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# Optical limiting and nonlinear optical properties of Cr<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> based polymer nanocomposites

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**Abstract:** The coming decades will be the scene of a massive deployment of laser systems both in civil society and for military purposes. Emerging new technologies have to face strong challenges on their ability to become more efficient and faster in response time. Whilst nothing can travel faster than the speed of light, photons technologies like lasers might become ubiquitous and swarms of lasers emitting harmful radiations will become the center of a growing interest. At the other end of the segment, the complementary activity is to enable for efficient, tough versatile protection methods toward the laser weaponry. Nonlinear optical nanomaterials can serve this purpose when properly embedded in a solid medium, resulting in nanocomposite passive optical limiting filters. The present study relies on the passive laser protection concept where materials with nonlinear optical properties are used, that are self-activated when the incident laser threat is above a certain level of intensity. Nanomaterials are tailored for optical limitation due to their versatility, their strong nonlinear absorption properties and their uniform transmittance characteristics over a broad spectral range, the latter property avoiding color distortions to the observation device. The optical limiting behavior of templated transition metals nanoparticles, Cr<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> in a PMMA host at the wavelength of 1064 nm in the nanosecond regime is discussed. The optical filter were produced by chemical synthesis from the bulk. The optical limiting properties were characterized using an adequate custom-made optical setup and the third order nonlinear parameters, namely the nonlinear absorption coefficients and refractive indices were measured by the Z-scan method. The optical limiting performance improvement is clearly demonstrated for the PMMA/Cr<sub>2</sub>O<sub>3</sub> filter bearing out a laser protection level of OD=2.0, a factor of 2 larger than the pure PMMA filter. A significant blue shift in the nonlinear activation threshold energy occurs when WO<sub>3</sub> or Cr<sub>2</sub>O<sub>3</sub> are embedded in a PMMA host as the values have been subsequently pulled down from 500 μJ (pure PMMA) to 65 μJ and 23 μJ, respectively. Z-scan measurements highlighted a self-defocusing effect as a result of a negative nonlinearity. Nonlinear refractive indexes in the order of  $n_2 = -1.0 \times 10^{-15} \text{ cm}^2 / \text{W}$  were calculated for the PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/ WO<sub>3</sub> systems and  $n_2 = -2.2 \times 10^{-17} \text{ cm}^2 / \text{W}$  for the pure PMMA. A nonlinear absorption coefficient as high as  $\beta = 163 \text{ cm} / \text{GW}$  was measured for the PMMA/Cr<sub>2</sub>O<sub>3</sub> optical limiting filter while the one for the pure PMMA lies 2 orders of magnitude behind. It is suggested that the PMMA/Cr<sub>2</sub>O<sub>3</sub> optical system undergoes reverse saturable absorption enhanced by excited state absorption (ESA/RSA). Besides, it is believed that multi-photons absorption (MPA) occurs in PMMA/WO<sub>3</sub> or pure PMMA.

## 46 1. Introduction

47 Recent advances in laser technology and the miniaturization of optical devices have ushered  
48 in a new era of efficiency for the military as well as for the civilian laser systems. Visible and  
49 IR light receptors are capable of detecting electromagnetic radiation at various intensity levels  
50 and at various wavelengths in the spectral region from approximately 400 nm to 5000 nm.  
51 Examples of such light receptors include but are not limited to the human eye and optical  
52 detectors/sensors which produce a response whenever illuminated. The human eye, optical  
53 detectors/sensors and photo-receptors can be damaged by exposure to high intensity lasers.  
54 For example, optical detectors can be exposed beyond their capabilities and destroyed by  
55 either continuous or short duration exposure to a laser beam. Similarly, the retina of the eye  
56 can be damaged by being exposed to a laser beam for only a brief period of time.

57 The objective of this work is to synthesize nonlinear optical polymer nanocomposite  
58 filters based on  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  bearing out optical limiting properties. The use of nonlinear  
59 optical materials offers the possibility to design passive protection filters, i.e., those activated  
60 by the incoming radiation itself, in contrast to active systems, trickier in their elaboration,  
61 where the input signal has to be controlled by an additional element [1]. Obviously speaking,  
62 an optical limiting filter must fulfill the following conditions: possess a fast response time,  
63 exhibit a low nonlinear threshold, be neutral in color, present a low insertion loss (i.e., a high  
64 linear transmittance) and cover a large spectral range. Poly(methyl methacrylate) (PMMA) is  
65 considered as the host material in this study.

66 Solid-state optical limiting nanocomposite filters consist frequently of PMMA as the host  
67 material, which is a widely used polymeric material in the fields of defense and aerospace as  
68 well as in the field of laser protection, see e.g. [2-5]. PMMA is the most common organic  
69 glass, it exhibits excellent properties such as small chromatic dispersion, high linear  
70 transmittance in the VIS, NIR and the SWIR part of the spectrum. Metal oxide nanomaterials  
71 formed from transition metals like  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  are known to be p-type and n-type  
72 semiconductors, respectively. Chromium and tungsten, referred in the group 6B elements  
73 have interesting electronic band structures ruling out their chemical and physical properties.  
74 Actually, they form partially filled d-orbitals which will extend up to the conduction band and  
75 even exceed the Fermi level, so they present a complete overlap of the conduction band by  
76 the valence band. Accordingly, interband electronic transitions can be readily assumed in the  
77 VIS up to the NIR part of the electromagnetic spectrum in the case of  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$ .  
78 Furthermore, these appropriate interband transitions will promote (nonlinear) absorption  
79 effects and contribute to the dielectric function of the materials which outlines the manner the  
80 electromagnetic radiations act on the electrons involved. Regarding their promising electronic  
81 properties,  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  should be reasonably considered as good candidates for optical  
82 limiting applications where nonlinear absorption effects are the basic prerequisites. Although  
83 the research studies relating on the electronic and optical properties of  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  [6-9]  
84 (among others), and those reporting on their optical limiting behavior [10-20] are numerous,  
85 to the best of the authors knowledge there is no work describing the nonlinear optical  
86 properties as well as the optical limiting behavior of nanocomposites made of  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$   
87 nanofillers embedded in a PMMA matrix.

88 This work focuses on the nonlinear optical effects resulting from pulsed laser radiations in  
89 the near infrared at the wavelength of 1064 nm at the nanosecond timescale. Actually,  
90 harmful radiations are often due to laser target designators emitting invisible beams (to the  
91 eyes) at the wavelength of 1064 nm [21,22]. In section 2 we expose the experimental  
92 principles of this work. In section 3, resulting from nonlinear transmittance measurements,  
93 the filters performance are given in terms of their global nonlinear attenuation. In a further  
94 step, by means of Z-scan assessments we study the nonlinear absorption and the nonlinear  
95 refraction of the optical limiters. Section 4 gives the conclusion of this work.

## 2. Experimental section

### 2.1 Chemical synthesis

Templated  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  nanoparticles were synthesized following the procedure described in [20, 23, 24]. Succinctly, the synthesis of chromium (III) oxide and tungsten(VI) oxide nanoparticles were carried out as follow: metal salts (Cr, W) were dissolved in an aqueous dispersion of nanosized  $\text{SiO}_2$  particles (Ludox®) according to a silica/metal precursor weight ratio of 2/3. The resulting mixtures were stirred for 1 h to achieve homogeneous suspensions, placed in an oven at 80 °C to obtain total evaporation of the aqueous phase and then grounded in a mortar. The powdered samples were calcinated 2 h at a heating rate of 2 °C/min at 550 °C and 600 °C for the Cr-based and W-based composites, respectively. The pristine Cr- and W-based composites were washed twice with hydrofluoric acid (10 wt%) to remove the silica nanoparticles. The ceramic materials were separated to the acid phase via centrifugation and washed with distilled water then once with acetone. Finally, the green (Cr) and yellow (W) oxide materials were dried in an oven at 100 °C overnight. Methyl methacrylate (MMA) monomer (5 mL, 4.7 g, purchased from Carl Roth) and the composites nanoparticles were mixed in 20 mL melted glass. The same mass fraction was used for  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$ : mother solutions with a nanoparticle load of 0.025 wt% were prepared and subsequently diluted to obtain the final mass fraction of 0.0025 wt%. Both resulting solutions were mixed in an ultrasonic bath during 10 min. A micro-magnetic bar was added to homogenize the solutions during the reaction. Then, the AIBN initiator was added at 0.4wt%. To efficiently disperse the AIBN, a Vortexer was used during 1 min. In a further step, septum was used to keep closed mixtures during the reaction. These latter were put in an ice bath to avoid the MMA monomer from evaporating before it was degased with nitrogen for one minute. During the process the solutions were surmounted with a nitrogen bag. Mixtures were then heated up, maintained at 50 °C in an oil bath and simultaneously stirred. When solutions appeared to be too viscous, the micro-magnetic bar was removed and the melted glass was put in an oven at 50°C until a solid bulk was obtained. The glass was opened to allow the unreacted MMA monomer (mainly located in the top layer above the polymer) to escape. Finally, the melted glass was broken and separated from the solid polymer before it was polished to obtain optical-grade quality filters. The 2.5 mm thick resulting laser protection filters are shown on figure 1.

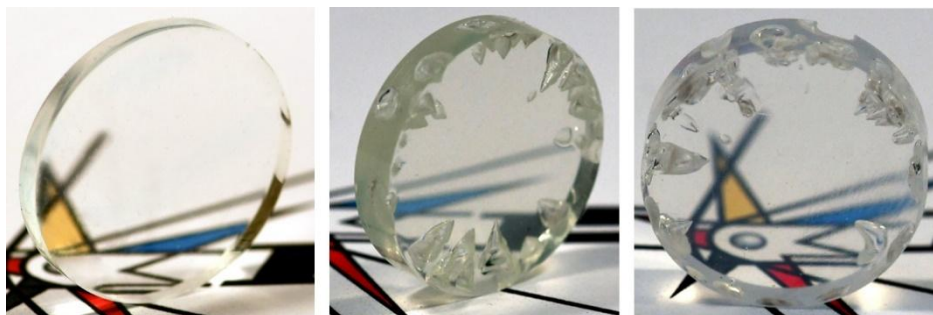


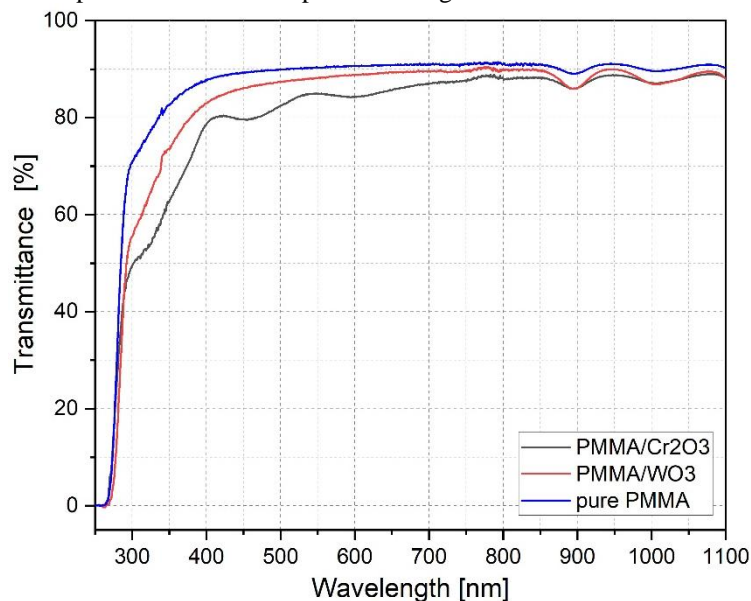
Fig. 1: Optical limiting filters, from the left to the right respectively, pure PMMA, PMMA/ $\text{Cr}_2\text{O}_3$  and PMMA/ $\text{WO}_3$ . Both pictures on the right highlight the Trommsdorff effect.

Featured on both photographs on the right of figure 1, the conical texture observed on the periphery of the optical filters is due to the Trommsdorff effect [25], often occurring in free radical bulk polymerizations. In brief, the Trommsdorff effect is a side reaction leading to a dramatic reduction of the termination reaction rates due to the diffusion limitation and the local rise in viscosity of the polymerizing arrangement. As a consequence of the lack of

135 reaction obstacles, the polymerization rate is significantly increased, therefore leading in  
136 uncontrollable reactions.

## 137 2.2 Linear optical properties

138 The linear transmittance of the optical filters as well as their linear absorbance are shown on  
139 figures 2 and 3, respectively. The measurements were carried out by using a double beam  
140 UV/VIS/SWIR spectrometer Agilent Cary 7000 in the wavelength range [250 nm-1100 nm]  
141 and [220 nm-600 nm], respectively. It is obvious to mention that the presence of  $\text{Cr}_2\text{O}_3$  and  
142  $\text{WO}_3$  nanoparticles significantly affect the linear optical properties of PMMA as it can be seen  
143 on the figures 2 & 3. Nevertheless, in the wavelength range of interest, the linear  
144 transmittance of the optical filters can be considered as broadband and the value of  $T=90\%$  at  
145 1064 nm meets the specifications for an optical limiting filter.



146

147 Fig. 2: Linear transmittance spectra of PMMA/ $\text{Cr}_2\text{O}_3$  and PMMA/ $\text{WO}_3$  optical limiting filters. Comparison with  
148 pure PMMA.

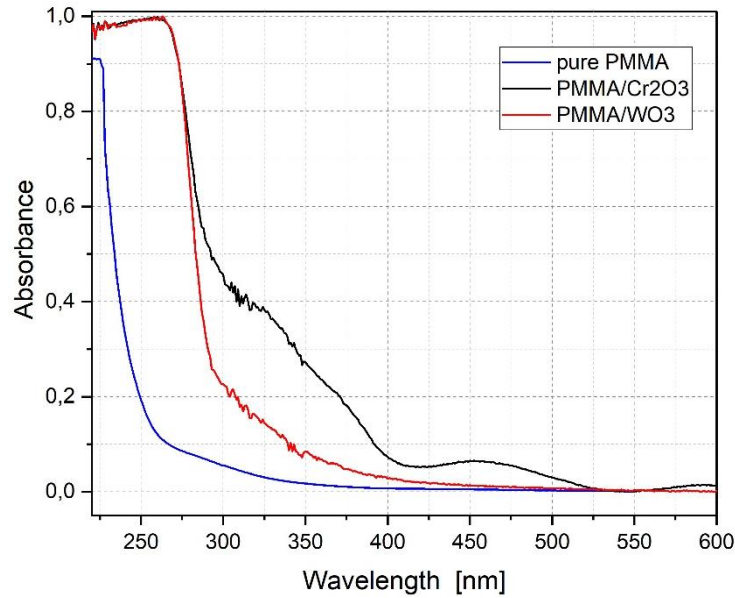


Figure 3: Absorption spectra of PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/WO<sub>3</sub> optical limiting filters. Comparison with pure PMMA.

As it is revealed on figures 2 & 3, the PMMA/Cr<sub>2</sub>O<sub>3</sub> filter shows a strong absorption in the violet around 350 nm in accordance with the greenish color of the optical filter. It is well known that the optical properties of Cr<sup>3+</sup> ions in Cr<sub>2</sub>O<sub>3</sub> are due to d-d electronic transitions. Actually, 2 well defined bands can be observed at 460 nm and 600 nm in agreement with the work of Liang et al. [26]. These bands may be assigned to the  $^4A_{2g} \rightarrow ^4T_{1g}$  transitions of the six coordinate geometry and the  $^4A_{2g} \rightarrow ^4T_{2g}$  of Cr<sup>3+</sup> ions in an octahedral environment [26]. On the other hand, the PMMA/WO<sub>3</sub> system exhibits quasi-monotonic transmittance and absorbance behaviors which might be related to the symmetrical nature of tungsten trioxide monoclinic crystal lattice structure and the absence of specific intragap defects. The well resolved sharp edge between 300 nm and 400 nm is to be imparted to the presence of oxygen ions vacancies in the structure of WO<sub>3</sub> [27]. The slight bluish color of the PMMA/WO<sub>3</sub> filter (figure 1) is due to gap narrowing as a consequence of these O vacancies.

Investigations on the intrinsic absorption edge of the optical limiting filters in figure 3 enable to determine the optical band gap of the materials according to the absorption spectrum fitting method using the Tauc model well described in [28]. Taking into account indirect band to band transitions, the Tauc plot, i.e. the plot  $(Absorbance / \lambda)^{1/m}$  versus  $1/\lambda$  is expected to show a linear behavior in the high energy region which corresponds to a strong absorption near the absorption edge. By extrapolating the linear portion of this straight line to zero absorption edge provides the optical energy band gap, Eg of the polymer based optical filters. It is observed that the best fitting procedure is obtained for  $m = 2$ , therefore consistent with allowed indirect  $\pi^* - \pi$  electronic transitions in material structures for which the top of the valence band is not at the same wave vector point as the bottom of the conduction band. The value of Eg is easily deduced by multiplying the extrapolated abscissa by 1239.83 (the combination of the Planck's constant and the velocity of light). The results can be seen on the figure 4 and the Eg values are summarized in table 1.

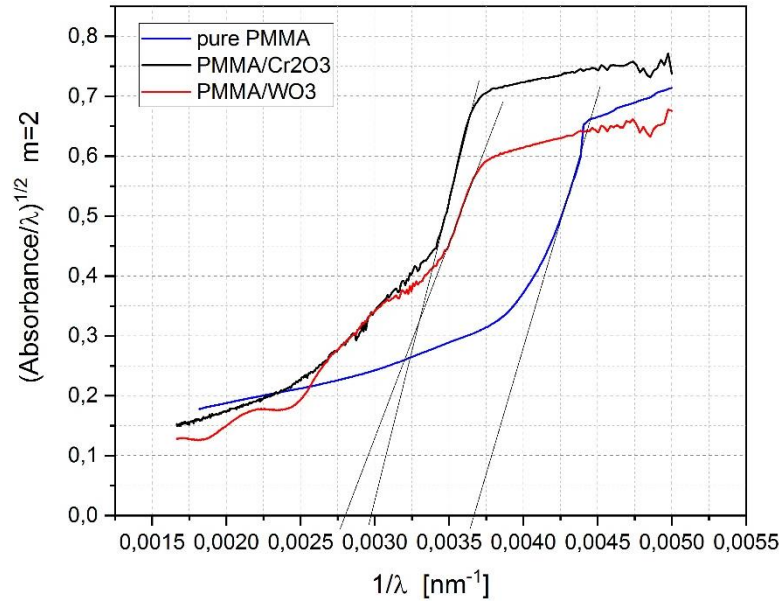


Figure 4: Assessment of the optical band gaps. Tauc plots for PMMA/Cr<sub>2</sub>O<sub>3</sub>, PMMA/WO<sub>3</sub> and pure PMMA optical limiting filters.

**Table 1: Optical band gaps for PMMA based optical limiting filters.**

	pure PMMA	PMMA/Cr <sub>2</sub> O <sub>3</sub>	PMMA/WO <sub>3</sub>
E <sub>g</sub> (eV)	4.6	3.7	3.5

It appears noteworthy that pure PMMA exhibits the highest E<sub>g</sub> value of 4.6 eV in consistency with its insulator character. Our experimental value is in accordance with the one determined by Jasim et al., E<sub>g</sub>=4.6 eV [29], and the one obtained in [30], E<sub>g</sub>=4.9 eV. Our experimental findings on figure 4 and table 1 indicate that the optical band gap of pure PMMA is decreased due to the presence of semiconducting nanoparticles like Cr<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub>. All synthesized nanocomposites were characterized by a lower optical energy gap E<sub>g</sub> than the matrix polymer material as already observed by Matysiak et al. [31]. Indeed, energy band gaps of 3.7 eV and 3.5 eV stem from our experimental work in the case of PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/WO<sub>3</sub>, respectively. To the best appreciation of the authors, there is a strict lack of E<sub>g</sub> data in the literature for PMMA nanocomposites made of Cr<sub>2</sub>O<sub>3</sub> or WO<sub>3</sub> loads. Albeit our values cannot be strictly compared to pure compounds, Abdullah et al. calculated a value E<sub>g</sub>=3.2 eV for as-grown Cr<sub>2</sub>O<sub>3</sub> nanostructures [32], whereas regarding WO<sub>3</sub> thin films, Sivakumar et al. obtained E<sub>g</sub> values ranging from 2.7 eV to 3.1 eV [27], both of which are in harmony with our findings.

### 2.3 Optical setup and measurement procedure

The laser system used for this study is a Q-switched Nd-YAG (Quantel) working at the wavelength of 1064 nm with a repetition rate fixed to 1 Hz and a pulse width of nearly 4 ns. The experimental setup to assess the nonlinear transmittance is sketched in figure 5 and the detailed experimental process is given in [1].



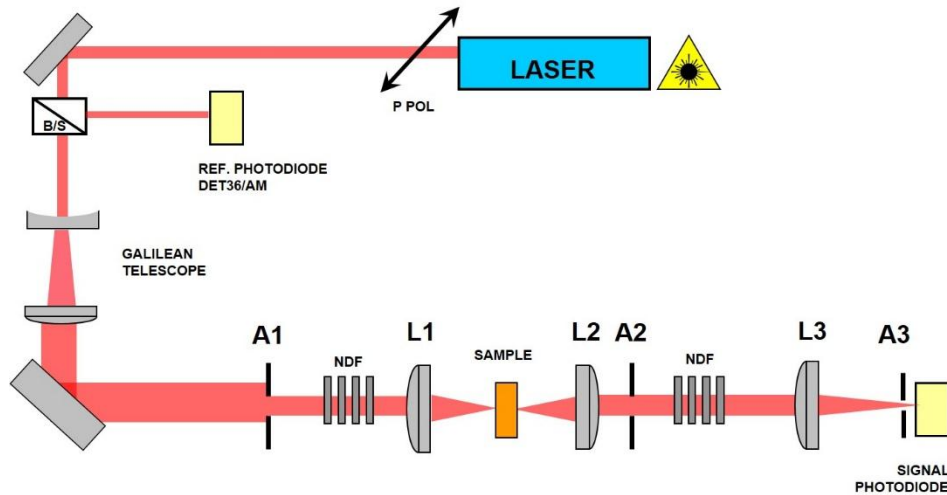


Fig. 5: Experimental setup used to study the optical limiting behavior of nonlinear filters. Details on the setup given in [1].

One part of the incident laser beam is taken from the main setup right before the Keplerian telescope (see figure 5) and directed toward the Z-scan experimental setup shown in figure 6. Precise informations on the measurement procedure can be read in [1].

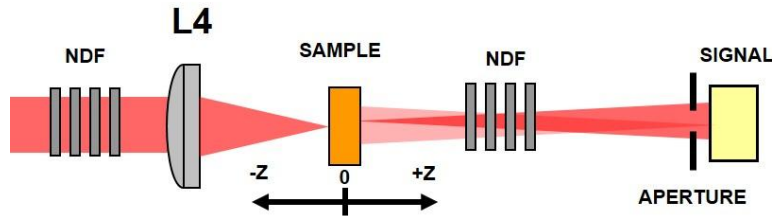


Fig. 6: From [1]. Z-scan optical setup in an open and close aperture scheme.  $L_4$ , plano-convex lens,  $f_4 = 200$  mm; NDF, neutral density filters; aperture hole,  $200 \mu\text{m}$ .

### 3. Results and discussion

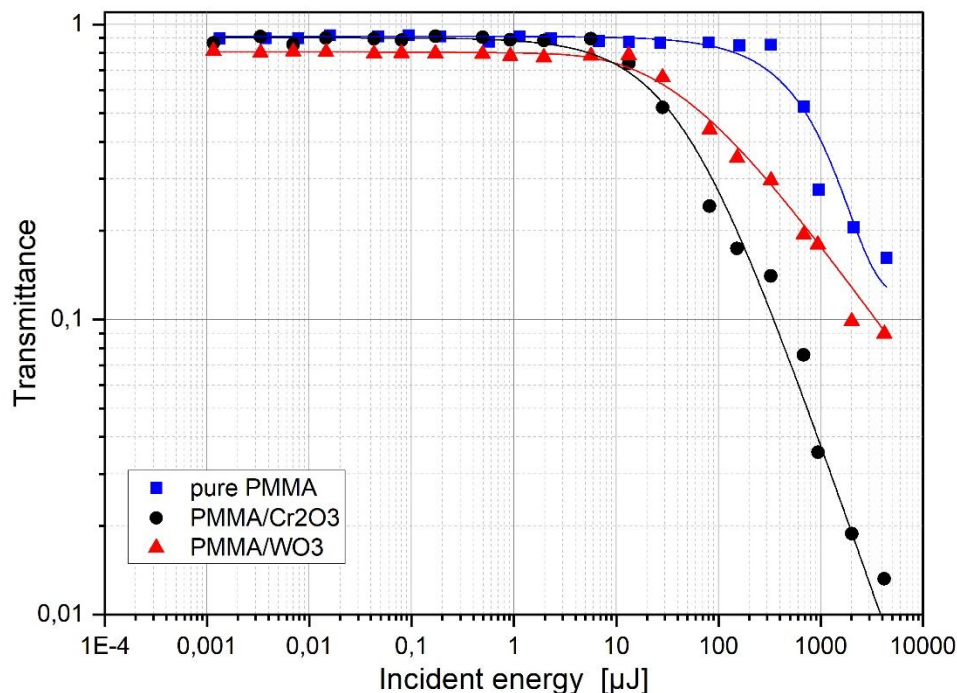
#### 3.1 Nonlinear optical transmittance

The assessment of the transmittance as a function of the laser incident energy, in off-resonant conditions (i.e.,  $1064 \text{ nm}$ ), reveals the co-existence of 2 regimes governed by a linear behavior and a nonlinear one, respectively. The experimental results depicted in figure 7 at the wavelength of  $\lambda=1064 \text{ nm}$  are supported by theoretical fitting sessions displayed in solid lines. The laser input energy was varied from  $1 \text{ nJ}$  up to ca.  $4 \text{ mJ}$ . The linear regime, where the transmittance is constant, spreads over several decades of input energies is followed by the nonlinear regime characterized by a decrease of the optical filters transmittance. It is to be noticed that the height of the plateau giving the transmittance of each filter at  $1064 \text{ nm}$  is in good agreement with the spectrometer transmittances given in figure 2. The optical limiting threshold, is a crucial parameter since it describes the efficiency of each optical limiting filter and is defined as the energy where the transmittance drops to  $3 \text{ dB}$  of its initial value. This parameter is obtained by a direct lecture on the graphs of figure 7 and should be appropriately compared with the energy thresholds resulting from theoretical fitting whose depending on



more complex constraints. Readily, those are 23  $\mu\text{J}$ , 65  $\mu\text{J}$  and 500  $\mu\text{J}$  for the PMMA/Cr<sub>2</sub>O<sub>3</sub>, PMMA/WO<sub>3</sub> and pure PMMA, respectively.

Obviously, PMMA/Cr<sub>2</sub>O<sub>3</sub> optical filter shows the most favorable optical limiting performance. Indeed, it presents the sharpest decrease of the transmittance, as high as 8 dB per decade compared to a steepness of 3 dB per decade for PMMA/WO<sub>3</sub> optical filter. As expected, the worse achievement concerns the pure PMMA optical filter. At the highest incident energy, a direct lecture on figure 7 brings the global filters attenuation to be OD=2.0, OD=1.0 and OD=0.9, for the PMMA/Cr<sub>2</sub>O<sub>3</sub>, PMMA/WO<sub>3</sub> and pure PMMA, respectively.



233

234 Fig. 7: Transmittance as a function of the input energy displayed in a log–log plot for the 3 filter systems under  
 235 investigation. Solid lines result from theoretical fitting. Laser wavelength is 1064 nm. Further details are mentioned  
 236 in the text.

237 It is firmly to be expected that molecular arrangements involving transition metals, like  
 238 e.g., Cr in the case of PMMA/Cr<sub>2</sub>O<sub>3</sub>, undergo excited state absorption / reverse saturable  
 239 absorption (ESA/RSA) at an appropriate laser energy level. Experimental findings in several  
 240 research studies tend to confirm our statement [5, 33-35]. In [5], Liao et al. demonstrated that  
 241 the incorporation of MoS<sub>2</sub> nanostructures in a PMMA host offered incentives to promote  
 242 RSA as the nonlinear optical limiting behavior. Varma et al. in their research article [33],  
 243 described a superior optical limiting material based on Ti, another transition metal and  
 244 ascribed the nonlinear effects to multi-photons and the induced excited state absorptions.  
 245 Also, Sharma et al. [34, 35] investigating hybrid nanostructures of NiCo<sub>2</sub>O<sub>4</sub> observed that  
 246 their nonlinear behavior was mediated by ESA/RSA. It is likely that ESA/RSA in  
 247 PMMA/Cr<sub>2</sub>O<sub>3</sub> occurs in a 2 steps process: first, interband transitions from the valence band  
 248 into the low spin state conduction band and second, intraband transitions within the  
 249 conduction band. Basically, in the case of Cr<sub>2</sub>O<sub>3</sub>, the valence band is mainly composed of Cr  
 250 3d and O 2p states, whereas the bottom of the conduction band is filled by Cr 3d states [36].

251 Hence, the photoinduced electrons may hope from the valence into the conduction band  
252 between the O 2p orbitals and the Cr 3d orbitals.

253 To verify the aforementioned claims, the transmission was numerically solved by using a  
254 theoretical model based on ESA/RSA. Briefly speaking, this model assumes that the  
255 absorption cross section is a function of the laser energy density  $E$  travelling (along  $z$ ) through  
256 a nonlinear absorptive medium which can be written as:

$$257 \quad dE / dz = -\sigma E N_0 - \mu_1 E^2 N_0 \quad (1)$$

258 Where  $\sigma_0$  denotes the ground state absorption cross section,  $\mu_1$  is the absorption cross  
259 section of the first excited singlet state and  $N_0$  is the density of state.  $\sigma_0 N_0$  and  $\mu_1 N_0$  are the  
260 linear and nonlinear absorption coefficients, respectively.

261 Equation (1) can be easily integrated and one obtains,

$$262 \quad E_{out} = T_0 E_{in} / [1 + (1 - T_0) E_{in} / E_{th}] \quad (2)$$

263 In relation (2),  $E_{in}$ ,  $E_{out}$  and  $E_{th}$  represent the input, output and threshold energy or  
264 energy density, respectively.  $T_0$  is the linear transmittance.

265 The equation in relation (2) assuming ESA / RSA phenomena in the molecular medium  
266 has been used for theoretical fittings of the transmittance dependence on the laser input  
267 energy of figure 7.

268 The theory explaining the nonlinear optical limiting phenomena in PMMA/WO<sub>3</sub> or pure  
269 PMMA is somewhat different and we believe it originate from multi-photons absorption  
270 mechanisms (MPA). By virtue of the formalism described as given example in [37], the  
271 transmittance  $T$  as a function of the input energy or energy density is given by relation (3),

$$272 \quad T = T_0 \left[ C \cdot \exp \left\{ - \left( \frac{E_{in}}{E_{th}} \right)^{1/2} \right\} + (1 - C) \exp \left\{ - \left( \frac{E_{in}}{E_{th}} \right)^{-1/2} \right\} \right] \quad (3)$$

273 In (3),  $C$  designates a constant related to the nonlinear attenuation of the materials (not  
274 necessarily pure nonlinear absorption). As shown on the line plots of figure 7, it is very  
275 interesting to notice that the MPA formalism well fits with the experimental data for  
276 PMMA/WO<sub>3</sub> or pure PMMA. Furthermore, equations (2) and (3) allow to estimate the  
277 threshold energy  $E_{th}$ , as it is considered as a fitting parameter. Results are shown below in  
278 the table 2. It is worth noting that the experimental and theoretical nonlinear thresholds for  
279 optical limiting behave in the same order of magnitude except in the case of PMMA/Cr<sub>2</sub>O<sub>3</sub>  
280 optical limiting filter.

281 **Table 2: Optical limiting thresholds data. Experimental assessment by a lecture on figure 7 and results**  
282 **from theoretical modeling.**

Optical Limiting thresholds (μJ)	Experimental	Theoretical Fitting
PMMA/Cr <sub>2</sub> O <sub>3</sub>	23	4
PMMA/WO <sub>3</sub>	65	72

pure PMMA	500	490
-----------	-----	-----

### 3.2 Nonlinear absorption and nonlinear refraction

Nonlinear absorption and nonlinear refraction in optical materials can be both quantified using the Z-scan technique which is a sensitive and reliable characterization method. This technique is meticulously described in the work of Sheik-Bahae et al. [37]. In order to investigate the nonlinear refraction in our samples, the Z-scan in its closed aperture scheme presented on figure 6 was used. Open Z-scan transmittance measurements hinting at the nonlinear absorption coefficients were also performed. The sensitivity of the measurement depends on the aperture factor  $S$  which has to be carefully defined. The appropriate value of  $S$  in our investigations is  $S = 2 \%$ . The close Z-scan experimental traces of the PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/WO<sub>3</sub> optical limiting filters were produced at an irradiance level of

$I_0 = 5.10^{10} \text{ W / cm}^2$  ( $E_{in} = 100 \text{ } \mu\text{J}$ ) and  $I_0 = 5.10^{11} \text{ W / cm}^2$  ( $E_{in} = 1 \text{ mJ}$ ) for the pure PMMA filter. The reason for this discrepancy is blindingly obvious since a Z-scan experiment has to be related to the nonlinear behavior of the material. The nonlinear transmittance assessments of figure 7 is essential since it helps to find the dichotomy between the linear and the nonlinear regime. Moreover, we carefully controlled the absence of laser damage in the filters at those irradiances (energies). Experimental and theoretical fitting results are displayed on the figure 8. Basically, the trend observed for the close Z-scan experiments of figure 8 confirms the nonlinear behavior exposed in figure 7, thereby the most pronounced nonlinear effects occur in the PMMA/Cr<sub>2</sub>O<sub>3</sub> system.

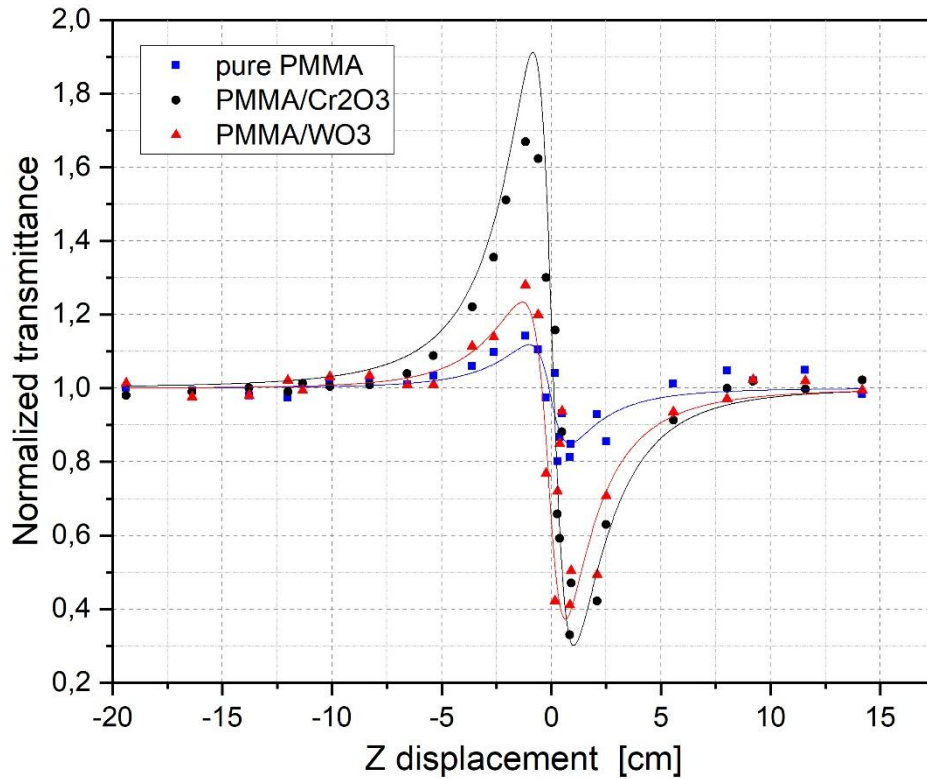


Figure 8: Close Z-scan results for the PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/WO<sub>3</sub> optical limiting filters at an incident laser irradiance  $I_0 = 5.10^{10} \text{ W/cm}^2$  and pure PMMA at an incident laser irradiance  $I_0 = 5.10^{11} \text{ W/cm}^2$ . The solid lines denote the theoretical fittings of equation (7). Laser wavelength is 1064 nm.

The theoretical fits on figure 8 result from the equations modeling the normalized transmittance when self-focusing or self-defocusing occur whereby the nonlinear refractive index,  $n_2$  might be deduced. When a third order nonlinearity is accounted for, the refractive index  $n$  can be expressed as:

$$n = n_0 + n_2 \cdot I = n_0 + \Delta n \quad (4),$$

where  $I$  denotes the peak irradiance,  $I = I_0 = 5.10^{10} \text{ W/cm}^2$  or  $5.10^{11} \text{ W/cm}^2$  and  $n_0$  the linear refraction coefficient.

The expression for the normalized transmittance,  $T(z)$ , in our case can be written as:

$$T(z) = 1 + \frac{4a}{(a^2 + 9)(a^2 + 1)} \Delta\Phi_0 - \frac{2(a^2 + 3)}{(a^2 + 9)(a^2 + 1)} \Delta\Psi_0 \quad (5).$$

In relation (5),  $a$  denotes the reduced displacement and is expressed as  $a = z/z_0$  ( $z$  is the linear displacement).  $\Delta\Phi_0$  and  $\Delta\Psi_0$  are major parameters that characterize the wave distortion and the subsequent phase shift around the focal point due to nonlinear refraction and nonlinear absorption, respectively. For both terms we can write:

$$\Delta\Phi_0 = kn_2 I_0 L_{eff} \quad (6),$$

$$\Delta\Psi_0 = \beta I_0 L_{eff} / 2 \quad (7).$$

The observed peak to valley shapes of figure 8 indicate a self-defocusing effect as a result of a negative nonlinearity. Consequently, it is reasonable to argue for a thermally-induced  $n_2$  refractive index change independently of the nature of the optical limiting filter.

From evidence, strong nonlinear refractive effects occur in the PMMA/Cr<sub>2</sub>O<sub>3</sub> system, whereas it can be seen that the PMMA/WO<sub>3</sub> optical limiting filter exhibits an asymmetric shape like a reduced peak and a pronounced valley. This very interesting observation points the contribution of nonlinear absorption in accordance with the observations of Sheik-Bahae et al. in [37]. Actually, these authors demonstrated that nonlinear absorption like two-photon absorption (TPA) or multiphoton absorption suppresses the peak and enhances the valley in a close Z-scan scheme. The occurrence of TPA in PMMA/WO<sub>3</sub> is to be ruled out regarding its optical band gap,  $E_g = 3.5 \text{ eV}$ , given in table 1. Yet, a MPA process like 3 photons absorption is more likely to occur at an incident photons energy of 1.1 eV (i.e., 1064 nm). As in the case of PMMA/Cr<sub>2</sub>O<sub>3</sub>, the close Z-scan findings for PMMA/WO<sub>3</sub> well match the estimated fittings of the nonlinear transmittance revealing MPA as the major nonlinear phenomenon. The  $n_2$  values calculated owing to relations (5) and (6) are  $n_2 = -1.6 \times 10^{-15} \text{ cm}^2/\text{W}$  for PMMA/Cr<sub>2</sub>O<sub>3</sub>,  $n_2 = -7.1 \times 10^{-16} \text{ cm}^2/\text{W}$  for PMMA/WO<sub>3</sub> and  $n_2 = -2.2 \times 10^{-17} \text{ cm}^2/\text{W}$  for the pure PMMA (see also table 3).

339 The thermal nature of the nonlinearity originates from laser-induced heating subsequent to  
 340 the absorption of the tightly focused laser beam. Accordingly, a strong temperature gradient  
 341 occurs in conjunction with a severe refractive index change leading to a thermal lensing effect  
 342 as well as a markedly phase distortion  $\Delta\Phi_0$  of the propagating laser radiation. Such an effect  
 343 may consist of a fast process of acoustic wave propagation and a slow steady state variation  
 344 of the suspension density due to the cumulative thermal heating of the absorbing area.

345 It is likely that  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  nanofillers embedded in the PMMA host readily absorbs  
 346 the incident laser radiations and reshuffle the energy in the surrounding medium in the form  
 347 of heat. The refractive nonlinearity in PMMA/ $\text{Cr}_2\text{O}_3$  might be connected with the cumulative  
 348 contribution made by the processes of intraband transitions of free equilibrium electrons and  
 349 nonlinear hyperpolarizability of bound 3d3 electrons. In a previous paper [20], the authors  
 350 calculated nonlinear refractivity values  $n_2 \approx 10^{-14} \text{ cm}^2 / \text{W}$  for  $\text{Cr}_2\text{O}_3$  and  $\text{WO}_3$  suspensions  
 351 in chloroform, namely an order of magnitude larger than the solid-state filters of the present  
 352 study under the same experimental conditions. The discrepancy is worthy if we consider that  
 353 molecules embedded in a liquid present a strong contribution to the nonlinear refractive index  
 354 due to molecular reorientation.

355 The open Z-scan method serves to assess the nonlinear absorption coefficient,  $\beta$ , figure 9  
 356 exposes the experimental results. At first sight, as expected, it is noteworthy that the  
 357 nanoparticle loads greatly enhance the nonlinear absorption behavior. Actually, PMMA/ $\text{Cr}_2\text{O}_3$   
 358 and PMMA/ $\text{WO}_3$  optical limiting filters present a broader valley jointly with a significantly  
 359 deeper drop in transmittance.

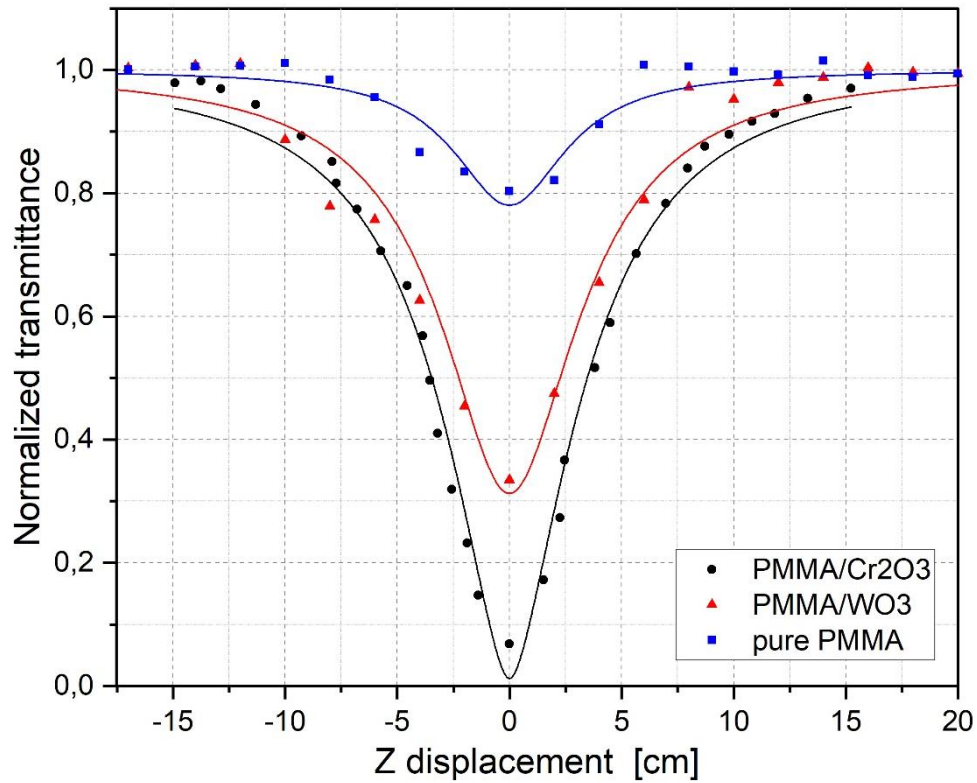


Figure 9: Open Z-scan traces for the PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/WO<sub>3</sub> optical limiting filters at an incident laser irradiance  $I_0 = 5 \cdot 10^{10} \text{ W / cm}^2$  and pure PMMA at an incident laser irradiance  $I_0 = 5 \cdot 10^{11} \text{ W / cm}^2$ . The solid lines denote the theoretical fittings of equation (12). Laser wavelength is 1064 nm.

The values of the nonlinear absorption coefficient  $\beta$ , can be easily deducted from the theory developed in [38] reporting the expression for the open-aperture normalized transmittance in an optical device:

$$T_{norm}(z) = \frac{\ln(1 + q_0(z))}{q_0(z)} \quad (8).$$

In relation (8),  $q_0(z) = \beta I(z) L_{eff}$ , stands for the beam parameter that contains  $\beta$  to be assessed and  $I(z)$  is the magnitude of the intensity of the Gaussian laser beam travelling in the  $+z$  direction. On the propagation axis for  $r = 0$ , it can be written:

$$I(z) = \frac{I_0 e^{-\gamma}}{1 + a^2} \quad (9).$$

In equation (9), we consider the extinction of the laser radiation in the propagating medium caused by scattering centers or defects by means of a further parameter  $\gamma$ . Therefore, the substitution in equation (8) brings:

$$T_{norm}(z) = \frac{(1 + a^2)^2}{\beta I_0 L_{eff} e^{-\gamma}} \ln\left(1 + \frac{\beta I_0 L_{eff} e^{-\gamma}}{(1 + a^2)^2}\right) \quad (10).$$

The nonlinear absorption coefficients  $\beta$  resulting from model fittings according to relation (10) are summarized in table 3. They vary from  $1.8 \text{ cm / GW}$  to  $163 \text{ cm / GW}$  for PMMA/WO<sub>3</sub> and PMMA/Cr<sub>2</sub>O<sub>3</sub>, respectively, while the one for pure PMMA lies 2 orders of magnitude behind. Obviously, the higher value obtained in the case of PMMA/Cr<sub>2</sub>O<sub>3</sub> is to be ascribed to RSA enhanced by ESA, whilst the  $\beta$  value calculated for PMMA/WO<sub>3</sub> validates its MPA character. It is to be pointed out that Liao et al. [5], calculated a nonlinear absorption coefficient  $\beta = 297 \text{ cm / GW}$  in the case of a transition metal based MoS<sub>2</sub>/PMMA nanocomposite submitted to nanosecond laser radiations at the wavelength of 532 nm in off-resonant conditions. This value is comparable to the one obtained for the PMMA/Cr<sub>2</sub>O<sub>3</sub> nanocomposite. The authors in [5] suggested RSA as the major nonlinear mechanism occurring in their solid-state optical limiting filters which reasonably corroborate our statements on the PMMA/Cr<sub>2</sub>O<sub>3</sub> system. One of the previous author's work [20] on the twin systems in the form of suspensions revealed a comparable  $\beta$  value for the PMMA/WO<sub>3</sub> optical filter, whereas a pointedly lower  $\beta$  value was observed for the PMMA/Cr<sub>2</sub>O<sub>3</sub> suspension  $\beta = 3 \text{ cm / GW}$ , i.e. factor of 50. This discrepancy might be clarified by the presence of intermolecular charges transfer between the PMMA host molecule and the Cr<sub>2</sub>O<sub>3</sub> nanoparticle load which would have the effect of raising the contribution of the nonlinear absorption component.

**Table 3:  $n_2$  and  $\beta$  nonlinear parameters of PMMA/Cr<sub>2</sub>O<sub>3</sub>, PMMA/WO<sub>3</sub> and pure PMMA optical limiting filters at the wavelength of 1064 nm in the nanosecond regime. Further details in the text.**

	$n_2 (cm^2 / W)$	$\beta (cm / GW)$
PMMA/Cr <sub>2</sub> O <sub>3</sub>	$-1.6 \times 10^{-15}$	163
PMMA/WO <sub>3</sub>	$-7.1 \times 10^{-16}$	1.8
pure PMMA	$-2.2 \times 10^{-17}$	0.02

396

#### 397 **4. Conclusion**

398 Cr<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> templated nanoparticles were embedded in a PMMA host resulting in  
 399 efficient optical limiting filters. Optical grade filters with transmittances as high as T=90 % at  
 400 1064 nm have been produced by chemical synthesis from the bulk. To the best of the  
 401 author's knowledge, the nonlinear optical properties and the optical limiting behavior of  
 402 Cr<sub>2</sub>O<sub>3</sub> and WO<sub>3</sub> embedded in a PMMA matrix at the wavelength of 1064 nm in the  
 403 nanosecond regime have never been reported. This work showcased the cause routing to  
 404 optical limiting, more specifically, ESA/RSA in the case of the PMMA/Cr<sub>2</sub>O<sub>3</sub> system and  
 405 MPA for the PMMA/ WO<sub>3</sub> one. The optical limiting performance improvement has been  
 406 demonstrated for the PMMA/Cr<sub>2</sub>O<sub>3</sub> filter bearing out a laser protection level of OD=2.0, a  
 407 factor of 2 larger than the pure PMMA filter. In that case, 99.99 % of the incoming laser  
 408 radiations can be filtered out. A significant blue shift in the nonlinear activation threshold  
 409 energy was observed when WO<sub>3</sub> or Cr<sub>2</sub>O<sub>3</sub> are embedded in a PMMA host as the values have  
 410 been subsequently pulled down from 500  $\mu$ J (pure PMMA) to 65  $\mu$ J and 23 $\mu$ J, respectively.  
 411 Z-scan measurements highlighted a self-defocusing effect as a result of a negative  
 412 nonlinearity. Nonlinear refractive indexes in the order of  $n_2 = -1.0 \times 10^{-15} cm^2 / W$  were  
 413 calculated for the PMMA/Cr<sub>2</sub>O<sub>3</sub> and PMMA/ WO<sub>3</sub> systems and  $n_2 = -2.2 \times 10^{-17} cm^2 / W$   
 414 for the pure PMMA. A nonlinear absorption coefficient as high as  $\beta = 163 cm / GW$  has  
 415 been assessed for the PMMA/Cr<sub>2</sub>O<sub>3</sub> optical limiting filter. The ease of manufacture and the  
 416 level of performance of the PMMA/Cr<sub>2</sub>O<sub>3</sub> optical limiting filters make them suitable to be  
 417 implemented as protection filters in electro optical systems against harmful laser radiations.  
 418 This research work established consistent ways to promote new performance milestones  
 419 within the field of laser protection.

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#### 424 **6. Disclosures**

425 The authors do not declare any financial, personal or professional conflicts of interest.

#### 426 **7. Data availability**

427 The data involved in the results presented in this study are not publicly available at this time  
 428 but may be obtained from the authors upon request.

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