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## SI-referenced formic acid (HCOOH) spectroscopy at the sub ppt lebel.

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#### Abstract

We report on a new determination of the  $\nu_6$ , J'=21,  $K_a'=2$ ,  $K_c'=20 \rightarrow J''=21$ ,  $K_a''=3$ ,  $K_c''=19$  HCOOH rovibrational line at 9.17  $\mu m$  with 40-fold improvement with respect to previous measurements. using a spectrometer referenced to the *Système international* through a frequency comb. The spectrometer has a relative resolution better than  $10^{-12}$ , which together with line shape simulations allows for detailed studies of the modulation, pressure and power shifts and broadenings.

#### 1 Introduction

Optical fiber telecommunication networks enable  $Syst\`{e}me$  International (SI)-referenced ultra stable optical signals around 1.5  $\mu$ m to be disseminated from national metrology institutes to remote laboratories with stabilities better than  $10^{-13}$  on any time scale and accuracies better than  $10^{-14}$  (cite Refimeve, autre). These features can be transferred to all the teeth of optical frequency combs and hence to any laser which is frequency controlled against the frequency comb, allowing for SI-referenced high resolution spectroscopy [1].

The French REFIMEVE ultrastable signal has recently been delivered at Kastler Brossel laboratory on Jussieu campus in Paris. In this paper, we present the optical frequency synthesis spectrometer we have built for molecular spectroscopy at 9.17  $\mu$ m and the measurement campaign performed on the  $\nu_6$ ,  $J'=21, K'_a=2, K'_c=20 \rightarrow J''=21, K''_a=3, K''_c=19$  formic acid -HCOOH - rovibrational line.

The experimental setup is described in section 2. It presents the SI-referenced  $CO_2$  laser, the saturated absorption cell, the frequency modulation method and the resulting spectroscopy signal. In section 3, we discuss the lineshape model used to adjust the recorded spectra. The results are presented and discussed in section 4. We obtain a sub ppt  $(10^{-12})$  frequency resolution on the line center and determine pressure and intensity shift coefficients. We show how simulations of the expected line shape including the unresolved hyperfine structure allow understanding the observed linewidth and determining pressure and power broadening coefficients.

## 2 Experimental setup

#### 2.1 $CO_2$ laser

Several parts of the experimental setup shown in Fig. 1 have already been described in [4]. The laser source is a single mode  $CO_2$  laser operated on the 9R(42) line with up 800 mW of optical power. The rear mirror of the laser is mounted on a piezo ceramic split in two zones, a short one for fast frequency control and a long one to compensate slow drifts. The beam is split into three parts, one probing the molecular transition, which is frequency shifted by +128 MHz using a double pass acousto-optic modulator (AOM) instead of a single pass AOM in [4], one for frequency control against a 1.5-1.9  $\mu$ m frequency comb and one to control the frequency of a quantum cascade laser to be used for  $H_2^+$  vibrational spectroscopy.

#### 2.2 Saturated absorption cell

HCOOH saturated absorption is performed in a 1.047 m long symmetric Fabry-Perot cavity installed in a vacuum vessel. The mirrors have a 50 m radius of curvature resulting in a beam waist  $w_0 = 3.85$  mm. To get stable HCOOH pressure during the measurements, we use a leak valve to inject HCOOH from a small reservoir containing liquid HCOOH and a turbo pump connected to the vacuum vessel through a valve. Both valves are adjusted to get a stable pressure to better than 1% in the vacuum vessel.

The CO<sub>2</sub> laser is injected into the Fabry-Perot cavity with a linear horizontal polarization and mode-matched using a telescope. The empty cavity finesse is 153. We have determined the HCOOH single pass absorption by measuring the cavity maximum transmission using a liquid nitrogen cooled HgCdZnTe detector and a transimpedance amplifier for different HCOOH pressures and found  $7.43\times10^{-3}~\mu b^{-1}$  corresponding to an absorption of  $7.1\times10^{-3}~m^{-1}\mu m^{-1}$ . The finesse varies from 143 to 119 when we vary the HCOOH pressure from 0.5 to 2  $\mu b$ .

The Fabry-Perot cavity is locked on resonance with the CO<sub>2</sub> laser frequency using first harmonic phase sensitive detection on the signal transmitted by the cavity. The modulation frequency is 33 kHz and the modulation depth is ?? kHz. The transmitted signal expressed in volts gives a precise relative scale for the intracavity power or intensity but only an approximate absolute scale of 1.44(14) mW/V for the total power  $P_o$  and 0.0618(60) mW/mm<sup>2</sup>/V for the intensity on the beam axis I linked by  $I = 2P_o/(\pi w_0^2)$ . The data analysis is made using the precise scale and the results are converted in physical units with enlarged uncertainties.

#### 2.3 Laser frequency control and modulation

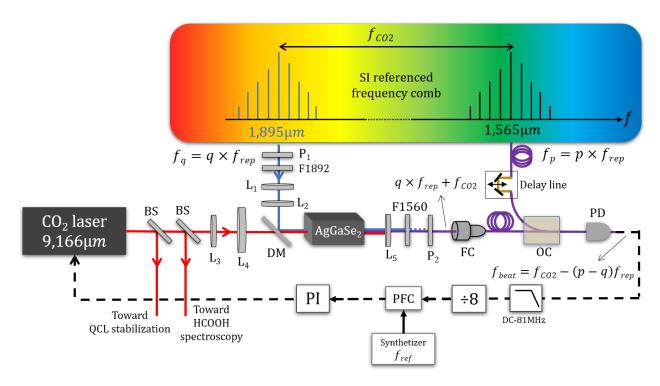


Figure 2: Experimental setup for the stabilization of the  $CO_2$  laser onto the SI refered frequency comb. The  $9.166\mu m$   $CO_2$  beam is overlapped with the  $1.895\mu m$  part of the frequency comb for sum frequency mixing in a AgGaSe<sub>2</sub> crystal. The shifted comb at  $1.565\mu m$  is combined with the original comb at  $1.565\mu m$  to produce a beat-note on the photodetector. This beat-note is used to lock the  $CO_2$  laser via a phase lock loop.  $L_i$ : Lenses,  $P_i$ : Polarizers, DM: dichroic mirror, BS: beam splitter, FC: fiber collimator, OC: optical coupler, PD: photodetector, PFC: phase/frequency comparator.

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The CO<sub>2</sub> laser frequency is referenced to the Système Internationnal (SI) by non-linear mixing

with a near infrared frequency comb [1] as shown in Fig. 2. The comb is carrier offset frequency-free. It is locked optically to the REFIMEVE (cite Refimeve) ultrastable signal with  $f_{rep}$  set exactly to 200 MHz. The expected comb teeth frequency stability is better than  $10^{-13}$  at any time scale. The  $CO_2$  laser beam is superimposed and mode matched to the 1.9  $\mu$ m part of the frequency comb in a 8 mm long AgGaSe<sub>2</sub> crystal. With 87 mW of CO<sub>2</sub> laser radiation and 18.4 mW in 56 nm around 1895 nm we generate about 1.1  $\mu$ W of sum frequency comb radiation at 1.56 nm, corresponding to a conversion efficiency of  $0.7 \text{ mW/W}^2$ . This radiation is spectrally filtered with a 54 nm wide bandpass filter and is injected in an optical fiber, to be mixed with the original comb in a 75/25%fiber combiner and sent to a fast photodetector for beatnote detection. The pulses are overlapped in time domain using a fibre delay line. The original and shifted comb frequencies have the form  $\nu_p = p f_{rep}$  and  $\nu_q = q f_{rep} + \nu_{\text{CO}_2}$ . The beat signal contains peaks at  $f_{beat} = \nu_{\text{CO}_2} - (p-q) f_{rep}$ . The lowest positive beat frequency is close to 64 MHz and is obtained for  $p-q=163\ 541.$  This beat has a signal to noise ratio up to 53 dB in a 100 kHz bandwidth. It is bandpass filtered, divided by 8 and compared to a reference frequency  $f_{ref}$  from a synthesizer using a phase/frequency comparator to obtain a phase error signal. The CO<sub>2</sub> laser servo loop includes a proportional-integral path to the short piezo part, with a loop oscillation frequency of 9 kHz. A second pure integrator slowly acts on the long piezo zone to maintain the fast piezo correction within its working range.

The HCOOH saturated absorption signal is detected using a third harmonic phase sensitive detection (Stanford research SR810). To that end, the reference frequency  $f_{ref}$  given by the synthesizer is frequency modulated with a modulation frequency  $f_m = 700$  Hz. This modulation is transferred to the CO<sub>2</sub> laser frequency by the feedback loop. The division by 8 and the feedback loop gain at 700 Hz result in an actual modulation depth of  $\delta\nu_m = 8.86 \ \delta\nu_m$  ranging from 17.72 to 35.44 kHz.

#### 2.4 Measurement strategy

We have systematically recorded spectra for four different HCOOH pressures, four different laser intensities and three different modulation depths with five scans up and five scans down totaling 480 scans. Each scan is made of 178 steps with 160 small 2.5 kHz frequency steps near the center of the line and 9 larger steps to probe the wings on both sides and detect an offset if any. The third harmonic phase sensitive detection time constant is set to 30 ms with a -12 dB/octave roll-off and the dwell time between two measurements is set to 300 ms, larger than the PSD settling time of 210 ms. The noise equivalent bandwidth is 4.2 Hz (from SR810 user's manual). Right before and right after each scan, the HCOOH pressure and transmitted power are measured. These values are used whenever necessary in the spectrum adjustment procedure.

## 3 Lineshape model

symbol	meaning
$\overline{f_{rep}}$	frequency comb repetition rate
$-\nu_{\rm CO_2}$	CO <sub>2</sub> laser frequency
$f_{beat}$	beat-note frequency
$\overline{\nu}$	laser frequency
$\overline{\nu_c}$	individual central frequency
$\Delta  u_{tr}^{th}$	theoretical transit width
$\Delta \nu$	individual line width
$\overline{x}$	normalized centered frequency
$\overline{m}$	normalized modulation depth
$\delta \nu_m$	laser frequency modulation depth
$\Delta \nu_{FP}$	Fabry-Perot linewidth
$\overline{S_n}$	Arndt functions
$S_{fit}$	S1 + S3 fit function
$\alpha_0$	vertical offset
$\alpha_{1,3}$	weights
$\overline{P}$	HCOOH Pressure
$\overline{I}$	Laser intensity
$\alpha$	pressure broadening coeff
$\beta$	coeff for the pressure dependance of the saturation intensity
$\overline{I_S}$	saturation intensity

The spectroscopy we perform is in the so called wavelength modulation regime with modulation and demodulation frequencies of 700 and 2100 Hz, much smaller than the limit fixed by the transit time of the molecules in the laser beam profile characterized by a Lorentzian profile with a half width at half maximum (HWHM) given by [5]

$$\Delta \nu_{tr}^{th} = \frac{\sqrt{2ln(2)}}{2\pi w_0} \sqrt{\frac{2k_B T}{m}} = 15.8(10) \text{ kHz},$$
 (1)

if probed by a non modulated laser T=300 K. The third derivative of a Lorentzian profile we can expect is perturbed by three effects.

The first one is line shape distortion due to frequency modulation described by Arndt [2] leading to the line shape

$$S_n(x,m) = \frac{i^n \epsilon_n}{2 m^n} \frac{(\sqrt{(1-ix)^2 + m^2} - (1-ix))^n}{\sqrt{(1-ix)^2 + m^2}} + c.c.,$$
(2)

where  $x = (\nu - \nu_c)/\Delta\nu$  is the centered normalized laser frequency with  $\nu$  the laser frequency and  $\Delta\nu$  the line HWHM,  $m = \delta\nu_m/\Delta\nu$  is the normalized modulation depth and n is the phase sensitive detection order with  $\epsilon_0 = 1$  and  $\epsilon_{n \ge 1} = 2$ .

The second effect is combined frequency-intensity modulation due to the Fabry-Perot cavity. Since the Fabry-Perot is locked on resonance with the laser frequency, the laser frequency modulation at frequency  $f_m$  is turned into an intensity modulation at frequency  $2f_m$ . As a result, contributions of  $S_1$  and  $S_5$  type are added to the  $S_3$  line shape in the case of third harmonic detection. A detailed derivation is given in section 6.

The third effect is line distortion due to unresolved hyperfine structure and is discussed in section 4.3.

The line shape we use for fitting the 480 recorded lines is chosen to be

$$S_{fit}(x,m) = \alpha_0 + \alpha_1 S_1(x,m) + \alpha_3 S_3(x,m)$$
(3)

with  $x = (\nu - \nu_c)/\Delta\nu$ ,  $m = \delta\nu_m/\Delta\nu$ .  $\alpha_0$  is introduced to represent a possible vertical offset,  $\alpha_1$  and  $\alpha_3$  are the weights of the  $S_1$  and  $S_3$  contributions. Note that the  $S_5$  contribution has not been introduced because it is always at least 15 times smaller than the  $S_1$  contribution for  $0 \le m \le 1.3$ , which does not allow accurate determination of  $S_5$  weight and significantly degrades the determination of the other parameters. Fig. 3 shows four typical spectra, their fits with pure  $S_3$  and  $S_{fit}$  profiles. One can see that the residuals for  $S_{fit}$  are much smaller than those for  $S_3$ . For each line, we evaluate the signal to noise ratio (in a 4.2 Hz equivalent bandwidth) as the ratio of the maximum of the signal to the rms of the signal in the wings. It varies from 30 for high pressure, high laser power and low modulation depth up to 160 at low pressure, low intensity and large modulation depth.

#### 4 Results and discussion

For each scan of the formic acid studied here ( $\nu_6$  vibrational band, (J' = 21, Ka' = 2, Kc' = 20)  $\rightarrow$  (J" = 21, Ka" = 3, Kc" = 19)), individual zero-compatible offsets  $\alpha_0$ , weights  $\alpha_1$  and  $\alpha_3$ , line centers  $\nu_c$ , line widths  $\Delta\nu$  and error bars are obtained. We do not observe any systematic difference when comparing frequency scan up and frequency scan down. The absence of drag effect is due to the choice of a sufficiently long dwell time.  $\nu_c$  and  $\Delta\nu$  are displayed in Fig 4 and Fig. 5. These quite unusual figures are split in three main columns (one for each modulation depth), which are in turn split in four columns (one for each HCOOH pressure), and finally split into four columns for each laser power. At this latter stage, in Fig. 4, the horizontal axis is used to display the individual values of  $\nu_c$  whereas in Fig. 5 the horizontal scale locally represents the laser power. The interest of this representation is to also show the pressure and modulation depth trends.

#### 4.1 Central frequency analysis

Figure 4 represents the central frequencies obtained for each of the 480 scans of the rovibrational line of formic acid for the different modulation depths, formic acid pressures P and intracavity intensities I. The data are adjusted by a multilinear fit of the form

$$\nu_c = \nu_0 + AP + BI + C\delta\nu_m \tag{4}$$

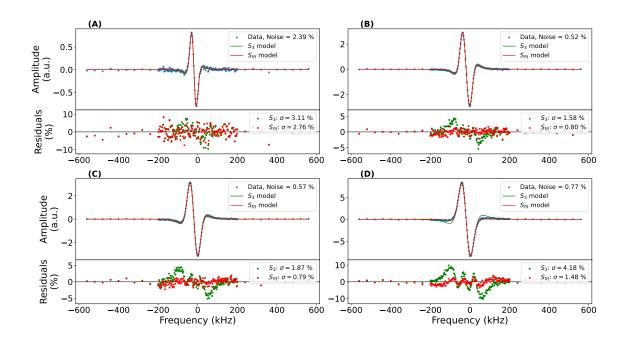


Figure 3: Examples of saturated absorption spectra detected in third harmonic. Experimental parameters (modulation depth, pressure, power) are A: (17720 Hz, 0.5  $\mu$ b, 0.5 V), B: (35440 Hz, 0.5  $\mu$ b, 0.5 V), C: (35440 Hz, 1.0  $\mu$ b, 0.5 V) and D: (35440 Hz, 1.0  $\mu$ b, 2.0 V). The noise level, estimated from the standard deviation in the wings of the spectra, is given as a percentage of the maximum signal. These profiles are fitted by a simple  $S_3$  model (green) and a  $S_{fit}$  model (red). Corresponding residuals are represented at the bottom. The standard deviation  $\sigma$  is comparable to the noise for A configuration having the smallest signal to noise ratio, and is 1.5 to 2 times larger in B, C and D configurations. Horizontal axis: Frequency =  $\nu - \nu_{off}$  with  $\nu_{off} = 32\,708\,392\,000$  kHz.

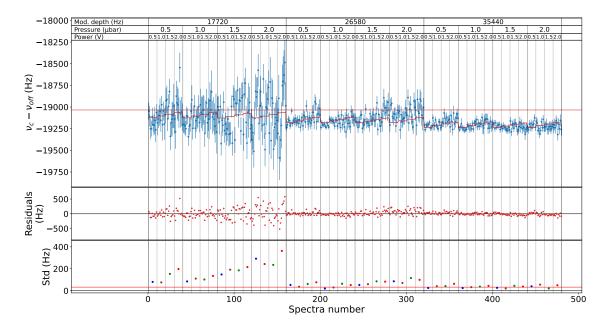


Figure 4: Central frequencies  $\nu_c$  -  $\nu_{off}$  obtained from saturated absorption spectra fitted by the  $S_{fit}$  model, plotted as a function of modulation depth, formic acid pressure in the Fabry-Perot cavity and intracavity light power. The thick red curve represents a multilinear fit of  $\nu_c$  by eq. 4 and the horizontal red line shows the estimated undisturbed central frequency  $\nu_0$  -  $\nu_{off}$ . The residuals of the multilinear fit are shown below. The frame at the very bottom of the figure shows the standard deviation of the central frequency over the 10 scans performed for each triplet of parameter values. Points of the same color share a comparable intracavity power. The horizontal red line represents the  $10^{-12}$  level, relatively to the transition frequency.

$\nu_0 \text{ (kHz)}$	ref.
32 708 391 980.966(18)	this work
32 708 391 981.4(0.8)	[3]
32 708 394(3)	HITRAN

Table 1:  $\nu_6$  vibrational band, (J' = 21, Ka' = 2, Kc' = 20)  $\rightarrow$  (J" = 21, Ka" = 3, Kc" = 19) central frequency.

including the measured values of P and I for each scan. In most cases, the residuals are less than the error bars on the central frequencies. Their standard deviation is equal to 122 Hz, dominated by the contribution at low modulation depth.

We obtain a pressure line shift  $A = -0.3(2.8) \text{ Hz}/\mu\text{b}$ , which is compatible with 0 and a light shift coefficient B = 41.1(5.7) Hz/V if the intensity is expressed in V, corresponding to  $B = 666(92) \text{ Hz}/(\text{mW/mm}^2)$  with an enlarged relative accuracy due to the uncertainty on the transmitted signal to intensity conversion factor. The modulation shift coefficient C = -6.3(0.5) Hz/kHz is significantly different from 0. It comes from a slight asymmetry of the line possibly due to the unresolved hyperfine structure. For the larger modulation depth we used, the correction to the central frequency amounts to +223 Hz. The undisturbed central frequency obtained from the fit is  $\nu_0 = 32 \text{ 708 391 980 966(18)}$  Hz with a 18 Hz statistical uncertainty  $(5.5 \times 10^{-13})$ . This represents a 40 fold improvement with respect to our previous measurement  $\nu_0 = 32 \text{ 708 391 981.4(0.8)}$  kHz [3].

#### 4.2 Linewidth analysis

Figure 5 shows the HWHM averaged over the 10 scans registered for each modulation depth, pressure and intensity triplet. They vary from 29 to 71 kHz and the corresponding m parameters between 0.25 and 1.22. It clearly shows that the HWHM depend on both the pressure and the intensity but do not depend on the modulation depth, indicating that the  $S_{fit}$  profile does indeed deconvolute the modulation effect. Taking into account pressure broadening and power broadening,

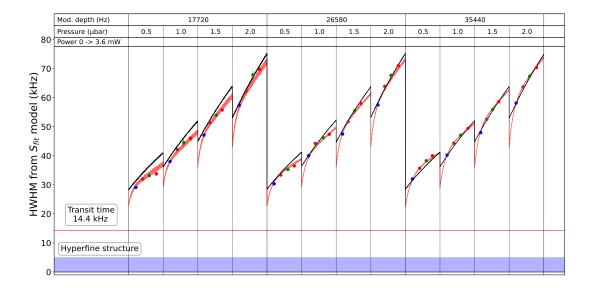


Figure 5: Averaged HWHM obtained by fitting the spectra with  $S_{fit}$  model. Dots with the same color share a comparable value of laser intensity. The red and black curves are obtained by fitting simulated spectra as explained in section 4.3. The horizontal red line shows the estimated transit time HMHM of 14.4(1.1) kHz. The blue zone indicates the maximum expected hyperfine splitting, which is not resolved.

the HWHM is expected to vary as

$$\Delta \nu = (\Delta \nu_{tr} + \alpha P) \sqrt{1 + \frac{I}{I_S}} \tag{5}$$

with  $\Delta \nu_{tr}$  the transit time HWHM,  $\alpha$  the pressure broadening coefficient and  $I_S$  the saturation intensity.  $I_S$  depends on the linewidth so possibly depends on the pressure. We assume a linear dependence of the form

$$I_S(P) = I_S^0 + \beta P \tag{6}$$

where  $I_S^0$  denote the saturation intensity at zero pressure.

Assuming  $\beta = 0$  (we will see later on that the pressure dependence of  $I_S$  is small),  $\Delta \nu_{tr}$ ,  $\alpha$  and  $I_S$  are extracted in the following way: for each  $\delta \nu_m$ , P and I values, the ten  $\Delta \nu^2$  are averaged. A linear fit with the intensity gives twelve determinations of  $I_S$  and  $\Delta \nu_{tr} + \alpha P$ , which are averaged over the three modulation depths. The resulting saturation intensities and zero-intensity widths are plotted against the pressure in Fig. 6 as blue points. This figure shows that the saturation intensity only slightly depends on the pressure, confirming the  $\beta = 0$  hypothesis and that the zero-intensity HWHM vary linearly with the pressure. A linear fit gives a preliminary determination of  $\Delta \nu_{tr} = 20.3(5)$  kHz. It also give an evaluation of the pressure broadening coefficient  $\alpha = 16.0(4)$  kHz/ $\mu$ b as well as the mean saturation power in our cavity, 3.6(0.5) mW, corresponding to a saturation intensity  $I_S^0$ =0.154(19) mW/mm<sup>2</sup>.

This HWHM analysis is not completely satisfactory for several reasons. First, the transit width does not match the theoretical value. Secondly, the HWHM model of Eq. 5 does not well reproduce the observed HWHM power dependence, as shown by the black lines in Fig. 5. Finally, one can observe in Fig. 5 (B,C and D) that the  $S_{fit}$  model has non negligible residuals with reproducible wiggles indicating that the line shape model  $S_{fit}$  is not elaborated enough.

#### 4.3 Including the hyperfine structure

Fitting trials have shown that introducing two  $S_{fit}$  profiles with different HWHM much improves the residuals with almost no impact on the central frequency determination. Even if unresolved, the hyperfine structure of the lines gives several contributions with close center frequencies and different widths that may explain the observations.

The hyperfine structure of HCOOH has been studied by Chardon [8] and Cazzoli [7] in the case of pure rotational spectroscopy in the ground vibrational level and led to the determination of coupling constants for rotational levels close to those probed in this work. In Section 7, we evaluate the hyperfine structure of the line studied here and show that the line shape can be modeled by the sum of three peaks of the form  $S_{th}(x-x_i,m_i)$  given by Eq. 28 and 30 where  $x-x_i=(\nu-\nu_i)/\Delta\nu_i$  and  $m_i=\delta\nu_m/\Delta\nu_i$  with  $\nu_1=-4.4$  kHz,  $\nu_2=0.1$  kHz and  $\nu_3=4.6$  kHz. Direct global fitting of the measured HWHM with this model involving fifteen parameters (a global offset, a central frequency, six amplitudes  $\alpha_{ki}$  and the transit time width  $\Delta\nu_{tr}$  with three pressure coefficients and three saturation intensities) is not possible due to the unresolved hyperfine structure and too high correlations between the numerous adjustable parameters.

To push further the HWHM analysis, we have decided to address the fitting issue in a different way. The idea is to compute many spectra with an expected profile  $S_s$  depending on a reduced number of parameters and to fit the simulated spectra with  $S_{fit}$  as for the experimental data in order to reproduce the HWHM behaviour with the pressure and intensity. We have chosen  $S_s$  to be given by

$$S_s(x,m) = I_0 \left[ S_{th}(x - x_1, m_1) + S_{th}(x - x_2, m_2) + S_{th}(x - x_3, m_1) \right] + \alpha_0. \tag{7}$$

The three terms have the same amplitude. The first and third terms corresponding to the side peaks have the same width  $\delta\nu_1$ . The second term has the width  $\delta\nu_2$ . These widths only differ by the associated zero-pressure saturation intensity and are given by

$$\delta\nu_i = (\Delta\nu_{tr} + \alpha P)\sqrt{1 + \frac{I}{I_{S_i}}} \tag{8}$$

where  $I_{S_i} = I_{S_i}^0 + \beta P$ .  $S_s$  thus depends on seven parameters, namely  $\Delta \nu_{tr}$ ,  $\alpha$ ,  $\beta$ ,  $I_{S_1}^0$ ,  $I_{S_2}^0$  as well as the zero-pressure cavity HWHM  $\Delta \nu_{FP}^0$  and its pressure coefficient  $\eta$ . The two last parameters are determined experimentally (see section 6.3) and are  $\Delta \nu_{FP}^0 = 468$  kHz and  $\eta = 67.5$  kHz/ $\mu$ b.

We simulate spectra for the 48 modulation depth, pressure, intensity triplet values and fit them with  $S_{fit}$  to obtain simulated HWHM. These HWHM are in turn fitted to the experimental ones to determine of the five remaining parameters. We obtain  $\Delta\nu_{tr}=14.38(0.15)_{stat}(0.96)_{syst}$  kHz,  $\alpha=13.6(0.1)$  kHz/ $\mu$ b,  $\beta=10.3(4.2)\times10^{-4}$  mW/mm²/ $\mu$ b,  $I_{S_1}^0=1.25(0.13)\times10^{-2}$  mW/mm²,  $I_{S_2}^0=8.70(0.87)\times10^{-2}$  mW/mm². The fitting procedure also gives central frequencies, which does not evidence any systematic shift.

Origin	Uncertainty	Uncertainty on central frequency	Uncertainty on $\Delta \nu_{S13}$
pressure	20 nbar	negligible	300 Hz
intracavity power	30  mV	$1.2~\mathrm{Hz}$	$< 460 \; \mathrm{Hz}$
modulation depth	2%	$< 4.5 \; \mathrm{Hz}$	$< 200 \; \mathrm{Hz}$

Table 2: Systematic uncertainties on central frequency and HWHM.

Origin of the width	Frequency
Doppler width	40 MHz
Cavity width	$625~\mathrm{kHz}$
Modulation depth	$20-50~\mathrm{kHz}$
Transit time width	$20~\mathrm{kHz}$
demodulation frequency	$2100~\mathrm{Hz}$
Modulation frequency	$700~\mathrm{Hz}$
Natural width	$1~\mathrm{Hz}$

Table 3: Orders of magnitude of the different frequency width, depth, ....

#### **4.3.1** Comment on $\Delta \nu_{tr}$ and $\alpha$

The transit time HWHM we obtain, 14.4(1.1) kHz is consistent with the expected value of 15.8(1.0) kHz predicted by Eq. 1 and a new determination of the pressure broadening coefficient  $\alpha=13.6(0.1)$  kHz/ $\mu$ b is obtained. The right part of Fig. 6 clearly show that  $\Delta\nu_{tr}$  and  $\alpha$  directly obtained from the fit of the experimental data are overestimated and have to be corrected. The dashed line represents the corrected zero-intensity HWHM. The pressure broadening coefficient  $\alpha=13.6(0.1)$  kHz/ $\mu$ b corresponds to 0.460(0.003) cm<sup>-1</sup>/atm (1 kHz/ $\mu$ b=0.0337983819 cm<sup>-1</sup>/atm) to be compared with the HITRAN value of 0.32 cm<sup>-1</sup>/atm.

#### 4.3.2 Comment on saturation intensities and $\beta$

The two saturation intensities we obtain differ by a factor 7.8. Saturation intensities are linked to squared dipole matrix element that may vary over orders of magnitude for different hyperfine components [6] making the fitted saturation intensities realistic. The pressure dependence given by  $\beta = 10.3(4.2) \times 10^{-4} \text{ mW/mm}^2/\mu\text{b}$  is very small (see the quasi horizontal dashed green lines in the left part of Fig. 6).

#### 4.3.3 Checking the method

To obtain the grey curves in Fig. 6, we have used  $S_s$  and the five optimum parameters to simulate spectra for 2000 pressures between 0 and 2.5  $\mu$ b, the four typical intensities, the three modulation depths and ten realizations of a Gaussian noise with a rms value corresponding to the experimental one. We have applied the same data processing as for the experimental data and obtained the dark grey points. The light grey area corresponds to the error bars.

The red curve in Fig. 5 is obtained in the same way, simulating spectra for 1000 intensities between 0 and 3.6 mW, four pressures, three modulation depths and 10 realizations of Gaussian noise. Only the uncertainty zone is plotted. It shows that the simulated spectra fitted by  $S_{fit}$  very well reproduce the experimental HWHM behaviour. The black curve is obtained from a simulation taking into account only one contribution to the width which follows the eq. 5. Disagreement with the experimental data is the basis of this discussion.

#### 5 Conclusion

This simulation of the experimental fitted profiles gives a significant correction to the transit time width towards the expected value, and a significant correction to the pressure broadening from 16.0(0.4) to 13.6(0.1) kHz/ $\mu$ b.

Considering the sources of systematic uncertainty summarized in table 2, we obtain the final results on the absolute central frequency in linear polarization and the broadening due to the transit time:

 $\nu_{abs} = 32\ 708\ 391\ 980\ 966(18)_{stat}(6)_{syst} \text{ Hz}.$ 

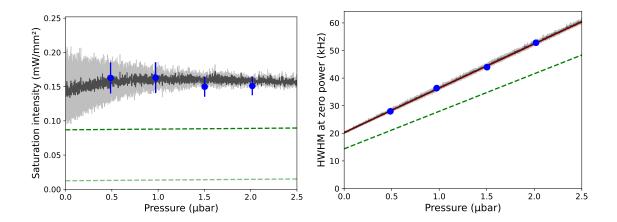


Figure 6: Left: the blue points show the experimental saturation intensity estimated from the model of saturation given by eq. 8 and averaged over the different modulation depths as a function of the formic acid pressure. The nearly horizontal green dashed lines represent the two saturation intensities involved in the simulation model. They show that the pressure dependence is very small. Right: HWHM at zero power extracted with the same method. A linear regression (red line) gives the pressure broadening coefficient and the transit-time HWHM. The green dashed line represents the zero intensity HWHM pressure dependence  $\Delta \nu_{tr} + \alpha P$  involved in the simulation model. For both graphs, the blue error bars correspond to the standard deviation of the data for the three modulation depths. The dark grey curve are obtained from simulated spectra using the same algorithm as for experimental data. The light grey area represents the error bars.

## 6 Appendix: theoretical profile

In this appendix, we first discuss the expected line shape for an isolated transition. To that end, we summarize Arndt derivation of modulation distorted phase sensitive detection signals and extend Schilt derivation of combined intensity modulation (IM) - frequency modulation (FM) to the case of  $2f_m$  IM where  $f_m$  is the frequency of the modulation frequency. We then discuss the complete line shape model and the simplified models we use.

#### 6.1 Arndt calculation

A Lorentzian line profile is given by

$$t_0(x) = \frac{1}{1+x^2} \tag{9}$$

with  $x = \nu/\Delta\nu_{line}$  the reduced laser frequency. When a FM is applied, the instantaneous reduced frequency is

$$x(t) = x_0 + m\cos(2\pi f_m t) \tag{10}$$

and the time dependant spectroscopic signal is the periodic function of time

$$s(t) = t_0(x(t)) = t_0(x_0 + m\cos(2\pi f_m t)), \tag{11}$$

that can be expanded in Fourier series as

$$s(t) = \sum_{n=0}^{\infty} S_n(x_0, m) \cos(2\pi \ n f_m t). \tag{12}$$

Phase sensitive detection signals are given by the Fourier coefficients of s(t). Introducing  $T_0(y)$  the Fourier transform of  $t_0(x)$ ,

$$T_0(y) = \frac{1}{2\pi} \int_{-\infty}^{\infty} t_0(x)e^{-ixy}dx,$$
 (13)

Arndt [2] has shown that

$$S_n(x_0, m) = \frac{i^n \epsilon_n}{2 m^n} \frac{(\sqrt{(1 - ix_0)^2 + m^2} - (1 - ix_0))^n}{\sqrt{(1 - ix_0)^2 + m^2}} + c.c.,$$
(14)

with  $\epsilon_0 = 1$  and  $\epsilon_{n>0} = 2$ .

#### 6.2 Fabry-Perot induced IM-FM

For diode laser or quantum cascade laser sources, FM may be obtained using a modulation of the injection current. This method results in combined and phase shifted IM-FM at the same frequency. The effect of IM-FM on line shape has been studied by Schilt in [9].

In this work, we use a  $CO_2$  laser operated very close to the maximum of the emission line. The FM at  $f_m$  is applied through the piezo-driven laser cavity length. The spectroscopy signal comes from the transmission of the Fabry Perot cavity, which is locked on resonance with the laser frequency. We have checked that this scheme results in a transmitted (and hence intracavity) IM at frequency  $2f_m$  due to the quadratic behaviour of the Fabry-Perot transmission around resonance. No IM at  $f_m$  was found. We extend Schilt calculation to this case.

The instantaneous frequency and laser intensity are written

$$\nu(t) = \nu_0 + \delta \nu_m \cos(2\pi f_m t) \tag{15}$$

$$I(t) = I_0 \left( 1 + qm^2 \cos^2(2\pi f_m t - \Psi) \right). \tag{16}$$

The IM parameter  $q = -4(\Delta \nu/\delta \nu_{FP})^2$  depends on the Fabry-Perot HWHM  $\delta \nu_{FP}$ . It is introduced in section 6.3.  $\Psi$  represents a possible phase shift between IM and FM. Note that  $\Psi$  has been introduced in the intensity expression leading to much simpler calculation than in [9].

The spectroscopic signal transmitted by the cavity now reads

$$s(t) = I_0 \left( 1 + qm^2 \cos^2(2\pi f_m t - \Psi) \right) t_0(x_0 + m \cos(2\pi f_m t)). \tag{17}$$

The Fourier components of s(t) can be expressed with those of  $t_0(x_0 + m\cos(2\pi f_m t))$ . Writing

$$s(t) = \sum_{n=0}^{\infty} S_{np} \cos(2\pi n \ f_m t) + S_{nq} \sin(2\pi n \ f_m t), \tag{18}$$

we obtain, for  $n \geq 3$ 

$$S_{np} = \left(1 + \frac{qm^2}{2}\right) S_n(x,m)$$

$$+ \frac{qm^2}{4} \cos(2\Psi) (S_{n-2}(x_0,m) + S_{n+2}(x_0,m)),$$
(19)

$$S_{nq} = \frac{qm^2}{4}\sin(2\Psi)(S_{n-2}(x_0, m) - S_{n+2}(x_0, m)). \tag{20}$$

Due to side effects, the formulas for n = 0, n = 1 and n = 2 are different and given by

$$S_{0p} = \left(1 + \frac{qm^2}{2}\right) S_0(x_0, m) + \frac{qm^2}{4} S_2(x_0, m) \cos(2\Psi), \tag{21}$$

$$S_{0q} = 0, (22)$$

$$S_{1p} = \left(1 + \frac{qm^2}{2}\right) S_1(x_0, m), \tag{23}$$

$$+\frac{qm^2}{4}\cos(2\Psi)(S_1(x_0,m)+S_3(x_0,m)),$$

$$S_{1q} = \frac{qm^2}{4}\sin(2\Psi)(S_1(x_0, m) - S_3(x_0, m)), \tag{24}$$

$$S_{2p} = \left(1 + \frac{qm^2}{2}\right) S_2(x_0, m),$$

$$+ \frac{qm^2}{4} \cos(2\Psi)(2S_0(x_0, m) + S_4(x_0, m)),$$
(25)

$$S_{2q} = \frac{qm^2}{4}\sin(2\Psi)(2S_0(x_0, m) - S_4(x_0, m)). \tag{26}$$

(27)

Phase sensitive detection with a phase  $\phi$  leads to the profile

$$S_{th}(x,m) = S_{np}(x,m)\cos(\phi) + S_{nq}(x,m)\sin(\phi).$$
 (28)

In the case of third harmonic detection, the theoretical line shape is

$$S_{th}(x,m) = A_1 S_1(x,m) + A_3 S_3(x,m) + A_5 S_5(x,m), \tag{29}$$

where

$$\begin{cases}
A_1 = \frac{qm^2}{4}\cos(\phi - 2\Psi) \\
A_3 = \left(1 + \frac{qm^2}{2}\right)\cos(\phi) \\
A_5 = \frac{qm^2}{4}\cos(\phi + 2\Psi).
\end{cases}$$
(30)

The coefficients  $A_1$ ,  $A_3$  and  $A_5$  depend on q,  $\phi$  and  $\Psi$ , which are known experimentally. In this work,  $f_m$  (700 Hz) is much smaller than the cavity HWHM (600 kHz) so the IM is in phase with the FM such that  $\Psi = 0$ .  $\phi$  is chosen in order to maximize the detected signal amplitude, and corresponds to  $\phi = \pi$  in our case. Moreover, in this configuration, if  $\Psi = \pi/4 + k\pi/2$  where k is a relative integer, then the perturbation terms from the first and fifth harmonics vanish. The value of q is discussed in the next paragraph.

#### 6.3 Cavity width and intensity modulation

#### 6.3.1 Pressure dependent cavity width

We consider a Fabry Perot Cavity made of two identical ideal mirrors of reflectivity R and transmission T=1-R. Absorption by HCOOH in the cavity results in additional losses taken into account by a single pass amplitude transmission factor  $\tau=e^{-\xi P/2}$  where P is the pressure. The cavity finesse and maximum transmission are given by

$$F = \pi \sqrt{R\tau}/(1 - R\tau)^2 \tag{31}$$

and

$$T_{max} = (1 - R)^2 \tau / (1 - R\tau)^2. \tag{32}$$

They are linked by  $F^2 = F_0^2 T_{max}$ . The empty cavity finesse  $F_0$  is 153, corresponding to R = 0.9797. The empty cavity HWHM is 468 kHz. We have measured the maximum transmission for P = 0.5, 1, 1.5 and 2  $\mu$ b and fitted the results with the above formula, leading to  $\xi = 5.92(17) \times 10^{-3} \ \mu b^{-1}$ . Varying HCOOH pressure from 0.5 to 2  $\mu$ b, the single pass transmission  $\tau^2$  varies from 99.7 to 98.8%, the maximum transmission from 87.0 to 60.2%, the finesse from 143 to 119. The cavity HWHM given by

$$\Delta \nu_{FP} = \frac{c}{4FL},\tag{33}$$

varies linearly from 501 to 603 kHz, hence we have  $\Delta \nu_{FP} = \Delta \nu_{FP}^0 + \eta P$  with  $\Delta \nu_{FP}^0 = 468$  kHz and  $\eta = 67.5$  kHz/ $\mu$ b.

#### 6.3.2 Cavity induced intensity modulation

When the cavity is locked on resonance with the laser frequency, the frequency modulation of the laser is turned into intracavity intensity modulation. Both the FM amplitude (at most 35.4 kHz) and the FM frequency ( $f_m = 700 \text{ Hz}$ ) are much smaller than the cavity width  $\Delta \nu_{FP}$ . The IM is thus determined by the quadratic behaviour of the intensity build-up close to resonance. Writing the FM  $\nu(t) = \nu_0 + \delta \nu_m \cos(2\pi f_m t)$ , the intracavity intensity is given by

$$I(t) = I_{max} \left( 1 - 4 \frac{\delta \nu_m^2}{\Delta \nu_{FP}^2} \cos^2(2\pi f_m t) \right)$$
(34)

where  $I_{max}$  is the maximum intensity. Introducing the frequency modulation parameter  $m = \delta \nu_m / \Delta \nu$ , we write  $I(t) = I_{max} \left( 1 + q m^2 \cos^2(2\pi f_m t) \right)$  where the IM parameter q is given by

$$q = -4\left(\frac{\Delta\nu}{\Delta\nu_{FP}}\right)^2. \tag{35}$$

## 7 HCOOH Hyperfine Structure

To the best of our knowledge, HCOOH hyperfine structure has only be investigated for a limited number of rotational levels in the vibrational ground state of the molecule, first by Chardon [8] and [7]. The spin of the proton attached to the oxygen (resp. carbon) atom are denoted  $I_1$  (resp.

Η	$M_{aai}$	$M_{bbi}$	$M_{cci}$
1	-6.904	0.7755	-1.305
2	-6.9275	1.0385	-0.8105

Table 4:  $M_{ggi}$  coefficients taken from [7]. Hydrogen 1 is bounded to the oxygen hydrogen 2 is bounded to the carbon atom.

J	$K_a$	$K_c$	$\langle J_a^2 \rangle$	$\langle J_b^2 \rangle$	$\left\langle J_{c}^{2}\right angle$	$M_1$	$M_2$
21	2	20	4	387(11)	71(11)	180(9)	317(11)
21	3	19	9	353(10)	100(10)	81(9)	223(11)

Table 5: Extrapolated averaged values of the square of angular momentum components and extrapolated  $M_1$  and  $M_2$  coefficients.

 $I_2$ ). The coupling scheme is  $\mathbf{J} + \mathbf{I_1} = \mathbf{F_1}$  and  $\mathbf{F_1} + \mathbf{I_2} = \mathbf{F}$ . Hyperfine structure splits each HCOOH rotational level into four sublevels given by

$$E_1 = \frac{M_1 + M_2}{2(J+1)} + \frac{\delta}{2(J+1)(2J+3)}$$
(36)

$$E_{1} = \frac{M_{1} + M_{2}}{2(J+1)} + \frac{\delta}{2(J+1)(2J+3)}$$

$$E_{2,3} = -\frac{M_{1} + M_{2} + \delta}{4J(J+1)} \pm \frac{1}{4J(J+1)} \left[ (M_{1} + M_{2} + \delta)^{2} + 4J(J+1)(M_{1} - M_{2})^{2} \right]^{1/2}$$

$$E_{4} = -\frac{M_{1} + M_{2}}{2J} + \frac{\delta}{2J(2J-1)}.$$

$$(36)$$

$$E_4 = -\frac{M_1 + M_2}{2J} + \frac{\delta}{2J(2J-1)}. (38)$$

In these expressions.

- $M_{1,2} = \sum_{g=a,b,c} M_{gg1,2} \langle J_g^2 \rangle$ .  $M_{gg1,2}$  are the spin rotation constants, the label 1 (resp. 2) corresponding to the hydrogen bounded to the oxygen (resp. carbon) atom. We take the average between the experimental and theoretical values given in [7] (see Table 4).
- $\langle J_g^2 \rangle$  are the averaged values of  $J_g^2$  along the principal inertia axis. They are given in [8] for J=2 to 14 and small values of  $K_a$  and  $K_c$ . One can remark that  $\langle J_a^2 \rangle \approx K_a^2$  and that  $\langle J_b^2 \rangle$  is quadratic in  $K_c$  following the law  $\langle J_b^2 \rangle = 0.78(5)K_c^2 + 3.55(43)K_c + 1.12(75)$ . Finally,  $\langle J_c^2 \rangle$  is given by  $J(J+1) - \langle J_a^2 \rangle - \langle J_b^2 \rangle$ . Extrapolated values of  $\langle J_g^2 \rangle$  and  $M_{1,2}$  are given in Table 5.
- $\delta$  is the spin-spin interaction matrix element. It depends on J.  $\delta$  values given in [8] for J from 2 to 14 can be extrapolated with a quadratic law of the form  $1.84(7)J^2 - 43(6)$ . The extrapolation gives  $\delta = -769(27)$  kHz for J=21.

The resulting hyperfine splittings are given in Table 6. Figure 7 shows the stick spectrum evaluated using standard angular algebra formula (last equation in [8]). It shows that the main hyperfine components form a narrow quadruplet with peaks located at  $\Delta E_1 = -4.4$  kHz,  $\Delta E_2 = 0.2$  kHz,  $\Delta E_3 = 0.0$  kHz and  $\Delta E_4 = 4.6$  kHz from the hyperfine-less transition frequency, with respective weights 1.044, 0.998, 0.998 and 0.951. The position of the peaks mainly depends on  $M_1$  and  $M_2$ values with 0.2 to 0.3 kHz contributions to the uncertainties but only hardly depends on  $\delta$  with less than 0.02 kHz contributions. The quadratic sum of all contributions is about 0.5 kHz.

These four main hyperfine components span less than 10 kHz (see Fig. 7). This is slightly smaller than the transit time HWHM of the spectrometer. Hyperfine structure is unresolved but may explain the non-zero residuals of  $S_{fit}$  we observe.

#### 8 Conclusion

Using a SI-referenced frequency comb allowed to carry out a study of systematic effects of the pressure, the intensity and the modulation depth on the formic acid rovibrational transition central frequency. The absolute central frequency has been determined with a relative statistic uncertainty

J	$K_a$	$K_c$	$E_1$	$E_2$	$E_3$	$E_4$
21	2	20	10.9	3.3	-3.0	-12.3
21	3	19	6.5	3.6	-3.1	-7.7

Table 6: Extrapolated hyperfine structure splittings in kHz.

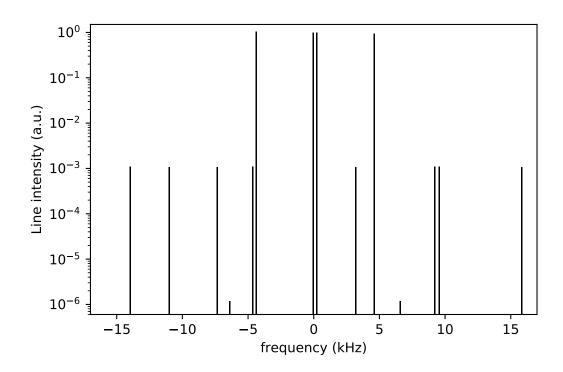


Figure 7: Stick spectrum of the fourteen hyperfine transitions in units of the reduced transition matrix element. Cross over lines are not shown.

model	nh of	linos	weight	G wi	dths	transit	0,	Ι	T_	β
moder	110 01	nb of lines   weights		S WI	uuns		α	$I_{S_1}$	$I_{S_2}$	1-
					kHz	$\mathrm{kHz}/\mu\mathrm{b}$	V	V	$V/\mu b$	
1	3		111	1	.nl	14.38(15)	13.60(10	0.202(8)	1.408(18)	0.017(7)
2	3	3	121	] ]	nl	16.64(25)	14.21(15	0.218(21)	1.494(39)	0.010(23)
3	3	3	121			19.68(85)	16.14(64	)	2.139(339)	0.124(227)
4	1	_	1			20.62(86)	16.09(63	)	2.212(364)	0.139(240)
5	3	3	111			19.66(84)	15.95(55	)	2.252(364)	0.031(213)
6	2 11			nl	17.28(21)	14.52(13	0.264(25)	1.802(41)	0.029(24)	
7	2		12		nl	14.53(15)	13.49(11	0.185(8)	1.362(19)	0.019(7)
model	$\sigma_A$	$\sigma_B$	$\sigma_C$	$\sigma_D$	qua	dr. sum				
1	2.84	0.97	0.94	0.87	3	3.26%				
2	2.94	0.90	0.89	1.29	3	8.45%				
3	3.41	1.70	1.75	4.52	6	6.17%				
4	3.26	1.58	1.63	4.38	5	5.91%				
5	3.52	1.69	1.78	4.62	6	5.31%				
6	2.97	1.03	1.03	1.76	3	3.75%				

Table 7: Different line shape models, transit HWHM, pressure broadening coefficient, saturation intensity(ies),  $\beta$  given with their statistical uncertainties given by the covariance matrix. Column widths: n=narrow, l=large. The models 6 and 7 consider two superposed lines.

3.26%

0.94

2.83

7

0.91

0.95

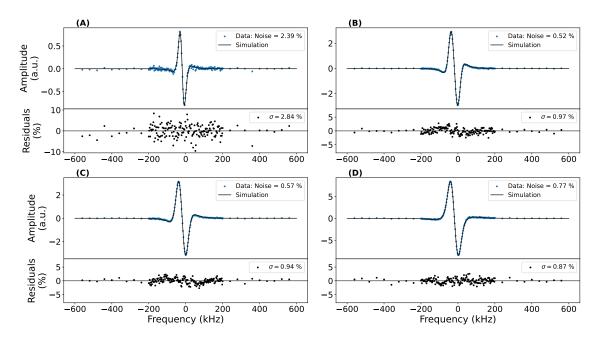


Figure 8: Experimental spectra same as in figure 3 compared to the corresponding generated spectra from the simulation model. The experimental triplets of pressure, power and modulation depth determine the values of  $m_1$  and  $m_2$  in eq. 7. The offset central frequency, global amplitude and vertical offset are adjusted. The simulated spectra are in very good agreement with experimental spectra on the entire range of values of experimental parameters.

less than  $10^{-12}$ , which is the main objective required to the next study on  $\mathrm{H_2^+}$  molecule. The residuals obtained from experimental profiles fitted by various models have enabled to develop a theoretical model taking into account of combined effects of the modulation depth, the intensity modulation induced by the frequency modulation and the hyperfine structure on the observed line shapes. This study allowed us to fix bias concerning the determination of optimal parameters involved in the two-width model and then estimate a limit width due to the transit time compatible with its expected value. This model reproduces very well the observed widths and experimental line shapes. The hypothetical origin of distinct widths due to the hyperfine structure may be tested by carrying out a similar study on a molecule which does not have a hyperfine structure. If the line shape distortions are explainable by contributions of different widths, then the hypothesis would be rejected.

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