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 urban megacity Hanoi

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22 Abstract

23 Fine particulate matter (PM2.5) in the atmosphere is of particular concern due to its adverse 24 effects on human health and its impact on global warming. southeast Asia is a hot spot for fossil fuel 25 combustion with recurrent release of large plumes spreading over the ocean and neighbouring 26 countries. Due to the complex mixture of PM2.5, the atmospheric sources contribution related to 27 local and regional emissions in Hanoi (northern Vietnam) is still ill-constrained. Here, we present a 28 year-round study (November 2019 to December 2020) with measurements of 18 metals and 29 metalloids (MM) and lead isotopes in the PM2.5 fraction to quantify weather-related atmospheric 30 inputs and to assess risk to human health. Anthropogenic inputs from fossil fuel combustion accounted for about 80% in PM2.5. We found high PM2.5-bound MM concentrations often 31 32 exceeding national and global standards with a low risk of chronic inhalation and carcinogenicity, 33 mainly attributable to Cr. During winter monsoon (northeastern winds), stable weather conditions 34 led to the enrichment of long-range air mass transport of local particulate emissions. During the 35 summer monsoon (southeastern winds), warm and moist winds reduced coal contribution in PM2.5. 36 Our study highlights the need for a strict implementation of policies to control hazardous MM 37 emissions by reducing fossil fuel combustion. On the one hand, reducing coal-related activities could 38 reduce Cr emissions and therefore improve the risks to human health. On the other hand, public 39 policies should encourage conversion to green transport in order to reduce petrol combustion and 40 thus limit global warming.

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43 Keywords: contamination, mercury, lead isotopes, atmospheric transport, fossil fuel combustion

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- 47 1. Introduction

48 Atmospheric particulate matter (PM) alters the climate (Fuzzi et al., 2015) and has a negative effect on human health (Janssen et al., 2011; Pope et al., 2011; Xing et al., 2016). Fine particles 49 50 smaller than 2.5 μ m (PM2.5) can penetrate deep into the lungs and cause asthma, lung disease, 51 heart disease and cancer, depending on the amount inhaled and the time of exposure and the 52 susceptibility of the person. Globally, inhalation of atmospheric PM is currently estimated to cause 53 3.3 million premature deaths per year worldwide, and could double by 2050 (Stanaway et al., 2018; 54 Lelieveld et al., 2020). Atmospheric PM is a complex mixture of various compounds such as organic 55 and elemental carbon, sugars, polycyclic aromatic hydrocarbons, secondary inorganic aerosol (e.g., 56 SO_4^{2-} , NH_4^+ , NO_3^-), etc. (Finlayson-Pitts et al., 2020).

Among these compounds, metals and metalloids (MM) are of particular concern for human 57 58 health (Palleschi et al., 2018; Rafiee et al., 2020; Soetrisno and Delgado-Saborit, 2020). In small 59 amounts, MM are essential to maintain biological functions, but in larger amounts they can damage 60 cellular functions and cause toxicity in certain organs such as kidneys, brain, blood, skin, heart (Mitra 61 et al., 2022). Due to their chemical coordination and oxidation-reduction properties, at high 62 concentrations, MM can compete with each other. One element can bind to protein sites in place of 63 another, causing DNA damage and perturbing protein function and enzyme activity (Balali-Mood et 64 al., 2021; Witkowka et al., 2021; Jaishankar et al., 2014). Among them, As, Cd, Cr are of greatest 65 concern due to their numerous sources of emissions, high toxicity, and persistence in urban areas (Li 66 et al., 2021).

Atmospheric PM is ubiquitous and can originate from natural and anthropogenic sources (Pacyna and Pacyna, 2001; Gieré and Querol, 2010). PM-bound MM can be naturally introduced into the atmosphere through wind erosion of soil or sea surface, volcanic emissions and forest fires, mainly as coarse fraction (> 3-4 µm) and relatively non-solvable (Li et al., 2009; Bacon et al., 2011). By contrast, MM from anthropogenic activities can be introduced into the atmosphere through many pathways such as fossil fuel combustion, mining, ferrous and non-ferrous metal manufacturing, industrial production (*e.g.*, cement, ceramic, glass, fertilizers), road traffic, waste incineration, domestic heating *etc.* (Pacyna et al., 2007; Hao et al., 2018). Furthermore, anthropogenic MM tend
to be associated with fine particles (Hein et al., 2022) and far exceed natural concentrations in
heavily urbanized/industrialized areas (McNeill et al., 2020; Guo et al., 2023).

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77 Atmospheric PM is a global concern and even more so for densely populated Asian countries (Xu et al., 2020; Zhang et al., 2021; Sakunkoo et al., 2022). Vietnam's capital of Hanoi experienced 78 79 rapid urbanization over the last decades, resulting in a severe increase in PM2.5 atmospheric load with an average annual concentration greater than 45 μ g m⁻³ (Makkoken et al., 2023), well above 80 national (25 µg m⁻³, QCVN 05:2013/BTNMT) and updated global guidelines (5 µg m⁻³; WHO, 2021). 81 82 During peak hours, congested traffic significantly increases fine particulate emissions, contributing up to 40% of PM2.5 (Cohen et al., 2010; Quang et al., 2021). Northern Vietnam is heavily industrialized 83 with coal-fired power plants as the main source of energy (Huy and Kim Oanh, 2017; Roy et al., 84 2021). Furthermore, agricultural burning is widely used in rural and suburban areas (Lasko et al., 85 2018; Le et al., 2020). In addition, long-range air mass transport from China to northern Vietnam 86 contribute to the increase in PM2.5, with concentrations regularly exceeding 150 μ g m⁻³ during the 87 88 northeast winter monsoon (Ly et al., 2021; Ngoc et al., 2021; Makkoken et al., 2023).

Although PM2.5-bound MM are strongly studied in China (e.g., Liao et al., 2023; Zhou et al., 89 2023; Guo et al., 2022; Duan et al., 2021), the latter are poorly documented in Vietnam. In 2020, 90 mean annual concentrations for As, Cd, Cr in PM2.5 were found at 6.2, 1.9, 9.4 ng m⁻³, respectively 91 (Nguyen et al., 2022), exceeding global guidelines for As and Cr (6.0 and 0.25 ng m⁻³, respectively; 92 WHO, 2021). In addition, a strong variability in PM2.5-bound MM can be observed between studies. 93 94 In Hanoi, over the same sampling periods (2001 - 2008 and 2002 - 2005), the mean Pb concentration in PM2.5 was assessed to 236 ng m⁻³ (Cohen et al., 2010) and 137 ng m⁻³ (Hopke et al., 2008), 95 respectively, namely a difference greater than 50% between the two studies. In addition, maximum 96 measured values were three times lower in 2001 - 2008 than in 2002 - 2005 (5.4 and 15.0 μ g m⁻³, 97 respectively), but these results are well above the WHO guidelines (0.15 µg m⁻³; WHO, 2021) for the 98 99 two studies.

100 Principal Component Analysis (PCA) is a statistical technique widely used to identify the 101 sources of MM, but the correlation matrices are poorly weighted and do not allow them to be 102 quantified. Alternative techniques using Chemical Mass Balance (CMB) or Positive Matrix 103 Factorization (PMF) are mathematical techniques used to solve systems of linear equations. The CMB 104 model requires a large number of samples and the identification of sources is based on the chemical 105 profiles from data obtained mainly in northern America, which may be different for southeast Asia 106 (Henry et al., 1984, Paatero and Tapper, 1994; Pant and Harrison, 2012). With the PMF model, results 107 are constrained to provide positive source contributions, and the uncertainty weighted difference 108 between the observed and predicted species concentration is minimized. However, analyses of large 109 datasets are often time-consuming and use up all the computer's available memory, which slow 110 down the programme and can even cause errors (Hopke, 2000). Using PMF model, Cohen et al. 111 (2010) found that the main sources of PM2.5 in Hanoi were from traffic $(40 \pm 10\%)$, industry 112 $(19 \pm 8\%)$, and coal $(17 \pm 7\%)$. The contribution of sources related to local and regional PM2.5 113 emissions is still under debate (Nguyen et al., 2020). In a recent study, Makkonen et al. (2023), found 114 that PM2.5 in Hanoi are from local and regional secondary inorganic emissions (18 and 25%, respectively), biomass burning (19%), dust (17%), traffic (12%) and industry (9%). Given the high 115 116 concentrations of PM2.5 and associated MM already observed, it is important to better define and 117 assess anthropogenic contributions in order to limit the risk of exposure to human health. (Nguyen et 118 al., 2022; Vo et al., 2022).

119 Isotopic analyses are commonly used for the identification and quantification of MM sources 120 (Chifflet et al., 2018). Although the stable radiogenic lead isotopic composition (²⁰⁶Pb, ²⁰⁷Pb and ²⁰⁸Pb) 121 can be naturally transferred in the environment *via* weathering processes (Stacey and Kramers, 122 1975), these isotopes are not significantly affected by physico-chemical fractionation processes after 123 mixing with secondary Pb sources thus providing an efficient tool for determining the origins and 124 pathways of Pb pollution (Komárek et al., 2008). In order to better assess the impact of 125 anthropogenic activities on air quality, we are presenting here a year-round study (November 2019 to December 2020) with quantitative measurements of 18 MM (Ag, Al, As, Cd, Co, Cr, Cu, Fe, Hg, Mn,
Ni, Pb, Sb, Sn, Ti, U, V and Zn) and lead isotopes analyses (²⁰⁶Pb, ²⁰⁷Pb and ²⁰⁸Pb) in PM2.5 collected in
Hanoi. The objectives are i) to identify and quantify the main anthropogenic sources, ii) to study the
impact of weather conditions on MM variability in PM2.5 and, iii) to assess potential inhalation risks
to human health per MM. Given the energy demand in Southeast Asia and the population density of
Hanoi, the objective of this article is not to conduct an exhaustive study of PM2.5 sources but to
focus on the impact of fossil fuels combustion on air quality.

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135 **2. Materials and Methods**

136 *2.1 Study site*

137 Hanoi is a megacity covering an area of 3,359 km² with a population of 5.2 million in 2023 138 (Makkoken et al., 2023). The city is located in the Red River delta (northern Vietnam) about 100 km 139 from the Gulf of Tonkin (Fig. 1). The subtropical climate is mainly influenced by the northeast 140 monsoon in winter (November to March) and the southeast monsoon in summer (May to 141 September) with two short intermediate seasons in spring and fall (Ngu and Hieu, 2004). In winter, 142 the high atmospheric pressure above central China brings cold and calm air masses to northern 143 Vietnam, the low boundary mixing layer favouring the accumulation of local atmospheric particles 144 (Hai and Kim Oanh, 2013). In summer, the high atmospheric pressure moves toward the southern 145 hemisphere bringing wet and warm air masses to Hanoi (Hien et al., 2002).

146 Hanoi is surrounded by many suburban industrial activities (e.g., Thang Long industrial Park 147 in the North, Saidong industrial zone in the East, Ngoc Hoi industrial zone in the South) and several 148 highly industrialized provinces in northern Vietnam (Thai Nguyen, Quang Ninh, Bac Ninh, and Hung 149 Yen) (Hien et al., 2020). Approximately 10 coal-fired power plants are located within 200 km of Hanoi 150 and emit large amounts of ΡM into the atmosphere 151 (https://globalenergymonitor.org/projects/global-coal-plant-tracker/tracker/). In addition, the vehicle traffic increases sharply together with the expansion of urban and industrial activities. In 2019, more than 6.6 million vehicles were registered in Hanoi (0.7 million cars and 5.8 million motorcycles) causing serious congestion problems on some roads during peak hours (Huu and Ngoc, 2021).

156

157 *2.2 Sampling*

158 PM2.5 were collected on the roof top of the University of Science and Technology of Hanoi 159 (USTH; 21.0489°N, 105.8011°E) using a Staplex High Volume Air sampler. The sampling was 160 conducted from November 2019 to December 2020 (14 months) with quartz fiber filters (Staplex Type TFAQ810 of 20x25 cm). PM2.5 samples (n = 27) were collected weekly over 24-hour periods (1 161 162 sample per week), except during the lockdown in April 2020 due to COVID-19 pandemic (corresponding to 4 "missing" samples as of 31/03/2020, 07/04/2020, 14/04/2020 and 21/04/2020). 163 The average air volume filtered –calculated using the recorded air flow and the sampling time– was 164 1803 ± 81 m³ filter⁻¹. Filters were pre-combusted (550°C, 12h) before sampling to prevent organic 165 166 matter and mercury residue (US EPA 2013). Field blanks were handled identically to the PM2.5 sampling, but were run on the Staplex sampler for only 1 min (Pekney and Davidson, 2005). The 167 168 quartz filters were kept frozen in clean aluminium foils and plastic bags until laboratory analyses.

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170 *2.3 Weather conditions*

During the study, weather data (wind speed and direction) were downloaded from the National Oceanic and Atmospheric Administration (NOAA, USA) station at Noibai International Airport (21.2212°N, 105.8072°E), 12 m above ground level. The NOAA station was located 23 km North of the USTH. Local precipitation was downloaded online (https://www.historiquemeteo.net/asie-sud-est/vietnam/2020/05/).

For each sampling period, the NOAA's Hybrid Single-Particle Lagrangian Integrated Trajectory
model (NOAA HYSPLIT; Stein et al., 2015; Rolph et al., 2017) was used to draw 24 h backward air

178 mass trajectories based on weather data in the Global Data Assimilation System (GDAS) archive 179 starting at 8 am (01:00 UTC) with a temporal resolution of 1 hour at the sampling site and the height 180 of 500 m (Fig. S1). However, the accuracy of an individual trajectory is limited by various uncertainties, leading to coarse approximation of air mass origin (Draxler et al., 2022). Large numbers 181 182 of trajectories were statistically used to identify homogeneous groups of transport pathways. 183 Weather conditions (wind roses and trajectory frequencies) grouped by seasonal variations (winter 184 and summer monsoon, intermediate spring and fall) are presented in Fig. 2 using the HYSPLIT model 185 available online (https://www.ready.noaa.gov/HYSPLIT.php).

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187 *2.4 Laboratory analyses*

2.4.1 Metals and metalloids (MM)

Sample processing was carried out in a clean laboratory (ISO 5) at the MIO (Aix Marseille 189 190 University), using bi-distilled (HCl and HNO₃) and commercial grade (HF; Optima grade, Fisher 191 Chemical) acids as well as ultrapure water (Milli-Q Integral 3, Millipore). The perfluoroalkoxy vials 192 (PFA vials, Savillex) were pre-cleaned in two acid baths (HCl 10%, 90°C, 24 h and HNO₃ 10%, 90°C, 193 24 h). The analytical tubes (metal-free centrifuge tubes, VWR) were pre-cleaned in HCl (10%) 194 overnight at room temperature. PFA vials and analytical tubes were thoroughly rinsed then dried 195 under a laminar flow hood (ISO 1) before using. Sub-samples (47 mm punch diameter) were digested 196 in PFA vials in a mixture of pure acids (HNO₃, 2 mL; HCl, 2 mL; HF, 1 mL), heated at 120°C during 24 h, 197 evaporated to near dryness, and re-dissolved into 3 mL of HNO_3 (2% v/v). The digested samples were 198 then diluted in analytical tubes using HNO_3 (2% v/v) before running MM analyses (modified method 3050B; US EPA, 1996). 199

200 MM (Ag, Al, As, Cd, Co, Cr, Cu, Fe, Mn, Ni, Pb, Sb, Sn, Ti, U, V and Zn) analyses were 201 performed using an inductively-coupled plasma mass spectrometer (ICP-MS NexION 300; Perkin 202 Elmer) at the LA-ICP-MS platform (CEREGE, Aix Marseille University). Indium was used as internal 203 standard to correct for instrumental mass bias. The digestion procedure was assessed using two 204 certified samples, from marine sediments (MESS4, National Research Council of Canada), and from 205 atmospheric PM (NIST1649b, National Institute of Standard and Technology, USA). Our 206 determinations of MM concentrations in certified samples were within the satisfactory target 207 recovery of 100 ± 15% (Chen and Ma, 2001). All MM concentrations in PM2.5 were blank corrected. 208 The latter had values below 1% for most MM, except for Al, Cr, Ni and U, which had values of 209 approximatively 3%. Quality control details are presented in Table S1.

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2.4.2 Mercury

212 For total Hg determination, sub-samples (47 mm punch diameter) were analysed by atomic 213 absorption spectrometry after thermal calcination (AMA-254, Leco). The method detection limit, 214 estimated as three times the standard deviation of blank samples, was 20 pg. The certified reference 215 material (MESS4, National Research Council of Canada) was run several times per analytical batch 216 and systematically before starting the measurements to check the accuracy of the measurements. 217 The measured values were always within \pm 5% of the recommended values.

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2.4.3 Lead isotopes

Lead isotopic analyses (²⁰⁶Pb, ²⁰⁷Pb, ²⁰⁸Pb) were performed using a multi collector inductively 220 221 coupled plasma mass spectrometer (MC-ICP-MS; Nu Instrument) at the I3 platform (IPREM, 222 University of Pau and Pays de l'Adour). All sample preparations were carried out in clean laboratories 223 (MIO, Aix Marseille University). Acids used were either bi-distilled (HCl and HNO₃) or commercial 224 (HBr; Optima, Fisher Chemical). In order to minimise matrix effects during analysis, Pb was extracted 225 from digested samples (see section 2.4.1) and purified by an ion exchange resin (Dowex 1X8, 100-200 226 mesh, Acros Organics) according to a conventional protocol (Monna et al., 1997; Ortega et al., 2012). 227 The purified Pb samples were introduced into the MC-ICP-MS as dry aerosol (via an APEX nebulizer attached to a SPIRO membrane; ESI) at 50 µg L⁻¹. An internal TI isotopic standard (NIST997; National 228 Institute of Standard and Technology, USA) was added at 12.5 µg L⁻¹ to all samples to correct mass 229

fractionation effects. In addition, a standard bracketing method was applied to correct the instrumental drift with a Pb isotopic standard (NIST981; National Institute of Standard and Technology, USA). Blank and Pb standard (NIST981) were run several times per analytical batch for the quality control procedure. The averages of the measured 207 Pb/ 206 Pb and 208 Pb/ 206 Pb ratios (n = 70) in these standards were 0.9144 ± 0.0002 and 2.1662 ± 0.0011, respectively, matching with the respective reference values of 0.9146 ± 0.0003 and 2.1681 ± 0.0008.

- 236
- 237 2.5 Data processing
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2.5.1 Reconstructed mass

PM2.5 was estimated using mass reconstruction equations (Querol et al., 2001; Putaud et al., 2010; Chow et al., 2015). Briefly, the concentration of PM2.5 is expressed as the sum of its representative chemical components, including: inorganic major ions (NH₄⁺, NO₃⁻, SO₄²⁻); organic carbon (OC); elementary carbon (EC, also known as black carbon or BC); geological minerals (Al, Ca, Fe, K, Mg, Mn, Ti, P); sea salts (Na⁺) and other elements (Cu, Ni, Pb, V, Zn). Chemical analyses were performed at the Air-O-Sol analytical platform (IGE, Grenoble Alpes University). Details of the PM2.5 mass reconstruction are presented in supplementary information.

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2.5.2 Sources apportionment of Pb

In Vietnam, Pb could come mainly from natural (soil) and anthropogenic (fossil fuel combustion) sources. Therefore, the contribution of each source could be assessed using Pb isotopic ratios (²⁰⁷Pb/²⁰⁶Pb and ²⁰⁸Pb/²⁰⁶Pb) with the following linear mixing model (Monna et al., 1997; Chifflet et al., 2018):

252
$$\left(\frac{{}^{207}Pb}{{}^{206}Pb}\right)_{PM2.5} = x_1 \left(\frac{{}^{207}Pb}{{}^{206}Pb}\right)_1 + x_2 \left(\frac{{}^{207}Pb}{{}^{206}Pb}\right)_2 + x_3 \left(\frac{{}^{207}Pb}{{}^{206}Pb}\right)_3$$
(1)

253
$$\left(\frac{{}^{208}Pb}{{}^{206}Pb}\right)_{PM2.5} = x_1 \left(\frac{{}^{208}Pb}{{}^{206}Pb}\right)_1 + x_2 \left(\frac{{}^{208}Pb}{{}^{206}Pb}\right)_2 + x_3 \left(\frac{{}^{208}Pb}{{}^{206}Pb}\right)_3$$
(2)

254
$$x_1 + x_2 + x_3 = 1$$
 (3)

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- 257

2.5.3 Health risk assessment

Health risk assessment provides indexes of adverse health effects for a specific group of population over a specific period (US EPA, 2005). It can be expressed as hazard quotient to assess the non-carcinogenic risk (HQ) and carcinogenic risk (CR). Some MM involved in carcinogenic processes were classified by the International Agency for Research on Cancer (IARC, 2023) as hazardous carcinogens (Group 1: As, Cd, and Cr^{IV}), probably carcinogens (Group 2A: Pb and Co^{0,II}), carcinogens (Group 2B: Ni, Ti, and V), pollutants of potential interest (Group 3: Hg⁰, Mn, Co^{III}, and Cr^{0,III}).

where the numbers in subscript (1, 2 and 3) represent sources, and x their relative contribution.

264 The inhalation exposure concentration (EC, μ g m⁻³) was expressed as follows (US EPA, 2009):

$$EC_i = \frac{C_i^{95\%} \times ET \times EF \times ED}{AT_n}$$
(4)

where $C_i^{95\%}$ is the mean concentration (95% upper confidence limit) of a metal or metalloid in PM2.5 (μ g m⁻³); *ET* is the exposure time (24 h d⁻¹); *EF* is the exposure frequency (365 d yr⁻¹); *ED* is the exposure duration (yr); and AT_n is the averaging time (= *ED* x 365 d x 24 h d⁻¹ and 70 yr x 365 d yr⁻¹) $^1 x 24 h$ for non-carcinogens and carcinogens, respectively). The reference values (*ET*, *EF*, *ED* and *AT_n*) are from the EPA Integrated Risk Assessment System (EPA IRIS) website (https://epa.gov/iris).

The mean concentration of a metal or metalloid in sample ($C_i^{95\%}$) is considered as the reasonable maximum exposure and was calculated as follows (Zhang et al., 2021):

273
$$C_i^{95\%} = exp\left(\overline{lnC_i} + 0.5 \times S_{lnCi}^2 + \frac{S_{lnCi} \times H_{0.95}}{\sqrt{n-1}}\right)$$
(5)

where $\overline{lnC_i}$ and S_{lnCi} are the arithmetic mean and standard deviation of the log-transformed C_i , respectively; *n* is the number of samples. Statistical $H_{0.95}$ values that depend on S_{lnCi} , *n*, and the confidence level (0.05) are taken from Gilbert (1987).

The non-carcinogenic (HQ) and carcinogenic (CR) risks associated with chemical inhalation
exposure were calculated as follows (US EPA, 2009):

$$HQ_i^{inh} = \frac{EC_i}{RfC_i \times 1000}$$
(6)

$CR_{i}^{inh} = EC_{i} \times IUR_{i}$	(7)
- 1 - 1 - 1	()

281 where RfC_i and IUR_i are the reference concentration and the inhalation unit risk for a metal or metalloid *i*, respectively. $HQ_i > 1$ indicates a potential chronic effect through inhalation of a metal or 282 283 metalloid *i*. CR_i indicates the probability that a person will develop cancer by inhaling certain MM with varying risk of very low (CR $\leq 10^{-6}$), low ($10^{-6} \leq$ CR $< 10^{-4}$), moderate ($10^{-4} \leq$ CR $< 10^{-3}$), high 284 $(10^{-3} \le CR < 10^{-1})$, very high $(CR \ge 10^{-1})$ (Hu et al., 2012; Roy et al., 2019; Behrooz et al., 2021). To 285 286 assess the risk of exposure to multiple MM, the cumulative HI and TCR indexes were calculated as 287 the sum of individual HQ_i and CR_i, respectively. Updated reference values (RfC_i and IUR_i) can be found on the Office of Environmental Health Hazard Assessment website (https://dtsc.ca.gov; OEHHA, 288 289 2019) or in Zhang et al. (2021) and shown in Table S2.

290

280

291 2.6 Multivariate statistical analyses

Statistical analyses were performed using XIstat software package version 2022.2.1 (Addinsoft 2023, Boston, USA, https://www.xIstat.com). First, the normality and homoscedasticity of data were tested. Then, Principal Component Analysis (PCA) and Hierarchical Cluster Analyses (HCA) were applied to explain the temporal variability of MM in PM2.5. The Pearson correlation matrix was used to examine the relationships between concentrations of the various MM. The Ward's method with Euclidean distances was used to assess similarity or dissimilarity between samples. All statistical tests were performed with a probability (p) < 0.05.

- 299
- 300
- 301 **3. Results**

302 3.1 Temporal variations of PM2.5 and MM concentrations

303 PM2.5 concentrations varied from 8.3 to 148 μ g m⁻³ during the sampling period (November 304 2019 to December 2020) (Fig. 3; Table S3). High concentrations were observed mainly during the 305 winter monsoon, dominated by east and northeast winds. Conversely, low PM2.5 concentrations 306 were observed during the summer monsoon, dominated by southeast or southwest winds. This 307 monitoring was consistent with recent studies of PM2.5 levels in Hanoi (Ngoc et al., 2021, Ly et al., 308 2021; Bui et al., 2022; Voung et al., 2023). The average annual concentration (40.2 \pm 26.3 μ g m⁻³) was 309 1.6 and 8.0 times higher than the national standard (25 μg m⁻³; QCVN 05:2013/BTNMT) and the World Health Organization guidelines (5 μ g m⁻³; WHO, 2021), respectively. For the 24-hour exposure 310 311 to PM2.5, 31.6% and 89.5% of the samples had concentrations above the national standard (50 μ g m⁻ ³; QCVN 05:2013/BTNMT) and the WHO guidelines (15 µg m⁻³; WHO, 2021), respectively. Although 312 very low precipitation (near 0 mm d⁻¹) was recorded in winter and spring, a large increase in 313 314 precipitation was observed from summer onwards, reaching a maximum of 135 mm d⁻¹ in October 2020. Wind speed was variable $(2.81 \pm 0.85 \text{ m s}^{-1})$ during the sampling period but the lowest values 315 316 were recorded in winter.

317 Statistical data (average, minimum and maximum) for PM2.5-bound MM concentrations over 318 the sampling period are presented in Table 1 and detailed values per sample in Table S4. PM2.5-319 bound MM average concentrations varied over several orders of magnitude, with Al, Fe and Zn being the most abundant (higher than 300 ng m⁻³), followed by Cu, Mn, Pb and Ti (between 30 and 60 ng m⁻¹ 320 ³), As, Cd, Cr, Ni Sb, Sn and V (between 1 and 5 ng m⁻³), and other MM (Ag, Co, Hg and U) at less than 321 0.3 ng m⁻³. These results are in good agreement with previous studies in Hanoi (Nguyen et al., 2022; 322 323 Bui et al., 2022; Vuong et al., 2023). No information was found to compare these values with the 324 recommendations of national or global health organizations. However, for PM10 (atmospheric 325 particulate matter < 10µm in aerodynamic diameter), the European Community and the WHO recommend limiting annual exposure to Pb, Ni, As, Cd and Cr^{VI} to 150, 20, 6, 5, and 0.25 ng m⁻³, 326 327 respectively (Directives 2008/50/EC; 2004/107/EC; WHO, 2021). In the present study, some targeted 328 MM (Pb, As, Cd and Cr) had 24-hour maximum concentrations above the annual exposure guidelines.

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3.2 Principal Component Analysis and clustering

331 The Pearson correlation matrix and Ward's Euclidean distances were examined to highlight 332 the links between PM2.5-bound MM and weather data (wind speed, precipitation and wind direction 333 as qualitative values). High and significant correlations (r > 0.6 and p < 0.05, n = 57) were observed 334 between all variables except Ag, Cu and Precipitation (Table S5). Although wet deposition is 335 considered a major process for removing particulate-bound MM, transfer mechanisms depend on 336 particle size and metal solubility (Pan and Wang 2014; Jaffrezo and Colin, 1988). PM10 is more 337 readily recovered than PM2.5 (Cheng et al., 2021; Zhou et al., 2021) and only the soluble fraction of 338 MM is cleaned from particles (Vithanage et al., 2022). These considerations may explain the lack of 339 correlation between PM2.5-bound MM and precipitation. In addition, the 24-hour sampling 340 conducted on 10/12/2019 and 12/05/2020 made high contribution (26 and 40%, respectively) to 341 factors loadings focusing multivariate statistical analyses on these two specific events instead of the 342 overall study. These two events were characterized by maximum concentrations of PM2.5, Al, Ti, Fe, 343 Co, Pb and Hg on 10/12/2019 and, a low concentration of PM2.5 associated with high concentrations 344 of Ni and Cr on 12/05/2020.

345 To better assess the impact of seasonal variations PM2.5-bound MM, multivariate statistical 346 analyses were reviewed without these two samples, and by redefining some variables (wind speed 347 and direction, precipitation, Ag and Cu) as illustrative parameters (Fig. 4). The original variables were reduced to two factors (F1 and F2) explaining 65% of the total variance with eigenvalues > 1. F1 had a 348 349 high positive loading for all MM and negative loading for weather data (wind speed and 350 precipitation). F2 had a high positive loading for Al, Cr, Co, Fe, Ni, Ti, U, and V and a negative loading 351 for As, Cd, Hg, Mn, Pb, Sb, Sn and Zn. The scatter plot corresponding to samples showed two clusters 352 (C1 and C2) clearly distributed along F1 axis with a positive barycentre for C2 and a negative 353 barycentre for C1. Although PM2.5 in C1 could have originated from different air mass trajectories, 354 PM2.5 in C2 all originated from northerly or north-easterly winds (Table S6).

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356 *3.3 Lead isotopes*

357 The complex mixture of particles does not allow for accurate quantification of anthropogenic sources using statistical studies alone. Due to quantum theory, isotopes are powerful tools for 358 359 tracing Pb sources and atmospheric transport pathways. Although the isotopic composition of stable 360 radiogenic lead (²⁰⁶Pb, ²⁰⁷Pb and ²⁰⁸Pb) is naturally transferred in the environment (Bollhöfer and Rosman 2000), this composition may vary after mixing with secondary sources emitted by 361 362 anthropogenic activities (Zheng et al., 2004; Komárek et al., 2008). Despite the phase-out of leaded 363 gasoline in southeast Asia over the past two decades, anthropogenic Pb inputs are still large enough 364 to accurately quantify its sources of emissions (Mukai et al., 2001a; Bing-Quan et al., 2001; Yao et al., 365 2015). Lead isotopic ratios in PM2.5 collected during the sampling period ranged from 0.8005 to 0.8702 for ²⁰⁷Pb/²⁰⁶Pb and from 1.9754 to 2.1149 for ²⁰⁸Pb/²⁰⁶Pb (Table S7). These ratios were 366 367 consistent with those found locally in the Tay Ho urban lake in Hanoi (Kikuchi et al., 2010) or with 368 PM10 collected in Haiphong (Chifflet et al., 2018) showing the good relevance of the results.

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371 4. Discussion

372 4.1 Sources identification

373 Atmospheric particle are highly dynamic and reactive compounds whose chemical 374 composition is attributed to a wide range of natural and anthropogenic sources (Laskin et al., 2019). 375 Because PM-bound MM depend primarily on soil composition, raw material use, and primary energy 376 sources (from oil and coal combustion), elemental ratios are widely used to identify emission sources 377 (e.g., Okuda et al., 2004; Hopke and Cohen, 2011). For example, V/Ni is well-known as indicator oil 378 combustion (Pacyna and Pacyna, 2001). Previous studies have reported typical V/Ni ratios in heavy 379 oil combustion for ship engines (with values between 3 – 4, Mazzei et al., 2008), in oil combustion for 380 household heating (2.4; Lee et al., 2000) or in road traffic (1.49; Thorpe and Harrison, 2008). In 381 addition, low V/Ni ratios (0.5) have also been reported in Chinese megacities (Beijing, Changchun and 382 Chengdu) and attributed to PM from traffic and/or coal mining activities (Xu et al., 2020). Finally, V/Ni ratios of 0.55 and 0.15 have also been found in Vietnamese coal and diesel, respectively (Chifflet et al., 2018). In this study, the V/Ni ratios in PM2.5 ranged from 0.7 to 2.8, showing the mixing of various sources (probably from fossil fuel combustion). A specific trend (V/Ni = 0.15, $r^2 = 0.98$, n = 3) was also observed in the spring under easterly winds with V/Ni highlighting the impact of road traffic in the samples (Fig. 5a).

Coal combustion, industrial smelting and waste incineration are important 388 sources of Cd and Pb in atmospheric particles (Pacyna and Pacyna, 2001; Sun et 389 al., 2010; Tang et al., 2013; Sun et al., 2014). Generally, Cd/Pb ratios in 390 391 urban/industrial cities in Asia have values close to 0.033 in Taiwan (Chen et al., 2015), 0.030 in Taipei (Hsu et al., 2005), 0.025 in Beijing and Changchun and 392 0.049 in Chengdu (Xu et al., 2020). In Europe, same ratios (0.029) have also been 393 394 observed in the steel industry (Oravisjarvi et al., 2003) and higher values (0.08) have been found in municipal waste incinerators (Font et al., 2015). Conversely, 395 lower Cd/Pb ratios have been found in natural Asian soils (0.017; Hsu et al., 2005) 396 397 or rural European villages (0.013; Font et al., 2015). In this study, the Cd/Pb ratios in PM2.5 showed two trends with values close to 0.032 ($r^2 = 0.96$; n = 48) or close to 0.012 ($r^2 = 0.99$; 398 399 n = 8) (Fig. 5b). Although the highest trend appears to be independent of weather conditions, the 400 lowest trend was observed with southeasterly winds and rainy days. These results could indicate a dominant emission of PM2.5 from local urban/industrial activities throughout the year and a 401 predominance of natural inputs of PM2.5 in specific weather conditions. In addition, high Cd/Pb ratio 402 403 (0.088) was also observed during a specific event (18/02/2020) probably related to waste 404 incineration emissions.

Sb is mainly derived from anthropogenic sources, in particular emissions from brake pad wear (Varrica et al., 2013) as well as, more broadly, from industrial activities and fossil fuel combustion (Pacyna and Pacyna, 2001; Tian et al., 2014). A specific Sb/Cd ratio of 5.0 has been attributed to road brake pad wear (Thorpe and Harrison, 2008) A lower Sb/Cd ratio can also be found 409 in Chinese and Vietnamese coal but the value varies by geographical area. Indeed, a Sb/Cd ratio of 410 1.2 was found in the Liupanshui coal mine (Guizhou, southwest China; Zhuang et al., 2000) and an 411 average of 0.9 (n = 1,123) was proposed for the whole country (Dai et al., 2012). However, coal mines 412 in northern Vietnam are rich in organic sulphur and enriched with Sb thus increasing the Sb/Cd ratio 413 (Li et al., 2023). For example, a Sb/Cd ratio of 3.0 was found in the Coc Sau coal mine (Chifflet et al., 414 2018). In this study, high variability in Sb/Cd ratios was observed in PM2.5, indicating a possible mix 415 of different anthropogenic sources (Fig. 5c). Three trends were observed during the sampling period 416 with Sb/Cd ratios close to 4.3 ($r^2 = 0.99$; n = 32), close to 2.6 ($r^2 = 0.99$; n = 14) or close to 1.3 417 $(r^2 = 0.92; n = 11)$. Although the weakest trends are observed by east and northeast winds 418 (Sb/Cd = 1.3) or northeast and southeast winds (Sb/Cd = 2.6), the highest trend (Sb/Cd = 4.3) does 419 not appear to be influenced by wind direction. These results seem to confirm the predominance of 420 regional and local PM2.5 emissions from coal activities mixed with local PM2.5 emissions from road 421 traffic..

422 Rapid urbanisation and industrialisation in southeast Asia, as well as long-range 423 transboundary transport of atmospheric PM, make it difficult to assess the presence of natural 424 sources in this region. In general, natural particles are emitted by wind erosion of soils in arid regions. 425 MM concentrations from pristine soil in Vietnam are missing. However, soil dust appears to be the 426 dominant source of Al and Ti in Hanoi (Hein et al., 2022) and a significant Al/Ti ratio of 14.5 (r² =0.98; 427 n = 54) is observed in this study (Fig. 5d). Same Al/Ti ratio (14.3) was also found in PM2.5 collected 428 during sand dust storms in northern Chinese megacities (Xu et al., 2004a; Shen et al., 2007) showing 429 a possible long-range transport of atmospheric PM. In Seoul (North Korea), the variation in the Al/Ti 430 ratio was closely related to atmospheric transport of particles from the Gobi Desert through China 431 and Mongolia (Al/Ti = 23.5) or particles emitted locally by soil resuspension (Al/Ti = 15.2) (Kim et al., 432 2003). In addition, an average Al/Ti of 25 was proposed for the upper continental crust (Wedepohl, 433 1995). These results show the likely mix between natural dust and urban dust in this study.

4.2 Effect of local and regional air mass transport on source distribution

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4.2.1 Impact of weather conditions on MM concentrations

PM typically persists in the atmosphere between a few days and several weeks before being 437 438 scavenged by dry or wet deposition (Seinfeld 2014). During their lifetime, they may travel long 439 distances between the source of emission and the deposit area, undergoing chemical and physical 440 transformations. Therefore, weather conditions can strongly influence their concentrations and the 441 MM. In this study, the PCA-HCA analyses showed that high MM concentrations in cluster C2 occurred 442 with north or northeast winds during the winter monsoon (Fig. 4). In addition, in C2, PM2.5 443 concentrations increased as the wind speed decreased (Fig. S2). Such results have already been 444 observed in Hanoi (Hai and Kim Oanh, 2013; Nguyen et al., 2022; Makkoken et al., 2023). This pattern 445 is attributed to recurring temperature inversion events in air layers in winter monsoon, thus limiting 446 the dispersion of PM (Hien et al., 2002; Ly et al. 2021).

447 In winter, a ridge of high-pressure flows air masses from Siberia to China towards northern 448 Vietnam, bringing cold and dry air, enriched by anthropogenic particles during their long-range 449 transport. However, this is not the only pathway that controls the transport of particles in Hanoi. The 450 height of the boundary layer at the Earth's surface also plays an important role in vertical mixing. 451 Previous studies have shown that high concentrations of elemental carbon (i.e., black carbon 452 produced by incomplete combustion of fossil fuels) reduces vertical mixing (Wilcox et al., 2016; Hu et 453 al., 2020; Duc et al., 2023). This is because the black carbon emitted in the upper air mass absorbs 454 the sunlight, which heats up faster than the air mass closest to the Earth's surface (Ding et al., 2016). 455 As a result, black carbon induces a reduction in turbulent kinetic energy in the surface mixed layer, 456 which promotes its enrichment by local particle emissions (Petäjä et al., 2016). Conversely, during 457 the summer monsoon, winds from the southeastern South China Sea and the sub-tropical western 458 Pacific carry warm, moist, particle-depleted air. High temperatures enhance strong atmospheric convection, thus improving the dispersion of anthropogenic emissions (Hein et al., 2002; Yang et al. 459

460 2018). In addition, heavy precipitation during the intermediate fall periods further decreases PM2.5
461 (and bound MM) due to the scavenging effects (Wang et al. 2018).

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4.2.2 Temporal variation of sources

464 The predominant origin of Pb in PM2.5 was further evidenced by the plotting of Pb isotopic ratios (²⁰⁸Pb/²⁰⁶Pb vs ²⁰⁷Pb/²⁰⁶Pb) from different anthropogenic sources (urban traffic and unleaded 465 466 gasoline, urban/industrial activities and coal mining) of neighbouring countries (i.e., China, India, 467 Indonesia, Taiwan, Thailand) (Fig. 6, Table S8). Lead in atmospheric particles has been extensively 468 studied in Asian countries over the last two decades and has shown specific isotopic variations depending on the emission source (Bing-Quan et al., 2001; Bollhöfer and Rosman, 2001; Mukai et al., 469 470 2001a,b; Zhu et al., 2001; Zheng et al., 2004; Yao et al., 2015; Zhao et al., 2015: Bi et al., 2017). Here, 471 the mixing between three sources is represented by a triangle whose end-members define the Pb 472 isotopic ratios in sources S1, S2 and S3. The end-member S1, less radiogenic than other 473 anthropogenic samples, could represent the geochemical background derived from the Earth's crust 474 (Bollhöfer and Rosman, 2001; Li et al., 2001). However, due to the high heterogeneity of Asian soils 475 and the intensity of anthropogenic activities, the measurement of the geochemical background is 476 uncertain. It is highly probable that natural dusts from long-range transport are contaminated by 477 deposition of anthropogenic emissions (Table 2). The Pb isotopic composition in S1 was compared to 478 ceramic or metals assemblies from neolitic archaeological site in southeast Asian (Pryce et al., 2014). 479 The relics had Pb isotopic signatures close to S1, thus confirming the latter as a natural background. 480 Therefore, Pb isotopic composition in 'natural' soil (S1) were assessed by calculating the intercept between the "coal line" and the "oil line". The Pb isotopic ratios in S2 were associated with those of 481 482 the Coc Sau coal mine (northern Vietnam; Chifflet et al., 2018) which merges with other Chinese coal mines (the solid green circles specifically representing the southern mines). Finally, the end-member 483 S3 was associated with values from vehicle exhaust emissions in the megacity of Bangkok (Thailand, 484 485 Bing-Quan et al., 2001) which also merges with those from Chinese unleaded gasoline (Yao et al., 2015) and Russian oil fields (Mukai et al., 2001b). The orange linear trend is defined with Pb isotopic
ratios measured in samples from anthropogenic petroleum-related activities labelled S3 'oil', here
after.

489 The contributions of the three Pb sources in PM2.5 was calculated using a linear mixing 490 model (Fig. 7, Table S9). A low contribution of 'natural' soil ($14 \pm 11\%$) and high PM2.5 concentrations $(54 \pm 30 \ \mu g \ m^{-3})$ were observed during the northeast winter monsoon. Conversely, the contribution 491 492 of 'natural' soil increased sharply (up to 83% on 07/07/2020) with a decrease in PM2.5 493 concentrations ($26 \pm 11 \mu g m^{-3}$) during the southeast summer monsoon. In winter, this contribution 494 can be attributed to Asian dust storms, which transport fine soil dust from eastern (or inland) China 495 to northern Vietnam before reaching Hanoi. Locally, the dry climate favours the resuspension of 496 street dust and fine particles from vehicle exhaust (Hein et al., 2002). In addition, during this period, 497 air masses with low vertical mixing favour PM2.5 enrichment (Ngoc et al., 2021), thus decreasing the 498 natural contribution of PM2.5 from long-range transport. Conversely, during the summer monsoon, 499 the increase in natural contribution of PM2.5 can be attributed to both mixing with a cleaner marine 500 airflow and scavenging of local anthropogenic emissions due to a wet climate. The 'oil' contribution 501 (encompassing any use) in PM2.5 remained stable over the sampling period ($48 \pm 13\%$ during the 502 northeast winter monsoon and $42 \pm 17\%$ during the southeast summer monsoon), although the year 503 2020 is marked by the traffic restrictions imposed by COVID-19 and a possible reduction in fuel 504 consumption in many motorists (e.g., vehicles, buses, trucks etc.). In China, almost one third of the 505 cities were in lockdown for several weeks in January and February 2020, resulting in improved local 506 air quality (He et al., 2020; Yuan et al., 2021). Therefore, during this period (winter monsoon), Hanoi 507 may have been less impacted by transboundary oil emissions. In addition, during the summer 508 monsoon, South China Sea winds are expected to decrease the anthropogenic contribution of 509 atmospheric particles in Hanoi (via dilution and wet scavenging) which does not seem to be the case 510 for the 'oil' contribution which remains stable over the year. These observations suggest that 'oil' 511 contribution in PM2.5 was mainly of local origin, not influenced by long-range transport from China

512 or South China Sea. In contrast, coal had a higher contribution in PM2.5 during the northeast winter monsoon (38 ± 13%) than during southeast summer monsoon (26 ± 15%). Despite the rapid 513 514 development of green energy (wind and solar), CO_2 emissions continue to rise in China. In 2020, the Chinese coal industry emitted 761 Mt CO₂ (i.e, 70% of China's total CO₂ emissions) due to the 515 516 growing demand for electricity (IEA, 2020; https://ourworldindata.org/). In addition, Vietnam has 517 also large coal reserves in the north of the country and the share of coal-fired power generation has 518 increased to 50% by 2020 (https://ember-climate.org/). Therefore, the results show a latent local 519 coal contribution in PM2.5 (about 26%) enriched by Chinese coal emissions (about +12%) in winter.

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4.3 Human health risk assessment through exposure to PM2.5

522 In this study, PM2.5 contain high concentrations of MM, which may constitute a health risk. 523 Therefore, a comprehensive human health risk assessment can provide useful information for public 524 policy management. The hazard quotient (HQ_i) per element could be ranked in the following order: 525 Cr > Pb > Sb > Zn > Cu > Mn > As > Ti > Cd > V > Ni > Al > Co > Hg > U. The cumulative hazard index 526 (HI) was less than 1 (*i.e.*, 0.076), suggesting that inhalation of the 15 elements bound to PM2.5 has no 527 chronic influence (Table 3). In addition, the total carcinogenic risk (TCR) was estimated at 1.0 10⁻⁴, 528 which suggests that the probability of a person developing cancer by inhalation is low, but would be mainly imputable to Cr. 529

530 Other studies on human health risk assessment of PM2.5 in Hanoi estimated risk values similar to our results: HI = 0.13 ± 0.08 and TCR = 1.13×10^{-5} (Hein et al., 2022), HI = 1.16 and TCR = 9×10^{-5} 531 ⁶ (Nguyen et al., 2022) or HI = 0.006 and TCR = $5.5 \ 10^{-7}$ (Bui et al., 2022). The differences between HI 532 and TCR values may be explained by the random or incomplete sampling frequency, which were 533 carried out only in winter 2018-2019, in three seasons winter-spring-fall 2020 or in two seasons 534 summer-fall 2020, respectively. However, these studies concluded that Cr and As presented a risk of 535 536 inhalation exposure. According to the International Agency for Research on Cancer, Cr (Group 1) can 537 induce harmful human health effects. Coal naturally contains large amounts of Cr (Zhang et al., 2004; Ketris and Yudovich, 2009; Dai et al., 2012) which are transferred to atmospheric particles during
combustion processes (Xu et al., 2004b). Consequently, coal mining and combustion could potentially
induce human diseases.

541 Despite risks on human health, Vietnam has significantly increased its use of coal, positioned 542 as the world's tenth largest producer of coal-fired electricity. In 2022, Vietnamese economy grew by 543 8% with a very large increase in energy use (https://ember-climate.org/). Despite the boom in the 544 deployment of renewables energies, Vietnam faces several challenges (industrial, economic and 545 politic) in its effort to become carbon neutral. A "Just Energy Transition Partnership" plan was signed 546 in 2022 in order to help the country to peak its greenhouse gas emissions by 2030 instead of 2035, 547 limiting its production of coal energy to 30.2 GW instead of 37 GW, and source 47% of its power from 548 renewable energy by 2030 (COP27 climate summit; https://unfccc.int/cop27). This study highlights 549 the need for strict regulatory plans to control hazardous MM emissions by reducing coal combustion. In addition, due to the impact of oil combustion in PM2.5, public policies should encourage 550 551 conversion to green transport to limit global warming.

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554 5. Conclusion

555 Our study provided a detailed overview of the annual variation in PM2.5 concentrations in 556 Hanoi (northern Vietnam), evaluated Pb anthropogenic sources and examined the impact of weather 557 conditions on inhaled MM concentrations and related toxic risks.

The annual average PM2.5 concentration $(40.2 \pm 26.3 \ \mu g \ m^{-3})$ was 1.6 and 8.0 times higher than the national and global standards, respectively. In addition, some PM2.5-bound MM (Pb, As, Cd and Cr) had 24-hour maximum concentrations above the annual exposure limits. Chemical ratios (V/Ni, Cd/Pb, Sb/Cd and Al/Ti) presented typical trends indicating the dominance of three emission sources from road traffic, natural soil, and coal uses (mining, combustion). The source contribution was assessed using Pb isotopic ratios (²⁰⁸Pb/²⁰⁶Pb vs ²⁰⁷Pb/²⁰⁶Pb). The oil contribution (encompassing 564 any petroleum-related activities) to PM2.5 remained stable over the sampling period (November 2019 to December 2020) with an annual average of 45%. In contrast, PM2.5 inputs from soil and coal 565 566 were impacted by weather conditions. Indeed, 'natural' soil contribution varied between 14 to 83% 567 depending on the winter and summer monsoons, respectively.. Conversely, coal contribution was 568 higher in the winter (38%) than in the summer (26%) monsoon. This phenomenon could be due to the 569 BC emissions (from the incomplete combustion of fossil fuels) coming from both long-range air 570 transport and local emissions. In addition, the human health risk assessment showed that PM2.5-571 bound MM concentrations obtained in this study have no chronic influence although Cr, Pb and Sb 572 have the highest HQ_i values. The carcinogenic risk is low but mainly imputable to Cr.

In order to discriminate more precisely the black carbon fractions linked to agricultural burning from those linked to the combustion of fossil fuels, a companion paper will cover the study of major inorganic ions $(NH_4^+, NO_3^-, SO_4^{2-}, Na^+)$ and other elements (Ca, K, Mg, P), as well as carbon speciation (OC, EC, sugars).

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580 Supplementary information

581 The supplementary material related to this article is available online at XXX.

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1016 Tables

Table 1. Variations (Mean, Min and Max) of PM2.5-bound MM concentrations (ng m⁻³) during the sampling period (November 2019 to December 2020).

	Ag	Al	As	Cd	Со	Cr	Cu	Fe	Hg	Mn	Ni	Sb	Sn	Pb	Ti	U	v	Zn
Mean	0.285	349	3.97	1.82	0.153	3.31	56.8	295	0.190	32.0	1.34	4.81	3.38	65.7	26.3	0.039	1.20	444
σ	0.467	271	2.80	1.43	0.090	5.31	90.9	198	0.134	43.9	1.31	3.83	2.31	71.6	19.2	0.031	0.700	545
Min	0.012	15.4	0.674	0.179	0.028	0.412	2.28	70.9	0.010	2.83	0.018	0.646	0.784	3.29	4.29	0.001	0.137	22.5
Max	3.47	1427	11.2	5.95	0.477	40.5	641	1033	0.576	275	7.01	16.9	10.8	392	98.2	0.142	3.95	2054

1018 Mean and standard deviation (σ) include all samples of this study (n = 57).

Table 2. Pb isotopic compositions in estimated natural soil, urban aerosol and relics from China and

southeast Asian.

Estimated natural soil (Bing-Quan et al., 2002) Northeast China min Northeast China max North China min North China max Indochina min Indochina max Aerosol from urban cities (Bollhöfer and Rosman, 2000) Vietnam Heni Vietnam Heni Thailand Bangkok Malaysia Kuala Lummpur	0.8673 0.8511 0.9615 0.8621 0.8410 0.8278 0.8569 0.8569	2.1084 2.0800 2.2817 2.1457 2.0698 2.0613 2.1020
Northeast ChinaminNortheast ChinamaxNorth ChinaminNorth ChinamaxIndochinaminIndochinamaxAerosol from urban cities (Bollhöfer and Rosman, 2000)VietnamHeniVietnamHuzhiminThailandBangkokMalaysiaKuala Lummpur	0.8673 0.8511 0.9615 0.8621 0.8410 0.8278 0.8569 0.8658	2.1084 2.0800 2.2817 2.1457 2.0698 2.0613 2.1020
Northeast ChinamaxNorth ChinaminNorth ChinamaxIndochinaminIndochinamaxAerosol from urban cities (Bollhöfer and Rosman, 2000)VietnamHeniVietnamHuzhiminThailandBangkokMalaysiaKuala Lummpur	0.8511 0.9615 0.8621 0.8410 0.8278 0.8569 0.8559	2.0800 2.2817 2.1457 2.0698 2.0613 2.1020
North China min North China max Indochina min Indochina max Aerosol from urban cities (Bollhöfer and Rosman, 2000) Vietnam Heni Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.9615 0.8621 0.8410 0.8278 0.8569 0.8658	2.2817 2.1457 2.0698 2.0613 2.1020
North China max Indochina min Indochina max Aerosol from urban cities (Bollhöfer and Rosman, 2000) Vietnam Heni Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.8621 0.8410 0.8278 0.8569 0.8658	2.1457 2.0698 2.0613 2.1020
Indochina min Indochina max Aerosol from urban cities (Bollhöfer and Rosman, 2000) Vietnam Heni Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.8410 0.8278 0.8569 0.8658	2.0698 2.0613 2.1020
Indochina max Aerosol from urban cities (Bollhöfer and Rosman, 2000) Vietnam Heni Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.8278 0.8569 0.8658	2.0613 2.1020
Aerosol from urban cities (Bollhöfer and Rosman, 2000) Vietnam Heni Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.8569 0.8658	2.1020
Vietnam Heni Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.8569 0.8658	2.1020
Vietnam Huzhimin Thailand Bangkok Malaysia Kuala Lummpur	0.8658	2 1020
Thailand Bangkok Malaysia Kuala Lummour		2.1039
Malaysia Kuala Lummnur	0.8873	2.1331
	0.8764	2.1122
Indonesia Bandung	0.9116	2.1568
Neolitic relics from southeast Asia (Pryce et al., 2014)		
Thailand Khao SaKaeo 400-200 BC	0.7695	2.0063
Thailand Non Pa Wai 1000-300 BC	0.7785	1.8921
Thailand Phu Lon 1000 BC	0.7877	1.9534
Vietnam Lang Nhon NA	0 7958	2.0531

1027	Table 3. Estimation of h	numan health ris	sk from PM2.5	inhalation in Hanoi:	detailed indices	$(HQ_i \text{ and }$

	HQi	CR _i
Ag	NA	NA
Al	7.4 10 ⁻⁵	NA
As	2.9 10 ⁻⁴	6.3 10 ⁻⁶
Cd	2.1 10 ⁻⁴	3.0 10 ⁻⁶
Со	2.7 10 ⁻⁵	5.0 10 ⁻⁷
Cr	3.1 10⁻²	8.8 10 ⁻⁵
Cu	1.5 10 ⁻³	NA
Fe	NA	NA
Hg	6.7 10 ⁻⁶	NA
Mn	6.6 10 ⁻⁴	NA
Ni	9.5 10 ⁻⁵	1.2 10 ⁻⁷
Pb	2.2 10 ⁻²	3.2 10 ⁻⁷
Sb	1.8 10⁻²	NA
Sn	NA	NA
Ti	2.8 10 ⁻⁴	NA
U	1.1 10 ⁻⁶	NA
V	$1.8 \ 10^{-4}$	3.7 10 ⁻⁶
Zn	1.7 10 ⁻³	NA
	HI	TCR
Total	7.6 10 ⁻²	1.0 10 ⁻⁴

1029 NA: not available



Figure 1: Localisation of sampling site



1035 Figure 2: Wind roses (a) and trajectory frequencies (b) grouped by seasonal variations during the sampling period. Colour scales of wind speed (WS) and

1036 trajectory frequencies are expressed in m s-1 and %, respectively (NOAA HYSPLIT model, <u>https://www.ready.noaa.gov/HYSPLIT.php</u>).



Figure 3: Seasonal variations in PM2.5 concentrations (μg m⁻³) and precipitation (mm d⁻¹). Abbreviations indicate the wind direction: Northeast (NE), East (E),

1039 Southeast (SE), Southwest (SW), Northwest (NW) and not defined (ND)



Figure 4: Statistical analyses (PCA-HCA) of MM concentrations in PM2.5 during the sampling period (November 2019 to December 2020). The contribution
 of the active and illustrative variables on axes F1 and F2 are presented in solid and dotted lines, respectively. The distribution of samples in clusters C1
 and C2 are presented in solid and open circles, respectively.





Figure 5: Elementary ratios in PM2.5 collected during the sampling period (November 2019 to December 2020): a) V/Ni, b) Cd/Pb, c) Sb/Cd and d) Al/Ti



Figure 6: Pb isotopic ratios (²⁰⁸Pb/²⁰⁶Pb vs ²⁰⁷Pb/²⁰⁶Pb) in PM2.5 collected in Hanoi during the sampling period (in black). The coloured data comes from the
 literature, in green for Chinese coal mines (solid circles come from southern provinces), in blue for Chinese urban and industrial activities and in
 orange for petroleum-related activities (*e.g.*, from road traffic in Asian megacities, unleaded Chinese gasoline and Russian oil fields).



Figure 7: Contribution of Pb sources from 'natural' soil, 'oil' and coal to PM2.5 during the sampling period (November 2019 to December 2020).
 Abbreviations indicate the wind direction: Northeast (NE), East (E), Southeast (SE), Southwest (SW), Northwest (NW) and not defined (ND)