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# Statistical Narrow Band model for vibrational nonequilibrium CO<sub>2</sub> radiation

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#### Abstract

This paper presents the development of a Statistical Narrow Band (SNB) model for CO<sub>2</sub> in a nonequilibrium vibrational state. The population densities of the energy levels are modeled by a three-temperature approach  $(T, T_{12}, T_3)$  associated resp. to translation-rotation modes, symmetric-stretching and bending modes, and antisymmetric stretching mode, to compute nonequilibrium line by line (LBL) spectra. The nonequilibrium between vibrational temperatures induces spectral correlation issues between  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$ , where  $\eta_{\sigma}$  and  $\kappa_{\sigma}$  are the emission and absorption coefficients respectively. To overcome this issue, a vibrational splitting of the spectroscopic database is made to separate lines with completely different vibrational transitions. The SNB parameters are obtained by fitting the curves of growth from the LBL approach by a least squares error minimization using a Newton method for pure Lorentz and Doppler broadening regimes for each line class. The total SNB

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transmissivity is obtained by computing the product of each line class transmissivity, and the decorrelation between the spectra of the different classes is checked. Finally, the model is tested in Voigt broadening regime using a mixing rule by computing outlet radiative intensity of uniform and nonuniform columns and agrees well with the LBL approach. SNB parameters are available under request to the corresponding author.

Keywords: CO<sub>2</sub> radiation, Vibrational nonequilibrium, SNB model

# 1 1. Introduction

The Local Thermodynamic Equilibrium (LTE) assumption is no longer valid 2 when internal relaxation time scales become comparable or higher than hy-3 drodynamic time scales in gas flows. The gas is then under the Non-Local Thermodynamic Equilibrium (NLTE) state, which means that it contains an 5 excess or a lack of internal energy compared to translational energy or even a 6 non-Boltzmannian distribution of the populations of the different vibrational levels. This nonequilibrium aspect is found, for instance, in atmospheric en-8 try flows [1, 2], electrically excited or gas dynamic CO<sub>2</sub> lasers [3, 4], or 9 expanding flows like rocket plumes at high altitudes [5]. This departure from 10 LTE affects the radiative properties of the radiating species and thus needs 11 to be considered for radiative transfer. 12

13

One of the challenges in studying the radiation of gases under NLTE conditions is the knowledge of the population of each rovibrational energy level. The detailed vibrational state-to-state approach [6] is a priori the most accurate model for predicting level population densities. However, the total

number of vibrational states of  $CO_2$  with energies lower than the dissocia-18 tion limit is estimated to be of the order of  $10^5$  [7]. Although some models 19 attempt to bin vibrational levels based on physical arguments in order to 20 reduce the computational complexity (see e.g., [7, 8]), the vibrational state-21 to-state approach remains impracticable in the context of radiative transfer 22 calculations for coupled simulations in complex geometries. Moreover, the 23 uncertainty about the kinetic rate constants of high-energy levels makes using 24 the state-to-state approach very difficult. Therefore, the multi-temperature 25 description of the thermodynamic state of  $CO_2$ , based on previous works 26 [9, 10], is adopted in this study to compute vibrational level populations. 27

28

Once the spatial distributions of population densities are known, line-by-line 29 (LBL) local absorption and emission spectra can be computed using suitable 30 spectroscopic databases such as HITRAN [11], HITEMP [12] or CDSD-4000 31 [13] for  $CO_2$  at high temperature. Such LBL calculations have been recently 32 undertaken by Binauld et al. [9] to evaluate the effects of vibrational  $CO_2$ 33 NLTE on the infrared radiation emitted by an expanding flow in a conical 34 nozzle or by high-altitude rocket plumes. It was shown in this study that the 35 partial freeze of  $CO_2$  vibration excitation, due to the strong expansion of the 36 flow in the low-pressure atmosphere, may significantly increase the emission 37 by the plume compared to the emission predicted from a single translation 38 temperature. However, the LBL calculations were limited to some chosen 39 lines of sight in the plume and 1D radiation calculations in the case of the 40 nozzle flow. As for the state-to-state kinetic approach, the detailed LBL 41 calculations also remain impracticable when the radiative transfer is to be 42

coupled to flow-field calculations. Indeed, spectral, angular, and spatial integrations would require huge CPU time and storage capabilities. The development of accurate spectral band models is then highly desirable in this
perspective.

47

In the framework of radiative transfer spectral modelling in nonequilibrium 48 reentry flows, k-distribution models were first developed by Bansal et al. for 49 N and O atomic radiation [14, 15] and then extended by Bansal et al. [16] 50 to diatomic molecular species  $(N_2, N_2^+, NO, and O_2)$ . Several spectral wide-51 band binning strategies and reduced order models have been compared in 52 [17] for different reentry conditions. However, in the present work, global 53 and wide-band models are excluded since one of the goals of the present 54 study is to develop models suitable for spectrally integrated radiative trans-55 fer calculations and also for infrared signature simulations, which are limited 56 to narrow spectral bands. 57

58

Among narrow-band models, k-distribution, with or without an extension 59 with fictitious gases [18], remains challenging to apply in the case of react-60 ing media with spatial change of chemical composition and strong pressure 61 gradients. Statistical narrow-band models have been applied by Lamet et al. 62 [19] for the prediction of radiative transfer in earth reentry flows and show 63 a good ability to deal with diatomic molecules with the imbalance between 64 electronic/vibration and translation/rotation (two-temperature description 65 of the medium). 66

67

It is worth noticing that all NLTE radiation models mentioned above were 68 dedicated to atomic or diatomic radiation, and none of them has consid-69 ered polyatomic molecules like  $CO_2$ , where a disequilibrium may exist be-70 tween the different vibration modes. Specific models have been developed 71 for NLTE  $CO_2$  radiation in [20]. However, the smeared band model was 72 employed to get tractable simulations, which may fail for low-pressure ap-73 plications with well-separated non-optically thin rotational lines. Another 74 approach was developed first by Kudryavtsev and Novikov [21], and then 75 by Vitkin et al. [22, 23], on the basis of statistical narrow-band mixing of 76 lines belonging to different vibrational transitions. However, instead of using 77 accurate spectroscopic databases, semi-analytical simplified models were em-78 ployed to represent the spectral structure of  $CO_2$  bands in the 4.3 and 2.7  $\mu$ m 79 regions. This approach was followed by Molchanov et al. [24] who used k-80 distribution method to compute partial transmissivities in the narrow bands. 81 82

This paper aims to develop a three-temperature SNB model for  $CO_2$ , con-83 sidering spectral correlations and disequilibrium between vibrational modes 84 (Section 2).  $CO_2$  translational and rotational modes are assumed to be at 85 local equilibrium and are described by a single temperature T due to the 86 fast translation-rotation exchanges. Vibrational levels are assumed to fol-87 low a Boltzmann distribution at a single temperature  $T_{12}$  for the symmetric 88 stretching mode, and the two-fold degenerate bending mode due to Fermi 89 Resonance [25] and a Boltzmann distribution at a different temperature  $T_3$ 90 for the antisymmetric stretching mode. The model is then applied in Sec-91 tion 3 to a simple expanding supersonic nozzle flow and to radiative transfer 92

<sup>93</sup> along selected lines of sight in the high altitude plume of a solid propellant
<sup>94</sup> rocket as in [9]. The model accuracy is assessed through comparisons with
<sup>95</sup> line-by-line calculations.

## <sup>96</sup> 2. SNB formulation

#### <sup>97</sup> 2.1. Radiative properties and multi-temperature model

The emission and absorption coefficients for wavenumber  $\sigma$  can be expressed under the assumption of independent lines as:

$$\eta_{\sigma} = \sum_{u-l} n_u \frac{A_{ul}}{4\pi} h c \sigma_{ul} f_{ul} (\sigma - \sigma_{ul}), \qquad (1)$$

100

$$\kappa_{\sigma} = \sum_{u-l} (n_l B_{lu} - n_u B_{ul}) h \sigma_{ul} f_{ul} (\sigma - \sigma_{ul}), \qquad (2)$$

where  $n_u$  and  $n_l$  are the populations of levels u and l,  $A_{ul}$ ,  $B_{ul}$  and  $B_{lu}$ 101 are the Einstein coefficients for spontaneous emission, induced emission, and 102 absorption,  $\sigma_{ul}$  is the central wavenumber, and  $f_{ul}(\sigma - \sigma_{ul})$  is the spectral 103 line profile (assumed to be the same for the three radiative mechanisms) of 104 the transition u - l. Spectroscopic data for CO<sub>2</sub> (Einstein coefficients, level 105 energies, line positions, collisional broadening parameters) have been taken 106 from the HITEMP-2010 [12] compilation, which has been recommended for 107 applications up to 2000 K [13]. The population of any rovibrational level k108 of  $CO_2$  in the multi-temperature approach of [9] can be expressed as follows: 109

$$n_k = n_{CO_2} A b_I \frac{g_k}{Z_I(T, T_{12}, T_3)} \exp\left(-\frac{E^{rot}\{k\}}{k_B T} - \frac{E^{\nu_{12}}\{k\}}{k_B T_{12}} - \frac{E^{\nu_3}\{k\}}{k_B T_3}\right), \quad (3)$$

where  $n_{CO_2}$  is the total population density of CO<sub>2</sub>,  $Ab_I$  is the abundance of the considered isotopologue I,  $g_k$  is the degeneracy of the level k. The partitioning scheme of the energy is composed of a rotational part  $E^{rot}\{k\}$ , a vibrational part  $E^{\nu_{12}}\{k\}$  associated with the coupled symmetric stretchingbending modes  $(\nu_1,\nu_2)$  and a vibrational part  $E^{\nu_3}\{k\}$  corresponding to the asymmetric stretching mode  $\nu_3$ . For each rovibrational level, these energies are calculated from the spectroscopic database according to

$$E^{rot}\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J\} = E\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J\} - \min_{J'} E\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J'\}, \quad (4)$$

117

$$E^{\nu_3}\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J\} = \min_{J'} E\{0, 0, \mathbf{v}_3, 1, e, J'\},\tag{5}$$

118

$$E^{\nu_{12}}\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J\} = \min_{J'} E\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J'\} - E^{\nu_3}\{\mathbf{v}_1, l_2, \mathbf{v}_3, r, p, J\},$$
(6)

where  $(v_1, l_2, v_3, r, p, J)$  are the quantum numbers used in HITEMP-2010 to 119 identify the rovibrational levels k.  $v_1$  and  $v_3$  are quantum numbers associated 120 respectively with the vibrational modes  $\nu_1$  and  $\nu_3$ ;  $l_2$  is associated with the 121 vibrational angular momentum of mode  $\nu_2$ ; the triplet (v<sub>1</sub>,  $l_2$ , v<sub>3</sub>) enables to 122 identify the Fermi polyad to which the level k belongs; r is the index of the 123 vibrational level associated to the level k among the  $v_1 + 1$  vibrational levels 124 constituting the Fermi polyad, p is the parity (e or f) and J is the total 125 angular momentum quantum number excluding nuclear spin of the level k. 126 The populations of the few non-identified levels (0.9%) of the lines see Table 127 2) have been calculated assuming LTE at temperature T.  $Z_I(T, T_{12}, T_3)$ 128 in Eq.(3) is the three-temperature partition function of the isotopologue I, 129 which has been calculated using the approximation of the three uncoupled 130 harmonic oscillators associated with the vibrational modes of  $CO_2$  and the 131 rigid rotor and is given by: 132

$$Z_{I}(T,T_{12},T_{3}) = \frac{k_{b}T}{\alpha_{I}B_{I}} \times \frac{1}{1 - \exp(-\omega_{1I}/k_{b}T_{12})} \frac{1}{[1 - \exp(-\omega_{2I}/k_{b}T_{12})]^{2}} \frac{1}{1 - \exp(-\omega_{3I}/k_{b}T_{3})}$$
(7)

broadening regime	resolution $[\rm cm^{-1}]$	line wing cut-off $[\rm cm^{-1}]$	spectral domain $[\rm cm^{-1}]$
Lorentz	$10^{-2}$	50	[237.5, 8312.5]
Doppler	$10^{-3}$	10	[237.5, 8312.5]
Voigt	$10^{-3}$	50	[237.5, 8312.5]

Table 1: Input parameters for the LBL calculations

where  $B_I$  is the rotational constant of isotopologue I,  $\alpha_I = 1$  or 2 depending on the isotopologue symmetry,  $\omega_{1I}$ ,  $\omega_{2I}$  and  $\omega_{3I}$  are the vibration energies associated with the three fundamental vibration modes taken for the different isotopologues from [26].

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The models and data presented here are used to perform LBL calculations for building vibrational nonequilibrium SNB models for CO<sub>2</sub> in Doppler, and Lorentz broadening regimes. The Voigt broadening regime will be recovered using a mixing approach (see below), whose validation will also require LBL calculations in the Voigt regime. In the following, all calculations were achieved for a CO<sub>2</sub>-N<sub>2</sub> mixture with a molar fraction  $x_{CO_2} = 0.5$  using the input parameters provided in Table 1.

#### 145 2.2. Spectral correlation issues

The radiative transfer equation (RTE) along a line of sight in nonscatteringmedia is given by

$$\frac{dI_{\sigma}(s)}{ds} = \eta_{\sigma}(s) - \kappa_{\sigma}(s)I_{\sigma}(s), \tag{8}$$

where  $I_{\sigma}(s)$  is the radiative intensity at the abscissa *s* along the line of sight. The absorption and emission coefficients must be calculated beforehand to solve this equation. This section aims to develop an RTE formulation under nonequilibrium conditions suitable for applying statistical narrow-band models. From Eq.(8), we can express the intensity escaping from a column (0,s) at s as:

$$I_{\sigma}(s) = \int_0^s \eta_{\sigma}(s') \tau_{\sigma}(s',s) \ ds', \tag{9}$$

where  $\tau_{\sigma}(s',s) = \exp(-\int_{s'}^{s} \kappa_{\sigma}(s'')ds'')$  is the transmissivity of the column (s',s) and  $I_{\sigma}(s=0)$  is assumed equal to 0.

To use SNB models for solving the RTE, we must express the intensity averaged over the spectral band  $\Delta\sigma$ . However, the emission coefficient  $\eta_{\sigma}$  and the transmissivity  $\tau_{\sigma}$  are strongly correlated. To correctly account for selfcorrelation, the intensity averaged over the spectral band  $\Delta\sigma$  is expressed as

$$\overline{I_{\sigma}}^{\Delta\sigma}(s) = \int_{0}^{s} \overline{\frac{\eta_{\sigma}}{\kappa_{\sigma}}(s')} \frac{\partial \tau_{\sigma}}{\partial s'}(s',s)^{\Delta\sigma} ds'.$$
(10)

<sup>162</sup> To compute Eq. (10) with SNB models, a further simplification is necessary, <sup>163</sup> which is

$$\overline{I_{\sigma}}^{\Delta\sigma}(s) \approx \int_{0}^{s} \overline{\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}(s')\right)}^{\Delta\sigma} \frac{\partial \overline{\tau_{\sigma}}^{\Delta\sigma}}{\partial s'}(s',s) \ ds'$$
(11)

assuming that  $\frac{\eta_{\sigma}}{\kappa_{\sigma}}$  and  $\frac{\partial \tau_{\sigma}}{\partial s'}$  are not correlated. This assumption is valid in LTE conditions because the ratio  $\frac{\eta_{\sigma}}{\kappa_{\sigma}}$  is equal to the Planck's function  $I_{\sigma}^{0}(T)$ . However, it is not guaranteed in NLTE conditions since Kirchhoff's law is no longer valid and the ratio  $\frac{\eta_{\sigma}}{\kappa_{\sigma}}$  can present important spectral dynamics. The Fig.1 presents two nonequilibrium conditions: an imbalance between vibration and rotation (right) and an imbalance between the vibrational modes and the rotation (left). It is clear that the ratio follows neither



Figure 1: LBL  $\eta_{\sigma}/\kappa_{\sigma}$  spectrum for p = 1000 Pa, T = 2000 K,  $T_{12} = 1000$  K,  $T_3 = 300$  K (left) and p = 1000 Pa, T = 2000 K,  $T_{12} = 1000$  K,  $T_3 = 1000$  K (right)

Planck's function at temperature  $T_{12}$  nor Planck's function at temperature  $T_3$  as shown in Fig.1 (left). The spectral dynamics are then more important than for rotation-vibration nonequilibrium, as shown in Fig.1 (right) and in [19]. Comparing  $\overline{\eta_{\sigma}}^{\Delta\sigma}$  to  $\overline{\eta_{\sigma}}/\kappa_{\sigma}^{\Delta\sigma} \times \overline{\kappa_{\sigma}}^{\Delta\sigma}$  is the most critical test to check the decorrelation assumption. Indeed, the expression of the intensity averaged over the spectral band  $\Delta\sigma$  exiting of uniform column of length l considering the decorrelation assumption is given by :

$$\overline{I_{\sigma}}^{\Delta\sigma}(0 \to l) = \int_{0}^{l} \overline{\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)}^{\Delta\sigma} \frac{\partial \overline{\tau_{\sigma}}^{\Delta\sigma}}{\partial s'}(s',l) \ ds' = \overline{\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)}^{\Delta\sigma} \left(1 - \overline{\tau_{\sigma}}^{\Delta\sigma}(0 \to l)\right).$$
(12)

The spectral dynamics of the emissivity  $1 - \tau_{\sigma}(0 \rightarrow l)$  is maximum when the medium is optically thin because the exponential function does not smooth the absorption coefficient spectral structures. The expression of the radiative



Figure 2: Comparison between  $\overline{\eta_{\sigma}}^{\Delta\sigma}$  and  $\overline{\eta_{\sigma}/\kappa_{\sigma}}^{\Delta\sigma} \times \overline{\kappa_{\sigma}}^{\Delta\sigma}$  for p = 1000 Pa, T = 2000 K,  $T_{12} = 1000$  K,  $T_3 = 300$  K (left) and p = 1000 Pa, T = 2000 K,  $T_{12} = 1000$  K,  $T_3 = 1000$  K (right)

<sup>181</sup> intensity is, considering the decorrelation hypothesis, equal to

$$\overline{I_{\sigma}}^{\Delta\sigma}(0 \to l) \underset{\kappa_{\sigma}l \to 0}{=} \overline{\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)}^{\Delta\sigma} \overline{\kappa_{\sigma}}^{\Delta\sigma}l, \qquad (13)$$

which explains the choice of comparing  $\overline{\eta_{\sigma}}^{\Delta\sigma}$ , the exact result, to  $\overline{\eta_{\sigma}/\kappa_{\sigma}}^{\Delta\sigma}$  × 182  $\overline{\kappa_{\sigma}}^{\Delta\sigma}$  for verifying the decorrelation assumption. These tests were performed 183 for realistic strong nonequilibrium conditions. It turns out that the decorre-184 lation assumption between  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$  is not valid for cases with a strong 185 imbalance between the temperatures  $T_{12}$  and  $T_3$  and a pressure  $P \leq 10^4$  Pa, 186 as shown in Fig. 2 (left). The influence of pressure on spectral dynamics is 187 well known. Indeed, the line widths for Lorentz broadening regime are pro-188 portional to the pressure. Thus, decreasing the pressure increases the spectral 189 dynamics and, therefore, the spectral correlation between  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$ . Nev-190 ertheless, more than pressure's influence is needed to explain these spectral 191

correlations since the decorrelation assumption is always verified at LTE. It 192 combines being in both moderate pressure conditions and a strong imbalance 193 between the two vibration temperatures. Fig. 2 (left) shows that the corre-194 lation issue arises only for the 2.7  $\mu$ m and 4.3  $\mu$ m bands. To understand 195 further why these spectral bands are problematic under these conditions, 196 high-resolution spectra of  $\kappa_{\sigma}$  and  $\eta_{\sigma}$  under the strong vibrational imbalance 197 condition of Fig.2 (left part) have been plotted in Fig. 3 (lower and middle 198 part of the figure), for a very narrow spectral range [2247.62, 2247.69] cm<sup>-1</sup> 199 belonging to a problematic narrow-band identified in Fig.2. In the upper 200 part of Fig. 3 are also plotted both  $\eta_{\sigma}/\kappa_{\sigma}$  and again  $\kappa_{\sigma}$ , using a logarithmic 201 scale, exhibiting a strong anticorrelation between  $\kappa_{\sigma}$  and  $\eta_{\sigma}/\kappa_{\sigma}$ : in this re-202 gion, the absorption spectrum is dominated by vibrational transitions of the 203 type  $\Delta v_3 = 1$  near 2247.63 and 2247.675 cm<sup>-1</sup> as mentioned in the lowest 204 part of the figure; these transitions also contribute to the emission spectrum 205 near the same spectral locations, but transitions of type ( $\Delta v_1 = 1, \Delta l_2 = 1$ ) 206 also contribute to the emission spectrum near  $2247.655 \text{ cm}^{-1}$  as indicated 207 on the middle part of Fig. 3.<sup>1</sup> Each of these types of vibrational transitions, 208 considered as an isolated line (u - l), will be characterized by very different 209 values of the ratio  $(\eta_{\sigma}/\kappa_{\sigma})_{ul}$ , whose general expression is 210

$$\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)_{ul} = \frac{2hc\sigma_{ul}^3}{\exp\left(\frac{\Delta E_{ul}^{\nu_{12}}}{k_B T_{12}} + \frac{\Delta E_{ul}^{\nu_3}}{k_B T_3} + \frac{\Delta E_{ul}^{rot}}{k_B T}\right) - 1}.$$
(14)

In the above equation,  $\Delta E_{ul}^{\nu_{12}}$ ,  $\Delta E_{ul}^{\nu_3}$ , and  $\Delta E_{ul}^{rot}$  designate the energy varia-

<sup>&</sup>lt;sup>1</sup>Let note that about 16 rovibrational transitions are required to accurately model the spectra shown in Fig. 3: to simplify the analysis, only three dominant vibrational transitions have been mentioned near the local maximum of the spectra.

tion associated to resp. vibrational mode 12, vibrational mode 3, and rotation for the transition ul. For the two types of vibrational transitions identified above, resp.  $\Delta v_3 = 1$  and  $(\Delta v_1 = 1, \Delta l_2 = 1)$ , the transition energy  $hc\sigma_{ul}$  is mainly defined resp. by  $\Delta E_{ul}^{\nu_3}$  and  $\Delta E_{ul}^{\nu_{12}}$ , while the rotational contribution  $\Delta E_{ul}^{rot}/(k_B T)$  inside the exponential in Eq. (14) is negligible in comparison with vibrational one at T = 2000 K; the associated ratios  $(\eta_{\sigma}/\kappa_{\sigma})_{ul}$  may be therefore approximated according to

$$\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)_{\Delta v_{3}=1} \approx \frac{2hc\sigma_{ul}^{3}}{\exp\left(\frac{hc\sigma_{ul}}{k_{B}T_{3}}\right) - 1},$$
(15)

$$\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)_{\Delta v_1=1,\Delta l_2=1} \approx \frac{2hc\sigma_{ul}^3}{\exp\left(\frac{hc\sigma_{ul}}{k_BT_{12}}\right) - 1},\tag{16}$$

and will be very different from each other in the condition  $(T_3 = 300 \text{ K},$ 219  $T_{12} = 2000$  K) considered here. Although the overlapping between lines, 220 especially at not too low pressures, should moderate this analysis (the ab-221 sorption coefficient near  $2247.655 \text{ cm}^{-1}$  is dominated by the line wings of the 222 transitions  $\Delta v_3 = 1$  even at 1000 Pa), the variability of the  $(\eta_\sigma/\kappa_\sigma)_{ul}$  val-223 ues of the various contributing transitions to emission or absorption spectra 224 explains the anticorrelation between  $\kappa_{\sigma}$  and  $\eta_{\sigma}/\kappa_{\sigma}$  observed in Fig. 3, and 225 thus the overestimation of the emission observed in Fig.2 (left) when using 226 the decorrelation hypothesis Eq. 13. It is quite obvious from Eq. 14 that the 227 variability of  $(\eta_{\sigma}/\kappa_{\sigma})_{ul}$  values will occur under strong vibrational disequilib-228 rium among vibrational transitions of different nature, viz. characterised by 229 very different vibrational energy variations  $(\Delta E_{ul}^{\nu_3}, \Delta E_{ul}^{\nu_{12}})$ . Grouping tran-230 sitions into classes of similar vibrational energy variations will reduce the 231 variability of  $(\eta_{\sigma}/\kappa_{\sigma})_{ul}$  inside each class and, therefore, improve the accuracy 232

of the decorrelation approximation Eq. 13 when applied to the lines of a given class. Let note that the good behaviour of this decorrelation assumption in the 15  $\mu$ m region (see Fig. 2) is consistent with the above analysis since in this spectral region, vibrational transitions involving only the mode ( $\nu_1,\nu_2$ ) are dominant.

#### 238 2.3. Definition of line classes

Considering that the fundamental vibrational energies of mode  $\nu_1$  and  $\nu_2$ 239 approximately satisfy  $\omega_{1I} \approx 2\omega_{2I}$  for each isotopologue I, the more accurate 240 approach to ensure the decorrelation assumption's validity would consist of 241 grouping the lines into classes according to the different observed values of 242  $(2\Delta v_1 + \Delta l_2, \Delta v_3)$ . Such an approach would lead to the introduction of more 243 than 20 classes leading to a quite tedious model. Observing that in the 244 two main spectral regions where  $CO_2$  radiation has to be accounted for and 245 where correlation issues arise, i.e. the 4.3  $\mu$ m and the 2.7  $\mu$ m regions, the 246 main vibrational transitions satisfy  $\Delta v_3 = 1$ , it appears quite natural to split 247 the database into lines satisfying  $\Delta v_3 = 1$  or  $\Delta v_3 \neq 1$ . Table 2 presents 248 the different line classes that have been defined and the distribution of the 249 transitions per class. 250

name	ν3	not_v3	$not\_defined$	
definition	definition $\Delta v_3 = (v_3)' - (v_3)'' = 1$		r' = 0  or  r'' = 0	
proportion of lines	4554364~(40.9%)	6482107 (58.2%)	99692~(0.9%)	

Table 2: Line classes for  $CO_2$ 

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Figure 3: Absorption ( $\kappa_{\sigma}$ ) and emission ( $\eta_{\sigma}$ ) spectra of a CO<sub>2</sub>-N<sub>2</sub> mixture at T = 2000 K,  $T_{12} = 1000$  K,  $T_3 = 300$  K, p = 1000 Pa. Typical dominant vibrational transitions (see footnote 1) are indicated near each local maximum of the spectra using the notation  $(v_1 l_2 v_3)'' \rightarrow (v_1 l_2 v_3)'$  to designate lower " and upper ' vibrational states. The upper part of the figure exhibits the anticorrelation between  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$  for this strong vibrational imbalance condition.

The  $\nu_3$  and  $not_{\nu_3}$  classes represent more than 99% of the database lines. The class  $not_defined$  contains the lines for unknown upper or lower vibrational energy levels. It seems natural to consider these lines separately because we cannot use vibrational temperatures to predict the population of their asso-



Figure 4: Comparison of emissivities of the three classes vs temperature at LTE for p = 100000 Pa, l = 1 m and  $x_{\rm CO_2} = 0.5$ 

ciated upper or lower levels; that is why these level populations are assumed to follow a Boltzmann distribution at the translation/rotation temperature T. To evaluate the contribution of each class to the gas radiative properties, a comparison of the total emissivity of each class as a temperature function (at LTE) is plotted in Fig. 4. The total emissivity is computed following

$$\epsilon_{tot,class}(x,p,l,T) = \frac{\pi}{\sigma_{SB}T^4} \sum_{bands \ b} \left(1 - \overline{\tau_b}^{\Delta\sigma}(x,p,l,T)\right) \overline{I_b^0}^{\Delta\sigma}(T) \Delta\sigma, \qquad (17)$$

where  $\sigma_{SB}$  is the Stefan-Boltzmann constant and  $I^0$  is Planck's function. The  $\nu_3$  class ( $\Delta v_3 = 1$ ) is the one that contributes the most above T=700 K, and the *not\_\nu\_3* class ( $\Delta v_3 \neq 1$ ) is dominant below. As expected, the contribution of the *not\_defined* class is weak compared to the other classes.

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Henceforth, a check for the validity of the decorrelation assumption is performed for each class. The one for the *not\_defined* class is useless because



Figure 5: Emission coefficient for  $\nu$ 3 class (left) and not\_ $\nu$ 3 class (right) for p = 1000 Pa, T = 2000 K,  $T_{12} = 1000$  K and  $T_3 = 300$  K

level populations for these lines are assumed at LTE. Fig.5 shows that splitting into line classes solves the spectral correlation issue between  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$ . The formulation of the RTE equation, averaged over  $\Delta\sigma$ , with the multiclass approach for CO<sub>2</sub> is expressed following Lamet et al. [19], as :

$$\overline{I}_{\sigma}^{\Delta\sigma}(l) = \sum_{j=1}^{N_{class}} \int_{0}^{l} \overline{\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)}_{j}^{\Delta\sigma} (s) \frac{\partial \overline{\tau_{j}}^{\Delta\sigma}}{\partial s} (s,l) \prod_{j' \neq j} \overline{\tau_{j'}}^{\Delta\sigma} (s,l) \ ds, \tag{18}$$

where a decorrelation assumption between classes has been used. The validity of this assumption is checked in Fig.6 and shows that the product of the transmissivities is indeed very close to the total transmissivity  $\overline{\tau_{\sigma}}^{\Delta\sigma}$  of CO<sub>2</sub>.

#### 275 2.4. Statistical narrow band model parameters

Equation (18) shows that the model requires the computation of parameters  $\overline{(\eta_{\sigma}/\kappa_{\sigma})}_{j}^{\Delta\sigma}$  and of transmissivities. The parameters  $\overline{(\eta_{\sigma}/\kappa_{\sigma})}_{j}^{\Delta\sigma}$  were directly tabulated from LBL calculations, and were found to be insensitive to line



Figure 6: Comparison of the transmissivity product for each class with the total transmissivity

<sup>279</sup> broadening regime. For transmissivities, the random SNB model of Mayer <sup>280</sup> and Goody [27] has been selected in this study since it provides accurate <sup>281</sup> results for polyatomic molecules at LTE. This model is based on statistical <sup>282</sup> assumptions concerning line positions and intensities within a narrow band <sup>283</sup> of width  $\Delta\sigma$  and allows to express the transmissivity of a uniform column of <sup>284</sup> length *l*, averaged over  $\Delta\sigma$  as

$$\overline{\tau}^{\Delta\sigma}(l) = \frac{1}{\Delta\sigma} \int_{\Delta\sigma} \exp(-\kappa_{\sigma} l) d\sigma = \exp(-\frac{\overline{W}}{\delta}), \tag{19}$$

where  $\delta = \Delta \sigma / N$  is the mean spacing between the N line positions within  $\Delta \sigma$ , and  $\overline{W}$  is the mean black equivalent width of these lines, defined as

$$\overline{W} = \frac{1}{N} \sum_{r=1}^{N} \int_{-\infty}^{+\infty} [1 - \exp(-\kappa_{\sigma}^{r} l)] d\sigma, \qquad (20)$$

where  $\kappa_{\sigma}^{r}$  is the contribution of the  $r^{th}$  line to the absorption coefficient. Several line intensity distribution functions have been proposed to model <sup>289</sup>  $\overline{W}/\delta$  for Lorentz and Doppler line broadening. The most used are the Goody <sup>290</sup> exponential distribution [27], and the Malkmus tailed inverse-exponential <sup>291</sup> distribution [28]. They are special cases (respectively for  $\alpha = -1$  and  $\alpha = 0$ ) <sup>292</sup> of the generalized Malkmus distribution function of [29]. Its expression is the <sup>293</sup> following

$$P_{\alpha}(S): \frac{C_{\alpha}}{S} \left(\frac{S_m}{S}\right)^{\alpha} \left[ \exp\left(-\frac{S}{S_m}\right) - \exp\left(-\frac{rS}{S_M}\right) \right],$$
(21)

where  $C_{\alpha}$  is a normalization constant. In this study, the Malkmus distribution function is used for the Lorentz broadening regime leading to

$$\frac{\overline{W_L}}{\delta} = \frac{2\overline{\gamma_L}}{\overline{\delta_L}} \left( \sqrt{1 + \frac{\overline{k_L} x p l \overline{\delta_L}}{\overline{\gamma_L}}} - 1 \right).$$
(22)

In the Doppler broadening regime,  $P_{\alpha}$  distribution leads to the mean equivalent line width expression

$$\frac{\overline{W}_D}{\delta} = \overline{\beta_D} H_\alpha(\frac{\overline{k_D} x p l}{\overline{\beta_D}})$$
(23)

298 with

$$H_{\alpha}(y) = \frac{1}{\alpha\sqrt{\pi}} \int_{-\infty}^{+\infty} [(1+y\exp(-\xi^2))^{\alpha} - 1]d\xi \quad \text{for } \alpha \neq 0$$
(24)

$$H_0(y) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{+\infty} \ln[1 + y \exp(-\xi^2)] d\xi \quad \text{for } \alpha = 0,$$
 (25)

where  $\overline{\delta_L}$  is a modified mean line spacing and  $\overline{\beta_D}$  characterizes the degree of line overlapping.  $\overline{k_{L/D}}$  is the reduced mean absorption coefficient and can be considered equal for the Lorentz and Doppler broadening regime ( $\overline{k_L} = \overline{k_D} =$  $\overline{k} = \overline{\kappa_\sigma}/(x_{\text{CO}_2}p)$ ) with good accuracy. The parameter  $\overline{\gamma_L}$  represents the mean Lorentz half width at half maximum of the lines and is expressed for CO<sub>2</sub> as 304 [30]

$$\overline{\gamma_L} = \frac{p}{p_s} \left(\frac{T_s}{T}\right)^{0.7} \left[0.07x_{\rm CO_2} + 0.058\left(1 - x_{\rm CO_2}\right)\right],\tag{26}$$

305 where  $p_s = 1$  atm and  $T_s = 296$  K.

306

For each broadening regime and each line class, parameters  $\overline{k}$  have been 307 obtained directly by averaging over each narrow band ( $\Delta \sigma = 25 \text{ cm}^{-1}$ ) 308 high-resolution absorption coefficients provided by LBL calculations based 309 on the HITEMP-2010 database. The parameters  $\overline{\delta_L}$  and  $\overline{\beta_D}$  have been gen-310 erated from adjustments enabling the corresponding analytical expression of 311  $\overline{W_{L/D}/\delta}$ , as a function of the column length l, to fit  $-\ln(\overline{\tau}^{\Delta\sigma}(l))$  obtained 312 with LBL calculations (called the curve of growth). This adjustment is made 313 by minimizing the least square error thanks to the Newton method. The pa-314 rameters  $\overline{\delta_L}$  and  $\overline{k}$  are assumed independent of the pressure and are generated 315 at p = 1 bar. For the curve of growth adjustments in the Lorentz broad-316 ening regime, 20 transmissivities are prescribed from 0.02 to 0.95, and the 317 associated column lengths are computed from these transmissivities. For the 318 adjustments in Doppler broadening regime, 21 column lengths are provided 319 from  $x_{\rm CO_2} pl = 5$  Pa.m to  $x_{\rm CO_2} pl = 5000$  Pa.m with a regular logarithmic 320 step. Indeed, prescribing transmissivities near 0 for the Doppler broadening 321 regime would lead to huge and unrealistic column lengths. The parameter  $\alpha$ 322 in the distribution function is equal to 0.3 excepted for narrow band centers 323  $\sigma = 2350/2375/2400 \text{ cm}^{-1}$  where  $\alpha = 0.2$ . To quantify the errors introduced 324 by the SNB model for uniform columns, a mean quadratic error is defined 325

for the mean transmissivity  $\overline{\tau}^{\Delta\sigma}$  as follows for each spectral band

$$s_{\tau}(\sigma) = \sqrt{\frac{1}{N_T \cdot N_{T_{12}} \cdot N_{T_3} \cdot N_l \cdot N_{class}}} \times \sqrt{\left(\sum_{i=1}^{N_T} \sum_{j=1}^{N_{T_{12}}} \sum_{k=1}^{N_T} \sum_{l=1}^{N_l} \sum_{n=1}^{N_{class}} \left((\overline{\tau}_{LBL}^{\Delta\sigma} - \overline{\tau}_{SNB}^{\Delta\sigma})(\sigma, T_i, T_{12,j}, T_{3,k}, l_l, class_n)\right)^2\right)},$$
(27)

<sup>327</sup> and a relative mean quadratic error is defined for  $1 - \overline{\tau}^{\Delta\sigma}$  for each spectral <sup>328</sup> band according to

$$s_{rel,1-\tau}(\sigma) = \sqrt{\frac{1}{N_T \cdot N_{T_{12}} \cdot N_{T_3} \cdot N_l \cdot N_{class}}} \times \sqrt{\left(\sum_{i=1}^{N_T} \sum_{j=1}^{N_{T_12}} \sum_{k=1}^{N_T} \sum_{l=1}^{N_l} \sum_{n=1}^{N_{class}} \left( \left(\frac{\overline{\tau}_{LBL}^{\Delta\sigma} - \overline{\tau}_{SNB}^{\Delta\sigma}}{1 - \overline{\tau}_{LBL}^{\Delta\sigma}} \right) (\sigma, T_i, T_{12,j}, T_{3,k}, l_l, class_n) \right)^2 \right)},$$

$$(28)$$

where  $N_T, N_{T_{12}}, N_{T_3}, N_{class}$  are the number of grid points of the variables 329  $T, T_{12}, T_3, class$ , respectively, for which parameters have been obtained. For 330 the temperatures, the grid contains the 32 following values: [200, 250, 300, 331 350, 400, 450, 500, 600, 700, 800, 900, 1000, 1100, 1200, 1300, 1400, 1500, 332 1600, 1700, 1800, 1900, 2000, 2200, 2400, 2600, 2800, 3000, 3200, 3400, 333 3600, 3800, 4000]. The  $N_l$  lengths l correspond to the ones used to perform 334 the curve of growth adjustments of  $\overline{\delta_L}$  and  $\overline{\beta_D}$ . These error estimators are 335 presented in Fig. 7 and Fig. 8 and show that the adjustment errors are 336 maximum when performed in the absorption bands, which is expected since 337 the curves of growth are linear for small optical thicknesses. The errors for 338



Figure 7: Mean quadratic error on  $\overline{\tau}^{\Delta\sigma}$  for Doppler broadening regime (left) and Lorentz broadening regime (right)

Lorentz broadening regime appear higher than for the Doppler regime. However, transmissivities are prescribed in Lorentz broadening, which means that the adjustment is made for a wide range of optical thicknesses. Therefore, the errors displayed for Lorentz broadening involve contributions of all transmissivity ranges, unlike for Doppler broadening, where errors are checked on the optically thin or medium part of the curve of growth.

345

In the context of high-altitude rocket plumes, we have to consider the Voigt broadening regime for which the mean black equivalent line width is calculated by combining  $\overline{W_L}/\delta$  and  $\overline{W_D}/\delta$  expressions, according to the Ludwig formula

$$\frac{\overline{W_V}}{\delta} = \overline{k}xpl\sqrt{1 - \Omega^{-1/2}} \quad \text{with}$$

$$\Omega = \left[1 - \left(\frac{1}{\overline{k}xpl}\frac{\overline{W_D}}{\delta}\right)^2\right]^{-2} + \left[1 - \left(\frac{1}{\overline{k}xpl}\frac{\overline{W_L}}{\delta}\right)^2\right]^{-2} - 1 \quad (29)$$



Figure 8: Mean relative quadratic error on  $1 - \overline{\tau}^{\Delta \sigma}$  for Doppler broadening regime (left) and Lorentz broadening regime (right)

as proposed in [31].

351

To validate the SNB model in this regime, we compare the radiative intensity at the exit of a uniform column from the model expressed as Eq.(18) and the LBL approach for the nonequilibrium conditions considered in Fig. 2 in a wide range of pressure. This allows us to check the model in all broadening regimes and ensure that the mixing formula and the mixing of classes do not downgrade model accuracy. The comparison in Fig. 9 shows a good agreement between the SNB model and the LBL approach for the two conditions.

To conclude this subsection, the SNB parameters  $\overline{(\eta_{\sigma}/\kappa_{\sigma})}^{\Delta\sigma}$ ,  $\overline{k}$ ,  $\overline{\delta_L}$ , and  $\overline{\beta_D}$ have been tabulated as function of the three temperatures T,  $T_{12}$ , and  $T_3$ , for each class and each narrow band. The temperature grid is the one given above.



Figure 9: Radiative intensity for a uniform column at l = 0.1 m for  $x_{CO_2} = 0.5$ , T = 300 K,  $T_{12} = 1000$  K,  $T_3 = 2000$  K (left) and l = 10 m for  $x_{CO_2} = 0.5$ , T = 2000 K,  $T_{12} = 1000$  K,  $T_3 = 300$  K (right). The pressure is p = 10000 Pa (black), p = 1000 Pa (red), p = 100 Pa (green), p = 10 Pa (blue) and p = 1 Pa (indigo).

### <sup>364</sup> 3. Application to radiative transfer

This model aims to be applied in realistic radiative transfer calculations. It must, therefore, give satisfactory results for non-uniform columns. The radiative intensity at the exit of a non-uniform column with  $N_{col}$  sub-columns indexed *i* from the multi-class SNB model is approximated by

$$\overline{I}^{\Delta\sigma}(N^{+}) = \sum_{j=1}^{N_{class}} \sum_{i=1}^{N_{col}} \overline{\left(\frac{\eta_{\sigma}}{\kappa_{\sigma}}\right)}_{j}^{\Delta\sigma}(T^{i}, T_{12}^{i}, T_{3}^{i}) \times \left[\overline{\tau_{j}}^{\Delta\sigma}(i^{+} \to N^{+}) - \overline{\tau_{j}}^{\Delta\sigma}(i^{-} \to N^{+})\right] \sqrt{\prod_{j' \neq j} \overline{\tau_{j'}}^{\Delta\sigma}(i^{+} \to N^{+})} \prod_{j' \neq j} \overline{\tau_{j'}}^{\Delta\sigma}(i^{-} \to N^{+}), (30)$$

where + and - represent resp. the inlet and the outlet of the sub-column i. The approximation is valid if each sub-column  $(i^-, i^+)$  is optically thin. The Curtis-Godson (C-G) [32] and Lindquist-Simmons (L-S) [33, 34] approaches are the most commonly used formulations to express the mean transmissivity for non-uniform columns with SNB models. In the Curtis-Godson formulation, it is assumed that the mean transmissivity of a nonuniform column, bounded by abscissae 0 and l, may be expressed similarly to Eq.(19)

$$\overline{\tau}_{CG}^{\Delta\sigma}(0,l) = \exp\left(-\frac{\overline{W}^{CG}}{\delta}(0,l)\right).$$
(31)

For the Lorentz broadening regime, the mean black equivalent width is givenby

$$\frac{\overline{W}_{L}^{CG}}{\delta} = \frac{\overline{\beta}_{L}^{*}}{\pi} \left( \sqrt{1 + \frac{2\pi u^{*} \overline{k^{*}}}{\overline{\beta}_{L}^{*}}} - 1 \right),$$
(32)

380 where  $u^*$ ,  $\overline{\beta_L^*}$  and  $\overline{k^*}$  are the effective parameters defined as

$$u^* = \int_0^l x_{\rm CO_2}(s) p(s) \ ds, \tag{33}$$

381

$$\overline{k^*}u^* = \int_0^l x_{\rm CO_2}(s)p(s)\overline{k}(s) \ ds, \tag{34}$$

382

$$\overline{\beta_L^*} = \frac{1}{\overline{k^*}u^*} \int_0^l x_{\text{CO}_2}(s) p(s)\overline{k}(s) 2\pi \frac{\overline{\gamma_L}}{\overline{\delta_L}}(s) \, ds. \tag{35}$$

The Curtis-Godson formulation for the Doppler broadening regime is expressed as

$$\frac{\overline{W}_{D}^{CG}}{\delta} = \overline{\beta}_{D}^{*} H_{\alpha} \left( \frac{u^{*} \overline{k^{*}}}{\overline{\beta}_{D}^{*}} \right), \qquad (36)$$

385 where  $\overline{\beta_D^*}$  can be defined in the classical approach according to

$$\overline{\beta_D^*} = \frac{1}{\overline{k^*}u^*} \int_0^l x_{\text{CO}_2}(s)p(s)\overline{k}(s)\overline{\beta_D}(s) \ ds, \tag{37}$$

<sup>386</sup> and in the formal approach leading to

$$\frac{1}{\overline{\beta_D^*}} = \frac{1}{\overline{k^*}u^*} \int_0^l x_{\rm CO_2}(s) p(s)\bar{k}(s) \frac{1}{\overline{\beta_D}(s)} \, ds, \tag{38}$$

as provided in [19, 29]. The Lindquist–Simmons approaches provide approximate expressions of the path length derivative of the mean black equivalent width  $\overline{W(s,l)}/\delta$  and is defined as follows in [29] for the Doppler and Lorentz broadening regimes

$$-\frac{1}{\delta} \frac{\partial \overline{W}_D^{LS}}{\partial s}(s,\ell) = \overline{k}(s) x_{\text{CO}_2}(s) p(s) y_\alpha \left( \frac{\overline{k^*}(s) u^*(s)}{\overline{\beta_D^*}(s)}, \frac{\overline{\beta_D}(s)}{\overline{\beta_D^*}(s)} \right), \quad (39)$$

391 with

$$y_{\alpha}(\tilde{x},\tilde{\rho}) = \frac{1}{\sqrt{\pi}} \int_{-\infty}^{+\infty} \frac{e^{-\xi^2}}{\left[1 + \tilde{x}\exp\left(-\tilde{\rho}^2\xi^2\right)\right]^{1-\alpha}} d\xi,$$
(40)

392 and

$$-\frac{1}{\delta}\frac{\partial \overline{W}_{L}^{LS}(s,l)}{\partial s} = x_{\rm CO_2}(s)p(s)\overline{k}(s)y\left[\frac{\pi \overline{k^*}(s)u^*(s)}{\overline{\beta}_{L}^*(s)}, \frac{\overline{\beta}_{L}(s)}{\overline{\beta}_{L}^*(s)}\right].$$
 (41)

393 with

$$y(x,\rho) = \frac{2\rho(1+x) + (1+\rho^2)\sqrt{1+2x}}{\sqrt{1+2x}(\rho+\sqrt{1+2x})^2},$$
(42)

As the formulation depends on the parameter  $\overline{\beta_D^*}$ , there are also classical and formal approaches for the Lindquist-Simmons formulation in the Doppler broadening regime.

397

To validate the developed SNB model, we consider four cases of non-uniform columns representative of high-altitude plume applications. The first column is a quasi-unidimensional expanding isentropic flow in a conical nozzle of radius R = 10 cm at the throat and a cone angle of 15°. The flowing gas is a non-reacting perfect mixture of N<sub>2</sub>-CO<sub>2</sub>-H<sub>2</sub>O with respective molar fractions



Figure 10: Temperature (left) and pressure (right) profiles of the quasi-unidimensional expanding nozzle flow from [9].

of 70%, 20%, and 10%. The flow conditions at the throat are assumed to 403 be sonic (Mach number=1) with an initial LTE temperature  $T_0 = 3000$  K, a 404 mass flow rate of 9 kg.m<sup>-2</sup>.s<sup>-1</sup>, leading to an initial velocity  $u_0 = 1118 \text{ m.s}^{-1}$ . 405 More details about this case are given in [9]. Fig. 10 shows the pressure and 406  $CO_2$  temperature profiles during the quasi-unidimensional expansion. The 407 radiative intensity at the exit of the nozzle axis line of sight is computed 408 with the LBL and the SNB approaches and is compared in Fig. 11. We 409 can see that the L-S formal formulation gives the best result in the 15  $\mu$ m 410 band of  $CO_2$ , but the C-G formal approximation is the most accurate one 411 for the 4.3  $\mu$ m and 2.7  $\mu$ m bands. To sum up, all the models are accurate 412 for this case and the mean relative errors for the bands presented here are 413 approximately 2%. For this case, the C-G formal approach seems to best 414 agree with the LBL approach if we consider the whole spectrum. 415

416



Figure 11: Radiative intensities at the exit of the nozzle axis line of sight in the 15  $\mu$ m (left), 4.3  $\mu$ m (middle) and 2.7  $\mu$ m (right) regions of CO<sub>2</sub> for the quasi-unidimensional expanding flow.

The second and third studied cases are non-uniform columns obtained thanks 417 to a Lagrangian post-processing method applied to LTE simulation of the 418 plume of the solid propellant motor Antares II from the "Bow Shock Ultra-419 violet2" (BSUV2) experiment [35]. This post-processing method was used 420 on the LTE temperature field of the plume to determine all of the vibrational 421 temperature fields. An exhaustive explanation of this method is presented in 422 [9]. The first column considered is 20 m long, starting from the nozzle exit 423 at r/2 from the plume axis of symmetry, where r is the nozzle radius at the 424 nozzle exit and is parallel to the plume axis of symmetry. This case is called 425 hereafter the axial column. The second line of sight called the transverse 426 column, crosses the plume perpendicularly to its axis and intersects this axis 427 at x = 2 m away from the nozzle exit. For these two columns, the molar frac-428 tion of  $CO_2$  is  $x_{CO_2} = 0.0136$ . Pressure and temperature distributions along 429 these columns are plotted in Fig.12 and Fig. 14. For the axial column, the 430 L-S classical approach provides the best agreement with the LBL approach 431



Figure 12: Temperature (left) and pressure (right) profiles of the axial column from [9].

in Fig.13. For the transverse column, the L-S formal approach seems more
accurate than the C-G approximation in Fig.15, but the difference is tight.

The last case is a non-uniform column representative of Mars entry condi-435 tions. It is a  $CO_2$ - $N_2$  mixture discretized in two uniform columns whose 436 conditions are given in the table 3. The radiative intensity at the exit of 437 the sub-column 2 in the direction  $1 \rightarrow 2$  is computed with the SNB model 438 and compared with LBL approach results. Although the HITEMP database 439 might be inaccurate for temperatures around 3500 K, the purpose is to test 440 the SNB model, not the database. It is clear in Fig. 16 that the classical 441 Lindquist-Simmons approach is the most accurate for this case. The 2.7  $\mu$ m 442 and 15  $\mu{\rm m}$  regions are optically thin, so the model degenerates in  $\overline{k^*}$  in these 443 bands. We can conclude that the developed nonequilibrium SNB model gives 444 satisfactory results for both strong compression and expansion cases. 445



Figure 13: Radiative intensities at the exit of the axial column in the 15  $\mu$ m (left), 4.3  $\mu$ m (middle) and 2.7  $\mu$ m (right) regions of CO<sub>2</sub>.

element	p [Pa]	T [K]	$T_{12}$ [K]	$T_3$ [K]	length [m]	$x_{\rm CO_2}$
1	1000	3500	1500	700	0.05	0.6
2	500	3000	500	240	0.05	0.6

Table 3: Conditions of the non-uniform column representative of Mars entry conditions



Figure 14: Temperature (left) and pressure (right) profiles of the transverse column from [9].



Figure 15: Radiative intensities at the exit of the transverse column in the 15  $\mu$ m (left), 4.3  $\mu$ m (middle) and 2.7  $\mu$ m (right) regions of CO<sub>2</sub>.



Figure 16: Radiative intensities at the exit of the line of sight in the direction  $1 \rightarrow 2$  in the 15  $\mu$ m (left), 4.3  $\mu$ m (middle) and 2.7  $\mu$ m (right) bands of CO<sub>2</sub> for the Mars entry representative conditions.

### 446 4. Conclusion

A vibrational nonequilibrium SNB model for  $CO_2$  has been developed and 447 presented in this paper. The calculation of population densities is based 448 on a three-temperature approach  $(T, T_{12}, T_3)$  corresponding resp. to the 449 translational-rotational mode, the symmetric stretching and bending mode, 450 and the antisymmetric stretching mode. Since Kirchhoff's law is no longer 451 valid under these conditions, it is necessary to check the decorrelation be-452 tween  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$  beforehand. With these tests, we highlighted that there 453 are correlation issues between  $\eta_{\sigma}/\kappa_{\sigma}$  and  $\kappa_{\sigma}$  in the 4.3  $\mu$ m and 2.7  $\mu$ m re-454 gions of  $CO_2$  for strong nonequilibrium between the temperatures  $T_{12}$  and 455  $T_3$ . This issue is caused by the proximity of transitions whose  $\frac{\eta_{\sigma}}{\kappa_{\sigma}}$  ratio is 456 dominated by the  $T_{12}$  and the  $T_3$  temperatures. The proposed solution was 457 to define classes of lines with similar vibrational transitions. SNB model 458 parameters were obtained by adjusting the curves of growth obtained from 459 the LBL approach for Lorentz and Doppler broadening regimes for each line 460 class. The quality of the adjustments was then discussed quantitatively us-461 ing error statistics. Moreover, the SNB model accuracy was studied for the 462 Voigt broadening regime by comparing the radiative intensity escaping from 463 uniform columns for a wide pressure range in two strong nonequilibrium con-464 ditions. This model was also applied for representative cases of high-altitude 465 expanding plumes and Mars entry conditions and showed satisfactory results. 466

467

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