

Association of Molecular Profiles and Mutational Status With Distinct Histological Lung Adenocarcinoma Subtypes. An Analysis of the LACE-Bio Data

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▶ To cite this version:

Prashanth Ashok Kumar, Maryam Karimi, Alina Basnet, Lesley K. Seymour, Robert Arthur Kratzke, et al.. Association of Molecular Profiles and Mutational Status With Distinct Histological Lung Adenocarcinoma Subtypes. An Analysis of the LACE-Bio Data. Clinical Lung Cancer, 2023, 24 (6), pp.528-540. 10.1016/j.cllc.2023.06.002 . hal-04229530

HAL Id: hal-04229530

https://hal.science/hal-04229530

Submitted on 9 Apr 2024

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2	Adenocarcinoma Subtypes. An Analysis of the LACE-Bio Data.
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38 Conflict of Interest/Disclosures

- 39 Prashanth Ashok Kumar: No disclosures or conflict of interest
- 40 Maryam Karimi: No disclosures or conflict of interest
- 41 Alina Basnet: No disclosures or conflict of interest
- 42 Lesley Seymour: Stock or Other Ownership: AstraZeneca, Consulting or Advisory Role:
- 43 Boehringer Ingelheim, Hanmi, Research Funding: Pfizer (Inst), AstraZeneca (Inst), Innate Pharma
- 44 (Inst), Oncolytics (Inst), Merck (Inst), Senwha (Inst).
- 45 Bob Kratzke: No disclosures or conflict of interest
- 46 Elizabeth Brambilla: No disclosures or conflict of interest
- 47 Thierry Le-Chevalier: No disclosures or conflict of interest
- 48 Jean-Charles Soria: Employment: MedImmune, Stock or Other Ownership:
- 49 AstraZeneca/MedImmune, Honoraria: Roche, AstraZeneca, Sanofi, Servier.
- 50 Lesley Seymour: Stock or Other Ownership: AstraZeneca, Consulting or Advisory Role:
- 51 Boehringer Ingelheim, Hanmi, Research Funding: Pfizer (Inst), AstraZeneca (Inst), Innate Pharma
- 52 (Inst), Oncolytics (Inst), Merck (Inst), Senwha (Inst).
- 53 Ken André Olaussen: No disclosures or conflict of interest
- 54 Siddhartha Devarakonda: No disclosures or conflict of interest
- 55 Ramaswamy Govindan: Honoraria: AbbVie, Genentech, Consulting or Advisory Role: Pfizer,
- 56 Celgene, AstraZeneca, AbbVie, Merck, Inivata, EMD Serono, Genentech, Bristol-Myers Squibb,
- 57 Nektar, Merck Serono, Adaptimmune, Phillips Gilmore Oncology, GlaxoSmithKline, Research
- 58 Funding: National Cancer Institute.
- 59 Ming-Sound Tsao: No disclosures or conflict of interest
- 60 Frances A Shepherd: Stock or Other Ownership: Eli Lilly, AstraZeneca, Honoraria: Eli Lilly,
- 61 AstraZeneca, Bristol-Myers Squibb, Roche, Merck Sharp & Dohme, Merck Serono, Boehringer
- 62 Ingelheim, Consulting or Advisory Role: Eli Lilly, AstraZeneca, Boehringer Ingelheim, Merck
- 63 Serono, Research Funding: Eli Lilly (Inst), Pfizer (Inst), Bristol-Myers Squibb (Inst),
- 64 AstraZeneca/MedImmune (Inst), Roche Canada (Inst), Merrimack (Inst)
- 65 Stefan Michiels: Consulting or Advisory Role: IDDI, Hexal, Johnson & Johnson, Genticel,
- Mabxience, Roche, QuintilesIMS, Patents, Royalties, Other Intellectual Property: Patent pending
- of a prognostic gene score in early breast cancer: WO2017EP66533.
- 68 Stephen Graziano: No disclosures or conflict of interest

69

70 Funding

- 71 This work was supported by the Upstate University Hospital Department of Medicine Grant and
- 72 National Institutes of Health Grant No. CA165958.

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Ethics approval

- 75 The study was approved by the French Data Protection Committee.
- 76 All human investigations were conducted after approval by a local Human Investigations
- 77 Committee and in accord with an assurance filed with and approved by the Department of Health
- 78 and Human Services, where appropriate. Data has been anonymized and no identifiable
- 79 information was used.

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MicroAbstract

- There are no reliable markers that would predict benefits of chemotherapy after surgery in limited
- 83 stage lung adenocarcinoma. We used data from a repository of pooled historical trials to answer
- 84 this question. It was noted that if TMB in high in these patients, chemotherapy use may have worse
- outcomes, and immune checkpoint therapy should be considered instead.

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Abstract

88 Background

- 89 Adjuvant chemotherapy (AC) is indicated for stage II and stage III lung adenocarcinomas (ADC).
- 90 Using the LACE Bio II database, we analyzed the distribution of various mutations across the
- 91 subtypes of ADCs and studied the prognostic and predictive roles of PD-L1, TMB and Tumor
- 92 Infiltrating Lymphocytes (TILs).

93 Methods

- Olinical and genomic data from the LACE Bio II data were extracted. Patients were divided into
- ADC subtypes, in which the grouping was done based on their known clinical behavior [Lepidic
- 96 (LEP), Acinar/Papillary (ACI or PAP), Micropapillary/Solid (MIP or SOL), Mucinous (MUC) and
- 97 Others]. Kaplan-Meier (KM) and log-rank test were used to compare survival based on PD-L1,
- 98 TMB, TILs and combinations of TMB with PD-L1 and TILs. Adjusted Hazard Ratios (HR) were
- 99 analyzed with Overall Survival (OS), Disease-Free Survival (DFS) and Lung Cancer-Specific
- 100 Survival (LCSS) as endpoints.

101 Results

- 102 375 ADC patients were identified. MIP/SOL was the subtype most commonly positive for various
- biomarkers. PD-L1 Negative/high TMB was associated with better outcomes in terms of OS
- 104 (HR=0.46 [0.23-0.89], p=0.021) and DFS (HR=0.52 [0.30-0.90], p=0.02), relative to PD-L1

- Negative/low TMB. High TMB predicted worse outcome with AC use in terms of OS (ratio of 105
- hazard ratio rHR=2.75 [1.07-7.04], p=0.035). Marked TILs had better outcome with AC for DFS 106
- (rHR=0.22 [0.06-0.87], p=0.031 and LCSS (rHR=0.08 [0.01-0.66], p=0.019) respectively. There 107
- was also a beneficial effect of AC among patients with Marked TILs/low TMB in terms of DFS 108
- (rHR = 0.06 [0.01-0.53], p=0.011).109

Conclusions

- High TMB has a prognostic role in resectable lung ADC. The high TMB group had a poor outcome 111
- with AC, suggesting that this group may be better served with immune checkpoint therapy. 112

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Clinical Practice Points

- It is known that adjuvant chemotherapy (AC) for limited stage lung adenocarcinoma (ADC) 115
- 116 decreased the risk of death by 5.4% at 5 years. Given the toxicity associated with chemotherapy
- use, especially in patients with significant comorbidities, it is important to identify biomarkers that 117
- can predict response to AC. We performed a comprehensive analysis of the LACE Bio II data to 118
- help answer this. It was noted that the Micropapillary/Solid (MIP/SOL) subtype of lung 119
- adenocarcinoma is commonly associated with genetic alterations, indicating that this subtype has 120
- a high chance of expressing biomarkers that can be targeted in clinical practice. High TMB was 121
- shown to be associated with better prognosis overall. This combined with the result that high TMB 122
- patients had a poor outcome with AC use, questions the clinical utility of chemotherapy in this 123
- scenario. It also invokes the question of whether immune checkpoint therapy alone would better 124
- serve these patients with high TMB. 125

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- Keywords: Non-Small Cell Lung Cancer (NSCLC), Lung Adjuvant Cisplatin Evaluation (LACE),
- PD-L1, Tumor Mutational Burden (TMB), Tumor Infiltrating Lymphocytes (TIL), Adjuvant 128
- Chemotherapy 129

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Abbreviations

AC- Adjuvant Chemotherapy; ADC-Adenocarcinoma, LACE- Lung Adjuvant Cisplatin Evaluation, LEP-Lepidic, ACI-Acinar, PAP-Papillary, MIP-Micropapillary, SOL-Solid, MUC-Mucinous, KM-Kaplan-Meier, PD-LI- Programmed death-ligand, TMB- Tumor mutational burden, TILS-Tumor Infiltrating Lymphocytes, HR-Hazard Ratio, rHR-Ratio of hazard ratio, OS-Overall Survival, DFS- Disease-Free Survival, LCSS-Lung Cancer-Specific Survival, NSCLC-Non-Small Cell Lung Cancer, IMC- International Multidisciplinary Classification, IASLC- International Association for the Study of Lung Cancer, ATS-American Thoracic Society, NCCN- National Comprehensive Cancer Network, ANITA-Adjuvant Navelbine International Trialist Association.

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Introduction

Through the last century, lung cancer has emerged as not only the most common cancer, but also the most common cause of cancer related mortality, accounting for nearly 25% of the annual cancer related fatalities ¹. While Small Cell Lung Cancer comprising 14% of all lung cancers is more aggressive, Non-Small Cell Lung Cancer (NSCLC) is more common and accounts for nearly 85% of the disease ^{2,3}. The International Multidisciplinary Classification (IMC) is a collaborative, multidisciplinary effort by the International Association for the Study of Lung Cancer (IASLC), the American Thoracic Society (ATS), and the European Respiratory Society to provide a robust classification of lung adenocarcinoma (ADC) along with identification of prognostic and therapeutic targets. The various subtypes of ADC developed by the IMC and is now incorporated into the current WHO classification⁴ (Supplement S1)⁵. Research over the past 2 decades has shown that adjuvant chemotherapy (AC) has benefits compared to surgery alone in treating Stage II and Stage IIIA NSCLC. The current guidelines of the National Comprehensive Cancer Network (NCCN) recommends the same, especially after achieving complete surgical resection ⁶. Immune checkpoint therapy have made significant progress, with agents like atezolizumab now found to be effective in the first line setting of all NSCLC subtypes with high PD-L1 ⁷. While the question of whether adjuvant or neoadjuvant chemotherapy is preferred remains at large, studies like McEnlay et al.'s review and the Lung Adjuvant Cisplatin Evaluation (LACE) have demonstrated survival advantage with adjuvant chemotherapy 8,9. In LACE, a large number (n = 4584) of completely resected NSCLC patients were analyzed from the 5 largest cisplatin-based adjuvant chemotherapy trials done after the 1995 NSCLC meta-analysis 8. The LACE bio consortium involves investigators from the International Adjuvant Lung Trial, Cancer and Leukemia Group B-9633, National Cancer Institute of Canada Clinical Trials Group JBR.10, and Adjuvant Navelbine International Trialist Association (ANITA) trials ¹⁰. LACE Bio contains comprehensive molecular characterization of patient samples from the above trials, except the ANITA trial as the tissue from the trial was exhausted ¹⁰.

PDL-1 expression is believed to be predictive for response to immunotherapy but is not established for chemotherapy. Tsao *et al.* showed that PD-L1 was neither prognostic nor predictive of adjuvant chemotherapy use. Tumor Mutational Burden (TMB) can be defined as the total number of gene mutations per coding area of the entire tumor genome ¹¹. In NSCLC, PD-L1 and TMB are independent biomarkers and have not been correlated with each other. Significant response rate with immunotherapy in different cancer types with higher TMB (> 10 Mut/Mb) has been studied and established. PD-L1 and TMB may overlap for prognostic and predictive response to immune checkpoint therapy but a distinct association has not been established for these in combination, especially for systemic chemotherapy ¹².

NSCLC management continues to reach new frontiers as evident by the ADAURA clinical trial showing promising results with adjuvant osimertinib in *EGFR* mutated disease ¹³. We wanted to contribute to the same end through our analysis using the LACE-Bio II data.

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Methods

173 Study Design/Patient characteristics

- We used data from the LACE-Bio II consortium including patients from 3 randomized clinical
- trials (JBR.10, IALT (International Adjuvant Lung Cancer Trial) and CALGB-9633) for whom
- 176 FFPE samples were available.
- ADC patients were extracted from LACE-Bio II data. Five subgroups were considered for the
- ADC patients: Lepidic (LEP), Acinar/Papillary (ACI or PAP), Micropapillary/Solid (MIP or
- SOL), Mucinous (MUC) and Other (Table 1). Combining the Acinar and Papillary subgroups and
- Micropapillary and Solid subgroups was performed based on the similar results in terms of survival
- outcomes in the literature^{14–17}. Clinical and genomic data were used to provide a descriptive
- analysis of lung ADC based on histologic subtypes, tumor characteristics, selected targetable gene
- mutations and biomarkers. We also investigated the prognostic utility of the combinations of PDL-
- 184 1, TMB and Tumor Infiltrating Lymphocytes (TILs) and if they can be used to predict benefit from
- 185 adjuvant chemotherapy.

Biomarkers, genes, and copy number aberrations

- We selected the following genes/biomarkers from LACE-Bio I: Mucin (0 vs. any positive
- staining), β-Tubulin (<180 vs. ≥180), p27 (<50 vs. ≥50), TILs (Marked vs. Non-marked/other),
- p53 (≤100 vs. >100), KRAS (Wilde type (WT) vs. Mutated (MUT)), EGFR (Wild type (WT) vs.
- 190 Mutated (MUT)), ERCC1 (≤1 vs. >1), Cyclin E (<40 vs. ≥40), p16 (<1 vs. ≥1), FAS (<240 vs.
- 191 ≥240), FASL (<240 vs. ≥240), BAX (<20 vs. ≥20), BRCA1 (<160 vs. ≥160), PD-L1 (negative:
- 192 <1% vs. positive: \ge 1%) and TMB (continuous and \le 10 vs. >10 Mut/Mb). More details on the
- techniques and thresholds used for these biomarkers can be found in previous LACE-Bio papers
- and has been summarized in the supplement¹⁸.
- We also used a set of targetable genes from the LACE-Bio II TMB paper (1,538 genes selected
- based on the Cancer Genome Atlas Pan-Cancer analysis) to compare their frequencies between the
- 197 4 partly combined ADC subtype groups: lepidic, acinar/papillary, micropapillary/solid, mucinous
- 198 (Others were not considered). Copy number aberrations were also considered to be compared
- 199 across the 4 ADC subgroups (supplement) $^{10,18-23}$.

Outcomes

- Following endpoints were considered as in previous publications from the consortium¹⁰:
 - Overall Survival (OS), defined as the time from randomization to death from any cause,
- Disease-Free Survival (DFS), defined as the time from randomization to first recurrence (locoregional or distant) or death from any cause,
 - Lung Cancer-Specific Survival (LCSS), defined as the time from randomization to death because of lung cancer.

Statistical analysis

- The distribution of each biomarker was compared across 4 ADC subgroups (excluding 'other'
- subgroup) using Chi-squared test for binary biomarkers and Kruskal-Wallis test for continuous
- 211 biomarkers.
- The frequency of mutated genes across the 4 ADC subgroups (excluding 'other') were compared
- and all tests were corrected for multiple testing (FDR correction).
- We investigated the association between Mucin expression status and KRAS/EGFR mutation,
- association between PD-L1 expression and TMB/TILs, association between TILs and TMB. These
- associations were assessed using separate logistic models with Mucin, PD-L1 expression and TILs
- as the response variable. Likelihood ratio tests (LRT) were used to compare the models with and
- without the biomarker of interest (KRAS/EGFR, TMB/TILs and TMB). All models were stratified
- by trial and adjusted on treatment arm (unadjusted model), adjusted on treatment arm and clinical
- 220 covariates which included age, sex, tumor stage, nodal stage, WHO performance status, surgery
- 220 Continues which included age, sex, tunior stage, hour stage, with performance status, surgery
- 221 type (fully adjusted model) and adjusted on treatment arm, clinical covariates and ADC subtype
- 222 (sensitivity analysis). TMB was introduced in regressions as a continuous predictor. To relax the
- assumption of linear relationship, the effect of TMB was also modeled in separate analyses using
- spline with 3 knots.
- The Kaplan-Meier (KM) method and log-rank test were used to compare survival curves between
- the following groups:

- the two groups high TMB and low TMB,
 - the two groups positive PD-L1 and low PD-L1,
- the four groups PD-L1 positive/high TMB, PD-L1 negative/high TMB, PD-L1 positive/low TMB, PD-L1 negative/low TMB,
- the two groups marked TILs and other,
- the four groups TILs marked/high TMB, TILs other/high TMB, TILs marked/low TMB,
- TILs other/low TMB.
- The biomarkers (PD-L1 expression, TMB, concomitant PD-L1 expression and TMB, TILs and
- concomitant TMB and TILs) were correlated to each endpoint using a Cox proportional hazards
- model, stratified by trial in all models, adjusted for treatment in the unadjusted model, adjusted for
- treatment, age, sex, tumor stage, nodal stage, WHO performance status, surgery type in the fully
- adjusted model, and adjusted for treatment, age, sex, tumor stage, nodal stage, WHO performance
- status, surgery type and ADC subtype in the sensitivity analysis. In the analysis of PD-L1 and
- TMB, the PD-L1 negative group, the low TMB group and the PD-L1 negative/low TMB group
- were considered as the reference category. In the analysis of TILs and TMB, the TILs other group,
- the low TMB group and the TILs other/low TMB group were considered as the reference category.
- To evaluate the predictive role of PD-L1 expression, TMB status, concomitant PD-L1/TMB, TILs,
- TMB status and concomitant TILs and TMB, a treatment-by-variable interaction was added to the
- 245 Cox models. We compared the treatment effect across groups of the variable of interest. For

example, for the concomitant PD-L1 expression and TMB status, we compared the treatment effect 246 across four concomitant PD-L1 expression and TMB groups by using the ratio of HRs (rHR): the 247 ratio of the HR for adjuvant chemotherapy versus observation in a given category (PDL-1 248 positive/High TMB, PD-L1 negative/High TMB, PD-L1 positive/low TMB) to the HR for 249 adjuvant chemotherapy versus observation in the PD-L1 negative/low TMB category. Likewise, 250 for the concomitant TILs and TMB status, we compared the treatment effect across four 251 concomitant TILs and TMB groups by using the ratio of HRs (rHR): the ratio of the HR for 252 253 adjuvant chemotherapy versus observation in each category (TILs Marked/High TMB, TILs other/High TMB, TILs Marked/low TMB) to the HR for adjuvant chemotherapy versus 254 observation in the TILs Other/low TMB category. A rHR < 1.0 indicates that the treatment effect 255 size was greater than that for PD-L1 negative/low TMB patients or TILs Other/low TMB patients. 256

Statistical significance was set at p < 0.05. All statistical analyses were performed using the 257 258

'Survival' and the 'survminer' packages in R software version 4.1.1.

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- From the LACE-Bio II data, a total number of 375 ADC patients were identified. Out of these, 357 261
- had correct inferred gender, 146 females and 211 males. Table 1 shows the characteristics of the 262
- 263 study population.

Results

- 264 The descriptive analysis of the biomarkers and genes from the LACE-Bio dataset has been
- represented in Table 2.A. Distribution of Mucin, β-Tubulin, p53, KRAS, Cyclin E and PD-L1 was 265
- significantly different across ADC subgroups. As anticipated, 95% of the MUC ADC stained 266
- positive for mucin. Among non-mucinous adenocarcinoma, ACI or PAP (65%) had the highest 267
- distribution of mucin positive patients. MIP/SOL was the subtype most commonly positive for 268
- biomarkers and genes, with significant difference seen in β-Tubulin (71%), p53(36%), Cyclin E 269
- (36%) and PD-L1 positivity (33%). KRAS mutation was seen most frequently in MUC (58%) 270
- followed by MIP/SOL (32%). Overall TMB ranged between 0.19 and 162.69 Mut/Mb. Median 271
- 272 TMB was highest in MIP/SOL at 6.15 Mut/Mb and lowest in MUC at 2.24 Mut/Mb. MIP/SOL
- also had a higher likelihood of having high TMB by both levels as described in Table 2.A. Based 273
- on results from Table 2.B. no significant association between Mucin production and KRAS/EGFR 274
- status was observed. 275
- Genetic analysis of the frequency of alterations in the Lace-Bio II data pool showed that 276
- CNTNAP5, DRD5 and EME1 were significantly differentially mutated between the 4 groups. 277
- CNTNAP5 and EME1 were frequently mutated in MIP/SOL, whereas DRD5 was mutated 278
- commonly in LEP. More details on the frequencies and p-values can be found in the supplement. 279
- Copy number aberrations were investigated across ADC subgroups and analysis did not show any 280
- region with significantly different copy number aberration frequency between 4 ADC subgroups 281
- (Supplementary materials). 282
- Supplement Table S2.A. shows the distribution of combinations of PD-L1 and TMB in the ADC 283
- subgroups from the Lace Bio II data, while the distribution of TILs and TMB is shown in Table 284

- S2.B (supplement). Adjusting for clinico-pathological factors and ADC subtypes, higher TMB is
- associated with better DFS (HR = 0.65 [95%CI, 0.43-0.99], p = 0.045) by sensitivity analysis
- 287 (Table 3.B). No significant prognostic effect was found for PD-L1 expression in terms of OS, DFS
- and LCSS. However, considering the concomitant PD-L1 expression and TMB status, analyses
- showed that concomitant PD-L1 negative/high TMB was associated with better outcomes in terms
- of OS (HR = 0.46 [95%CI, 0.23-0.89], p = 0.021) and DFS (HR = 0.53 [95%CI, 0.30-0.90], p =
- 291 0.02), relative to PD-L1 Negative/low TMB group. The results did not change adjusting for ADC
- subtypes (Table 3.A,B,C). The KM curves are shown in Figure S1 (supplement).
- 293 High TMB predicted worse outcome with adjuvant chemotherapy use in terms of OS (rHR = 2.75
- [95%CI, 1.07-7.04], p = 0.035) (Table 4.A). Analyses (unadjusted, fully adjusted and sensitivity
- 295 models) did not show any significant chemotherapy predictive effect of the PD-L1 expression and
- concomitant PD-L1 expression and TMB status in terms of OS, DFS and LCSS (Table 4.A,B,C).
- 297 Unadjusted KM plots did not suggest any beneficial predictive effects for any of the biomarkers
- on OS, DFS and LCSS, when compared to observation (Supplement Figure S2.A,B,C).
- On studying the prognostic effect of TMB and TILs, adjusted HR results suggested no significant
- 300 prognostic effect for TILs in terms of OS, DFS and LCSS. However, considering the concomitant
- 301 TILs and TMB status, sensitivity analyses alone showed that concomitant TILs other/high TMB
- 302 was associated with better DFS (0.65 [95% CI, 0.43-0.97], p = 0.037) (Tables 5.A,B,C and
- 303 supplement Figure S3).
- 304 Adjusted analyses (unadjusted, fully adjusted and sensitivity models) showed significant
- predictive effect of TILs, with beneficial effect of adjuvant chemotherapy among patients with
- and LCSS (rHR = 0.22 [95%CI, 0.06-0.87], p = 0.031) and LCSS (rHR = 0.08 [95%CI,
- 0.01-0.66], p = 0.019) respectively. In this cohort, it was once again seen from adjusted analyses
- that there was significant predictive effect of TMB in terms of OS with beneficial effect of adjuvant
- 309 chemotherapy among patients with low TMB (rHR = 3.1 [95%CI, 1.25-7.7], p = 0.015). Also,
- 310 there appears to be a beneficial effect of chemotherapy among patients with marked TILs/low
- 311 TMB in terms of DFS (rHR = 0.06 [95%CI, 0.01-0.53], p = 0.011). (Table 6.A,B,C and
- 312 Supplement Figure S4.A,B,C).

Discussion

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- 315 The LACE-Bio project provides an opportunity to analyze a large number of lung ADC with robust
- 316 clinical outcome data and detailed histopathological, immunohistochemical and molecular
- information ²⁴. Our descriptive analysis shows that MIP/SOL (48%) was the commonest lung ADC
- subtype. There was a near 50-50 distribution of patients who received adjuvant chemotherapy and
- those who did not. Our cohort had a significant proportion of low-risk lung ADC as the majority
- were T2 (81%) and N0 (58%). Most of the patients underwent lobectomy (82%).
- 321 Analysis of prognostic and predictive factors, especially in relation to the pathological features of
- a patient's disease is an important part of clinical decision making. With data from the Lace-Bio I
- and II, we analyzed the association of lung ADC subtypes with potential and commonly used

genetic factors and biomarkers 22. The lung ADC subtypes based on the current WHO 324 Classification is shown in Table 15. KRAS and EGFR mutations are mutually exclusive. Literature 325 has shown that lepidic subtype is associated with EGFR mutation, whereas solid and mucinous 326 subtypes are associated with lack of EGFR²⁵. Mucinous subtypes have shown strong association 327 with KRAS expression, while lepidic and acinar are associated with lower prevalence of KRAS 328 alterations ²⁵. While our results reiterate the association of KRAS mutation with mucinous ADC, 329 no association of EGFR with any of the ADC subtypes were observed. Although KRAS mutation 330 331 is associated with mucinous subtypes, our results show that high mucin levels were not differentially associated with KRAS alternations or absence of EGFR. 332

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MIP/SOL was the most common subtype that showed a significant association with biomarkers (β-Tubulin, p53, KRAS, Cyclin E, PD-L1 positivity, Median and high TMB). Several studies have revealed MIP and SOL histology to have a poor prognosis and worse outcomes in terms of recurrence free survival (RFS), disease free survival (DFS) and disease specific survival (DSS) ²⁶-²⁸. Reports have shown that MIP is more commonly associated with EGFR mutations than other subtypes. MIP patients with EGFR mutations also had better survival when treated with tyrosine kinase inhibitors or platinum based chemotherapy ²⁹. Prospective analysis using NGS sequencing have provided evidence for MIP to have higher TMB, T cell infiltration and chromosomal instability ³⁰. A prior analysis of Lace Bio studied the predictive value of ADC subtypes on benefit from adjuvant chemotherapy. DFS was poor with MIP/SOL compared to LEP, ACI or PAP. Survival advantage was observed with chemotherapy use in MIP/SOL patients (DFS: HR = 0.60 [95% CI, 0.44-0.82], p = <0.01, SDFS: HR = 0.59 [95% CI, 0.42-0.81], p = 0.01). Histologic subtyping may thus predict disease-specific outcomes with adjuvant chemotherapy use ³¹. We can hypothesize that MIP/SOL, although more aggressive, has a higher chance of exhibiting molecular targets and may be more amenable to systemic therapy. Even though prognostic or predictive utility of biomarkers among ADC subtypes cannot be concluded, understanding their distribution enables a better understanding of these histological entities.

In the Checkmate 568 trial, TMB > 10 mut/Mb was associated with a better response and outcome when Stage IIIB and IV NSCLC was treated with nivolumab and low dose ipilimumab in both PD-L1 >1% and <1% subgroups ³². TMB was traditionally measured by whole genome sequencing. In contemporary practice, targeted NGS like FoundationOne CDx is commonly used ³³. Higher TMB levels are associated with MIP, ACI or SOL subtypes ³⁴. This was consistent with our analysis. There was a near 50-50 distribution of TMB between high and low patients, among which MIP/SOL (59%) and ACI/PAP (47%) had the most patients with high TMB. Data form studies including past Lace Bio analysis indicate that the survival advantage of adjuvant chemotherapy is limited to tumors with N1 and N2 lymph node involvement. For N0 tumors, the benefit, albeit with a lower level of evidence, is limited to tumors greater than 4 cm in diameter. This is Stage IB in 7th edition and IIA in the 8th edition. Given significant toxicity with chemotherapy, besides the above-mentioned criteria, patient selection is based on comorbidities, recovery from surgery and functional status [8]. Despite the above evidence, the advantage of adjuvant chemotherapy for selected Stage IB, II and III NSCLC patients remains modest with a 5year survival benefit of 5% and hazard ratio of 0.89 [6,8]. Currently, there are no validated molecular tools to aid patient selection for adjuvant chemotherapy [9–12]. Targeted sequencing of 908 samples involving 1538 genes selected based on the Cancer Genome Atlas (TCGA) Pan-Cancer analysis were reported in a previous Lace Bio publication. Devarakonda *et al.* using the above showed that a high nonsynonymous TMB (>8 mut/Mb) was associated with a better prognosis. The benefit of adjuvant chemotherapy in terms of LCSS was more pronounced with low nonsynonymous TMBs (<4 mut/Mb). The survival benefit, however, may be due to the small size and was not significant when the samples were regrouped by tertiles. The effect was noted in the overall subgroup of the study which included both ADC and SCC. The survival benefit was not observed when ADC alone was considered. This study used a TMB cut off as follows: low with ≤ 4 mut/Mb, intermediate with > 4 and ≤ 8 mut/M and high with > 8 mutations/Mb, while we used ≤ 10 vs. > 10 which is more in line with current clinical practice 10 . Our cohort also included ADC alone and showed that low TMB predicted for better survival with adjuvant chemotherapy use for lung ADC. While PD-L1 lone and combinations of TMB and PD-L1 did not have any predictive effect, TILs however did have some significance in terms of DFS and LCSS.

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The PACIFIC trial established the benefit of durvalumab in Stage III NSCLC. Following definitive chemoradiation, durvalumab maintenance for 12 months had a significantly better PFS (44.2 vs 27%), median duration of response (72.8 vs 46.8%) at 18 months, median time to death and metastasis (23.2 months vs. 14.6 months; p<0.001) and OS (0.68[99.73% CI, 0.47 -0.997], p = 0.0025) 35,36. While the KEYNOTE-189 established the superior OS and PFS of single agent pembrolizumab with standard chemotherapy in the untreated metastatic setting ³⁷, the IMpower010 showed the benefit of adjuvant atezolizumab in Stage II-IIIA disease. Atezolizumab maintenance following adjuvant chemotherapy had better DFS (0.79 [95%CI, 0.64-0.96], p = 0.0205), with significant benefits in the PD-L1Tumor cells \geq 1% subgroup (0.66 [95%CI, 0.50-0.88], p = 0.0039) ³⁸. It is thus clear that TMB, PD-L1, and to a lesser extent TILs, are important factors in enabling providers to make a clinical decision. By providing information on the prognostic use and adjuvant chemotherapy predictive ability of the various combinations of these factors, a better understanding of the disease course may be possible. In our analysis, high TMB clearly had a survival advantage, establishing its role as a prognostic marker. The combination of PD-L1 negative/high TMB had better survival, but the exact clinical significance is uncertain and maybe because of the TMB being high. The high TMB group had a poor outcome with adjuvant chemotherapy use suggesting that this group may be better served with immune checkpoint therapy, rather than cytotoxic chemotherapy. TMB and PD-L1 combinations did not show any significance in predicting benefits of adjuvant chemotherapy. TILs alone did not have a significant prognostic survival advantage. TILs other/high TMB had some prognostic benefit, which could again be the result of TMB being high. Marked TILs did have a significance in predicting chemotherapy benefits in terms of DFS and LCSS, while marked TILs/low TMB had DFS benefit. This is hypothesis generating and can be the focus of future studies. Cancers with high TILs levels have shown to have higher sensitivity to chemotherapy in the past ^{39,40}. High TILs are considered a favorable prognostic marker for NSCLC 41. TILs thus have the potential to serve as a surrogate marker for a better outcome with adjuvant chemotherapy use in resectable lung ADC.

PD-L1 for pembrolizumab in modern practice is measured in terms of Tumor Proportion Score (TPS) using IHC like Dako PD-L1 IHC 22C3 pharmDx ⁴². PD-L1 IHC in the Lace Bio data was carried out on 4 µm sections, using the E1L3N rabbit monoclonal antibody (Cell Signaling,

- Danvers, MA) on BenchMark XT autostainer (Ventana Medical Systems, Tucson, AZ) ²¹, which
- 409 may yield differing results compared to current clinical assays ⁴³. This is the likely reason for a
- difference in percentage of PD-L1 positive patients seen in our analysis compared to contemporary
- clinical trials³⁷. This could be considered a limitation of our study. For several of the subtypes like
- 412 LEP and MUC, the sample size was limited. Since the trials were done during the pre-
- 413 immunotherapy era, the treatment selection was not prespecified and PD-L1, TMB and TILs
- analysis were done at a later point¹¹.
- 415 Our results reestablish and emphasize the application of the IASLC/ATS/ERS subtype
- classification and highlights their assessment in the context of presence or absence of prognostic
- and predictive biomarkers. MIP/SOL pattern is commonly associated with genetic alterations and
- shows positivity for several biomarkers. While high TMB is associated with better prognosis
- overall, its role in predicting survival with adjuvant chemotherapy is not established in lung ADC.
- 420 The survival benefit seen with combinations noted above may be attributed to the presence of
- 421 TMB being high in them. Marked TILs, although a small subgroup, may predict adjuvant
- 422 chemotherapy response 44. Patients with high TMB, given the poor outcome with adjuvant
- chemotherapy use, may be better served with immune checkpoint therapy. Further phase 3 studies
- are needed to establish this.

426 Acknowledgements

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- Our sincere thanks to the Upstate Cancer Center, the Upstate Department of Medicine grant, and
- 428 the LACE Bio consortium for enabling us to carry out this analysis.

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583 Tables

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585 586 Table 1. Demographic, clinical, and pathological characteristics of the study population.

	I
Lace-Bio II	(N = 357) (%)
Age	
< 55	119 (33)
55-64	131 (37)
>= 65	107 (30)
Gender	
Female	146 (41)
Male	211 (59)
ADC subtype	
Lepidic (LEP)	14 (4)
Acinar/Papillary (ACI or PAP)	139 (39)
Micropapillary/Solid (MIP or SOL)	173 (48)
Mucinous (MUC)	19 (5)
Other	12 (3)
WHO performance status	
0	196 (55)
1-2	159 (45)
missing	2(1)
T	
T1	52 (15)

T2	286 (81)
T3/T4	16 (5)
missing	3 (1)
N	
N0	206 (58)
N1	106 (30)
N2	42 (12)
missing	3 (1)
Stage	
IA	20 (6)
IB	181 (51)
IIA	25 (7)
IIB	81 (23)
IIIA	45 (13)
IIIB	2(1)
missing	3 (1)
Surgery type	
Lobectomy/Other	294 (82)
Pneumonectomy	63 (18)
Treatment arm	
Observation	179 (50)
Adjuvant chemotherapy	178 (50)
Trial	
CALGB	74 (21)
IALT	163 (46)
JBR10	120 (34)

Table 2. A. Distribution of various biomarkers and genes from the Lace-Bio data in the overall population and subgroups according to ADC histologies. B. Association between Mucin and KRAS/EGFR.

A	Overall (N = 357) (%)	Lepidic (N = 14) (%)	Acinar or Papillary (N = 139) (%)	Micropapillary or Solid (N = 173) (%)	Mucinous (N = 19) (%)	Other (N = 12) (%)	P value (except other)
Mucin							4e-04^
0	116 (32)	6 (43)	39 (28)	69 (40)	0 (0)	2 (17)	
1 (any positive staining)	207 (58)	4 (29)	90 (65)	90 (52)	18 (95)	5 (42)	
Non evaluable	34 (10)	4 (29)	10 (7)	14 (8)	1 (5)	5 (42)	

ß-Tubulin							5e-06^
0 (< 180)	115 (32)	7 (50)	57 (41)	36 (21)	12 (63)	3 (25)	
1 (>= 180)	208 (58)	6 (43)	70 (50)	123 (71)	5 (26)	4 (33)	
Non evaluable	34 (10)	1 (7)	12 (9)	14 (8)	2 (11)	5 (42)	
p27							0.6^
0 (< 50)	155 (43)	4 (29)	62 (45)	76 (44)	9 (47)	4 (33)	
1 (>= 50)	172 (48)	9 (64)	66 (47)	86 (50)	8 (42)	3 (25)	
Non evaluable	30 (8)	1 (7)	11 (8)	11 (6)	2 (11)	5 (42)	
TILs							0.7^
Marked	26 (7)	0 (0)	11 (8)	13 (8)	1 (5)	1 (8)	
Other	326 (91)	14 (100)	127 (91)	159 (92)	18 (95)	8 (67)	
Non evaluable	5 (1)	0 (0)	1 (1)	1 (1)	0 (0)	3 (25)	
p53							0.002^
0 (<= 100)	199 (56)	7 (50)	82 (59)	88 (51)	16 (84)	6 (50)	
1 (> 100)	97 (27)	1 (7)	34 (24)	62 (36)	0 (0)	0 (0)	
Non evaluable	61 (17)	6 (43)	23 (17)	23 (13)	3 (16)	6 (50)	
KRAS							0.04^
WT	238 (67)	9 (64)	98 (71)	116 (67)	7 (37)	8 (67)	
MUT	110 (31)	5 (36)	38 (27)	55 (32)	11 (58)	1 (8)	
Non evaluable	9 (3)	0 (0)	3 (2)	2 (1)	1 (5)	3 (25)	
EGFR							0.06^
WT	240 (67)	11 (79)	90 (65)	121 (70)	14 (74)	4 (33)	
MUT	36 (10)	2 (14)	21 (15)	12 (7)	0 (0)	1 (8)	
Non evaluable	81 (23)	1 (7)	28 (20)	40 (23)	5 (26)	7 (58)	
ERCC1							0.6^
0 (<=1)	13 (9)	0 (0)	7 (13)	6 (8)	0 (0)	0 (0)	
1 (> 1)	133 (91)	2 (100)	47 (87)	73 (92)	7 (100)	(100)	
Non evaluable	211 (59)	12 (86)	85 (61)	94 (54)	12 (63)	8 (67)	

Cyclin E							0.003^
0 (< 40)	186	7 (100)	81 (82)	83 (64)	11 (92)	4 (67)	
	(73)						
1 (>= 40)	68 (27)	0 (0)	18 (18)	47 (36)	1 (8)	2 (33)	
Non	103	7 (50)	40 (29)	43 (25)	7 (37)	6 (50)	
evaluable	(29)						
p16	0= (00)	1 (1 ()			- (10)	2 (22)	0.2^
0 (< 1)	97 (38)	1 (14)	32 (32)	57 (44)	5 (42)	2 (33)	
1 (>= 1)	157	6 (86)	67 (68)	73 (56)	7 (58)	4 (67)	
NI	(62)	7 (50)	40 (20)	42 (25)	7 (27)	((50)	
Non evaluable	103	7 (50)	40 (29)	43 (25)	7 (37)	6 (50)	
FAS	(29)						0.4^
0 (< 240)	252	9 (82)	104 (81)	124 (78)	12 (63)	3 (43)	0.4
0 (< 240)	(77)	9 (82)	104 (81)	124 (78)	12 (03)	3 (43)	
1 (>= 240)	74 (23)	2 (18)	25 (19)	36 (22)	7 (37)	4 (57)	
Non	31 (9)	3 (21)	10 (7)	13 (8)	0 (0)	5 (42)	
evaluable							
FASL							0.4^
0 (< 240)	167 (51)	3 (27)	69 (53)	83 (52)	9 (47)	3 (43)	
1 (>= 240)	161	8 (73)	61 (47)	78 (48)	10 (53)	4 (57)	
	(49)			,			
Non	29 (8)	3 (21)	9 (6)	12 (7)	0 (0)	5 (42)	
evaluable							
BAX							0.1^
0 (< 20)	62 (19)	1 (8)	17 (13)	37 (23)	5 (26)	2 (29)	
1 (>= 20)	263	11 (92)	109 (87)	124 (77)	14 (74)	5 (71)	
2.7	(81)	0 (1.1)	12 (2)	10 (5)	0 (0)	7 (10)	
Non	32 (9)	2 (14)	13 (9)	12 (7)	0 (0)	5 (42)	
evaluable							0.2^
BRCA1 0 (< 160)	101	0 (0)	42 (45)	51 (44)	6 (46)	2 (40)	0.2
0 (< 100)	(44)	0 (0)	42 (43)	51 (44)	0 (40)	2 (40)	
1 (>= 160)	131	6 (100)	51 (55)	64 (56)	7 (54)	3 (60)	
	(56)		(/	()	()		
Non	125	8 (57)	46 (33)	58 (34)	6 (32)	7 (58)	
evaluable	(35)						
PD-L1							0.03^
(Tumor cell							
PD-L1							
expression)	22.5	12	00 (77)	102 (65)	10 (75)	0 (00)	
Negative (<	235	13	98 (77)	103 (67)	12 (75)	9 (90)	
1%)	(73)	(100)					

Non evaluable TMB	.	,	0.5 (0.5)	0 (0)	00 (00)	71 (22)	1 (2.5)	1 (10)	I	
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $		`	85 (27)	0 (0)	29 (23)	51 (33)	4 (25)	1 (10)		
TMB	N	on	37 (10)	1 (7)	12 (9)	19 (11)	3 (16)	2 (17)		
Mind	evalı	uable								
median 4.87 2.43 4.33 6.15 2.24 3.23 mean (sd) 162.69 10.69 162.69 139.39 36.09 11.38 mean (sd) 8.36 ± 3.77 ± 7.56 ± 9.98 ± 15.66 5.67 ± 3.88 ± TMB (3 levels as other papers) Low (<= 4 Mb)	TN	MB							4.16	e-05*
max mean (sd) 162.69 10.69 162.69 139.39 36.09 11.38 mean (sd) 8.36 ± 3.77 ± 14.64 3.77 ± 7.56 ± 15.03 9.98 ± 15.66 5.67 ± 3.88 ± 2.77 TMB (3 levels as other papers) Low (≤= 4 Mb) 147 (41) 9 (64) 63 (45) 54 (31) 14 (74) 7 (58) Mb (41) 4 (29) 37 (27) 50 (29) 2 (11) 4 (33) Mb < <=7.8 Mb)	m	nin	0.19	1.43	0.19	0.71	0.51	1.07		
TMB (3 14.64 2.67 15.03 9.98 ± 15.66 5.67 ± 3.88 ± 2.77	med	dian	4.87	2.43	4.33	6.15	2.24	3.23		
TMB (3	m	ax	162.69	10.69	162.69	139.39	36.09	11.38		
TMB (3 levels as other papers)	mear	n (sd)	$8.36 \pm$	$3.77 \pm$	$7.56 \pm$	9.98 ± 15.66	5.67 ±	$3.88 \pm$		
Comparisy Comp			14.64	2.67	15.03		9.43	2.77		
other papers) description of the papers of the	TM	B (3							0.0	013^
Dapers Low (<= 4 147 9 (64) 63 (45) 54 (31) 14 (74) 7 (58)	leve	els as								
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	otl	her								
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	pap	ers)								
$ \begin{array}{ c c c c c c } \hline Moderate (4 & 97 (27) & 4 (29) & 37 (27) & 50 (29) & 2 (11) & 4 (33) \\ \hline Mb < <= 7.8 & Mb \\ \hline Mb & (32) & 39 (28) & 69 (40) & 3 (16) & 1 (8) \\ \hline \hline TMB (2 & & & & & & & & & & & & & & & & & & $	Low	(<= 4	147	9 (64)	63 (45)	54 (31)	14 (74)	7 (58)		
$ \begin{array}{c c c c c c c c c c c c c c c c c c c $	M	lb)	(41)							
Mb			97 (27)	4 (29)	37 (27)	50 (29)	2 (11)	4 (33)		
High (> 7.8 Mb) 113 (32) 1 (7) 39 (28) 69 (40) 3 (16) 1 (8) TMB (2 levels by median) Low (<= 10 Mut/Mb)										
Mb) (32) (32) (32) (32) (32) (33) (33) (34) (32) (33) (34) (32) (33) (34) (32) (33) (34) (32) (34) (32) (34) <th< td=""><td>M</td><td>lb)</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></th<>	M	lb)								
TMB (2 levels by median) 0.0018^ Low (<= 10 Mut/Mb) 279 (78) 13 (93) 114 (82) 125 (72) 16 (84) 11 (92) <t< td=""><td>High</td><td>(> 7.8</td><td></td><td>1 (7)</td><td>39 (28)</td><td>69 (40)</td><td>3 (16)</td><td>1 (8)</td><td></td><td></td></t<>	High	(> 7.8		1 (7)	39 (28)	69 (40)	3 (16)	1 (8)		
levels by median) Image: Record of the problem			(32)							
$ \begin{array}{ c c c c c c } \hline \textbf{median} & & & & & & & & & & & & \\ \hline Low (<= 10 & 279 & 13 (93) & 114 (82) & 125 (72) & 16 (84) & 11 & & & \\ \hline \textbf{Mut/Mb} & (78) & & & & & & & & & & & \\ \hline \textbf{High } (> 10 & 78 (22) & 1 (7) & 25 (18) & 48 (28) & 3 (16) & 1 (8) & & & \\ \hline \textbf{High } (> 10 & 78 (22) & 1 (7) & 25 (18) & 48 (28) & 3 (16) & 1 (8) & & & \\ \hline \textbf{Mut/Mb} & & & & & & & & & \\ \hline \textbf{B} & \textbf{Unadjusted model} & \textbf{Fully adjusted model} & \textbf{Sensitivity analysis} \\ \hline \textbf{OR} & \textbf{p} & \textbf{p(LRT)} & \textbf{p} & \textbf{p(LRT)} & \textbf{OR} & \textbf{p} & \textbf{p(LRT)} \\ [95\%] & \textbf{CI} & & & & & & & & \\ \hline \textbf{OR} & [95\%] & \textbf{CI} & & & & & & \\ \hline \textbf{OR} & \textbf{p} & \textbf{p(LRT)} & \textbf{OR} & \textbf{p} & \textbf{p(LRT)} \\ [95\%] & \textbf{CI} & & & & & & & \\ \hline \textbf{OR} & [95\%] & \textbf{CI} & & & & & \\ \hline \textbf{OR} & [95\%] & \textbf{OR} & \textbf{SON} & \textbf{O.85} & \textbf{O.85} & \textbf{O.86} \\ \hline \textbf{O.80} & \textbf{O.909} & \textbf{0.909} & \textbf{1.05} & \textbf{0.85} & \textbf{0.85} & \textbf{0.85} & \textbf{0.897} \\ \hline \textbf{O.80} & \textbf{0.897} & \textbf{0.897} & \textbf{0.897} \\ \hline \textbf{O.80} & \textbf{0.100} & \textbf{0.100} & \textbf{0.100} & \textbf{0.100} \\ \hline \textbf{WT} & \textbf{1.00} & \textbf{0.765} & \textbf{1.05} & \textbf{0.902} & \textbf{0.902} & \textbf{1.07} & \textbf{0.878} & \textbf{0.878} \\ \hline \textbf{0.52-} & \textbf{0.53} & \textbf{0.85} & \textbf{0.902} & \textbf{0.902} & \textbf{0.45-} \\ \hline \textbf{2.57} & \textbf{0.878} & \textbf{0.878} \\ \hline \textbf{0.52-} & \textbf{2.53} & \textbf{0.853} & \textbf{0.86} \\ \hline \textbf{0.52-} & \textbf{0.53} & \textbf{0.53} & \textbf{0.902} & \textbf{0.902} & \textbf{0.45-} \\ \hline \textbf{2.67} & \textbf{0.878} & \textbf{0.878} \\ \hline \textbf{0.45-} & \textbf{2.53} & \textbf{0.878} & \textbf{0.878} \\ \hline \textbf{0.52-} & \textbf{0.53} & \textbf{0.53} & \textbf{0.902} & \textbf{0.902} & \textbf{0.902} & \textbf{0.902} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} & \textbf{0.45-} \\ \hline \textbf{0.45-} & 0.45$		`							0.0	018^
Low (<= 10 Mut/Mb) 279 (78) 13 (93) 114 (82) 125 (72) 16 (84) 11 (92) High (> 10 Mut/Mb) 78 (22) 1 (7) 25 (18) 48 (28) 3 (16) 1 (8) B Unadjusted model Fully adjusted model Sensitivity analysis OR [95% CI] p p(LRT) OR [95% CI]		•								
$ \begin{tabular}{ c c c c c c c c c c c c c c c c c c c$										
High $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$				13 (93)	114 (82)	125 (72)	16 (84)			
$ \begin{array}{ c c c c c c c c c c c c c c c c c c c$			` ′					· · ·		
B Unadjusted model Fully adjusted model Sensitivity analysis OR OR p (95% CI) p OR [95% CI] P (95% CI) P (17% CI) P			78 (22)	1 (7)	25 (18)	48 (28)	3 (16)	1 (8)		
OR										
Post CI	В		adjusted n		F	ully adjusted mod			itivity aı	
$ \begin{array}{ c c c c c c } \hline & CI] & CI] & CI] & CI] & CI] & \\ \hline \hline & KRAS (N = 312) \\ \hline \hline MUT & 1.03 & 0.909 & 0.909 & 1.05 & 0.85 & 0.85 & 0.96 & 0.897 & 0.897 \\ [0.62- & [0.63- & [0.56- & $			p	p(LRT)		p	p(LRT)		p	p(LRT)
KRAS (N = 312) MUT 1.03 0.909 0.909 1.05 0.85 0.85 0.96 0.897 0.897 [0.62- 1.72] [0.63- 1.78] 1.66] 1.66] 1.66] 1.00<										
MUT 1.03 0.909 [0.62- 1.72] 0.909 1.05 [0.63- 1.78] 0.85 0.85 [0.56- 1.66] 0.897		CI]						CI]		
[0.62- 1.72] 1.78] 1.66]		1 .	T	T			T -			
Table Tabl	MUT		0.909	0.909		0.85	0.85		0.897	0.897
WT 1.00 1.00 1.00 EGFR (N = 243) MUT 1.13 0.766 0.765 1.05 0.902 0.902 1.07 0.878 0.878 [0.52- [0.46- [0.45- [0.45- 2.67] 2.67] <td< td=""><td></td><td></td><td></td><td></td><td>_</td><td></td><td></td><td>-</td><td></td><td></td></td<>					_			-		
EGFR (N = 243) MUT 1.13 0.766 0.765 1.05 0.902 0.902 1.07 0.878 0.878 [0.52- [0.46- [0.46- [0.45- 2.67] 2.67] 2.67]					_					
MUT 1.13 0.766 0.765 1.05 0.902 0.902 1.07 0.878 0.878 [0.52- [0.46- 2.57] 2.67] 2.67]	WT	1.00			l			1.00		
[0.52- 2.57] [0.46- 2.53] [0.45- 2.67]		T .		I .			T .			_
2.57] 2.53] 2.67]	MUT		0.766	0.765		0.902	0.902		0.878	0.878
		-			_			-		
WT 1.00 1.00 1.00		_								
	WT	1.00			1.00			1.00		

* Kruskal–Wallis test

[^] Chi-squared test

Table 3. A. Prognostic effect of PD-L1 expression, TMB status and concomitant PD-L1 expression and TMB status on overall survival (OS) in the total population (n=315). B. Prognostic effect of PD-L1 expression, TMB status and concomitant PD-L1 expression and TMB status on disease-free survival (DFS) in the total population (n=315). C. Prognostic effect of PD-L1 expression, TMB status and concomitant PD-L1 expression and TMB status on lung-cancer-specific survival (LCSS) in the total population (n=315).

A.	Unadjusted m	odel	Fully adjusted	model	Sensitivity an	alysis
	HR [95%CI]	p-value	HR [95%CI]	p- value	HR [95%CI]	p- value
		PD-	L1 expression			
PD-L1 Positive	1.33 [0.93-1.91]	0.116	1.15 [0.8-1.66]	0.455	1.16 [0.8- 1.68]	0.437
PD-L1	1.00		1.00		1.00	
Negativ						
e						
		Т	MB Status			
TMB high	0.56 [0.36-0.88]	0.011	0.7 [0.44-1.11]	0.126	0.69 [0.43- 1.11]	0.128
TMB	1.00		1.00		1.00	
low						
		<u>P</u>	D-L1/TMB	T	T	1
PD-L1 Positive /high TMB	1.14 [0.63-2.05]	0.662	1.12 [0.62-2.05]	0.704	1.13 [0.61- 2.07]	0.703
PD-L1 Positive /low TMB	1.11 [0.73-1.67]	0.625	0.98 [0.64-1.5]	0.929	0.98 [0.64- 1.5]	0.932
PD-L1 Negativ e/high TMB	0.36 [0.19-0.69]	0.002	0.46 [0.23-0.89]	0.021	0.46 [0.23- 0.89]	0.022
PD-L1	1.00		1.00		1.00	
Negativ e/low TMB						
B.	Unadjusted m	ıodel	Fully adjusted	model	Sensitivity an	alvsis
	HR [95%CI]	p-value	HR [95%CI]	p- value	HR [95%CI]	p- value

		PD-	L1 expression			
PD-L1 Positive	1.39 [1-1.94]	0.053	1.28 [0.91-1.79]	0.16	1.28 [0.9- 1.81]	0.164
PD-L1 Negativ e	1.00		1.00		1.00	
		T	MB Status	I		
TMB high	0.62 [0.42-0.91]	0.015	0.67 [0.44-1.01]	0.057	0.65 [0.43- 0.99]	0.045
TMB	1.00		1.00		1.00	
low		P	L D-L1/TMB			
PD-L1 Positive /high TMB	1.07 [0.62-1.85]	0.806	1.04 [0.6-1.82]	0.881	1.01 [0.58- 1.78]	0.964
PD-L1 Positive /low TMB	1.27 [0.86-1.87]	0.223	1.2 [0.81-1.78]	0.372	1.19 [0.8- 1.78]	0.388
PD-L1 Negativ e/high TMB	0.48 [0.29-0.81]	0.006	0.52 [0.3-0.9]	0.02	0.52 [0.3-0.9]	0.018
PD-L1 Negativ e/low TMB	1.00		1.00		1.00	
C.	Unadjusted n		Fully adjusted	model	Sensitivity an	alysis
	HR [95%CI]	p-value	HR [95%CI]	p- value	HR [95%CI]	p- value
		PDL	-L1 expression		T	1
PD-L1 Positive	1.4 [0.98-2]	0.062	1.3 [0.9-1.87]	0.166	1.33 [0.91- 1.93]	0.136
PD-L1 Negativ e	1.00		1.00		1.00	
		T	MB Status			

TMB high	0.63 [0.42-0.96]	0.03	0.71 [0.46-1.11]	0.137	0.71 [0.45- 1.1]	0.126
TMB low	1.00		1.00		1.00	
		P]	D-L1/TMB			
PD-L1 Positive /high TMB	1.01 [0.55-1.86]	0.963	1.03 [0.55-1.92]	0.926	1.03 [0.55- 1.94]	0.915
PD-L1 Positive /low TMB	1.35 [0.9-2.04]	0.146	1.27 [0.84-1.93]	0.261	1.3 [0.85- 1.98]	0.231
PD-L1 Negativ e/high TMB	0.54 [0.32-0.93]	0.026	0.61 [0.35-1.09]	0.094	0.62 [0.35- 1.09]	0.094
PD-L1 Negativ e/low TMB	1.00		1.00		1.00	

Table 4.A. Predictive effect of PD-L1 expression, TMB status and concomitant PD-L1 expression and TMB status on overall survival (OS) in the total population (n=315). B. Predictive effect of PD-L1 expression, TMB status and concomitant PD-L1 expression and TMB status on disease-free survival (DFS) in the total population (n=315). C. Predictive effect of PD-L1 expression, TMB status and concomitant PD-L1 expression and TMB status on lung-cancer-specific survival (LCSS) in the total population (n=315)

Α.	Unadjusted model		Fully adjusted	model	Sensitivity analysis	
	rHR [95%CI]	p-value	rHR [95%CI]	p- value	rHR [95%CI]	p- value
		PD-	L1 expression			
PD-L1 Positive	1.05 [0.52-2.12]	0.9	1.08 [0.52-2.25]	0.827	1.07 [0.51- 2.22]	0.86
PD-L1 Negativ e	1.00		1.00		1.00	

		T	MB Status			
TMB high	1.96 [0.79-4.84]	0.145	2.75 [1.07-7.04]	0.035	2.89 [1.13- 7.4]	0.027
TMB low	1.00		1.00		1.00	
		P	D-L1/TMB			-
PD-L1 Positive /high TMB	1.98 [0.56-6.93]	0.286	2.8 [0.78-10.03]	0.114	2.76 [0.77- 9.9]	0.119
PD-L1 Positive /low TMB	0.87 [0.39-1.97]	0.742	0.77 [0.33-1.8]	0.553	0.78 [0.34- 1.79]	0.552
PD-L1 Negativ e/high TMB	1.49 [0.42-5.27]	0.535	2.1 [0.56-7.85]	0.271	2.27 [0.6- 8.52]	0.226
PD-L1 Negativ e/low TMB	1.00		1.00		1.00	
В.	Unadjusted m		Fully adjusted	model	Sensitivity at	nalysis
	rHR [95%CI]	p-value	rHR [95%CI]	p- value	rHR [95%CI]	p- value
			-L1 expression			
PD-L1 Positive	1.1 [0.57-2.12]	0.774	1.06 [0.54-2.06]	0.869	1.05 [0.54- 2.04]	0.895
PD-L1 Negativ	1.00		1.00		1.00	
		T	MB Status			•
TMB high	1.25 [0.58-2.71]	0.572	1.54 [0.69-3.4]	0.289	1.66 [0.75- 3.65]	0.212
TMB low	1.00		1.00		1.00	
		P	D-L1/TMB		<u> </u>	

PD-L1 Positive /high TMB	1.94 [0.62-6.1]	0.258	1.86 [0.58-5.96]	0.299	1.92 [0.6- 6.16]	0.274
PD-L1 Positive /low TMB	0.81 [0.38-1.74]	0.593	0.82 [0.38-1.77]	0.607	0.81 [0.37- 1.75]	0.588
PD-L1 Negativ e/high TMB	0.75 [0.26-2.13]	0.59	1.04 [0.35-3.09]	0.937	1.14 [0.39- 3.35]	0.818
PD-L1 Negativ e/low TMB	1.00		1.00		1.00	
C.	Unadjusted m	odel	Fully adjusted	model	Sensitivity an	alysis
	rHR [95%CI]	p-value	rHR [95%CI]	p- value	rHR [95%CI]	p- value
		PDL-	-L1 expression		-	
PD-L1 Positive	1.02 [0.51-2.06]	0.954	0.96 [0.47-1.96]	0.908	0.95 [0.46- 1.95]	0.895
PD-L1 Negativ e	1.00		1.00		1.00	
		T	MB Status			
TMB high	0.9 [0.39-2.06]	0.806	1.1 [0.47-2.57]	0.828	1.16 [0.5- 2.72]	0.724
TMB low	1.00		1.00		1.00	
		P	D-L1/TMB	1		
PD-L1 Positive /high TMB	1.32 [0.39-4.51]	0.653	1.22 [0.35-4.24]	0.758	1.24 [0.36- 4.34]	0.735
PD-L1 Positive /low TMB	0.82 [0.36-1.83]	0.621	0.83 [0.36-1.88]	0.651	0.83 [0.36- 1.87]	0.646

PD-L1 Negativ e/high TMB	0.6 [0.2-1.8]	0.363	0.85 [0.27-2.68]	0.787	0.91 [0.29- 2.85]	0.867
PD-L1 Negativ e/low TMB	1.00		1.00		1.00	

Table 5.A. Prognostic effect of TILs, TMB status and concomitant TILs and TMB status on overall survival (OS) in the total population (n=347). B. Prognostic effect of TILs, TMB status and concomitant TILs and TMB status on disease-free survival (DFS) in the total population (n=347). C. Prognostic effect of TILs, TMB status and concomitant TILs and TMB status on lung-cancer-specific survival (LCSS) in the total population (n=347).

A.	Unadjusted m	odel	Fully adjusted	model	Sensitivity an	alysis
	HR [95%CI]	p-value	HR [95%CI]	p- value	HR [95%CI]	p- value
		l	TILs		ı	
Marked	0.6 [0.29-1.22]	0.159	0.54 [0.26-1.12]	0.099	0.54 [0.26- 1.13]	0.102
Other	1.00		1.00		1.00	
'		I	TMB			
TMB high	0.57 [0.37-0.87]	0.009	0.72 [0.46-1.12]	0.146	0.71 [0.46- 1.11]	0.138
TMB low	1.00		1.00		1.00	
		1	TILs/TMB			
TILs Marked /high TMB	0.53 [0.13-2.14]	0.371	0.43 [0.1-1.79]	0.245	0.44 [0.1- 1.84]	0.261
TILs Marked /low TMB	0.52 [0.23-1.18]	0.118	0.54 [0.23-1.27]	0.159	0.54 [0.23- 1.27]	0.155
TILs Other/h	0.54 [0.35-0.84]	0.007	0.73 [0.46-1.16]	0.182	0.72 [0.46- 1.15]	0.168

igh TMB						
TILs Other/l ow TMB	1.00		1.00		1.00	
В.	Unadjusted m	odel	Fully adjusted	model	Sensitivity an	alysis
	HR [95%CI]	p-value	HR [95%CI]	p- value	HR [95%CI]	p- value
			TILs			
Marked	0.66 [0.36-1.22]	0.181	0.59 [0.31-1.12]	0.106	0.6 [0.32- 1.13]	0.114
Other	1.00		1.00		1.00	
		T	MB Status			
TMB high	0.63 [0.43-0.91]	0.014	0.68 [0.46-1.01]	0.059	0.66 [0.44- 0.99]	0.042
TMB low	1.00		1.00		1.00	
		7	TILs/TMB		1	
TILs Marked /high TMB	0.65 [0.2-2.04]	0.457	0.54 [0.17-1.78]	0.312	0.55 [0.17- 1.79]	0.32
TILs Marked /low TMB	0.56 [0.27-1.14]	0.11	0.54 [0.26-1.13]	0.103	0.54 [0.25- 1.14]	0.106
TILs Other/h igh TMB	0.59 [0.4-0.88]	0.009	0.67 [0.44-1]	0.052	0.65 [0.43- 0.97]	0.037
TILs Other/l ow TMB	1.00		1.00		1.00	
C.	Unadjusted m	odel	Fully adjusted	model	Sensitivity an	alysis
	HR [95%CI]	p-value	HR [95%CI]	p- value	HR [95%CI]	p- value
			TILs			

Marked	0.55 [0.27-1.12]	0.099	0.5 [0.24-1.04]	0.064	0.5 [0.24- 1.06]	0.069
Other	1.00		1.00		1.00	
		T	MB Status			l
TMB high	0.65 [0.44-0.97]	0.033	0.73 [0.48-1.11]	0.143	0.71 [0.46- 1.09]	0.117
TMB low	1.00		1.00		1.00	
		7	TILs/TMB			
TILs Marked /high TMB	0.51 [0.13-2.08]	0.35	0.46 [0.11-1.93]	0.289	0.48 [0.12- 2.03]	0.321
TILs Marked /low TMB	0.48 [0.21-1.09]	0.081	0.46 [0.2-1.08]	0.076	0.46 [0.19- 1.08]	0.074
TILs Other/h igh TMB	0.62 [0.42-0.94]	0.024	0.72 [0.46-1.11]	0.134	0.7 [0.45- 1.08]	0.105
TILs Other/l ow TMB	1.00		1.00		1.00	

Figure 6.A. Predictive effect of TILs, TMB status and concomitant TILs and TMB status on overall survival (OS) in the total population (n=347). B. Predictive effect of TILs, TMB status and concomitant TILs and TMB status on disease-free survival (DFS) in the total population (n=347). C. Predictive effect of TILs, TMB status and concomitant TILs and TMB status on lung-cancer-specific survival (LCSS) in the total population (n=347).

A.	Unadjusted m	odel	Fully adjusted	model	Sensitivity ar	nalysis
	rHR [95%CI]	p-value	rHR [95%CI]	p-value	rHR [95%CI]	p- value
			TILs			
Marked	0.44 [0.1-1.92]	0.276	0.41 [0.09-1.84]	0.245	0.4 [0.09- 1.81]	0.236
Other	1.00		1.00		1.00	

			TMB			
TMB high	2.24 [0.93-5.4]	0.073	3.1 [1.25-7.7]	0.015	3.18 [1.28-7.88]	0.012
TMB low	1.00		1.00		1.00	
			TILs/TMB			
TILs Marked /high TMB	0	0.994	0 [0-Inf]	0.995	0	0.992
TILs Marked /low TMB	0.13 [0.01-1.17]	0.069	0.12 [0.01-1.12]	0.063	0.12 [0.01-1.13]	0.064
TILs Other/h igh TMB	1.73 [0.7-4.25]	0.234	2.42 [0.96-6.1]	0.062	2.51 [1-6.32]	0.051
TILs Other/I ow TMB	1.00		1.00		1.00	
В.	Unadjusted m	odel	Fully adjusted	model	Sensitivity ar	alysis
	rHR [95%CI]	p-value	rHR [95%CI]	p-value	rHR [95%CI]	p- value
			TILs			
Marked	0.24 [0.06-0.92]	0.038	0.22 [0.06-0.87]	0.031	0.23 [0.06- 0.9]	0.035
Other	1.00		1.00		1.00	
		Т	MB Status			
TMB high	1.52 [0.72-3.19]	0.273	1.87 [0.87-4]	0.107	1.95 [0.91-4.15]	0.085
TMB low	1.00		1.00		1.00	
			TILs/TMB	1	l	
TILs Marked /high TMB	2.26 [0.2-25.71]	0.51	3.57 [0.3-43.11]	0.317	3.41 [0.28-40.76]	0.333

TILs Marked /low TMB	0.08 [0.01-0.64]	0.018	0.06 [0.01-0.53]	0.011	0.07 [0.01-0.57]	0.013
TILs Other/h igh TMB	1.24 [0.57-2.69]	0.584	1.47 [0.67-3.25]	0.337	1.56 [0.71-3.43]	0.267
TILs Other/l ow TMB	1.00		1.00		1.00	
C.	Unadjusted m		Fully adjusted		Sensitivity an	
	rHR [95%CI]	p-value	rHR [95%CI]	p-value	rHR [95%CI]	p- value
			TILs	1		
Marked	0.09 [0.01-0.71]	0.023	0.08 [0.01-0.66]	0.019	0.08 [0.01- 0.66]	0.019
Other	1.00		1.00		1.00	
		Т	MB Status			
TMB high	1.17 [0.53-2.57]	0.691	1.44 [0.64-3.23]	0.374	1.49 [0.67-3.33]	0.326
TMB low	1.00		1.00		1.00	
		7	TILs/TMB			
TILs Marked /high TMB	0.99 [0.06-16.36]	0.997	1.41 [0.08-24.97]	0.813	1.35 [0.08-23.56]	0.836
TILs Marked /low TMB	0 [0-Inf]	0.992	0 [0-Inf]	0.993	0 [0-Inf]	0.993
TILs Other/h igh TMB	0.99 [0.44-2.23]	0.981	1.18 [0.51-2.71]	0.694	1.25 [0.55-2.85]	0.602
TILs Other/l	1.00		1.00		1.00	

ow TMB
