

Accurate Prediction of Adiabatic Ionization Energies for PAHs and Substituted Analogues

Jérémy Bourgalais, Xavier Mercier, Muneerah Mogren Al-Mogren, Majdi

Hochlaf

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ABSTRACT

 Accurate calculation of AIEs for PAHs and their substituted analogues is important for understanding their electronic properties, reactivity, stability, and environmental/health implications. This work demonstrates that the M06-2X density functional theory (DFT) method performs well in predicting the adiabatic ionization energies (AIEs) of polycyclic aromatic hydrocarbons (PAHs) and related molecules, outperforming common DFT methods and competing in accuracy to the highly accurate CCSD(T)-F12 method. The accuracy of M06-2X is attributed to its large quantity of Hartree-Fock (HF) exchange and its ability to describe diffuse wave functions, which may make it suitable for predicting electronic properties associated with Rydberg-like electronic states. This suggests that M06-2X, with an appropriate basis set, is a reliable and efficient method for studying the PAHs and related molecules in conjunction with experimental techniques. The set of molecules used in this work includes also PAHs with hetero-atoms that can be found in biofuels or nucleic acid bases, making it of great interest for photoionization experiments and mass spectrometry. Down the road, this method will help understanding the relationship of various PAHs to graphene, guiding material research or electronic applications, and validating theoretical calculation methods.

 Polycyclic aromatic hydrocarbons (PAHs) are a type of organic compound composed of fused 36 aromatic rings. In PAHs, all carbon atoms are sp² hybridized, resulting in a highly stable and fully conjugated delocalized aromatic π-electron system, which allows for a planar structure, assuming there are no steric repulsions present. PAHs are produced during incomplete combustion of organic 39 matter and can be found in various environmental sources such as air, food, water, and soil.^{1–3} Due to their wide distribution and potential toxicity, PAHs and their formation mechanisms have been extensively investigated in fields such as atmospheric sciences,^{4,5} astrochemistry,^{6–8} combustion 42 science, $9-13$ and material sciences.^{14,15}

43 The ionization energy is a fundamental electronic property that plays a pivotal role in comprehending the properties and behavior of PAHs, as well as other organic compounds and materials. Precise predictions of PAH ionization energies can yield valuable insights into their chemical reactivity, as these energies are directly correlated to molecular stability and reactivity. Knowledge of PAH ionization energies can guide the design of novel materials targeting desired electronic properties and aid in the interpretation of experimental data, such as spectroscopic measurements and chemical reactions involving PAHs. Moreover, the ionization energy is closely intertwined with the electronic structure of PAHs, as it reflects the distribution and energy levels of molecular orbitals, as well as the stability of charged species. Understanding the electronic structure of PAHs is crucial for predicting their optical properties, electronic transport properties, and environmental behavior. Accurate predictions of ionization energies serve as a foundation for elucidating the behavior of PAHs in various systems and applications, and facilitate the optimization of PAH-based materials for diverse technological and environmental purposes.

 The ionization energy of PAHs can be investigated through a combination of experimental and theoretical approaches. Experimental methods such as photoionization mass spectrometry and photoelectron spectroscopy involve exposing molecules to soft photon sources, typically in the vacuum ultraviolet (VUV) range, which promotes electrons from the ground state of neutral species to higher-energy states of the ionized respective compounds. By analyzing the photon energy at which the first ionization event occurs and examining the resulting mass spectra for fragmentation patterns and relative abundances of the ionized fragments, the ionization energies, as well as the molecular structure and properties, can be estimated. Photoelectron spectra can also provide information about the kinetic energies of the ejected electrons, which can offer insights into the 65 ionization energy and electronic structure of PAHs. $16-21$

 Theoretical calculations using quantum chemistry methods also complement experimental measurements by providing estimates of the ionization energy of molecules. These methods involve solving the electronic Schrödinger equation for the molecule and obtaining the ionization energy from the energy difference between the neutral and ionized states. Historically, the "gold standard"

 quantum method for determining ionization energies of monoconfigurational molecular systems has been the coupled cluster method with singles, doubles, and perturbative triples ((R)CCSD(T)) in conjunction with the aug-cc-pVTZ atomic basis set, which is known for its high accuracy and 73 predictive potentialities.^{22,23} However, (R)CCSD(T) has a computational cost that scales steeply with the size of the system as it involves calculating higher-order excitations and requires extensive memory and storage, making it impractical for studying large molecules such as PAHs. Instead, 76 density functional theory (DFT)^{24,25} is a popular alternative to coupled clusters methods due to its computational efficiency and relatively good accuracy for a wide range of systems. However, despite its widespread success, the use of approximate exchange-correlation functionals provide different 19 levels of accuracy, depending on the specific system being studied and the properties of interest.^{24,26} 80 In DFT, the electron density is treated as the basic variable, and the electron-electron correlation effects are approximated through the exchange-correlation functional.

 In recent years, advancements in DFT methods, such as hybrid functionals, have shown promise in 83 improving the accuracy of ionization energy calculations. However, the choice of functional can 84 significantly impact the accuracy of predictions, and it is important to carefully select an appropriate 85 functional for a given molecular system (see for instance Semmeq et al.²⁷).In particular, hybrid functionals combine local exchange-correlation functional of DFT with a fraction of the exact exchange energy calculated from Hartree-Fock (HF) theory. The amount of HF exchange used in hybrid functionals is analogous to the electronic correlation energy considered in CCSD(T) *ab initio* methods. Electron correlation effects can be significant in PAHs due to their large size and 90 delocalized π -electron systems, leading to strong static and dynamic correlation effects to be 91 accounted for. Among hybrid functionals, M06-2 X^{28} is known for its accuracy in predicting a wide range of molecular properties, including bond lengths, bond angles, reaction energies, and noncovalent interactions. For ionization energies, M06-2X has been identified as the most promising 94 functional within the Minnesota family²⁹ and exhibiting strong competitiveness with other 95 functionals.³⁰

 In this work, we present a significant breakthrough in computational chemistry by demonstrating that M06-2X DFT can accurately calculate the adiabatic ionization energies (AIEs) of PAHs and substituted analogues across a wide range of molecular systems, having masses from low molecular mass (<100 u) to relatively high atomic mass (400 u). This work also demonstrates that the performance of M06-2X is comparable, in this context, to the widely regarded high-level *ab initio* explicitly correlated method (R)CCSD(T)-F12, which is used as reference for quantum chemical calculations. Indeed, this approach in conjunction with a basis set of aug-ccp-V(X)Z quality allows predicting molecular properties as accurate as the standard coupled clusters approach extrapolated to the complete basis set (CBS) limit, whereas a strong reduction of computational cost (both CPU

- 105 time and disks occupancy) is observed.^{19,31,32} At the end of this manuscript, by employing the M06-2X
- 106 method, predictions of the AIEs of coronene-derivatives are given and compared to the referenced
- 107 AIE of graphene.
- 108
- 109 **Table 1.** Experimental reference AIE of naphthalene compared to the calculated AIEs using DFT and
- 110 coupled cluster approaches. For DFT computations, we described the atoms using the 6-311++G(d,p)
- 111 basis set.

113 Naphthalene ($C_{10}H_8$, m/z 128 a.u.) with its two fused benzene rings has first been chosen as a 114 benchmark system for validating theoretical models due to its well-defined and accurately 115 determined experimental AIE value. Indeed, the AIE of naphthalene was experimentally determined 116 to be 8.144 \pm 0.0009 eV using a two-color multiphoton ionization technique.³³ Table 1 compares the 117 reference AIE value of naphthalene to calculated values with some of the commonly used hybrid DFT

 functionals in quantum chemistry and computational chemistry along with composite methods and coupled clusters calculations (see computational methods section for more details).

120 M06-2X in conjunction with the 6-311++G(d,p) Pople basis set³⁴ has by far the most accurate AIE value among the DFT methods with similar basis set size and is in an excellent agreement with the reference AIE value. M06-2X includes 54% of HF exchange, which is higher than the amount of HF 123 exchange included in many other widely used hybrid DFT functionals, such as B3LYP^{35,36} (20%), PBE0³⁷ (25%), ωB97X-D³⁸ (10%). The higher amount of HF exchange in M06-2X can explain the present 125 accurate determination compared to other DFT methods for naphthalene.

- Interestingly, the AIE with M06-2X/6-311++G(d,p) is also more accurate than the values calculated with the composite methods (CBS-QB3, G4, Table 1). Typically, composite methods use a separate approach for geometry optimization and energy calculations. They employ high-level methods for energy calculations, while geometry optimization is performed using lower-level methods to reduce computational costs. The specific functional used for geometry optimization in composite methods 131 may vary depending on the composite method being used. Some commonly used methods, such as 132 the Gaussian-n (Gn) series of methods like $G4^{39}$, employ HF or post-HF methods, such as MP2 or 133 CCSD(T), for geometry optimizations. Other composite methods, such as the CBS-QB3^{40,41} technique, use semiempirical methods, such as AM1 or PM3, for geometry optimizations. Semiempirical 135 methods are approximate quantum mechanical methods that relay on simplified Hamiltonians and 136 empirical parameters to describe molecular electronic structure. In G4, the geometry optimization is typically performed using a high-level *ab initio* method, that includes electron correlation effects beyond the mean-field approximation of the HF theory. This may explain the good agreement between G4 and M06-2X/6-311++G(d,p) for the AIE of naphthalene and the overestimation with CBS- QB3. Finally, the accuracy of M06-2X/6-311++G(d,p) is comparable to (R)CCSD(T) calculations for the 141 AIE of naphthalene. The electronic structure based CBS extrapolation method typically involves using 142 data from multiple levels of theory and basis sets to estimate the energy at the complete basis set 143 limit, which is considered to be the most accurate result. In this case, if the CBS extrapolation was 144 based on energies obtained from CCSD(T) calculations with cc-pVDZ and cc-pVTZ basis sets, there could be some limitations associated with the accuracy of these lower-level calculations. Besides, (R)CCSD(T)-F12 is a highly accurate post-SCF (self-consistent field) method that includes electron correlation effects explicitly. Table 1 shows that M06-2X/6-311++G(d,p) performs even better than this reference ab initio method. It is possible that for the AIE of naphthalene, the level of electron correlation included in M06-2X/6-311++G(d,p) is sufficient to provide accurate results, while the higher-order correlation effects captured by (R)CCSD(T)-F12/aug-cc-pVDZ may not be as crucial. The choice of basis set can also impact the accuracy of calculated AIEs. (R)CCSD(T)-F12/aug-cc-pVDZ
- uses an augmented correlation-consistent basis set (in conjunction with the corresponding density

 fitting and resolution of identity basis sets), while M06-2X/6-311++G(d,p) uses a DFT-specific basis 154 set. The basis set size and quality can affect the description of electron orbitals and their energies, and can have an impact on the accuracy of calculated AIEs. Regarding the results, it seems that the basis set used in M06-2X/6-311++G(d,p) is better suited for describing the electronic structure of naphthalene in the context of AIE calculations.

 Figure 1. Calculated AIE of naphthalene using M06-2X with various Pople basis sets compared to the 161 referenced ionization energy of naphthalene from Cockett et al.³³ (horizontal dashed line).

 Basis set effects were probed to assess an appropriate level of theory for AIE calculations with the M06-2X functional and the 6-31G, 6-31G(d), 6-31+G(d), 6-311+G(d), 6-311+G(d,p), 6-311++G(d,p), 6- 311++G(2df,2p), and 6-311++G(3df,2dp) basis sets. Figure 1 shows minimal changes in the AIE of naphthalene when different basis sets are used beyond M06-2X/6-311+G(d) and that the AIE is even overestimated when the basis set is increased to M06-2X/6-311++G(3df,2dp). One can think that the distribution of electron density near the ionization site may also be overestimated by basis sets with more diffuse functions. Diffuse functions are designed to capture electron density that is spread out 170 over larger regions of space, including regions further away from the atomic nucleus. While they improve the accuracy of calculations involving long-range interactions and electron correlation, they 172 may potentially lead to an overestimation of the AIE in certain cases. When the ionization process involves removing an electron from a localized orbital, the inclusion of diffuse functions can artificially enhance the electron density in the vicinity of the ionization site, resulting in an exaggerated stabilization effect.

177 **Table 2.** Chemical structures of the molecular species investigated presently.

Table 3. Calculated AIEs (in eV) in this work of various PAHs and substituted analogues with M06-

2X/6-311+G(d), (R)CCSD(T)-F12/aug-cc-pVDZ and compared to reference experimental values from

the literature. The chemical structures are given in Table 2. A hyphen indicates either the failure of

convergence in the calculation or the absence of a reference for the AIE in the literature.

 To verify the suitability of the M06-2X/6-311+G(d) method for calculating the AIEs of various PAHs and their substituted analogues, a specific investigation was conducted. Initially, the validity of this method was established by successfully calculating the AIE of naphthalene. Subsequently, in order to comprehensively explore the AIEs of a wide range of aromatic molecules, 54 PAHs and substituted analogues with masses ranging from 67 atomic mass unit (u) to 1,176 u (as detailed in Table 3) were subjected to AIE calculations using the M06-2X/6-311+G(d) method. These calculated AIE values were then compared to both the corresponding experimental values published in literature and the AIE values determined using the (R)CCSD(T)-F12/aug-cc-pVDZ method, which is considered as the reference method for AIE calculations.

 Figure 2. Plot of the calculated AIE for various PAHs and substituted analogues (as specified in Tables 2 and 3) with M06-2X/6-311+G(d) as a function of the AIE calculated with (R)CCSD(T)-F12/aug-cc- pVDZ. The black line corresponds to the linear regression of the data. The green area shows a confidence level to 95% and the blue line are prediction bands. The corresponding values are listed in Table 3.

202 In Figure 2, a least-squares regression analysis is presented, showcasing the relationship between 203 the two sets of AIE values calculated using the M06-2X/6-311+G(d) and (R)CCSD(T)-F12/aug-cc-pVDZ 204 methods. Due to computational resource limitations, (R)CCSD(T)-F12 calculations were performed 205 bor molecules with molar masses up to 218 u. The high correlation coefficient (R^2 =0.998) observed in 206 these data demonstrates a strong correlation, reinforcing the trend observed for naphthalene. This finding indicates that the M06-2X/6-311+G(d) method is consistent and sufficiently reliable for 208 predicting the AIEs of these molecules.

214 To further expand the range of tested molecules and validate the reliability of the method, the comparison between calculated AIEs using the M06-2X/6-311+G(d) method was extended to experimental values reported in the literature. This included data from high-resolution photoelectron 217 photoion coincidence (PEPICO) spectroscopic studies (e.g., Laamiri et al.⁵¹ and Schleier et al.⁴⁸) as 218 well as information available in the National Institute of Standards and Technology (NIST) database.⁴² In this context, AIEs were calculated for 52 PAHs and substituted analogues, with molecular masses ranging from 67 u to 400 u, using the M06-2X/6-311+G(d) computational method as listed in Table 2. 221 The molecular structures of these compounds consist of multiple fused benzene rings, with some 222 containing heteroatoms such as nitrogen (N), oxygen (O), sulfur (S), and various functional groups (as 223 detailed in Table 2). Figure 3 illustrates the least-squares regression analysis performed between the experimental AIE values and the corresponding ones calculated using M06-2X/6-311+G(d). A high 225 incorrelation coefficient (R²=0.992) is obtained, indicating a strong correlation between the predicted AIE values using M06-2X/6-311+G(d) and the reference values from the literature within the energy 227 range of $6.5 - 9.4$ eV. Once again, this result provides further support for the remarkable reliability of M06-2X/6-311+G(d) in predicting the AIEs of PAHs and substituted analogues, from relatively small molecular systems to very large structures.

230 Tables 2 and 3 demonstrate that the predictive accuracy of the M06-2X/6-311+G(d) approach is 231 valuable for distinguishing between structural isomers of PAHs, such as pyrene and fluoranthene 232 (m/z 202), which have a similar chemical formula ($C_{16}H_{10}$) but differ in molecular structure. This 233 structural distinction impacts the chemical and reactive properties of these compounds. The 234 application of this theoretical method in investigating the formation of such isomers in 235 photoionization experiments is highly significant significance for gaining insights into reaction 236 mechanisms and exploring structure-property relationships.⁵⁵

237 As shown in the case of fluorenyl (m/z 165) and fluorene (m/z 166), resonance-stabilized radicals are 238 also one of the target of interest for this method with a high accuracy/time ratio. These radicals are 239 expected to be involved in the formation of soot particles whose formation mechanisms remain 240 incomplete.¹⁰ The use of this theoretical method would thus benefit the photoionization experiments 241 used for the characterization of large persistent radicals in nascent soot as well as obtaining 242 structural information on the aromatic units. 56

243

245 **Figure 4**. Comparison between the calculated AIEs of $C_{6n^2}H_{6n}$ (n = 1–4) species (red dots) using the 246 M06-2X/6-311+G(d) method and a reference value the ionization energy of graphene (black dashed 247 line)⁵⁷. Different fit of the data using a power function is represented by colored solid lines. Further 248 information can be found in the text.

250 In order to provide predictive insights, AIE calculations were conducted using the benchmarked M06- 251 2X/6-311+G(d) method for larger PAH systems that lack literature values. The focus was on 252 investigating a specific group of coronene-derivative species with a general $C_{6n^2}H_{6n}$ molecular 253 formula. These compounds share a specific structural pattern characterized by the arrangement of 254 six carbon atoms forming cyclic, aromatic configurations. In this work, the number of these cyclic 255 units, denoted as *n*, was varied from 1 to 4 (C_6H_6 to $C_{96}H_{24}$), allowing for the exploration of a range of 256 compounds from benzene to more complex structures: coronene, circumcoronene, and 257 circumcircumcoronene. The AIEs obtained from calculations for coronene-derived species were 258 compared to a referenced ionization potential of graphene: 4.57 \pm 0.05 eV.⁵⁷ The results, shown in 259 Figure 4, indicate that as the size of the PAHs increases, their AIEs approach the value of graphene. 260 This implies that the electrons become less tightly bound as the molecule size expands, indicating an 261 evolution in the electronic structure of the PAHs. This observation aligns with the expectations 262 derived from the Hückel simple model for such aromatic compounds. The fact that the ionization 263 energies of PAHs approach that of graphene upon extending the π -aromatic system suggests a 264 convergence of their electronic properties from molecules to extended 2D materials. This may 265 indicate that large-sized PAHs exhibit electronic properties close to those of graphene, which can be 266 important for potential applications such as the development of new PAH-based materials with 267 interesting electrical conduction properties. The graphene-like electronic properties could also be 268 useful in the design of electronic devices or in areas such as nanochemistry, nanotechnology, 269 catalysis and energy conversion.

270 The AIEs of $C_{6n^2}H_{6n}$ (n = 1–4) species were fitted and extrapolated using a power function with the 271 form $y_0 + A x^B$. In this equation, the constant term (y_0) should correspond to the theoretical AIE of 272 graphene. Figure 4 presents four fits using different values for the larger masses, resulting in 273 significant variations in the y_0 term and on the B power in this fitting function. This discrepancy 274 arises because the calculated AIEs data primarily fall within the repulsive region of the fit rather than 275 the convergent flat part. Accordingly, even when considering a PAH greater than 1,000 u, the AIE 276 values remain considerably distant from that of graphene. Consequently, these species cannot be 277 considered as substitutes for graphene in terms of their physico-chemical properties.

278 Furthermore, it is important to acknowledge that the differences between the reference value of 279 graphene and the AIE values of PAHs could also be attributed to the presence of Jahn-Teller (JT) 280 distortions in the cationic structures of PAHs. Similar to coronene, as discussed in Tampieri et al.⁵⁸, 281 both circumcoronene and circumcircumcoronene have highly symmetric neutral ground states with 282 doubly degenerate HOMOs and LUMOs. When one electron is removed to form a cation, the partially 283 filled degenerate molecular orbitals lead to an electronic instability. Consequently, this cation 284 undergoes structural relaxation to minimize this instability. This relaxation manifests as a geometric distortion, breaking the molecular symmetry and reducing the energy of the system. In this work, by 286 considering the changes in bond length between the ionic and neutral forms, the relative elongation and restriction of the bond lengths are found to be consistent across different-sized PAHs but the 288 overall extent of the changes diminishes as the molecules become larger.

 To summarize this work shows that M06-2X outperforms the common DFT methods in predicting the AIEs of PAHs and substituted analogues. For those specific molecular systems, the level of accuracy 291 of M06-2X is comparable to the highly accurate (R)CCSD(T)-F12 which is time consuming as the size 292 of the molecular system increases. Comparison with existing AIE values from the literature shows that the M06-2X is also able to accurately predict the AIEs of large aromatic molecular systems. In this work the bigger structures whose AIE has been calculated includes 37 fused benzene rings and 295 96 carbon atoms. Such accuracy from M06-2X is mainly related to the large quantity of HF exchange 296 that this DFT contains compared to other DFTs. Another important aspect might also be the Rydberg character of certain excited states of PAHs which are highly excited electronic states with diffuse 298 electron densities.⁵⁹ M06-2X has been designed to describe diffuse wave functions which could $-$ potentially make it suitable for accurately predicting properties associated with Rydberg-like states.⁶⁰ This finding suggests that M06-2X, with the recommendation of a proper basis set, is a reliable and efficient method to be used in conjunction with experimental techniques for gaining a comprehensive understanding of the electronic properties of PAHs and related molecules. Particularly the low-lying Rydberg states, are not yet fully understood despite extensive research as the lowest members of the Rydberg series can deviate from pure Rydberg states due to the proximity 305 to the molecular core. This results in mixing with valence states of similar energy, leading to complex electronic structures and spectroscopic features, are of considerable interest for combustion, 307 atmospheric, molecular electronics⁶¹ and astrochemistry⁶².

 The set of molecules that was used to confront the predictions of M06-2X in this work includes PAHs with hetero-atoms that can be formed from biofuels or constitute nucleic acid bases. Thus having calculated AIEs to be able to identify those species in photoionization experiments using either coincidence detection schemes and mass spectrometry could be of great interest with many applications. Accurate calculation of AIEs for PAHs and their substituted analogues is important for understanding their electronic properties, predicting their reactivity and stability, designing functional materials, and evaluating their environmental and health implications. Since nucleobases (NBs) are the building blocks of both ribonucleic (RNA) and deoxyribonucleic acid (DNA), calculating the AIE of NBs is important for understanding the stability and reactivity of RNA and DNA, predicting DNA damage, studying DNA repair mechanisms, and aiding in drug design and development targeting nucleic acids. However, it is important to note that the accuracy and reliability of any computational method, including M06-2X, depend on the specific system being studied and the desired level of 320 accuracy. It is always prudent to validate the results of any computational method against experimental data or higher-level calculations to ensure the accuracy of the findings.

COMPUTATIONAL METHODS

324 All electronic structure and energy computations were performed using GAUSSIAN09⁶³ and 325 MOLPRO⁶⁴ suites of *ab initio* programs. To compute AIEs, full structure optimizations on neutral and 326 cationic species were carried out using tight conversion criteria and by formally lowering the symmetry in the calculations (i.e. in *C¹* point group). The optimized structure of the neutral species was used as the initial structure for the cationic forms. DFT and composite methods computations include full geometry optimizations and zero-point energy (Δ ZPE) correction. Coupled clusters methods, (R)CCSD(T)/CBS and (R)CCSD(T)-F12/aug-cc-pVDZ, as implemented in MOLPRO, were used for single point computations on top of the optimized neutral and cationic structures as determined 332 by M06-2X/6-311+G(d). For the respective AIEs, we also used the Δ ZPE, and frequencies as calculated 333 at the M06-2X/6-311+G(d) level of theory. Only for the biggest species calculated in this work, $C_{96}H_{24}$, the Δ ZPE was derived from frequency calculations at the M06-2X/6-31G(d) level of theory after optimization. The CCSD(T)/CBS energies were extrapolated from CCSD(T)/cc-pVDZ, and CCSD(T)/cc-336 pVTZ energies using the scheme suggested by Martin⁶⁵ and Feller and Dixon⁶⁶.

AUTHOR INFORMATION

- Corresponding authors
- **Jérémy Bourgalais** Université de Lorraine, CNRS, LRGP, F-54000 Nancy, France ; ORCID : 0000-0003-
- 4710-8943 ; Email : jeremy.bourgalais@cnrs.fr
- **Majdi Hochlaf** Université Gustave Eiffel, COSYS/IMSE, 77454 Champs sur Marne, France ; ORCID :
- 0000-0002-4737-7978 ; Email : majdi.hochlaf@univ-eiffel.fr
-

AUTHORS

- **Xavier Mercier**
- 347 Université de Lille, CNRS, PC2A, F-59000, Lille, France. Email:<xavier.mercier@univ-lille.fr>
- **Muneerah Mogren Al-Mogren**
- Department of Chemistry, College of Sciences, King Saud University, PO Box 2455, Riyadh 11451,
- Saudi Arabia. Email: mmogren@ksu.edu.sa
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