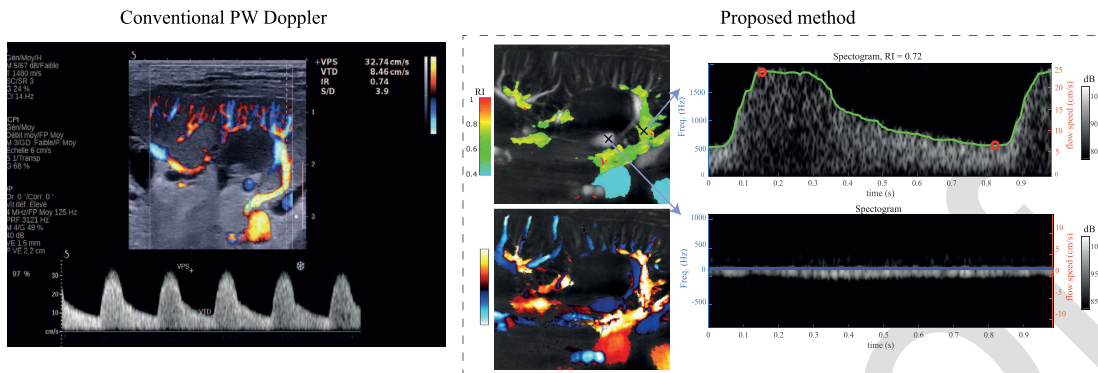


Fig. 6. Comparison between the RI measurements for patient 2 (acquisition 1) performed with PW Doppler and the proposed method on the same vessel. For the proposed method, the color Doppler image and RI map are displayed, as well as some examples of spectrograms. The red circles on the spectrograms represent the estimated end-systolic and end-diastolic velocities. For pulsatile flow, the velocities were 24.54 and 7.11 cm/s, respectively.

TABLE III

COMPARISON BETWEEN RI MEASUREMENTS FROM CLINICAL AND PROPOSED METHODS. RI FROM PW DOPPLER IS COMPUTED AS THE MEAN VALUE OF THE PERFORMED RI MEASUREMENTS, AND THE RI BASED ON THE PROPOSED METHOD CORRESPONDS TO THE MEDIAN OF THE RI MAP FOR EACH ACQUISITION. THE MEAN VALUE OF THE MEDIANS,  $\overline{RI}_{acq}$ , IS ALSO PRESENTED

Patient	$\overline{RI}_{clinic}$	Median of the RI map for each acquisition								$\overline{RI}_{acq}$
		1	2	3	4	5	6	7	8	
1	0.86	0.86	0.81	0.87	0.83	0.86	0.83	0.86	0.85	0.85
2	0.73	0.67	0.71	0.64						0.67
3	0.60	0.66	0.67	0.70						0.68
4	0.91	0.89	0.83	0.86	0.91					0.87
5	0.63	0.72	0.69	0.67	0.61					0.68

In the proposed approach, the RI map and the color Doppler image are shown, as well as examples of spectrograms for certain regions. The measurements obtained with the proposed method were compared with the measurements obtained with the conventional PW Doppler method for several patients, provided that it was possible to detect approximately the same region. A total of eight regions were detected, with relative errors of less than 11%.

On the other hand, it appears that the peak systolic and end-diastolic velocities are underestimated. However, a fair comparison cannot be made, as the way they are calculated by using PW Doppler is unknown, and they may differ slightly from the typical way, which is to use a scaling factor proportional to the speed of sound over the US frequency; the inclination of the flow with respect to the US beam could differ in both methods, as a result of variations in the acquisition plane. More details on the underestimation of these velocities by the MTM algorithm are presented in the discussion (see Section IV).

Table III shows, for each patient, the mean value of the RI measurements obtained by the PW Doppler method and the median of the RI map for each acquisition with its corresponding mean value. Note that RI values equal to 1 were not considered for the calculation of the median. When comparing results between the PW Doppler method and the proposed method for each acquisition, the relative errors ranged from 0% to 20%. When comparing the results obtained from PW

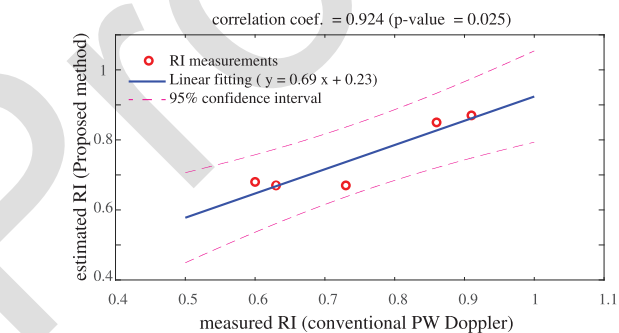


Fig. 7. Linear regression using the model  $y = \beta x + b$  between results from PW Doppler and the proposed method, for which the mean value of the median values is used. For the proposed method, the mean value of medians is used. The correlation coefficient between measurements was of 0.924 ( $p$ -value = 0.025).

Doppler with the mean value of the medians, the relative errors ranged from 1% to 15%.

Fig. 7 shows a linear regression between the results of PW Doppler and the proposed method, for which the mean value of the median values is used.

The correlation coefficient between the measurements is 0.924 ( $p$ -value = 0.025) and statistically significant considering a significance level of 5%. Although these results allow us to deduce that the measures present a good agreement since they present a significant coincidence, we must be careful with the conclusive observations derived from them since very few data were used.

## IV. DISCUSSION

### A. Limitations of Spectrogram Envelope Estimation

The baseline detection and MTM algorithms were developed and adapted by using typical signals from the renal uPWD acquisitions. From the simulated and in vivo results, the baseline detection algorithm performs well, and the MTM algorithm is computationally less expensive (about 40 times faster) and performs better under limited conditions than the MSNSI algorithm. At the time this article was prepared, we are not aware of any other algorithms reported in the literature with which the baseline detection algorithm can be compared.

472 However, their suitability for any other type of signals is  
 473 something that needs to be studied further, for example, those  
 474 of liver or umbilical, where RI measurements are also of  
 475 interest in the clinic.

476 Another remark concerns the estimates of peak systolic and  
 477 end-diastolic velocities. One can see from Fig. 4 that both  
 478 algorithms tend to underestimate these velocities inasmuch  
 479 the aliasing effects become important. The MSNSI algorithm  
 480 presents a more important underestimation of the peak velocity  
 481 than the end-diastolic velocity; on the other hand, the MTM  
 482 underestimates both velocities to approximately the same  
 483 extent. This may explain why the MTM performs better than  
 484 the MSNSI in limited conditions when the aliasing effects are  
 485 important, even if the peak systolic and end-diastolic velocities  
 486 are underestimated.

487 This also put in evidence that the proposed method is not  
 488 useful to estimate these velocities in most of the cases. Based  
 489 on the simulation results, one could infer that the estimated  
 490 velocities could be quite accurate when the peak velocity is  
 491 lower than 3/4 of the velocity related to the sampling frequency  
 492 and the SNR is higher than 10 dB.

493 Another limitation is that no spectral broadening effect has  
 494 been considered in the proposed method, in the same way  
 495 as for the calculation of the spectrogram from which the RI  
 496 is measured by the conventional method, where, to the best  
 497 of our knowledge, no correction is made. However, several  
 498 works have shown the effects of spectral broadening on the  
 499 estimation of the maximum velocity; most of the studies on  
 500 this subject have been conducted under the assumption of  
 501 using focused US beams but few in the case of unfocused US  
 502 beams. The evaluation of the effects of spectral broadening  
 503 on the estimation of the maximum velocity is not simple and  
 504 requires extensive work since it depends on many parameters  
 505 such as the flow velocity, the angle between the US beam and  
 506 the flow direction, the characteristics of the US beam and the  
 507 transducer, and the use of focused or unfocused US beams,  
 508 among other factors [29], [30], [31], [32]. Thus, spectral  
 509 broadening can play an important role in the estimation of  
 510 RI in both the conventional and the proposed method, and its  
 511 evaluation in the proposed method is something that will be  
 512 studied in the future.

### 513 *B. Limitations of the RI Computation Algorithm*

514 In PW Doppler, once a region of pulsatile flow is detected,  
 515 usually from color Doppler imaging, the radiologist has access  
 516 to a spectrogram of the region of interest over several cardiac  
 517 cycles. Once good repeatability of the spectrogram is observed  
 518 across the cycles, the peak systolic velocity and end-diastolic  
 519 velocity after peak systolic are manually estimated to calculate  
 520 the RI. One of the advantages of this procedure is its robust-  
 521 ness since the region for the RI calculation can be carefully  
 522 chosen, and the frame rate can be increased above 2 kHz;  
 523 however, one of the disadvantages is that it cannot be done  
 524 everywhere and calculating the RI of 3 or more regions is  
 525 time-consuming.

526 On the other hand, in the method proposed in this work,  
 527 at most the spectrogram of a single cardiac cycle is obtained,

528 with a maximal frame rate of 2 kHz. Consequently, the RI  
 529 measurement proposed in this work is not as robust as in  
 530 PW Doppler since the repeatability of the spectrogram over  
 531 several cardiac cycles cannot be assessed. This repeatability  
 532 is assumed in the proposed method. Moreover, only in some  
 533 cases, RI measurement follows the procedure of estimating the  
 534 end-diastolic velocity after the detected peak systolic. As for  
 535 the 2-kHz frame rate and sequence duration of 1 s, these  
 536 are the maximum values attainable for the programmed US  
 537 sequence and are imposed by the US system.

538 For the reasons outlined above, the comparison between RI  
 539 measurements performed by PW Doppler and the proposed  
 540 point-to-point method could be biased. Therefore, the com-  
 541 parison was presented considering the median value of the  
 542 RI map, as a way to look for a more robust indication of  
 543 correlation between the two measurements.

544 Another possible limitation is related to the thresholds  
 545 used in the proposed method in relation to SNR, the time  
 546 elapsed between maximum and minimum velocities, and mean  
 547 maximum frequency.

548 The SNR was selected based on simulated results by con-  
 549 sidering only pulsatile flow and random noise. However, it was  
 550 observed that, in some cases, both pulsatile and nonpulsatile  
 551 behaviors appeared in the spectrogram, but the one related  
 552 to the pulsatile flow was strongly attenuated and sometimes  
 553 showed aliasing so that it behaves as noise but differently  
 554 than random noise, which is commonly assumed in simula-  
 555 tions. Therefore, it would be interesting to perform further  
 556 simulations by considering other noise sources and both kinds  
 557 of flow, pulsatile and nonpulsatile.

558 The threshold used to check the maximum values of mean  
 559 velocity was chosen based on the behavior of the experimental  
 560 data. Although this definition gave good results in eliminating  
 561 false pulsatile flows detected in most cases, more clinical  
 562 data would be needed to better assess its suitability and  
 563 define it more robustly. In addition, for this step, the mean  
 564 frequency was calculated using the autocorrelation algorithm  
 565 instead of the spectrogram-based method, as it presents better  
 566 performance on signals with moderate aliasing, so signals with  
 567 the highest velocity peaks (those represented with frequencies  
 568 higher than  $f_s - 100$  Hz) were excluded for the calculation of  
 569 these thresholds.

570 On the other hand, an RI value of 0.4 was set as the mini-  
 571 mum RI value, considering the clinician's recommendation,  
 572 and the behavior of the nonpulsatile flow observed in the  
 573 acquired signals. It was also considered that some patholog-  
 574 ies are related to an increase in RI values, usually above  
 575 0.7 [33], [34], so that, in these conditions, it is not a real  
 576 limitation. However, in cases where monitoring of RI values  
 577 below 0.5 is required, definitely, the proposed method will be  
 578 limited.

579 Finally, the results show that the plane wave imag-  
 580 ing approach used is more sensitive than the conventional  
 581 approach in terms of detecting small vessel flow. How-  
 582 ever, the corresponding acquired signals suffer from two  
 583 limitations.

- 584 1) They have a low SNR, which makes quantitative analysis  
 585 difficult.

2) The smaller they are, the closer they are, producing spectrograms with fluxes on both sides of the spectrum and a biased estimate of the mean axial velocity obtained by the autocorrelation method.

Therefore, the smaller vessels are only represented in the power Doppler image and are not considered in the quantitative analysis. This limitation may be imposed by the axial resolution, related to the width of the beam (about 0.2 mm), and remains a challenge in the proposed approach.

### C. On RI Measurements and Mapping

Recent studies have shown that RI is related to inherent hemodynamic characteristics of patients [10], [11], so its current use, in which a punctual RI measurement is compared to a certain threshold, could be biased for diagnostic purposes. Thus, several studies have been conducted where RI has been combined with other biomarkers, for instance, with the central venous pressure, for the diagnosis of acute kidney injury (AKI) [35], as well as studies of the RI changes over time, for predicting mortality of renal transplant recipients [36]. These works showed that the combination of the RI with other biomarkers or other kinds of analysis of the RI could strive the potential misinterpretation of using only punctual RI measurements at a certain region. However, despite these limitations, the pilot study performed in this work presents promising results, as it provides a good estimate of the RI measurement, with relative errors of the order of 15%, which is currently of interest in the clinic. In addition, it provides a visualization of the RI behavior over the entire field of view that opens up new possibilities for the analysis and measurement of RI. For example, the RI map obtained as currently indicated could be used instead of color Doppler to detect regions of interest. In this way, the clinician will have access to the pulsatile flow directly and to preliminary RI measurements. This information will allow the clinician to more quickly detect regions of interest for RI calculation. Alternatively, the statistics of the RI map may also be studied for any correlation with renal states, which could provide new information not accessible so far. Another potential use is the combination with other biomarkers, such as stiffness provided by elastography [37], [38], or local pulse wave velocity [39], [40], which are accessible from ultrafast acquisitions.

The methodology proposed and studied in this work can be easily transferred and adapted to data acquired with curved probes. Therefore, in a further step, future studies will be carried out with the aim of transferring the proposed methodology to be used on data acquired with a curved probe with a center frequency of approximately 5 MHz, which is available on a more advanced US scanner available in the clinic, the Mach 30 from Hologic. This next step is important because curved probes offer a wider and deeper field of view with respect to linear probes, which is more advantageous for the clinician and is the main reason why they are preferred in the clinic for this type of application.

Finally, it is important to note that the calculation of the RI map assumes that the regions in which the RI is calculated correspond to arterial branches, in which the flow direction

has a direction of preference toward the probe. This is the reason why only the RI has been calculated for such regions, and the RI has not been calculated for regions where the flow direction is away from the probe.

### D. On Obtaining the RI Map in Real Time In Vivo

After data acquisition, the main steps to obtain the RI map consist of performing the clutter filtering using the SVD approach, followed by the calculation of the uPD image and its TGC compensation, and finally, the calculation and subsequent processing of the RI map over the entire field of view. The processing of a typical acquisition consisting of an IQ data array of  $276 \times 192 \times 2000$  ( $N_z \times N_x \times N_t$ ) takes approximately: 20 s for SVD filtering, 3 s for obtaining the uPD image compensated by TGC effects, and 900 s for obtaining the RI map. Recent works [41], [42] suggest that, in the near future, SVD filtering will be feasible in real time on the most advanced US platforms currently available in the clinic. As for the remaining stages, it is important to note that the developed algorithms can be further optimized, so there is a large margin for improvement in this aspect. At this stage, it is very difficult to estimate how far we could go in improving these codes in terms of computational time to be implemented in real time. However, this will be the subject of future studies in which the suitability and new opportunities offered by the proposed method for the clinical setting will be studied in greater depth. For this, a clinical study will be carried out in which a significant amount of data will be processed so that the developed algorithms will be optimized in order to reduce the computation time, and at this point, it will be possible to have a first evaluation of the feasibility of implementing this methodology in real time.

## V. CONCLUSION

A method for calculating a 2-D color-coded RI map of the renal vasculature using clinical US equipment was developed and validated. The evaluation was carried out in the framework of a pilot study using Spectral Doppler measurements of the RI in renal transplanted patients. In addition, a tailored approach to kidney signals for spectrogram envelope detection, which is computationally less expensive and more per-ormant under limiting conditions than the one proposed by Kathpalia et al. [17], was proposed and evaluated by simulations. The main contributions of this work are given as follows.

- 1) To develop a methodology to obtain a 2-D color resistivity map of the renal vasculature that considers the effect of TGC and aliasing in the detection of pulsatile flow and the corresponding automatic calculation of RI.
- 2) The study conducted in this work demonstrated that the proposed method has the potential to provide the information currently required by clinicians and allows visualization of the pulsatile regions with the corresponding RI, which could be useful for clinicians from a diagnostic point of view.

## APPENDIX BASELINE DETECTION

The baseline detection is carried out as follows.

- 1) Once the flow direction is detected, the spectrogram is shifted  $K$  times to cover the range of frequencies  $f_k$  defined as

$$f_k = [-f_s/2 \pm 100k, f_s/2 \pm 100k]$$

where  $\pm$  depends on the flow direction, and  $k = 0, 1, 2, \dots, K$ , where  $K = \lfloor f_s/200 \rfloor$ , with  $\lfloor \dots \rfloor$  being the floor operator. This shifted spectrogram and frequencies,  $SSTF_k(x, z, f, t_0)$  and  $f_k$ , respectively, are used to compute the mean frequency  $f_{m_k}(x, z, t_0)$  and the relative error  $\varepsilon$  at each iteration  $k$  by

$$f_{m_k}(x, z, t_0) = \frac{\int f_k SSTF_k(x, z, f', t_0) df'}{\int SSTF_k(x, z, f', t_0) df'}$$

$$\varepsilon_k = \sum_{t_0} |f_{m_k}(x, z, t_0) - f_{m_{k-1}}(x, z, t_0)| \quad (11)$$

respectively, where  $f_{m_k}(x, z, t_0)$  is smoothed by using a median filter of order 128.

- 2) Finally, the corrected spectrogram and frequencies are selected as the  $SSTF_{k_{\min}}(x, z, f, t_0)$ ,  $f_{k_{\min}}$  such that  $\varepsilon_{k_{\min}} = \min\{\varepsilon_k\}$ .

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