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² Conceptual study of a Compton polarimeter for the

- upgrade of the SuperKEKB collider with a polarized
- electron beam

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ABSTRACT: The physics scope of the Belle II experiment currently acquiring data at the SuperKEKB 10 collider will expand with a polarized electron beam upgrade, as recently proposed. Among the 11 required elements for this upgrade, a real time diagnosis of the polarization is necessary to ensure 12 it is large for all bunches in the accelerator during its regular operation. This will be realized by 13 inserting a Compton polarimeter in the accelerator. Its conceptual design is described and no show-14 stopper for its integration has been identified. An estimation of the sensitivity of the polarimeter 15 is made by means of toy Monte-Carlo studies. The proposed design accounts for the constraint to 16 preserve the performance of the SuperKEKB accelerator and to cope with the short time separation 17 of successive bunches. We show that the polarimeter will measure for each bunch the polarization 18 within five minutes with a statistical precision below 1% and systematic uncertainties below 0.5%. 19 It has the capability of providing this information online on a similar timescale. This work paves 20 the way towards future implementation of real-time Compton polarimetry in several future projects. 21

22 KEYWORDS: Accelerator Subsystems and Technologies; Instrumentation for particle accelerators

23 and storage rings - high energy (linear accelerators, synchrotrons); Beam-line instrumentation

²⁴ (beam position and profile monitors, beam-intensity monitors, bunch length monitors)

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35 1 Introduction

The Belle II experiment is taking data at the e^+e^- collision point of SuperKEKB, with a wide 36 physics program [1]. The collider currently charts new territories in terms of operation luminosity 37 [2] after having reached a world best in June 2022 [3]. Progress is being made towards reaching 38 the design luminosity. The very high luminosity reached imposes to refresh the electron bunches 39 circulating in the SuperKEKB ring regularly by topping up new electrons. This procedure allows 40 to maintain high beam charge and high luminosity despite slightly perturbing the beam dynamics 41 [4]. Beyond upgrades of the Belle II detector and interaction point region [5] an upgrade of the 42 accelerator to allow storage of polarized electrons is being considered [6]. It will extend the physics 43 scope of the Belle II experiment with unique possibilities to probe the electroweak and dark sectors 44 and perform unique measurements of Standard Model quantities that are sensitive to new physics 45 as the tau-lepton anomalous magnetic moment [7]. 46

This upgrade involves three main components. Electron beams with high degree of polarization 47 must be produced and transported to the main SuperKEKB ring. This will be made by means of 48 strained GaAs lattice illuminated with a circularly polarized laser. A nearly vertical orientation of the 49 beam polarization is needed for injection in the SuperKEKB ring, in which it will be rotated back and 50 forth to ensure nearly longitudinal polarization at the Belle II interaction point. This will be made by 51 means of spin rotators that will be inserted in the ring. This major modification of the SuperKEKB 52 lattice has been carefully studied keeping in mind the goal of realizing a transparent modification 53 such that reverting to unpolarized operation of SuperKEKB could be easily done without affecting 54 accelerator performance. The average polarization of the electrons will be measured over the 55 lifetime of the accelerator by exploiting the large sample of produced $e^+e^- \rightarrow \tau^+\tau^-$ events at 56 Belle II [8]. This measurement will be complemented by an essential, rapid and bunch per bunch 57 measurement performed by a dedicated Compton polarimeter that will be installed in the ring. This 58

second modification is also required to be transparent for the electron beam. It will be a critical 59 tool during commissioning and operation to ensure a high beam polarization in the ring. It will 60 also allow to identify bunches or runs with degraded polarization performance, and thus be used 61 as an important data quality tool. This polarimeter must thus meet new constraints with respect to 62 those implemented in the past [9-19] or in other projects [20-23]. In particular, its insertion in the 63 ring must consist in a minor modification, and in particular we investigate the possibility to insert 64 the laser system in the SuperKEKB ring itself, without the need for additional excavation. Another 65 essential aspect is that all bunches are expected to have different polarizations and thus need to be 66 measured separately typically in timescale corresponding to the refilling of the bunches. This is 67 also a new requirement to existing polarimeters. For EIC a similar constraint holds [22]. Both of 68 these aspects are accounted for in the design of the system proposed in this paper. 69

This paper concentrates on the conceptual design and details foreseen performance of the Compton polarimeter. In section 2, a concept for the integration of the polarimeter is described. In section 3 a detailed sensitivity study is performed, before one concludes on the remaining works that need to be done before implementation.

74 **2** Compton polarimeter integration

The SuperKEKB electron-positron collider is currently delivering data to the Belle II experiment with constantly improving on performances towards design values. It consists of two rings, a high energy ring (HER) for 7 GeV electrons and a low energy ring (LER) for 4 GeV positrons. The revolution period is of 10μ s. The vacuum of the accelerator and beam induced backgrounds for the Belle II experiments have been subject to numerous studies [24, 25]. These provide necessary inputs to the design of the Compton polarimeter for the SuperKEKB polarization upgrade.

For this upgrade, spin rotators will be implemented in the SuperKEKB ring upstream and downstream the interaction point in the HER. These are needed to ensure rotation of the beam polarization vector from nearly vertical to nearly longitudinal at the Belle II experiment. To this purpose three different solutions are being considered at this stage. They are summarized here, but more details are found elsewhere [6].

Two of them consist in revisiting the HER lattice over approximately ± 200 m around the Belle 86 II interaction point (B2IP), mainly modifying dipole lengths to allow installation of either separated 87 or combined quadrupole and solenoid magnets. For these two solutions, the spin rotation function 88 is implemented about 80 meters upstream and downstream B2IP shown in Fig. 1. In this modified 89 lattice, the region where the beam polarization is nearly longitudinal would be very limited. It 90 would not leave space to insert a Compton polarimeter to measure a longitudinal beam polarization. 91 Indeed the straight section of B2IP is already extremely busy and placing a polarimeter in that 92 region would make it subject to very high backgrounds as radiative Bhabha scattering and beam 93 induced backgrounds. Further major modification of the lattice would be needed to consider the 94 implementation of a Compton polarimeter. Alternatively a transverse Compton polarimeter may be 95 inserted elsewhere in the SuperKEKB ring. This would require a modification of the lattice similar 96 to that discussed in the rest of the text. 97

The third proposed solution consists in implementing combined function magnets about 210 m upstream B2IP close to the B2E dipoles, see Fig. 1. For this solution, the lattice is minimally



Figure 1. Schematic drawing of the main SuperKEKB ring, where the current B2E dipole to be replaced by spin rotators is identified. The location of the Compton polarimeter is also shown as well as Belle II interaction point.

modified by replacing the four existing B2E dipoles by newly designed combined function magnets.
Accelerator tune and chromaticity are matched to that of the current SuperKEKB lattice by adjusting
strengths of quadrupoles located at a dispersion-free section and retuning sextupoles in arc sections.
With this proposed solution, it is found that the orientation of the polarization vector is parallel to
that at B2IP in a region located about 120 to 140 meters upstream B2IP. This part of the lattice
presents the advantage that there is little existing instrumentation, and thus would facilitate the
installation of a Compton polarimeter with minimal modification of the existing lattice.

The Compton polarimeter consists in a laser system and a detector for scattered particles. The laser system and a related vacuum chamber must be inserted in the accelerator tunnel to allow interaction of laser and electron beams. The scattered electrons and/or photons must then be measured to infer the electron beam polarization. Design and implementation of these detectors in the SuperKEKB ring is also an important subject of study. The (Born level) cross-section for Compton scattering [26, 27] reads

$$\frac{d\sigma}{dy_c d\phi} = \frac{r_e^2}{x_c} \left(F_0(r, y_c) + P_L F_L(r, y_c, \phi) + P_C (P_z F_{C, z}(r, y_c) + P_\perp \cos(\phi - \phi_e) F_{C, \perp}(r, y_c)) \right).$$
(2.1)

113 The functions F_i are given by

$$F_0(r, y_c) = 1 - y_c + \frac{1}{1 - y_c} - 4r(1 - r), \qquad (2.2)$$

$$F_L(r, y_c, \phi) = -4r(1-r)\cos 2(\phi - \phi_L),$$
(2.3)
$$(2-y_c)(1-2r)$$

$$F_{C,z}(r, y_c) = y_c \frac{(2 - y_c)(1 - 2r)}{1 - y_c},$$
(2.4)

$$F_{C,\perp}(r, y_c) = -2y_c \sqrt{r(1-r)},$$
(2.5)

where $y_c = \frac{E_{\gamma}}{E_e}$ is the ratio of the energy of the scattered photon and the energy of the incoming electron, $r = \frac{y_c}{x_c(1-y_c)}$, $x_c = \frac{2E_ehv_0(1+\beta\cos\theta_{\rm in})}{m_e^2c^4}$, $v_0 = c/\lambda$, λ is the laser wavelength, *h* the Planck constant, *c* is the speed of light in vacuum, m_e is the electron rest mass, $\pi - \theta_{\rm in}$ is the crossing angle 114 115 116 between the two beam directions, $\beta = \sqrt{1 - 1/\gamma^2}$ with $\gamma = E_e/m_e c^2$, ϕ is the azimuthal angle in 117 the observation plane of the scattered photon, ϕ_L is the orientation of the linear polarization of the 118 laser beam in the laboratory frame (if any) and ϕ_e is the orientation of the transverse component 119 of the electron beam polarization vector with respect to the horizontal direction. The degree of 120 linear (circular) laser polarization is denoted by P_L (P_C). The longitudinal and transverse electron 121 beam polarization parameters are P_z and P_{\perp} , respectively. For this expression one can draw few 122 important remarks when designing a Compton polarimeter. The laser beam polarization needs 123 to be circular. Linear laser beam polarization does not contribute to the measurement of the 124 electron beam polarization. Inaccuracy in measuring the degree of circular polarization of the laser 125 will contribute at the same level to the systematic uncertainties of the electron beam polarization. 126 Transverse electron beam polarization can be measured provided that transverse distributions of 127 scattered particles are measured, indeed its contribution vanishes after integration over ϕ . On the 128 other hand, the longitudinal part of the electron beam polarization can be obtained by measuring 129 the energy distribution of the scattered particles. This can be done either by directly measuring 130 photons' energies or by measuring position of scattered electrons after a bending magnet. Spatial 131 distributions of electrons can thus be measured with pixel detectors to quantify the longitudinal and 132 also the transverse component of the electron beam polarization [21, 23]. It is foreseen to implement 133 High Voltage – Monolithic Active Pixel Sensors (HVMAPS) for the detection of electrons [6]. In 134 this paper we concentrate on the design of a polarimeter based on the detection of photons uniquely, 135 that is expected to be less invasive in terms of integration in the existing SuperKEKB ring. 136

137 2.1 Laser-electron beam interaction point

The first essential part of the Compton polarimeter consists of a laser system that impinges on the 138 electron beam. In order to minimize modifications to the existing infrastructure of SuperKEKB 139 and related risks, it is planned to install the laser in the accelerator tunnel rather than excavating 140 a new dedicated room. The integrated dose has been measured at the floor of the tunnel in a 141 representative area of the accelerator and was measured to be of 0.3mSv/h. This implies a less 142 than 1 Gy integrated dose over 6 months of uninterrupted operations of the accelerator. This is 143 small with respect to levels that some modern commercial laser systems have been shown to sustain 144 [28-30]. Electronics nevertheless require shielding to the neutron flux. This will be made by means 145 of Borated Polyethylene walls surrounding electronics boards. 146

The laser will thus be placed on an optical breadboard below the main SuperKEKB ring a 147 bit upstream from the BLA2LE dipole, where the orientation of the electron beam polarization 148 is expected to be parallel to that at B2IP, up to residual misalignments [6]. The design of the 149 vacuum chamber at the Compton interaction point follows several constraints. Crossing angle in 150 the horizontal plane must be avoided to reduce the effect of synchrotron radiation on the laser 151 mirrors. A vertical crossing plane is not convenient either for integration purposes. The interaction 152 chamber is thus designed such that beams cross with some non vanishing azimuthal angle. A first 153 design of the chamber is shown in Fig. 2. This design exploits the current beam pipe design of 154 SuperKEKB modified to allow interaction with the laser beam. The effect on the beam impedance 155 of this modification is found negligible and safe for the electron beam. Projected crossing angles in 156 the horizontal and vertical planes are about 8 and 4 degrees respectively. It corresponds to a polar 157 angle of 8.9 degrees and an azimuthal angle of 28 degrees. 158



Figure 2. Drawing of the laser and electron beams interaction chamber. Boxes named *laser* and *diag* are placeholders for the laser and the diagnostics for the laser light that will be used to ensure that alignment and polarization of the laser are optimized. Not shown are electronics that will be located below the optical table and that will be shielded against the ambient radiation of the accelerator.

The laser must comply with the need of colliding bunches at 250 MHz. In the past only CW or low frequency Q-switched lasers have been implemented in Compton polarimeters [14, 31]. Nowadays the modelock laser technology [32] is very mature and being routinely used, including in the industry, see for instance Ref. [33] for an overview. This allows to consider the use of an Ytterbium oscillator locked on the reference frequency of the accelerator. In order to increase the sensitivity of the Compton polarimeter it is of interest to use second harmonic generation (SHG)

to convert infrared light into green light at a wavelength of approximately 515 nm. A telescope is 165 then employed to adjust the laser beam size, and possibly shape it by means of cylindrical lenses. 166 so that the luminosity of the interaction is optimized. Continuous measurement of beam position 167 and pointing will be used in a feedback loop on motorized mounts to ensure a good stability of 168 the laser beam at the interaction point. The goal being to control this quantity within 100μ m and 169 100μ rad. This would ensure a good stability of the luminosity. Polarization independent pickups 170 will be obtained by means of holographic beam samplers to monitor intensity and polarization 171 of the beam, that will be adjusted with good quality waveplates. The laser beam will be further 172 monitored after its interaction with the electron beam to provide further information for calibration. 173 Absolute calibration of the laser polarization at the interaction point will be made thanks to optical 174 reversibility [34]. To this end, it is desirable to reflect back a part of the laser light after the 175 interaction point, and analyze this light [19]. Ideally this mirror must be placed in vacuum. This 176 would however make maintenance more difficult and is only kept as a backup solution at this stage. 177 Instead we plan to implement a highly reflective mirror, placed on a motorized mount, right after 178 the exit window of the vacuum tube. This however does not allow to qualify the laser polarization 179 at the interaction point but rather after the exit window. Alternatively, anti-reflective coatings can 180 be used for the optical windows except for the vacuum side of the exit window. In that case most of 181 the light coming back to the laser from the vacuum chamber would come from the inner side of the 182 exit window, allowing to indeed calibrate the laser beam polarization at the interaction point. It is 183 however in practice difficult to implement to ensure a good enough autocollimation. The mirror is 184 shown on Fig. 3, where the motorized mount is clearly visible. It is interesting to implement this in 185 any case for alignment purposes by means of autocollimation. 186



Figure 3. Drawing of the insertable mirror after the exit mirror that can be used for calibration of the laser polarization.

187 2.2 Photon detector

The photon detector aims at distinguishing photons backscattered off electrons from successive 188 bunches, that are separated by 4 ns. Indeed, due to the top-up injection of SuperKEKB, the 189 polarization level is expected to vary bunch per bunch. In practice it may even be beneficial to inject 190 and store beams with opposite polarizations to allow canceling systematic uncertainties related to 191 time varying detector efficiencies. Assuming that a single photon is impinging the detector at every 192 bunch crossing, a yearly energy deposited by the signal of 0.4 MJ in a scintillation calorimeter is 193 anticipated. It corresponds to a 0.2 MGy dose if this energy is supposed homogeneously distributed 194 over a crystal of $5 \times 5 \times 25$ cm³ size. This large dose accompanied with the short time separation of 195 incoming photons suggests to employ a radiation tolerant scintillation crystal with a fast fluorescence. 196 Crystals made of BaF₂ are well suited, provided that their intrinsic slow component is reduced by 197 Yttrium doping and/or the use of solar blind photodetectors [35]. If some studies have already been 198 made for such a type of detector in the past in the context of Mu2e, a specific work is required for the 199 purpose of the SuperKEKB Compton polarimeter. The minimal length of the BaF₂ crystal has been 200 estimated to be 25 cm (approximately 12 radiation lengths) to maintain the mean energy leakage 201 below 10% for 1.5 GeV photons. It has been estimated using the mean longitudinal profile of the 202 energy deposition in an electromagnetic cascade initiated by photons [36]. With such a length, 203 leakage will be dominated by the transverse development of the electromagnetic shower. The final 204 choice of the transverse size and shape of the crystal will be confirmed at a later stage of the design, 205 based on detailed GEANT4 simulations. The readout of the scintillation light will be done thanks 206 to a photomultiplier (PMT), the choice of which will be carefully made to preserve the temporal 207 profile of the signal impulse. This PMT may either be placed on the ground, about one meter below 208 the scintillation crystal by guiding the light from the crystal output to the PMT photocathode or 209 right after the crystal with a short light guide. It will be decided at a later stage of the design based 210 on induced energy and temporal spreads due to the light guiding. Presence of residual fringe fields 211 from close-by magnetic elements of the SuperKEKB lattice and radiations at the beam level may 212 require an additional careful shielding of the detector. Readout electronics will be located nearby 213 on the ground. The analog part of the acquisition chain will be carefully studied and the signals 214 will be treated, after digitization, by means of a FPGA (Field Programmable Gate Array) to fill 215 histograms in for every bunch at 250 MHz. The detailed design of the detector and readout will be 216 realized in the coming years based on experimental results obtained with a prototype detector. 217

The produced photon beam goes through the BLA2LE dipole without hitting the beam pipe. 218 providing that the pumping ports integrated in the pipe structure are put on the other side. This 219 modification is feasible and mainly consists in producing new vacuum pipes. The photon beam also 220 goes through a quadrupolar magnet and a vertical beam position monitor without interacting with 221 these elements. The vacuum pipe is then modified to integrate the detector. These modifications are 222 drawn in Figure 4 where the detector is shown in green. A violet tube of diameter 6mm representing 223 the photon beam is shown. It roughly corresponds to an emission cone of $\pm 3/\gamma$. The involved 224 modification is not affecting the electron beam impedance, and thus acceptable with that respect. 225

The detector must be shielded against the synchrotron radiation generated by this deflecting dipolar field. For a given deflection angle of $\theta_B = 20$ mrad, the magnetic field of the magnet amounts to 79 mT approximately for a length of $L_B = 5.9$ m. The critical energy of the synchrotron



Figure 4. Drawing of the modified beam pipe for the insertion of the photon calorimeter in the SuperKEKB ring.

energy spectrum reads [37]

$$E_c = \frac{3}{2}\gamma^3 \frac{\hbar c \theta_B}{L_B} \approx 2.7 \text{ keV}.$$

Placing a 4 mm thickness Copper window in front of the detector will cut-off most of the photons 226 emitted by synchrotron radiation. The average number of photons impinging the detector amounts 227 to 0.06 per 10 nC electron bunch crossing at the Compton IP, corresponding to a 3 keV average 228 deposited energy in the calorimeter. Using a 2 mm thickness instead would induce a deposit 229 of approximately 300 keV corresponding to approximately six photons per bunch per revolution. 230 Compton backscattered photons will also interact in this window, inducing mainly a smearing of 231 the energy and angular distributions and e^+e^- pairs production. Mean energy loss for photons of 232 more than 100 MeV is estimated to be below 1% for a 4 mm Cu thickness. Note that the current 233 thickness of the SuperKEKB beam pipe is of 6 mm. A detailed study of this effect by means of 234 GEANT4 simulations is beyond the scope of this paper and will be performed at the next stage of 235 the project. It will be used to decide on the final metallic window type and thickness. The detector 236 energy resolution will depend on several parameters as the light yield, the performance of the 237 electronics, the fluctuation of the energy leakages (transverse and longitudinal) and the fluctuation 238 of the energy pedestal. These detailed studies are outside the scope of this paper but are the core 239 subject of the next stage of the development of the polarimeter. In order to assess the performance 240 of the detector we will employ crude simplifying assumptions, only providing an estimate for the 241 detector resolution. 242

243 **3** Sensitivity studies

We have developed a standalone generator and fitter for the purpose of validating the proposed concept on rapid simulations. Further detailed GEANT4 simulations will be performed at a later stage of the design. At this stage of the design, the goal is to validate the fitting procedure on this rapid and simplified simulation and realize a preliminary assessment of systematic uncertainties.

248 3.1 Event generation

The event generation is composed of few ingredients. First electrons are sampled according to the electron beam phase space at the expected Compton IP obtained from up-to-date detailed simulations of the accelerator. Second the Compton cross-section is implemented. Thirdly backgrounds are modeled and implemented in the simulation. Finally interaction in the detector is modeled.

Simulated electrons are randomly generated using Eqs. 3.1 where one implicitly assumes that the Compton IP longitudinal extension is small with respect to the distance of which the electron beam parameters significantly vary [38, 39]. It allows to consider constant beam parameters in the interaction region.

$$x_e = \sqrt{2u_x \epsilon_x \beta_x} \cos \phi_x + \eta_x \delta \tag{3.1}$$

$$y_e = \sqrt{2u_y \epsilon_y \beta_y} \cos \phi_y + \eta_y \delta \tag{3.2}$$

$$x'_{e} = -\sqrt{2u_{x}\frac{\epsilon_{x}}{\beta_{x}}}\left(\alpha_{x}\cos\phi_{x} + \sin\phi_{x}\right) + \eta'_{x}\delta$$
(3.3)

$$y'_{e} = -\sqrt{2u_{y}\frac{\epsilon_{y}}{\beta_{y}}} \left(\alpha_{y}\cos\phi_{y} + \sin\phi_{y}\right) + \eta'_{y}\delta$$
(3.4)

where $u_{x,y}$, $\phi_{x,y}$ and δ are random numbers with probability density functions given by an exponential exp (-u), a uniform function in the range [$0, 2\pi$] and a Gaussian distribution exp $\left(-\frac{\delta^2}{2\sigma_{\delta}^2}\right)$, respectively. The Twiss parameters of the beam are represented by $\alpha_{x,y}$ and $\beta_{x,y}$, the RMS emittance by $\epsilon_{x,y}$ and the dispersion parameters $\eta_{x,y}$ and $\eta'_{x,y}$. The parameter $\sigma_{\delta} = \frac{\sigma_E}{\mu_E}$ is related to the energy spread of the electron beam σ_E and its mean energy μ_E . The electron beam parameters used in the simulation are summarized in Table 1.

Table 1. Table of nominal electron beam parameters used for the simulation.

Parameter	Value		
μ_E	7 GeV		
σ_{δ}	6.3×10^{-4}		
Q_e	10 nC		
$T_{\rm rev}$	$10 \ \mu s$		
P_{\perp}	0		
P_z	0.7		
ϕ_e	$\pi/2$		
	horizontal plane (x)	vertical plane (y)	
$\epsilon_{x,y}$	4.49×10^{-9} m.rad	4.5×10^{-11} m.rad	
$\beta_{x,y}$	96.46 m	127.09 m	
$\alpha_{x,y}$	-8.72	9.45	
$\eta_{x,y}$	-0.083 m	$-1.1 \times 10^{-9} \text{ m}$	
$\eta'_{x,y}$	-0.0035	6.8×10^{-11}	

²⁶³ Compton scattering is then simulated using Eq. 2.2 by means of a Monte-Carlo accept-reject ²⁶⁴ method. The mean number of expected photons $n_{exp.} = \sigma_C \mathcal{L}$ per bunch crossing is estimated by ²⁶⁵ computing the total integrated cross-section for Compton scattering

$$\sigma_C = \frac{2\pi r_e^2}{x_c} \left(\left(1 - \frac{4}{x_c} - \frac{8}{x_c^2} \right) \log\left(1 + x_c\right) + \frac{1}{2} + \frac{8}{x_c} - \frac{1}{2(1 + x_c)^2} \right)$$
(3.5)

$$+P_C P_z \left(\left(1 + \frac{2}{x_c} \right) \log \left(1 + x_c \right) - \frac{5}{2} + \frac{1}{1 + x_c} - \frac{1}{2(1 + x_c)^2} \right) \right)$$
(3.6)

and the luminosity of the interaction

$$\mathcal{L} = \frac{\lambda U Q_e}{2\pi h c q_e \sqrt{\sigma_{y,l}^2 + \sigma_{y,e}^2} \sqrt{\sigma_{x,l}^2 + \sigma_{x,e}^2 + \tan^2 \frac{\theta_{\text{in}}}{2} (\sigma_{z,l}^2 + \sigma_{z,e}^2)}},$$
(3.7)

where U denotes the laser pulse energy and q_e the elementary charge. For each simulated bunch crossing the actual number of photons is taken according to a Poisson distribution with mean $n_{\text{exp.}} \approx 0.06$ with the given nominal parameters. Random numbers ϕ and y_c are generated using uniform distributions in the range $[0, 2\pi]$ and $[y_{\min}, y_{\max}]$, respectively, where

$$y_{\min} = x_c / (2\gamma^2 (1 - \cos \theta_{\max}) + x_c),$$
 (3.8)

$$y_{\max} = x_c / (1 + x_c),$$
 (3.9)

within approximations that are valid with a precision better than 10^{-6} . The emission polar angle of photons is computed as

$$\theta_{\gamma} = \arccos\left(\frac{1 - \frac{x_c(1 - y_c)}{2\gamma^2 y_c}}{\beta}\right).$$

²⁷¹ The transverse position of the photons at the entrance plane of the detector is then computed as

$$x_D = \delta_x + x_e + \tan\left(\delta_{x'} + x'_e\right)L + \cos\phi \tan\theta_{\gamma}L \tag{3.10}$$

$$y_D = \delta_y + y_e + \tan\left(\delta_{y'} + y'_e\right)L + \sin\phi\tan\theta_{\gamma}L, \qquad (3.11)$$

where $\delta_{x,y}$ and $\delta_{x',y'}$ are inserted to account for spatial and angular misalignments, respectively. Generated photons are then kept only if they fall inside the entrance plane of the photon detector. This procedure allows to account for different geometries of the detector, as cylindrical or cuboid shapes. Parameters related to the laser are summarized in Table 2.

Main identified backgrounds for the measurement of photon's energy are synchrotron radiation, bremsstrahlung on the residual gas of the vacuum chamber and Compton radiation of electrons of the beam on the photons from the black-body radiation in the vacuum chamber [14]. Synchrotron radiation spectrum is estimated assuming that a 4 mm copper window is used in front of the photon detector. The number of photons from synchrotron radiation passing through the window without interaction is estimated using

$$N_{\rm th} \approx \int_{E=E_{\rm min}}^{\infty} \frac{dN}{dE}(E) \exp\left(-t_{\rm Cu}\rho_{\rm Cu}/\lambda_{a,\rm Cu}(E)\right) dE$$
(3.12)

$$\frac{dN}{dE}(E) = \sqrt{3}\alpha \frac{\theta_B}{2\pi} \frac{\gamma}{E_c} \int_{x=E/E_c}^{\infty} K_{5/3}(x) dx$$
(3.13)

Parameter	Value
P_C	-1
P_L	0
ϕ_l	0
$\sigma_x/\sigma_y/\sigma_z$	500 μ m/500 μ m/500 μ m
λ	515 nm
$f_{\rm rep.}$	250 MHz
U	20 nJ
θ_{in}	8.9 degrees
ϕ_{in}	28 degrees

Table 2. Table of nominal laser beam parameters used for the simulation.

where $K_{5/3}(x)$ is a modified Bessel function of the second kind [37]. Data for absorption length 282 in copper $\lambda_{a,Cu}(E)$ for X-rays is taken from Ref. [40, 41]. The corresponding spectrum of the 283 non-interacting photons is found to be roughly bell-shaped at about 60 keV with an RMS width 284 of 10 keV approximately. The total energy deposited in the detector per bunch crossing due to 285 synchrotron radiation is thus estimated to be about 3 keV for a 10 nC electron bunch. The related 286 background will thus consist of a peaky distribution at very low energies with respect to that of 287 photons originating from Compton scattering. It will correspond to a fluctuating energy pedestal 288 that will negligibly contribute to the finite energy resolution of the measurements. A more accurate 289 estimation is in order by means of detailed GEANT4 simulation since the window will effectively 290 induce an electromagnetic shower that complicates this simple picture. At the conceptual design 291 stage we decide to neglect this contribution in the simulation. 292

The bremsstrahlung of electrons on the residual gas in the beam pipe is approximated with the differential cross-section formula [42]

$$\frac{d\sigma_{\rm BG}}{dy_c} = \frac{4\alpha r_e^2}{y_c} \left(\left(\frac{4(1-y_c)}{3} + y_c^2 \right) \left(Z^2 \log\left(\frac{184.15}{Z^{1/3}}\right) + Z \log\left(\frac{1194}{Z^{2/3}}\right) \right) + (1-y_c) \frac{Z+Z^2}{9} \right), \tag{3.14}$$

where $Z \approx 2.5$ is the average atomic charge number of atoms contained in the residual gas. It is an empirical quantity that has been estimated for SuperKEKB in previous studies [25]. The expected number of photons from bremsstrahlung $n_{BG} = \frac{Q_e}{q_e} \sigma_{BG} \frac{L_s P}{k_B T}$, where $L_s = 20$ m is the length of the straight section where the Compton interaction point is located, $P = 5 \times 10^{-8}$ Pa the average residual gas pressure in the same region [25], T = 300 K the temperature of the vacuum pipe and k_B the Boltzmann constant. The differential cross-section is analytically integrated in the range [$E_{\text{cut-off}}, \mu_E$] to provide

$$\sigma_{\rm BG} = \frac{2Z\alpha r_e^2}{9} \left(-2\log\left(\mathcal{E}\right) \left(1 + Z + 12\log\left(\frac{1194}{Z^{2/3}}\right) \right) - (1 - \mathcal{E}) \left(2(1 + Z) + (15 - 9\mathcal{E})\log\left(\frac{1194}{Z^{2/3}}\right) \right) - 3Z \left(5 - 8\mathcal{E} + 3\mathcal{E}^2 + 8\log\left(\mathcal{E}\right) \right) \log\left(\frac{184.15}{Z^{1/3}}\right) \right), \tag{3.15}$$

where $\mathcal{E} = E_{\text{cut-off}}/\mu_E$. The cut-off energy for observing a photon from bremsstrahlung is taken to be 1 MeV in the simulation. Changing the value of this cut-off affects the level of background at low energies where the Compton spectrum is not sensitive to the polarization of the electron beam.
 This threshold is chosen fixed in the present study but may be modified in the next stages of the
 development of the detector. The actual number of photons from bremsstrahlung per simulated
 bunch crossing is taken randomly following a Poisson distribution.

Electrons also experience Compton scattering on the thermal photons that are in the vacuum pipe of the accelerator. In order to estimate the number of scattered photons, the convolution of the thermal photon density and the Compton cross-section is computed as [43]

$$\frac{dN_{\rm BB}}{dy_c} = \frac{Q_e L_s}{2\pi^2 q_e c^3 \hbar^3} \int_{\theta_0 = 0}^{\pi} \int_{\omega = \omega_0}^{\infty} \frac{d\sigma}{dy_c} (\theta_0, \omega) \frac{\omega^2 (1 + \cos(\theta_0))}{e^{(\omega/(k_B T))} - 1} d\omega d\cos(\theta_0), \quad (3.16)$$

$$\omega_0 = \frac{m_e^2 c^{-y} c}{2(1 + \cos{(\theta_0)})(1 - y_c)\mu_E},$$
(3.17)

where $\pi - \theta_0$ denotes the angle made by thermal photons of energy ω with electrons of the beam. 311 Similarly as for synchrotron radiation, the thickness of the copper window in front of the detector 312 cuts-off the lower energy part of the spectrum. The number of black-body photons is thus estimated 313 in a similar fashion as in Eq. 3.12 to be about 0.04 in average per bunch crossing corresponding to a 314 700 keV average deposited energy. These may seem small but the corresponding spectrum extends 315 towards relatively large energies, around up to 100 MeV, see Fig. 5. It would thus contribute to 316 the very first bins in the measured photon energy histograms where there is no sensitivity to the 317 polarization. These bins are ignored, see following text, in the fit and the contribution from thermal 318 photons is not included in the simulation. 319



Figure 5. Spectrum of photons emitted from the interaction of electrons with thermal photons emitted by the black-body radiation related to the finite temperature of the vacuum pipe.

For Compton and background processes, photons are generated and their energies are summed up for every simulated bunch crossing, provided that they are impinging the detector. The small angle of the photon's direction with the normal of the detector entrance plane, a square with 5 mm sides located 13 m downstream the Compton interaction point, is neglected and the electromagnetic shower is assumed to be fully contained in the detector. The effect of the transverse and longitudinal extensions of the electromagnetic shower is neither simulated at this stage. These crude assumptions will be removed at a later stage of the design of the detector. In a first approximation it will induce an overall calibration factor and a degradation of the detector resolution. It will also likely induce a residual energy dependence of the calibration factor, the scale of which being assumed negligible at this stage, but will be carefully evaluated based on detector prototyping and further detailed simulations. At this stage of the study the total deposited energy is randomly smeared according to a normal distribution centered on the expected total incident energy E_{in} and with a width

$$\sigma_{E_{\rm in}} = E_{\rm in} \sqrt{\frac{A_{\rm det.}^2}{E_{\rm in}} + B_{\rm det.}^2}.$$
 (3.18)

Parameters related to the detector are summarized in Table 3. Accounting for conversion efficiency 332 of photons' energy to fluorescence of 0.1, quantum efficiency of 0.1 and light collection efficiency of 333 the photomultiplier of 0.1, one expects to collect about 1800 photo-electrons at 1 GeV which would 334 induce a few percent energy resolution assuming that it is dominated by the contribution related to 335 the PMT. At this stage we will rather assume for the simulations a 10 % resolution related to the 336 stochastic term due to remaining uncertainties at this stage of the design. A constant term of 1% is 337 also added. Attention will be paid to this contribution during the development of the detector, since 338 one main source is related to pedestal fluctuation that may be large due to a possibly significant 339 pile-up related to the small time separation of the incoming photons of about 4 ns. The obtained 340 smeared energy is then stored in a histogram that will be further analyzed to extract the polarization 341 parameters. Measurements of the polarization of every bunch is expected to last approximately the 342 time in between two refreshments of electron bunches i.e. a few minutes. We will assume that 343 measurements are nominally done over $T_{\text{meas.}} = 5 \text{ min}$ which corresponds to $T_{\text{meas.}}/T_{\text{rev.}} = 30 \times 10^6$ 344 bunch crossings per bunch.

Table 3.	Table of nominal	parameters used	to simulate the	Compton	interaction a	and the detector.
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Parameter	Value
L	13 m
T _{meas.}	5 min
$d_{\text{det.}}$	5 cm
$A_{\rm det.}$	$0.1 \text{GeV}^{1/2}$
B _{det.}	0.01

345

346 3.2 Fitting procedure

Fit of the generated data with the known theoretical expressions of the differential cross-sections 347 provides sensitivity to the a priori unknown parameters, including the electron beam polarization. 348 The model used for the bremsstrahlung background contribution is only an approximation, and the 349 experimental results may differ to some extent. Thus regular dedicated background measurement 350 runs may be considered by switching off the laser and measuring the background only, dominated 351 by the bremsstrahlung. Periodicity of such background measurements will be determined by a study 352 of the reproducibility and drifts in this distribution with time. It is expected to provide an empirical 353 distribution for the background that is further used for the fit of data taken with the laser switched 354

on. Signal is described by the Eq. 2.1 integrated over the non-observed angle ϕ providing

$$\frac{d\sigma}{dy_c} = \frac{d\sigma_0}{dy_c} + P_C P_z \frac{d\sigma_1}{dy_c}.$$
(3.19)

Two terms are distinguished one independent of P_C and another proportional to P_C . Since events with two Compton photons may be observed, the three convolution terms are needed

$$\frac{d\sigma_{ij}}{dy_c}(y_c) = \int_{\max(y_{\min}, y_c - y_{\max})}^{\min(y_{\max}, y_c - y_{\min})} \frac{d\sigma_i}{dy_c}(y_0) \frac{d\sigma_j}{dy_c}(y_c - y_0) dy_0.$$
(3.20)

The contributions corresponding to four Compton photons or more are neglected at this stage of 358 the development. They are found small compared to statistical fluctuations of other contributions 359 including the background level in the high-energy end of the spectrum. We are thus left with nine. 360 signal related contributions that are stored in look up tables (LUTs). Similarly the contributions with 361 one bremsstrahlung photon and its convolution with one or two Compton photons are computed. It 362 makes six additional terms. These 15 contributions are each convoluted with the energy resolution 363 function used to generate the events and the results stored in LUTs. They are then used to fill-in 364 new LUTs with the exact size of the histograms that were filled in at generation level accounting for 365 a possible miscalibration scale that can be fit by the algorithm without the need to compute again 366 all previous convolutions. These 15 histograms are then summed up with five free parameters: 367 three scale factors for the yield of one, two and three Compton photons; a scale factor for the 368 bremsstrahlung contribution; the longitudinal polarization of the electron beam. The contributions 369 corresponding to convolution of bremsstrahlung and Compton photons are scaled using the same 370 scale factors as for the signal, to avoid a large number of free scale factors corresponding to very 371 small number of events. A χ^2 us build using the expected number of events in each bin and the 372 Monte Carlo data. It is normalized using the statistical uncertainty of the data. Bins corresponding 373 to photon's energies below 200 MeV are discarded from the χ^2 calculation. This makes the fit robust 374 against a possible mis-control of the background distribution below 200 MeV. A typical fit result 375 is shown on Fig. 6, where the generated Monte Carlo events are shown along with the fit result, 376 explicitly showing each contribution. We note that the fit is excellent spanning over five orders of 377 magnitude, thanks to the different characteristic energy end points of Compton and Bremsstrahlung 378 photons. 379

This procedure allows to have a very fast fit, that permits to treat the 2500 stored histograms in 380 approximately a minute on a modern commercial laptop, i.e. well before a new set of acquisitions is 381 available. It provides an on-line measurement of the polarization. Storage of histograms would be 382 done to permit further offline analysis of the data in case it is needed. This procedure assumes that 383 most parameters are constant except fractions for each species and the beam polarization. Indeed 384 the detector response, as calibration and energy resolution, is not expected to vary quickly. Regular 385 offline calibration can be planned from time to time by performing a fit with the corresponding 386 parameters free to vary. This has been validated by with a dedicated toy Monte Carlo study. 387 Background spectrum can also be regularly calibrated by taking regular laser-off acquisitions. The 388 acquisition system and the laser control must be able to cope with the necessary flexibility. A 389 similar procedure was employed in the past [14]. 390



Figure 6. (Top) a typical example of a Monte Carlo experiment representing 25 min of data taking in the polarimeter. Black dots with error bars represent the Monte-Carlo data, the blue (dark grey line supperimposed to the data) line is the fit result that is the sum of the contributions related to Compton scattering in light blue or light-grey (one-photon in plain line, two-photons in dashed line, three-photons in dash-dotted line) and those related to Bremsstrahlung in magenta or grey (one Bremsstrahlung photon in plain line, one Bremsstrahlung photon and one Compton photon in dashed line, one Bremsstrahlung photon and two Compton photons in dash-dotted line). (Bottom) residuals of the fit normalized by the statistical uncertainty in the simulated data. The red (grey) lines materialize three standard deviations between the fit and the simulated data.

391 3.3 Results

A toy Monte Carlo procedure is applied to validate the fitting procedure and assess preliminary 392 systematic uncertainties. First a signal only study is performed to assess the accuracy of the fast 393 fitting procedure. The statistical precision for a single bunch is estimated by generating events 394 corresponding to several data-taking duration t_{dt} ranging from one to 25 minutes and shown on 395 Figure 7. For that purpose a thousand toy Monte-Carlo experiments are thrown for each value of 396 t_{dt} . The statistical accuracy for each bunch over $t_{dt} = 5$ min is about 1%, a duration similar to two 397 successive refreshing of the given bunch population. Beside the statistical precision, the robustness 398 of the fit model is tested assuming that $t_{dt} = 25$ min, which allows to obtain a more accurate 399 estimate of possibly biasing effects. We test the accuracy of the fit model by fitting not only signal 400 but also letting a global calibration scale and the stochastic term of the detector resolution free. 401 These parameters are fit together with fraction of events and the beam polarization with a relative 402 precision of 10^{-4} and 10^{-3} respectively, without significant bias. The polarization parameter P_{z} 403 however exhibits a small but significant bias of 8×10^{-4} in the signal only fit procedure. It is observed 404 that when the stochastic term of the detector resolution is improved from $0.1 \text{ GeV}^{1/2}$ to $0.03 \text{ GeV}^{1/2}$. 405 this bias disappears. At this stage it is difficult to ensure that a resolution better than 0.1 $\text{GeV}^{1/2}$ 406 will be obtained, and thus we assign a systematic uncertainty of 0.08% to the extraction of the 407 polarization that we dub *fit model*. No observable bias is observed when generating events with a 408 nuisance linear laser polarization of $P_L = 0.05$, assuming that the degree of circular polarization 409 of the laser is $P_C = \sqrt{1 - P_L^2} \approx 1 - 0.0013$. However the knowledge of the degree of circular 410 polarization of the laser may be imperfect, as it was found in previous experiments. We assign a 411 0.3% systematic uncertainty, though recently a factor three better performance was demonstrated 412 [19]. A similar procedure is applied for a nuisance transverse electron beam polarization that is not 413 expected to affect the measured spectrum of photons due to the integration performed by the detector 414 in the transverse plane. Again, no bias is observed within the statistical accuracy of the toy Monte 415 Carlo procedure. We thus assign, as a systematic uncertainty 0.015% for both of these effects. 416 This value corresponds to the standard deviation on the determination of the average bias, that is 417 compatible with zero. The fit procedure assumes a known energy of the electron beam, despite 418 that beam energy spread is accounted for in the generation of the events. Unfortunately, fitting this 419 parameter along with an overall calibration scale and the energy resolution of the detector shows 420 strong correlations that prevent the fit to provide useful information on the actual beam energy. 421 We test the impact of a mis-knowledge of the beam energy by shifting it by $10\mu_E\sigma_\delta\approx 44$ MeV 422 which represents a change in energy that is larger than changes of energy observed with the Belle 423 II detector [44]. A bias of 0.05% is observed and added as a systematic uncertainty. Furthermore, 424 the effect of a 2 mm spatial and 100 μ rad angular misalignments have been tested and not found to 425 induce a bias, and thus we accordingly assign a systematic uncertainty of 0.015% for both of these. 426 A longitudinal misalignment, corresponding to a shift of the Compton interaction point and/or the 427 detector along the beam direction of 1 cm has been implemented in the simulation and not found to 428 induce a bias either. It would indeed modify slightly the size of the photon beam on the detector. 429 A systematic uncertainty of 0.015% is thus assigned to this possible effect. Since SuperKEKB is 430 using a betatron injection scheme, the center of mass of each freshly injected bunch is shaken and 431 the emittance grows and returns to equilibrium in several thousands of turns. This effect has been 432

simulated and not found to affect the performance of the polarimeter. Indeed the induced transverse
oscillations are small with respect to the previously tested misalignements, and the effect thus limits
to an effective reduction of luminosity, that does not affect the performance of the polarimeter. No
systematic uncertainty is thus assigned for this.



Figure 7. Statistical precision of the Compton polarimeter as a function of the duration of the data taking t_{dt} for a single bunch. For 25 minutes of data taking, a 0.5% statistical precision is obtained. Monte Carlo uncertainties on the points are negligible and smaller than the size of the points. The orange curve is a $1/\sqrt{t_{dt}}$ fit of the points, showing that the statistical precision behaves as expected.

A final residual systematic uncertainty lies in the knowledge of the background model. To test 437 this hypothesis, a fit of the background model is first performed to estimate the accuracy with which 438 one is able to extract its model parameter Z. This is done by means of a toy Monte-Carlo and yields 439 to $Z = 2.47 \pm 0.07$ for a generated $Z_{gen.} = 2.50$. In practice, since the model employed here is only 440 an approximation, a careful study is in order to either validate the model or directly feed laser-off 441 measured distributions in the fit of laser-on data. It is currently planned to perform this study 442 during dedicated beam test experiments before the implementation of the Compton polarimeter, 443 and thus remains out of the scope of this paper. In order to give an insight on the sensitivity of the 444 fit to the background model we thus generate the background by randomly varying the parameter 445 Z with a Gaussian distribution centered on Z = 2.50 and with a width of σ_Z = 0.07, for each 446 toy Monte-Carlo experiment, and keep Z = 2.50 fixed in the fit. A bias of 0.16% is obtained, 447 that we assign as a systematic uncertainty. An overall systematic uncertainty of 0.35% is obtained 448 comparable to the statistical uncertainty reached over 25 minutes, and the sources are summarized 449 in Table 4. 450

It must be noted that a detailed detector simulation and performance validation on a prototype detector is planned in order to confirm the systematic uncertainties assigned at this conceptual stage of the design of the polarimeter. It will also allow to understand the actual energy resolution and non linearity in the energy scale. Furthermore beam-based experiments are also needed to

Source	Uncertainty on $P_z(\%)$
Laser beam polarization	0.30
Backgrounds	0.16
Fit procedure	0.080
Beam energy	0.050
Spatial misalignment	0.015
Angular misalignment	0.015
Longitudinal misalignment	0.015
Transverse electron beam polarization	0.015
Total	0.35

Table 4. Systematic uncertainties on the extraction of P_z , see text for details. Background modeling and absolute knowledge of the laser polarization dominates.

validate the background model with SuperKEKB data at the expected location of the polarimeter to 455 understand its actual influence on the detector performance, since it is one of the dominant systematic 456 uncertainties. Finally the measurement of the longitudinal polarization of the electron at the location 457 of the polarimeter remains to be converted to a figure at the Belle II interaction point. Fortunately the 458 expected polarization at the Belle II interaction point is identical to that at the Compton polarimeter. 459 and thus it provides a meaningful measurement. However systematic uncertainties in the spin 460 transport remain to be evaluated in order to compare the Compton polarimeter measurement with 461 a direct measurement of the electron beam polarization by use of $e^+e^- \rightarrow \tau^+\tau^-$ data [45]. 462

463 **4 Summary**

We have proposed a concept of a Compton polarimeter able to cope with the high repetition rate 464 of the SuperKEKB ring. Integration of this polarimeter in the existing SuperKEKB lattice is found 465 possible and the necessary modifications are not found to affect the electron beam dynamics of this 466 high-luminosity collider. The proposed laser system will be directly integrated in the accelerator 467 which is not found to be an issue with respect to the level of radiation in the accelerator. A concept 468 of photon detector is proposed to diagnose the scattered photons and a first assessment of systematic 469 uncertainties show that they amount to about 0.4%. Similar statistical uncertainty will be reached 470 for every bunch every 25 minutes. The proposed extraction procedure for the polarization is found 471 to allow on-line measurements of every bunch with a 1% precision on time-scales corresponding 472 to single bunch top-up in the accelerator. Further work is now considered to realize a prototype 473 of the photon detector to be integrated in the SuperKEKB accelerator and validate the background 474 model with experimental data. Overall, this study paves the way to wards implementing real-time 475 polarimetry at SuperKEKB and other future projects, as EIC for instance. 476

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