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We report the observation of the parametric gain band distortion in the nonlinear (depleted) regime of modulation instability in dispersion oscillating fibers. We show that the maximum gain is shifted even outside the boundaries of the linear parametric gain band. Experimental observations are confirmed by numerical simulations. © 2023 Optica Publishing Group

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4 1. INTRODUCTION

Modulation instability (MI) refers to the amplification of a weak perturbation at the expense of a strong pump wave. The first observation in fiber optics had been achieved in 1986 by Tai et al. [1] in a conventional telecommunication fiber. The energy 8 transfer from the pump to the perturbation depends on a phase-9 matching relation in which the nonlinear phase mismatch due 10 to the Kerr effect is balanced by the linear one due to group 11 12 velocity dispersion. Hence in most experiments, to fulfill this requirement, the pump wave propagates in the anomalous dis-13 persion region of optical fibers. However, there are several other 14 ways to also achieve a perfect compensation of the nonlinear 15 phase mismatch when the dispersion is normal, by exploiting 16 an additional linear contribution of the fiber due to higher-order 17 transverse modes [2], higher-order dispersion terms [3, 4], peri-18 odic boundary conditions in resonators [5] or birefringence [6]. 19 A periodic modulation along the propagation direction can also 20 21 be exploited to trigger the MI process. It was first described in the context of telecom lightwave systems due to their intrinsic 22 periodicity [7, 8], and then observed in fibers suitably engineered 23 with a built-in modulation along the propagation direction [9-24 12]. In these, so-called dispersion-oscillating fibers (DOFs), the 25 modulation period is a few meters and several sidebands ful-26 filling a quasi-phase matching relation had been observed over 27 a few THz from the pump. However, in all these experimental 28 investigations (see the review in Ref. [12] for a longer list of 29 works), these new MI sidebands had been investigated in the 30 linear regime of the MI process. In other words, pump deple-31 tion has been considered negligible. When this condition breaks 32 down, a general principle [13] tells that one should expect that 33

the sideband frequency for parametric amplification can significantly deviate from the frequency of nonlinear phase-matching that yields the MI gain peak. Depending on the underlying fourphoton mixing interaction considered, the optimal frequency has been demonstrated to be lower [14, 15] or higher [16] than the phase-matching frequency. Remarkably, when the MI parametric process is narrowband, the ultimate consequence is that the highest conversion can occur at frequencies outside the linearized gain bandwidth. In other words, it is possible to amplify signals located outside the linear gain band, a phenomenon observed to date in uniform birefringent fibers [14, 16]. Such a striking phenomenon has been also predicted for DOFs by means of mode truncation and averaging techniques [17]. In this letter, we report the observation of this surprising behavior in a DOF. We show that the optimal conversion frequency is blue-shifted up to 11 % from the linearized peak gain frequency, lying clearly outside the bandwidth of the MI gain curve.

2. MODULATION INSTABILITY IN DOFS

We consider a DOF whose group velocity dispersion profile evolves sinusoidally:

$$\beta_2(z) = \beta_{2,\text{avg}} + \beta_{2,\text{amp}} \sin\left(\frac{2\pi}{Z}z\right)$$
(1)

where $\beta_{2,avg}$ is the average GVD value, $\beta_{2,amp}$ the modulation amplitude around the average value, and Z the oscillation period. Such dispersion profile can easily be obtained by modulating the outer diameter of the fiber during the drawing process [12]. In this work, the relative variation of the outer diameter, and thus of the nonlinear coefficient of the fiber, is about 10%. By working close to the zero dispersion of the fiber, the relative variation of the GVD is close to 30%. We checked numerically that we can neglect the impact of the modulation of the nonlinear coefficient γ compared to the one of the GVD [12, 18]. Thus, we will consider it as constant along the fiber in this work. From the linear stability analysis applied to the nonlinear Schrödinger equation [19] with the GVD evolution described by Eq. (1), one can derive, based on the concept of parametric resonance [18], the frequency offset Ω of the unstable sideband pair that experience peak gain in the *m*-th order parametric band, for fixed

pump power P_0 [12]: 70

$$\Omega^{2} = \frac{\beta_{2,\text{avg}}}{2} \left[\sqrt{(\gamma P_{0})^{2} + \left(\frac{m\pi}{Z}\right)^{2}} - \gamma P_{0} \right]; \ m = 1, 2, 3 \dots,$$
 (2)

which can be approximated by the quasi-phase-matching (QPM) 71 relation $\beta_{2,avg}\Omega^2 + 2\gamma P_0 = \frac{2m\pi}{Z}$, in the limit $\gamma P_0 \ll \frac{m\pi}{Z}$. An 72 infinite number of frequencies are solutions to Eq. (2), but a 73 limited number can indeed be observed because they have to 74 experience a significant gain. Predictions of Eq. (2), combined 75 with a linearized Floquet analysis enable to provide an accurate 76 description of the linear regime of MI in DOFs, revealing the 77 position of the bands and their gain values ([12] and references 78 therein). However, in these works, the power of the perturba-79 tion is small compared to the pump power. When propagating 80 in long fibers and/or using strong input signals, this assump-81 tion quickly becomes invalid. Under this condition, a simple 82 intuition suggests that strong conversion should be expected at 83 higher frequencies compared with those predicted from Eq. (2) 84 or its QPM approximation. Indeed, when the pump power P_0 85 decreases because of depletion, the phase-matching frequency 86 predicted by Eq. (2) turns out to increase. Therefore, sidebands 87 which are launched at the right frequency given by Eq. (2) are 88 progressively tuned out of phase-matching as the pump starts to 89 90 deplete. Conversely, sidebands with initially higher frequency, though initially experience a lower gain, are progressively tuned 91 toward phase-matching, and overall give rise to a better conver-92 sion. This heuristic argument is supported by rigorous analysis 93 in [17], which reveals that the linear parametric gain band is 94 strongly distorted shifting towards higher frequencies. For suffi-129 95 ciently strong pump depletion (i.e., sufficiently strong seeding 130 96 of sidebands at fixed fiber length), the remarkable fact is that the 97 maximum conversion is obtained even outside the parametric 98 gain bandwidth. The analysis shows that, even if the pump 99 133 is MI-stable in this regime, the strong conversion is supported 134 100 by an unstable mixed sideband-pump mode which bifurcates 135 101 from the pump mode [17]. We illustrate this feature by means 102 136 of the numerics reported in Fig. 1. The dispersion profile along 137 103 the fiber length is depicted in Fig. 1 (a). The GVD is all nor- 138 104 mal with an average value $\beta_{2,avg} = 9.5 \text{ ps}^2/\text{km}$, an amplitude ¹³⁹ 105 value $\beta_{2,amp} = 3.1 \text{ ps}^2/\text{km}$ and a period of modulation of 200 m. ¹⁴⁰ 106 These parameters will be used in our experiments. We display 141 107 the power evolution of the pump (blue lines) and signal (red 142 108 lines) along the propagation distance in Fig. 1 (c-d) for a weak 143 109 (signal to pump power ratio of -50 dB, Fig. 1 (c)) and strong in-144 110 put signal (signal to pump power ratio of -10 dB, Fig. 1 (d)). We 145 111 calculated the signal power evolution along the fiber length for 112 two characteristics frequency shifts, either in the linear paramet- 147 113 ric gain band ($f_m = 230$ GHz, see Fig. 1 (b)) or outside ($f_m = 244$ ¹⁴⁸ 114 GHz, see Fig. 1 (b)). In all examples depicted in Fig. 1 (c) and 149 115 (d), the signal experiences periodic modulations equal to the 150 116 GVD modulation period [12], which is characteristic of DOFs. 151 117 When the signal power is weak (Fig. 1 (c), 50 dB below the pump 152 118 power), and located within the parametric gain ($f_m = 230$ GHz), 119 it is amplified during its propagation along the fiber length (solid 120 red line in Fig. 1 (c)). A net gain of 8.2 dB is observed at the fiber 121 output. For a signal located outside the parametric gain band 154 122 $(f_m = 244 \text{ GHz})$, the overall gain is negligible (dashed red line in 155 123 Fig. 1 (c)). The signal experiences a slight growth and decay that 156 124 is attributed to a standard four-wave mixing process [20], thus 157 125 to beating without significant energy exchanges between the 158 126 waves. Predictions from the linear stability analysis are relevant 159 127 in these cases. Fig. 1 (d) summarizes the nonlinear case when the 160 128



Fig. 1. (a) Longitudinal dispersion profile of the fiber with $\beta_{2,avg} = 9.5 \text{ ps}^2/\text{km}, \beta_{2,amp} = 3.1 \text{ ps}^2/\text{km}.$ (b) Evolution of the fiber output gain as a function of the modulation frequency for an input signal to pump power ratio of -50 dB (solid black line) and -10 dB (dashed black line). The gain band from the Floquet analysis (dashed grey line) is superimposed. (c-d) Evolution of the pump (blue lines) and signal (red lines) power along the fiber length. Solid lines: $f_m = 230$ GHz; dotted lines: $f_m = 244$ GHz. (c) Signal to pump power ratio -50 dB. (d) Signal to pump power ratio -10 dB. Parameters: $\gamma = 4.4$ W^{-1} km⁻¹, $P_p(z=0) = 1.8$ W, L = 2 km, Z = 200 m.

signal to pump power ratio is increased to -10 dB. When the signal is located within the linear parametric gain band ($f_m = 230$ GHz), it is first amplified and then saturates around 1.2 km before decreasing due to the saturation of the process (solid red line in Fig. 1 (d)). The energy transfers are reversed back as it may happen in the nonlinear regime of MI in uniform fibers. The phenomenon is referred to as Fermi-Pasta-Ulam-Tsingou process [21], and it was also predicted in DOFs [17]. When the signal located outside the parametric gain band ($f_m = 244$ GHz), it keeps growing during its propagation to reach a larger value at the fiber output (dashed red line in Fig. 1 (d)) compared to the signal located within that band. Note that the pump is depleted at the same time, revealing a typical signature of the nonlinear regime of MI [17]. To get a deeper insight, we calculated from numerics the gain curve as a function of the frequency shift and plot them in Fig. 1 (b) for the linear (solid black line) and nonlinear (dashed black line) regimes. In the linear regime, the gain curve is well predicted by the Floquet analysis. The slight discrepancies are due to the finite amplitude of the perturbation and to the relatively small propagation length. In the nonlinear regime, the maximum conversion is shifted outside the parametric gain curve (cuttoff frequency $f_c = 236$ GHz) at $f_m = 245$ GHz. A very similar feature was observed with MI from fiber birefringence in [16].

3. FIBER PARAMETERS AND EXPERIMENTAL SETUP

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The L = 1.72 km long fiber was fabricated from a Ge-doped preform provided by Exail. The core has a refractive index difference of 17×10^{-3} . The outer diameter was modulated with a sine function of Z = 200 m period during the drawing process, resulting in a diameter variation from 113 μ m to 123 μ m, as shown in Fig. 2 (a), and a core diameter between 3.55 μ m and 3.85 μ m. At the operating wavelength $\lambda_0 = 1555$ nm, we

Fig. 2. (a) Measured data of the fiber diameter. (b) Recorded fiber output spontaneous spectra (solid blue line) with the Raman pump switch on. The numerical gain spectrum from numerical simulations [12, 22] is also superimposed (dotted black line).

Distance (km)

estimated the fiber attenuation $\alpha = 1.2 \text{ dB/km}$. The average 161 dispersion has been determined at λ_0 nm to $\beta_{2,avg} = 9.5 \text{ ps}^2/\text{km}$ 162 202 and the dispersion amplitude to $\beta_{2,amp} = 3.1 \text{ ps}^2/\text{km}$, which 163 maximizes the agreement between the measured spontaneous 203 164 MI spectrum at $P_0 = 1.8$ W (solid blue line in Fig. 2 (b)), with 165 204 the numerically simulated one using the method of [22] (dotted 205 166 black line in Fig. 2 (b))). As the average dispersion is pretty large, 206 167 a single MI sideband is destabilized [12]. Note that we actively 207 168 compensated the fiber attenuation, to preserve an almost con- 208 169 stant pump power in order to get a significant parametric gain 209 170 value of about 8-9 dB. We implemented a contra-propagating 210 171 Raman pump as in [23]. With Raman pump power at 1450 nm ₂₁₁ 172 of $P_R = 600$ mW, the linear attenuation had been reduced to ₂₁₂ 173 an almost negligible effective value of $\alpha_{\text{eff}}(@1550 \text{ nm}) = 0.25$ 174 dB/km. To study the evolution of the gain curve induced by the 214 175 nonlinear regime of the MI process, we implemented a setup 176 215 to measure the on/off gain (difference of the probe gain be-177 216 tween the parametric resonance switched on and off) with a 217 178 pump-probe experiment. It is schematically detailed in Fig. 3. 218 179 A 1555 nm CW laser, the pump, is shaped into a train of 50 ns 219



Fig. 3. Sketch of the experimental setup. CW: continuous wave, IM: intensity modulator, AWG: arbitrary waveform generator, AOM: acousto-optic modulator, MUX : multiplexer, SOA: semiconductor amplifier, EDFA: erbium-doped fiber amplifier, OSA: optical spectrum analyser.

pulses through an intensity modulator at a repetition rate of 9.6
kHz, which allows for avoiding stimulated Brillouin scattering
(SBS). They are then amplified into an erbium-doped fiber amplifier (EDFA) to reach several Watt peak power. The pulse train
passes through an acousto-optic modulator (AOM1) to lower

the inter-pulses noise floor, generated by the amplified spontaneous emissions of the EDFA at such low duty cycle (48×10^{-5}). Another CW tunable laser, the probe, is shaped into a similar pulse train by using another acousto-optic (AOM2) modulator and synchronized to the pump. It is also amplified with a semiconductor optical amplifier before being combined with the pump laser through a 50/50 coupler. The pulse train made of a pump and probe signals is then injected inside the DOF. The output spectrum is recorded with an optical spectrum analyzer to measure the on/off gain. Such recordings are automated to get a 2D plot of the on/off gain as a function of the pump-probe frequency shift and of the input signal power. This recording into a $(f_m, P_s(z = 0))$ plane provides a detailed illustration of the evolution of the MI process from the linear to the nonlinear regime. It enables to to get a better understanding of the whole dynamics of the process.

4. EXPERIMENTAL RESULTS

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Frequency detuning (GHz)

The gain experienced by the probe from the parametric resonance is measured over the whole first sidelobe (m = 1 in Eq. 1), from 200 to 260 GHz, and for signal to pump power ratios varying from -38 to -10 dB. The on/off gain measurements are summarized in the 2D plot in Fig. 4 (a). To clearly delimit the boundaries of the linear gain bandwidth, we superimposed vertical white dashed lines for which the parametric gain vanishes in Fig. 4 (a) and (c). For very small input signal power (probe to pump ratio up to -25 dB), the gain band remains unchanged and its maximum is located around $f_0 = 227$ GHz. By increasing the signal power (probe to pump ratio above -25 dB), the gain maximum shifts towards larger frequencies and falls beyond the high-frequency boundary of the linear MI band (dashed white lines) above the power ratio of -17 dB. To get a clearer insight into the modification of the gain shapes, we plot the gain curves for specific values of signal to pump power ratio, for -35 dB(blue line), -14.9 dB (orange line) and -10 dB (yellow line), in Fig. 4 (b). This clearly reveals a 25.8 GHz (11%) shift from the maximum of the linear gain curve for a power ratio of -10 dB. The progressive evolution of the maximum gain frequency (blue crosses), and the corresponding gain (red crosses) as a function of the input signal to pump power ratios are illustrated in Fig. 4 (e). It highlights the decrease of the gain value at the same time as the frequency shift increases which was not easy to observe in the 2D plot. Finally, the progressive evolution towards the nonlinear stage of the parametric process is also noticeable referring to the maximum conversion efficiency curve, as it was done in Ref. [14] (Fig. 4 (f)). Indeed, even if the gain is lower for large signals condition, what really matters is the conversion efficiency, which accounts for the sidebands (signal and idler) power fraction after the parametric amplification process. We observe a linear increase of the conversion efficiency (measured at the maximum gain) with respect to the probe to pump ratio (note the horizontal log scale) up to the value of -20 dB, above which the curve tends to saturate. The latter can be explained by the saturation of the parametric gain. All these experimental results are confirmed by numerical results obtained by integrating the NLSE (Fig. 4 (c-f)).

5. CONCLUSION

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We have reported the first observation of the nonlinear stage of the parametric instability occurring in DOFs. This was achieved with a pump-probe experiment, through a fine-tuning of the probe amplitude and frequency shift from the pump. It revealed



Fig. 4. (a)-(c) Evolution of the gain experienced by the probe from the parametric process as a function of the pump-probe frequency detuning and the power ratio. The vertical dashed white lines account for the cutoff frequencies of the gain band predicted by the Floquet analysis. (b)-(d) Evolutions of the gain for specific values of signal to pump power ratios. The dashed grey line accounts for the gain band predicted by the Floquet analysis. (a-b): experimental data. (c-d): data from NLSE numerical integration. (e-f): evolutions of (e) the maximum gain frequency (blue) and the maximum gain value (red), and (f) the maximum conversion efficiency as a function of the signal to pump power ratio. Crosses: experimental data. Dotted lines: numerical data.

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the transition from a linear regime of instability to a nonlinear 280 246 regime. The latter exhibits a completely distorted parametric 281 247 282 gain curve, with a maximum value shifted outside the limit of 248 the parametric gain (of about 26 GHz, equivalent to ~ 11 % 283 249 284 from the peak of the gain curve) together with a decrease of the 250 285 maximum gain value. These experimental results had been con-251 286 firmed by numerics. Another interesting aspect, which will be 252 the subject of a future work, is to study the periodic conversion 253 288 from the pump to the sidebands, which was predicted in Ref. 254 289 [17]. This is similar to the better-known phenomenon of Fermi- 290 255 Pasta-Ulam-Tsingou recurrences, observed in uniforms optical 291 256 fibers [21, 23]. Given the large out-of-band gain discovered here, 292 257 this will allow us to better understand the dynamics of nonlinear 293 258 MI in non-integrable dynamical systems. 294 259

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270Data availability.Data underlying the results presented in this306271paper are not publicly available at this time but may be obtained from307272the authors upon reasonable request.309

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