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► **To cite this version:**

Guillaume Vanderhaegen, Pascal Szriftgiser, Alexandre Kudlinski, Andrea Armaroli, Matteo Conforti, et al.. Measurement of the nonlinear parametric instability gain in dispersion oscillating fibers. *Optics Letters*, 2023, 48, 10.1364/ol.492479 . hal-04247945

**HAL Id: hal-04247945**

**<https://hal.science/hal-04247945v1>**

Submitted on 19 Oct 2023

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# Measurement of the nonlinear parametric instability gain in dispersion oscillating fibers

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Compiled April 4, 2023

**We report the observation of the parametric gain band distortion in the nonlinear (depleted) regime of modulation instability in dispersion oscillating fibers. We show that the maximum gain is shifted even outside the boundaries of the linear parametric gain band. Experimental observations are confirmed by numerical simulations.** © 2023 Optica Publishing Group

<http://dx.doi.org/10.1364/ao.XX.XXXXXX>

## 1. INTRODUCTION

Modulation instability (MI) refers to the amplification of a weak perturbation at the expense of a strong pump wave. The first observation in fiber optics had been achieved in 1986 by Tai *et al.* [1] in a conventional telecommunication fiber. The energy transfer from the pump to the perturbation depends on a phase-matching relation in which the nonlinear phase mismatch due to the Kerr effect is balanced by the linear one due to group velocity dispersion. Hence in most experiments, to fulfill this requirement, the pump wave propagates in the anomalous dispersion region of optical fibers. However, there are several other ways to also achieve a perfect compensation of the nonlinear phase mismatch when the dispersion is normal, by exploiting an additional linear contribution of the fiber due to higher-order transverse modes [2], higher-order dispersion terms [3, 4], periodic boundary conditions in resonators [5] or birefringence [6]. A periodic modulation along the propagation direction can also be exploited to trigger the MI process. It was first described in the context of telecom lightwave systems due to their intrinsic periodicity [7, 8], and then observed in fibers suitably engineered with a built-in modulation along the propagation direction [9–12]. In these, so-called dispersion-oscillating fibers (DOFs), the modulation period is a few meters and several sidebands fulfilling a quasi-phase matching relation had been observed over a few THz from the pump. However, in all these experimental investigations (see the review in Ref. [12] for a longer list of works), these new MI sidebands had been investigated in the linear regime of the MI process. In other words, pump depletion has been considered negligible. When this condition breaks down, a general principle [13] tells that one should expect that

the sideband frequency for parametric amplification can significantly deviate from the frequency of nonlinear phase-matching that yields the MI gain peak. Depending on the underlying four-photon mixing interaction considered, the optimal frequency has been demonstrated to be lower [14, 15] or higher [16] than the phase-matching frequency. Remarkably, when the MI parametric process is narrowband, the ultimate consequence is that the highest conversion can occur at frequencies outside the linearized gain bandwidth. In other words, it is possible to amplify signals located outside the linear gain band, a phenomenon observed to date in uniform birefringent fibers [14, 16]. Such a striking phenomenon has been also predicted for DOFs by means of mode truncation and averaging techniques [17]. In this letter, we report the observation of this surprising behavior in a DOF. We show that the optimal conversion frequency is blue-shifted up to 11 % from the linearized peak gain frequency, lying clearly outside the bandwidth of the MI gain curve.

## 2. MODULATION INSTABILITY IN DOFS

We consider a DOF whose group velocity dispersion profile evolves sinusoidally:

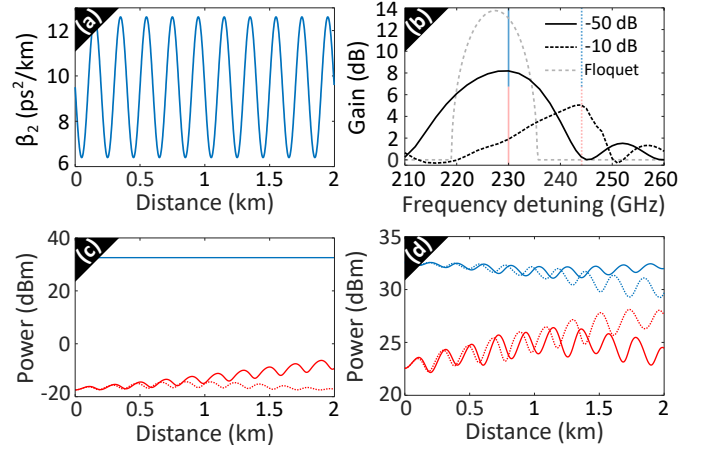
$$\beta_2(z) = \beta_{2,\text{avg}} + \beta_{2,\text{amp}} \sin\left(\frac{2\pi}{Z}z\right) \quad (1)$$

where  $\beta_{2,\text{avg}}$  is the average GVD value,  $\beta_{2,\text{amp}}$  the modulation amplitude around the average value, and  $Z$  the oscillation period. Such dispersion profile can easily be obtained by modulating the outer diameter of the fiber during the drawing process [12]. In this work, the relative variation of the outer diameter, and thus of the nonlinear coefficient of the fiber, is about 10%. By working close to the zero dispersion of the fiber, the relative variation of the GVD is close to 30%. We checked numerically that we can neglect the impact of the modulation of the nonlinear coefficient  $\gamma$  compared to the one of the GVD [12, 18]. Thus, we will consider it as constant along the fiber in this work. From the linear stability analysis applied to the nonlinear Schrödinger equation [19] with the GVD evolution described by Eq. (1), one can derive, based on the concept of parametric resonance [18], the frequency offset  $\Omega$  of the unstable sideband pair that experience peak gain in the  $m$ -th order parametric band, for fixed

pump power  $P_0$  [12]:

$$\Omega^2 = \frac{\beta_{2,\text{avg}}}{2} \left[ \sqrt{(\gamma P_0)^2 + \left(\frac{m\pi}{Z}\right)^2} - \gamma P_0 \right]; m = 1, 2, 3, \dots, \quad (2)$$

which can be approximated by the quasi-phase-matching (QPM) relation  $\beta_{2,\text{avg}}\Omega^2 + 2\gamma P_0 = \frac{2m\pi}{Z}$ , in the limit  $\gamma P_0 \ll \frac{m\pi}{Z}$ . An infinite number of frequencies are solutions to Eq. (2), but a limited number can indeed be observed because they have to experience a significant gain. Predictions of Eq. (2), combined with a linearized Floquet analysis enable to provide an accurate description of the linear regime of MI in DOFs, revealing the position of the bands and their gain values ([12] and references therein). However, in these works, the power of the perturbation is small compared to the pump power. When propagating in long fibers and/or using strong input signals, this assumption quickly becomes invalid. Under this condition, a simple intuition suggests that strong conversion should be expected at higher frequencies compared with those predicted from Eq. (2) or its QPM approximation. Indeed, when the pump power  $P_0$  decreases because of depletion, the phase-matching frequency predicted by Eq. (2) turns out to increase. Therefore, sidebands which are launched at the right frequency given by Eq. (2) are progressively tuned out of phase-matching as the pump starts to deplete. Conversely, sidebands with initially higher frequency, though initially experience a lower gain, are progressively tuned toward phase-matching, and overall give rise to a better conversion. This heuristic argument is supported by rigorous analysis in [17], which reveals that the linear parametric gain band is strongly distorted shifting towards higher frequencies. For sufficiently strong pump depletion (i.e., sufficiently strong seeding of sidebands at fixed fiber length), the remarkable fact is that the maximum conversion is obtained even outside the parametric gain bandwidth. The analysis shows that, even if the pump is MI-stable in this regime, the strong conversion is supported by an unstable mixed sideband-pump mode which bifurcates from the pump mode [17]. We illustrate this feature by means of the numerics reported in Fig. 1. The dispersion profile along the fiber length is depicted in Fig. 1 (a). The GVD is all normal with an average value  $\beta_{2,\text{avg}} = 9.5 \text{ ps}^2/\text{km}$ , an amplitude value  $\beta_{2,\text{amp}} = 3.1 \text{ ps}^2/\text{km}$  and a period of modulation of 200 m. These parameters will be used in our experiments. We display the power evolution of the pump (blue lines) and signal (red lines) along the propagation distance in Fig. 1 (c-d) for a weak (signal to pump power ratio of  $-50 \text{ dB}$ , Fig. 1 (c)) and strong input signal (signal to pump power ratio of  $-10 \text{ dB}$ , Fig. 1 (d)). We calculated the signal power evolution along the fiber length for two characteristics frequency shifts, either in the linear parametric gain band ( $f_m = 230 \text{ GHz}$ , see Fig. 1 (b)) or outside ( $f_m = 244 \text{ GHz}$ , see Fig. 1 (b)). In all examples depicted in Fig. 1 (c) and (d), the signal experiences periodic modulations equal to the GVD modulation period [12], which is characteristic of DOFs. When the signal power is weak (Fig. 1 (c), 50 dB below the pump power), and located within the parametric gain ( $f_m = 230 \text{ GHz}$ ), it is amplified during its propagation along the fiber length (solid red line in Fig. 1 (c)). A net gain of 8.2 dB is observed at the fiber output. For a signal located outside the parametric gain band ( $f_m = 244 \text{ GHz}$ ), the overall gain is negligible (dashed red line in Fig. 1 (c)). The signal experiences a slight growth and decay that is attributed to a standard four-wave mixing process [20], thus to beating without significant energy exchanges between the waves. Predictions from the linear stability analysis are relevant in these cases. Fig. 1 (d) summarizes the nonlinear case when the

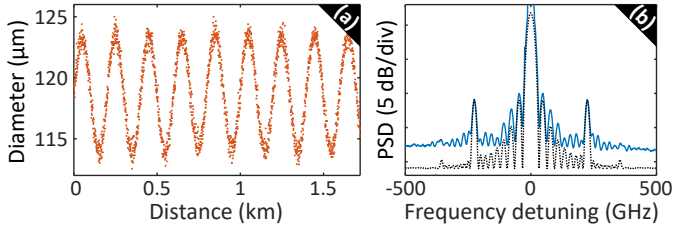


**Fig. 1.** (a) Longitudinal dispersion profile of the fiber with  $\beta_{2,\text{avg}} = 9.5 \text{ ps}^2/\text{km}$ ,  $\beta_{2,\text{amp}} = 3.1 \text{ ps}^2/\text{km}$ . (b) Evolution of the fiber output gain as a function of the modulation frequency for an input signal to pump power ratio of  $-50 \text{ dB}$  (solid black line) and  $-10 \text{ dB}$  (dashed black line). The gain band from the Floquet analysis (dashed grey line) is superimposed. (c-d) Evolution of the pump (blue lines) and signal (red lines) power along the fiber length. Solid lines:  $f_m = 230 \text{ GHz}$ ; dotted lines:  $f_m = 244 \text{ GHz}$ . (c) Signal to pump power ratio  $-50 \text{ dB}$ . (d) Signal to pump power ratio  $-10 \text{ dB}$ . Parameters:  $\gamma = 4.4 \text{ W}^{-1}\text{km}^{-1}$ ,  $P_p(z=0) = 1.8 \text{ W}$ ,  $L = 2 \text{ km}$ ,  $Z = 200 \text{ m}$ .

signal to pump power ratio is increased to  $-10 \text{ dB}$ . When the signal is located within the linear parametric gain band ( $f_m = 230 \text{ GHz}$ ), it is first amplified and then saturates around 1.2 km before decreasing due to the saturation of the process (solid red line in Fig. 1 (d)). The energy transfers are reversed back as it may happen in the nonlinear regime of MI in uniform fibers. The phenomenon is referred to as Fermi-Pasta-Ulam-Tsingou process [21], and it was also predicted in DOFs [17]. When the signal located outside the parametric gain band ( $f_m = 244 \text{ GHz}$ ), it keeps growing during its propagation to reach a larger value at the fiber output (dashed red line in Fig. 1 (d)) compared to the signal located within that band. Note that the pump is depleted at the same time, revealing a typical signature of the nonlinear regime of MI [17]. To get a deeper insight, we calculated from numerics the gain curve as a function of the frequency shift and plot them in Fig. 1 (b) for the linear (solid black line) and nonlinear (dashed black line) regimes. In the linear regime, the gain curve is well predicted by the Floquet analysis. The slight discrepancies are due to the finite amplitude of the perturbation and to the relatively small propagation length. In the nonlinear regime, the maximum conversion is shifted outside the parametric gain curve (cutoff frequency  $f_c = 236 \text{ GHz}$ ) at  $f_m = 245 \text{ GHz}$ . A very similar feature was observed with MI from fiber birefringence in [16].

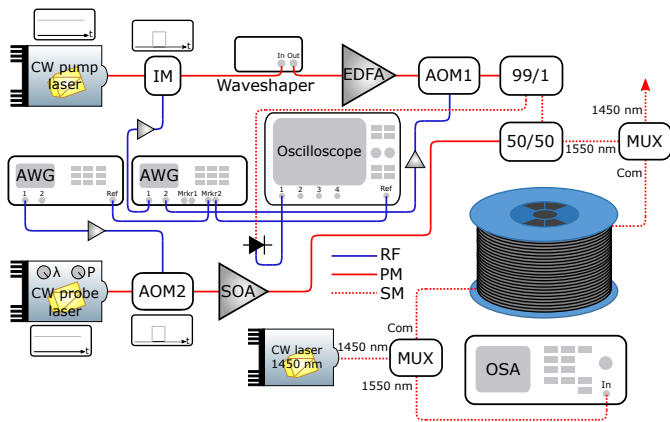
### 3. FIBER PARAMETERS AND EXPERIMENTAL SETUP

The  $L = 1.72 \text{ km}$  long fiber was fabricated from a Ge-doped preform provided by Exail. The core has a refractive index difference of  $17 \times 10^{-3}$ . The outer diameter was modulated with a sine function of  $Z = 200 \text{ m}$  period during the drawing process, resulting in a diameter variation from  $113 \mu\text{m}$  to  $123 \mu\text{m}$ , as shown in Fig. 2 (a), and a core diameter between  $3.55 \mu\text{m}$  and  $3.85 \mu\text{m}$ . At the operating wavelength  $\lambda_0 = 1555 \text{ nm}$ , we



**Fig. 2.** (a) Measured data of the fiber diameter. (b) Recorded fiber output spontaneous spectra (solid blue line) with the Raman pump switch on. The numerical gain spectrum from numerical simulations [12, 22] is also superimposed (dotted black line).

161 estimated the fiber attenuation  $\alpha = 1.2$  dB/km. The average  
 162 dispersion has been determined at  $\lambda_0$  nm to  $\beta_{2,avg} = 9.5$  ps<sup>2</sup>/km  
 163 and the dispersion amplitude to  $\beta_{2,amp} = 3.1$  ps<sup>2</sup>/km, which  
 164 maximizes the agreement between the measured spontaneous  
 165 MI spectrum at  $P_0 = 1.8$  W (solid blue line in Fig. 2 (b)), with  
 166 the numerically simulated one using the method of [22] (dotted  
 167 black line in Fig. 2 (b)). As the average dispersion is pretty large,  
 168 a single MI sideband is destabilized [12]. Note that we actively  
 169 compensated the fiber attenuation, to preserve an almost constant  
 170 pump power in order to get a significant parametric gain  
 171 value of about 8-9 dB. We implemented a contra-propagating  
 172 Raman pump as in [23]. With Raman pump power at 1450 nm  
 173 of  $P_R = 600$  mW, the linear attenuation had been reduced to  
 174 an almost negligible effective value of  $\alpha_{eff}(@1550 \text{ nm}) = 0.25$   
 175 dB/km. To study the evolution of the gain curve induced by the  
 176 nonlinear regime of the MI process, we implemented a setup  
 177 to measure the on/off gain (difference of the probe gain be-  
 178 tween the parametric resonance switched on and off) with a  
 179 pump-probe experiment. It is schematically detailed in Fig. 3.  
 A 1555 nm CW laser, the pump, is shaped into a train of 50 ns



**Fig. 3.** Sketch of the experimental setup. CW: continuous wave, IM: intensity modulator, AWG: arbitrary waveform generator, AOM: acousto-optic modulator, MUX : multiplexer, SOA: semiconductor amplifier, EDFA: erbium-doped fiber amplifier, OSA: optical spectrum analyzer.

180 pulses through an intensity modulator at a repetition rate of 9.6  
 181 kHz, which allows for avoiding stimulated Brillouin scattering  
 182 (SBS). They are then amplified into an erbium-doped fiber ampli-  
 183 fier (EDFA) to reach several Watt peak power. The pulse train  
 184 passes through an acousto-optic modulator (AOM1) to lower  
 185

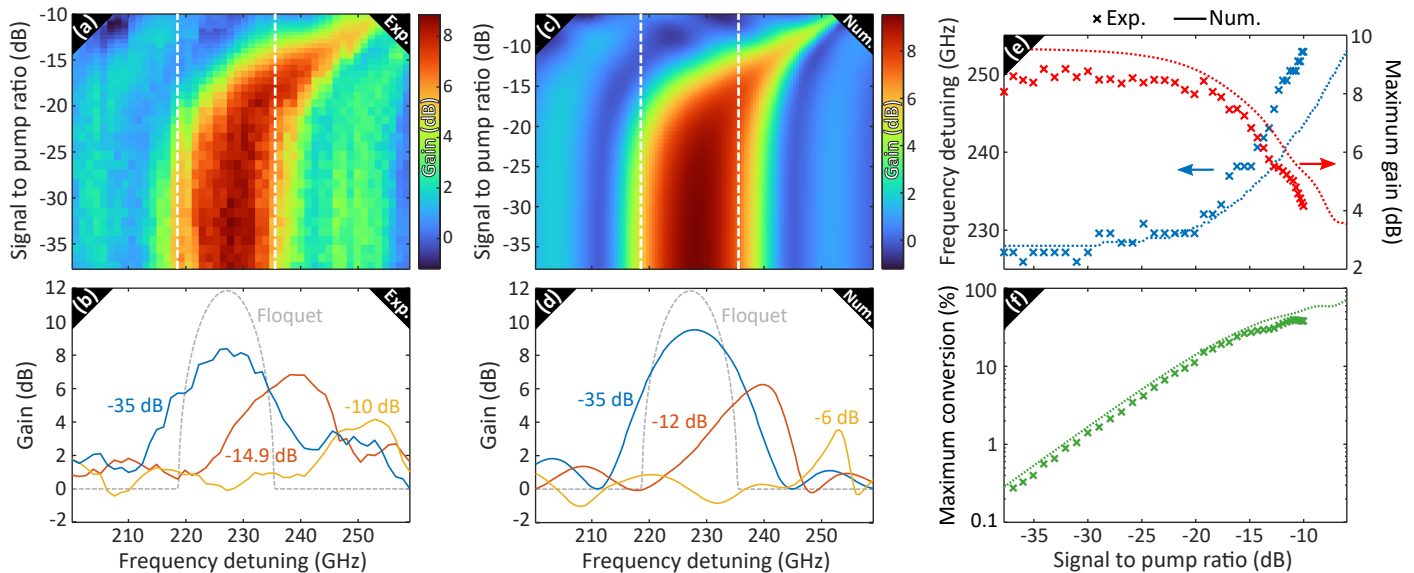
186 the inter-pulses noise floor, generated by the amplified sponta-  
 187 neous emissions of the EDFA at such low duty cycle ( $48 \times 10^{-5}$ ).  
 188 Another CW tunable laser, the probe, is shaped into a similar  
 189 pulse train by using another acousto-optic (AOM2) modula-  
 190 tor and synchronized to the pump. It is also amplified with a  
 191 semiconductor optical amplifier before being combined with the  
 192 pump laser through a 50/50 coupler. The pulse train made of  
 193 a pump and probe signals is then injected inside the DOF. The  
 194 output spectrum is recorded with an optical spectrum analyzer  
 195 to measure the on/off gain. Such recordings are automated to  
 196 get a 2D plot of the on/off gain as a function of the pump-probe  
 197 frequency shift and of the input signal power. This recording  
 198 into a  $(f_m, P_s(z = 0))$  plane provides a detailed illustration of  
 199 the evolution of the MI process from the linear to the nonlinear  
 200 regime. It enables to get a better understanding of the whole  
 201 dynamics of the process.

## 4. EXPERIMENTAL RESULTS

202 The gain experienced by the probe from the parametric reso-  
 203 nance is measured over the whole first sidelobe ( $m = 1$  in Eq.  
 204 1), from 200 to 260 GHz, and for signal to pump power ratios  
 205 varying from  $-38$  to  $-10$  dB. The on/off gain measurements are  
 206 summarized in the 2D plot in Fig. 4 (a). To clearly delimit the  
 207 boundaries of the linear gain bandwidth, we superimposed ver-  
 208 tical white dashed lines for which the parametric gain vanishes  
 209 in Fig. 4 (a) and (c). For very small input signal power (probe  
 210 to pump ratio up to  $-25$  dB), the gain band remains unchanged  
 211 and its maximum is located around  $f_0 = 227$  GHz. By increasing  
 212 the signal power (probe to pump ratio above  $-25$  dB), the gain  
 213 maximum shifts towards larger frequencies and falls beyond the  
 214 high-frequency boundary of the linear MI band (dashed white lines)  
 215 above the power ratio of  $-17$  dB. To get a clearer insight  
 216 into the modification of the gain shapes, we plot the gain curves  
 217 for specific values of signal to pump power ratio, for  $-35$  dB  
 218 (blue line),  $-14.9$  dB (orange line) and  $-10$  dB (yellow line), in  
 219 Fig. 4 (b). This clearly reveals a 25.8 GHz (11%) shift from the  
 220 maximum of the linear gain curve for a power ratio of  $-10$  dB.  
 221 The progressive evolution of the maximum gain frequency (blue  
 222 crosses), and the corresponding gain (red crosses) as a function  
 223 of the input signal to pump power ratios are illustrated in Fig. 4  
 224 (e). It highlights the decrease of the gain value at the same time  
 225 as the frequency shift increases which was not easy to observe  
 226 in the 2D plot. Finally, the progressive evolution towards the  
 227 nonlinear stage of the parametric process is also noticeable refer-  
 228 ring to the maximum conversion efficiency curve, as it was  
 229 done in Ref. [14] (Fig. 4 (f)). Indeed, even if the gain is lower  
 230 for large signals condition, what really matters is the conversion  
 231 efficiency, which accounts for the sidebands (signal and idler)  
 232 power fraction after the parametric amplification process. We  
 233 observe a linear increase of the conversion efficiency (measured  
 234 at the maximum gain) with respect to the probe to pump ratio  
 235 (note the horizontal log scale) up to the value of  $-20$  dB, above  
 236 which the curve tends to saturate. The latter can be explained by  
 237 the saturation of the parametric gain. All these experimental re-  
 238 sults are confirmed by numerical results obtained by integrating  
 239 the NLSE (Fig. 4 (c-f)).  
 240

## 5. CONCLUSION

241 We have reported the first observation of the nonlinear stage of  
 242 the parametric instability occurring in DOFs. This was achieved  
 243 with a pump-probe experiment, through a fine-tuning of the  
 244 probe amplitude and frequency shift from the pump. It revealed  
 245



**Fig. 4.** (a)-(c) Evolution of the gain experienced by the probe from the parametric process as a function of the pump-probe frequency detuning and the power ratio. The vertical dashed white lines account for the cutoff frequencies of the gain band predicted by the Floquet analysis. (b)-(d) Evolutions of the gain for specific values of signal to pump power ratios. The dashed grey line accounts for the gain band predicted by the Floquet analysis. (a-b): experimental data. (c-d): data from NLSE numerical integration. (e-f): evolutions of (e) the maximum gain frequency (blue) and the maximum gain value (red), and (f) the maximum conversion efficiency as a function of the signal to pump power ratio. Crosses: experimental data. Dotted lines: numerical data.

the transition from a linear regime of instability to a nonlinear regime. The latter exhibits a completely distorted parametric gain curve, with a maximum value shifted outside the limit of the parametric gain (of about 26 GHz, equivalent to  $\sim 11\%$  from the peak of the gain curve) together with a decrease of the maximum gain value. These experimental results had been confirmed by numerics. Another interesting aspect, which will be the subject of a future work, is to study the periodic conversion from the pump to the sidebands, which was predicted in Ref. [17]. This is similar to the better-known phenomenon of Fermi-Pasta-Ulam-Tsingou recurrences, observed in uniform optical fibers [21, 23]. Given the large out-of-band gain discovered here, this will allow us to better understand the dynamics of nonlinear MI in non-integrable dynamical systems.

**Funding.** This work was supported by the Agence Nationale de la Recherche (Programme Investissements d’Avenir FARCO project, I-SITE VERIFICO); Ministry of Higher Education and Research; Hauts de France Council (GPEG project); European Regional Development Fund (Photonics for Society P4S) and the CNRS (IRP LAFONI) and H2020 Marie Skłodowska-Curie Actions (MSCA)(713694) and MEFISTA and University of Lille Through the LAI HOLISTIC. We acknowledge Exail for the fabrication of the preform. S.T. acknowledges Ministero dell’Università e della Ricerca (2020X4T57A).

**Disclosures.** The authors declare no conflicts of interest.

**Data availability.** Data underlying the results presented in this paper are not publicly available at this time but may be obtained from the authors upon reasonable request.

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