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## ▶ To cite this version:

Jean-Paul Richalet, Eric Hermand, François Lhuissier. Cardiovascular physiology and pathophysiology at high altitude. Nature Reviews Cardiology, 2023, 10.1038/s41569-023-00924-9. hal-04243067

# HAL Id: hal-04243067 https://hal.science/hal-04243067v1

Submitted on 17 Oct 2023

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# Cardiovascular physiology and pathophysiology at high altitude

6 Jean-Paul Richalet, Eric Hermand & François J. Lhuissier

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## 13 Abstract

14 Oxygen is vital for cellular metabolism; therefore, the hypoxic conditions encountered at high altitude 15 affect all physiological functions. Acute hypoxia activates the adrenergic system and induces tachycardia, 16 17 whereas hypoxic pulmonary vasoconstriction increases pulmonary artery pressure. After a few days of exposure to low oxygen concentrations, the autonomic nervous system adapts and tachycardia decreases, 18 thereby protecting the myocardium against high energy consumption. Permanent exposure to high altitude 19 induces erythropoiesis, which if excessive can be deleterious and lead to chronic mountain sickness, often 20 associated with pulmonary hypertension and heart failure. Genetic factors might account for the variable 21 prevalence of chronic mountain sickness, depending on the population and geographical region. 22 Cardiovascular adaptations to hypoxia provide a remarkable model of the regulation of oxygen 23 availability at the cellular and systemic levels. Rapid exposure to high altitude can have adverse effects in 24 patients with cardiovascular diseases. However, intermittent, moderate hypoxia might be useful in the 25 management of some cardiovascular disorders, such as coronary heart disease and heart failure. The aim 26 27 of this Review is to help physicians to understand the cardiovascular responses to hypoxia and to outline some recommendations that they can give to patients with cardiovascular disease who wish to travel to 28 high-altitude destinations. 29

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## 33 Key points

Acute exposure to high altitude stimulates the adrenergic system, increasing heart rate and cardiac
 output; although blood pressure remains stable, pulmonary artery pressure increases owing to hypoxic
 pulmonary vasoconstriction.

Prolonged exposure to high altitude induces a decrease in maximal heart rate through desensitization of
the adrenergic pathway, as a protective mechanism against environmental conditions of low oxygen
availability.

Long-term exposure to high altitude results in cardiac adaptations with no obvious dysfunction; stroke
volume is slightly reduced owing to decreased left ventricular filling volume secondary to right
ventricular overload.

43 • High-altitude natives can develop chronic mountain sickness, associated with erythropoiesis,

44 pulmonary hypertension and right heart failure, although genetic adaptations to hypoxia have been found

45 in Tibetan and Ethiopian populations.

• Patients with cardiovascular diseases can be at increased risk of adverse events at altitudes above 2,500

47 m, owing to hypoxaemia, high adrenergic activity and pulmonary hypertension.

Intermittent, moderate hypoxia might be useful in the conditioning of patients with cardiovascular
diseases, such as coronary heart disease and heart failure.

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## 51 Introduction

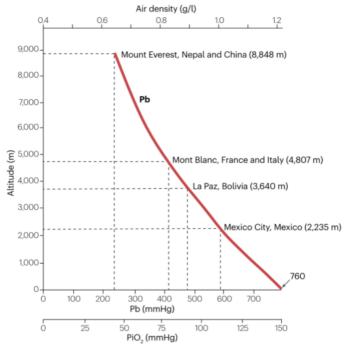
The term hypoxia has arisen in the public sphere for two reasons in the past 5 years — the awarding of 52 53 the Nobel Prize in Physiology or Medicine to Kaelin, Ratcliffe and Semenza in 2019 for "their discoveries of how cells sense and adapt to oxygen availability" and in the context of the coronavirus 54 55 2019 (COVID-19) pandemic. In the academic environment, hypoxia is an active topic of research. In April 2023, the search term 'hypoxia' produced more than 184,000 results in the PubMed database, with 56 the first dating from 1945 (ref. 1). However, interest in the effects of oxygen deprivation on living 57 organisms began in the mid-nineteenth century, when scientists working in high-altitude regions mainly 58 used the terms anoxia or anoxaemia. A clear, clinical distinction between anoxia and hypoxia was first 59 made by Carl Wiggers in 1941 (ref. 2). Hypoxia is a decrease in oxygen and is variable with time and 60 localization in the body, whereas anoxia is the absence of oxygen. This contrast illustrates the concept of 61 homeodynamics that defines living organisms as complex systems in a state of permanent instability, 62 exposed to environmental and internal perturbations<sup>3</sup>. 63

High-altitude environments are characterized by various physical constraints, including cold temperatures 64 65 and an increased level of ultraviolet radiation. However, the most demanding condition is hypoxia owing to the progressive decline in barometric pressure (Fig. 1). The oxygen pressure in the inspired air ( $PiO_2$ ) is 66 given by the following equation:  $PiO_2 = FiO_2 \times (Pb - P_H 2 O)$ , in which  $FiO_2$  is the fraction of oxygen in 67 the inspired air, Pb is the barometric pressure and  $P_{\rm H}2$  O is FiO<sub>2</sub> does not depend on altitude and currently 68 equals 0.2093 (20.93%), but this value has fluctuated since the formation of the Earth. For example, 300 69 70 million years ago, FiO<sub>2</sub> was around 0.30 (30%) and this relatively 'hyperoxic' environment favoured the development of large insects without a circulatory system transporting oxygen<sup>4</sup>. Conversely, 250–150 71 million years ago, FiO<sub>2</sub> fell to between 0.10 and 0.15 (10–15%). This relatively 'hypoxic' environment 72 might have affected the size and metabolism of living organisms and could even partly explain the 73 concomitant catastrophic mass extinction event at the end of the Triassic period<sup>4,5</sup>. FiO<sub>2</sub> then 74 progressively rose to present-day values. The evolution of  $FiO_2$  is interesting and might explain why 75 living organisms have evolved various strategies to cope with hypoxia or hyperoxia. 76

High-altitude regions above 2,500 m are found in South America (Andean countries), North America 77 (Rocky Mountains and Alaska), Europe (Alps and Pyrenees), Africa (Atlas and East African plateaux) 78 and Asia (Himalayas and Tibetan plateau). Isolated peaks >4,000 m above sea level can be found in 79 Antarctica, Indonesia and Japan. Worldwide, more than 40 million people live at altitudes above 2,500 m 80 and are exposed to chronic hypoxia, whereas an undetermined number of people are exposed to acute 81 hypoxia for leisure or work activities. Chronic intermittent hypoxia occurs when an individual spends a 82 few days at high altitude followed by a few days at sea level, and this pattern is repeated regularly, as is 83 the case for miners in South America. Billions of people who travel by air are potentially exposed to a 84

pressurized cabin environment corresponding to a maximum altitude of 2,400 m. Therefore, a wide
variety of exposure times — from a few minutes or hours to years — will trigger an array of
physiological and pathological responses to hypoxia.

Physiological adaptations to hypoxia include cardiovascular, respiratory, metabolic, haematological and endocrine responses. In this Review, we focus on cardiovascular adaptations to both acute and chronic exposure to high altitude. We also discuss the effects of hypoxia in the setting of various cardiovascular diseases (CVDs) and outline some guidance for advising patients with CVD who wish to travel to highaltitude destinations (Box 1). In addition, we briefly explore the role of hypoxic preconditioning in health and disease.



**Fig. 1** | **Altitude, barometric pressure, air density and inspired oxygen pressure.** With increasing altitude, air density, barometric pressure (Pb) and inspired oxygen pressure (PiO<sub>2</sub>) decrease. PiO<sub>2</sub> is given by the equation PiO<sub>2</sub> = FiO<sub>2</sub> × (Pb –  $P_{H_2O}$ ), in which FiO<sub>2</sub> is the fraction of oxygen in the inspired air and  $P_{H_2O}$  is the water pressure in the upper airways.  $P_{H_2O}$  does not vary with altitude and is equal to 47 mmHg for a body temperature of 37 °C. Similarly, FiO<sub>2</sub> does not vary with altitude and is equal to 0.2093 (20.93%).

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- 95

### 96 **Physiological responses**

97 The physiological effects of hypoxia have been studied using either hypobaric hypoxia (using high-98 altitude environments or hypobaric chambers) or normobaric hypoxia (by breathing hypoxic gas 99 mixtures). When  $PiO_2$  is the same for each method, no physiologically significant differences between 100 these experimental approaches have been observed<sup>6</sup>.

101 Oxygen is vital for all human cells and, therefore, hypoxic conditions affect all physiological functions.

102 Every cell can be considered to be an oxygen sensor owing to the presence of genetic sequences known as

103 hypoxia-responsive elements. In acute hypoxia (minutes to hours), the activation of these elements

104 triggers the expression of various factors, leading to the stabilization of hypoxia-inducible factors (HIF1, 105 HIF2 and HIF3). In turn, HIFs induce the expression of messengers and hormones (such as erythropoietin, vascular endothelial growth factor and glucose transporters) involved in the physiological 106 response to hypoxia<sup>7</sup>. Cell function can also be directly affected by hypoxia through the activation or 107 inhibition of ion channels, such as  $K^+$  channels for chemoreceptors and  $Ca^{2+}$  channels for smooth muscle 108 cells<sup>8</sup>. Peripheral chemoreceptors are the first sensors to be challenged by a hypoxaemic stimulus, 109 110 triggering immediate ventilatory (hyperventilation) and cardiac (tachycardia) responses via the medulla oblongata. The vascular response to hypoxia is variable, depending on the site of action. Hypoxia induces 111 vasoconstriction in the pulmonary vessels and vasodilatation in the peripheral circulation (Fig. 2). 112

With prolonged exposure to hypoxia (days to weeks), other adaptive responses occur, such as 113 114 downregulation of adrenergic receptors, changes in the acid-base balance leading to increased excretion 115 of bicarbonates, stimulation of erythropoiesis via erythropoietin, changes in the secretion of various hormones (for example, an increase in catecholamine and corticosteroids) and inhibition of the renin-116 117 angiotensin-aldosterone system (RAAS). These integrated responses (Fig. 2) can preserve sufficient delivery of oxygen to all cells<sup>9</sup>. However, because maximal oxygen consumption during exercise 118 irremediably decreases with increasing altitude, physical performance becomes impaired from ~800 m, at 119 least in endurance-trained athletes<sup>10</sup>. Cognitive function also becomes impaired, but only at much higher 120 121 altitudes (>6,000 m). Despite the rapid and integrated physiological response to hypoxia, if the stimulus is 122 too severe or the metabolic demand too high, the balance between oxygen supply and consumption 123 becomes altered and pathological events can occur. Conditions such as acute mountain sickness and 124 pulmonary or cerebral oedema usually manifest during the first hours or days of exposure to altitude 125 (mainly >2,500 m). The severity of these conditions can vary depending on the peripheral chemosensitivity of an individual to hypoxia<sup>11</sup> and the intensity of hypoxia-induced pulmonary 126 hypertension<sup>12</sup>. 127

With chronic exposure to hypoxia (months, years or lifetime), stabilized erythropoiesis generally contributes to permanent acclimatization to life in hypoxia. However, in some cases of chronic mountain sickness (CMS; also known as Monge disease), excessive erythropoiesis can lead to an increase in blood viscosity, thrombosis, pulmonary hypertension and heart failure in some natives of high-altitude environments<sup>13</sup>.

133

## 134 Cardiovascular responses

The cardiovascular system has a major role in the integrated response to hypoxia (Table 1), involving two mechanisms: centrally mediated activation of the adrenergic system and a direct peripheral effect on the cells of the heart and blood vessels. Activation of medullary adrenergic centres is driven by input from the carotid chemoreceptors that are sensitive to hypoxaemia<sup>14</sup>. The whole sympathetic nervous system is activated, as evidenced by an increase in plasma and urine catecholamine concentrations<sup>15</sup>. An increase in arterial plasma catecholamine levels has been consistently observed with prolonged hypoxia<sup>16</sup>. Activation of the adrenergic system has also been demonstrated by increased activity in the peroneal adrenergic nerves<sup>17</sup>.

 $G_s$  and  $G_i$  proteins that couple the  $\beta$ -adrenergic receptors to adenylate cyclase and activate or inhibit 143 144 this enzyme, respectively, have been shown to have a crucial role in the downregulation of the adrenergic system in hypoxia (Fig. 3). In hypoxia,  $G_s$  activity is reduced, whereas  $G_i$  expression is increased, leading 145 to inhibition of adenylate cyclase activity and, ultimately, a reduction in ion channel activity and heart 146 rate<sup>18</sup>. β-Arrestin 2 could have an important role in regulating pathways involved in the desensitization 147 and internalization of G-protein-coupled receptors observed in hypoxia and has been explored as a 148 potential target for treating heart failure<sup>19</sup>. Interestingly, the heart is not the only organ in which hypoxia 149 induces desensitiza-tion of G-protein-coupled receptors. Renal handling of calcium by parathormone, 150 151 control of growth hormone secretion by hypothalamic

factors, muscle lactate release and adipose tissue lipolysis are also affected, suggesting a general
 mechanism of adaptation to hypoxia<sup>14</sup>.

154

### 155 **The heart**

156

#### 157 Heart rate.

The predominance of the adrenergic system at high altitude has been highlighted by a study of heart rate variability, in which hypoxia induced a decrease in R–R interval and an increase in the low-frequency to high-frequency ratio, an index of sympathovagal balance<sup>20</sup>. With acute exposure to high altitude, heart rate at rest and after moderate exercise increases and then progressively declines with acclimatization, but never returns to sea-level basal values<sup>21</sup>. The 'mirror' pattern of variation in resting heart rate and arterial oxygen saturation (SaO<sub>2</sub>) illustrates the close relationship between hypoxaemia and adrenergic activation in acute and prolonged hypoxia (Fig. 4).

Although heart rate with moderate exercise initially increases at altitude, heart rate at maximal exercise is slightly reduced in acute hypoxia and decreases significantly with prolonged (>24 h) exposure to high altitude. The decrease in heart rate at maximal exercise has been observed in many studies conducted in the field and in simulated conditions<sup>22</sup> (Fig. 5). Both sympathetic and parasympathetic systems have been explored to find a physiological explanation for this decrease in maximal heart rate. The most convincing evidence is that  $\beta$ -adrenergic receptors are downregulated. This mechanism is well known in pharmacology — when an agonist is consistently elevated, the corresponding receptor is downregulated, 172 leading to desensitization of the whole pathway as an adaptive phenomenon against excessive 173 stimulation. Studies in animals<sup>23–26</sup> and in humans<sup>27</sup> have confirmed this hypothesis. Moreover, in a study 174 of six healthy individuals, cardiac uptake of iodine-123 metaiodobenzylguanidine (<sup>123</sup>I-MIBG) was 175 reduced after 1 week of exposure to an altitude of 4,350 m, supporting the hypothesis that hypoxia 176 reduces adrenergic neurotransmitter reserve in the myocardium and alters endothelial cell function<sup>28</sup>.

The parasympathetic system has been investigated in only a few studies, mainly through muscarinic 177 receptor blockade by atropine or glycopyrrolate, suggesting that a hypoxia-induced increase in 178 parasympathetic activity might contribute to the decrease in heart rate at exercise in prolonged hypoxia<sup>29–</sup> 179 <sup>31</sup>. In animal models, the increase in parasympathetic effects on the heart has been related to the 180 upregulation of muscarinic receptors<sup>23,24,32</sup>, implying a decrease in centrally mediated activation of the 181 parasympathetic system, as a mirror effect of adrenergic activation with downregulation of  $\beta$ -receptors. A 182 causal link between the observed decrease in maximal heart rate and the decrease in exercise performance 183 at altitude has been debated but has never been clearly demonstrated. 184

A model of myocardial oxygenation with exercise at increasing altitudes has demonstrated that the 185 decrease in heart rate at maximal exercise is beneficial — by limiting cardiac oxygen consumption when 186 oxygen availability is reduced, adequate myocardial oxygenation is maintained<sup>22</sup> (Fig. 6). This 187 remarkable autoregulation of oxygen handling protects the heart from ischaemic events in extreme 188 conditions in which arterial  $P_02$  is ~30 mmHg (Fig. 4). Indeed, no cases of myocardial infarction or 189 angina pectoris have ever been reported in healthy individuals exercising at altitudes >8,000 m (ref. 33). 190 The preservation of myocardial function in healthy people exposed to hypoxia can be considered in 191 parallel with the use of β-blockers in patients with heart failure. Interestingly, a polymorphism in G-192 193 protein-coupled receptor kinase 5 (*GRK5*) that is common among African American individuals improves 194 survival from heart failure, supporting the role of G proteins in the preservation of heart function at high altitude<sup>34</sup>. 195

#### Box 1

# Recommendations for patients with cardiovascular diseases travelling to high-altitude regions

#### All patients

- Be aware of potential interactions between current medication and acetazolamide, if prescribed.
- Consider the presence of comorbidities.
- Consider the availability of medical facilities at destination.

#### **Coronary artery disease**

- Travel not advisable until at least 6 months after a cardiac event.
  Travel advisable if no electrocardiographic abnormalities are
- present during the stress test.
- Travel advisable if destination is ≤4,200 m above sea level (lower threshold if additional cardiovascular risks are present).
- No vigorous exercise at altitude.

#### **Heart failure**

- NYHA class I–II: travel advisable if destination is≤3,500 m above sea level.
- NYHA class III: travel advisable if destination is ≤3,000 m above sea level.
- NYHA class IV: travel to high-altitude destinations is not advisable.

#### Arrhythmias

- For patients with serious ventricular arrhythmias, travel advisable if destination is ≤3,500 m above sea level.
- Travel advisable for patients with other arrhythmias.

#### Cyanotic heart disease or right-to-left shunt

Travel not advisable, unless the patient has been surgically treated.

#### Systemic hypertension

- Travel not advisable for patients with uncontrolled or severe hypertension (>180/110 mmHg).
- Travel advisable for patients with stabilized hypertension.

#### **Pulmonary hypertension**

- Travel not advisable if destination is>2,000 m above sea level.
- If travel cannot be avoided, use of supplemental oxygen is required.

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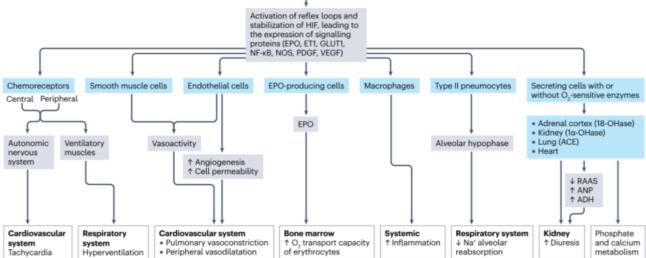
#### 198 Cardiac dimensions and function.

Cardiac output and stroke volume have been studied in various normobaric and hypobaric hypoxic 199 conditions. Cardiac output increases at altitude, mainly owing to the increase in heart rate. Stroke volume 200 decreases slightly, as measured during Operation Everest II when stroke volume decreased by 14% at rest 201 and after moderate exercise (60 W) at 7,620 m<sup>35</sup>. This change is not due to a decrease in venous return to 202 the heart, as argued by some researchers<sup>36</sup>, because blood volume is maintained (the decrease in plasma 203 volume is compensated for by an increase in red cell volume). The decline in stroke volume is actually 204 caused by a slight reduction in the end-diastolic volume of the left ventricle as a consequence of increased 205 pressure in the right ventricle linked to elevated pulmonary artery pressure (PAP). This mechanical effect 206 of high right ventricular (RV) pressure on the interventricular septum can slightly impair left ventricular 207 (LV) filling. However, these mechanisms do not significantly impair cardiac function. Acute moderate 208 normobaric hypoxia (FiO<sub>2</sub> = 14.4%) has been shown to attenuate exercise-induced increases in stroke 209 volume and cardiac output<sup>37</sup>. Stroke volume reached a plateau earlier in hypoxia than in normoxia<sup>37</sup>. 210 suggesting a slight impairment in cardiac filling related to a decrease in LV diastolic function<sup>38</sup> or to 211 impaired RV function owing to elevated pulmonary vascular resistance<sup>39</sup>. 212

In healthy individuals, cardiac inotropic function is not altered at altitude, even at extreme elevations, as shown by normal or even augmented LV ejection fraction<sup>35,38</sup>. Endurance athletes intermittently exposed (12 h per day for 13 days) to simulated altitude (2,500– 3,000 m) showed a slight increase in the ratio of RV-to-LV diameter on echocardiography, suggesting minor RV dilatation without an alteration in contractile function<sup>40</sup>. Among healthy volunteers, LV mass (adjusted for changes in the body surface area) decreased by 11% after a 17-day trek to 5,300 m, but returned to pre-trek values after 6 months<sup>41</sup>. 219 No change in LV or RV ejection fraction occurred, but a slight decrease in diastolic function was reported<sup>41</sup>. In Chilean soldiers<sup>42</sup> and miners<sup>43</sup> intermittently exposed to altitudes between 3,550 m and 220 4,600 m for 2.5–12.0 years, minor RV hypertrophy was observed and PAP was elevated (>25 mmHg in 221 4% of the military population). During a simulation of ascent to 8,848 m (Operation Everest III (COMEX 222 '97)), cardiac function was assessed using a combination of M-mode and 2D echocardiography, with 223 continuous and pulsed Doppler at 5,000, 7,000 and 8,000 m<sup>44</sup>. On ascent to altitude, aortic, left atrial and 224 LV end-systolic diameter fell regularly. Mitral peak E velocity decreased, peak A velocity increased and 225 the *E*/A ratio decreased. Systolic PAP showed a progressive and constant increase up to 40 mmHg at 226 8,000 m<sup>44</sup>. This study confirmed the elevation of PAP and the preservation of LV contractility at high 227 altitude. A modification in LV filling pattern was observed, with decreased early filling and an increased 228 contribution from atrial contraction, without elevation of LV end-diastolic pressure<sup>38</sup>. In another study. 229 lowlanders arriving at high altitude (3,750 m) had an increase in mean PAP (13–22 mmHg) and altered 230 RV and LV diastolic function, although RV systolic function was maintained<sup>45</sup>. After a 10-day period of 231 acclimatization to high altitude, PAP (measured at 4,850 m) increased slightly (26 mmHg) without further 232 changes in cardiac function. These observations confirm that healthy individuals exposed to mild 233 hypoxia-induced pulmonary hypertension maintain systolic function, despite a slight impairment in 234 235 ventricular filling mechanisms.

Cardiac electrical activity is not significantly modified by exposure to hypoxia in healthy individuals. However, a decrease in the amplitude of QRS and T waves on the electrocardiogram has been observed during moderate exercise in hypoxia, when compared with normoxia for the same heart rate<sup>46</sup>. These changes have no clinical implication but might reflect a slight hypoxia-induced decrease in ion exchange in cardiomyocytes.





**Fig. 2** | **Physiological effects of acute hypoxia.** Hypoxia induces the expression and translation of genes with hypoxia-responsive elements, which in turn trigger the expression of various factors that lead to the stabilization of hypoxia-inducible factors (HIFs). HIFs can induce the production of messengers or hormones involved in physiological reactions to hypoxia, such as erythropoietin (EPO), endothelin 1 (ET1), glucose transporters (such as GLUT1), nuclear factor-kB (NF-kB), nitric oxide synthases (NOS), platelet-derived growth factor (PDGF) and vascular endothelial growth factor (VEGF). Hypoxia also activates reflex loops, independently of HIF stabilization. Peripheral chemoreceptors are the first sensors of the hypoxaemic stimulus, triggering immediate ventilatory (hyperventilation) and cardiac (tachycardia) responses

to hypoxia. The vascular response to hypoxia is variable, depending on the site of action. Hypoxia induces vasoconstriction in the pulmonary vessels and vasodilatation in the rest of the peripheral circulation. Other responses occur after prolonged exposure to hypoxia (hours to days), such as increased angiogenesis and endothelial cell permeability, stimulation of erythropoiesis via EPO, increased inflammation, decreased Na<sup>+</sup> alveolar reabsorption by pneumocytes and increased diuresis via inhibition of the renin–angiotensin– aldosterone system (RAAS) and stimulation of atrial natriuretic peptide (ANP) and antidiuretic hormone (ADH). Iα-OHase, 25-hydroxyvitamin D Iα-hydroxylase; I8-OHase, steroid 18-hydroxylase (also known as aldosterone synthase); ACE, angiotensin-converting enzyme.

#### 241 242

#### 243 Myocardial circulation.

As discussed, the healthy myocardium shows remarkable adaptation to hypoxia via a reduction in 244 maximal heart rate. In addition, hypoxia-induced coronary vasodilatation occurs, mediated by vasoactive 245 metabolites (such as adenosine and nitric oxide (NO)) or by proton accumulation<sup>47</sup>. A coronary flow 246 reserve of 35% was found at maximal exercise in 12 healthy individuals breathing 12% oxygen 247 (equivalent to an altitude of 4,650 m), although 1% of energy demand was covered by anaerobic 248 metabolism<sup>48</sup>. In another study, during moderate exercise (83 W) at 4,500 m, coronary reserve was 249 preserved among 10 healthy individuals, but was reduced by 18% in 8 patients with coronary artery 250 disease  $(CAD)^{49}$ . 251

252

## 253 Systemic blood pressure

254 Centrally mediated activation of the adrenergic system has a vasoconstrictive effect on peripheral  $\alpha$ -255 adrenergic receptors, which can lead to increases in peripheral vascular resistance and blood pressure. 256 Moreover, an increase in heart rate and cardiac output can contribute to an increase in blood pressure 257 independently of vascular resistance. Conversely, hypoxia has a direct relaxing effect on vascular smooth 258 muscle cells, leading to vasodilatation and a decrease in vascular resistance. The overall effect depends on

the time of exposure and the intensity of the hypoxic stimulus.

260 The effect of acute hypoxia on blood pressure illustrates these dichotomic responses between central and

261 peripheral mechanisms. Hypoxia-induced activation of the autonomic nervous system is a potent activator of central sympathetic activity, triggered by augmented oxygen-related activity of the carotid 262 chemoreceptors<sup>50,51</sup>, which in turn induces a peripheral vasoconstrictor response via sympathetic-263 dependent contraction of vascular smooth muscle cells<sup>51</sup>. This centrally mediated mechanism is 264 counterbalanced by the peripheral action of hypoxaemia, which stimulates the production and release of 265 local vasodilatory factors such as endothelial  $NO^{52-54}$ , thereby promoting global vasodilatation in various 266 (coronary, cerebral, splanchnic and skeletal) vascular beds<sup>55</sup>. In clinical tests performed to predict 267 susceptibility to acute mountain sickness, a slight rise in systemic blood pressure was observed in hypoxia 268 (FiO<sub>2</sub> = 11.5%), compared with normoxia, for the same level of exercise intensity<sup>56-58</sup>. However, a 269 concomitant increase in heart rate also occurred, under the influence of hypoxia-dependent sympathetic 270 activation, leading to an increase in cardiac output<sup>59</sup> and masking the effects of hypoxia on centrally and 271 peripherally driven blood pressure. Therefore, during steady-state exercise at moderate intensity, despite 272 the increase in blood pressure during hypoxia (versus normoxia) for a given power (watts), blood pressure 273 was lower in hypoxia (versus normoxia) for a given heart rate, when 'clamping' the adrenergic drive<sup>60</sup>. 274 This finding confirms the superior effect of peripheral vasodilatory mechanisms over centrally driven 275 276 vasoconstriction on blood pressure. Adding physiological stress (such as exercise) to existing environmental stress leads to a further 'compensatory' systemic vasodilatation. These concomitant 277 mechanisms seem to provide superior outcomes compared with exercise-induced or hypoxia-dependent 278 vasodilatation alone<sup>55</sup>. 279

Chronic exposure (months, years or lifetime) to high altitude requires multiple, additional physiological adaptations, which vary depending on the environment (such as altitude and climate) and the individual (genetics, lifestyle, socioeconomic factors and acclimatization)<sup>61</sup>. For example, in some studies, long term exposure to high altitude leads to a persistent increase in blood pressure<sup>59,62–65</sup>, whereas in other studies, blood pressure remained stable<sup>66</sup> or even decreased<sup>67</sup>. In general, peripheral vasodilatation is crucial to preserve the blood flow to oxygen-demanding muscles in hypoxia in the presence of centrally driven vasoconstriction. Table 1 | Cardiovascular modifications induced by hypoxia

Parameter	Acute hypoxia	Prolonged hypoxia	Long-term high-altitude residence	Refs.
Adrenergic system	Activated	Activated and then downregulated	Activated or downregulated, depending on ethnic origin of the individual	14-18,20,23-27,171
Heart rate at rest	Increased	Return towards sea-level values	Depends on the ethnic origin of the individual	21
Maximal heart rate	Stable	Decreased	Lower than natives of sea-level regions	22
Cardiac output	Increased	Return to sea-level values	Depends on the ethnic origin of the individual	35,37,38
Stroke volume	Stable	Slightly decreased	Decreased in individuals with CMS	35,37,38
RV mechanics	Increase in RV volume	Increase in RV volume	Hypertrophy, especially in individuals with CMS	39,40,42,43,45
LV mechanics	Increase in systolic function	Slight decrease in LV filling, no change in LV ejection fraction	Decrease in LV filling	35,37,38,41,45
Blood pressure	Increase in parallel with heart rate	Increase in parallel with heart rate	Stable or increased	50-54,172
Pulmonary artery pressure	Increased	Increased	Increased	42,43,45,69-71
Cerebral circulation	Increased blood flow	Blood flow returns to normal	Stable	81,82
Renal circulation	Decreased renal blood flow and increased diuresis	Decreased effective renal blood flow	Decreased renal blood flow, especially in individuals with CMS	75-80
Myocardial circulation	Increased blood flow	Decreased coronary reserve	No data available	47-49
Muscular circulation	Increased blood flow	Increased blood flow	No data available	55,83-88,173

287

CMS, chronic mountain sickness; LV, left ventricular; RV, right ventricular.

#### 288

## 289 The peripheral circulation

### 290 Lungs.

Within minutes of exposure to hypoxia, pulmonary vasoconstriction leads to a rapid increase in 291 pulmonary vascular resistance and mean PAP<sup>68,69</sup>. Exercise aggravates the hypoxia-induced increase in 292 pressure, which reaches 54 mmHg during maximal exercise at 8,848 m<sup>70</sup>. Pulmonary vasoconstriction 293 involves inhibition of oxygen-sensitive  $K^+$  channels, leading to depolarization of pulmonary artery 294 smooth muscle cells and activation of voltage-gated  $Ca^{2+}$  channels,  $Ca^{2+}$  influx and vasoconstriction<sup>71</sup>. 295 This process is immediately reversed by breathing oxygen. However, lowlanders exposed to high altitude 296 for 2–3 weeks develop pulmonary hypertension that is not completely reversed by breathing oxygen<sup>70</sup>, 297 suggesting vascular remodelling of the pulmonary arterioles. This process involves the proliferation of 298 smooth muscle cells and thickening of the artery wall<sup>72</sup>. Pulmonary hypertension not only affects RV 299 function<sup>73</sup> but also limits exercise performance<sup>74</sup>. 300

301

### 302 Kidneys.

The effects of acute hypoxia on renal plasma flow and glomerular filtration rate are limited. However, urine flow increases, probably through a combined effect of adrenergic stimulation and inhibition of the RAAS<sup>75,76</sup>. Hypocapnia and alkalosis, resulting from hypoxia-induced hyperventilation, have an important effect on renal physiology by inducing a large increase in bicarbonate excretion. In a study from the Global REACH 2018 expedition, renal blood flow decreased by 14% after 1 day of exposure to 4,330 m, but was restored after 1 week of acclimatization, whereas glomerular filtration rate remained lower than that at sea level<sup>77</sup>. With prolonged hypoxia, as haematocrit and blood viscosity increased, effective renal plasma flow decreased by 38% at 5,800 m (ref. 78) and by 39% at 6,542 m, whereas renal blood flow decreased by only 24% (ref. 79). In natives of high-altitude environments, a more severe reduction in renal plasma flow is observed, especially in patients with CMS<sup>80</sup>.

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### 314 Brain.

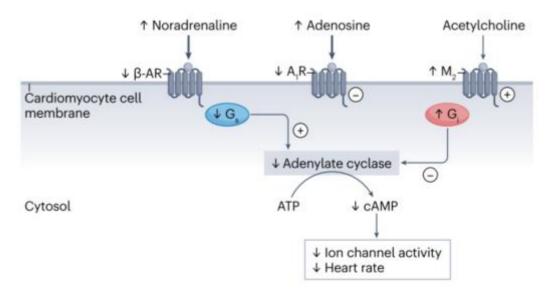
Cerebral blood flow increases with acute hypoxia. However, because hypoxia induces hyperventilation, the resulting hypocapnia has a direct vasoconstricting effect on the cerebral circulation, and cerebral blood flow returns to normal sea-level values after a few hours or days of hypoxic exposure<sup>81</sup>. At 8,000 m, a decrease in the transient hyperaemic cerebrovascular response has been observed, suggesting impaired cerebral autoregulation that could have a role in the genesis of the acute neurological deficits observed at extreme altitude<sup>82</sup>.

321

#### 322 Skeletal muscle.

323 Exercising promotes various muscular vasodilatory processes, particularly by blunting sympathetic  $\alpha$ -324 adrenergic vasoconstriction and inducing the release of NO<sup>55</sup>. This response during exercise

in hypoxic conditions compensates for the increased sympathetic vasoconstrictor activity directed towards 325 skeletal muscle<sup>83</sup>. Although the specific mechanisms of this effect are not fully understood, they are 326 thought to involve increased vasodilatation rather than reduced vasoconstriction in active locomotor 327 muscles<sup>55</sup>. Other postulated mechanisms include augmented NO release, through  $\beta$ -adrenergic receptors 328 in the exercising limb<sup>84,85</sup>, directly from the endothelium<sup>86</sup> or via shear stress activation of endothelial 329 cells<sup>87</sup>. Adenosine might also contribute to the regulation of skeletal muscle blood flow by stimulating 330 prostaglandin and NO synthesis<sup>88</sup>. These processes might be modulated by exercise intensity, the severity 331 and the duration of exposure to hypoxia, and by the mobilized muscle mass<sup>55</sup>. 332



**Fig. 3** | **Effects of hypoxia on cardiomyocytes.** Hypoxia induces an increase in the systemic levels of the agonists noradrenaline and adenosine. The increased noradrenaline and adenosine signalling in cardiomyocytes results in downregulation of  $\beta$ -adrenergic receptors ( $\beta$ -ARs) and the adenosine A<sub>1</sub> receptor (A<sub>1</sub>R), upregulation of the muscarinic acetylcholine receptor M<sub>2</sub> (probably due to a decrease in acetylcholine release), a decrease in G<sub>s</sub> protein activity and an increase in G<sub>1</sub> protein expression and, ultimately, a decrease in adenylate cyclase activity and production of cAMP. The decrease in cAMP negatively influences the control of automatism, contraction and relaxation of cardiomyocytes. The arrows indicate an increase or decrease in concentration or activity observed in hypoxia versus normoxia.

333 334

#### 335 Adaptation in high-altitude natives

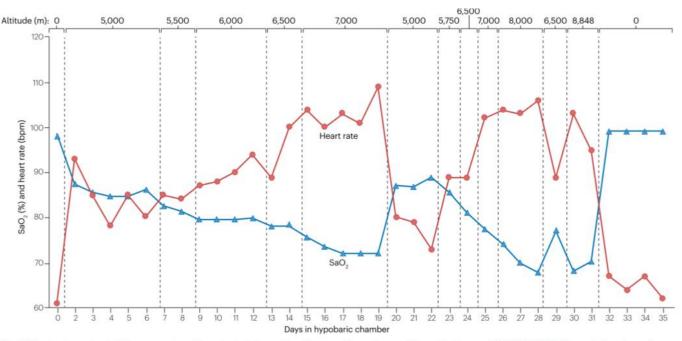
Cardiovascular adaptations to altitude in humans have been built through genetic modifications over 336 millions of years of evolution. However, some individuals living at high altitude still manifest deleterious 337 responses to hypoxia and develop conditions such as CMS. Strategies for adaptation to permanent living at 338 high altitude differ according to the population and geographical region. Indigenous Tibetans, who have 339 340 lived above 4,000 m for more than 40,000 years, seem to have the best profile of genetic adaptation to chronic hypoxia through changes in *HIF2* and *EGLN1* (also known as *PHD2*)<sup>89</sup>. This population has low 341 SaO<sub>2</sub>, but no excessive erythrocytosis or pulmonary hypertension. East African highlanders have 342 haemoglobin and SaO<sub>2</sub> levels similar to those of sea-level natives<sup>90</sup>, and their phenotypic adaptation to 343 high altitude is still under investigation. Andeans, whose residence at high altitude dates to around 12,000 344 years ago, have a mixed genetic profile, with ethnic admixture with Europeans since the sixteenth 345 century<sup>91</sup>. Therefore, this population does not have a clear genetic advantage for living at high altitude, 346 with low SaO<sub>2</sub>, high haemoglobin levels, elevated PAP and RV hypertrophy<sup>92</sup>. In a study of native 347 residents of La Paz, Bolivia (3,500-4,100 m), pulmonary artery hypertension was reversed after 348 prolonged residence at sea level or treatment with nifedipine<sup>93</sup>. 349

350 A substantial proportion of the Andean population (15% in Cerro de Pasco, Peru; 4,300 m) develops  $CMS^{13}$ . This condition is characterized by excessive erythropoiesis and, sometimes, pulmonary 351 hypertension that can evolve towards right and global heart failure<sup>94</sup>. Patients with CMS from Cerro de 352 Pasco have elevated mean PAP (34 mmHg) when compared with healthy high-altitude natives (25 353 mmHg) and sea-level residents (19 mmHg)<sup>95</sup>. These patients also have RV enlargement but do not 354 develop impaired ejection fraction. However, the RV Tei index (myocardial performance index) was 355 356 increased in patients with CMS and in healthy high-altitude residents, suggesting early impairment of RV function<sup>95</sup>. Moreover, patients with CMS seem to be at increased risk of developing cardiovascular events 357 compared with their healthy counterparts<sup>96</sup>. In another study, a decrease in RV function at rest and during 358 exercise was also found in patients with CMS from La Paz when compared with healthy high-altitude 359 residents<sup>97</sup>. However, the researchers suggest that the lower resting values for RV function in patients 360 with CMS could represent a physiological adaptation to chronic hypoxic conditions rather than impaired 361 RV function. 362

363 In Peruvian high-altitude natives, peripheral chemoreceptors can develop hyperplasia with ageing, leading to a blunted ventilatory response to hypoxia<sup>13,98</sup>. Adrenergic activity was found to be increased, but  $\beta$ -364 adrenergic receptors were downregulated, similar to findings from sea-level natives exposed to prolonged 365 hypoxia<sup>99</sup>. Plasma erythropoietin and soluble transferrin receptors are elevated, in line with excessive 366 erythropoiesis, resulting in frequent episodes of sleep apnoea and nocturnal oxygen desaturation. These 367 changes are reversed by administration of the carbonic anhydrase inhibitor acetazolamide<sup>100</sup>. Systemic 368 arterial pressure has been studied in various high-altitude populations<sup>101</sup>. Native Tibetans have a higher 369 prevalence of hypertension than Han Chinese people living at the same altitude, perhaps because of 370 differences in genetics or nutrition<sup>102</sup>. Studies in Peruvian miners permanently living and working above 371 5,000 m showed normal blood pressure (continuously monitored over 24 h), despite a higher blood 372 viscosity owing to a high haematocrit  $(>60\%)^{103}$ . Moreover, Andean natives with CMS do not have an 373 excessive prevalence of hypertension<sup>94</sup>. This finding raises the question of whether the peripheral 374 375 vasodilatory mechanisms that occur during acute hypoxia persist in chronic hypoxia. The data are scarce but indicate that these mechanisms are still present in native Tibetans, especially through augmented 376 endothelial NO production<sup>104</sup>. However, the response might vary according to ethnicity, because NO-377 mediated cutaneous vasodilatation was found to be reduced in Peruvian high-altitude residents<sup>104,105</sup>. 378 379 Other mechanisms of peripheral vasodilatation are still to be unravelled, but could include dampening of the vasodilatory effect of ATP or adenosine<sup>16</sup> and excessive blood viscosity<sup>106</sup>. 380

381 Genetic studies of high-altitude populations have been developed with two objectives: first, to identify 382 specific mutations that confer an evolutionary advantage for living at high altitude (comparing Andeans, 383 Tibetans or Ethiopians with sea-level natives); and second, to characterize genetic risk factors among

high-altitude natives who develop CMS. More than 1,000 genes encoding proteins involved in the 384 circulatory system, angiogenesis, erythropoiesis and oxygen transport could be associated with adaptation 385 or maladaptation to high altitude<sup>89,107,108</sup>. In genome-wide association studies, the specific allele 386 frequency of several HIF pathway genes involved in the Tibetan pattern of adaptation, including EPAS1 387 and EGLN1, has been identified that might contribute to the low haemoglobin concentration observed in 388 Tibetans<sup>109-111</sup>. In Andeans, genetic studies suggest that positive selection has focused on the NO 389 pathways and the cardiovascular system<sup>112</sup>. Studies of Ethiopian adaptation are scarce, but have identified 390 HIF-mediated oxygen-sensing pathways<sup>113</sup>, similar to those found in Tibetans and Andeans, suggesting 391 convergent evolution in populations living at high altitude. The VEGFA gene has been implicated in 392 cardiovascular maladaptation to hypoxia in Andeans<sup>114</sup>, and *AEBP2*, which has a role in erythropoiesis, 393 has also been proposed as a causal gene for CMS<sup>115</sup>. Other genes, such as the erythropoiesis regulator 394 395 SENP1 and the oncogene ANP32D, are also thought to be involved in the development of CMS in Andeans<sup>116</sup>. 396



**Fig. 4** | **Heart rate and arterial oxygen saturation at rest during a simulation of ascent to 8,848 m.** The graph shows the heart rate and arterial oxygen saturation (SaO<sub>2</sub>) at rest in individuals exposed to simulated altitudes from sea level to 8,848 m in a hypobaric chamber during a simulation of an ascent of

Mount Everest (Operation Everest III; COMEX '97)<sup>44</sup>. The variations in resting heart rate and SaO<sub>2</sub> mirror each other, illustrating the tight relationship between hypoxaemia and adrenergic activation in acute and prolonged hypoxia.

397 398

#### 399 High altitude and cardiovascular disease

#### 400 Acute altitude illnesses: is the heart involved?

One of the first descriptions of acute forms of mountain sickness, proposed by Ravenhill<sup>117</sup>, mentioned a
'cardiac form of altitude illness' to describe what would later be called 'high-altitude pulmonary oedema'
(HAPE) by Houston<sup>118</sup>. However, the heart is not involved in any manifestation of altitude illnesses —
acute mountain sickness, HAPE or high-altitude cerebral oedema. From the circulatory viewpoint,

hypoxia-induced vascular leak is a common feature of all forms of altitude illnesses, owing to increased
capillary permeability, without any change in blood pressure. The increase in PAP owing to hypoxiainduced pulmonary vasoconstriction is one of the factors involved in the pathophysiology of HAPE,
together with endothelial dysfunction, alveolar epithelial dysfunction and inflammatory processes<sup>119</sup>.
Nobody has ever died from heart problems at the summit of Mount Everest (8,849 m), despite extremely
low arterial O<sub>2</sub> pressure (~30 mmHg) and high exercise intensities<sup>33</sup>, probably because of the remarkable
autoprotective physiological process discussed earlier.

412

#### 413 Advice on pre-existing cardiovascular diseases

Very few robust scientific data are available about the risks of exposure to high or very high altitude in patients with chronic CVD. Most studies have included a small number of patients and were performed under very different conditions, in terms of both altitude and methodology. Studies of patients with cardiovascular conditions that involve travel to, or hiking in, high-altitude regions far from medical facilities are unethical. For this reason, among others, recommendations are mostly based on the consensus of experts and limit the highest advisable altitudes in the travel guidance for patients with preexisting cardiovascular conditions<sup>120–122</sup> (Box 1).

Although the healthy heart is not directly involved in acute altitude illnesses, high altitudes can be 421 422 challenging for those with CVD because exercising at a given intensity (such as walking uphill) is more demanding in hypoxia than at sea level. Because of the lower SaO<sub>2</sub> and the lower maximal exercise 423 424 capacity (VO<sub>2</sub> max) at altitude, the same physical activity requires a greater percentage of VO<sub>2</sub> max and, 425 therefore, a greater percentage of maximal heart rate and maximal cardiac output to deliver the same amount of oxygen to the myocardium<sup>123</sup>. Therefore, clinical symptoms that would not appear, or appear 426 only during vigorous exercise, in normoxia could emerge during light-to-moderate exercise at high 427 428 altitude.

A basic knowledge of the physiology of hypoxia, and the pathophysiology of CVD, will help clinicians to provide appropriate advice to their patients with CVD before travel to high-altitude regions<sup>61</sup>. In general, the risk factors for patients with CVD at high altitude are:

432 • Impaired oxygen delivery, leading to increased hypoxaemia (respiratory conditions, severe or
433 insufficiently controlled heart failure and cyanotic congenital heart diseases).

434 • Pulmonary hypertension and right heart failure.

435 • Increased sympathetic activity (arrhythmias).

436  $\cdot$  Reduced ischaemic threshold, owing to a higher heart rate for a given power output at exercise (CAD).

437

438 To evaluate the risk associated with a travel to high altitude for a patient with CVD (Box 1), the

physician should consider both the risk of complications of the cardiovascular pathology in hypoxia and 439 440 comorbidities (such as chronic obstructive pulmonary disease, anaemia, diabetes mellitus and obstructive sleep apnoea), which are common in these patients. Furthermore, they should be aware that medical 441 facilities are not easily accessible in isolated, high-altitude regions and inform their patients that treatment 442 is, therefore, likely to be delayed. Physicians should also consider the medications being taken by the 443 patient. For example, diuretics can lead to dehydration, and β-blockers will impair the physiological 444 increase in heart rate at altitude and reduce physical performance. If acetazolamide is prescribed to limit 445 the risk of high-altitude illness, interactions with other drugs, such as hypokalaemia with loop diuretics, 446 should be avoided. Physicians should also give their patients general recommendations about altitude 447 acclimatization; primarily that, for travel above 3,000 m, the daily gain in altitude should not exceed 400 448 m (refs. 56,58). Importantly, cardiovascular risks are increased when exposure to altitude is sudden, 449 450 without progressive acclimatization.

451

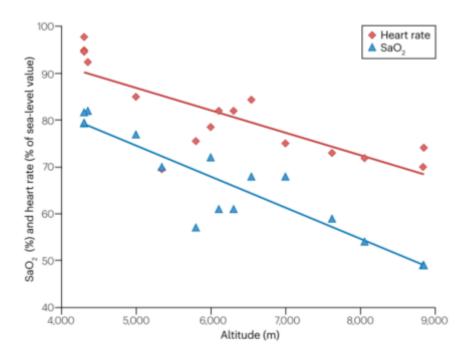
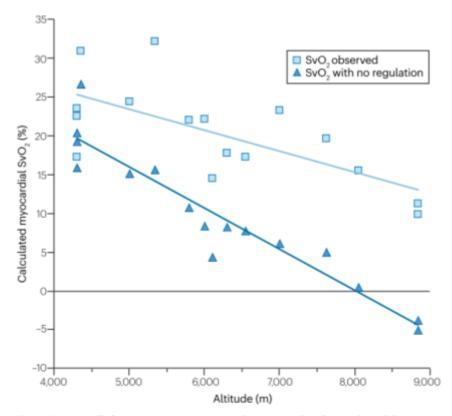


Fig. 5 | Parallel linear decrease in arterial oxygen saturation and heart rate at maximal exercise as a function of altitude. The graph shows the parallel linear decrease in arterial oxygen saturation  $(SaO_2)$  and heart rate (% of sea-level values) with increasing altitude during maximal exercise in individuals acclimated to hypoxia. The decrease in heart rate with increasing altitude has been observed in many studies conducted in the field and in simulated conditions. Both sympathetic and parasympathetic nervous systems are involved in this decrease in maximal heart rate. Downregulation of  $\beta$ -adrenergic receptors occurs, leading to desensitization of the pathway, as an adaptive phenomenon against excessive hypoxic stimulation. An increased parasympathetic effect on the heart might also contribute to the decrease in heart rate during exercise in prolonged hypoxia, via upregulation of the parasympathetic system, as a mirror effect of adrenergic activation with downregulation of  $\beta$ -adrenergic receptors. Adapted from ref. 22, CC BY 4.0 (https://creativecommons.org/licenses/by/4.0/).



**Fig. 6** | **Myocardial venous oxygen saturation at maximal exercise with and without autoregulation of maximal heart rate.** The graph shows the calculated values of myocardial venous oxygen saturation (SvO<sub>2</sub>) during maximal exercise as a function of altitude. The dark blue triangles show SvO<sub>2</sub> values re-calculated using the observed SvO<sub>2</sub> data (light blue squares), assuming that the value of maximal heart rate at altitude is identical to that at sea level. The decrease in maximal heart rate with increasing altitude limits cardiac oxygen consumption when oxygen availability is reduced, protecting the heart against ischaemic events. In the hypothesis of no decrease in maximal heart rate (dark blue triangles), myocardial SvO<sub>2</sub> would become negative at 8,000 m and above. Adapted from ref. 22, CC BY 4.0 (https://creativecommons.org/licenses/by/4.0/).

453

## 454

## 455 Coronary artery disease.

Patients with reduced coronary reserve could be assumed to be at increased risk of myocardial ischaemia at high altitude. However, no significant differences in symptoms, heart rate or systolic function have been observed in patients with ischaemic heart disease compared with healthy individuals at 3,454 m<sup>124</sup> or 4,200 m<sup>125</sup>. The 18% decrease in normal coronary reserve at 2,500 m<sup>49</sup> should be considered when interpreting these findings. In a study of nine patients with CAD exercising at 3,100 m, angina, ST segment depression or both occurred at the same product (heart rate × systolic blood pressure), but at lower workloads, than at sea level<sup>126</sup>.

On the basis of these observations, patients with CAD who no longer show electrocardiogram abnormalities during an exercise test, at least 6 months after a cardiac event, can travel to altitudes up to 465 4,200 m (lower in the presence of comorbidities that increase cardiovascular risk). As a precaution, 466 vigorous exercise at altitude in these patients is contraindicated. Interestingly, one study of healthy individuals exposed to an altitude of 4,559 m showed that acetazola- mide increased the subendocardial
viability ratio, estimated on the carotid artery using a PulsePen tonometer<sup>127</sup>. This finding suggests a
decreased risk of subendocardial ischaemia at altitude in healthy people but remains to be confirmed in
patients with ischaemic heart disease.

471

## 472 Heart failure.

Some researchers have studied the effects of high altitude (up to 3,454 m) in patients with heart failure
with ejection fraction <35%, with no cardiac events being reported<sup>128–130</sup>. On the basis of these studies,
recommendations about travel to altitude for these patients depends on the NYHA score — no altitude for
NYHA class IV, up to 3,000 m for NYHA class III and up to 3,500 m for NYHA classes I and II<sup>122</sup>.

477

### 478 Arrhythmias.

Although no specific data are available about the occurrence of arrhythmias at high altitude, it is
reasonable to assume that the association between hypoxaemia and increase in adrenergic activity could
induce arrhythmias. Therefore, recommendations limit altitude travel to 3,500 m for patients with serious
ventricular arrhythmias<sup>121</sup>.

483

## 484 Congenital heart diseases.

Patients with cyanotic heart disease or right-to-left shunt (aggravated by pulmonary hypertension and increased right heart pressures) experience severe hypoxaemia at high altitudes. Therefore, travel to these regions should be avoided, unless the patient has been surgically treated<sup>131</sup>. Case reports of patients with patent foramen ovale suggest that right-to-left shunt might be aggravated at high altitude, and exerciseinduced arterial oxygen desaturation could, therefore, be a risk factor for HAPE<sup>132</sup>.

490

## 491 Systemic hypertension.

492 As discussed earlier, the systemic circulation is exposed to the opposing effects of centrally mediated vasoconstriction and locally mediated vasodilatation. The overall effect on blood pressure largely depends 493 on the duration of exposure and individual susceptibility<sup>61</sup>. Although some studies<sup>133,134</sup> have shown a 494 greater increase in blood pressure at altitude in patients with hypertension than in healthy individuals, 495 other studies have not<sup>60,66</sup>. To our knowledge, there is no evidence in the literature restricting travel to 496 497 altitude in patients with stable hypertension. Recommendations to avoid high altitude apply only to patients with uncontrolled or severe hypertension (systolic blood pressure >180 mmHg and/or diastolic 498 499 blood pressure >110 mmHg).

500

## 501 **Pulmonary hypertension.**

502 Given that hypoxic pulmonary vasoconstriction occurs in all individuals exposed to high altitude, patients 503 with pre-existing pulmonary hypertension are at high risk of right heart dysfunction or HAPE<sup>135,136</sup>. 504 However, one randomized pilot study showed that patients with pulmonary hypertension can safely adapt 505 to an altitude of 2,000 m<sup>137</sup>. The recommendation is to avoid travel to altitudes above 2,000 m and to use 506 supplemental oxygen if such travel cannot be avoided.

507

### 508 Hypoxic preconditioning

Hypoxic preconditioning refers to exposure to moderate hypoxia with the aim of increasing resistance to 509 subsequent severe hypoxia, and interest in the application of this technique in health and disease is 510 growing<sup>138</sup>. Specific protocols that modulate stress level, duration of exposure and whether hypoxia is 511 continuous or intermittent have revealed some positive effects of hypoxic preconditioning in a wide 512 spectrum of pathologies, including age-dependent neurodegeneration<sup>139</sup>, cerebral ischaemia<sup>140,141</sup>, 513 hypertension<sup>142</sup>, obstructive sleep apnoea<sup>143</sup> and metabolic diseases<sup>144,145</sup>. Hypoxic preconditioning can be 514 performed in actual high-altitude conditions, but individual control of physiological responses can be 515 improved in simulated conditions, such as in normobaric rooms or tents. 516

Evidence for the beneficial effects of moderate hypoxia comes from epidemiological data in 517 populations living permanently at moderate altitudes (~2,000–2,500 m), who have lower cardiovascular 518 mortality that populations living at sea level<sup>146–148</sup>. Other chronic diseases, such as dyslipidaemia<sup>149</sup> and 519 diabetes<sup>150</sup>, also have a reduced prevalence at altitude; however, mortality from chronic obstructive 520 pulmonary disease has been reported to be increased at high altitude<sup>151</sup>. Evidence for the benefits of 521 hypoxic preconditioning for CVD is accumulating<sup>152,153</sup>. Among six male patients with CAD, myocardial 522 perfusion was increased after progressive intermittent hypoxia (4,200 m over 14 days)<sup>154</sup>. Moreover, 523 short-term intermittent hypoxia (14-10% oxygen over 21 days) increased aerobic capacity and exercise 524 tolerance in 16 men aged 50–70 years with CAD<sup>155</sup>. Intermittent hypoxia (2,700 m over 22 days) 525 526 increased cardiorespiratory capacity, exercise tolerance and quality of life in patients with severe heart failure<sup>156</sup>. Importantly, no adverse effects occurred among 45 patients with stable ischaemic LV 527 dysfunction exposed to altitudes up to 3,000 m, although their maximal exercise capacity was 528 reduced<sup>128,130</sup>. 529

The underlying mechanisms of hypoxic preconditioning are not yet fully understood, but could involve several distinct processes and their potential interactions, through changes in HIF1 and its target genes<sup>7</sup>. Possible processes involved include neuroprotection and cardioprotection<sup>157,158</sup>, NO synthesis and mitochondrial function<sup>159</sup>, downregulation of apoptosis<sup>160</sup>, erythropoietin-related protection<sup>161,162</sup>, ROS formation<sup>61</sup> and upregulation of angiogenic growth factor<sup>7,163–165</sup>. The obstructive sleep apnoea syndrome,

an existing pathological model of intermittent hypoxia, could help to adjust future modalities of hypoxic 535 536 preconditioning. When approved are brief (<60 s), recurrent cycles of hypoxia-reoxygenation lead to a marked decrease in SaO<sub>2</sub>, an exacerbation of sympathetic activation and subsequent cardiovascular 537 dysfunction<sup>166</sup>. By contrast, preliminary studies on the positive effects of intermittent hypoxia rely on a 538 more moderate hypoxic stress during longer intervals, which could provide useful guidelines for further 539 investigations<sup>138,142,167,168</sup>. Additional metrics could be used to accurately quantify the 'hypoxic load' 540 experienced by patients or healthy individuals in a hypoxic environment. The most widely used 541 assessment is to integrate the  $FiO_2$  curve over time. Although simple to implement, this method does not 542 represent tissue oxygenation at a cellular level during hypoxia. Although not perfect, a similar approach 543 using the integration of SpO<sub>2</sub> over time would be closer to physiological reality and would eliminate the 544 effects of chronic adaptation to hypoxia during hypoxic protocols<sup>169</sup>. 545

#### Glossary

#### Anoxia

The absence of oxygen from the tissues of a living organism.

# Duration of exposure to hypoxia

Acute: minutes or hours; prolonged: days or weeks; chronic: months, years or lifetime.

#### Hypobaric hypoxia

Decrease in oxygen pressure owing to a decrease in barometric pressure.

#### Hypoxaemia

Decrease in oxygen pressure in blood compared with normal value at sea level (100mmHg).

#### Hypoxia

Decrease in oxygen pressure in a given milieu (such as ambient air, lung alveoli, blood or cells).

#### Normobaric hypoxia

Decrease in oxygen pressure owing to a decrease in the fraction of oxygen in the inspired air.

#### 546

547

#### 548 Conclusions

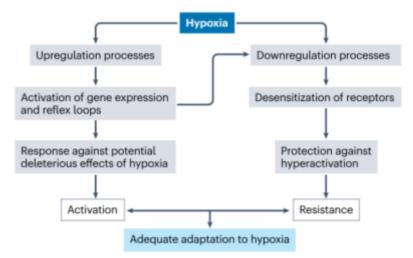
Travel to high altitude exposes an individual to hypoxia. However, advances in high-altitude research 549 have demonstrated that the cardiovascular system deploys some efficient mechanisms of acclimatization 550 to oxygen deprivation, and the healthy heart adapts to hypoxia, even when severe, with preservation of 551 systolic function and only minor impairment of LV and RV diastolic function. With acclimatization, 552 desensitization of the adrenergic system, together with an increased parasympathetic influence, leads to a 553 decrease in maximal heart rate and protection of the myocardium against potentially harmful energy 554 disequilibrium. In the peripheral and pulmonary circulations, hypoxia induces vasodilatation and 555 vasoconstriction, respectively, leading to minimal changes in systemic blood pressure but an increase in 556 557 PAP that can contribute to high-altitude pulmonary oedema.

558 Permanent exposure to hypoxia, as in natives of high-altitude environments, can lead to CMS 559 characterized by excessive polycythaemia, which is frequently associated with pulmonary hypertension 560 and heart failure. Genetic studies have revealed protective adaptations of some populations (such as

Tibetans and Ethiopians) to these pathological manifestations. Altogether, adaptation to hypoxic 561 562 constraints is achieved through a balance between activation of compensatory mechanisms (such as hyperventilation, tachycardia and erythropoiesis) through upregulation mechanisms and inhibition of 563 neurohormonal or humoral factors (such as G-protein-coupled receptors, NO and the RAAS), protecting 564 the organism from high-energy-consuming processes<sup>170</sup> (Fig. 7). Although this complex system can fail 565 and lead to pathological manifestations, it constitutes a remarkable example of homeodynamics that 566 warrants further exploration, especially to unravel the molecular mechanisms underlying these adaptation 567 568 processes.

Our improved understanding of the effect of altitude hypoxia on the cardiovascular system will allow 569 better documented and evidence-based advice to patients with pre-existing CVDs. All CVDs are 570 aggravated by increased adrenergic activity or associated with pulmonary hypertension, and hypoxaemia 571 572 (right-to-left shunt) will also be exacerbated. Moderate altitude, up to 2,500 m, does not seem to be harmful for most patients with CVD. However, as altitude increases, patients will present an ischaemic 573 574 threshold for a lower power output during exercise. Progressive acclimatization is necessary to avoid acute adverse effects on the cardiovascular system, and advice should be given in the context of disease 575 576 severity and the expected level of exercise intensity. Intermittent exposure to moderate hypoxia might have a beneficial effect in patients with CAD or heart failure. However, future research is needed to 577 578 define more precisely the indications, contraindications and modalities of pre-exposure to hypoxia in these patients. 579

580



**Fig. 7** | **Processes of adaptation to hypoxia.** Exposure of individuals to acute hypoxia activates the expression of genes and reflex loops (such as chemoreflex-induced hyperventilation or tachycardia) to maintain an adequate supply of oxygen to the cells. Although some of these reactions to hypoxia can be harmful, desensitization (downregulation) processes occur to limit the negative effects of some of the acute reactions to hypoxia. A balance between activation and resistance processes leads to adaptation to hypoxia. Adapted with permission from ref. 174, © 2017 Elsevier Masson SAS, all rights reserved.

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