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# Differential global distribution of marine picocyanobacteria gene clusters reveals distinct niche-related adaptive strategies

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#### 25 Abstract

26 The ever-increasing number of available microbial genomes and metagenomes provides new 27 opportunities to investigate the links between niche partitioning and genome evolution in the 28 ocean, especially for the abundant and ubiguitous marine picocyanobacteria Prochlorococcus and 29 Synechococcus. Here, by combining metagenome analyses of the Tara Oceans dataset with 30 comparative genomics, including phyletic patterns and genomic context of individual genes from 31 256 reference genomes, we show that picocyanobacterial communities thriving in different 32 niches possess distinct gene repertoires. We also identify clusters of adjacent genes that display 33 specific distribution patterns in the field (eCAGs) and are thus potentially involved in the same 34 metabolic pathway and may have a key role in niche adaptation. Several eCAGs are likely involved 35 in the uptake or incorporation of complex organic forms of nutrients, such as guanidine, cyanate, 36 cyanide, pyrimidine, or phosphonates, which might be either directly used by cells, for example 37 the biosynthesis of proteins or DNA, or degraded to inorganic nitrogen and/or phosphorus forms. 38 We also highlight the enrichment of eCAGs involved in polysaccharide capsule biosynthesis in 39 Synechococcus populations thriving in both nitrogen- and phosphorus-depleted areas vs. low-iron 40 (Fe) regions, suggesting that the complexes they encode may be too energy-consuming for 41 picocyanobacteria thriving in the latter areas. In contrast, Prochlorococcus populations thriving in 42 Fe-depleted areas specifically possess an alternative respiratory terminal oxidase, potentially 43 involved in the reduction of Fe(III) to Fe(II). Altogether, this study provides insights into how 44 phytoplankton communities populate oceanic ecosystems, which is relevant to understanding 45 their capacity to respond to ongoing climate change.

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#### 47 Introduction

Although phytoplankton communities play a crucial role in marine biogeochemical cycles [1, 2], the relative contribution of different species or ecotypes to these cycles remains difficult to assess due to a lack of knowledge of specific metabolic traits. Indeed, trait-based functional diversity is thought to be a better predictor of ecosystem functioning than species diversity [3, 4], and understanding which metabolic traits have facilitated the adaptation of an ecotype to a particular environment is key to understanding each species' ecological role. Comparative genomics has sometimes been used to try to identify the genetic basis of niche adaptation. However, this 55 approach has revealed only a few genes specific to particular ecotypes and thus potentially 56 involved in niche adaptation, perhaps due to the fairly low number of genomes available (even 57 for major phytoplankton groups) and the poor ecological representation and physiological 58 characterization of available isolates [5–9]. An alternative to better deciphering the links between 59 functional diversity and niche partitioning involves exploiting the rapidly growing number of 60 metagenomes. These can be used to generate metagenome-assembled genomes (MAGs) that can 61 fill the gaps for yet uncultured microbial taxa as well as to identify niche-specific genes, i.e. genes 62 enriched in specific spatial and/or temporal environmental conditions, by recruiting metagenomic 63 reads onto reference genomes [10–16].

64 Due to their abundance and ubiquity in the field and the large number of available genomes, including single amplified genomes (SAGs) and MAGs [6, 17–20], the marine picocyanobacteria 65 Prochlorococcus and Synechococcus constitute highly pertinent models to study how 66 67 phytoplankton cells adapt to their variable physico-chemical environment. These genera are 68 indeed the two most abundant members of the phytoplankton community, Prochlorococcus being 69 restricted to the 40°S-50°N latitudinal band, whilst the distribution of Synechococcus extends from 70 the equator to subpolar waters [21–23]. By combining laboratory and environmental studies 71 scientists have managed to decipher their genetic diversity and delineate specific ecotypes or 72 "ecologically significant taxonomic units" (ESTUs), i.e. genetic groups within clades occupying a 73 specific ecological niche [24-28]. While three major ESTU assemblages were identified for 74 Prochlorococcus in surface waters, whose distribution was found to be mainly driven by 75 temperature and iron (Fe) availability, eight distinct assemblages were identified for 76 Synechococcus depending on three main environmental parameters: temperature, Fe, and 77 phosphorus (P) availability. Nevertheless, few studies have so far linked knowledge of the 78 distribution of the different ecotypes to their functional diversity in order to identify potential 79 niche-specific genes, based on gene relative abundance in different ecosystems [10, 14, 15, 29, 80 30]. Furthermore, most of these previous studies have focused on the abundance of individual 81 genes, or more rarely, on just a few genomic regions with known function, for example those 82 involved in nitrogen (N) or P uptake and assimilation [31–33].

Here, in order to better understand the relationship between biogeochemistry and metabolic
traits of marine picocyanobacteria, we searched for global patterns of picocyanobacterial gene

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85 distributions. To do so, we used a network approach to integrate metagenome analyses of the oceanwide Tara Oceans dataset and synteny of individual accessory genes in 256 reference 86 87 genomes, SAGs, or MAGs covering the wide diversity existing within Prochlorococcus and 88 Synechococcus. Using this approach, we identified many clusters of adjacent genes that display 89 distinctive global distribution patterns in situ and thus likely play important roles in the adaptation 90 of these bacteria to the main ecological niches they occupy in the ocean. Given that gene synteny 91 is commonly used as an indicator of shared function [34, 35], delineation of these gene clusters 92 should also help to identify the putative function of numerous unknown genes, based on their 93 occurrence alongside functionally annotated genes in the same cluster. Overall, this study 94 provides novel insights into the genetic basis of niche partitioning in key members of the 95 phytoplankton community.

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#### 97 Results and Discussion

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#### 99 Different picocyanobacterial communities exhibit distinct gene repertoires

100 To analyze the distribution of *Prochlorococcus* and *Synechococcus* reads along the *Tara* Oceans 101 transect, metagenomic reads corresponding to the bacterial size fraction were recruited against 102 256 picocyanobacterial reference genomes, including SAGs and MAGs representative of 103 uncultured lineages (e.g. Prochlorococcus HLIII-IV, Synechococcus EnvA or EnvB). This yielded a 104 total of 1.07 billion recruited reads, of which 87.7% mapped onto Prochlorococcus genomes and 105 12.3% onto Synechococcus genomes, which were then functionally assigned by mapping them 106 onto the manually curated Cyanorak v2.1 CLOG database [19]. In order to identify 107 picocyanobacterial genes potentially involved in niche adaptation, we analyzed the distribution 108 across the oceans of flexible (i.e. non-core) genes. Clustering of Tara Oceans stations according to 109 the relative abundance of flexible genes resulted in three well-defined clusters for 110 Prochlorococcus (Fig. 1A), which matched those obtained when stations were clustered according 111 to the relative abundance of Prochlorococcus ESTUs, as assessed using the high-resolution marker 112 gene *petB*, encoding cytochrome  $b_6$  (Fig. 1A; [24]). Only a few discrepancies can be observed 113 between the two trees, including stations TARA-070 that displayed one of the most disparate 114 ESTU compositions and TARA-094, dominated by the rare HLID ESTU (Fig. 1A). Similarly, for Synechococcus, most of the eight assemblages of stations discriminated based on the relative 115

116 abundance of ESTUs (Fig. 1B) were also retrieved in the clustering based on flexible gene 117 abundance, except for a few intra-assemblage switches between stations, notably those dominated by ESTU IIA (Fig. 1B). Despite these few variations, four major clusters can be clearly 118 119 delineated in both Synechococcus trees, corresponding to four broadly defined ecological niches, 120 namely i) cold, nutrient-rich, pelagic or coastal environments (blue and light red in Fig. 1B), ii) Fe-121 limited environments (purple and grey), iii) temperate, P-depleted, Fe-replete areas (yellow) and 122 iv) warm, N-depleted, Fe-replete regions (dark red). This correspondence between taxonomic and 123 functional information was also confirmed by the high congruence between distance matrices 124 based on ESTU relative abundance and on CLOG relative abundance (p value<10<sup>-4</sup>, mantel test 125 r=0.84 and r=0.75 for Synechococcus and Prochlorococcus, respectively; dataset 1-4). Altogether, 126 this indicates that distinct picocyanobacterial communities, as assessed based on a single 127 taxonomic marker, also display different gene repertoires. As previously suggested for 128 Prochlorococcus [36], this strong correlation between taxonomy and gene content strengthens 129 the idea that, in both genera, the evolution of the accessory genome mainly occurs by vertical 130 transmission, with a relatively low extent of lateral gene transfers, although we cannot exclude 131 that the latter events may occur more often within members of a given ecotype.

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#### 133 Distribution of flexible genes is tightly linked to environmental parameters and ESTUs

134 In order to reduce the amount of data and better interpret the global distribution of 135 picocyanobacterial gene content, a correlation network of genes was built for each genus based 136 on relative abundance profiles of genes across Tara Oceans samples using Weighted Correlation 137 Network Analysis (WGCNA). This analysis emphasized four main modules of genes for 138 Prochlorococcus (Fig. S1A) and five for Synechococcus (Fig. S1B), each module being abundant in 139 a different set of stations. These modules were then associated with the available environmental 140 parameters (Figs. 2A-B) and to the relative abundance of Prochlorococcus or Synechococcus ESTUs 141 at each station (Figs. 2C-D). For instance, the *Prochlorococcus brown* module was strongly 142 correlated with nutrient concentrations, particularly nitrate and phosphate, and strongly anti-143 correlated with Fe availability (Fig. 2A). This module thus corresponds to genes preferentially 144 found in Fe-limited high-nutrient low-chlorophyll (HNLC) areas. Indeed, the brown module 145 eigengene (Fig. S1A), i.e. the first principal component of gene abundances at the different 146 stations for this module, representative of the abundance profiles of genes for this module at the

147 different stations, showed the highest abundances at stations TARA-100 to 125, localized in the 148 South and North Pacific Ocean, as well as at TARA-052, a station located close to the northern 149 coast of Madagascar, likely influenced by the Indonesian throughflow originating from the tropical 150 Pacific Ocean [24, 37]. Furthermore, the correlation of the Prochlorococcus brown module with 151 the relative abundance of ESTUs at each station showed that it is also strongly associated with the 152 presence of HLIIIA and HLIVA (Fig. 2C), previously shown to constitute the dominant 153 Prochlorococcus ESTUs in low-Fe environments [24, 38, 39] but also the LLIB ESTU, found to 154 dominate the LLI population in these low-Fe areas [24]. Altogether, this example and analyses of 155 all other *Prochlorococcus* and *Synechococcus* modules (supplementary text) show that the 156 communities colonizing cold, Fe-, N-, and/or P-depleted niches possess specific gene repertoires 157 potentially involved in their adaptation to these particular environmental conditions.

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#### 159 Identification of individual genes potentially involved in niche partitioning

160 To identify genes relevant for adaptation to a specific set of environmental conditions and 161 enriched in specific ESTU assemblages, we selected the most representative genes from each 162 module (Dataset 5, Figs. 3 and S2). Most genes retrieved this way encode proteins of unknown or hypothetical function (85.7% of 7,485 genes). However, among the genes with a functional 163 164 annotation (Dataset 6), a large fraction seems to have a function related to their realized 165 environmental niche (Figs. 3 and S2). For instance, many genes involved in the transport and 166 assimilation of nitrite and nitrate (nirA, nirX, moaA-C, moaE, mobA, moeA, narB, M, nrtP; [6]) as 167 well as cyanate, an organic form of nitrogen (cynA, B, D, S), are enriched in the Prochlorococcus 168 blue module, which is correlated with the HLIIA-D ESTU and to low inorganic N, P, and silica levels 169 and anti-correlated with Fe availability (Fig. 2A-C). This is consistent with previous studies showing 170 that while only a few Prochlorococcus strains in culture possess the nirA gene and even less the 171 narB gene, natural Prochlorococcus populations inhabiting N-poor areas do possess one or both 172 of these genes [40-42]. Similarly, numerous genes amongst the most representative of Prochlorococcus brown, red and turquoise modules are related to adaptation of HLIIIA/IVA, HLIA 173 174 and LLIA ESTUs to Fe-limited, cold P-limited, and cold, mixed waters, respectively (Fig. 3). 175 Comparable results were obtained for Synechococcus, although the niche delineation was less 176 clear than for Prochlorococcus since genes within each module exhibited lower correlations with

the module *eigenvalue* (Fig. S2). These results therefore constitute a proof of concept that this

- 178 network analysis was able to retrieve niche-related genes from metagenomics data.
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#### 180 Identification of eCAGs potentially involved in niche partitioning

181 In order to better understand the function of niche-related genes, notably of the numerous 182 unknowns, we then integrated global distribution data with gene synteny in reference genomes 183 using a network approach (Datasets 7 and 8). This led us to identify clusters of adjacent genes in 184 reference genomes, and thus potentially involved in the same metabolic pathway (Figs. 4, S3 and 185 S4; Dataset 6). These clusters were defined within each module and thus encompass genes with 186 similar distribution and abundance *in situ*. Hereafter, these environmental clusters of adjacent 187 genes will be called "eCAGs".

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#### 189 <u>eCAGs related to nitrogen metabolism</u>

190 The well-known nitrate/nitrite gene cluster involved in uptake and assimilation of inorganic forms of N (see above), which is present in most Synechococcus genomes (Dataset 6), was 191 192 expectedly not restricted to a particular niche in natural Synechococcus populations, as shown by 193 its quasi-absence from WGCNA modules. In Prochlorococcus, this cluster is separated into two 194 eCAGs enriched in low-N areas (Fig. S5A-B), most genes being included in Pro-eCAG 002, present in only 13 out of 118 Prochlorococcus genomes, while nirA and nirX form an independent eCAG 195 196 (Pro-eCAG\_001) due to their presence in many more genomes. The quasi-core ureA-G/urtB-E 197 genomic region was also found to form a Prochlorococcus eCAG (Pro-eCAG\_003) that was 198 impoverished in low-Fe compared to other regions (Fig. S5C-D), in agreement with its presence in 199 only two out of six HLIII/IV genomes. We also uncovered several other Prochlorococcus and 200 Synechococcus eCAGs that seem to be involved in the transport and/or assimilation of more 201 unusual and/or complex forms of nitrogen, which might either be degraded into elementary N 202 molecules or possibly directly used by cells for e.g. the biosynthesis of proteins or DNA. Indeed, 203 we detected in both genera an eCAG (Pro-eCAG\_004 and Syn-eCAG\_001; Figs. S6A-B, Dataset 6) 204 that encompasses speB2, an ortholog of Synechocystis PCC 6803 sll1077, previously annotated as 205 encoding an agmatinase [29, 43] and which was recently characterized as a guanidinase that 206 degrades guanidine rather than agmatine to urea and ammonium [44]. E. coli produces guanidine 207 under nutrient-poor conditions, suggesting that guanidine metabolism is biologically significant 208 and potentially prevalent in natural environments [44, 45]. Furthermore, the ykkC riboswitch 209 candidate, which was shown to specifically sense guanidine and to control the expression of a 210 variety of genes involved in either guanidine metabolism or nitrate, sulfate, or bicarbonate 211 transport, is located immediately upstream of this eCAG in Synechococcus reference genomes, all 212 genes of this cluster being predicted by RegPrecise 3.0 to be regulated by this riboswitch (Fig. S6C; 213 [45, 46]). The presence of hypA and B homologs within this eCAG furthermore suggests that, in 214 the presence of guanidine, these homologs could be involved in the insertion of Ni<sub>2</sub><sup>+</sup>, or another 215 metal cofactor, in the active site of guanidinase. The next three genes of this eCAG, which encode 216 an ABC transporter similar to the TauABC taurine transporter in E. coli (Fig. S6C), could be involved 217 in guanidine transport in low-N areas. Of note, the presence in most Synechococcus/Cyanobium 218 genomes possessing this eCAG of a gene encoding a putative Rieske Fe-sulfur protein 219 (CK 00002251) downstream of this gene cluster, seems to constitute a specificity compared to 220 the homologous gene cluster in Synechocystis sp. PCC 6803. The presence of this Fe-S protein 221 suggests that Fe is used as a cofactor in this system and might explain why this gene cluster is 222 absent from picocyanobacteria thriving in low-Fe areas, while it is present in a large proportion of 223 the population in most other oceanic areas (Figs. S6A-B).

224 Another example of the use of organic N forms concerns compounds containing a cyano 225 radical (C=N). The cyanate transporter genes (cynABD) were indeed found in a Prochlorococcus 226 eCAG (Pro-eCAG\_005, also including the conserved hypothetical gene CK\_00055128; Fig. S7A-B). 227 While only a small proportion of the Prochlorococcus community possesses this eCAG in warm, 228 Fe-replete waters, it is absent from other oceanic areas in accordance with its low frequency in 229 Prochlorococcus genomes (present in only two HLI and five HLII genomes). In Synechococcus these 230 genes were not included in a module, and thus are not in an eCAG (Dataset 6; Fig. S7C), but seem 231 widely distributed despite their presence in only a few Synechococcus genomes (mostly in clade 232 III strains; [6, 47, 48]). Interestingly, we also uncovered a 7-gene eCAG (Pro-eCAG 006 and Syn-233 eCAG 002), encompassing a putative nitrilase gene (nitC), which also suggests that most 234 Synechococcus cells and a more variable fraction of the Prochlorococcus population could use 235 nitriles or cyanides in warm, Fe-replete waters and more particularly in low-N areas such as the 236 Indian Ocean (Fig. 5A-B). The whole operon (*nitHBCDEFG*; Fig. 5C), called Nit1C, was shown to be 237 upregulated in the presence of cyanide and to trigger an increase in the rate of ammonia 238 accumulation in the heterotrophic bacterium Pseudomonas fluorescens [49], suggesting that like 239 cyanate, cyanide could constitute an alternative nitrogen source in marine picocyanobacteria as 240 well. However, given the potential toxicity of these C≡N-containing compounds [50], we cannot 241 exclude that these eCAGs could also be devoted to cell detoxification [45, 47]. Such an example 242 of detoxification has been described for arsenate and chromate that, as analogs of phosphate and 243 sulfate respectively, are toxic to marine phytoplankton and must be actively exported out of the 244 cells [51, 52].

245 We detected the presence of an eCAG encompassing *asnB*, *pyrB2*, and *pydC* (Pro-eCAG 007, Syn-eCAG\_003, Fig. S8), which could contribute to an alternative pyrimidine biosynthesis pathway 246 247 and thus provide another way for cells to recycle complex nitrogen forms. While this eCAG is 248 found in only one fifth of HLII genomes and in quite specific locations for *Prochlorococcus*, notably 249 in the Red Sea, it is found in most Synechococcus cells in warm, Fe-replete, N and P-depleted 250 niches, consistent with its phyletic pattern showing its absence only from most clade I, IV, CRD1, 251 and EnvB genomes (Fig. S8; Dataset 6). More generally, most N-uptake and assimilation genes in 252 both genera were specifically absent from Fe-depleted areas, including the nirA/narB eCAG for 253 Prochlorococcus, as mentioned by Kent et al. [36] as well as guanidinase and nitrilase eCAGs. In 254 contrast, picocyanobacterial populations present in low-Fe areas possess, in addition to the core 255 ammonium transporter amt1, a second transporter amt2, also present in cold areas for 256 Synechococcus (Fig. S9). Additionally, Prochlorococcus populations thriving in HNLC areas also 257 possess two amino acid-related eCAGs that are present in most Synechococcus genomes, the first 258 one involved in polar amino acid N-II transport (Pro-eCAG\_008; natF-G-H-bgtA; [53]; Fig. S10A-B) 259 and the second one (leuDH-soxA-CK\_00001744, Pro-eCAG\_009, Fig. S10C-D) that notably 260 encompasses a leucine dehydrogenase, able to produce ammonium from branched-chain amino 261 acids. This highlights the profound difference in N acquisition mechanisms between HNLC regions 262 and Fe-replete, N-deprived areas: the primary nitrogen sources for picocyanobacterial 263 populations dwelling in HNLC areas seem to be ammonium and amino acids, while N acquisition 264 mechanisms are more diverse in N-limited, Fe-replete regions.

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#### 266 eCAGs related to phosphorus metabolism

267 Adaptation to P depletion has been well documented in marine picocyanobacteria showing that 268 while in P-replete waters Prochlorococcus and Synechococcus essentially rely on inorganic 269 phosphate acquired by core transporters (PstSABC), strains isolated from low-P regions and 270 natural populations thriving in these areas additionally contain a number of accessory genes 271 related to P metabolism, located in specific genomic islands [6, 14, 30–32, 54]. Here, we indeed 272 found in *Prochlorococcus* an eCAG containing the *phoBR* operon (Pro-eCAG 010) that encodes a 273 two-component system response regulator, as well as an eCAG including the alkaline phosphatase 274 phoA (Pro-eCAG 011), both present in virtually the whole Prochlorococcus population from the 275 Mediterranean Sea, the Gulf of Mexico and the Western North Atlantic Ocean, which are known 276 to be P-limited [30, 55] (Fig. S11A-B). By comparison, in Synechococcus, we only identified the 277 phoBR eCAG (Syn-eCAG\_005, Fig. S11C) that is systematically present in warm waters whatever 278 the limiting nutrient, in agreement with its phyletic pattern in reference genomes showing its 279 specific absence from cold thermotypes (clades I and IV, Dataset 6). Furthermore, although our 280 analysis did not retrieve them within eCAGs due to the variability of gene content and synteny in 281 this genomic region, even within each genus, several other P-related genes were enriched in low-282 P areas but partially differed between Prochlorococcus and Synechococcus (Figs. 3, S2, S11 and 283 Dataset 6). While the genes putatively encoding a chromate transporter (ChrA) and an arsenate 284 efflux pump ArsB were present in both genera in different proportions, a putative transcriptional 285 phosphate regulator related to PtrA (CK\_00056804; [56]) was specific to Prochlorococcus. 286 Synechococcus in contrast harbors a large variety of alkaline phosphatases (PhoX, CK\_00005263 287 and CK 00040198) as well as the phosphate transporter SphX (Fig. S11).

288 Phosphonates, i.e. reduced organophosphorus compounds containing C-P bonds that 289 represent up to 25% of the high-molecular-weight dissolved organic P pool in the open ocean, 290 constitute an alternative P form for marine picocyanobacteria [57]. We indeed identified, in 291 addition to the core phosphonate ABC transporter (phnD1-C1-E1), a second previously 292 unreported putative phosphonate transporter phnC2-D2-E2-E3 (Pro-eCAG 012; Fig. 6A). Most of 293 the Prochlorococcus population in strongly P-limited areas of the ocean harbored these genes, 294 while they were absent from other areas, consistent with their presence in only a few 295 *Prochlorococcus* and no *Synechococcus* genomes. Furthermore, as previously described [58–60],

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296 we found a *Prochlorococcus* eCAG encompassing the *phnYZ* operon involved in C-P bond cleavage, 297 the putative phosphite dehydrogenase ptxD, and the phosphite and methylphosphonate 298 transporter ptxABC (Pro-eCAG 0013, Dataset 6, and Fig. 6B, [60–62]). Compared to these 299 previous studies that mainly reported the presence of these genes in *Prochlorococcus* cells from 300 the North Atlantic Ocean, here we show that they actually occur in a much larger geographic area, 301 including the Mediterranean Sea, the Gulf of Mexico, and the ALOHA station (TARA\_132) in the 302 North Pacific, even though they were present in a fairly low fraction of *Prochlorococcus* cells. 303 These genes occurred in an even larger proportion of the Synechococcus population, although not 304 found in an eCAG for this genus (Fig. S12, Dataset 6). Synechococcus cells from the Mediterranean 305 Sea, a P-limited area dominated by clade III [24], seem to lack phnYZ, in agreement with the 306 phyletic pattern of these genes in reference genomes, showing the absence of this two-gene 307 operon in the sole clade III strain that possesses the *ptxABDC* gene cluster. In contrast, the 308 presence of the complete gene set (*ptxABDC-phnYZ*) in the North Atlantic, at the entrance of the 309 Mediterranean Sea, and in several clade II reference genomes rather suggests that it is primarily 310 attributable to this clade. Altogether, our data indicate that part of the natural populations of 311 both Prochlorococcus and Synechococcus would be able to assimilate phosphonate and phosphite 312 as alternative P-sources in low-P areas using the *ptxABDC-phnYZ* operon. Yet, the fact that no 313 picocyanobacterial genome except P. marinus RS01 (Fig. 6C) possesses both phnC2-D2-E2-E3 and 314 phnYZ, suggests that the phosphonate taken up by the phnC2-D2-E2-E3 transporter could be 315 incorporated into cell surface phosphonoglycoproteins that may act to mitigate cell mortality by grazing and viral lysis, as recently suggested [63]. 316

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#### 318 <u>eCAGs related to iron metabolism</u>

As for macronutrients, it has been hypothesized that the survival of marine picocyanobacteria in low-Fe regions was made possible through several strategies, including the loss of genes encoding proteins that contain Fe as a cofactor, the replacement of Fe by another metal cofactor, and the acquisition of genes involved in Fe uptake and storage [14, 15, 36, 39, 64]. Accordingly, several eCAGs encompassing genes encoding proteins interacting with Fe were found in modules anticorrelated to HNLC regions in both genera. These include three subunits of the (photo)respiratory complex succinate dehydrogenase (SdhABC, Pro-eCAG\_014, Syn-eCAG\_006, Fig. S13; [65]) and 326 Fe-containing proteins encoded in most abovementioned eCAGs involved in N or P metabolism, 327 such as the guanidinase (Fig. S6), the NitC1 (Fig. 5), the pyrB2 (Fig. S8), the phosphonate (Figs. 6 328 and S12), and the urea and inorganic nitrogen eCAGs (Fig. S5). Most Synechococcus cells thriving 329 in Fe-replete areas also possess the sodT/sodX eCAG (Syn-eCAG 007, Fig. S14A-B) involved in 330 nickel transport and maturation of the Ni-superoxide dismutase (SodN), these three genes being 331 in contrast core in Prochlorococcus. Additionally, Synechococcus from Fe-replete areas, notably 332 from the Mediterranean Sea and the Indian Ocean, specifically possess two eCAGs (Syn-eCAG 008 333 and 009; Fig. S14C-D), involved in the biosynthesis of a polysaccharide capsule that appear to be 334 most similar to the *E. coli* groups 2 and 3 kps loci [66]. These extracellular structures, known to 335 provide protection against biotic or abiotic stress, were recently shown in *Klebsiella* to provide a 336 clear fitness advantage in nutrient-poor conditions since they were associated with increased 337 growth rates and population yields [67]. However, while these authors suggested that capsules 338 may play a role in Fe uptake, the significant reduction in the relative abundance of kps genes in 339 low-Fe compared to Fe-replete areas (t-test p value < 0.05 for all genes of the Syn-eCAG\_008 and 340 009; Fig. S14C) and their absence in CRD1 strains (Dataset 6) rather suggests that these capsules 341 may be too energy-consuming for some picocyanobacteria thriving in this particular niche, while 342 they may have a more meaningful and previously overlooked role in their adaptation to low-P and 343 low-N niches.

344 Several eCAGs were in contrast enriched in populations dwelling in HNLC environments, 345 dominated by Prochlorococcus HLIIIA/HLIVA/LLIB and Synechococcus CRD1A/EnvBA ESTUs (Fig. 346 2). The vast majority of Prochlorococcus cells thriving in low-Fe regions possess an eCAG 347 encompassing the ctaC2-D2-E2 operon, also found in 85% of all Synechococcus reference 348 genomes, including all CRD1 (Fig. 7; Dataset 6). This eCAG encodes the alternative respiratory 349 terminal oxidase ARTO, a protein complex that has been suggested to be part of a minimal 350 respiratory chain in the cytoplasmic membrane, potentially providing an additional electron sink 351 under Fe-deprived conditions [68, 69]. Furthermore, a Synechocystis mutant in which the ctaD2 352 and ctaE2 genes were inactivated was found to display markedly impaired Fe reduction and 353 uptake rates as compared to wild-type cells, suggesting that ARTO is involved in the reduction of 354 Fe(III) to Fe(II) prior to its transport through the plasma membrane via the Fe(II) transporter FeoB

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355 [70]. Thus, the presence of the ARTO system appears to represent a major and previously356 unreported adaptation for *Prochlorococcus* populations thriving in low-Fe areas.

357 Both Prochlorococcus and Synechococcus thriving in low-Fe waters also possess eCAGs 358 encoding the TonB-dependent siderophore uptake operon fecDCAB-tonB-exbBD (Pro-eCAG\_015 359 and Syn-eCAG\_013-014, Dataset 6). This gene cluster, which is found in a few picocyanobacterial 360 genomes and was previously shown to be anti-correlated with dissolved Fe concentration [14, 15, 361 64], is indeed systematically present in a significant part of the Prochlorococcus and 362 Synechococcus population in low-Fe areas (Fig. S15). However, it is also present in a small fraction 363 of the populations thriving in the Indian Ocean, consistent with its occurrence in two 364 Prochlorococcus HLII and one Synechococcus clade II genomes (Dataset 6). Finally, a large proportion of the Synechococcus populations in HNLC areas possess i) a large eCAG involved in 365 366 glycine betaine synthesis and transport (Syn-eCAG 010, Fig. S15A-B; [6, 71, 72]), almost absent 367 from low-N areas, ii) an eCAG encompassing a flavodoxin and a thioredoxin reductase (Syn-368 eCAG\_011, Fig. S16C-D), mostly absent from low-P areas, and iii) the nfeD-floT1-floT2 eCAG (Syn-369 eCAG 012, Fig. S17A-B) involved in the production of lipid rafts, potentially affecting cell shape 370 and motility [6, 73].

371

#### 372 <u>eCAGs enriched in cold waters</u>

373 Besides genes involved in nutrient acquisition and metabolism, several Prochlorococcus and 374 Synechococcus eCAGs were found to be correlated with low temperature. A closer examination 375 of Prochlorococcus eCAGs however, shows that their occurrence is not directly related to 376 temperature adaptation but mainly explained by the prevalence at high latitude of either i) the 377 HLIA ESTU (Fig. 2A, C and Fig. 4), the red module encompassing most of the above-mentioned eCAGs involved in P-uptake and assimilation pathways, or ii) the LLIA ESTU, present in surface 378 379 waters at vertically-mixed stations, the turquoise module mainly gathering Prochlorococcus LL-380 specific genes, such as Pro-eCAG\_017, involved in phycoerythrin-III biosynthesis (ppeA, cpeFTZY, 381 unk13). As concerns Synechococcus, although a fairly high number of eCAGs were identified in the 382 tan module associated with ESTUs IA and IVA-C (Fig. 2B, D and Fig. S4), only very few are 383 conserved in more than two reference strains and/or have a characterized function (Dataset 6). 384 Among these, at least one eCAG is clearly related to adaptation to cold waters, the orange 385 carotenoid protein (OCP) operon (ocp-crtW-frp; Syn-eCAG\_016). Indeed, this operon is involved 386 in a photoprotective process [74] that provides cells with the ability to deal with oxidative stress 387 under cold temperatures [75]. Accordingly, our data shows that Synechococcus populations 388 colonizing mixed waters at high latitudes or in upwelling areas all possess this eCAG (Fig. S18), 389 highlighting the importance of this photoprotection system in *Synechococcus* populations 390 colonizing cold and temperate areas. Synechococcus populations thriving in cold waters also 391 appear to be enriched in eCAGs involved in gene regulation such as transcription factors involved 392 in the regulation of the CA4-A form of the type IV chromatic acclimation process (fciA-B; Syn-393 eCAG 017), consistent with the predominance of Synechococcus CA4-A cells in temperate or cold 394 environments [76–78] (Dataset 6). Altogether, the fairly low number of eCAGs strongly associated 395 with temperature supports the hypothesis that adaptation to cold temperature is not mediated 396 by evolution of gene content but rather of protein sequences [5, 6, 36, 79].

397

#### 398 Conclusions

399 Our analysis of *Prochlorococcus* and *Synechococcus* gene distributions at the global scale using 400 the deeply sequenced metagenomes collected along the Tara Oceans expedition transect 401 revealed that each picocyanobacterial community has a specific gene repertoire, with different 402 sets of accessory genes being highly correlated with distinct ESTUs and physicochemical 403 parameters. As previously suggested for Prochlorococcus [36], this strong correlation between 404 taxonomy and gene content strengthens the idea that, in both genera, genome evolution mainly occurs by vertical transmission and selective gene retention, and that lateral gene transfers 405 406 between ecotypes are fairly scarce. By combining information about gene synteny in 256 407 reference genomes with the distribution and abundance of these genes in the field, we further 408 managed to delineate suites of adjacent genes likely involved in the same metabolic pathways 409 that may have a crucial role in adaptation to specific niches. These analyses confirmed previous 410 observations about the niche partitioning of individual genes and a few genomic regions involved 411 in nutrient uptake and assimilation [14, 15, 25, 31, 33, 36, 40, 42]. Most importantly, even though our network approach likely only revealed the lower boundary of the number of eCAGs actually 412 present in different niches, due to the incompleteness of some reference genomes, this approach 413 414 highlighted that some previously detected individual genes are part of larger genomic regions and 415 unveiled several novel genomic regions. Although we cannot exclude that some genes enriched 416 in a specific niche are not adaptive per se but either hitchhiked along with an adaptive gene [80] 417 or occurred from passive transport of ecotype populations outside their niche [79, 81], it is reasonable to assume that many eCAGs identified in the present study could confer cells a fitness 418 419 benefit in particular niches and were thus retained by natural selection, or in contrast have been 420 counter-selected in certain environments (such as eCAGs that are absent from low-Fe 421 environments). This study revealed the potential importance of the uptake and assimilation of 422 organic forms of nutrients, which might either be directly used by cells e.g. for the biosynthesis of 423 proteins or DNA, or be degraded into inorganic N and/or P forms. Consequently, many eCAGs 424 potentially involved in the uptake and assimilation of complex compounds, such as guanidine, 425 C=N-containing compounds, or pyrimidine were present in both N- and P-depleted waters, and 426 might constitute an advantage in areas of the world ocean co-limited in these nutrients [30], while 427 they were absent from HNLC areas. Our data also suggests that adaptation to Fe-limitation relies 428 on specific adaptation mechanisms including the reduction of Fe(III) to Fe(II) using ARTO, Fe 429 scavenging using siderophores, as well as reduction of the Fe quota and of energy-consuming 430 mechanisms, such as polysaccharide capsule biosynthesis. Altogether, this study provides novel 431 insights into the genetic basis of niche partitioning in key members of the phytoplankton 432 community. A future challenge will clearly be biochemically characterizing the function of 433 adaptive genes in these eCAGs (Datasets 5 and 6), which are sometimes present in only a few or 434 even a single cultured strain but which can occur in a large part or even the whole Prochlorococcus 435 and/or *Synechococcus* population occupying a specific niche *in situ*.

436

437

#### 438 Materials and Methods

Tara Oceans metagenomic reads from surface waters corresponding to the bacterial size-fraction
[24, 82] were recruited against 256 reference genomes using MMseqs2 Release 11-e1a1c (76) and
then mapped to an extended database, including 722 outgroup cyanobacterial genomes (Dataset
9; Supplementary Methods). Picocyanobacterial reads were then taxonomically assigned to either *Prochlorococcus* or *Synechococcus* and functionally assigned to a cluster of likely orthologous
genes (CLOGs) as defined in the information system Cyanorak v2.1 [19]. After normalization by
gene and read length, filtration steps included the selection of i) samples containing more CLOGs

than the average number of genes in a *Synechococcus* or *Prochlorococcus* HL genome, ii) CLOGs
with a gene coverage higher than 1 in at least 2 of the selected samples and iii) non-core genes
[6].

449 Tara Oceans stations were clustered using Ward's minimum variance [83] based on Bray-450 Curtis similarities between the relative abundance of either CLOG or picocyanobacterial ESTUs as 451 defined based on the *petB* marker gene [24]. CLOG abundance profiles were also used to perform 452 co-occurrence analyses by weighted genes correlation network analysis (WGCNA, [84, 85]) to 453 delineate modules of CLOGs that share a similar distribution pattern. The eigengene of each 454 module was then correlated to environmental parameters, retrieved from PANGAEA 455 (www.pangaea.de/), and to the relative abundance of petB ESTUs. Furthermore, the most 456 representative genes of each module were identified as those most correlated to the eigengene. 457 Finally, we then defined eCAGs within each module by searching adjacent genes (less than 6 genes 458 apart in 80% of the genomes possessing them) in the 256 reference genomes (Datasets 7 and 8) 459 that were used to build a network of the corresponding CLOGs (node) according to the graph 460 embedder (GEM) or the Fruchterman-Reingold layout algorithms implemented in the R package 461 igraph [86].

462 A detailed version of these methods is available in Supplementary Methods.

463

#### 464 Contributions

HD and LG conceived and supervised the project. MR, MO, GKF, DJS, FP, LG, and PW participated
in the generation of genomes and/or metagenomes used in this study. UG, HD, GKF, and JL
performed the bioinformatics analyses. LBG, MH, JS, GL, and EC developed the Cyanorak
information system and FP, HD, UG, JL, MF, MO, DJS, and LG curated the genome database. UG,
BA, and DE performed the network and statistical analyses. HD, UG, FP, DJS, DE, and LG wrote the
manuscript.

471

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473

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- 484

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707 Figure Legends

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709 Figure 1. Comparison of clustering based on relative abundance profiles of ecologically 710 significant taxonomic units (ESTUs) and of flexible genes for both picocyanobacteria. A. 711 Prochlorococcus. B. Synechococcus. Leaves of the trees correspond to stations along the Tara 712 Oceans transect that are colored according to the code shown at the bottom of the trees, which 713 corresponds to ESTU assemblages as determined previously [24] by clustering stations exhibiting 714 similar ESTU relative abundance profiles shown here on the right of each tree (for global 715 distribution maps of ESTU assemblages, see Fig. 3B and 4B in [24]). ESTUs were colored according 716 to the palette below each panel. Dotted lines in dendrograms indicate discrepancies between tree 717 topologies. Accessory genes correspond to all genes except those defined as large-core genes in 718 a previous study [6]. Of note, due to a slightly different clustering method (cf. materials and 719 methods), assemblage 7 (dark grey stations in 1B), which was discriminated from assemblage 6 in 720 the Farrant et al. (2016) now clusters with this assemblage. Abbreviations: IO, Indian Ocean; MS, 721 Mediterranean Sea; NAO, North Atlantic Ocean; NPO, North Pacific Ocean; RS, Red Sea; SAO, 722 South Atlantic Ocean; SO, Southern Ocean.

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724 Figure 2. Correlation of picocyanobacterial module eigengenes to physico-chemical parameters

725 and ESTU abundance. A, B. Correlation of module eigengenes to physico-chemical parameters for 726 Prochlorococcus (A) and Synechococcus (B). C, D. Correlation of module eigengenes to relative 727 abundance profiles of ESTUs sensu [4]. Pearson (A, B) and Spearman (C, D) correlation coefficients 728 (r and rho, respectively) are indicated by the color scale. Each module is identified by a specific 729 color and the number between brackets specifies the number of genes in each module. The 730 eigengene is representative of the relative abundance of genes of a given module at each Tara 731 Oceans station. Non-significant correlations (Student asymptotic p value > 0.01) are marked by a 732 cross. Øsat: index of iron limitation derived from satellite data. PAR30: satellite-derived 733 photosynthetically available radiation at the surface, averaged on 30 days. DCM: depth of the 734 deep chlorophyll maximum.

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Figure 3. Violin plots highlighting the most representative genes of each *Prochlorococcus* module. For each module, each gene is represented as a dot positioned according to its

correlation with the eigengene for each module, the most representative genes being localized
on top of each violin plot. Genes mentioned in the text and/or in Dataset 6 have been colored
according to the color of the corresponding module, indicated by a colored bar above each
module. The text above violin plots indicates the most significant environmental parameter(s)
and/or ESTU(s) for each module, as derived from Fig. 2.

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Figure 4. Delineation of *Prochlorococcus* eCAGs, defined as a set of genes that are both adjacent in reference genomes and share a similar *in situ* distribution. Nodes correspond to individual genes with their gene name (or significant numbers of the CK number, e.g. 1234 for CK\_00001234) and are colored according to their WGCNA module. A link between two nodes indicates that these two genes are less than five genes apart in at least one genome. The bottom insert shows the most significant environmental parameter(s) and/or ESTU(s) for each module, as derived from Fig. 2.

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Figure 5. Global distribution map of the eCAG involved in nitrile or cyanide transport and assimilation. (*A*) *Prochlorococcus* (Pro-eCAG\_006) and (*B*) *Synechococcus* Syn-eCAG\_002. (*C*) The genomic region in *Prochlorococcus marinus* MIT9301. The size of the circle is proportional to relative abundance of each genus as estimated based on the single-copy core gene *petB* and this gene was also used to estimate the relative abundance of other genes in the population. Black dots represent *Tara* Oceans stations for which *Prochlorococcus* or *Synechococcus* read abundance was too low to reach the threshold limit.

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Figure 6. Global distribution map of eCAGs putatively involved in phosphonate and phosphite transport and assimilation. *Prochlorococcus* (*A*) Pro-eCAG\_012 putatively involved in phosphonate transport, (*B*) Pro-eCAG\_013, involved in phosphonate/phosphite uptake and assimilation and phosphonate C-P bond cleavage, (*C*) The genomic region encompassing both *phnC2-D2-E2-E3* and *ptxABDC-phnYZ* specific to *P. marinus* RS01. The size of the circle is proportional to relative abundance of *Prochlorococcus* as estimated based on the single-copy core gene *petB* and this gene was also used to estimate the relative abundance of other genes in the population. Black dots represent *Tara* Oceans stations for which *Prochlorococcus* read abundance
was too low to reach the threshold limit.

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Figure 7. Global distribution map of the *Prochlorococcus* eCAGs involved in the biosynthesis of an alternative respiratory terminal oxidase (ARTO). (*A*) *Prochlorococcus* Pro-eCAG\_016, (*B*) *Synechococcus* Syn-eCAG\_015. The size of the circle is proportional to relative abundance of each genus as estimated based on the single-copy core gene *petB* and this gene was also used to estimate the relative abundance of other genes in the population. Black dots represent *Tara* Oceans stations for which *Prochlorococcus* or *Synechococcus* read abundance was too low to reach the threshold limit.



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