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# Uncertainty estimation for the Brillouin frequency shift measurement using a scanning tandem Fabry–Pérot interferometer

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**Abstract:** The expanded uncertainty of the measured Brillouin scattering shift frequencies is essential in assessing the measurements of parameters of various materials. We describe the general operation principles of a Brillouin light scattering (BLS) spectrometer with a high-power laser and a scanning tandem Fabry–Pérot interferometer (TFPI) for material characterization. Various uncertainty components have been analyzed for the BLS spectrometer following the Guide to the Expression of Uncertainty in Measurement (GUM). The expanded relative uncertainty in the measured Brillouin frequency shift of 15.70 GHz for polymethyl methacrylate (PMMA) was estimated to be 0.26%. The calculated Brillouin frequency shift (based on material properties of PMMA) was determined to be 15.44 GHz with expanded relative uncertainty of 2.13%. It was shown that the measured and calculated Brillouin frequency shifts for PMMA agree within their expanded uncertainties. The TFPI-based BLS spectrometer can be used to measure the longitudinal modulus of materials with an expanded uncertainty of 1.9%, which is smaller than that of the ultrasonic velocity-based method (estimated to be 2.9%).

**Keywords:** brillouin light scattering; high-power laser; tandem Fabry–Pérot interferometer; Brillouin spectroscopy; elastic property; speed of sound; measurement uncertainty analysis;

## 1. Introduction

Brillouin light scattering (BLS) technique is a non-contact, non-destructive method for studying the elastic properties of materials and it is gradually gaining popularity in various industrial applications and research laboratories. BLS is the inelastic scattering of light (photons) by thermally generated acoustic vibrations (acoustic phonons) [1–3]. The thermally excited sound waves in materials have very weak intensity which cannot be detected by ultrasonic methods. We would like to recall the main contributions to the detection of sound waves, by brothers Curie [4], and the theoretical prediction of inelastic light scattering in materials by thermally excited acoustic phonons, by Brillouin [1] and Mandelstam [2] in 1920s. The first BLS spectrum from acoustic phonons of liquids was observed and reported in 1930 by Gross [5–7]. BLS measurements have been reported in [8–10] in 1930s. Grimsditch and Ramdas have made precise measurements with Brillouin scattering on Diamond in 1975 [11].

Kojima showed that BLS techniques are very useful for studying material properties [12]. Magnons can be divided into surface-like excitations and bulk-like excitations [3,13]. The magnetic properties of materials can also be measured via their magnetic excitations (magnons) using Brillouin scattering [13]. Brillouin spectroscopy has become an essential tool for the study of acoustic phonons, magnons and elastic properties of materials [12,14–16]. Brillouin spectroscopy and microscopy have emerged as a non-destructive, non-contact and label-free method for probing the viscoelastic properties of biological samples

[17–20]. Brillouin scattering has also been used in atmospheric aerosol measurement, study of air molecules and profiling of aerosols' optical properties [21–28]

Both Raman scattering and Brillouin scattering arise from the inelastic scattering of light. Raman scattering is associated with the scattering by optical phonons and molecular vibrations [29,30]. Brillouin spectroscopy is complementary to the Raman spectroscopy in material characterisation [31]. There are some key differences between the two types of inelastic light-scattering spectroscopy. The Raman spectroscopy is based on scattering by optical phonons with frequency shift at THz range and determines the sample's chemical composition and molecular structure using an interferometer or a dispersive spectrometer. Whereas the Brillouin spectroscopy is based on scattering of acoustic phonons with frequency shift at GHz range and traditionally measures the elastic properties of materials using scanning tandem Fabry–Pérot interferometer (TFPI) [32–38]. Brillouin and Raman micro-spectroscopy have been combined to obtain the Brillouin and Raman spectra of biological samples simultaneously to assign their chemical specificity to mechanical properties [39–41]. The multimodal micro-spectroscopy developed in [42] is based on simultaneous detection of Brillouin and Raman scattering with spectral coverage up to 100 THz.

The measurement of Brillouin spectra would need appropriate instrument like the Fabry–Pérot interferometer (FPI) which can provide high contrast [37,38,43–52]. A double-pass FPI was implemented by Sandercock in 1970 to detect the BLS [33]. Improved methods using multi-pass TFPI had been reported by Lindsay, Anderson and Sandercock [53], Dil *et al.* [54] and Mock *et al.* [55] to achieve 150 dB high-contrast measurement of the Brillouin frequency shift. The scanning multi-pass TFPI technique had been further improved with automatic computer control [51,56].

The scanning TFPI would require a long acquisition time to measure the Brillouin spectra. The long acquisition time makes the traditional scanning TFPI unsuitable for high-throughput biomedical applications or dynamic measurement. To make a rapid spectrum measurement within 1 s, a non-scanning angular dispersive FPI (ADFPI) was developed using a solid etalon and a multichannel detector [57–59]. A virtually imaged phased array (VIPA) was proposed to achieve large angular dispersion [60], which can be used to build another type of ADFPI. A non-scanning Brillouin spectrometer employing a VIPA etalon and CCD camera was developed to acquire Brillouin spectra within just a few seconds [61]. Cascading three-stage VIPA etalons can provide an extinction ratio up to 80 dB to reduce the Rayleigh scattering background and crosstalk substantially [62]. To further suppress the high scattering background, molecular or atomic absorption cells were introduced as notch filter before a single-stage VIPA spectrometer to absorb the Rayleigh scattering [39,63]. In such a design, the Brillouin peak position and shape could be altered by the atomic–molecular absorption filters, therefore a customized least-square fitting algorithm had been proposed to retrieve the Brillouin shifts and linewidths with high accuracy [64].

Coker *et al.* [65] have compared two VIPA-based spectrometers (780 nm and 532 nm wavelength) with molecular or atomic absorption cell to a scanning 6-pass TFPI to assess their measurement accuracy. With a acquisition time of ~0.5 s, the three Brillouin spectrometers were used to measure the Brillouin frequency and linewidth for acetone. The results showed that the scanning TFPI gave smaller deviation in Brillouin frequency (from the theoretical value) and narrower linewidth. This study has explored the possibility of reducing frequency and linewidth measurement standard deviations through extending the acquisition times using TFPI and VIPA-based spectrometers. They showed that the 780 nm VIPA-based spectrometer can achieve the minimum standard deviation in Brillouin frequency and linewidth measurement (for acetone) using much shorter acquisition time than TFPI, and its linewidth measurement accuracy is decided by the laser stability and optical components' quality [65].

Stimulated Brillouin scattering (SBS) is a nonlinear process which has been applied in optical fibers and optoelectronic engineering [66–69]. SBS manifests itself through the creation of a backward propagating Stokes wave. Most of the input power is carried by

Stokes wave when the Brillouin threshold is reached. Impulsive and frequency-domain SBS spectroscopy and imaging systems have been developed to avoid the issue of strong Rayleigh scattering background and achieve high-speed microscopic imaging [70–73]. Ballmann et al. [74] have compared the Brillouin shift measurement accuracy of impulsive SBS versus a 780 nm VIPA spectrometer, and showed that the Allan variances for acetone measurement using the impulsive SBS are much lower and reduce to the minimum values using a much shorter acquisition time. This study showed that the impulsive SBS is superior to VIPA spectrometer in terms of Brillouin frequency measurements stability, since the measured frequency is independent of the frequency of the pump or the probe laser.

In this paper, we will show the main steps in using a scanning 6-pass TFPI [75,76] to measure the Brillouin frequency shift and estimate the speed of propagation of phononic waves in materials. The objective of this paper is to assess the uncertainties in Brillouin frequency shift measurement using a TFPI via a consistent metrological approach. The measurement experiment is described in section 2, with the results given in section 3. The various measurement uncertainty components associated with the Brillouin frequency shift measurement will be derived in section 4. In section 5, we give a comparison of measured and calculated Brillouin frequency shift for the test material. The Brillouin frequency shift can be used to estimate the speed of the corresponding phononic wave and elastic modulus of materials with high accuracy. The measurement uncertainty in material's longitudinal modulus derived by the TFPI is also derived. Finally we will discuss the limitations, potential solutions and future work in Brillouin spectroscopy in section 6.

## 2. Materials and Methods

We present a method for detection of the BLS from a material under test, i. e. the Device under Test (DUT). This material can be isotropic or anisotropic. One of the key instrument in the measurement system is the scanning TFPI. Detected spectrum peaks are shifted from the frequency of the incident laser. Those offset frequencies depend on the properties of the material of the DUT. The measured Brillouin frequency shift can be used to estimate the parameters of the material, such as phase velocity of transverse and longitudinal waves and elastic modulus.

A BLS spectrometer using a 532 nm powerful Class 4 laser (up to 600 mW) is efficient to reveal the spin wave or acoustic signals, at frequencies from a few GHz to more than 100 GHz. Fluctuations of refractive index in a medium enables the detection and analysis of scattered laser light via the BLS spectrometer [53,54]. The TFPI (TFP-2, The Table Stable Ltd. [75,76]) is shown in Figure 1. The general principle is to send the signal generated by the laser and focus it on the sample that we want to characterize. The photons arrive in the material or the thin layer, and interact with the lattice or material.

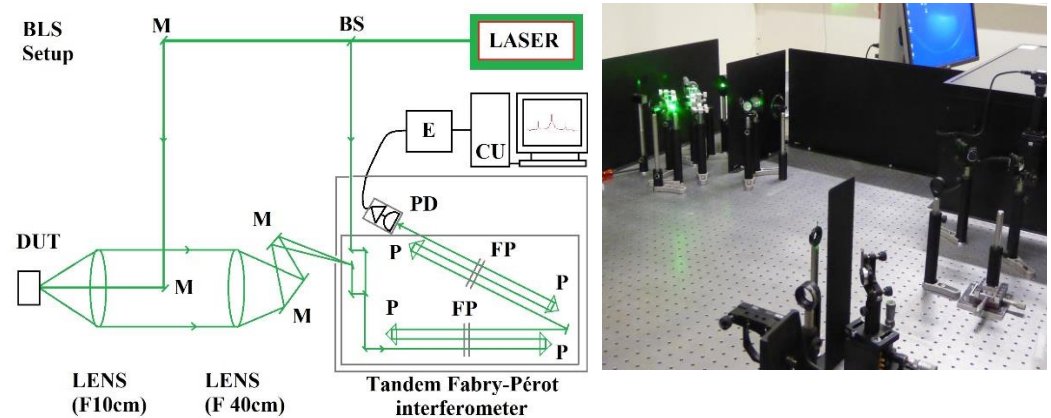


**Figure 1.** Photograph of the scanning 6-pass TFPI (TFP-2) used for the BLS measurement.

Light helps to create phonons in the material. These phonons propagate with speeds that may be different depending on whether the mode is transverse or longitudinal. It depends on the nature of the material, which can be isotropic or anisotropic. The phonons in turn create light, which are shifted in frequency relatively to the frequency of the incident laser. The BLS spectrometer precisely makes analysis of the light scattered by a material [53,56].

The TFPI produces spectrum peaks which are shifted from the frequency of the incident laser depending on the material. Figure 2 gives the typical setup used for the measurement, showing a backward scattering configuration (scattering angle  $\theta = 180^\circ$ ) and a picture of the measurement system.

We calibrated the BLS spectrometer with part of the laser signal, used as the bench reference. Inside the commercial TFPI spectrometer (TFP-2), the light goes through two different Fabry–Pérot interferometers with six passes. Each pair of mirrors is precisely aligned during the calibration procedure.



**Figure 2. (a):** Typical setup for BLS spectrometer (a backward scattering configuration). The TFP-2 is a commercial TFPFI developed by the Table Stable Ltd. DUT: device under test. M: mirror. FP: Fabry–Pérot interferometer. P: prism. PD: photodetector. E: electronics. CU: computer unit. **(b):** The commercial FPI (TFP-2) is inside the box on the right side of this picture.

It is necessary to calibrate the instrument accurately, which is sensitive to mechanical vibrations, temperature and humidity. Alignment process requires an alignment of the two cavities. Each of the two cavities consists of a pair of parallel mirrors. TFPI produces two series of absorption peaks with respect to a flat noisy intensity level. We then obtain a curve providing the number of absorbed photons versus frequency.

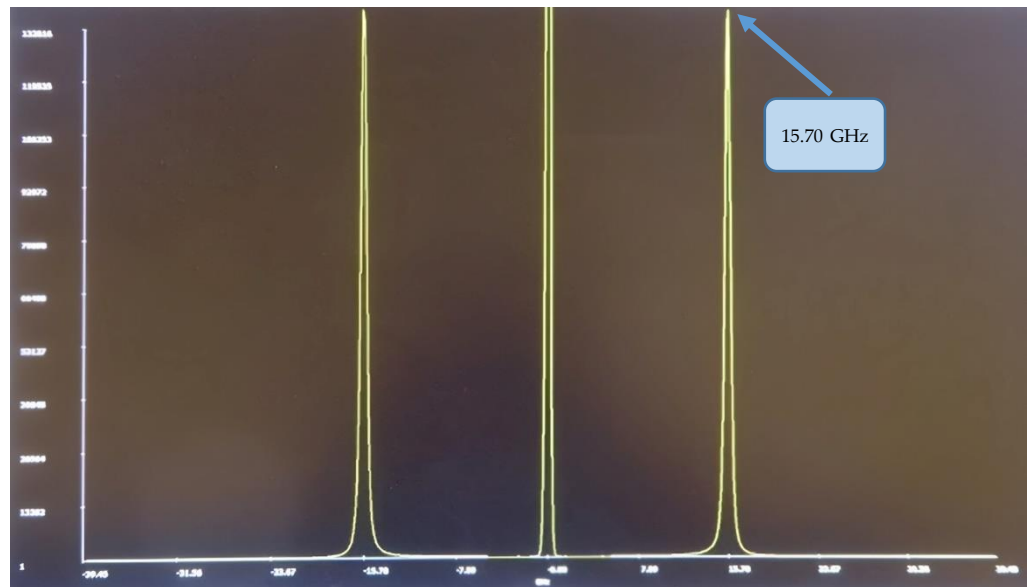
### 3. Measurement results

Experiments can reproduce known Brillouin light scattering peaks of some bulk materials and thin films. Typical Brillouin scattering stimulations reveal acoustic or spin waves frequencies in the range between 3 and 150 GHz (though generally limited to around 30 GHz). In this section, we provide an example of BLS spectrum with the number of detected photons versus the frequency shift for an isotropic material.

We have measured a bulk material: polymethyl methacrylate (PMMA), using the BLS spectrometer. This isotropic material exhibits well-defined Brillouin frequency shift peaks. The BLS peaks are produced by sound waves in materials and they can be analysed by means of a Damped Harmonic Oscillator function (DHO). From the BLS, we can deduce parameters of the material such as the phase velocity of longitudinal waves. Knowing the  $n$  (optical refractive index of the material),  $\lambda_0$  (laser wavelength),  $\theta$  (scattering angle) and  $v$  (phase velocity of longitudinal waves), the Brillouin frequency shift  $\nu_B$  can also be calculated by :

$$v_B = \frac{2nv}{\lambda_0} \sin\left(\frac{\theta}{2}\right) \quad (1)$$

where the phase velocity of longitudinal waves in the material can be obtained from literature or calculated as  $v = \sqrt{c_{11}/\rho}$ ,  $\rho$  is the density of the material,  $c_{11}$  is the longitudinal modulus.



**Figure 3.** BLS spectrum for PMMA with a measured Brillouin frequency shift at 15.70 GHz (longitudinal acoustic mode, with a Brillouin linewidth of 324 MHz). Frequency shift is expressed in GHz on horizontal axis. Vertical axis corresponds to the number of detected photons.

We have measured the  $v_B$  for PMMA as an example of an isotropic material, which is shown by the BLS spectrum in Figure 3. The measured Brillouin frequency shift is  $v_B = 15.70$  GHz (longitudinal acoustic mode), with a Brillouin linewidth of 324 MHz. The measured spectrum for PMMA (backward scattering) is given in Figure 3. Based on the measured frequency shift  $v_B$ , the phase velocity of longitudinal waves in the test material can be derived as,

$$v = \frac{v_B \lambda_0}{2n \sin(\frac{\theta}{2})} \quad (2)$$

With  $\lambda_0=532$  nm,  $n=1.4953$  for PMMA [77],  $\theta=180^\circ$ , the phase velocity of longitudinal waves is derived as  $v= 2792.9$  m/s.

Note that for anisotropic materials such as sapphire, the frequency shift peaks will depend on the orientation of the DUT sample to be measured. In this case, it would be useful to check the slowness curves in the wave-vector space, corresponding to the orientation of the sample with respect to the incident direction of laser signal sent to the DUT.

#### 4. Measurement uncertainty of the Brillouin frequency shift

In this section, we aim to estimate the uncertainty for the Brillouin frequency shift measured by the scanning 6-pass TFPI. In the scientific community, it is important to underline that a debate exists as to whether there is a true value of the measurand. Von Clarmann *et al.* offered a critical discussion on the error concept versus the uncertainty concept [78]. Lee *et al.* [79] compared the realist view of true value measurement and its uncertainty versus the instrumentalist view of measurement (quantities are not natural attributes of the world). They have shown that we need to understand the two views, which is critical to follow the Guide to the expression of uncertainty in measurement (GUM) [80].

Estimation of the measurement uncertainty requires careful analysis of the contributions from various error sources. We have followed the modern way of performing the

estimation of uncertainty [81]. We used a similar method like those in optical metrology [82–84], microwave metrology [85,86] and aerosol metrology [87,88] which is based on the GUM delivered by the Bureau International des Poids et Mesures (BIPM) in [80]. The measurement uncertainties consist of several components, which are grouped into two main categories. These relative uncertainty terms have been normalized by the measured Brillouin frequency shift for PMMA (15.70 GHz).

#### 4.1. Contributions evaluated by statistical methods

Following the GUM guidelines, the first category is called type A uncertainties. It corresponds to uncertainty contributions evaluated by statistical methods such as reproducibility and repeatability. The repeatability (denoted by  $A_1$ ) is used to show the variation in measurements obtained by one person on the same test item, using the same procedure, and under the same conditions (repeated in a short period of time). The repeatability for measured Brillouin frequency shift of 15.70 GHz for the PMMA is estimated to be  $A_1 = 6.64 \times 10^{-5}$ .

The same operator performed the measurements, with no changes in operator behavior. All components and devices are dedicated to the BLS spectrometer, and none of them is changed. Thus the reproducibility term  $A_2$  can be assumed to be zero.

#### 4.2. Contributions evaluated by other means

The second family of uncertainty contributions is for those assessed by other means. They are called type B uncertainties and depend on various measurement system components and ambient conditions. They are determined by the theoretical calculation, experimental experiences, general knowledge of the behavior, properties of relevant materials or measurement instrument specifications.

Frequency references of the 5 MHz or 10 MHz type ensures the frequency traceability of the BLS measurement system to national metrology standards [89,90]. It is then possible to have the best reference in terms of frequency stability to connect them to additional measuring devices such as oscilloscopes or other means of frequency measurement.

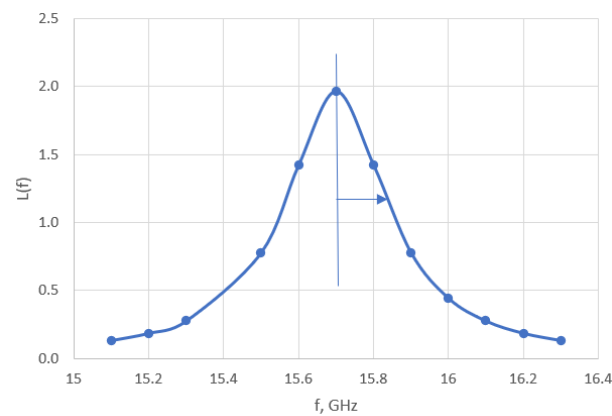
When there is no instrument calibration certificates, we can refer to manufacturer's specifications, calibration data or other certificates, or measurement uncertainty assigned to reference data from handbooks. Such a uncertainty term is denoted by  $B_R$ . The BLS spectrometer was not calibrated by other metrology standard, as the method is intrinsic. So the data provided by calibration are not applicable and we can assume the uncertainty term  $B_R = 0$ .

The frequency resolution of the measurement system depends on the number of samples, i. e. the difference between two measurement frequency points along the horizontal axis. We used 2048 samples in a 0 - 30 GHz span in the BLS spectrum measurement. Thus, we have a frequency interval of 14.66 MHz. The characteristic peak of Brillouin scattering has a Lorentzian distribution [91], also known as a Cauchy distribution (a probability density function). The Lorentzian function versus the frequency shift of optical signal, is given in the following expression :

$$L(f) = \left(\frac{\gamma}{\pi}\right) * \left[\frac{1}{\gamma^2 + (f-f_0)^2}\right] = \left(\frac{1}{\pi\gamma}\right) * \frac{1}{1 + (f-f_0)^2/\gamma^2} \quad (3)$$

where  $\gamma$  is half of the frequency width at half maximum (FWHM):  $\gamma = \text{FWHM}/2$ ,  $f_0$  is the assumed true value for the Brillouin frequency shift. Figure 4 shows the Lorentzian function for a peak in BLS spectrum for PMMA, assuming  $f_0 = 15.70$  GHz and  $\gamma = 0.16$  GHz.





**Figure 4.** The Lorentzian function for a peak in BLS spectrum for PMMA, assuming  $f_0 = 15.70$  GHz and  $\gamma = 0.16$  GHz. The frequency shift is expressed in GHz on horizontal axis.

For a given true value with a peak of great smoothness, we will have 3 points (in the worst configuration), which will allow us to approximate a curve in the form of Lorentzian function. BLS on PMMA shows well-defined peaks for isotropic materials. The maximum relative frequency error caused by the resolution limitation is  $(14.66/2)/15700 = 0.00047$ . Assuming a rectangular distribution, the standard uncertainty due to frequency resolution is estimated as  $BL_0 = 0.00047/\sqrt{3} = 2.7 \times 10^{-4}$ . We can see the impact of the resolution on the uncertainty of a measured peak. There is also a risk of not detecting a peak if the sampling frequency is too low.

The uncertainty contribution of the alignment of Torus laser (Laser Quantum) includes mainly the uncertainty due to the geometrical error and the Abbe error [92,93]. According to the manufacturer's datasheet, the laser beam diameter is  $1.7 \pm 0.2$  mm, the pointing direction's stability is less than  $2 \mu\text{rad}/^\circ\text{C}$ , and the beam angle is less than 1 mrad. There is a geometrical error in the double Fabry-Pérot interferometer, since some cosine error can occur. The laser beam and the axis of displacement are not completely parallel [94,95]. If we denote the angle between the two axis (beam axis and displacement axis) as  $A$ , we have an elementary term of error  $e_A = L(\cos A - 1) \approx -LA^2/2$  as  $A \ll 1$ . For a 1 mm distance,  $A$  is up to  $10^{-4}$  and the relative error  $|e_A/L|$  is up to  $5 \times 10^{-9}$ , which is negligible.

The Abbe error corresponds to the magnification of angular error over distance [92,93]. The relative Brillouin frequency measurement error is proportional to the displacement error in TFPI [96]. The Abbe error is typically estimated to be about 1 nm for a Fabry-Pérot interferometer setup, which does not depend on the displacement [96]. In our BLS spectrometer, the mirror displacement range (scanning range) is up to  $2.5 \mu\text{m}$  for the TFP-2. Thus the relative Abbe error (or relative frequency measurement error) is up to  $0.001/2.5 = 0.0004$ . This elementary term of Abbe error is a dominating term for errors caused by parallelism. Assuming a rectangular distribution for this error, the standard uncertainty in frequency shift due to Abbe error is estimated as  $BL_1 = 0.0004/1.732 = 2.31 \times 10^{-4}$ .

Contribution of the laser to the noise is denoted as  $BL_2$ . The Relative Intensity Noise (RIN) of laser is defined as the ratio between the average of the square of the fluctuation optical power ( $\delta\varphi$ ) and the square of the average optical power  $\varphi_0$ :

$$\text{RIN}(\omega) = \langle |\delta\varphi|^2 \rangle / \varphi_0^2 \quad (4)$$

where  $\omega$  is the angular frequency offset. RIN generally presents a noise floor until the Fourier frequency which is equal to the relaxation frequency of the laser. Beyond that frequency, the RIN decreases. This relaxation frequency is generally in the range of 1 MHz. Using a Fabry-Pérot interferometer (JRS Scientific Instruments), the Torus 532 nm laser (Laser Quantum Ltd.) typically showed high spectral purity with side bands  $< -110$  dB compared with the central mode. This laser is set to operate in normal conditions between  $15^\circ\text{C}$  to  $35^\circ\text{C}$ . The datasheet of the Torus 532 nm laser indicates a RIN not worse than -



125 dB around the frequency offset of 16 GHz. The RIN noise in the BLS spectrum will cause the peak position to have a small shift of  $\Delta f$  which can be estimated using (3). This relative frequency error is derived to be up to  $8.2 \times 10^{-9}$ . We have estimated the standard uncertainty contribution due to laser's RIN as  $BL_2 = 8.2 \times 10^{-9} / 1.732 = 4.74 \times 10^{-9}$ , assuming a rectangular distribution.

The uncertainty contribution of photodetector (Hamamatsu H10682-210) in our BLS spectrometer is denoted as  $BL_3$ . The Datasheet of Hamamatsu H10682-210 indicates the specification for photon counting sensitivity is typically  $4.6 \times 10^5$  and  $1.3 \times 10^5 \text{ s}^{-1} \text{ pW}^{-1}$ , at wavelengths of 500 nm and 600 nm, respectively ( $5^\circ\text{C}$  to  $40^\circ\text{C}$ ). We can assume it does not affect photon detection during BLS measurements. This contribution has negligible effect on the Brillouin frequency shift. Thus the uncertainty contribution  $BL_3 \approx 0$ .

We have considered the uncertainty contribution of ambient temperature, denoted as  $BL_4$ . Temperature variation in the laboratory is in the range  $23 \pm 2^\circ\text{C}$ , with the maximum variation of  $\pm 2^\circ\text{C}$ . It has been shown that a photomultiplier can have variation of 0.33% in detected peak power for  $1^\circ\text{C}$  change in temperature [97]. We assume the temperature change is within  $1^\circ\text{C}$  during the BLS measurement. Thus the  $1^\circ\text{C}$  temperature change has influence on the BLS spectrum, which is estimated to be a fluctuation up to  $e_{\text{Temp}} = 10 \times \text{Log}(0.9934) = -0.029 \text{ dB}$ . This will cause the BLS peak position to have a relative shift error up to  $8.41 \times 10^{-4}$ . The probability distribution of this error is assumed to be rectangular, and we can derive the standard uncertainty due to ambient temperature variation as  $BL_4 = 8.41 \times 10^{-4} / 1.732 = 4.86 \times 10^{-4}$ .

There is uncertainty contribution on the laser wavelength which is due to environmental conditions such as ambient pressure and humidity. We denote it as  $BL_5$ . Under normal laboratory measurement conditions, the contribution of small pressure variations and relative humidity remain negligible. Our BLS measurements do not show any dependence on those changes. Thus this uncertainty term  $BL_5$  is considered to be negligible.

The uncertainty contribution due to resolution of power meter is denoted as  $BL_6$  with a rectangular distribution. It is determined by the voltmeter resolution and the value read on each voltmeter for power meter. The maximum relative error in the frequency shift due to voltmeter resolution is estimated be  $5 \times 10^{-7}$ , which is derived assuming a spectrum noise sideband effect (-89.3 dB). Thus the standard uncertainty due to resolution of power meter is derived as  $BL_6 = 5 \times 10^{-7} / \sqrt{3} = 2.89 \times 10^{-7}$ , assuming a rectangular distribution.

The uncertainty contribution of the linearity error in scanning of Fabry-Pérot Interferometer (denoted as  $BL_7$ ) is a dominating uncertainty term in Brillouin frequency shift [98,99]. The scan control electronics in the TFPI (for automatic scanning stage control) can produce a linearity error in the mirror spacing which will lead to a frequency shift error. Based on the specification of TFP-2, the linearity error is up to 0.2% [76]. Thus the effect of the linearity error will cause relative frequency shift error up to  $2.0 \times 10^{-3}$ . The standard uncertainty due to linearity error in scanning is derived as  $BL_7 = 0.002 / \sqrt{3} = 1.15 \times 10^{-3}$ .

We have considered the uncertainty contribution of vibrations from the environment, which is denoted as  $BL_8$ . We do not operate the system if there is known vibration source in the environment. The optical table is robust enough to prevent diffusion of vibration. Pneumatic legs are used to support the optical table. The TFPI spectrometer's operation is only isolated against building's vibrations and not against vibrations introduced directly into the table. We have operated the TFPI spectrometer in safe conditions and avoided any potential vibration due to components on the table. Therefore, this uncertainty term can be assumed to be negligible.

#### 4.3. Estimation of the expanded measurement uncertainty

All of the uncertainty terms in measured Brillouin frequency shift have been given in table 1. The expanded uncertainty for the measured frequency shift with approximately 95% confidence (coverage factor  $k = 2$ ) is calculated as follows:

$$U_m = 2\sqrt{A_1^2 + A_2^2 + B_R^2 + \sum_i (BL_i)^2} \quad (5)$$

From (5), the expanded relative uncertainty of Brillouin frequency shift measurement is estimated to be  $U_m = 0.26\%$ . For a Brillouin frequency shift measured at 15.70 GHz for PMMA, the expanded frequency measurement uncertainty is 41 MHz.

Table 1 Uncertainty budget table for Brillouin frequency shift measurement using our scanning 6-pass TFPI spectrometer

Uncertainty component	Probability distribution	Standard relative uncertainty contribution
Repeatability	t-distribution	6.64E-05
Reproducibility	t-distribution	negligible
Frequency resolution	rectangular	2.69E-04
Geometrical error in the BLS spectrometer	rectangular	negligible
Abbe error in BLS spectrometer	rectangular	2.31E-07
Relative Intensity Noise (RIN) of laser	rectangular	4.74E-09
Photodetector's counting sensitivity	rectangular	negligible
Ambient temperature variation	rectangular	4.86E-04
Ambient pressure and humidity variation	rectangular	negligible
Resolution of voltmeter for power meter	rectangular	2.89E-07
Linearity error in scanning of TFPI	rectangular	1.15E-03
Vibration effect	rectangular	negligible
<b>Combined relative uncertainty for frequency shift measurement</b>		0.13%
<b>Expanded relative uncertainty for frequency shift measurement (<math>k = 2</math>)</b>		0.26%

The corresponding propagation speed of the longitudinal phononic wave in the PMMA can be estimated using the BLS spectrometer. Based on  $v = v_B \lambda / (2n)$ , the measured Brillouin frequency shift (15.70 GHz) and the refractive index of PMMA ( $n=1.4953$ ) [77], the longitudinal phononic wave speed in PMMA can be derived as  $v = 2792.9$  m/s with an expanded uncertainty of 12.0 m/s.

## 5. Comparison with calculated Brillouin frequency shift

### 5.1. Uncertainty of the calculated frequency shift

For BLS measurement of PMMA, the shift frequency has been theoretically calculated using  $\nu_c = \frac{2}{\lambda_0} \frac{n v}{2} \sin\left(\frac{\theta}{2}\right)$  to be  $\nu_c = 15.44$  GHz, assuming the following parameters:  $n=1.4953$  (optical refractive index of PMMA [77]),  $\lambda_0 = 532$  nm (laser wavelength),  $\theta=180^\circ$  (scattering angle), longitudinal phononic wave speed  $v = 2746.3$  m/s (based on measurements reported in [100]).

Considering the mean bulk density of PMMA  $\rho = 1180$  kg/m<sup>3</sup> (with maximum error of  $\pm 20$  kg/m<sup>3</sup> [101]) and the longitudinal phononic wave speed ( $v = 2746.3$  m/s) as measured in [100], the longitudinal modulus of PMMA can be estimated to be  $C_{11} = 8.8$  GPa.

The longitudinal ultrasonic-velocity in PMMA was measured with a repeatability of  $u_1 = 0.31\%$  based on data of [100], which contributes to the uncertainty in calculated frequency shift (as the repeatability in Brillouin frequency shift). The longitudinal ultrasonic velocity measurements in PMMA showed up to 1.74% difference when using single-around or pulse-echo method, as reported in [100]. This would lead to a standard uncertainty contribution to calculated shift frequency of  $u_2 = 1.74\% / \sqrt{3} = 1.0\%$  (due to variability in speed measurement). The refractive index of PMMA has maximum error of 0.3% based on the various measurement methods and data given in [102], which leads to a standard uncertainty of  $u_3 = 0.3\% / \sqrt{3} = 0.17\%$  in the calculated shift frequency.

Considering these three uncertainty factors, the expanded relative uncertainty of calculated Brillouin frequency shift (based on material mechanical properties) is estimated to be

$$U_c = 2\sqrt{\sum_i(u_i)^2} \tag{6}$$

As shown in Table 2,  $U_c = 2.13\%$  (coverage factor  $k = 2$ ).

Table 2 Uncertainty budget table for calculated Brillouin frequency shift (using mechanical property of PMMA)

Uncertainty component	Probability distribution	Standard relative uncertainty contribution
Repeatability	t-distribution	0.31%
Refractive index of PMMA	rectangular	0.17%
Ultrasonic velocity measurement variation	rectangular	1.00%
<b>Combined relative uncertainty for calculated frequency shift</b>		1.06%
<b>Expanded relative uncertainty for calculated frequency shift (<math>k=2</math>)</b>		2.13%

### 5.2 Comparison of measured and calculated Brillouin frequency shift

The measured Brillouin frequency shift by the TFPI ( $\nu_m = 15.70$  GHz) and calculated Brillouin frequency shift ( $\nu_c = 15.44$  GHz) for PMMA has a deviation of 0.26 GHz. To validate the estimated uncertainty for measured Brillouin frequency shift and compare this two frequency shift values, the normalised error  $E_n$  [103] is derived as

$$E_n = \frac{|\nu_m - \nu_c|}{\sqrt{\nu_m^2 U_m^2 + \nu_c^2 U_c^2}} \tag{7}$$

where  $U_m$  and  $U_c$  is the expanded relative uncertainty of measured and calculated Brillouin frequency shift, respectively. This formula has been commonly used in proficiency testing or inter-laboratory comparison.

Table 3 Comparison of the measured Brillouin frequency shift ( $\nu_m$ ) and calculated Brillouin frequency shift ( $\nu_c$ ) for PMMA

Measured frequency shift, GHz	Expanded uncertainty of measured frequency shift, ( $\nu_m U_m$ ), GHz	Calculated frequency shift, GHz	Expanded uncertainty of calculated frequency shift ( $\nu_c U_c$ ), GHz	Deviation of frequency shift, GHz	$E_n$
15.70	0.041	15.44	0.33	0.26	0.8

The  $E_n = 0.8$  as shown in Table 3. Given the  $E_n < 1$ , we can conclude that the measured Brillouin frequency shift ( $\nu_m$ ) and calculated Brillouin frequency shift ( $\nu_c$ ) for PMMA agree within their expanded uncertainties. This checking using  $E_n$  derived by (7) serves to validate the expanded uncertainty we have derived for the Brillouin frequency shift measurement in section 4.

### 5.3 Uncertainty in the longitudinal modulus derived by BLS spectrometer

The BLS spectrometer can be used to measure the longitudinal modulus of material using

$$c_{11} = \rho v^2 = \rho \left( \frac{v_B \lambda_0}{2n} \right)^2 \quad (8)$$

where  $v_B$  is the measured frequency shift. Considering the standard uncertainty of 0.85% in the density of PMMA, the standard uncertainty of 0.17% in refractive index of PMMA and the standard uncertainty of 0.13% in Brillouin frequency shift measurement, we have estimated the expanded uncertainty in the derived longitudinal modulus  $c_{11}$  to be 1.9% (coverage factor  $k=2$ ) using (8).

The longitudinal modulus of material can also be estimated using the ultrasonic-velocity based method via  $c_{11} = \rho v^2$ , where  $v$  is the measured ultrasonic-velocity in the material. The standard uncertainty contribution from the longitudinal ultrasonic-velocity measurement repeatability (for PMMA) is estimated to be 0.62%. The standard uncertainty in density of PMMA can be estimated as 0.85% and the standard uncertainty due to ultrasonic velocity measurement variability has been estimated to be 1.0%. Thus the expanded uncertainty in  $c_{11}$  using the ultrasonic-velocity based method is estimated to be 2.9% (coverage factor  $k=2$ ).

Comparing the expanded uncertainty in estimation of longitudinal modulus of material using the two methods, the BLS spectrometer based method has a smaller expanded uncertainty of 1.9%. Thus the Brillouin frequency shift can be measured to derive the longitudinal modulus of material with higher accuracy, as compared to the ultrasonic-velocity based method.

## 6. Discussion and Conclusion

The Brillouin spectroscopy is a non-intrusive measurement method for bulk materials and thin films. A scanning 6-pass TFPI has been described for BLS measurement. Following the GUM, we have made detailed analysis and estimation of the uncertainties in the Brillouin frequency shift measurement which is related to the speed of propagation of phononic waves in bulk materials. The expanded relative uncertainty in measured Brillouin frequency shift is estimated to be 0.26% (coverage factor  $k=2$ ), which corresponds to an expanded uncertainty of 41 MHz for the measured frequency shift of 15.70 GHz in testing PMMA.

We have also estimated the expanded relative uncertainty of calculated Brillouin frequency shift (at 15.44 GHz based on PMMA's mechanical properties) to be 2.13% ( $k=2$ ). It is shown that the measured and calculated Brillouin frequency shift for PMMA agree within their expanded uncertainties. The scanning 6-pass TFPI can be used to measure the longitudinal modulus of material with an expanded uncertainty of 1.9%, smaller than that of the ultrasonic-velocity based method (estimated to be 2.9%). In our future work, we will conduct uncertainty analysis for PMMA's Brillouin linewidth measurement using the 6-pass TFPI and assess the sample's temperature stabilization effect.

Although the scanning TFPI has high accuracy in Brillouin frequency and linewidth measurement, it has limitations in the complex system design, high cost and slow acquisition time. Hence, a scanning TFPI is not suitable for biomedical imaging or probing viscoelastic property of biological samples. The VIPA-based spectrometers with very fast acquisition time have been developed for biomedical imaging, but such spontaneous Brillouin scattering based spectrometers still face the issue of high Rayleigh scattering background which makes it difficult to detect the weak Brillouin signals. An impulsive SBS method [72] had been proposed to further reduce the acquisition time, reduce the standard deviations in BLS measurement and improve the spectral resolution in 2D biomedical imaging. However, the impulsive SBS microscopy still need to improve its data acquisition speed (using a detector array), detection sensitivity, spatial resolution, etc.

The SBS spectroscopy can provide high-intensity signals to improve the signal-to-noise ratio (SNR) in BLS measurement. One disadvantage of this method is that the high optical power could cause phototoxicity or thermal damage to biological samples. Therefore, the overall illumination dosage need to be controlled. The detection limit of the frequency-domain or impulsive SBS spectroscopy need to be further improved.

Quantum-correlated light (squeezed light) can be used to squeeze the amplitude noise below the vacuum-state (shot-noise) limit [104–108]. The quantum-enhanced sensing with single-mode or two-mode squeezing has been used to improve the SNR in gravitational wave detection, Raman spectroscopy, saturation spectroscopy, Raman microscopy, microparticle tracking, etc [109–115]. Li *et al.* [116] have shown that two-mode intensity-difference squeezed light (generated by the four-wave mixing process in atomic  $^{85}\text{Rb}$  vapor) can be used to improve the SNR of SBS spectroscopy by 3.4 dB. This quantum-enhanced SBS spectroscopy can still measure the Brillouin frequency and linewidth of water with good accuracy, when the optical pump power was reduced to 7.5 mW. The quantum squeezed light has a narrow spectral width in the range of 10 MHz, which enables the improvement of SNR in the SBS spectroscopy. Quantum sensing using squeezed light is expected to further improve the quantum noise reduction in the future via reduction of optical loss in the sensors, novel detection techniques for quantum squeezing, and cross-correlation measurement for detecting a squeezed state [106,117–120].

Future metrology works could study how to obtain corrected Brillouin spectrum which represents the scattering intensity and linewidth of a given sample as a function of Brillouin frequency shift and temperature. Calibration standards would be needed to check the reproducibility of observed Brillouin frequency shift and linewidth. Luminescent intensity reference standards would also be needed to calibrate various Brillouin spectrometers and make correction for instrument response variation across a Brillouin spectrum for a specified temperature range.

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