

Photolysis of multifunctional carbonyl compounds under natural irradiation at EUPHORE

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2	EUPHORE
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29 Abstract

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31 Photolysis is one the main drivers in atmospheric chemistry. Volatile organic compounds that bear one or more carbonyl functions can absorb UV light between 32 295 nm and 450 nm, enabling them to possibly photolyze in the atmosphere. Yet, 33 34 very few data are available regarding the impact of such photolysis processes on the 35 fate of multifunctional carbonyls. In the present work, we investigated the photodissociation of one α -diketone and three hydroxyketones under real sunlight at 36 the European Photoreactor EUPHORE. The obtained photolysis frequencies 37 normalized by NO₂ photolysis were: 2,3-pentanedione (PTD): (3.4±0.6)×10⁻², 4-38 hydroxy-4-methyl-2-pentanone (4H4M2P): $(6.2\pm2.9)\times10^{-4}$, 3-hydroxy-3-methyl-2-39 (8.2±4.9)×10⁻⁴, 4-hydroxy-3-hexanone 40 butanone (3H3M2B): and (4H3H): $(5.1\pm3.0)\times10^{-4}$. These ratios represent the first data for three of them, to our 41 knowledge. Reaction products were also investigated and carbon balances of 70-42 100% in the gas-phase have been obtained. A photolysis reaction mechanism is 43 44 proposed for 4H3H for the first time, based on the observed products. Atmospheric lifetimes span between 39 min for PTD to 1-2 days for hydroxyketones. The present 45 work shows that photolysis of multi-oxygenated VOCs may be significant and needs 46 47 to be taken into account in atmospheric chemistry models where it represents an 48 additional source of radicals. 49 50 51

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59 Keywords: Oxygenated-VOCs; lifetime; atmosphere; dione; hydroxyketones;

- 60 photolysis
- 61

62 1. Introduction

63

64 Photolysis of carbonyls is known to be a significant source of radicals in the atmosphere, impacting the budget of HO_x and NO_x on regional to global scales. Yet, 65 measurements of photolysis frequencies under natural sunlight are rather scarce and 66 67 often concern simple species like formaldehyde, acetaldehyde and acetone (Calvert 68 et al., 2011). For most of the carbonyls, in the absence of direct measurements, photolysis frequencies can be estimated from solar actinic flux and absorption cross 69 sections assuming quantum yields of unity, but this leads to upper limit values and 70 71 (often) to an overestimation of photolysis rates (Moortgat, 2001). Indoor simulation 72 chambers with actinic lamps may be used more easily, but high uncertainties in the 73 photolysis frequencies may result due to the different irradiation spectrum. Accurate 74 photolysis frequencies are one of the main uncertainties in atmospheric chemistry 75 models like MCM or GECKO-A (Hodzic et al., 2015), especially for larger and 76 multifunctional carbonyl compounds. Measurements under real sunlight are thus 77 clearly necessary to gain knowledge of these radical-generating processes and to 78 improve the consistency and robustness of atmospheric chemistry models.

79 Multifunctional carbonyl compounds like diketones and hydroxyketones represent 80 important groups of oxygenated Volatile Organic Compounds (VOCs) in the 81 atmosphere. The OH radical initiated oxidation is generally considered as the main degradation pathway of oxygenated VOCs while the reaction with CI atoms (often 82 83 having a much larger rate constant (Aslan et al., 2017a: Bouzidi et al., 2017)) may be 84 significant in coastal areas where CI atom concentrations are high. A range of recent 85 studies has indicated that the atmospheric photolysis of multifunctional carbonyls 86 could be significant (Aslan et al., 2017c; Bouzidi et al., 2014b, a, 2015b, a; Messaadia et al., 2012, 2015). The compounds investigated in these studies were 87 88 dicarbonyls and hydroxycarbonyls that may either be directly emitted into the 89 atmosphere or be produced in the degradation of hydrocarbons and oxygenated 90 compounds, as suggested by laboratory studies (Grosjean and Grosjean, 1998; 91 Reisen et al., 2003; Tuazon et al., 1998) and detailed atmospheric chemistry models 92 (Aumont et al., 2005). In the aforementioned studies, the atmospheric photolysis 93 rates were either calculated based on solar actinic flux and absorption cross sections 94 or measured using indoor simulation chambers with actinic lamps. Thus, significant 95 uncertainties remain on the importance of the photolysis channel for these species 96 and on reaction chemical mechanisms. Photolysis rate measurements under natural 97 sunlight are required in order to assess correctly the atmospheric significance of the 98 photolysis pathway for these compounds.

99 The present work focused on an α -dicarbonyl (2,3-pentanedione/PTD) and three hydroxycarbonyl compounds (3-hydroxy-3-methyl-2-butanone/3H3M2B, 4-hydroxy-4-100 methyl-2-pentanone/4H4M2P and 4-hydroxy-3-hexanone/4H3H) aiming at measuring 101 102 their photolysis rates under natural irradiation and comparing with artificial light 103 studies.

2,3-pentanedione	3-hydroxy-3-methyl-	4-hydroxy-4-methyl-2-	4-hydroxy-3-
	2-butanone	pentanone	hexanone

105 106 These compounds were chosen to identify possible structure-activity relationships regarding their photodissociation rates. The studies were carried out at the outdoor 107 European Photoreactor (EUPHORE). This facility allows the experiments to be 108 109 performed under realistic atmospheric conditions, particularly with respect to the 110 intensity and wavelengths of solar radiation. The main primary products of the 111 photolysis of PTD, 3H3M2B, 4H4M2P and 4H3H were determined. The results are discussed in terms of the impact of the direct photolysis of multifunctional carbonyls 112 113 as a source of radicals and carbonyls in the atmosphere.

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115 **2. Experimental section**

116

The EUPHORE chamber is a hemispheric Teflon reactor of $\sim 200 \text{ m}^3$ that can be 117 exposed to sunlight when uncovered. A thorough description can be found in 118 (Becker, 1996; Muñoz et al., 2011). The experiments took place between 12th and 119 120 21st of July 2017 with overall sunny days though the sky was sometimes partly Averaged photolysis 121 covered by clouds. NO_2 rates (determined by 122 spectroradiometry) laid in the range 0.45 to 0.56 min⁻¹ (see Table 1).

123 Two experiments were carried out for each selected compound: one with an OH-124 radical scavenger (cyclohexane) where more than 93% of OH reacted with the 125 scavenger (see Table 1), the other one in the absence of scavenger. Reactants and 126 products were measured using five different techniques: 1) in situ Fourier Transform 127 Infrared (FTIR) spectroscopy with an optical pathlength of 554 m; 2) Proton-Transfer Reaction Mass Spectrometry (PTR-MS); 3) Gas Chromatography (GC) with Photo 128 Ionization Detection (PID) and Flame Ionization Detection (FID); 4) Gas 129 130 Chromatography Mass Spectrometry (GC-MS) with auto SPME (solid phase microextraction) sampling and 5) Liquid Chromatography with Mass Spectrometry 131 132 (LC-MS). A UV absorption based O₃ monitor (Ecotech Serinus10) was also used as 133 well as HPLC with fluorescence detection for peroxide determination. A NO_x monitor (Teledyne T200) was used to measure NO, NO₂ and NO_x. Description of the 134 135 techniques and calibration methods used at EUPHORE are described elsewhere 136 (Borrás et al., 2017; Muñoz et al., 2018; Thalman et al., 2015) and only a brief 137 description of the conditions used for the experiments are given here. For GC and 138 PTR-MS analysis, gas samples were taken automatically from the chamber through a 139 slightly heated line (65°C in the case of GC-MS and 80°C for PTR-MS). PTR-MS 140 samples were taken automatically, in full scan mode from m/z 21 to 150, with a dwell 141 time of 1 s and E/N of 130 Td. PTR-MS guantification was made using external gas bottle calibrations (for HCHO, acetone and acetaldehyde), chamber introduction (for 142 143 reactants) and software based theoretical calculations for the other products determined. IR spectra were obtained from the co-addition of 300 scans with a 144 145 resolution of 1 cm⁻¹, resulting in a time resolution of about 5 min. The IR intervals used for the analysis were: PTD (2600-2869 cm⁻¹); 3H3M2B (830-1242 cm⁻¹); 146 4H4M2P (2650-2835.5 cm⁻¹); 4H3H (2650-2785 cm⁻¹); for cyclohexane, the analysis 147 148 region was around 2700 cm⁻¹ and varied depending on the oxygenated reactant.

The determination of hydroperoxides and organic peroxides by HPLC has been optimized at EUPHORE based on the reaction of H_2O_2 and organic hydroperoxides/peroxides with p-hydroxyphylacetic acid to form a fluorescent dimer using peroxidase as an enzyme catalyst (Morgan and Jackson, 2002). An Agilent HPLC system (Santa Clara, USA) with a 1100 thermostated autosampler, column compartment and fluorescence detector with a 1260 binay pump was used. Water at pH=3.5 was used as mobile phase at 0.5 mL/min, column used (Purospher RP-18e-,
 250x4 mm, 5 um from Merck) was thermosthatized at 5°C and 100 uL of sample was
 the injection volume.

GC-MS analysis was preceded by sampling on a Solid-Phase Micro-Extraction 158 159 (SPME) needle with PFBHA as derivatization agent (Thalman et al., 2015). A 160 sampling cell was designed for adapting the input from a high-volume simulation chamber. The air passed through, in a turbulent regime at 10 L min⁻¹ during a 161 selected sampling time. The sample is thermally desorbed in the GC port of an 162 163 Agilent GC-MS (Santa Clara, USA) equipped with a HP-5MS column of 30 m x 0.25 mm I.D × 0.25 mm film. The chromatograph was programmed at 80°C for 2 min, then 164 ramped at a rate of 12°C min⁻¹ to 240°C, 100°C min⁻¹ to 280°C. The injection port was 165 held at 250°C and the transfer line from GC to MS was held at 300°C. Samples were 166 injected in splitless mode, using helium as carrier at a flow of 1 mL min⁻¹. The El-167 168 voltage was 70 eV, the ion source temperature at 200°C and the quadrupole 169 temperature at 100°C. Full scan mode was used (m/z 50-650) to identify the most abundant ions of carbonyl derivatives. The selected ion chromatograms of the most 170 171 abundant ions were used to quantify the concentration of derivatives in solution.

The procedure for a typical experiment was as follows: liquid samples of reactants were introduced into the chamber by a stream of heated air with the fans switched on to assure a homogeneous mixture of the air mass, and stood in the dark for about 30 min allowing the loss rates (wall losses and dilution) to be evaluated. The dilution rate was checked following the SF₆ (inert compound injected after the reactants) evolution by FTIR. The chamber was then opened and exposed to sunlight for about 5h. Initial reactant concentrations and irradiation conditions are summarized in Table 1.

179Reactants were obtained from commercial sources. PTD (> 97%), 3H3M2B (> 95%),1804H4M2P (> 99%), 4H3H (not specified but probably > 95% from our own GC181analysis) and cyclohexane (> 99.9%) were all from Sigma-Aldrich. SF₆ (99.9%) was182from Abelló Linde. All compounds were used as received without further purification.

183

184 **3. Results and Discussion**

185

186 **3.1 Photolysis frequencies**

Photolysis being a first-order process, the rate coefficients (also called photolysis
 frequencies) can be determined from the time-dependent reactant concentrations C
 using:

191
$$ln\left(\frac{c_0}{c}\right) = J_{VOC,obs} \times t$$

192

193 where $J_{VOC,obs}$ is the observed decay rate, the subscript 0 denoting the initial reactant concentration and t being the reaction time. Eq. 1 shows that only relative 194 195 concentrations are needed to retrieve $J_{VOC,obs}$. For hydroxyketones, FTIR data were 196 used to determine the photolysis frequencies; the other data from PTR-MS and GC 197 analysis, while providing consistent results with FTIR (generally within 30%), 198 presented higher uncertainties, mainly because of a much lower number of data (GC 199 measurements with low time resolution) and more data scattering (PTR-MS using a 200 quadrupole mass filter). For PTD, data from FTIR, GC and PTR-MS were used.

The corresponding kinetic plots are given in Figures 1a to 1d for 4H4M2P, PTD, 3H3M2B and 4H3H, respectively. The observed decay rates were then corrected for dilution and wall losses using the FTIR data recorded before opening the chamber. The corrected photolysis frequency for each compound ($J_{VOC,corr}$) averaged over the

(Eq. 1)

205 entire exposure time was determined using the experiments carried out with OH 206 scavenger. A similar data analysis was done for the experiments carried out without 207 OH scavenger (Figures S1a to S1d). The corrected first order rates obtained in these 208 later experimental conditions correspond to both photolysis and OH reaction. Finally, 209 average NO₂ photolysis frequency (J_{NO2}) was calculated from the an 210 spectroradiometer data over the whole irradiation period. J_{NO2} values range from 0.45 ± 0.10 min⁻¹ to 0.56 ± 0.07 min⁻¹, the error (1 σ) representing the fluctuation of 211 irradiance during photolysis time (Table 1). 212

The results are summarized in Table 2. The observed $J_{VOC,obs}$ are around $1x10^{-3}$ min⁻ 213 ¹ for hydroxyketones and 2×10^{-2} min⁻¹ for PTD. After wall loss and dilution 214 corrections, the hydroxyketone photolysis frequencies fall around a few 10⁻⁴ min⁻¹ 215 and represent only a fraction of the observed decay rates (~20-30%), the main part 216 217 being wall loss and dilution. Conversely, for PTD, the correction represents only about 5%. Uncertainties on $J_{VOC,corr}$ arise from errors on C_0/C (about 2% statistical) 218 219 and on the wall loss and dilution rates (about 15%). Propagating the errors yields 220 global errors between 2% (for PTD) up to 45% (for 4H3H) on $J_{VOC,corr}$. $J_{VOC,corr}$ were 221 then normalized by J_{NO2} . It is worth noting that the difference in the $J_{VOC,corr}/J_{NO2}$ 222 ratios with and without OH scavenger is rather low for hydroxyketones (of the order of 223 20-40% which is within the uncertainties) and even negligible for PTD. Yet, in all experiments carried out with cyclohexane, cyclohexanol and cyclohexanone 224 (products of cyclohexane + OH) were observed by GC-MS, suggesting that OH 225 226 radicals are still formed in all chemical systems. OH radicals may be formed in 227 peroxy radical reactions (Orlando and Tyndall, 2012).

228

229 Table 2 also reports literature data on photolysis frequencies of the investigated 230 compounds. To the best of our knowledge, only one study is available regarding 231 photolysis frequency measurements performed under natural irradiation (Magneron et al., 2003). This determination was performed at EUPHORE and concerned 232 233 4H4M2P. The present determination of $J_{4H4M2P,corr} = (3.4\pm1.1)\times10^{-4}$ min⁻¹ $(J_{\text{VOC,corr}}/J_{\text{NO2}} = (6.2\pm2.9)\times10^{-4})$ is consistent with their upper limit of 3.0×10^{-4} min⁻¹ 234 $(J_{VOC,corr}/J_{NO2} < 5.4 \times 10^{-4})$. The inability of Magneron et al. to determine the photolysis 235 frequency may be due to a higher dilution rate at the time of their experiments. Other 236 investigations in the literature were carried out using actinic lamps emitting near 310 237 238 nm (Aslan et al., 2017c, b; Bouzidi et al., 2014b) or 360 nm (Bouzidi et al., 2014a). 239 The present results are in reasonable agreement with photolysis frequencies from 240 literature and can be considered as satisfactory taking into account that different 241 irradiation systems were used.

242 Photolysis rates can also be calculated using the actinic flux measured at EUPHORE 243 and the known absorption cross sections $\sigma_{VOC}(\lambda)$ of the targeted compounds (Aslan 244 et al., 2017b, c; Messaadia et al., 2012; Szabo et al., 2011) using the following 245 formula:

246 247

$$J_{calc,VOC} = \int \sigma_{VOC}(\lambda) \times \Phi_{VOC}(\lambda) \times F(\lambda) d\lambda$$
 (Eq. 2)

248

where $\Phi_{VOC}(\lambda)$ is the wavelength-dependent quantum yield assumed to be equal to unity at all λ and $F(\lambda)$ is the actinic flux at EUPHORE. $F(\lambda)$ was determined by adjusting the actinic flux at 40°N, 1st July (Demerjian et al., 1980) to the averaged J_{NO2} measured values. The calculated photolysis rates, $J_{calc,VOC}$ for 4H4M2P, PTD, 3H3M2B and 4H3H were found to be 1.5×10^{-3} min⁻¹, 7.9×10^{-2} min⁻¹, 1.4×10^{-3} min⁻¹ and 6.1×10^{-4} min⁻¹, respectively. Uncertainties on $J_{calc,VOC}$ were estimated to 20-30% 255 using the error propagation method from the uncertainties on $\sigma_{VOC}(\lambda)$ (as reported in 256 the literature) and J_{NO2} (used to calculate $F(\lambda)$). Effective quantum yields, defined as $\Phi_{\text{eff}} = J_{VOC, corr}/J_{calc, VOC}$ were then derived: 0.23, 0.23, 0.28 and 0.46 for 4H4M2P, 257 258 PTD, 3H3M2B and 4H3H, respectively, with combined uncertainties of about 50%. 259 The PTD effective quantum yield is in excellent agreement with Bouzidi et al. who 260 obtained 0.20 (Bouzidi et al., 2014a). In the case of 4H4M2P, the obtained quantum 261 yield compares fairly well with literature: Magneron et al. and Aslan et al. found < 0.20 (Magneron et al., 2003) and 0.15 (Aslan et al., 2017c). For 3H3M2B and 4H3H, 262 literature data report much lower quantum yields of 0.10 (Aslan et al., 2017b; Bouzidi 263 264 et al., 2014b). These discrepancies may be due to i) more uncertainties in the absorption cross section data in the atmospheric window UV range (4H3H especially) 265 and ii) lack of data for λ > 350 nm while the 3H3M2B and 4H3H are probably still 266 absorbing (see UV spectra in (Aslan et al., 2017b; Messaadia et al., 2012)). 267

269 **3.2 Photolysis products**

270 3.2.1 Hydroxyketones

271 Many different oxygenated products have been detected and are reported in Table 272 S1 to Table S6. The concentrations of the main products were quantified by FTIR or 273 PTR-MS and corrected for dilution using SF₆ measurements. Note that no other 274 corrections like carbonyl photolysis or secondary production were applied to product 275 concentrations. Product molar yields (Y) were calculated by ratioing the corrected 276 product concentrations (Δ [product]) to the amount of hydroxyketone consumed 277 (Δ [hydroxyketone]):

278

268

279 $Y = \Delta[product]/\Delta[hydroxyketone]$

(Eq.

280 281 3)

The amount of hydroxyketone reacted was determined from FTIR measurements and 282 283 corrected for dilution and wall losses. In the case of experiment 7 (4H3H photolysis 284 without OH scavenger), a degradation of 4H3H occurred at the injection and the FTIR 285 data were polluted without possibility to extract a decay rate. Therefore, PTR-MS and GC-MS data were used to determine Δ [4H3H]. The results are detailed in the next 286 287 paragraphs successively for 4H4M2P, 3H3M2B and 4H3H. Reported uncertainties 288 represent only 1_o from the linear fits. Global uncertainties on Y are estimated to be of the order of 60%, including reactant and product calibration errors (20%) and 289 290 uncertainties on wall and dilution loss rate constants (40%). In the case of experiment 291 7, higher global uncertainties on Y (about a factor of 2) are expected due to larger 292 errors on Δ [4H3H] (see Figure S1d).

293

294 **4-Hydroxy-4-Methyl-2-Pentanone**

Formaldehyde, acetone and formic acid were observed by FTIR spectroscopy as the 295 296 main products both in the experiment with OH scavenger (experiment 2) and in that without OH scavenger (experiment 1). Additional formation of acetic acid and 297 hydroxyacetone was suggested by PTR-MS. Formation of glyoxal and methylglyoxal 298 299 was observed by GC-MS. About 50 ppb of O_3 was observed at the end of the experiments. All identified products are reported in Tables S1 and S2. Based on the 300 301 present work and literature data a simplified mechanism can be drawn for the atmospheric photolysis 4H4M2P (scheme 1). 302



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306 307

308

Scheme 1: simplified mechanism for 4H4M2P photolysis; the presence of the species with orange frame has been confirmed in the present work

309 The product yield plots for acetone, formaldehyde and acetic acid are displayed in Figure 2 and S2 in the case of the experiments with and without OH scavenger, 310 respectively. Table 3 reports the numerical values of the yields. Taking into account 311 acetone, formaldehyde and acetic acid, carbon balances of about 100% (with OH 312 scavenger) and 56% (without OH scavenger) were calculated. Lower yields were 313 314 determined in the experiment without OH scavenger, suggesting that the OH + 315 4H4M2P reaction produces less (or no) acetone, formaldehyde and acetic acid. In addition, higher concentrations of methylglyoxal (x2 compared to experiment with OH 316 317 scavenger) and hydroxyacetone (x3) were observed by GC-MS and PTR-MS, respectively, in experiment 1. Note that, due to the OH + 4H4M2P rate constant of 318 4.3×10⁻¹² cm³ molecule⁻¹ s⁻¹ at 298 K for 4H4M2P (Aslan et al., 2017c) combined with 319 320 the high initial concentration of 4H4M2P (Table 1), the reaction of OH with the products is expected to be limited. To the best of our knowledge, the reaction 321 322 mechanism of OH + 4H4M2P has not been investigated yet. The present 323 observations are consistent with the following suggested reaction scheme starting 324 with H-atom abstraction from the $-CH_2$ group:

326

 $\mathsf{CH}_3\text{-}\mathsf{C}(\mathsf{O})\text{-}\mathsf{CH}_2\text{-}\mathsf{C}(\mathsf{OH})(\mathsf{CH}_3)_2 + \mathsf{OH} \ (+ \mathsf{O}_2) \to \mathsf{CH}_3\text{-}\mathsf{C}(\mathsf{O})\text{-}\mathsf{CH}(\mathsf{O}_2)\text{-}\mathsf{C}(\mathsf{OH})(\mathsf{CH}_3)_2 + \mathsf{H}_2\mathsf{O}$

327 (1)
 328 The hydroxycarbonyl peroxy radical can rearrange by H-atom transfer from the
 329 hydroxyl group:

330
331
$$CH_3-C(O)-CH(O_2)-C(OH)(CH_3)_2 \rightarrow CH_3-C(O)-CH(OOH)-C(O)(CH_3)_2$$
 (2)

followed by decomposition into acetone and a hydroperoxide-2-oxo-propyl radical:

 $\begin{array}{ll} 335 & CH_3\text{-}C(O)\text{-}CH(OOH)\text{-}C(O)(CH_3)_2 \rightarrow \textbf{CH}_3\textbf{C}(\textbf{O})\textbf{CH}_3 + CH_3\text{-}C(O)\text{-}CH(OOH) \\ 336 \end{array} \tag{3}$

337 The latter radical rearranges into methylglyoxal and OH:

338

339 CH_3 -C(O)-CH(OOH) \rightarrow CH₃-C(O)-CHO + OH

340

→ **CH**₃-**C(O)-CHO** + OH

347

The above-suggested mechanism leads to methylglyoxal and acetone formation, as observed. The enhanced formation of hydroxyacetone in the absence of OHscavenger may come from the OH + acetone reaction (Burkholder et al., 2015).

353 In their 4H4M2P photolysis experiments using artificial UV-B lamps (275-330 nm, no OH scavenger), Aslan et al. reported acetone, formaldehyde, and methanol formation 354 355 with yields of 1.21, 0.20 and 0.03, respectively (Aslan et al., 2017c). Both the acetone 356 and formaldehyde yields determined in the present work in the presence of an OH 357 scavenger are higher than those reported in the literature work. These differences may be assigned mainly to the different irradiation spectrum used (sunlight vs 358 359 artificial) and to uncertainties on Δ [hydroxyketone] in the present work. A few ppb of 360 methanol were observed by FTIR in experiments 1 and 2 and confirmed by PTRMS, 361 fully consistent with the yield observed by Aslan et al.

The acetone yield higher than 1 was attributed to the occurrence of a Norrish II process implying 4H4M2P intramolecular rearrangement with H-atom transfer (Aslan et al., 2017c). The present results thus confirm the occurrence of such a process under sunlight and that photolysis of 4H4M2P is a source of acetone and formaldehyde in the atmosphere.

367

368 **3-Hydroxy-3-Methyl-2-Butanone**

For 3H3M2B, acetone, acetic acid and formaldehyde were observed as the main products by FTIR spectroscopy both in the experiment with and without OH scavenger (experiments 6 and 5, respectively). PTR-MS measurements confirmed their formation and showed also acetaldehyde production. The formation of about 30 ppb of O_3 was observed. All identified products are reported in Tables S3 and S4.

374 Acetone, formaldehyde and acetic acid were guantified by FTIR while acetaldehyde 375 was quantified by PTR-MS. The corresponding yield plots are displayed on Figures 3 376 (presence of OH scavenger) and S3 (absence of OH scavenger) while the values are 377 reported in Table 3. Lower yields were obtained in the absence of OH scavenger 378 (except for formaldehyde) indicating that the OH + 3H3M2B reaction leads to 379 different products. Taking into account formaldehyde, acetone, acetaldehyde and 380 acetic acid, a carbon balance of about nearly 100% is calculated for the experiment 381 with OH scavenger, whereas a carbon balance of about 75% is calculated for the 382 experiment without OH scavenger, consistent with the lower product yields obtained. 383

In their UV-B photolysis experiments of 3H3M2B, Bouzidi et al. also observed formaldehyde, acetone and acetic acid as the main products, together with methanol and CO (Bouzidi et al., 2014b). In the presence of OH scavenger, the reported molar yields for formaldehyde and acetone were 0.23 and 0.98, in good agreement with the present results, while a lower formaldehyde yield of 0.16 and a higher acetone yield 389 of 0.86 were obtained by Bouzidi et al. in the absence of OH scavenger. In contrast with the present work, acetaldehyde was not reported in Bouzidi et al. Acetic acid 390 391 was reported as secondary product, in contrast with the present results. This may be due either to sampling losses generating delay times or to the different irradiation 392 spectrum used by these authors (centred around 275 nm), as underlined by Bouzidi 393 394 et al. who suggested that acetic acid was formed by acetone photolysis. CO was not 395 observed in the present work, probably due to the less energetic irradiation. Finally, Bouzidi et al. observed 2,3-butanedione, hydroxyacetone and methylglyoxal, in 396 agreement with the present results. 397

398

Based on the present work, a simplified mechanism can be drawn for the
atmospheric photolysis of 3H3M2B (scheme 2).



402 403

404 Scheme 2: simplified mechanism for 3H3M2B photolysis; the presence of the species
 405 with orange frame has been confirmed in the present work

406 407

The observation of acetic acid as primary product indicates that 3H3M2B photolysis
 occurs by C2 – C3 splitting:

411
$$CH_3-C(O)-C(OH)(CH_3)_2 + h\nu \rightarrow CH_3-C(O) + C(OH)(CH_3)_2$$
 (7)

410

followed by oxidation into an acetylperoxy radical:

415
$$CH_3$$
- $C(O) + O_2 \rightarrow CH_3$ - $C(O)O_2$ (8)

416

that further reacts with HO₂ to form acetic acid and ozone with a yield of about 1020% (Tomas et al., 2001; Winiberg et al., 2016):

420
$$CH_3$$
- $C(O)O_2 + HO_2 \rightarrow CH_3$ - $C(O)OH + O_3$ (9)
421

422 The reaction of CH_3 - $C(O)O_2$ with peroxy radicals RO_2 may also lead to acetic acid 423 (Orlando and Tyndall, 2012):

424

425 426 $CH_3-C(O)O_2 + RO_2 \rightarrow CH_3-C(O)OH + R_{-H}O + O_2$ (10)

R_{-H}O represents the carbonyl or alcoholic compound issuing from RO₂. The yields for
 reaction (10) are not known but may partly explain the relatively high acetic acid
 yields measured in the present work. Other acetic acid sources from OH reactions
 with 3H3M2B or acetaldehyde cannot be excluded.

432 The other photolysis fragment from reaction (7) $(C(OH)(CH_3)_2)$ produces acetone and 433 HO₂ radical by reacting with O₂:

431

 $C(OH)(CH_3)_2 + O_2 \rightarrow CH_3C(O)CH_3 + HO_2$ (11)

436
437 Acetaldehyde may be formed through intramolecular rearrangement of an excited
438 3H3M2B molecule followed by decomposition:
439

440 $CH_3-C(O)-C(OH)(CH_3)_2 + h\nu \rightarrow [CH_3-C(O)-C(OH)(CH_3)_2]^*$ (12) 441

442 $[CH_3-C(O)-C(OH)(CH_3)_2]^* \rightarrow [CH_3-C(OH)-C(O)(CH_3)_2]^*$ (13) 443

444
$$[CH_3-C(OH)-C(O)(CH_3)_2]^* + M \rightarrow CH_3CHO + CH_3C(O)CH_3$$
(14)
445

446 M represents N₂ or O₂. To our knowledge, it is the first time that an intramolecular 447 rearrangement is proposed for an α -hydroxycarbonyl VOC. The obtained CH₃CHO yield of 0.46±0.03 suggests that this last mechanism may represent about 40-50% of 448 449 the photolysis of 3H3M2B while the C2 - C3 splitting (leading to acetone and acetic 450 acid) will last 50-60%. They together lead to an acetone yield of one, fully consistent with the present experimental findings. This reaction mechanism is different from 451 452 Bouzidi et al. who proposed 3H3M2B photolysis through C1 - C2 splitting since 453 acetic acid was observed as secondary product (Bouzidi et al., 2014b).

The lower acetone, acetic acid and acetaldehyde yields determined when the OH scavenger was absent suggests the following OH-initiated oxidation of 3H3M2B: 456

457
$$CH_3-C(O)-C(OH)(CH_3)_2 + OH (+ O_2) \rightarrow CH_3-C(O)-C(OH)(CH_3)CH_2O_2 + H_2O$$
 (15)
458

The radical formed will react with another peroxy radical RO₂ yielding an alkoxy
radical that will decompose into formaldehyde and 2,3-butanedione:

465
$$CH_3$$
-C(O)-C(OH)(CH₃)CH₂O \rightarrow CH₃-C(O)-C(OH)(CH₃) + CH₂O (17)
466

467
$$CH_3-C(O)-C(OH)(CH_3) + O_2 \rightarrow CH_3-C(O)-C(O)-CH_3 + HO_2$$
 (18)
468

469 LC-MS analysis showed higher amounts of 2,3-butanedione when OH scavenger470 was absent, in agreement with this mechanism.

471

472 **4-Hydroxy-3-Hexanone**

473 Acetaldehyde, propanal, acetic acid and formaldehyde were observed as major 474 carbonaceous products both in the experiment with and without OH scavenger (experiments 8 and 7, respectively). Acetaldehyde and propanal were quantified by 475 PTR-MS while formaldehyde and acetic acid were quantified by FTIR. LC-MS 476 477 analysis confirmed the formation of formaldehyde, acetaldehyde and propanal. About 478 15 ppb ozone was observed at the end of both experiments. All identified products 479 are reported in Tables S5 and S6. Based on the present work, a simplified 480 mechanism can be drawn for the atmospheric photolysis of 4H3H (scheme 3). 481



482

483

484 Scheme 3: simplified mechanism for 4H3H photolysis; the presence of the species 485 with orange frame has been confirmed in the present work

As for the other hydroxyketones, yield plots were constructed for the major products
(Figures 4 and S4 for experiments with and without OH scavenger, respectively) and
the yield values are reported in Table 3. Slightly lower yields were obtained in the
absence of OH-scavenger.

The present work representing the first data on 4H3H photolysis products, to our knowledge, we propose the following detailed reaction mechanism to explain their formation:

- 494
- 495 496

497

• After **C4-C5** bond breaking, formaldehyde and acetaldehyde may arise from the two radicals generated (reaction (19)):

498
$$CH_3CH_2C(O)CH(OH)CH_2CH_3 + h\nu (+ O_2) \rightarrow CH_3CH_2C(O)CH(OH) + CH_3CH_2O_2$$

499 (19)

500 501 The hydroxy-oxy radical CH₃CH₂C(O)CH(OH) can either decompose into 502 formaldehyde and propionyl radical, the later oxidizing into a propionyl peroxy radical: 503

504
$$CH_3CH_2C(O)CH(OH) (+ O_2) \rightarrow CH_3CH_2C(O)O_2 + HCHO$$
 (20)

505		
506	or form ethylglyoxal by reaction with O_2 :	
507 508 509	$CH_{3}CH_{2}C(O)CH(OH) + O_{2} \rightarrow HO_{2} + CH_{3}CH_{2}C(O)CHO$	(21)
510 511 512	Like other α -dicarbonyls ((Bouzidi et al., 2014a; Szabo et al., 2011)), eth may be readily photolyzed under sunlight into propionyl peroxy radical, CO a	nylglyoxal nd HO ₂ :
512 513 514	$CH_3CH_2C(O)CHO + h\nu (+ O_2) \rightarrow CH_3CH_2C(O)O_2 + HO_2 + \textbf{CO}$	(22)
515 516 517	Yet, since no CO formation was observed, it is expected that reaction preferred to reaction (21).	n (20) is
517 518 519	The $CH_3CH_2O_2$ radical from reaction (19) will form acetaldehyde through:	
520 521 522	$CH_{3}CH_{2}O_{2} + RO_{2} \rightarrow CH_{3}CH_{2}O + RO + O_{2}$ $CH_{3}CH_{2}O + O_{2} \rightarrow HO_{2} + CH_{3}CHO$	(23) (24)
523 524 525 526	where RO ₂ and RO are peroxy and alkoxy radicals. The CH ₃ CH ₂ C(O)O ₂ f reaction (20) will lead to CH ₃ CH ₂ O ₂ and finally acetaldehyde by reactions (reactions (25), (23) and (24)):	ormed in with RO ₂
527 528	$CH_{3}CH_{2}C(O)O_{2} + RO_{2} \rightarrow CH_{3}CH_{2}O_{2} + RO + CO_{2} + O_{2}$	(25)
529 530 531	 Acetaldehyde may also come, together with propanal from the C3- rupture: 	C4 bond
532 533 534	$CH_{3}CH_{2}C(O)CH(OH)CH_{2}CH_{3} + hv (+ O_{2}) \rightarrow CH_{3}CH_{2}C(O)O_{2} + CH(OH)CH_{2}CO_{2} + CH(OH)CH_{2} + CH($	CH ₃ (26)
535 536	followed by	
537 538	$CH(OH)CH_2CH_3 + O_2 \rightarrow HO_2 + CH_3CH_2CHO$	(27)
539 540 541 542	As mentioned above, the $CH_3CH_2C(O)O_2$ radical will lead to acetaldehyde ((23-25)).	reactions
543 544 545	 Finally, C2-C3 bond decomposition may also produce acetaldeh propanal via the following reaction sequence: 	yde and
546 547 548	$CH_{3}CH_{2}C(O)CH(OH)CH_{2}CH_{3} + hv (+ O_{2}) \rightarrow CH_{3}CH_{2}O_{2} + CH_{3}CH_{2}CH(OH)CH_{2}CH_{3} + hv (+ O_{2}) \rightarrow CH_{3}CH_{2}O_{2} + CH_{3}CH_{2}CH_{3}CH_{2}O_{2} + CH_{3}CH_{2}CH_{3}O_{2} + CH_{3}CH_{2}O_{2} + CH_{3}O_{2}O_{2} + CH_{3}O_{2}O_{2}O_{2} + CH_{3}O_{2}O_{2}O_{2} + CH_{3}O_{2}O_{2}O_{2} + CH_{3}O_{2}O_{2}O_{2}O_{2} + CH_{3}O_{2}O_{2}O_{2}O_{2} + CH_{3}O_{2}O_{2}O_{2}O_{2}O_{2}O_{2}O_{2}O_{2$	(O)O ₂ (28)
549 550	and $CH_3CH_2O_2$ gives CH_3CHO through (23) and (24). The hydroxyl ac radical from reaction (28) reacts with RO_2 to form propanal:	cylperoxy
551 552 553	$CH_{3}CH_{2}CH(OH)C(O)O_{2} + RO_{2} \rightarrow \mathbf{CH_{3}CH_{2}CHO} + CO_{2} + HO_{2} + RO$	(29)

554 The observation of HCHO indicates that the C4-C5 bond breaking channel (the only 555 one producing HCHO as primary product) is occurring for at least ~36%. In addition, the observed acetaldehyde yield of about 2 suggests that this last channel is a major 556 557 one in the photolysis of 4H3H, since up to two acetaldehyde molecules can be 558 expected from the photolysis of one 4H3H molecule through the C4-C5 channel. The 559 two other channels (C3-C4 and C2-C3 bond scissions) specifically form propanal, 560 indicating their occurrence (at least one of them) in the photolysis of 4H3H. The origin of acetic acid is not clear: organic acids are generally formed after reactions of 561 acylperoxy radicals with HO₂. The above mechanism suggests that only propionyl 562 563 peroxy radical $(CH_3CH_2C(O)O_2)$ is formed, enabling propanoic acid to be potentially 564 formed. Taking into account the observed yields for the four main products, carbon 565 balances of about 100% for experiments 7 and 8 are obtained.

566

567 **3.2.2 2,3-Pentanedione (PTD)**

568 As described in the photolysis frequencies part, PTD decomposes much more readily 569 under sunlight than hydroxyketones. Consequently, the reaction products were 570 observed in higher amounts and could be quantified more accurately by both FTIR 571 and PTR-MS.

572 They consisted mainly in formaldehyde, acetaldehyde, acetic and propionic acids, methanol and ozone. Figure 5 presents the time profiles of PTD and of the main 573 574 reaction products as determined from FTIR measurements (except propionic acid 575 from PTR-MS and ozone from O₃ analyser) for the experiment carried out with OH scavenger. A similar figure is drawn for the experiment carried out without OH 576 577 scavenger (Figure S5). Product yield curves were then plotted (Figures 6 and 7 for 578 PTR-MS and FTIR data, respectively) and the results are reported in Table 4. 579 Uncertainties come from IR absorption cross sections (3.3%, 13%, 3.6%, 20% and 580 10% for formaldehyde, acetaldehyde, acetic acid, methanol and ozone, respectively) 581 and PTR-MS calibration (30% for all products). Thus, global uncertainties range from 4% (formaldehyde) to 21% (methanol) for FTIR results and are about 30% for PTR-582 MS results. The product yield curves obtained from the experiment without OH 583 584 scavenger are available in Figures S6 and S7, while the corresponding yields are 585 gathered in Table 4.

Very good agreement was obtained for the product yields from FTIR and PTR-MS data when both data were available (formaldehyde, acetaldehyde, acetic acid and methanol). Molar yields obtained by Bouzidi et al. for formaldehyde and acetaldehyde are also reported in Table 4 and display lower values by ~20% (Bouzidi et al., 2014a). The present results show satisfactory agreement taking into account the global uncertainties in both studies.

The next step consisted in the numerical simulation of the reactant and product time profiles based on recommended/known rate constants of the molecular/radical species implied in the chemical mechanism. The mechanism proposed by Bouzidi et al. (Bouzidi et al., 2014a) was complemented with the EUPHORE auxiliary mechanism

597 (https://www.eurochamp.org/Facilities/SimulationChambers/EUPHORE.aspx) (Table 598 S4). Since cyclohexane was introduced as OH-radical scavenger in experiment 4, its 599 oxidation by OH was included in the mechanism. About 0.1 ppb NO (from 600 background air) was also added initially as measured by the NO_x analyser. The PTD 601 photolysis frequency was adjusted to its measured value and assumed to be 602 constant over the experiment. The photolysis frequency of CH₂O was adjusted 603 towards CO formation rate taking into account a CO detection limit of 18 ppb. FTIR data were used for all compounds except propanoic acid for which only PTR-MS data
 were available. Experiment 3 performed without OH-scavenger was not simulated,
 since the OH + PTD chemical mechanism is largely unknown.

The simulated time profiles can be seen on Figure 5 (full lines) for the experiment 607 with OH-scavenger. The agreement is very satisfying for formaldehyde, 608 609 acetaldehyde, methanol and ozone. Ozone being produced only from $CH_3C(O)O_2$ + 610 HO_2 (reaction (13c)) and $C_2H_5C(O)O_2 + HO_2$ (reaction (14c)), the agreement suggests that the corresponding rate constants and branching ratios are correct. For 611 acetic acid, the modelled formation rate appears faster at the beginning, leading to 612 about +30% higher concentrations after 1h photolysis; then the differences between 613 modelled and experimental concentrations gradually diminish to reach less than 10%. 614 Conversely, in the case of propionic acid, experimental concentrations are 615 underestimated by up to 30%. Since propionic acid was measured by PTR-MS at 616 mass M+1 = 75, mass interferences from compounds like hydroxyacetone and 617 618 hydroxypropanal cannot be excluded. However, it is difficult to find reactions that can yield such compounds in the photolysis of 2,3-pentanedione. Regarding the reaction 619 620 mechanism, two types of reactions leading to propionic acid have to be considered:

621 1) $C_2H_5C(O)O_2 + HO_2$ (reaction (14c)); this reaction also produces O_3 (together with 622 reaction (13c)); since O_3 is well simulated, this indicates that the branching ratio of 623 0.25 (Hasson et al., 2012) leading to propionic acid and O_3 is probably correct;

2) $C_2H_5C(O)O_2 + RO_2$ (reaction (8) for $RO_2 = CH_3O_2$, reaction (10) for $RO_2 = C_2H_5O_2$ 624 625 and reaction (21) for $RO_2 = C_6H_{11}O_2$). Reaction (10) was studied by (Le Crâne et al., 2005) who reported a branching ratio of 0.18 towards $C_2H_5C(O)OH + O_3$. Reactions 626 (8) and (21) were supposed to behave as reaction (8). Therefore, sensitivity tests 627 628 were performed on the channels leading to propionic acid, showing that increasing the molecular channel in $C_2H_5C(O)O_2 + RO_2$ from 0.18 to 0.26 allows good 629 630 agreement between experimental and simulated propionic acid concentrations to be 631 obtained (Figure 5: full lines are with 0.18 while dashed lines are with 0.26). The 632 present results indicate that more work is needed on $RC(O)O_2 + RO_2$ cross reactions 633 to clarify kinetics and mechanisms.

634

635 **3.3 Structure activity relationships**

636 Considering the $J_{VOC,corr}/J_{NO2}$ ratios in Table 2 shows that 2,3-pentanedione is much 637 more photolabile than α - and β -hydroxyketones. This is probably due to the 638 conjugated inductive effect of the two –C(O) functions in PTD in lowering the C2-C3 639 bond energy. Such high photolytic activity is also observed for glyoxal and 640 methylglyoxal (Moortgat, 2001).

In terms of hydroxyketone reactivity, comparing 3H3M2B ($J_{VOC,corr}/J_{NO2} = 8.2 \times 10^{-4}$) and 4H3H ($J_{VOC,corr}/J_{NO2} = 5.1 \times 10^{-4}$), methyl substitution on the carbon atom bearing the OH group seems to increase photolysis ability.

644

Compound	3-hydroxy-3-methyl-2-butanone	4-hydroxy-3-hexanone
	0	O.
		H ₂ C CH ₃
	OH	OH
$J_{\rm VOC, corr}/J_{\rm NO2}$	8.2×10 ⁻⁴	5.1×10 ⁻⁴

This is confirmed when comparing 4H4M2P ($J_{VOC,corr}/J_{NO2} = 6.2 \times 10^{-4}$) to 4-hydroxy-2butanone (CH₃-C(O)-CH₂-CH₂OH), where a J_{VOC}/J_{NO2} of 1.8×10⁻⁵ can be calculated from (Bouzidi et al., 2015a).

648 649

Compound	4-hydroxy-4-methyl-2-pentanone	4-hydroxy-2-butanone
	H_{3} CH ₃ O	0
	HOU I	
	H ₃ C CH ₃	HO CH ₃
$J_{\rm VOC, corr}/J_{\rm NO2}$	6.2×10 ⁻⁴	1.8×10 ⁻⁵

650

Increasing the distance between the carbonyl and hydroxyl groups lowers photolysis reactivity, as in 4H4M2P ($J_{VOC,corr}/J_{NO2} = 6.2 \times 10^{-4}$) compared to 3H3M2B ($J_{VOC,corr}/J_{NO2} = 8.2 \times 10^{-4}$).

654

Compound	3-hydroxy-3-methyl-2-butanone	4-hydroxy-4-methyl-2-pentanone
	H ₃ C CH ₃ CH ₃ OH	$HO \downarrow CH_3 O \downarrow CH_3 H_3C CH_3$
$J_{\rm VOC, corr}/J_{\rm NO2}$	8.2×10 ⁻⁴	6.2×10 ⁻⁴

655

Regarding reaction mechanisms, because of the relatively high uncertainties on product yields, it is difficult to give general trends. Yet, the present work showed that photolysis of hydroxyketones proceeds through different initial C-C bond scissions (Norrish I type) and that some of them undergo intramolecular rearrangement (Norrish II type). In addition, it has been demonstrated that sunlight photolysis of the investigated hydroxyketones gives rise to carbonyl species of atmospheric interest like acetone and formaldehyde.

663

664 **4. Atmospheric implications**

The photolysis frequencies summarized in Table 2 can be used to estimate the half lifetime ($\tau_{1/2}$) of the investigated carbonyls towards photolysis. This half lifetime can be retrieved according to:

(Eq.

668

669
$$\tau_{1/2} = \frac{ln2}{J_{VOC,corr}}$$

670 4)

1 0

670 671

A half lifetime of about 40 min is obtained for PTD, while much larger $\tau_{1/2}$ values of 672 about 1-2 days are calculated for hydroxyketones. PTD has a half lifetime of about 4 673 days towards OH radicals, assuming an average OH concentration of 1×10⁶ radicals 674 cm⁻³ (Szabo et al., 2011). The present results allow confirming that photolysis is by 675 far the main oxidation pathway of PTD in the atmosphere, as for other α -dicarbonvls 676 (Moortgat, 2001). Rate constants of hydroxyketones with OH (in cm^3 molecule⁻¹ s⁻¹) 677 are 4.3×10⁻¹², 7.6×10⁻¹³ and 1.5×10⁻¹¹ at 298 K for 4H4M2P (Aslan et al., 2017c), 678 3H3M2B (Bouzidi et al., 2014b) and 4H3H (Aschmann et al., 2000), respectively, 679 leading to half lifetimes of 2 days, 10 days and 0.5 day (Table 2). Thus, photolysis 680 appears to be a competitive degradation process for 4H4M2P and 3H3M2B while it is 681 682 less important for 4H3H. Note that the acetone photolysis lifetime calculated at 55°N is about 100 days (Singh et al., 1994), suggesting a significant effect of the hydroxyl 683

684 group on the photolysis reactivity of hydroxyketones. The present work underlines the 685 need to take into account the photolysis of multifunctional carbonyls as a direct 686 radical and carbonyl source in the atmosphere.

688 Data availability.

Experimental data will be available in the Eurochamp database,
 <u>www.eurochamp.org</u>, from the H2020 EUROCHAMP2020 project, GA no. 730997

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693

687

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