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Sources and sinks of interannual steric sea level variability

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6	Key Points:
7	• The main source of interannual steric sea level variance is the advection of the mean
8	density by interannual velocity anomalies.
9	• This source of variance is balanced by fluctuating net heat flux from the atmo-
10	sphere and by the fluctuating eddy induced velocities.
11	• The main source of interannual steric sea level variance is mostly sustained by the
12	wind variability via Ekman velocities.

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13 Abstract

Understanding the mechanisms of regional steric sea level variability is fundamental to 14 understand the regional sea level variability recorded by satellite altimetry for years and 15 to insure that future projections made by climate models are realistic. Here, we first de-16 velop a novel method based on steric sea level variance budget that allows to detect the 17 sources and sinks of the variability. Using the "Estimating the Circulation and Climate 18 of the Ocean" (ECCO V4) state estimate, we then show that interannual steric sea level 19 variability is mainly sustained by interannual fluctuating winds via Ekman transport al-20 most everywhere. The damping of the variability is made by both the interannual fluc-21 tuating net heat flux from the atmosphere, that largely dominates the atmospheric fresh-22 water fluxes, and the parametrized effect of eddies. It is also found that the parametrized 23 effect of diffusion on the variability is weak in most regions and that, although globally 24 weak, the fluctuations of atmospheric freshwater fluxes are a source of variance close to 25 the Equator in the Pacific ocean. 26

27 Plain Language Summary

Since the launch of Topex/Poseidon satellite in August 1992, sea level is routinely 28 measured every ~ 10 days at a nearly global coverage. For the first time, satellite altime-29 try data show that regional sea level trends experience large regional variability compared 30 to its global mean trend. If global mean sea level rise is a direct consequence of the on-31 32 going climate change, regional sea level change is more relevant for coastal impacts. Thus, investigating the regional sea level changes and its causes are of great importance for po-33 tential socio-economic impacts as 10% of the world's population live at less than 10 me-34 ters above sea level. Those investigations are also important for assessing the reliabil-35 ity of coupled climate models used to predict future sea level changes. In this study, we 36 develop a novel method based on the steric sea level variance budget to rigorously es-37 timate the sources and sinks of the interannual steric sea level variability so as to un-38 derstand the physical processes at play. We find the main source of interannual steric 39 sea level variance is the advection of the mean density by interannual velocity anoma-40 lies which are sustained by the wind variability via Ekman velocities. 41

42 **1** Introduction

Sea level change is one of the most direct consequences of the on-going climate change 43 and may have several socio-economical coastal implications (Nicholls & Cazenave, 2010). 44 Sea level change has been routinely recorded, with a nearly global coverage, by satellite 45 altimetry missions since the launch of Topex/Poseidon in 1992 and its successors (Jason-46 1/2/3, ENVISAT, ERS1/2, Saral/Altika, Cryosat-2, and more recently Sentinel6-MF, 47 etc). These high-quality data have demonstrated that the global mean sea level expe-48 riences a linear rise of 3.3 mm yr^{-1} since over the 1993-2015 period (WCRP Global Sea 49 Level Budget Group, 2018). The global mean sea level is a good indicator of global warm-50 ing but regional changes are most relevant for socio-economic impacts as roughly 10%51 of the world's population lived at less than 10 meters above sea level especially in low-52 lying coastal and deltaic regions (Durand et al., 2019; Neumann et al., 2015). For the 53 first time, satellite altimetry data have revealed that sea level is not rising uniformly and 54 presents large deviations from its global mean trend. Indeed, some regions experience 55 a linear rise 3 times as large as the global mean sea level trend [for instance in the west-56 ern Pacific ocean, in the Indian ocean, and in the south Atlantic ocean for the 2005-57 2013 period; Cazenave and Llovel (2010); Llovel and Lee (2015)]. 58

Superimposed to the long-term trends, regional sea level interannual variability can
 enhance or reduce regional sea level change. This interannual variability of sea level is
 driven by modes of climate variability such as El Nino Southern Oscillation, the North
 Atlantic Oscillation, and the Indian Ocean Dipole in the north Indian ocean (Llovel et

al., 2010). This interannual variability has a steric origin: it is due to variations of tem-63 perature and salinity affecting density, resulting from changes in surface wind stress and 64 buoyancy fluxes (Stammer et al., 2013). Therefore, investigating the interannual vari-65 ability of steric sea level and their mechanisms appears to be highly relevant for under-66 standing processes at play in regional sea level interannual variability. Moreover, under-67 standing the interannual variability of regional sea level is essential to interpret satellite-68 based sea level observations relevant to ocean climate and to assess the reliability of fu-69 ture sea level projections made by coupled climate models. 70

71 Physical processes contributing to interannual variability of regional steric sea level have been studied using an observationnally-constrained ocean state estimate produced 72 by the "Estimating the Circulation and Climate of the Ocean" (ECCO) consortium (Piecuch 73 & Ponte, 2011; Meyssignac et al., 2017). Piecuch and Ponte (2011) investigated the ten-74 dency changes of the vertically-integrated sea water density (i.e., steric sea level) due to 75 fluctuating potential temperature and salinity. The tendencies of both tracers (poten-76 tial temperature and salinity) are investigated by considering the conservation equation 77 representing the effects of ocean advection, diffusion, and surface exchanges. This study 78 suggested that the oceanic transports are more important than the surface buoyancy fluxes 79 in setting the interannual variations of the steric sea level and that diffusion plays an im-80 portant role at extratropical latitudes. This method has been widely used for identify-81 ing the physical processes at play in steric sea level interannual variability. However, this 82 method presents some limitations as we cannot assess if the physical processes at play 83 create or reduce the interannual steric sea level variability, in other words, if the phys-84 ical process is a source or a sink of the interannual steric sea level variability. 85

Here we develop a novel method, based on the budget of the interannual steric sea 86 level variance, to rigorously compute its sources and sinks and better understand its mech-87 anisms. For this purpose, we use the ECCO V4 state estimate (Forget et al., 2015). The 88 steric sea level variance budget is inspired from budget of density variance that were orig-89 inally used to study the drivers of a multidecadal mode of variability in idealised sim-90 ulations of the North Atlantic (Colin de Verdière & Huck, 1999; Arzel et al., 2006), in 91 realistic configuration of the North Atlantic (Arzel et al., 2018; Gastineau et al., 2018), 92 and mooring observations (Sévellec et al., 2021). Temperature variance budget have also 93 been used in Hochet et al. (2020) to show that the non-linear transfer of temperature 94 variance is directed from low to high frequencies. Here we transpose and adapt this ap-95 proach to diagnose and partition as sources and sinks physical processes (advection, dif-96 fusion, and surface forcing) at play in the interannual steric sea level variability. 97

The remainder of this article is organized as follows: in section 2 we describe the method to obtain the steric sea level interannual variance budget. In section 3, we present the ECCO V4r3 state estimate. In section 4, the different terms of the steric sea level variance budget in the ECCO V4r3 state estimate are obtained. In section 5 we show how the steric sea level budget is related to the the more classical density variance budget already used in numerous previous studies. In section 6, we summarize and discuss the main conclusions of our study.

¹⁰⁵ 2 Method: steric sea level variance budget

Based on the hydrostatic and Boussinesq approximations, the barometrically-corrected sea level can be partitioned into local density change (due to change in temperature and salinity; this term is known as steric sea level) and local/regional mass change [known as manometric sea level, see Gregory et al. (2019) for more details on these terminologies].

$$\eta = \eta_{\text{steric}} + \eta_{\text{manometric}} = -\frac{1}{\rho_s} \int_{-H}^0 \rho \, \mathrm{d}z + \frac{P_b}{\rho_0 g},\tag{1}$$

where g is the acceleration due to gravity, H is the total ocean depth, ρ_s is a time and 111 spatially constant representative surface density, ρ is the in situ density, and P_b is the 112 ocean bottom pressure (Gill & Niiler, 1973). We use $\rho_s = \rho_0 = 1029 \,\mathrm{kg}\,\mathrm{m}^{-3}$ in the 113 remainder of this paper. Although manometric sea level variations are locally important 114 at high latitudes, steric sea level variations are the main cause of interannual sea level 115 variability in most regions (Lombard et al., 2005; Piecuch & Ponte, 2011; Carret et al., 116 2021) and is therefore the focus of this work. In what follows, we thus only consider the 117 variations of η_{steric} . 118

The first step in computing the interannual steric sea level variance budget is to decompose every variable X into a time mean, an interannual component, and a highfrequency component:

$$X = \overline{X} + X^{\text{inter}} + X^{\text{HF}},\tag{2}$$

where X is any time-dependent variable, \overline{X} represents the time mean of X over the considered period of time, X^{inter} the interannual component of X which contains all frequencies strictly lower than the seasonal cycle, and X^{HF} the remaining high-frequency component of X which contains all the resolved frequency higher than annual including the seasonal cycle. The time derivative of the steric sea level equation gives the local evolution equation of its variations:

$$\frac{\partial \eta_{\text{steric}}^{\text{inter}}}{\partial t} = -\frac{1}{\rho_0} \int_{-H}^{0} \frac{\partial \rho^{\text{inter}}}{\partial t} \,\mathrm{d}z,\tag{3}$$

where t is time. As mentioned in the introduction, the main novelty of this work is to compute the local variance budget of the steric sea level. Because we are interested in the mean sources and sinks over the considered period of time, the budget is obtained as the time average of the product of the interannual steric sea level equation and its evolution from Eq. (3):

$$\overline{\eta_{\text{steric}}^{\text{inter}} \frac{\partial \eta_{\text{steric}}^{\text{inter}}}{\partial t}} = \overline{\frac{1}{2} \frac{\partial (\eta_{\text{steric}}^{\text{inter}})^2}{\partial t}} = \overline{\frac{1}{\rho_0^2} \int_{-H}^0 \rho^{\text{inter}} \, \mathrm{d}z} \int_{-H}^0 \frac{\partial \rho^{\text{inter}}}{\partial t} \, \mathrm{d}z}.$$
 (4)

This demonstrates that this product is equal to the variations of the interannual steric sea level variance. To decompose the different terms of this budget, we thus need an evolution equation for ρ^{inter} . As in ECCO (Forget et al., 2015), we use the Jackett and Mcdougall (1995) equation of state for density to link the density to the potential temperature θ and the salinity S and pressure:

$$\rho = \rho(S, \theta, -\rho_0 g z), \tag{5}$$

where the pressure is assumed to be a function of only depth (z). Then, the time derivative of Eq. (5) gives the evolution equation for ρ as a function of θ and S:

$$\frac{\partial \rho}{\partial t} = \rho_0 \left(-\alpha \frac{\partial \theta}{\partial t} + \beta \frac{\partial S}{\partial t} \right)$$

$$= \underbrace{-\rho_0 \alpha \text{ADV}_{\theta} + \rho_0 \beta \text{ADV}_S}_{=\text{ADV}} \underbrace{-\rho_0 \alpha \text{DIF}_{\theta} + \rho_0 \beta \text{DIF}_S}_{=\text{DIF}} \underbrace{-\rho_0 \alpha \text{FLU}_{\theta} + \rho_0 \beta \text{FLU}_S}_{=\text{FLU}}$$
(6)

where $ADV_{\theta,S}$, $DIF_{\theta,S}$ and $FLU_{\theta,S}$ represent respectively the θ , S advection, the parameterized diffusion of temperature and salinity, and the net heat flux and freshwater fluxes from the atmosphere. ECCO V4r3 provides all the necessary terms to close the tem-

perature and salinity budgets. Temperature and salinity advection and diffusion are ob-

tained from the divergence of the corresponding advective flux and diffusive flux which

are direct outputs of the state estimate. Similarly, FLU_{θ} and FLU_S are also obtained from the direct outputs of ECCO V4r3. $\alpha = -1/\rho_0 \partial \rho/\partial \theta$ and $\beta = 1/\rho_0 \partial \rho/\partial s$ are respectively the thermal expansion and saline contraction coefficients obtained from the Jackett and Mcdougall (1995) equation of state for density. Note that the advection term regroups the resolved and parameterized eddy-induced transport; whereas the diffusion term regroups the isopycnal, diapycnal and convective mixing collectively. Using Eq. (6), the equation for the interannual variations of density is thus:

$$\frac{\partial \rho^{\text{inter}}}{\partial t} = \text{ADV}^{\text{inter}} + \text{DIF}^{\text{inter}} + \text{FLU}^{\text{inter}},\tag{7}$$

where ADV^{inter} represents, the effect of advective terms, DIF^{inter} the effect of diffusive

terms, and FLU^{inter} the buoyancy forcing from the atmosphere. Then, inserting Eq. (7)

¹⁵⁴ in Eq. (4) allows us to separate the steric sea level variance budget into advective, dif-¹⁵⁵ fusive, and atmospheric fluxes contributions:

$$\overline{\frac{1}{2}\frac{\partial(\eta_{\text{steric}}^{\text{inter}})^2}{\partial t}} = \overline{\text{ADV}_{\text{steric}}} + \overline{\text{DIF}_{\text{steric}}} + \overline{\text{FLU}_{\text{steric}}}$$
(8)

156 where:

$$\overline{Y_{\text{steric}}} = \frac{1}{\rho_0^2} \overline{\int_{-H}^0 \rho^{\text{inter}} \, \mathrm{d}z} \int_{-H}^0 Y \, \mathrm{d}z} \tag{9}$$

¹⁵⁷ Y represents either ADV^{inter}, DIF^{inter} or FLU^{inter}. The main advantage of this method ¹⁵⁸ (variance budget) compared to the direct budget of η_{steric} is that it gives the local sources ¹⁵⁹ and sinks of the variability: if $\overline{Y_{\text{steric}}}$ is positive (negative) then it is a source (sink) of ¹⁶⁰ steric sea level variance because it acts to increase (decrease) $\eta_{\text{steric}}^{\text{inter}}$ variance. Eq. (9) can ¹⁶¹ also be written as:

$$\overline{Y_{\text{steric}}} = \overline{\eta_{\text{steric}}^{\text{inter}} B} \tag{10}$$

where $B = \frac{-1}{\rho_0} \int_{-H}^{0} Y \, dz$. It is then apparent that $\overline{Y_{\text{steric}}}$ can be a source in two different cases: 1) $\eta_{\text{steric}}^{\text{inter}}$ is positive and B is positive or 2) $\eta_{\text{steric}}^{\text{inter}}$ is negative and B is negative. It is also interesting to note that it is the correlation between the vertical integral of the density anomaly and the vertical integral of the interannual anomaly of advection, diffusion, or buoyancy surface flux that creates a sink or a source of steric sea level variance. Therefore, in Eq. (9) ρ^{inter} and Y do not need to be correlated at the same depths to create a source or a sink.

The results of the steric sea level variance budget applied to ECCO V4r3 ouputs, as given by equation (8) will first be shown in section 4.1. Then the advective term of the budget ($\overline{\text{ADV}}_{\text{steric}}$) will be further decomposed into four different terms in section 4.2.

173 **3 Model**

We assess the steric sea level variance budget using the ECCO V4r3 state estimate 174 that covers the 1992-2017 period. This reanalysis is the output of the Massachusetts In-175 stitute of Technology general circulation model (MITgcm) assimilating available obser-176 vations for the period 1992 to 2017 (Forget et al., 2015). The advantage of this reanal-177 ysis is that it satisfies the equation of motion and conservation laws hence making it pos-178 sible to compute tracers budget. The solution used in this article is computed on the LLC90 179 grid which has an average horizontal resolution of 1° and 50 vertical levels. Outputs of 180 the model consist of one month average and the closed budget can be obtained for the 181



Figure 1. Time-variance of the interannual steric sea level (log scale, in m^2) obtained from the ECCO V4r3 state estimate and averaged over the period 1993-2014.

1993-2014 period. Thus we compute the steric sea level budget over this 1993-2014 period.
 riod.

Interannual anomalies are computed by removing the time mean seasonal cycle from the monthly time series, then the subannual signal is removed using a Lanczos low-pass filter with a 1 year cutoff frequency. The interannual variability defined here thus contains all periods longer than 13 months (including the regional long-term steric sea level trends).

The interannual steric sea level variance computed from the ECCO V4r3 estimate (Figure 1) shows, in agreement with previous studies (Piecuch & Ponte, 2011, for instance), the largest values at low latitudes in the equatorial Pacific and in the Indian ocean. At high latitudes, it is interesting to note that large interannual variability of the steric sea level are found in the Beaufort gyre of the Arctic ocean. Fukumori et al. (2021) have shown that interannual variations in halosteric sea level in this region are linked with wind driven Ekman transport.

¹⁹⁶ 4 Interannual steric sea level variance budget

In this section, we first present the decomposition of the interannual steric sea level variance budget as given by Eq. (8), then showderive the decomposition of the advective term and finally associate the advective source term with Ekman velocities.

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4.1 Interannual steric sea level variance budget

The interannual steric sea level variance budget indicates the relative importance of the different terms (Figure 2). $\overline{\text{ADV}_{\text{steric}}}$ is positive almost everywhere except in some localized regions of the western north Pacific low latitudes. The largest magnitudes are found in the eastern equatorial regions of the Pacific and Indian ocean. On the contrary, $\overline{\text{FLU}_{\text{steric}}}$ is negative and compensates $\overline{\text{ADV}_{\text{steric}}}$ almost exactly. Table 1 gives the global integral of the different terms of the interannual steric sea level variance budget. As expected from the maps, the largest source is the advection ($\overline{\text{ADV}_{\text{steric}}}$) with $4.8 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$



Figure 2. Terms of the interannual steric sea level variance budget (in $m^2 s^{-1}$) for total (top), diffusion (second line), advection (third line), and atmospheric fluxes (last line). The spatial integral obtained for each terms is given in table 1.

Term	$10^{-12} \text{ m}^2 \text{ s}^{-1}$	
Figures 2 and 3: $(\eta_s^{\text{inter}})^2$ budget		
$\frac{\frac{1}{2}\overline{\frac{\partial(\eta_s^{\text{inter}})^2}{\partial t}}}{\text{DIF}}$	-0.4	
$\frac{\overline{\text{ADV}_{\text{steric}}}}{\overline{\text{FLU}_{\text{steric}}}}$	4.8 -5.0	
$\frac{FLU_{steric}^{THETA}}{FLU_{steric}^{THETA}}$	-5.7	
FLOsteric 0.7 Figure 4: advection decomposition		
$\overline{\mathrm{ADV}^{1}_{\mathrm{steric}}}$	13.8	
$\mathrm{ADV}^2_{\mathrm{steric}}$	-1.4	
$\mathrm{ADV}^3_{\mathrm{steric}}$	1.1	
$ADV_{steric}^{\overline{4}}$	-8.7	

Table 1. Globally-averaged terms shown on figures 2, 3, and 4

and the largest sink is the atmospheric buoyancy flux ($\overline{\text{FLU}_{\text{steric}}}$) with $-5 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$. 208 The damping of steric sea level variations by atmospheric buoyancy flux is mainly as-209 sociated with the interannual variations of the net heat flux (see figure 3 and table 1) 210 but variations of freshwater fluxes play a non-negligible role close to the equator in the 211 Pacific ocean (acting as a source of variance). The net interannual atmospheric heat flux 212 thus act to decrease the temperature when temperature anomalies are positive and to 213 increase the temperature when temperature anomalies are negative. Overall, the main 214 balance is therefore between the advection, which is a source of variance in almost all 215 regions and the net atmospheric heat flux which is a sink of variance. This is one of the 216 main results of this study which we believe has never been shown before. The amplitude 217 of these two terms is almost one order of magnitude larger than the amplitude of the pa-218 rameterized diffusive term $(\overline{\text{DIF}_{\text{steric}}})$ and the time mean of the squared steric sea level 219 anomaly tendency $(\overline{\frac{\partial (\eta_s^{\text{inter}})^2}{\partial t}})$ (Fig. 2). The strongest magnitude of the time mean squared steric sea level anomaly is found at low latitudes in the western Pacific and has nega-220 221 tive values. These negative values are due to the decrease of the interannual variability 222 over the ECCO V4r3 period (1993-2014). The term $\frac{\overline{\partial (\eta_s^{\text{inter}})^2}}{\partial t}$ would be zero in a stable 223 climate (i.e. with a constant external forcing) and a time period sufficiently long to sam-224 ple all the internal variability. Here, these two conditions are not satisfied: the climate 225 is not stable because of anthropogenic climate change and the 22 years period (1993-2014) 226 is too short to capture all the internal variability. The decrease of $\frac{\overline{\partial(\eta_s^{\text{inter}})^2}}{\partial t}$ in the west-227 ern Pacific could therefore either be associated with anthropogenic climate change or with 228 decadal natural variability, but this question is outside the scope of this article. The dif-229 fusion term (DIF_{steric}) is at least one order of magnitude smaller than the advective and 230 atmospheric buoyancy flux terms and is weakly negative when integrated horizontally 231 (Table 1). 232

Several authors (Lombard et al., 2005; Llovel et al., 2010) have shown that the in-233 terannual steric sea level variations in the Equatorial Pacific are associated with the El 234 Niño-Southern Oscillation (ENSO). In the Indian ocean, the interannual variations of 235 the steric sea level have been shown to be associated with the Indian Ocean Dipole (IOD) 236 (Llovel et al., 2010). Here we thus show that the main balance of the ENSO and IOD 237 associated steric sea level variations is the forcing made by the advective term and the 238 damping made by variations of net atmospheric heat flux. 239



Figure 3. Decomposition of the atmospheric fluxes term ($\overline{FLU_{steric}}$, in m s⁻²) into a part associated with salinity due freshwater fluxes (top panel) and a part associated with temperature and due to the net heat flux from the atmosphere (bottom panel). The spatial integral of the 2 terms is given in table 1.

4.2 Decomposition of the advective term

The advection term ADV can be expressed as a function of in-situ density by computing the product of the 3D velocity \mathbf{v} and the gradient of the equation of state for density (5):

$$ADV = -\rho_0 \left(-\alpha \nabla \cdot \mathbf{v}\theta + \beta \nabla \cdot \mathbf{v}S \right) = -\nabla \cdot \mathbf{v}\rho_{\text{in situ}} - wg\gamma\rho_0 \tag{11}$$

where $\gamma = 1/\rho_0 \partial \rho / \partial p$ is the pressure expansion coefficient. Thus ADV represents the advection of in-situ density plus a term due to its pressure dependence. Assuming that γ is a constant, we can define a depth-dependent background density $\rho_B(z)$ that satisfies $\frac{\partial \rho_B}{\partial z} = \gamma g \rho_0$. Then, writing $\rho * = \rho_{\text{in situ}} + \rho_B(z)$, we have:

$$ADV = -\nabla \cdot \mathbf{v}\rho^* \tag{12}$$

which shows that ADV can be interpreted as the advection of the in-situ density corrected from its pressure dependence i.e. ρ^* . For the sake of conciseness, we drop the * superscript in what follows. Note that in practise, all terms in the following density advection decomposition are computed from the decomposition of the temperature and salinity advection given by the first equality in equation (11).

Using the timescale decomposition [Eq. (2)] from section 2, the interannual advective term that appears in Eq. (8) can be decomposed as:

$$ADV^{inter} = -\nabla \cdot (\mathbf{v}\rho)^{inter} = \underbrace{-\nabla \cdot \mathbf{v}^{inter}\overline{\rho}}_{=adv_1} \underbrace{-\nabla \cdot \overline{\mathbf{v}}\rho^{inter}}_{=adv_2} \underbrace{-\nabla \cdot \mathbf{v}^{inter}\rho^{inter}}_{=adv_3} + \underbrace{R^{HF}}_{=adv_4}, \quad (13)$$

where adv_1 is the advection of mean density by interannual anomalous velocities, adv_2 255 is the advection of interannual density by mean velocities, adv_3 is the non-linear self ad-256 vection of interannual density and adv_4 is the residual, containing the rectification ef-257 fect of higher frequencies due to the correlation of submonthly velocity and submonthly 258 density anomalies and the effect of Gent and McWilliams parameterized eddies (Gent 259 & McWilliams, 1990, GM hereafter). (The expression for adv_4 is given in Appendix A 260 for reference but is computed as a residual in practice.) Using Eq. (13), the advective 261 term of the steric sea level variance budget [see Eq. (8)] can thus be decomposed into 262 four terms as: 263

$$\overline{\text{ADV}_{\text{steric}}} = \sum_{i=1}^{4} - \frac{\overline{\eta^{\text{inter}}}}{\rho_0} \int_{-H}^{0} \text{adv}_i \text{d}z = \sum_{i=1}^{4} \overline{\text{ADV}_{\text{steric}}^i}$$
(14)

where the notation ADV_{steric}^{i} is given by formula (9) with $Y = adv_{i}$. The four terms 264 from the decomposition of the $\overline{\text{ADV}_{\text{steric}}}$ term are shown in Figure 4. In most of the re-265 gions, the advection of mean density by interannual velocity is positive although it can 266 be negative in some localized regions (e.g. in the eastern Equatorial Pacific). Globally, 267 it is the largest source of steric sea level variance (Fig. 4 top left panel) with a horizon-268 tal average of $13.8 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$. Locally this source term is balanced by both the ad-269 vection of ρ^{inter} by mean velocities and by the HF rectification term. However, globally, 270 the spatial integral of the two terms reveals that the main sink is the HF rectification 271 term (horizontal average: $-8.7 \times 10^{-12} \text{ m}^2 \text{ s}^{-1}$). Indeed, an important compensation occurs 272 between the spatial integral of ADV_{steric}^1 (source term) and the spatial integral of the 273 rectification effect of higher frequencies (sink term). This HF rectification term is mostly 274 the result of the GM parameterization of eddy induced velocities (not shown). Remark-275 ably, the compensation between the source ADV_{steric}^1 and the sink term due to the GM 276 parameterization of eddies is locally important in the Beaufort gyre in the Arctic ocean 277

where their sum almost cancel out. The term linked with the advection of interannual 278 density anomalies by mean velocities, i.e. ADV_{steric}^2 , is an important local sink of inter-279 annual steric sea level variance mainly in the western equatorial Pacific, it can also be 280 a source in the equatorial eastern Pacific and western Indian ocean. When horizontally 281 integrated ADV_{steric}^2 is weakly negative. The term linked with the non-linear self advec-282 tion of interannual density $(\overline{ADV}_{steric}^3)$ is, in most regions, negligible except at low lat-283 itudes where it is generally positive. Globally, the two main terms composing the ad-284 vection part of the interannual steric sea level budget are thus: 1) a source term made 285 by the advection of mean density by interannual velocities and 2) a sink term, mainly 286 due to the GM parameterization of eddy velocities. 287

4.3 Role of Ekman velocities in interannual steric sea level variability

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In this subsection, we show that ADV_{steric}^1 can be explained by the direct effect of wind via Ekman velocities. To this end, we compute the term of the steric sea level variance budget that corresponds to the transport of mean density by interannual Ekman velocities:

$$ADV^{Ekman} = \frac{\eta^{inter}}{\rho_0} \int_{-D_{ek}}^0 \nabla \cdot \mathbf{v}_{Ekman}^{inter} \overline{\rho} dz$$
(15)

where $\mathbf{v}_{\text{Ekman}}^{\text{inter}}$ is the 3D interannual Ekman velocity in the Ekman layer whose depth D_{ek} is assumed everywhere constant. We assume that the horizontal Ekman velocities are 0 below the Ekman layer (i.e. $z < D_{\text{ek}}$) and depth independent in the Ekman layer (for $0 > z > D_{\text{ek}}$) and given by:

$$(u_{\rm ek}^{\rm inter}, v_{\rm ek}^{\rm inter}) = \frac{1}{D_{\rm ek} f_0 \rho_0} (\tau_y^{\rm inter}, -\tau_x^{\rm inter})$$
(16)

where f_0 is the Coriolis parameter and $(\tau_x, \tau_y)(\underline{\tau_x^{\text{inter}}}, \underline{\tau_y^{\text{inter}}})$ are the zonal and meridional components of the interannual anomalies of momentum stress due to wind. Note that the momentum stress takes into account the effect of sea-ice at high latitudes. The vertical Ekman velocity in the Ekman layer is then obtained from the vertical integral of the continuity equation as:

$$w_{\rm ek}^{\rm inter}(z) = -z\left(\frac{\partial u_{\rm ek}^{\rm inter}}{\partial x} + \frac{\partial v_{\rm ek}^{\rm inter}}{\partial y}\right) \tag{17}$$

 $(\underline{u_{ek}^{inter}}, \underline{v_{ek}^{inter}}, \underline{w_{ek}^{inter}}) \underline{v_{Ekman}^{inter}} = (\underline{u_{ek}^{inter}}, \underline{v_{ek}^{inter}}, \underline{w_{ek}^{inter}})$ in then used to compute ADV^{Ekman} from equation (15). We choose to use $D_{ek} = 50 \text{ m}$. Because the Ekman velocities are inversely 302 303 proportional to $D_{\rm ek}$ and are vertically integrated over $D_{\rm ek}$, we find that ADV^{Ekman} is 304 almost independent of the choice of $D_{\rm ek}$ (tested values: $D_{\rm ek}$ between 20 m and 100 m) 305 as already noticed by Buckley et al. (2014) for the variation of temperature in the mixed 306 layer due to Ekman velocities. ADV^{Ekman} is shown in the top panel of figure 5. Values 307 are positive in most of the region and the largest magnitudes are found at low latitudes 308 in <u>qualitative</u> agreement with $\overline{\text{ADV}}_{\text{steric}}^1$ (top panel, Figure 4). Positive values of $\overline{\text{ADV}}_{\text{Ekman}}^{\text{Ekman}}$ 309 are also found in the Beaufort gyre in the Arctic ocean, suggesting that the surface mo-310 mentum stress is an important source of interannual steric sea level variance in this re-311 gion. The fact that $\overline{ADV^{Ekman}}$ is qualitatively similar to $\overline{ADV^{1}_{steric}}$ suggests that $\overline{ADV^{1}_{steric}}$ 312 is mainly contained to the surface Ekman layer. This is confirmed by the vertical inte-313 gral in the Ekman layer of the term associated with adv_1 (top panel of figure 5) i.e.: 314

$$-\frac{\overline{\eta^{\text{inter}}}}{\rho_0} \int_{-D_{\text{ek}}}^{0} \mathrm{adv}_1 \mathrm{d}z \tag{18}$$



Figure 4. Decomposition of the advective term of the interannual steric sea level variability budget (in $m^2 s^{-1}$) into: the advection of mean density by interannual velocity ($\overline{ADV_{steric}^1}$, top), the advection of interannual density by mean velocity ($\overline{ADV_{steric}^2}$, second row), the non-linear self advection of interannual density ($\overline{ADV_{steric}^3}$, third row), and the rectification effect of higher frequencies including the action of GM eddy-induced advection ($\overline{ADV_{steric}^4}$, bottom). The spatial integrals of the 4 terms are given in table 1. The sum of these 4 terms gives the total advection shown in Fig. 2, bottom left panel.

which is almost identical to $\overline{\text{ADV}}_{\text{steric}}^1$ (top panel, Figure 4). Note that we have also tested the reconstruction of $\overline{\text{ADV}}_{\text{steric}}^2$ using Ekman velocities, however, the agreement between the reconstruction and $\overline{\text{ADV}}_{\text{steric}}^2$ is low suggesting that other processes must be at play. This reconstruction is thus not shown.

In the Indian ocean, the main mode of interannual steric level variability is the In-319 dian Ocean Dipole (Llovel et al., 2010), this dipole is associated with large scale SST vari-320 ations forced by internnual wind variations (Saji et al., 1999). In agreement with this 321 wind forced mode of variability, the interannual wind variations act as a source of steric 322 sea level variability in the western part of the equatorial Indian basin. However, nega-323 tive values are found in the Eastern part, which suggests that, in this region, interan-324 nual wind variations may also act as a sink of interannual steric sea level variability. In-325 terestingly, the total effect of advection $\overline{\text{ADV}_{\text{steric}}}$ (see Figure 2) is always positive in the 326 equatorial Indian region suggesting that the sink effect of wind variations is balanced by 327 an advective source. Figure 4 reveals that both $\overline{\text{ADV}_{\text{steric}}^2}$ and $\overline{\text{ADV}_{\text{steric}}^3}$, respectively 328 the advection made by the mean velocity and the self advection have positive values in 329 this region and thus compensate the damping of interannual steric sea levels variabil-330 ity made by the wind. 331

5 Link between steric sea level variance budget and density variance budget

In this section, we show that the steric sea level variance budget developed in the 334 previous sections is proportional to the vertically integrated barotropic density variance 335 budget. As will be explained below, the barotropic density variance budget is a compo-336 nent of the density variance budget which was used in many past studies (e.g., Colin de 337 Verdière & Huck, 1999; Huck et al., 1999; Arzel et al., 2018; Gastineau et al., 2018) to 338 understand the mechanisms of a multidecadal mode of variability in the North Atlantic. 339 The use of the barotropic variance budget will allow us to interpret the different terms 340 of the steric sea level variance budget as transfers of density variance between different 341 density variance reservoirs. Additionally, density variance is close to the Quasi-Geostrophic 342 (QG) Available Potential Energy (APE), indeed, interannual QG APE is associated to 343 the interannual density variance through the following formula (see Vallis, 2017, for in-344 stance): 345

$$APE_{QG}^{inter} = \frac{1}{2} \frac{g^2 (\rho^{inter})^2}{\rho_0 N_s^2(z)},$$
(19)

where $N_s^2(z)$ is the horizontally averaged Brunt Vaisala frequency. However, because $N_s^2(z)$ is depth dependent, APE^{inter}_{QG} is proportional to the vertically integrated density variance only where the $N_s^2(z)$ depth dependency is negligible.

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5.1 Density variance decomposition

The interannual density anomaly can be decomposed into barotropic and baroclinic parts as:

$$\rho^{\text{inter}} = \rho_{\text{BT}}^{\text{inter}} + \rho_{\text{BC}}^{\text{inter}},\tag{20}$$

where ρ_{BT}^{inter} and ρ_{BC}^{inter} are the barotropic and baroclinic parts of ρ^{inter} , respectively, with $\rho_{BT}^{\text{inter}} \xrightarrow{\text{the vertically averaged density anomaly}}_{\text{opt}}$ (i.e., $\rho_{BT}^{\text{inter}} = \frac{1}{H} \int_{-H}^{0} \rho^{\text{inter}} dz$) and $\rho_{BC}^{\text{inter}} = \rho^{\text{inter}} - \rho_{BT}^{\text{inter}}$. Using the relationship between the density anomaly and the steric sea level given by Eq. (1), we have:

$$\rho_{\rm BT}^{\rm inter} = -\frac{\rho_0}{H} \eta^{\rm inter}.$$
 (21)



Figure 5. Top panel: same as $\overline{ADV_{steric}^1}$ (top panel, Figure 4) but where adv_1 is vertically integrated in the Ekman layer instead of over the full depth. Bottom panel: $\overline{ADV^{Ekman}}$ (in $m^2 s^{-1}$): term associated with the advection of the mean density by 3D Ekman velocities, vertically integrated in the Ekman layer [see formula Eq. (15)]. The grey band close to the equator corresponds to the region where the Ekman balance does not hold.

Thus, steric sea level anomalies can also be interpreted as barotropic density anomalies. 356 It should be noted that because it is well established that the interannual steric sea level 357 variations are mainly due to density anomalies close to the ocean surface (Willis et al., 358 2004; Llovel et al., 2013), the barotropic interannual density anomalies therefore also mainly 359 reflect surface density anomalies. Using equation (2) to decompose the density ρ into dif-360 ferent timescales and the vertical decomposition of the interannual density anomaly (Eq. (20))361 gives:

$$\rho = \overline{\rho} + \rho_{\rm BT}^{\rm inter} + \rho_{\rm BC}^{\rm inter} + \rho^{\rm HF}.$$
(22)

Using this decomposition, we show in Appendix B that the vertically integrated density 363 variance is the sum of four terms: 364

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$$\int_{-H}^{0} \overline{\rho^2} \,\mathrm{d}z = \int_{-H}^{0} \overline{\rho}^2 \,\mathrm{d}z + \int_{-H}^{0} \overline{(\rho_{\mathrm{BT}}^{\mathrm{inter}})^2} \,\mathrm{d}z + \int_{-H}^{0} \overline{(\rho_{\mathrm{BC}}^{\mathrm{inter}})^2} \,\mathrm{d}z + \int_{-H}^{0} \overline{\rho_{\mathrm{HF}}^2} \,\mathrm{d}z.$$
(23)

The first term on the rhs is the vertically integrated squared mean density, the second and third terms, the vertically integrated interannual density variance, split between its barotropic and baroclinic parts and the last term the high-frequency density variance which contains all resolved sub annual frequencies. These four reservoirs are schematically shown in Figure 6 as black boxes. The focus here is on all the transfers toward or from the barotropic density variance which is proportional to the steric sea level variance. Indeed, using Eq. (21), it is easily seen that:

$$\overline{\left(\eta^{inter}\right)^2} = \frac{H}{\rho_0^2} \int_{-H}^0 \overline{\left(\rho_{BT}^{inter}\right)^2} \,\mathrm{d}z.$$
(24)

Thus, the budget for the vertical integral of $\left(\rho_{BT}^{inter}\right)^2$ is the same as the budget for the steric sea level variance, up to a factor $\frac{H}{\rho_0^2}$. Note that we add "unresolved eddies" to the high-frequency density variance reservoir in Figure 6 to be coherent with the trans-365

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fer made by the parametrized GM eddy induced velocities, we thus implicitly assume that 368 the parametrized eddies have subannual frequencies. 369

5.2 Equation for the evolution of the barotropic density variance

An equation for the evolution of the interannual barotropic density is obtained by 371 vertically integrating the product of Eq. (7) and $\frac{1}{H}$: 372

$$\frac{\partial \rho_{\rm BT}^{\rm inter}}{\partial t} = ADV_{\rm BT} + DIF_{\rm BT} + FLU_{\rm BT}, \qquad (25)$$

where $X_{\rm BT} = \frac{1}{H} \int_{-H}^{0} X \, dz$ with X being ADV, DIF or FLU. Multiplying this equation by $\rho_{\rm BT}^{\rm inter}$ and integrating vertically give the evolution equation for the vertically integrated $\rho_{\rm BT}^{\rm inter}$ variance: 373 374 375

$$\int_{-H}^{0} \frac{\overline{1}}{2} \frac{\partial (\rho_{\rm BT}^{\rm inter})^2}{\partial t} \, \mathrm{d}z = H \overline{\rho_{\rm BT}^{inter} \mathrm{ADV}_{\rm BT}} + H \overline{\rho_{\rm BT}^{\rm inter} \mathrm{DIF}_{\rm BT}} + H \overline{\rho_{\rm BT}^{\rm inter} \mathrm{FLU}_{\rm BT}}.$$
 (26)

The first term on the rhs is the effect of advection on the interannual barotropic den-376 sity variance and is represented by the sum of four blue arrows in Fig. 6 (the decompo-377 sition of this term will be explained below). The second and third terms respectively rep-378 resent the effect of interannual barotropic diffusion (green arrow in Fig. 6) and interan-379 nual barotropic buoyancy flux from the atmosphere (red arrow in Fig. 6). Using the de-380 composition given by equation (13) in the advective term gives: 381



Figure 6. Schematic showing the four reservoirs under consideration here: the Vertically Integrated (VI) squared mean density (top box), the VI interannual barotropic (middle left) and baroclinic (middle right) density variance and the VI high-frequency density variance. The focus is on the interannual barotropic density variance (outlined by a thicker black box) because it is proportional to the interannual steric sea level variance. The red arrow represents the transfer of buoyancy from the atmosphere, the green arrow the diffusion effect and the blue arrows the effect of advection. Advection is decomposed into four terms 1,2,3 and 4 explained in the schematic. Note that because we attribute the term due to the GM parameterization of eddy induced velocities to the transfer between interannual and HF density variance, the HF density variance is assumed to also contains the unresolved eddies. The directions of the different arrows are chosen to represent the sign of each transfer when horizontally integrated over the total ocean area (see table 1). The transfer is a source (sink) when it is directed toward (from) the interannual barotropic density variance reservoir, the only exception is the interannual BT density variance convergence represented by blue arrow 2 which disappears when horizontally integrated.

$$H\overline{\rho_{\rm BT}^{\rm inter} \rm ADV_{BT}} = \overline{\rho_{\rm BT}^{\rm inter} \int_{-H}^{0} \mathrm{adv}_1 \, \mathrm{d}z} + \overline{\rho_{\rm BT}^{\rm inter} \left(\int_{-H}^{0} (\mathrm{adv}_2 + \mathrm{adv}_3) \, \mathrm{d}z\right)} + \overline{\rho_{\rm BT}^{\rm inter} \int_{-H}^{0} \mathrm{adv}_4 \, \mathrm{d}z}.$$
(27)

We interpret below the different terms of this decomposition.

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5.3 Transfer from the squared mean density

The product of $\int_{-H}^{0} adv_1 dz$ and ρ_{BT}^{inter} can be written as:

$$\overline{\rho_{\rm BT}^{\rm inter}} \int_{-H}^{0} {\rm adv}_1 \, {\rm d}z = -\int_{-H}^{0} \overline{\rho_{\rm BT}^{\rm inter}} {\bf v}^{\rm inter} \cdot \nabla \overline{\rho} \, {\rm d}z.$$
(28)

This term is interpreted as the transfer between the squared mean density reservoir and 385 the interannual barotropic density variance reservoir. It is represented by a blackblue ar-386 row number 1 between the vertically integrated squared mean density reservoir and the 387 barotropic interannual density variance reservoirs in Fig. 6. This term has been stud-388 ied by many authors because it has been identified as a possible source of multidecadal North Atlantic variability (e.g., Colin de Verdière & Huck, 1999; Huck et al., 1999; Arzel 390 et al., 2018; Gastineau et al., 2018). It is positive when the fluctuating transport of anoma-391 lous density ($\mathbf{v}^{\text{inter}} \rho_{\text{BT}}^{\text{inter}}$) is directed downward the mean density gradient ($\nabla \bar{\rho}$) i.e. when 392 $\overline{\mathbf{v}^{\text{inter}}\rho_{\text{BT}}^{\text{inter}}} \cdot \nabla \overline{\rho} < 0$. An intuitive interpretation is that, in this case, the fluctuating 393 part acts to weaken the mean density gradient by transporting positive density anoma-394 lies toward low values of mean density and negative values toward high values of mean 395 density. 396

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5.4 Barotropic density variance convergence and transfer between barotropic and baroclinic density variance

³⁹⁹ Using the vertical decomposition of the velocity and density into the advective term, ⁴⁰⁰ first without any timescale decomposition gives:

$$-\nabla \cdot (\mathbf{v}\rho) = -\nabla \cdot (\mathbf{v}_{\mathrm{BT}}\rho_{\mathrm{BT}}) - \nabla \cdot (\mathbf{v}_{\mathrm{BC}}\rho_{\mathrm{BT}}) - \nabla \cdot (\mathbf{v}_{\mathrm{BT}}\rho_{\mathrm{BC}}) - \nabla \cdot (\mathbf{v}_{\mathrm{BC}}\rho_{\mathrm{BC}}).$$
(29)

The vertical integral of the terms involving only one BC term is zero and we are thus left with the following expression for the barotropic part of the advective term:

$$\frac{1}{H} \int_{-H}^{0} -\nabla \cdot (\mathbf{v}\rho) \,\mathrm{d}z = -\nabla \cdot (\mathbf{v}_{\mathrm{BT}}\rho_{\mathrm{BT}}) - \frac{1}{H} \int_{-H}^{0} \nabla \cdot (\mathbf{v}_{\mathrm{BC}}\rho_{\mathrm{BC}}) \,\mathrm{d}z.$$
(30)

⁴⁰³ Applying this vertical decomposition (30) to the sum of terms adv_3 and adv_4 from (13) ⁴⁰⁴ gives (see details in Appendix C):

$$\overline{\rho_{\rm BT}^{\rm inter} \int_{-H}^{0} ({\rm adv}_3 + {\rm adv}_4) \, \mathrm{d}z} = -\nabla \cdot \left(\mathcal{V}_{BT} \frac{\left(\rho_{\rm BT}^{\rm inter}\right)^2}{2} \right) - \frac{1}{H} \int_{-H}^{0} \overline{\rho_{\rm BT}^{\rm inter} \nabla \cdot \left(\mathcal{V}_{\rm BC} \rho_{\rm BC}^{\rm inter}\right) \, \mathrm{d}z}$$
(31)

where $\mathcal{V}_{BT} = \overline{\mathbf{v}_{BT}} + \mathbf{v}_{BT}^{inter}$ and $\mathcal{V}_{BC} = \overline{\mathbf{v}_{BC}} + \mathbf{v}_{BC}^{inter}$. In this formula, the first term on the rhs is the convergence of the interannual barotropic density variance by the sum of the mean barotropic and interannual barotropic velocities. This term disappears when integrated horizontally and thus cannot be a global source or sink of interannual barotropic density variance, it is represented by blue arrow number 2 in Fig. 6. The last term in the rhs is the transfer between the interannual baroclinic and barotropic density variance, it is represented by blue arrow number 3 in Fig. 6. The convergence and transfer

terms are plotted in Figure 7, the convergence of interannual barotropic density variance 412 is almost everywhere smaller than the transfer between the barotropic and baroclinic in-413 terannual density variance. This is not surprising since the baroclinic part of the circu-414 lation is much larger than the barotropic part almost everywhere. This term is quali-415 $\underline{\text{tatively}}$ similar to $\text{ADV}_{\text{steric}}^2$ (see Fig. 4), the term due to the advection of density anoma-416 lies by the time mean velocity, which dominates over ADV_{steric}^3 . It is mostly positive in 417 the Indian ocean, indicating that the baroclinic/barotropic transfer is, in this region, a 418 source of interannual steric sea level variance and have both sign in the equatorial Pa-419 cific ocean. Its spatial integral is equal to the sum of the spatial integral of terms $\overline{\text{ADV}_{\text{steric}}^2}$ 420 and $\overline{\text{ADV}}_{\text{steric}}^3$ and is thus weakly negative $(-0.3 \times 10^{-12} \text{ m}^2 \text{ s}^{-1})$. Note that in figure 7, 421 the formula from Eq. (31) has been rescaled by H/ρ_0^2 to correspond to the steric sea level 422 (following Eq. (24)) which is the focus of this article. 423

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5.5 Transfer to HF density variance and GM parameterization of eddy velocity

The last term is:

$$\rho_{\rm BT}^{\rm inter} \int_{-H}^{0} \mathrm{adv}_4 \,\mathrm{d}z = \rho_{\rm BT}^{\rm inter} \int_{-H}^{0} R_{\rm HF} \,\mathrm{d}z. \tag{32}$$

This term is a sink of barotropic variance (Fig. 6). The HF has already been identified 427 in Hochet et al. (2020, 2022) as acting as a damping effect on the lower frequencies. This 428 induces a density variance transfer from low to high frequencies, consistently with local 429 observations near the Drake passage (Sévellec et al., 2021). High frequencies associated 430 with smaller spatial scales tend to erode the large scale gradients associated with low fre-431 quencies. Because of the link between the density variance and the QG APE it can also 432 be thought as a direct APE cascade in the temporal scale as explained in Arbic et al. 433 (2014). As mentioned in last section, in ECCO V4r3, this transfer is dominated by the 434 term linked with GM eddy induced velocities rather by the resolved subannual circula-435 tion. This transfer is represented by the blue arrow 4 in Fig. 6. 436

437 6 Conclusion and Discussion

In this article we have developed a new diagnostic based on interannual steric sea 438 level variance budget that allows to robustly assess the sources and sinks of interannual 439 steric sea level variability. Using the ECCO V4r3 state estimate, we have shown that in 440 most regions, the main equilibrium is between the advection which is a source of steric 441 sea level variance and the buoyancy flux from the atmosphere which is a sink of variance. 442 The atmospheric buoyancy flux is largely dominated by the net heat flux exchange with 443 the atmosphere. When horizontally integrated, the diffusive term is one order of mag-444 nitude smaller than the advection and the atmospheric buoyancy flux. The advective term 445 can further be decomposed into four different terms which can be associated to differ-446 ent barotropic density variance transfers between different density variance reservoirs. 447 The decomposition of the advection reveals that the main source of interannual variabil-448 ity is the self advection of interannual barotropic density anomalies in the direction op-449 posite to the mean density gradient. In this process, the interannual circulation extracts 450 energy from the mean circulation by eroding the large scale mean density gradient. This 451 term is interpreted as a transfer of barotropic density variance between the mean and 452 the interannual density variance. The same term was previously found to be one of the 453 possible source of the Atlantic multidecadal variability (e.g., Arzel et al., 2018, and ref-454 erences therein). It is then shown that the velocities associated with this transfer are mainly 455 the result of interannual variations of the surface wind stress by means of the Ekman bal-456 ance. This source term is thus an intrinsic transfer of energy between two oceanic reser-457 voirs triggered by an atmospheric source of variability. 458



Figure 7. Decomposition of the sum of $\overline{\text{ADV}_{\text{steric}}^2} + \overline{\text{ADV}_{\text{steric}}^3}$ (in m² s⁻¹) as the sum of the convergence of interannual barotropic density variance due to mean and interannual velocities (top panel) and the transfer between the interannual barotropic and baroclinic density variance (bottom panel). The two corresponding formulas in Eq. (31) have been rescaled by H/ρ_0^2 (following Eq. (24)) for easier comparison with the steric sea level terms $\overline{\text{ADV}_{\text{steric}}^2}$ and $\overline{\text{ADV}_{\text{steric}}^3}$ from figure 4.

This advective source of interannual steric sea level variance is partly compensated 459 by the term linked with the GM parameterization of eddy induced velocities and by the 460 resolved subannual oceanic circulation. Resolved subannual frequencies and parametrized 461 eddies erode the interannual density gradient and thus act as a sink of interannual steric sea level variability. In this work high frequencies contain every subannual time scales 463 including the seasonal cycle. It is somehow more traditional in physical oceanography 464 to sum the seasonal cycle with the mean circulation to create a climatology. However 465 the non-linear transfer of QG-APE is from low to high frequencies and we thus believe 466 that it is more physically-sound to use the ordered frequency separation as done in this 467 work. Preliminary results not shown here further suggest that the energy exchange be-468 tween the seasonal cycle and interannual time scales is negligible. 469

Locally in the Equatorial Pacific, the transfer between the vertically integrated interannual barotropic and baroclinic density variance acts as important sink and source of steric sea level variance. However, when horizontally integrated this term is weakly negative and thus a global sink of interannual steric sea level variance. It is also interesting to note that, in the Equatorial Pacific, variations of the surface freshwater fluxes from the atmosphere act as a source of interannual steric sea level variance.

An important limitation of this study is the absence of explicit turbulence in the 476 ECCO V4r3 state estimate due to its laminar resolution and thus to its parametrization 477 through the GM scheme. We have assumed in this article that the parameterized eddies 478 are only made of subannual time scales which might not be true everywhere (i.e., per-479 manent eddies or eddies evolving on interannual time scales). Moreover, satellite data 480 and high-resolution numerical simulations suggest that high levels of steric sea level in-481 terannual variability occur in high energetic regions such as in western boundary cur-482 rents or in the Antarctic Circumpolar Current (see for instance figure 1 in Carret et al., 483 2021). Future work should thus include the effect of a turbulent field in order to (1) avoid 484 the use of an eddy parameterization that might introduce bias in the results and (2) un-485 derstand the mechanisms of steric sea level variance in these eddy-rich regions. Indeed, 486 Mesoscale eddies are expected to induce an inverse kinetic energy cascade in both space 487 and time that results in steric sea level variability at interannual time scales (Arbic et 488 al., 2014; Sérazin et al., 2015). However, the kinetic energy is not the most obvious proxy 489 for steric sea level variance compared to the APE, for which the cascade is direct (as sug-490 gested by the pioneering work on oceanic turbulence of Rhines (1977); Salmon (1978, 491 1980)). Hence, the HF rectification term by which the HF circulation act as a sink on 492 interannual steric sea level variability is expected to remain a sink even in the presence 493 of eddy turbulence, consistently with the present study. The role of the indirect cascade of kinetic energy for the steric sea level variance budget remains unclear and will there-495 fore be the subject of future work. Another potential limitation of this study is the use 496 of a reanalysis (ECCO V4r3) that adjusts the set of initial conditions, parameters, and 497 atmospheric boundary conditions to fit observations. The ECCO V4 state estimate, con-498 trary to others reanalysis products, obeys the law of physics, but the fitting procedure 499 might nonetheless induce bias in some of the correlations computed in this article. A per-500 spective would thus be to compare our results with that obtained in a forced or free oceanic 501 run containing eddy turbulence such as DRAKKAR ¹/12° (Molines et al., 2014) simu-502 lations for instance. 503

Finally the steric sea level variance budget appears to be a relatively simple tool to understand the mechanisms controlling the steric sea level variability. It could thus be used to help improve the representation of steric sea level variability in different models, for instance in climate models used for future climate projections, by facilitating the comparison of the representation of the physical mechanisms at play in these models.

Appendix A HF rectification term in the decomposition of the advection

The high frequency rectification term adv_4 from equation (13) is given by:

$$\operatorname{adv}_{4} = -\nabla \cdot (\mathbf{v}^{\operatorname{inter}} \rho^{\operatorname{HF}}) - \nabla \cdot (\mathbf{v}^{\operatorname{HF}} \rho^{\operatorname{inter}}) - \nabla \cdot (\mathbf{v}^{\operatorname{HF}} \rho^{\operatorname{HF}}) + R_{\operatorname{GM}}.$$
 (A1)

where $R_{\rm GM}$ represents the effect of the eddy-induced velocities associated with the GM parameterization (Gent & McWilliams, 1990).

513 Appendix B Squared density decomposition

The square of equation (22) is:

$$\rho^{2} = \overline{\rho}^{2} + (\rho_{\rm BT}^{\rm inter})^{2} + (\rho_{\rm BC}^{\rm inter})^{2} + (\rho_{\rm BT}^{\rm HF})^{2} + 2\overline{\rho} \left(\rho_{\rm BT}^{\rm inter} + \rho_{\rm BC}^{\rm inter} + \rho_{\rm BC}^{\rm inter} + \rho_{\rm BT}^{\rm inter} \rho_{\rm HF}^{\rm inter} + \rho_{\rm BC}^{\rm inter} \rho_{\rm HF}\right) + 2 \left(\rho_{\rm BT}^{\rm inter} \rho_{\rm BC}^{\rm inter} + \rho_{\rm BT}^{\rm inter} \rho_{\rm HF}^{\rm inter} + \rho_{\rm BC}^{\rm inter} \rho_{\rm HF}\right).$$
(B1)

515 Time averaging gives:

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$$\overline{\rho^2} = \overline{\rho}^2 + \overline{(\rho_{\rm BT}^{\rm inter})^2} + \overline{(\rho_{\rm BC}^{\rm inter})^2} + \overline{(\rho_{\rm BC}^{\rm HF})^2} + 2\overline{(\rho_{\rm BT}^{\rm inter}\rho_{\rm BC}^{\rm inter})}.$$
(B2)

- Then computing the vertical integral gives the decomposition of the vertically integrated
- 517 density variance into 4 components:

$$\int_{-H}^{0} \overline{\rho^2} \, \mathrm{d}z = \int_{-H}^{0} \overline{\rho^2} \, \mathrm{d}z + \underbrace{\int_{-H}^{0} \overline{(\rho_{\mathrm{BT}}^{\mathrm{inter}})^2} \, \mathrm{d}z}_{=H\overline{(\rho_{\mathrm{BT}}^{\mathrm{inter}})^2}} \, \mathrm{d}z + \int_{-H}^{0} \overline{(\rho_{\mathrm{BC}}^{\mathrm{inter}})^2} \, \mathrm{d}z + \int_{-H}^{0} \overline{(\rho_{\mathrm{HF}}^{\mathrm{HF}})^2} \, \mathrm{d}z. \quad (B3)$$

where the fact that $\int_{-H}^{0} \rho_{BT}^{\text{inter}} \rho_{BT}^{\text{inter}} dz = \rho_{BT}^{\text{inter}} \int_{-H}^{0} \rho_{BC}^{\text{inter}} dz = 0$, by definition of ρ_{BC}^{inter} , and $\int_{-H}^{0} \rho_{BT}^{\text{inter}} dz = H \rho_{BT}^{\text{inter}}$, by definition of ρ_{BT}^{inter} , have been used.

Appendix C Decomposition of the advective terms linked with adv₂ and adv₃

Inserting adv_2 in Eq. (30) gives:

$$\frac{1}{H} \int_{-H}^{0} -\nabla \cdot \left(\overline{\mathbf{v}} \rho^{\text{inter}} \right) \, \mathrm{d}z = -\nabla \cdot \left(\overline{\mathbf{v}_{\text{BT}}} \rho^{\text{inter}}_{\text{BT}} \right) - \frac{1}{H} \int_{-H}^{0} \nabla \cdot \left(\overline{\mathbf{v}_{\text{BC}}} \rho^{\text{inter}}_{\text{BC}} \right) \, \mathrm{d}z \tag{C1}$$

similarly, inserting adv_3 in Eq. (30) gives:

$$\frac{1}{H} \int_{-H}^{0} -\nabla \cdot \left(\mathbf{v}^{\text{inter}} \rho^{\text{inter}} \right) \, \mathrm{d}z = -\nabla \cdot \left(\mathbf{v}_{BT}^{\text{inter}} \rho_{BT}^{\text{inter}} \right) - \frac{1}{H} \int_{-H}^{0} \nabla \cdot \left(\mathbf{v}_{BC}^{\text{inter}} \rho_{BC}^{\text{inter}} \right) \, \mathrm{d}z \qquad (C2)$$

Then, summing these two equations, multiplying by ρ_{BT}^{inter} and time averaging, we recover equation (31).

526 Open Research

This study uses the following data: ECCO V4r3 which can be found here: https://eccogroup.org/products.htm .

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