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#### Point-of-care testing for viral-associated pulmonary aspergillosis

Robina Aerts <sup>1 2</sup>, Brice Autier <sup>3 4</sup>, Maximilian Gornicec <sup>5</sup>, Juergen Prattes <sup>5 6</sup>, Katrien Lagrou <sup>2 7</sup>, Jean-Pierre Gangneux <sup>3 4</sup>, Martin Hoenigl <sup>5 6 8</sup>

1 - Department of Internal Medicine, University Hospitals Leuven, Leuven, Belgium.

2 - Department of Microbiology, Immunology and Transplantation, Laboratory of Clinical Microbiology, KU Leuven, Leuven, Belgium.

3 - Univ Rennes, CHU Rennes, Inserm, EHESP, Irset (Institut de recherche en santé, environnement et travail), UMR\_S 1085, Rennes, France.

4 - Centre Hospitalier Universitaire de Rennes, Laboratory of Parasitology and Mycology, European Excellence Center in Medical Mycology (ECMM-EC), National Reference Center on mycology and antifungals (LA-AspC Chronic aspergillosis and A. fumigatus resistance), Rennes, France.

5 - Division of Infectious Diseases, ECMM Excellence Center for Medical Mycology, Department of Medicine, Medical University of Graz, Graz, Austria.

6 - Translational Medical Mycology Research Unit, Medical University of Graz, Graz, Austria.

7 - Department of Laboratory Medicine, National Reference Center for Mycosis, University Hospitals Leuven, Leuven, Belgium.

8 - BioTechMed, Graz, Austria.

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#### 3 Abstract:

Introduction: Over the last years, severe respiratory viral infections, particularly those caused
by SARS-COV-2 and Influenza, have emerged as risk factor for viral-associated pulmonary
aspergillosis (VAPA) among critically ill patients. Delays in diagnosis of VAPA are associated
with increased mortality. Point-of-care-tests may play an important role in earlier diagnosis of
VAPA and thus improve patients outcomes

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Areas covered: The following review will give an update on point-of-care tests for VAPA,
 analyzing performances in respiratory and blood specimens.

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13 Expert commentary: Point-of-care tests have emerged, and particularly the IMMY Aspergillus 14 galactomannan lateral flow assay (LFA) shows performances comparable to the 15 galactomannan ELISA for diagnosis of VAPA. Notably, nearly all evaluations of POC tests for 16 VAPA have been performed in COVID-19 patients, with very limited data in Influenza patients. 17 For early diagnosis of COVID associated pulmonary aspergillosis (CAPA) the LFA has shown 18 promising performances in respiratory samples, particularly bronchioalveolar lavage fluid, and 19 may thereby help in improving patient outcomes. In contrast, serum LFA testing may not be 20 useful for early diagnosis of disease, except in cases with invasive tracheobronchial 21 aspergillosis.

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Key words: Lateral flow device test, Lateral flow assay, non-neutropenic, ICU, COVID,
 Influenza, pulmonary aspergillosis, Aspergillus.

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#### 32 **1. Introduction**:

Over the last decades prevalence of infections caused by *Aspergillus* species continues to increase, triggered by advances in medicine and other factors such as the COVID-19 pandemic [1,2]. As a result *Aspergillus fumigatus*, the most relevant and prevalent species causing infections in humans, was included into the World Health Organization (WHO) priority pathogens list and classified in the highest category of critical importance [3].

38 *Aspergillus* is found ubiquitous in nature, commonly colonizes the respiratory tract via conidial 39 inspiration and can cause various forms of disease, depending on the hosts immune 40 competence, ranging from allergic disease to invasive pulmonary aspergillosis (IPA) [4].

41 Over the last years, severe respiratory viral infections have emerged as risk factor for invasive 42 fungal disease among critically ill patients [5-8]. A large and growing body of literature has 43 investigated that although other viral infections may lead to secondary opportunistic infections 44 as well, SARS-COV-2 and Influenza in particular excel in their ability to damage epithelial lung 45 tissue and impair immune response [2,9-14]. Furthermore, corticosteroid and tocilizumab 46 treatment of critically ill COVID-19 patients with respiratory failure may contribute to 47 predisposing immune dysfunction[15-17].

- Since clinical and radiological presentation of viral-associated pulmonary aspergillosis (VAPA)
   are not specific and pulmonary fungal infection may mimic the underlying disease (i.e.,
   pulmonary infiltrates in a patient with respiratory failure due to COVID-19), timely diagnosis is
- 51 difficult and remains challenging[18-20].

52 Mycological testing of respiratory specimens, optimally bronchoalveolar lavage fluid (BALF) 53 has become essential for early diagnosis of VAPA, with fungal culture and testing for 54 galactomannan (GM) considered the gold standard tests [21-24]. However, both culture and 55 GM testing are often limited by long turnaround times, with GM sometimes being a send out 56 test, especially in smaller centers [25-27]. Molecular pathogen detection methods like 57 polymerase chain reaction (PCR) assays and next generation sequencing (NGS) have been 58 utilized as well [28-30], While bronchoscopy cannot always be performed, especially in low 59 and middle income countries it is essential for early diagnosis of VAPA. Unlike fungal infections 60 in neutropenic patients[31], invasive growth in VAPA is primarily limited to lung tissue, with 61 angio-invasion occurring only in later stages of the disease[32]. Therefore, blood -surrogate 62 markers for the diagnosis of VAPA display lower sensitivity than in neutropenic patients [32-63 361.

All these problems combined, result in delayed diagnosis and thereby have a significant impact on morbidity and mortality. These limitations underline the need for more simple diagnostic tests that can be performed at bedside with rapid turnaround time. These point-of-care-tests (POCT) may play an important role in earlier diagnosis of VAPA and thus improve patients outcomes[37,38].

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#### 2. Point of care tests for invasive aspergillosis

2.1. Rapid testing

72 The rat anti-GM monoclonal antibody (mAb) EB-A2, which recognizes the  $1 \rightarrow 5-\beta$ -d-73 galactofuranoside side chains of the galactomannan (GM) molecule, was first introduced in 74 the early 90's with the Pastorex Aspergillus antigen latex agglutination test and later in 1995 75 with the Platelia GM enzyme immunoassay (EIA) (Bio-Rad, Marnes-la-Coquette, France)[39]. 76 The EIA was first validated in serum and some years later in BALF[40]. The Platelia EIA 77 remained for a long time the technique of choice for the detection of the Aspergillus antigen, 78 and due to the fact that histopathology often cannot be obtained in clinic also the primary 79 microbiological criterion for diagnosing invasive aspergillosis.

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81 After introduction of the GM EIA, it took another 13 years until the first rapid POCT for IA was 82 developed (see Fig 1). In 2008, the prototype of a JF5 mouse IgG3 mAb based 83 immunochromatographic lateral flow device (LFD), detecting an Aspergillus mannoprotein, 84 was described [41,42]. JF5 binds to a protein epitope present on an extracellular glycoprotein 85 of Aspergillus secreted constitutively during active growth. Introduction of this kind of POCT 86 for IA improved time from sampling to result from multiple hours to less than one hour. After 87 successful clinical performance trials with the prototype LFD in BALF [31,43-53] and 88 serum[54], the test was formatted to its current CE-marked LFD (OLM Diagnostics, Newcastle 89 Upon Tyne, UK) in 2017 [55]. In 2019, a new lateral flow test was introduced, the Soña 90 Aspergillus galactomannan lateral flow assay (LFA) (IMMY, Norman, Oklahoma, USA) which 91 detects GM[56]. Studies comparing the performance of the OLM LFD and the IMMY LFA show 92 equal performance of both test or better results for the IMMY LFA [32,57-60]. The IMMY test 93 appears to be an excellent substitute for the GM EIA and in some studies sensitivity was even 94 better than the GM EIA [61]. Cut-off for positivity for both IMMY and OLM tests is 0.5 ODI, 95 which is in agreement with the GM EIA but lower than the BALF cut-offs often used clinically 96 to diagnose invasive aspergillosis with more certainty [19,62,63]. The cut-offs used, and 97 therefore the associated sensitivity and specificity, vary in different performance studies[34]. 98 More recently, other new lateral flow tests were introduced implementing the terms LFA and 99 LFD can no longer be used to distinguish specifically the IMMY and OLM test in the future. 100 Currently the QuicGM Aspergillus galactomannan Ag LFA (Dynamiker, Tianjin, China), the 101 FungiXpert Aspergillus Galactomannan Detection K-set LFA (Genobio [Era Biology 102 Technology], Tianjin, China) and the TECO®Fast Aspergillus galactomannan Ag LFA 103 (TECOmedical Group, Sissach, Switzerland; Dynamiker, Tianjin, China) are CE-marked. 104 These new lateral flow tests (LFT) detect GM. Of these new lateral flow tests (LFTs) only

105 internal validation data are available but no clinical performance studies are published in106 literature.

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LFTs as POCT can be performed on different types of clinical specimens, such as blood, BALF, urine and cerebrospinal fluid (CSV), and can detect the presence of different *Aspergillus* antigens. The level of validation and the clinical performance status of these POCT vary depending on the test, the type of specimen and the patient population. The performance of these tests can also be affected by factors such as the timing of the sample collection and the presence of antifungal prophylaxis or treatment[46,64].

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LFTs are most useful when a rapid response is important and the number of samples is low. To really speak of point of care testing there must be no sample transportation, a very short turnaround time without too much pretreatment steps and the possibility to immediately interpret the result without an additional chain of reporting. Implementation of POCTs bedside is limited because the need of trained personnel, quality control and the need for correct biosafety conditions. Therefore, it is better to speak of LFTs as rapid tests instead of POCT as at the moment they are mostly implemented in microbiology labs[25].

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123 As the IMMY LFA and the new LFTs detect GM, in general most of the new POCT detect 124 epitopes very similar to the EB-A2 mAb. There is a void in new diagnostics that employ mAbs 125 directed at epitopes other than those present on GM. In 2012, a new IgM monoclonal antibody 126 (mAb476) that detects small molecular weight galactofuranose-containing antigens in urine 127 was found[65]. This was further optimized to develop the MycoMEIA® test (Pearl Diagnostics, 128 Baltimore, USA) detecting free glycoproteins and extracellular vesicles in urine[66]. Before, 129 GM testing was assumed to be less sensitive in urine causing LFTs until now not being fully 130 analyzed in urine as a matrix [67-71][32-35]. With the MycoMEIA® test currently being in 131 development as an LFT as well (MycoFLOW®) the possibility of an Aspergillus POCT in urine 132 is promising.

Also other more rapid and single sample non-LFT alternatives, for the Platelia GM EIA are now available. For example the *Aspergillus* Galactomannan VirClia® Monotest (Vircell SL, Granada, Spain) is an automated chemiluminescence immunoassay (CLIA) having a similar performance than the Platelia GM EIA but with a much faster turnaround time because batching is not required[72].

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- 140 Also, other more rapid tests are being developed. To detect 1,3- $\beta$ -D-glucan (BDG) for example,
- 141 the Wako β-glucan assay (Wako-BDG, Fujifilm Wako Pure Chemical Corporation, Osaka,

Japan) provides the possibility for single-sample testing and a faster turnaround time in
comparison to the Fungitell assay (Associates of Cape Cod, Massachusetts, USA) that was
dominating the market historically[73,74].

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#### 2.2. Point of care testing for VAPA

147 In general, the sensitivity and specificity of these LFTs and other more rapid assays for the 148 diagnosis of VAPA, and particularly influenza associated pulmonary aspergillosis (IAPA) are 149 less well established than in typical immunocompromised populations meeting the host factors 150 of the 2020 European Organisation for Research and Treatment of Cancer (EORTC)/Mycoses 151 Study Group Education and Research Consortium (MSGERC) consensus definitions[62]. 152 While most studies have been performed in the hematology population or in solid organ 153 transplant (SOT) recipients, an increasing number of analyses in patients with viral-associated 154 aspergillosis are becoming available as a consequence of the COVID-19 pandemic. VAPA is 155 currently also more often diagnosed in patients with EORTC/MSGERC host factors.

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First studies with the LFTs including patients on ICU only date back from 2014[45]. Performance studies of LFTs in patients with VAPA are only available on the IMMY LFA and OLM LFD. Of the others LFTs, including the MycoFLOW test and the VirClia Monotest, a review of literature did not provide any relevant results.

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162 In general, for VAPA LFTs are investigated especially in BALF as serum biomarkers tend to 163 give a false-negative result in critically ill non-neutropenic patients and diagnostic BALF should 164 be performed in suspected cases of IPA [32,45,75,76]. For VAPA, depending on where 165 bronchoscopy is performed, analysis of BALF - in case of a low number of samples - could 166 be performed on-site, at the endoscopy ward or at the intensive care unit (ICU). However, in 167 reality, the personal in charge for endoscopy often does not have the time nor possibility to 168 add the analysis to its work packet and the sample must be sent to the lab for other 169 microbiological and immunological analysis anyway. This could limit its use as a POCT.

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#### 3. Performance in respiratory specimens from patients with severe COVID-19 or Influenza infection

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#### 176 **3.1. Bronchoalveolar Lavage**

While broadly accepted consensus criteria for classification of IA in non-neutropenic patientsare still in the works[77,78], Blot at al., and Schauwvlieghe et al. proposed 2 versions of a

179 specific algorithm for ICU patients[8,79]. In some other publications, diagnosis of IA in ICU 180 patients is also made based on a proposal from the EORTC/MSG, which classify "proven" 181 and "probable" cases of IA [80]. For CAPA specifically, Koehler et al. have proposed specific 182 criteria in 2021 for classification[63], which are now widely used whereas for IAPA expert case 183 definitions are often used[19]. In both of them, detection of GM antigen in BALF or serum 184 samples, as a "mycological evidence" of Aspergillus infection, allows classification as 185 "probable" cases of IA. Both IMMY LFA and OLM LFD were evaluated for detection of GM in 186 BALF in mixed cohorts, which included variable proportions of ICU patients (7-52%) 187 [32,43,46,49,55,81,82]. In all of them except two, it was not specified whether VAPA cases 188 were included [46,81]. Sensitivity in the whole cohorts was slightly higher for IMMY LFA (62-189 98%) [32,56,81-83] compared to OLM LFD (69-80%) (Table 1) [32,55,84,85]. In two studies 190 sensitivity was unusually low, one OLM LFD evaluation (38% sensitivity in a small cohort of 8 191 IA[43]) and one IMMY LFA evaluation (40% sensitivity in a cohort presenting unusual 192 discrepancies with other mycological assays[86]). Specificity was highly variable (44-100%), 193 depending on the used definition criteria and the cohort composition. Among these studies, 194 only one detailed LFA and LFD performance in ICU patients, reporting sensitivity of 69% and 195 65%, and specificity of 71% and 68%, for IMMY LFA and OLM LFD respectively [32].

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197 To date, only two studies focusing on the performance of OLM LFD in BALF were restricted to 198 ICU patients[45,60]. In these studies, sensitivity and specificity were 83-89% and 55-79%, 199 respectively, using the Blot et al. definition of IA. The few other studies that focused on ICU 200 patients evaluated the IMMY LFA, either in a mixed VAPA/non-VAPA cohort [81,87] or 201 specifically in COVID-19 patients [34,88]. All showed good sensitivity, ranging from 58% to 202 82%, and excellent specificity, ranging from 75% to 98% (Table 1). No study was restricted to 203 IAPA patients, nor detailed the results for them. Only one study from Mercier et al. (2020), 204 included a significant proportion of IAPA patients (36% of all patients; n=64 with n=17 IAPA 205 cases and n=47 influenza cases without IAPA). This study showed both high sensitivity (87-206 94% depending on the classification criteria) and high specificity (81%) of the IMMY LFA; 207 specifically for patients with IAPA sensitivity was 94%[87]. The digital readout using the Cube 208 Reader proposed by the manufacturer was associated to a higher sensitivity when compared 209 to a visual readout[87]. Diagnostic value of IMMY LFA was highly comparable to GM EIA 210 Platelia<sup>™</sup> assay [81,87], with the obvious benefit of a short handling time. Receiver Operating 211 Characteristics (ROC) curve analysis showed AUCs between 0.754 and 0.920, which 212 correspond to a good discrimination between IA and non-IA patients, although not perfect. In 213 COVID-19 patients, this was probably due to the impossibility to reach >90% sensitivity without 214 dramatically lowered specificity[34,88]. All together, these data suggest that IMMY LFA 215 detection in BALF should motivate for a consolidated diagnosis of invasive aspergillosis

(culture and PCR in BALF and GM in serum) and incites to initiate an antifungal treatment inCAPA patients, as it is highly specific of IA at the 1.0 ODI cutoff.

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#### 3.2. Other respiratory samples

220 Several other respiratory samples can be used for diagnosis of invasive pulmonary 221 aspergillosis, in increasing rank of deepness: sputum, tracheal aspiration (TA) or the non-222 directed bronchoalveolar lavage (NBL). As they are sampled in the upper respiratory tract, 223 these are considered to be more at risk for colonization with Aspergillus, thus with lowered 224 specificity. However, they also are of interest because of their less invasive sampling 225 procedure, allowing repeated samples on contrary to BALF. Few studies evaluated the 226 performance of GM detection in these samples for diagnosis of IA, moreover in VAPA patients 227 or using a POCT. IMMY LFA detection (>1 ODI cutoff) in TA seems to have a good sensitivity 228 (64-81%) for CAPA diagnosis, with an expected low specificity (67-68%), which makes it a 229 good screening test [34,89]. Only one study reported performance of OLM LFD in TA, with 230 100% (35/35) sensitivity among a cohort of CAPA patients, however the used cutoff was not 231 specified[90]. Considering the severity of VAPA, positivity of a GM rapid test in TA should 232 motivate BALF sampling and further initiation of antifungal therapy if confirmed.

Although positivity of NBL allows classification of "possible CAPA", according to Koehler et al.,
only one study evaluated the diagnostic value of GM detection, using LFA in NBL[34].
Sensitivity was comparable to TA (80%), but with far higher specificity (88%).

To date, the only study on GM detection performance in sputum for diagnosis of IA focused on hematology patients and used GM EIA assay[91]. It showed interesting results as sputum GM had 100% sensitivity and 62% specificity, but this was determined on a small cohort of IA patients (n=6) and has to be validated on ICU patients. Finally, it seems clear that the value of GM detection in non-BALF respiratory samples should not be neglected, although it could be performed easily and quickly using a POCT to allow rapid management adaptations.

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#### 4. Performance in Blood

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Data on the performance of LFTs in blood from patients with VAPA is scarce, exclusively focusing on CAPA, with not much more than a handful of studies evaluating the test in serum samples from mixed cohorts that included VAPA patients and only 4 studies which focused exclusively on patients with COVID-19 (Table 1). In contrast to CAPA, to the best of our knowledge, no data on performance of serum LFT testing in patients with IAPA, including influenza associated tracheobronchial aspergillosis[92], has been reported to date.

252 In a large European multicenter study in four countries (148 serum samples; including samples 253 from 48 cases with CAPA) performance of serum IMMY LFA was evaluated specifically for 254 CAPA patients in the ICU. Sensitivity in serum was low with 20% at the 0.5 ODI cutoff, with a 255 specificity of 93% and a non-discriminatory AUC of 0.512 [34], with significantly better 256 performances observed when testing respiratory specimens. While several mixed cohort 257 studies evaluating the serum IMMY LFA or OLM LFD exist in cohorts of ICU or non-neutropenic 258 patients that also include patients with CAPA (sensitivities between 69% and 79%, specificities 259 79% to 84% in the overall cohorts, Table 1)[37,93,94], only one of these studies reported 260 performance for CAPA specifically (0% sensitivity, 96% specificity), but was limited by 261 including only 2 patients with CAPA[95].

262 The low sensitivities of serum IMMY LFA for early diagnosis of CAPA can primarily explained 263 by the distinct pathophysiological features of aspergillosis in patients with viral induced acute 264 respiratory failure, characterized by several days of tissue invasion in the lungs, before 265 angioinvasion occurs at a later stage of disease [2,29,96]. In contrast, testing of blood samples 266 for fungal antigens is a common and well established approach in the hematological 267 malignancy setting, particularly neutropenic patients. The hallmark of invasive aspergillosis in 268 the neutropenic host is angio-invasion and consequently the dissemination of Aspergillus 269 antigens into the bloodstream [75,97,98]. Thus for the serum IMMY LFA test higher 270 sensitivities of approximately 50 to 97% were reported in hematological patients [37,58,61], 271 outperforming the OLM LFD in a direct comparison (24% sensitivity) [58].

272 In contrast to neutropenic patients, angio-invasion in patients with viral induced acute 273 respiratory failure is an indicator for late-stage disease associated with devastating mortality 274 rates in this setting [32,99-101]. This may also explain the higher sensitivity of serum IMMY 275 LFA testing for CAPA diagnosis in studies from settings with more limited resources for early 276 diagnosis, including more limited access to bronchoscopies (Table 1). In an Iranian study, 277 where most CAPA patients had positive serum GM results indicating late stages of disease, a 278 sensitivity of 56% was observed for the IMMY LFA in serum [88]. Even higher sensitivities 279 were observed in a study from Turkey (80% sensitivity for 74 CAPA cases; 94% specificity) 280 [102] and Argentina (92% sensitivity in 12 CAPA cases; positive serum IMMY LFA results also 281 in 7 patients without any other mycological evidence for CAPA )[103], again likely driven by 282 late stage disease and positivity of serum GM at the time of sampling.

Thus, the reported sensitivity may, at least partly, based on advanced stages of CAPA.
However, generally speaking, the limited sensitivity of Aspergillus LFTs limit their use in serum
as a screening or diagnostic tool for VAPA in the ICU. This is especially true when we target
to diagnose VAPA early in the course of the disease.

Even though, there is not a whole lot of data on the diagnostic performance of all different LFTs in blood samples for diagnosis of VAPA out there, the available data indicate, that the

performance of the newly introduced LFTs serum samples seems to be similar to that of the established biomarkers like GM detection by ELISA [104-106]. The most important drawback of application of the new LFTs in serum samples in the non-neutropenic cohort is the limited sensitivity. Thus, physicians should keep in mind that a negative test result from a blood sample is not sufficient to rule out VAPA and further diagnostic interventions may be indicated.

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#### 5. Conclusions

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We have reviewed POCT for VAPA, analyzing performances in respiratory and blood specimens, and have found that most data is available for the IMMY LFA with performances similar to the GM ELISA test. Clinical performance was particularly promising in BALF and to a lesser extend other respiratory specimens, while performance in blood was indiscriminatory for early diagnosis of VAPA. When compared to the OLM LFD the IMMY LFA provided better sensitivity while maintaining good specificity when testing BAL fluid samples.

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#### **6. Expert Commentary**

305 Sensitive and rapid diagnosis of fungal infections improves mortality but remains challenging. 306 Particularly in non-neutropenic patients in the ICU, clinical and radiographic signs are often non-specific, and therefore mycological testing must be performed with a low threshold in case 307 308 of suspicion of aspergillosis. Conventional classification criteria for IA in neutropenic patients 309 have limited applicability in the heterogenous ICU population where evolving risk factors 310 including new treatments have often replaced classical host factors [107]. Clinical sings of 311 VAPA are often indiscriminatory from viral associated acute respiratory failure, and there are 312 logistical difficulties in performing a chest CT in intubated patients on machines. When chest 313 CT is performed, it often produces non-specific findings in patients with viral associated acute 314 respiratory failure[20].

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316 Therefore new algorithms have been developed to classify VAPA in the ICU [108]. Those 317 algorithms strongly rely on microbiologic findings, which are most reliable when tests are 318 performed in samples from the lower respiratory tract. While bronchoscopy is generally safe 319 for patients on ICU, the diagnostic merits must be evaluated against the status of the patient 320 on a case-by-case basis in those suffering from hypoxemia, organ failure and coagulopathy. 321 Reducing the volume installed during bronchoscopy can lower the risk of complications but 322 may also have an influence on test results, such as antigen levels. Lack of standardization of 323 BALF samples, and the fact that fungal elements are not always homogeneously distributed 324 across the lungs, further complicate the issue. Also timing of the bronchoscopy will have an 325 impact on the yield of microbiological diagnostic tests, with positive results in general observed

earlier in IAPA than CAPA, particularly in those with influenza associated tracheobronchialaspergillosis [109].

328 Given the paramount importance of microbiologic testing on early detection and successful 329 treatment of VAPA POCT have been introduced in various settings, allowing for faster 330 turnaround times and potentially serving a role in antifungal stewardship in settings where GM 331 ELISA results are not rapidly available [110,111]. LFTs have shown promising performances 332 for invasive aspergillosis in the hematology and ICU populations [36,112]. The LFT technology 333 is particularly attractive because of its potential of single sample testing, ease of use and short 334 turnaround time, which may inform early clinical decision making. For early diagnosis of CAPA 335 particularly the IMMY LFA has shown promise when testing of samples from the lower 336 respiratory tract[34]; in contrast limited (OLM LFD) to no data (all other tests) exists for other 337 LFTs outlining that those tests should be used with care. LFTs will not resolve the issues of 338 GM ELISA testing when testing blood samples from patients with CAPA, due to the 339 pathophysiology of the disease with angioinvasion only occurring at a late stage. In contrast to 340 CAPA, literature on performance of LFTs for diagnosis of IAPA is very limited.

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#### 7. 5-year view

345 Five years from now, mycologic diagnosis of invasive aspergillosis in the ICU will be 346 established with POCT at the bedside, in combination with other diagnostic tests, with positive 347 results and negative results informing clinical decision making in terms on initiation and 348 discontinuation of antifungal treatment. Data on POCT performance for diagnosing VAPA is 349 still limited, especially for IAPA and for testing other specimens than BALF. Also performance 350 evaluation for diagnosis of CAPA is sometimes due to incorporation bias (presence of the 351 evaluated laboratory test and the closely related GM ELISA in the reference mycological 352 criteria) which may lead to an overestimation of the diagnostic accuracy.

For broad implementation of these tests in diagnosing VAPA more studies are therefore necessary. While many POCT are currently available, many of which have not been properly evaluated in terms of their performance, 5 years from now it will not be possible to use POCT in clinical routine without proper validation.

To further improve diagnostic performance, taking into account not only the fungal pathogen but also the host response, novel biomarkers evaluating the host immune response could be implemented or combined in a POCT format with currently available tests. The more distant future will tell, whether the turnaround time of next generation sequencing will significantly improve, allowing for panfungal / panmicrobial testing in the form of a POCT.

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364	8. Key issues:	
365	- Early diagnosis from samples of the lower respiratory tract and treatr	nent of
366	invasive aspergillosis is an important predictor of survival in patients with V	APA.
367	- Conventional culture and - to a lesser extent - galactomannan testing are lin	nited by
368	long processing times in some settings.	
369	- Point-of-care diagnostic test for invasive aspergillosis (IA) are now comm	nercially
370	available and will complement GM and culture in diagnosis of VAPA.	
371	- Particularly the IMMY LFA has shown promise for diagnosis of CAPA, wh	ile data
372	on other POC tests are limited to non-existing.	
373	- Serum testing with POCT has shown limited sensitivity for early diagnosis of	VAPA.
374	<ul> <li>Data on diagnostic performance of POCT for IAPA are very limited.</li> </ul>	
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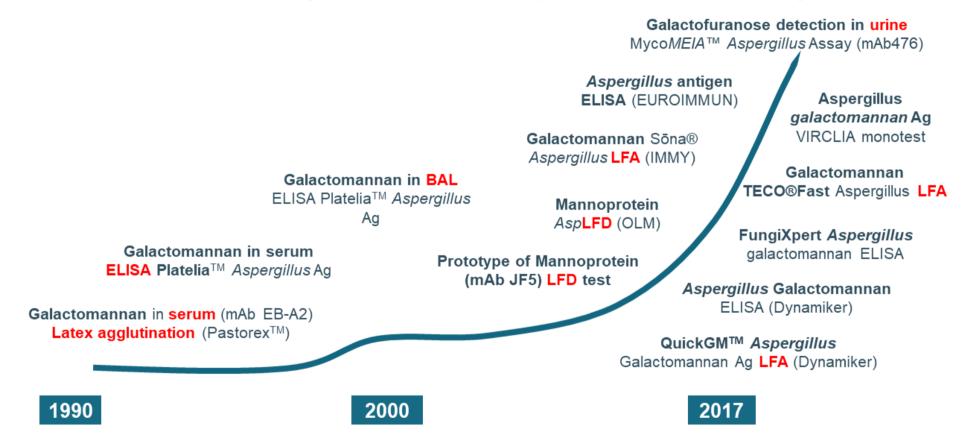
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759 **Figure 1** 

760 Antigen detection assays for invasive aspergillosis: a timeline.

#### Expansion of antigen detection assays for invasive aspergillosis



761

#### 762 **Table 1**

763 Performance of different point-of-care tests (POCTs) for diagnosing VAPA in respiratory and blood specimens. Only studies analyzing performance

of lateral flow tests (LFTs) including patients on ICU and/or patients with viral-associated aspergillosis are included; OLM = Aspergillus lateral flow

765 device (LFD, OLM Diagnostics, Newcastle Upon Tyne, UK), IMMY = Aspergillus galactomannan lateral flow assay (LFA, Norman, Oklahoma, USA).

766

Study	Test	Total # of patients n =	# Cases Proven and Probable IA*	Matrix	Cohort # on ICU / VAPA	Sensitivity entire cohort **	Specificity entire cohort **	Sensitivity ICU / VAPA	Specificity ICU / VAPA
Hoenigl et al 2014[49]	OLM	78	17	BALf	4 ICU patients	80%	95%	NA	NA
Eigl et al 2015[45]	OLM	133	16	BALf	133 ICU patients, 4 with influenza A	80%	81%	80%	81%
Eigl et al 2015[46]	OLM	60	60	BALf	4 with influenza A	75%	NA	NA	NA
Castillo et al 2018[43]	OLM	106	8	BALf	9 critically ill patients (burn, trauma, ICU)	38%	94%	NA	NA
Hoenigl et al 2018[55]	OLM	28	14	BALf	5 ICU patients	71%	100%	NA	NA
Jenks et al 2019[32]	IMMY	82	13 EORTC / 26 AspICU \$	BALf	20 ICU patients	62% EORTC	63% EORTC	69% AspICU+	71% AspICU+
Jenks et al 2019[32]	OLM	82	13 EORTC / 26 AspICU \$	BALf	20 ICU patients	69% EORTC	62% EORTC	65% AspICU+	68% AspICU+
Linder et al 2020[86]	IMMY		20	BALf	4 critically ill patients	40%	80%	NA	NA
Mercier et al 2020[87]	IMMY	178	55: 32 EORTC + 23 mAspICU° (17 IAPA)	BALf	178 ICU patients, 64 with influenza	88% EORTC	81% EORTC	94% AspICU 87% mAspICU	81% AspICU 81% mAspICU

Study	Test	Total # of patients n =	# Cases Proven and Probable IA*	Matrix	Cohort # on ICU / VAPA	Sensitivity entire cohort **	Specificity entire cohort **	Sensitivity ICU / VAPA	Specificity ICU / VAPA
Only studies o	n LFT in bl	ood including	patients in ICU and	d/or patients	with VAPA:		1	·	
Egger et al 2022[82]	IMMY	115	43	BALf	52 ICU patients	98%	52%	NA	NA
Marta et al 2022[90]	OLM	300	35 CAPA^^ (8 BALf, 35 TA)	BALf TA	300 ICU patients with COVID-19	87.5% 100%	1	87.5% 100%	1
Ghazanfari et al 2022[88]	IMMY	105	33 CAPA^	BALf	105 ICU patients (mechanical ventilation ≥4 days)	60.6%	88.9%	60.6%	88.9%
Autier et al 2022[34]	IMMY	196	48 CAPA^	BALf NBL TA	48 CAPA patients (29 of which BALf tested)	72% × 90% × 100% ×	79% × 83% × 44% ×	72%	79%
Roman- Montes et al 2021[89]	IMMY	144	14 CAPA <sup>µ</sup>	ТА	14 ICU patients	60%	72.6%	60%	72.6%
Jenks et al 2021[81]	IMMY	296	58 EORTC + mAspICU \$ (+ 30 putative)	BALf	153 ICU patients (+ other non-Hem and non-SOT), 9 with influenza	89%	44%	86%	48%
Scharmann et al 2020[60]	IMMY	200	30 EORTC + mAspICU \$\$	BALf	200 ICU patients	87.1% EORTC	50.6 EORTC	93.3% AspICU 79.7% AspICU+ 85.3% mAspICU	46.1% AsplCU 45.0% AsplCU+ 72.9% mAsplCU
Scharmann et al 2020[60]	OLM	200	30 EORTC + mAspICU \$\$	BALf	200 ICU patients	73.3% EORTC	49.3 EORTC	88.9% AspICU 60.7% AspICU+ 68.5% mAspICU	55.1% AspICU 47.1% AspICU+ 67.2% mAspICU

Hoenigl et al 2021[37]	IMMY	122	28 (2 CAPA^)	Serum	59 ICU patients with COVID-19, 28 with no other underlying disease	78.6%	80.5%	0%	96%
Almeida-Paes et al 2022[93]	IMMY	200	2 + 24 CAPA^, 38 CPA, 36 -oma	Serum	Mixed (Non Neutro)	74%	84%	NA	NA
Autier et al 2022[34]	IMMY	196	48 CAPA^	Serum	67 CAPA patients (37 proven or probable of which BALf tested)	20% ×	93% ×	20%	93%
Ghazanfari et al 2022[88]	IMMY	105	33 CAPA^	Serum	105 ICU patients (mechanical ventilation ≥4 days, 32 CAPA patients of which serum tested)	56.3%	94.2%	56.3%	94.2%
Hsiao et al 2022[94]	OLM	91	29	Serum	35 ICU patients	68.96%	78.67%	NA	NA
Serin et al 2022[102]	IMMY	174	74 CAPA^	Serum	174 ICU-pandemic patients	80% ×	94% ×	80% ×	94% ×
Giusiano et al 2022[103]	IMMY	185	12 CAPA when LFA was excluded as mycological criterion; 19 probable CAPA when LFA was used as mycological criterion	Serum	185 ICU patients with COVID-19	92%	1	92%	1

\* EORTC-MSGERC criteria according to De Pauw et al. Clin Infect Dis. 2008 Jun 15;46(12):1813-21. doi: 10.1086/588660; and Donnelly et al. Clin Infect Dis. 2020 Sep 12;71(6):1367-1376. doi: 10.1093/cid/ciz1008, depending on timing, unless mentioned otherwise.

\*\* Unless mentioned otherwise.

773 \* AspICU criteria according to Blot et al. Am J Respir Crit Care Med. 2012 Jul 1;186(1):56-64. doi: 10.1164/rccm.201111-1978OC; and modified AspICU (mAspICU) criteria 774 775 776 777 according to Schauwylieghe et al. Lancet Respir Med. 2018 Oct:6(10):782-792. doi: 10.1016/S2213-2600(18)30274-1. \$ AspICU criteria° broadened by adding BALF GM >1.0 ODI as entry criterion (AspICU+). 778 779 780 781 782 783 783 784 785 786 787 \$\$ AspICU criteria° broadened by adding BALF GM >1.0 ODI as entry criterion with a few modifications (AspICU+): 'semi-guantitative Aspergillus-positive culture of BAL fluid (+ or ++), without bacterial growth together with a cytological smear showing branching hyphae' was not included. µ According to the mAspICU criteria. None of the 14 patients with CAPA met the EORTC/MSG host criteria. ^ According to the ECMM/ISHAM criteria, Koehler et al. Lancet Infect Dis 21: e149-e162. doi.org/10.1016/S1473-3099(20)30847-1, excluding LFA as criterion. <sup>^^</sup> According to the ECMM/ISHAM criteria. Koehler et al. Lancet Infect Dis 21: e149–e162. doi.org/10.1016/S1473-3099(20)30847-1. X Including possible CAPA 788 789 Abbreviations: ICU = intensive care unit, Hem = hematology, SOT = solid organ transplantation. 791 792 794