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Sentinel-2 Single Image Super-Resolution with the SEN2VEN μ S Dataset: architecture, training strategy, performances assessment and application to Water Bodies Detection

Aurélien Lac, Julien Michel, Vincent Poulain, Nafissa Sfaksi

Abstract

This paper presents training and validation strategies for the application of a Single Image Super-Resolution (SISR) architecture to Sentinel-2 imagery using the SEN2VEN μ S open dataset, in order to generate 5m resolution images from the initial 10m and 20m bands. Our approach focuses on preserving radiometry and geometry of the input images and avoiding the introduction of artifacts, and leverages a smaller ESRGAN generator network, as well as high and low spatial frequencies separation in loss calculation. Bands B11 and B12, which are missing in the SEN2VEN μ S dataset, are also processed by means of the Wald protocol. We demonstrate that those adaptations allow to enhance the resolution of all Sentinel-2 bands to 5m without substantial loss in reliability. Benefits of the 5 meter super-resolved images are demonstrated through a water bodies detection use-case, allowing to significantly improve detection performances on smaller water bodies.

Index Terms

Sentinel-2, Super-Resolution, Water Bodies

I. INTRODUCTION

Sentinel-2 is probably one of the most famous source of optical satellite imagery along with Landsat-8. This is due to its unique combination of spectral bands, global coverage, systematic 5-days revisit and open-data policy. While the Landsat series also provide the same features, Sentinel-2 has the highest spatial resolution, with 4 spectral bands in visible and Near-Infra-Red provided at 10 meter resolution, and 6 bands in Red-Edge, Near and Short-Wavelength Infra-Red provided at 20 meter resolution.

Sentinel-2 data are therefore used in a wide range of applications where both revisit and resolution are important factors, such as vegetation monitoring [1], Land Cover and Land Use Mapping [2], European Common Agricultural

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26 Policy control [3] and Water Bodies Monitoring [4]. However, as shown during the Sentinel-HR phase-0 study at
27 CNES [5], the 10-meter spatial resolution - at best - of Sentinel-2 spectral bands can be a limiting factor for smaller
28 objects, including small agricultural parcels, narrow landscape features such as hedges, and small water bodies. In
29 order to overcome this limitation while still benefiting from the numerous advantages of the Sentinel-2 mission,
30 Single Image Super-Resolution (SISR), which is the process of predicting a higher resolution version of an image
31 using only this image at inference time, seems a natural choice.

32 Indeed, driven by the deep-learning trend, several works in the literature address the Super-Resolution of Sentinel-
33 2 image with a Single-Image approach [6]–[9]. In [10], the authors suggest that Sentinel-2 is especially well
34 conditioned for SISR, because of its high Modulation Transfer Function (MTF) value at Nyquist rate combined
35 with the small spatial shifts between detectors in the focal plane. Nevertheless, data-sets used in existing works are
36 often gathered from a limited number scenes and geographical locations, they do not cover the full range of Sentinel-
37 2 spectral bands, and most importantly they are not released to the public, which impairs any attempt to reproduce
38 or consolidate their results. To overcome those limitations, the SEN2VEN μ S dataset [11] has been released under
39 an open-data licence in 2021. Based on same-day occurrences of Ven μ S and Sentinel-2 data, SEN2VEN μ S offers
40 more than 130k patches spread across 29 different locations, and covering all 10-meter and 20-meter spectral bands
41 of Sentinel-2 (excluding SWIR bands) with a 5-meter target image acquired by the Ven μ S satellite at the exact same
42 date.

43 Water surfaces represent the largest part of the water resource available to societies and their various uses (food,
44 agriculture, industry, etc.). Continuous monitoring of water surfaces is essential for water resource management, to
45 ensure a fair distribution between the various uses. It is also an indicator of global and environmental change, and
46 studying it helps to identify levers for action and adaptation to cope with the effects of climate change. Detailed
47 knowledge and monitoring of water bodies over time is therefore paramount for water managers [12]. Small water
48 bodies monitoring is a challenge, as a trade off must be found between noise and false detection on one hand and
49 accuracy and integrity of water extraction [13] on the other. SISR super-resolution of Sentinel-2 images therefore
50 represents an interesting solution for going beyond the current limits (0.5 ha) and offering complete monitoring of
51 inland water resources.

52 This paper presents the adaptation and performances assessment of the ESRGAN network trained using the
53 SEN2VEN μ S dataset in the course of ESA ITT project "Super-Resolution Enhanced Sentinel-2 Data for EO
54 Applications and Services"¹. The main contributions of this work are as follows. First, a network architecture
55 based on ESRGAN that can jointly super-resolve 10-meter and 20-meter Sentinel-2 bands is proposed. Super-
56 resolution of SWIR band is also achieved by means of the Wald protocol. Second, limitations of the SEN2VEN μ S
57 dataset in terms of radiometric and spatial consistency are identified and mitigation strategies are proposed. Third,
58 detailed performances assessment is presented. And Fourth, the benefit of the super-resolved data for Water Bodies
59 Detection (WBD) is demonstrated.

60 The remaining of the paper is organised as follows. Section II briefly summarizes the state-of-the art. Section III

¹<https://eo4society.esa.int/projects/super-resolution-enhanced-data-for-eo-applications-and-services-topic-a-sentinel-2-super-resolution/>

61 presents materials and methods used in this work. Section IV-B presents the performances assessment, while
62 section IV-D presents the application to WBD.

63 II. STATE OF THE ART

64 Deep learning has revolutionized the field of super-resolution by introducing various architectures that can
65 effectively learn complex mappings between low-resolution (LR) and high-resolution (HR) images. Many training
66 dataset are composed of HR images only, and the proper simulation of the LR degradation model is therefore
67 paramount for model performances and good generalization to real data. For instance, in [14], the Pléiades NEO
68 LR images are carefully simulated from the airborne HR reference images. In the current work however, the dataset
69 consists of LR and HR pairs of real-world satellite data, which allows to focus on optimization paradigms and
70 cost-effective architectures capable of producing sharp and reliable results in the context of SISR. Some of the
71 most notable deep learning architectures for SISR are reviewed in the remaining of this section.

72 A. Convolutional Neural Networks (CNN)

73 This family of models includes all architectures that learn a correspondence between LR and HR images, optimized
74 from end to end and using a single classic CNN model. SRCNN [15] is recognized as the first successful use of
75 a CNN applied to super-resolution. It uses a simple but pioneering 3-layers convolutional neural network with an
76 early up-sampling scheme designed to learn the super resolution mapping. ESPCN [16], also uses a simple CNN
77 architecture, but with a late up-sampling design. The authors also introduce up-sampling with a sub-pixel convolution
78 layer, which has proved to be very efficient and is therefore used by many subsequent methods. Successive advances
79 in neural networks were then applied to this type of architecture. For instance, EDSR [17] and CARN [18] are
80 based on modified and improved versions of residual connections allowing increased depth of super-resolution
81 networks. In the same way, skip connections are massively used in the DenseNet [19] architecture and subsequently
82 applied to super-resolution in SRDenseNet [20]. As implemented in RDN [21], it is also possible and now common
83 to combine residual connections and skip connections. In addition, architectures such as RCAN [22], [23] have
84 successfully used channel attention mechanisms to adaptively rescale channel-level characteristics.

85 B. Generative Adversarial Networks (GAN)

86 GANs were introduced in 2014 [24], paving the way for a whole new branch of generative model research, and
87 have rapidly become the benchmark for image synthesis, including super-resolution. In general, a GAN consists
88 of a generator that creates synthetic data and a discriminator (or a critic, in the WGAN [25] formulation) that
89 distinguishes between real and generated data. They are trained together in a competitive manner to generate realistic
90 data. SRGAN [26] was a pioneer in the use of GANs applied to super-resolution. The generator is a ResNet-type
91 CNN, while the discriminator is a rather classical CNN ending with dense layers and using LeakyReLU activation
92 functions. In addition, SRGAN is optimized using a combination of GAN loss and content loss calculated on feature
93 maps of a VGG [27] network. ESRGAN [28] builds upon SRGAN, but removes the generator batch normalization
94 layers, replaces the basic ResNet with residual in residual dense blocks (RRDB), and brings other improvements

95 such as residuals scaling. More recently, Real-ESRGAN [29] defined a new state of the art in blind super resolution,
96 using the same generator architecture as ESRGAN but employing larger-scale training, a U-Net discriminator with
97 spectral normalization [30], [31], and highly diverse image degradation model for training.

98 *C. Transformers*

99 The Transformer architecture [32] originated from Natural Language Processing (NLP). Its novelty lies in the
100 intensive use of attention mechanism without the need of recurrence or convolution. This type of architecture
101 recently appeared in computer vision with Vision Transformers (ViT) [33], further improved with Shifted Windos
102 (Swin) Transformers [34], [35]. This latest architecture was quickly applied to super-resolution through SwinIR
103 [36], [37], which surpasses Real-ESRGAN on real images when using the GAN loss.

104 *D. Diffusion models*

105 Diffusion models [38]–[40] are a family of probabilistic generative models that progressively destroy data by
106 injecting noise, then learn to reverse this process for sample generation. These models very quickly demonstrated
107 record-breaking results in many fields, including super-resolution. Several supervised approaches for SISR have
108 been proposed, including SR3 [41], SRDiff [42], and LDM [43]. Although these methods seem to offer the best
109 results, they are also considerably more expensive in terms of computing resources.

110 III. MATERIALS AND METHODS

111 *A. Data preparation*

112 The SEN2VEN μ S dataset comprises 256x256 Ven μ s patches along with corresponding 128x128 (for 10 meter
113 bands) and 64x64 (for 20 meter bands) Sentinel-2 patches. Ven μ s patches are pair-wised spatially registered to
114 their corresponding Sentinel-2 patch, and their radiometry is linearly transformed so as to minimize discrepancies
115 between both sensors [11]. It is noteworthy that despite this processing, spatial and spectral discrepancies are still
116 present especially for Ven μ s sites with higher viewing angles. In the present work, the dataset has been further split
117 by retaining a random selection of 119,915 patches for the training and 13,040 patches for validation. Sentinel-2
118 tile T30TYP was then used to assess the benefits of super-resolved data for the WBD application, as presented in
119 section IV-D. Patches of Sentinel-2 B11 and B12 bands are missing in the original SEN2VEN μ S dataset, and have
120 been retrieved from the SentinelHub.

121 *B. Network architecture*

122 In this work, the generator and discriminator architectures are based on those presented in Real-ESRGAN [29].
123 However, the proposed adaptations could be applied to other state-of-the-art architectures presented in section II as
124 well. The generator is based on the ESRGAN generator architecture also adopted in Real-ESRGAN, with a suite
125 of Residual in Residual Dense Blocks (RRDB), as described in figure 1. The number of BB initially proposed in
126 ESRGAN is 16 or 23. However, we observed that such very deep network can learn the residual spatial distortion
127 related to the parallax effects on rugged terrain for Ven μ s site with higher viewing angles. Since the super-resolution

128 factor is small in this work, the number of BB has been reduced to 6, which allows to limit the network ability to
 129 capture spatial distortion. The generator input has been adapted to integrate images of different resolution, namely
 130 Sentinel-2 10 and 20 meter bands (figure 1). Similar to what is done in [44], the 20-meter bands are concatenated
 131 with the 10-meter bands after going through a bicubic upsampling. The upsampling block used at the end of the
 132 architecture is a sub-pixel convolution, using Pytorch PixelShuffle function. In addition, we use the mirror padding
 133 mode in the convolution layers, so as to limit edge effects, which are particularly noticeable at the start of training.
 134 One important parameter in this architecture is the number of RRDB, which conditions the depth of the network.
 135 The discriminator used is a U-Net with spectral normalization used in [30], [31], which takes as input the predicted
 136 bands at 5-meter resolution. The relativistic discriminator formulation described in ESRGAN [28] is employed.

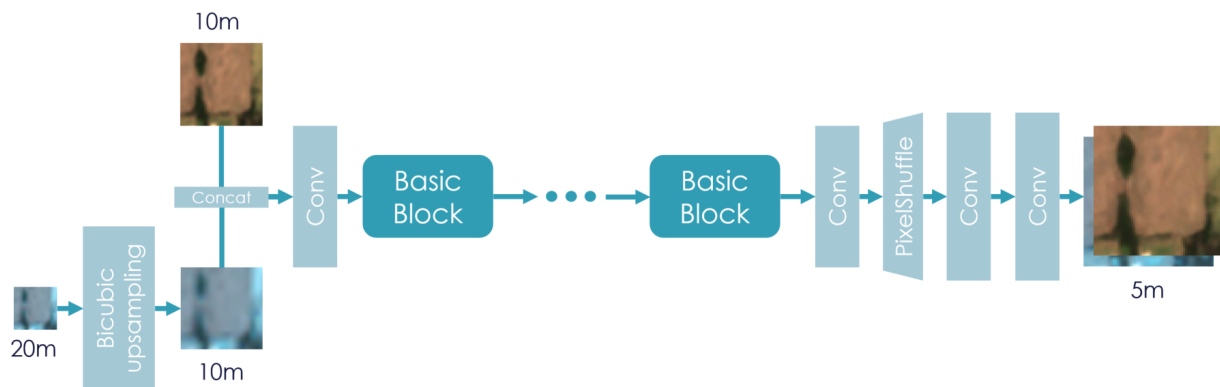


Fig. 1. Overview of the proposed generator architecture. Basic blocks are Residual in Residual Dense Blocks (RRDB).

137 *C. Proposed LR and HR loss functions*

138 ESRGAN uses the $L_1 = \|I_{SR} - I_{HR}\|_1$ loss as the generator loss, with I_{SR} the reconstructed image and I_{HR}
 139 the HR target image), along with the GAN loss L_{GAN} for the discriminator, as defined in equation 1:

$$L_{simple} = L_1 + \lambda L_{GAN} \tag{1}$$

140 However, during initial tests with L_{simple} , $\lambda = 5 \times 10^{-3}$, and with a 18 BB network, radiometric distortions
 141 have been observed in the super-resolved images, which were closer to Venus radiometry than to Sentinel-2 input
 142 radiometry. In order to overcome this issue, we designed a new loss term that separately ties the low spatial
 143 frequencies of the super-resolved image to the input Sentinel-2 patch and the high spatial frequencies to the Target
 144 Venus patch, as defined in equations 2 and 2:

$$L_1^{lowfreq} = \|I_{SR}^{lowfreq} - I_{LR}\|_1 \tag{2}$$

$$L_1^{highfreq} = \|I_{SR}^{highfreq} - I_{HR}^{highfreq}\|_1 \tag{3}$$

145 where $I_{SR}^{lowfreq}$ and $I_{SR}^{highfreq}$ are obtained by convolution with an isotropic gaussian kernel whose standard deviation
 146 is related to the Modulation Transfer Function (MTF) values at Nyquist rate for each Sentinel-2 spectral band.

147 In addition, the GAN loss for discriminator is limited to the high frequencies of both the predicted and reference
 148 HR images, which gives the final loss function as defined in equation 4:

$$L_{final} = L_1^{lowfreq} + \mu L_1^{highfreq} + \lambda L_{GAN}^{highfreq} \quad (4)$$

149 This formulation allows GAN loss to be applied only to the high spatial frequencies of the images, and limits
 150 the hallucination potential in the low spatial frequencies domain. In addition, it enforces faithfulness to the input
 151 Sentinel-2 patch I_{LR} .

152 *D. Processing of Sentinel-2 SWIR bands*

153 Since there is no Venus equivalent for SWIR bands, a dedicated network has been trained by downsampling
 154 Sentinel-2 bands by a factor of 2 (Wald protocol). The model is therefore trained to reconstruct 20 meter Sentinel-2
 155 SWIR bands from 40 meters simulated ones, assuming scale invariance for inference. The same generator and
 156 U-Net discriminator were used. Similar to [44], low-resolution 40 meter images for training were generated by
 157 first applying a Gaussian blur followed by a downsampling. However, for more robust results, random parameters
 158 are used. We first apply a random Gaussian blur with $\sigma \sim \mathcal{U}(1.7, 2.6)$, then a downsampling algorithm randomly
 159 chosen between Pytorch interpolate mode "area", "bicubic" and "bilinear", and finally we apply Gaussian noise
 160 with $\sigma \sim \mathcal{U}(0.1, 0.01)$. During inference, the generator input is the 10-meter bands and 20-meter bands (including
 161 B11 and B12) upscaled to 10 meters using bicubic interpolation.

162 *E. Performances assessment*

163 Performances assessment is a challenging task when training SISR network with dataset that rely on two different
 164 sensors for the HR and LR patches. Indeed, traditional metrics such as RMSE, PSNR or SSIM with respect to
 165 the HR testing patches will favor algorithms that compensate for spatial and radiometric discrepancies between the
 166 input LR Sentinel-2 patches and target HR Venus patches. From the user point of view however, and especially
 167 in the remote sensing field, SISR should not incur any distortion of the input image that may impair downstream
 168 applications.

169 In order to provide insight on the ability of the trained network to preserve the input Sentinel-2 image radiometry
 170 and geometry, while effectively injecting higher resolution details we perform a separate evaluation:

- 171 • Standard image quality metrics are applied to the low pass filtered prediction, with respect to the input Sentinel-
 172 2 image, in order to assess radiometric faithfulness to the input LR image,
- 173 • A block-matching algorithm is used to evaluate spatial distortion between low-pass filtered prediction and input
 174 LR images,
- 175 • In the mean time, simulated input patches are generated from reference HR patches by mean of bicubic
 176 downsampling, and fed to the network. Those patches are perfectly consistent with the reference HR patches
 177 and standard image quality metrics are applied to measure the network super-resolution performances.

178 F. Water bodies Detection

179 Numerous techniques and methods exist for studying and analysing inland water surfaces using different data
 180 sources: optical [12], [13], [45], radar [46]–[49] or both [50]–[53]. In this work, the assessment of the relevance
 181 of super-resolution data from a thematic point of view is carried out using a WBD algorithm initially designed
 182 for processing native resolution Sentinel-2 data, based on water-specific spectral indices [54]–[57] calculated from
 183 Green (B3), NIR (B8) and SWIR (B11 and B12) bands. Resampling to 10 meters is necessary to exploit the
 184 Sentinel-2 SWIR bands. A binary threshold is then applied to each of the water indices (table I) followed by a
 185 fusion by majority voting to obtain the final classification. The technique allows images to be segmented into two
 186 distinct regions, the areas in water (the class of interest) and the rest of the image. Simple thresholding has been
 187 chosen for its simplicity, speed, and limited computing power requirements [46], [50], [58]. The threshold applied
 188 in the present case has been chosen through the analysis of the original Sentinel-2 histogram over year 2020. In
 this work, the water detection algorithm is applied to the output of the SISR network without any modification.

Acronym	Index	Authors	formula
NDMI	Normalized Difference Moisture Index	Gao 1996	$\frac{B8 - B11}{B8 + B11}$
MNDWI	Modified Normalized Difference Water Index	Xu 2006	$\frac{B3 - B11}{B3 + B11}$
NDPI	Normalized Difference Pond Index	Lacaux et al. 2006	$\frac{B11 - B3}{B11 + B3}$
NDWI-2	Normalized Difference Moisture Index-2	Gao 1996	$\frac{B8 - B12}{B8 + B12}$

TABLE I

SPECTRAL WATER INDICES USED BY THE WBD ALGORITHM.

189
 190 This algorithm has been providing continuous temporal monitoring of water bodies larger than 0.5 ha over the
 191 french department of Gers since 2017, which is used as the testing area in this work (see section IV-D). The
 192 minimum surface area detected and monitored is 0.5 ha. Smaller objects below this limit are excluded from the
 193 monitoring, however their analysis is essential for understanding hydrological processes and monitoring water bodies
 194 capacities. There are therefore strong expectations from the land and water resource managers community regarding
 195 the improvement of monitoring performances.

196 IV. EXPERIMENTS

197 A. Experimental setup

198 For all the experiments, the mini-batch size is set to 16. The training process is divided in two step [28]. The
 199 generator optimisation starts only with the L_1 loss during 50k steps. Next, based on the observation that the
 200 abrupt introduction of the discriminator during training could introduce instability, we use (4) with $\mu = 1$ and
 201 $\lambda = \tanh(\frac{i}{I})\lambda_{max}$ with i the number of steps since the introduction of the discriminator, I a scaling factor (set to
 202 10,000) and $\lambda_{max} = 5 \times 10^{-3}$. The number of steps for this stage is 250k. The learning rate is set to 1×10^{-4}
 203 and halved every 50k steps. We use Adam [59] with $\beta_1 = 0.9$, $\beta_2 = 0.999$. We alternate optimization between the
 204 generator and discriminator.

205 *B. Image quality assessment*

206 *1) Qualitative assessment:* Figure 2 presents the result of the ESRGAN network with 6 BB on our validation
 207 dataset, both on 10-meter bands (B2, B3 and B4) and 20-meter bands (B5, B6 and B7). It can be observed that
 208 the predicted patches are very similar to the reference Venus patches. The gain in spatial resolution is especially
 209 noticeable for the 20-meter bands. Though the benefits with respect to bicubic up-sampling is less noticeable for
 210 10-meter bands, the network seems to also perform denoising and de-aliasing, which can be observed on figure 3.
 211 Though denoising and de-aliasing is stronger when using a higher number of BB, it is already noticeable when
 212 using only 3 BB.

213 Regarding B11 and B12 bands obtained using the Wald protocol, the spatial resolution seems to have improved
 214 visually 4. As no high resolution reference is available to assess the performances, the rest of the validation work
 215 on these bands relies on the application WBD presented in section IV-D. Furthermore, given that the dataset is
 216 simulated, there was no difficulties in preserving LR radiometry.

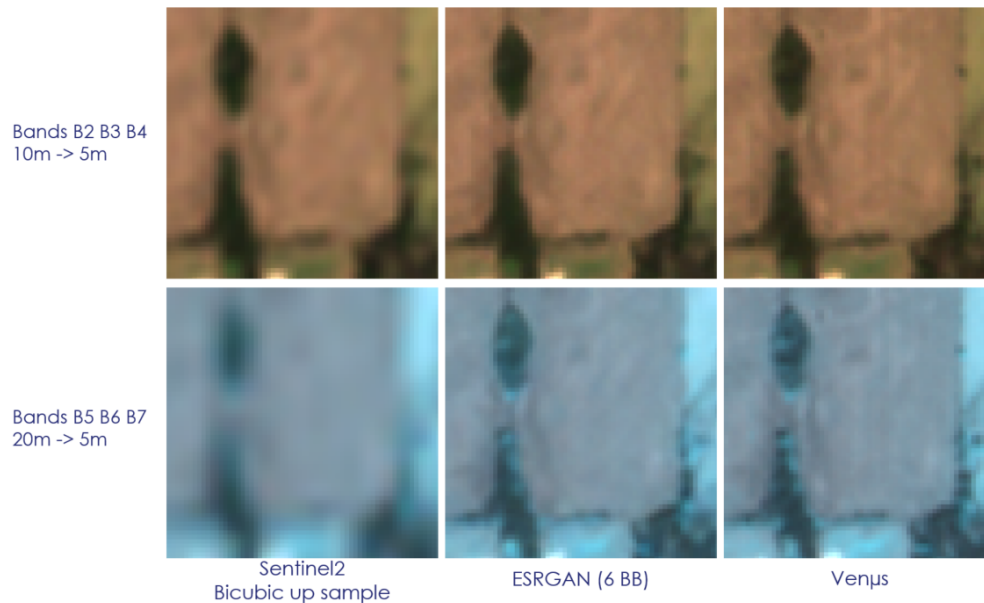


Fig. 2. Example of results with the proposed method. The first line presents a color composition with B2, B3, B4 bands. The second represents a color composition with B5, B6, B7 bands. The left column is Sentinel2 images upsampled with a bicubic algorithm. The middle column is the output of our network. and the right column is the Venus reference.

217 *2) HR performances:* Figure 5 presents the PSNR for each spectral bands, when applying the trained SISR
 218 network to LR patches simulated by downsampling the reference Venus patches. While this only gives a proxy of
 219 the actual performances, it is the only mean to obtain HR metrics that are not biased by the dataset discrepancies.
 220 It can be observed that the proposed network performs similarly to bicubic up-sampling for 10-meters band, and
 221 provide a PSNR gain of between 2 and 3 dBs on the 20-meters bands. This is consistent with the qualitative
 222 assessment of section IV-B1, which shows that the benefits of the trained SR algorithm is more noticeable for
 223 20-meter bands. It must be stressed that the de-aliasing and denoising effects at 10-meter that can be observed in

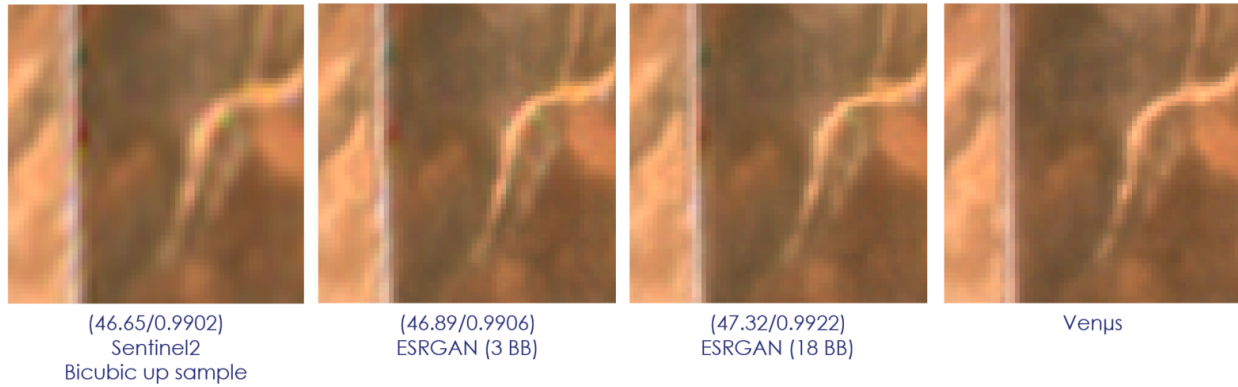


Fig. 3. (PSNR/SSIM) and B2, B3, B4 color composition comparison between Venüs (right) and : Sentinel2 with a bicubic upsample (left), ESRGAN (3 BB) output (2nd), ESRGAN (18 BB) output (3rd)

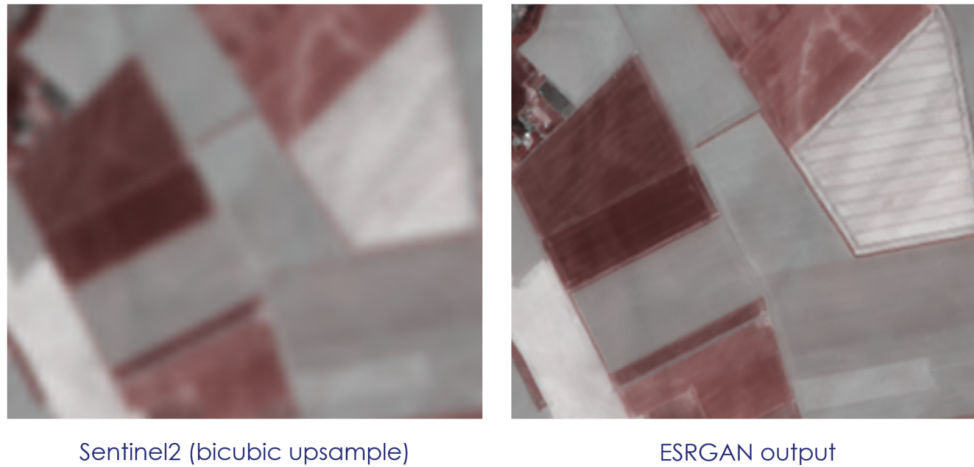


Fig. 4. Example of results with the proposed method using Wald protocol. The color composition used is with B11, B12, B12 bands. The left image is Sentinel2 upscaled with a bicubic algorithm. The right image is the output of our network.

224 figure 3 are not well accounted for in the measured PSNR: not only will de-aliasing only marginally impact metrics
 225 based on global statistics such as PSNR, but the LR patches simulation process used in this experiment is very
 226 simple and will not generate realistic Sentinel-2 noise and aliasing. Therefore, PSNR values in figure 5 should be
 227 interpreted as a lower bound of the expected performances on real Sentinel-2 images.

228 3) *LR performances*: Table II gives median and quantiles at 25% and 75% of differences between the initial
 229 Sentinel-2 image and the predicted HR image, downsampled back to the Sentinel-2 Resolution. It can be observed
 230 that all errors are very low, with a median value within $1e-3$ surface reflectance order of magnitude for visible
 231 bands and $1e-2$ surface reflectance order of magnitude for Near Infra-Red (NIR) bands. It should be noted that the
 232 latter have a higher dynamic range which may explain higher errors. In any case, given that the error budget of
 233 atmospheric corrections for L2A products is around 0.01 surface reflectance [60], it is safe to say that the SISR
 234 model does not incur radiometric distortion to the input Sentinel-2 image.

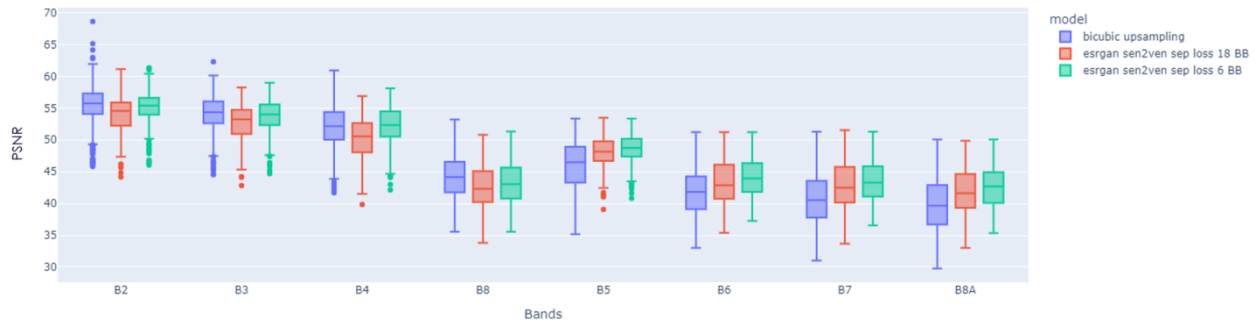


Fig. 5. Box plot of PSNR for each spectral band between Venüs and ESRGAN outputs (for different methods) with simulated Sentinel-2 inputs.

Band	B2	B3	B4	B8	B5	B6	B7	B8A
Median	106.3	147.8	139.5	725.0	435.8	466.7	725.9	903.3
Q1	46.7	64.6	63.6	266.1	155.0	167.6	247.4	288.9
Q2	216.7	321.1	262.3	2373.9	2067.1	1998.0	2784.0	3529.2

TABLE II

MEDIAN AND QUANTILES AT 25% AND 75% OF ABSOLUTE DIFFERENCES BETWEEN THE INITIAL SENTINEL-2 IMAGE AND THE PREDICTED HR IMAGE, DOWNSCALED BACK TO THE SENTINEL-2 RESOLUTION, WITH ESRGAN TRAINED WITH SEPARATED LOSS AND 6 RRDBS (REFLECTANCE $\times 10^6$)

235 *C. Ablation studies*

236 *1) Impact of separate HR and LR loss functions:* by comparing errors on the low frequencies between input
 237 Sentinel-2 and Venüs reference images on one hand, and between Sentinel-2 and HR prediction from the vanilla
 238 network (no separated loss, 18 RRDBs) on the other, we noticed that the magnitudes of errors are similar. This
 239 means that the network trained with the vanilla loss learned the residual radiometric bias present in the data
 240 set. Table III shows the median of absolute error between Sentinel-2 and the downsampled images generated with
 241 ESRGAN, with and without using the separate LR and HR loss functions. Median errors with respect to the input
 242 Sentinel-2 radiometric values are 2 times (for NIR and Red-Edge bands) to 4 times (for other visible) bands lower
 243 with the proposed separate HR and LR losses than with the vanilla loss. Figure 6 illustrates this trend.

Band	B2	B3	B4	B8	B5	B6	B7	B8A
Median (18 BB, separate HR and LR losses)	383.5	463.9	570.1	1670.8	1584.2	2725.4	3002.2	3435.2
Median (18 BB, vanilla loss)	2216.2	2423.5	2488.0	5268.0	3715.3	5816.2	6598.7	7387.0

TABLE III

MEDIAN OF ABSOLUTE ERROR BETWEEN SENTINEL-2 AND THE DOWNSCALED IMAGES GENERATED WITH ESRGAN, WITH AND WITHOUT USING THE SEPARATE LR AND HR LOSS FUNCTIONS (REFLECTANCE $\times 10^6$).

244 *2) Impact of network depth:* In order to find the correct trade-off between network depth and level of geometric
 245 distortion, we tested networks with 3, 5, 6, 8, 10, 16 and 18 RDBBs. Geometric deformations become noticeable

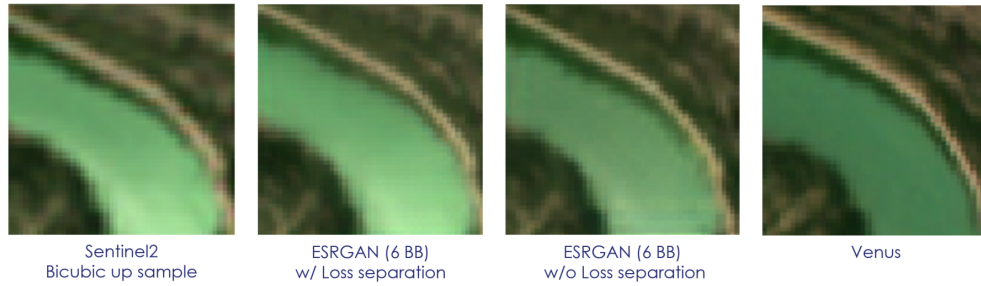


Fig. 6. From left to right: RGB composite of Sentinel-2 patch up-sampled to 5 meter through bicubic zoom, prediction of ESRGAN with vanilla loss, prediction of ESRGAN with separate HR and LR losses, and VENUS reference.

246 starting at 8 RDBBs, and there is no difference between 10 and 16 RDBBs. The number of BB was therefore set
 247 to 6 RDBBs.

248 To measure the geometric deformation between Sentinel-2, Venus, and the super resolved images, we used
 249 the block matching algorithm implemented in Orfeo-ToolBox (OTB) [61]. Block matching is performed between
 250 the input Sentinel-2 patch and the predicted patch downsampled to 10 meters. Figure 7, illustrate the pixel-wise
 251 estimated Y-axis offset for one of the worst areas between Sentinel-2 and the Venus reference, the predicted image
 252 with a 18 RDBBs network, and the predicted image with 6 RDBBs network. It can be observed that the 18 RDBBs
 253 network learns the existing deformation between the Sentinel-2 and reference Venus patch, while the 6 RDBBs
 254 shows almost no deformation. Figure 8 shows the distribution of geometric offsets measured on the whole validation
 255 dataset, and shows that the 6 BB has far less geometric distortion than the 18 BB, with 90th percentile of absolute
 256 deformation evaluated at 0.115 pixels.

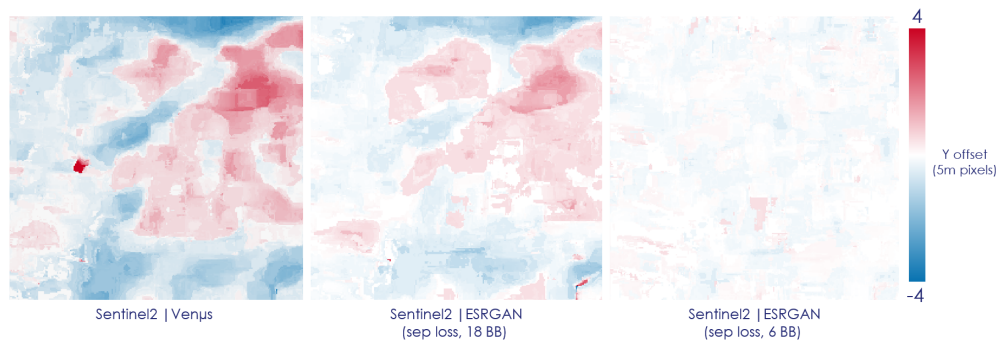


Fig. 7. Y-axis deformation, measured by means of block matching, between Sentinel-2 and the downsampled Venus reference (left), Sentinel-2 and the 18 RDBBs network prediction (center) and Sentinel-2 and the 6 RDBBs network prediction (right), for a sample patch in the validation set.

257 *D. Application to Water Bodies Detection*

258 The Gers district, France, has been chosen to evaluate the benefit of the super-resolved Sentinel-2 images of
 259 the standard ones. Gers is an intensive agricultural area in south-west France, with significant water requirements

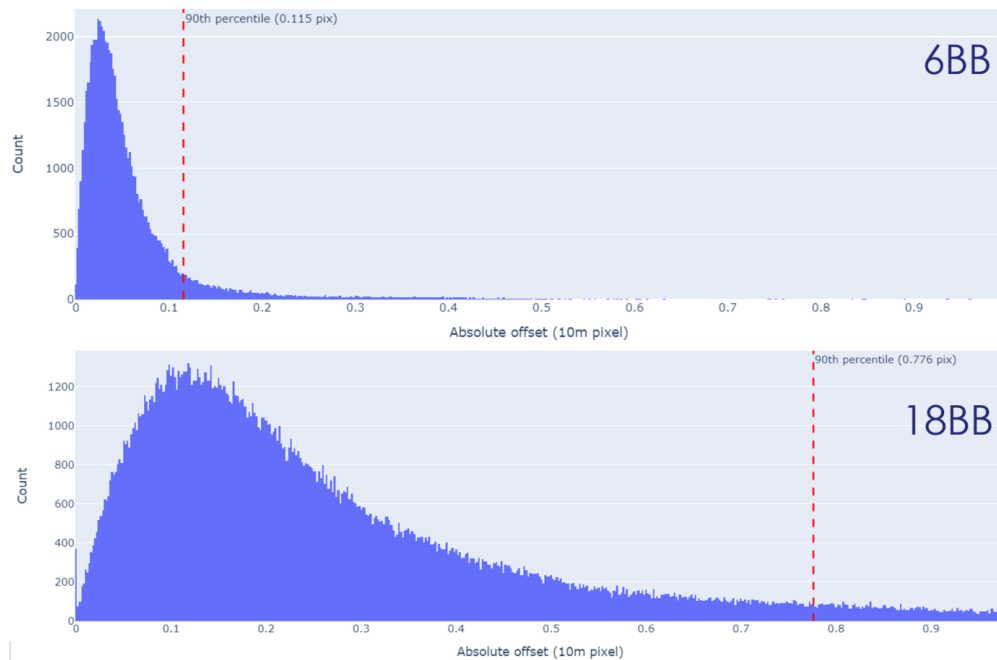


Fig. 8. Distribution of absolute Y-offset between input Sentinel-2 image and prediction by the 6 RDBBs network (top) and 18 RDBBs network (bottom), computed on the entire validation set.

260 and a dense network of water reservoirs of varying sizes, from a few hundred square meters to several hundred
 261 hectares. The perimeter covers almost 5,000 km² and includes about 2,000 water bodies. The data used for
 262 validation and quantitative assessment comes from a database provided by local users and partners in charge of land
 263 management and planning. The database is the result of photo-interpretation work based on Very High Resolution
 264 aerial photography (20 cm), corrected and completed by field campaigns, and includes 2,383 water bodies, of which
 265 1,025 are smaller than 0.5 ha (figure 9).

266 The input satellite dataset comprises one clear Sentinel-2 image per month over tile T30TYP in 2020. The results
 267 have been evaluated against reference data, both for original Sentinel-2 images and super-resolved predictions at 5
 268 meters resolution, which allows to assess the benefits of proposed SISR for the detection and monitoring of water
 269 bodies. The main performances are shown in table IV.

270 1) *Global statistics*: Overall, the results obtained from super-resolved Sentinel-2 images outperform those ob-
 271 tained from standard Sentinel-2 images at 10m resolution, with a 7-points gain in accuracy for the "water" class,
 272 and a 11-points gain in kappa. More importantly, SISR allows to identify 2132 bodies of water, compared to the
 273 791 bodies detected with standard data, thus allowing for a gain of 160% in number of detected objects. Newly
 274 detected bodies include 1003 water bodies smaller than 0.5 ha.

275 2) *Detected areas and small water bodies*: The 2132 water bodies identified with the super-resolved Sentinel-2
 276 images cover 2818.7 ha, compared with a cumulative 2062.6 ha for water bodies detected at at 10 m resolution,
 277 demonstrating an improvement of around 35% (+756 ha) in detected surfaces over the considered area. Smaller
 278 water bodies are much better identified, with 16 times more water bodies under 1 ha. In this category, the cumulative

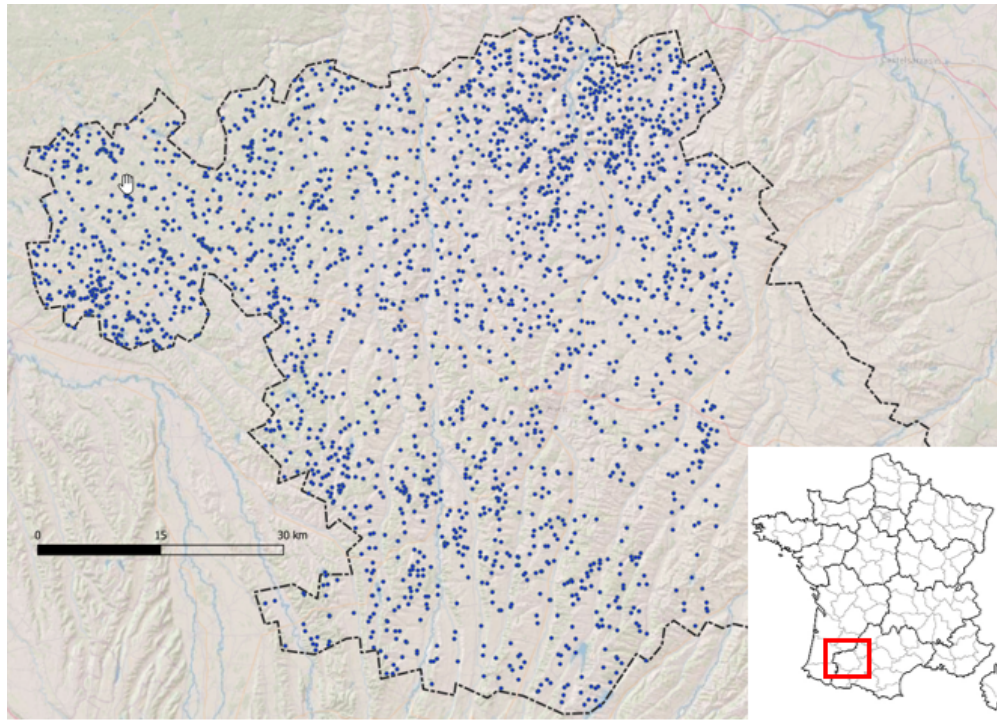


Fig. 9. Local reference database, build from photo-interpretation of Very High Resolution aerial photography (20 cm), corrected and completed by field campaigns, provided by local users of the Gers district, France.

Metrics	Original Sentinel-2 (10m)	SISR data (5m)
OA	98	99
UA	85	93
Kappa Index	0.74	0.85
F-Score	0.73	0.85
WBs objects number	791	2132
WBs cumulative area	2062.6 ha	2818.7 ha
Detection Threshold	0.5 ha	0.1 ha

TABLE IV

MAIN PERFORMANCE METRICS FOR WBD, EVALUATED AGAINST THE REFERENCE DATABASE, FOR STANDARD AND SUPER-RESOLVED SENTINEL-2 IMAGES

279 surface area represents almost 260 ha in the super-resolved data, compared with 16 ha detected using Sentinel-2
 280 data at 10m. The average improvement ranges from 0.6 ha for small water bodies (less than 1.5 ha) to 1.2 ha for
 281 water bodies larger than 10 ha. This represents a significant improvement in performance directly driven by the
 282 use of the proposed super-resolved Sentinel-2 images. An improvement in contours is also observed on all water
 283 bodies, as shown in figure 11, and is inversely proportional to their size. Bodies of water smaller than 1.5 ha show
 284 the greatest increases, with an average improvement in detection of around 40% of their size.

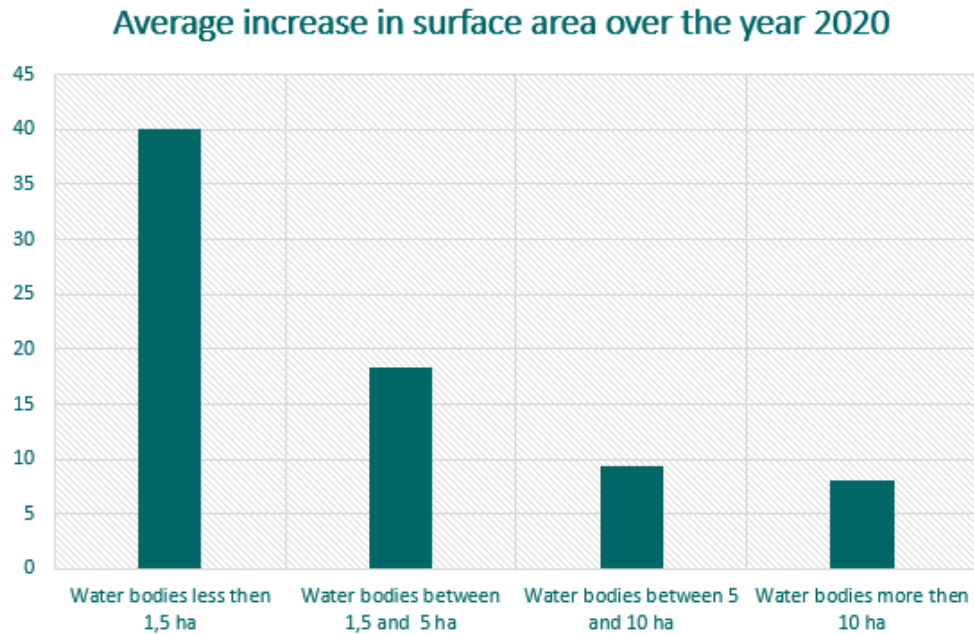


Fig. 10. Average increase in the surface of water bodies between the 5 meter and 10 meter detection, by categories of areas in reference database.

V. CONCLUSION

285

286 In this work, the SEN2VEN μ S dataset [11] is leveraged to train a Single Image Super-Resolution network inspired
 287 from Real-SRGAN so as to predict all spectral bands of Sentinel-2 at 5-meter resolution. Proposed adaptations
 288 include the bicubic up-sampling of 20 meters bands to 10 meters, so that the network can work with the 8 bands
 289 (excluding B11 and B12) together, separate HR and LR loss terms that allows to enforce radiometric consistency
 290 with the input Sentinel-2 images, and a reduction of the number of RRDBs in the network, preventing it to
 291 learn residual geometric distortion from the input dataset. SWIR bands B11 and B12, which are missing in the
 292 SEN2VEN μ S dataset, are processed separately by the same architecture by means of the Wald protocol. Performance
 293 assessment shows a very good consistency with input Sentinel-2 surface reflectance, which a median error far below
 294 the precision of L2A atmospheric correction, and an improvement in PSNR higher than 2 to 3 dBs for the 20 meters
 295 input bands. Ablation studies demonstrate the usefulness of proposed network and losses adaptation.

296 The benefits of the proposed super-resolved Sentinel-2 images were further assessed for Water Bodies Detection,
 297 over a district of France where a reference database is available. Super-resolved images allowed to detect 160%
 298 additional water bodies with respect to standard Sentinel-2 images, representing 756 additional ha of detected water
 299 surfaces. This benefit is especially high for small water bodies under 1 ha, as 16 times more of them were detected
 300 with the super-resolved images, accounting for 260 ha additional ha of detected water surfaces.

301 This work provides the first SISR performances with the public SEN2VEN μ S dataset, setting the bar for future
 302 competitors and investigating how to fairly evaluate the super-resolved images on a real world dataset combining

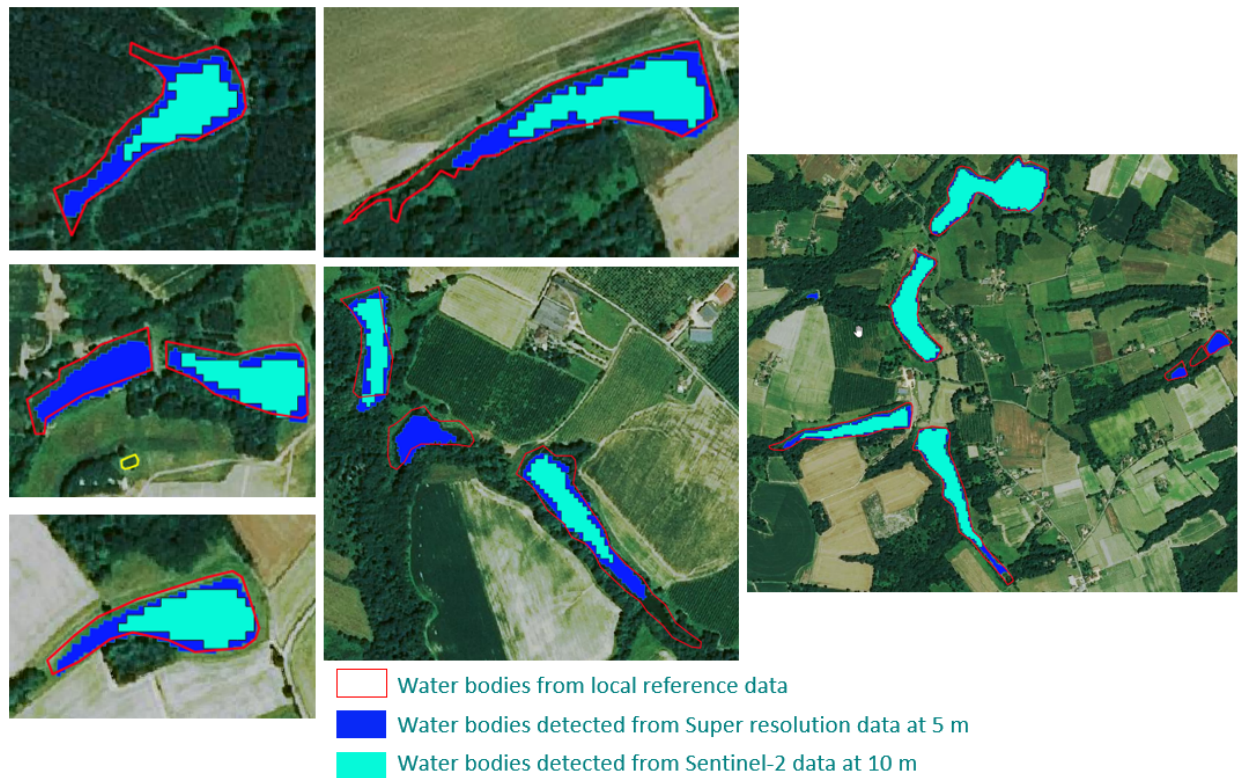


Fig. 11. Improvement of WBD contours detected from the Super-Resolved Sentinel-2 images at 5 meters, with respect to the standard 10 meters Sentinel-2 images, for a selection of water bodies. Red outlines denote the contour from the reference database.

303 two different sensors. We also hope that those promising results and the demonstrated benefits for an end-user use
 304 case will pave the way towards operational Super-Resolution as a standard processing for data providers.

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