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Soukaina Hilali, Louise van Gheluwe, Mervé Yagmur, Laura Wils, Myriam Phelippe, et al.. NaDES-based biorefinery of Spirulina (Arthrospira platensis): A new path for sustainable high value-added metabolites. Separation and Purification Technology, 2024, 329, pp.125123. 10.1016/j.seppur.2023.125123. hal-04213157

HAL Id: hal-04213157 https://hal.science/hal-04213157

Submitted on 21 Sep 2023

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NaDES-based biorefinery of Spirulina (*Arthrospira platensis*): a new path for sustainable high value-added metabolites

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12

13 Abstract

To meet the criteria of modern extraction, it is essential to use solvents that simultaneously reach 14 15 the standards of green chemistry and allow the extraction of a wide spectrum of bio-compounds. 16 Natural Deep Eutectic Solvents (NaDES), as a green solution, meet this difficult task and represent a 17 satisfactory alternative to organic solvents. Microalgae are recognized as a sustainable resource to produce polar and non-polar metabolites, such as carotenoids, free fatty acids (FFA) and 18 19 phycobiliproteins. Among them, Spirulina (Arthrospira platensis) is one of the most studied, due to its strong potential for food, health and cosmetic. In this work, two biorefinery scenarios were 20 21 investigated using polar and non-polar NaDES. The first one is a combination of a polar/non-polar 22 sequential solid/liquid extraction together with a liquid-liquid extraction of the polar extract. The 23 second scenario implements an innovative triphasic solid/liquid/liquid approach, based on the 24 simultaneous use of polar and non-polar NaDES in the solid/liquid extraction step. Several NaDES were screened in this study to identify the best polar/non-polar NaDES pair. According to the results, 25 26 the use of the triphasic approach allowed an increase of the productivity by a factor 4 for 27 chlorophylls and FFA, and by a factor 2 for carotenoids. It was also interesting to note that the phycocyanin-enriched polar fraction achieves much higher levels of purity than the corresponding 28

29 single-phase extraction for both applied biorefinery scenarios. These results pave the way for the

30 first time for a microalgae biorefinery entirely based on the use of NaDES and industrially credible.

31

32 Keywords

Natural deep eutectic solvents; microalgae; phycobiliprotein; free fatty acids; biorefinery; Spirulina
 34

35 1. Introduction

Since the last decade, many studies have been conducted on deep eutectic solvents (DES) for 36 37 organic synthesis, biomass dissolution, formulation of pharmaceuticals or extraction of 38 biocompounds among others [1]. Based on their unique physico-chemical properties, DES 39 have been considered as a new class of alternative solvents suitable to substitute 40 petrochemical solvents, which are very well-known for their toxicity and negative impacts on 41 the environment [2]. However, the formulation of DES was not always fully satisfying these 42 ecological and toxicological criteria, and in fact, more natural alternatives were then sought. Inspiring by extremophiles plants, the concept of Natural Deep Eutectic Solvents (NaDES) was 43 44 first described in 2013 by Dai et al.[3] in which NaDES were considered as the third biological 45 liquid in plants beside water and lipids. NaDES were formed using abundant natural 46 molecules, such as sugars, amino-acids, organic acids, polyols, etc.[3,4] Briefly, DES and 47 NaDES exhibit a lower melting point than their pure components taken separately, due to 48 hydrogen bond, van der Waals and/or electrostatic interactions.[3,5] NaDES, because of their 49 great potential, have focused the attention of a large number of scientists working in very 50 different fields and disciplines, ranging from thermodynamics, pharmacology to extractive 51 sciences. The definition of the term NaDES is still intensely debated, especially within the 52 thermodynamics community.[6] Indeed, if the abbreviation NaDES is commonly used in the 53 field of extractive sciences to designate mixtures based on natural compounds, which in a 54 well-defined ratio are liquid at room temperature. Of course, such a description does not 55 really correspond, in fact, to a proper definition of a eutectic point.[7] Nevertheless, to 56 facilitate understanding between disciplines, we have chosen to use the term NaDES to 57 designate the selected formulations described therein, even if it is not certain that each 58 formulation corresponds to a eutectic (invariant) point in the strict sense. However, from an 59 environmental point of view, such alternative solvents represent therefore an interesting and 60 attractive alternative to conventional organic solvents, especially by considering their natural 61 origin, low toxicity and high biodegradability.[1-3] In the literature, the most common used 62 compounds for the formulation of NaDES are choline chloride, glycerol, ethylene glycol and sugar but organic acids, fatty acids, and amino-acids were also reported.[8] 63

Most of the NaDES described in the literature are hydrophilic, even if hydrophobic NaDES
have also recently emerged.[5] Among all hydrophobic NaDES, those based on menthol
appear as the most popular. This abundant and cheap molecule is generally extracted from *Mentha* species (~€60/kg),[5] and was used for the formulation of NaDES in numerous
studies for the extraction of different bio-compounds from different biomasses, including
microalgae.[9-11]

70 Microalgae, as renewable resources of molecules with high added value, have focused the 71 interest of both academic and industrial researchers. These photosynthetic organisms have 72 the ability to adapt to various environmental conditions, being able to be oriented to 73 facilitate the synthesis of high value-added compounds in substantial quantities.[12,13] 74 Microalgae are also recognized as a rich source of polyunsaturated fatty acids (PUFA) of 75 pharmaceutical or nutraceutical interest, such as eicosapentaenoic acid, docosahexaenoic 76 acid, linoleic acid and γ -linolenic acid.[12,13] One of these microalgae with high potential is 77 the cyanobacterium Spirulina (Arthrospira platensis). It was an excellent source of nutrients, 78 which ranks first in the microalgae market and it is also considered as "super food". [14] 79 Therefore, this microalgae is of major commercial interest in the cosmetic, pharmaceutical, food and nutraceutical fields. This popularity is essentially due to its richness in proteins (60-80

70% dry matter) and in pigments, mainly in carotenoids, chlorophylls and phycocyanin. [1518]

Phycocyanin is a hydrophilic pigment characterized by its intense blue color and has been 83 84 broadly referred to as a valuable dye due to its protein-based structure and uncommon 85 natural blue color. The biological activities of this pigment include antioxidant, antiviral, 86 anticancer and antitumor properties.[13] Carotenoids, another abundant pigments found in 87 spirulina, are lipid-soluble pigments that are accountable for the yellow, orange, and red 88 coloration in numerous vegetables, plants and micro-organisms. Both Humans and animals 89 are unable to synthesize the carotenoids and get them through alimentation. They are used 90 for coloring and flavouring in food and feed, and for nutraceutical application as a provitamin 91 A source.[15-17] Many researches were carried out in order to isolate and purify the 92 phycocyanin; however, in most cases the lipophilic bio-compounds, such as carotenoids, 93 chlorophylls, and fatty acids were generally wasted with the residual biomass.[19] 94 Chlorophylls are also known for their masking and pigmenting properties. Free fatty acids 95 (FFA) are of great interest in the cosmetics field, acting as regulators of the cutaneous 96 microbiome (reactive or acne-prone skin) or as anti-aging agents.[11] 97 The extraction of various bioactive compounds has been commonly carried out using 98 conventional extraction methods, such as maceration and Soxhlet extraction techniques. 99 However, such methods come with numerous drawbacks including high time and solvent 100 consumption with low extraction yield. Consequently, researchers have shifted their 101 attention toward novel extraction techniques, like microwave assisted extraction, 102 supercritical fluid extraction, ultrasound assisted extraction (UAE). Among those techniques, 103 UAE stand out as an inexpensive and quite straight forward substitute to conventional 104 extraction processes.[20-22] This process uses acoustic cavitation to disrupt the cell wall 105 allowing the penetration of the solvent into the plant material, increasing thus both the 106 contact surface area and the mass transfer.[17-22] Consequently, UAE increases the

107 extraction yield, rate of extraction and reduces the extraction time without the need of 108 applying high temperatures. [21] Numerous applications of UAE for the extraction of lipids, 109 proteins, carotenoids, and phycocyanin are already reported in the literature.[11,15,21,23] 110 Although UAE gives many advantages, there is no report available on biphasic extraction from 111 Spirulina using this technique, to date. In fact, almost all the methods reported in the 112 literature for the purification of phycocyanin require numerous of expensive and time-113 consuming steps, such as precipitation, centrifugation, dialysis, ion-exchange 114 chromatography, gel filtration chromatography. [24-26] Moreover, their purification cost 115 ranges between 50–90% of the entire production.[27] Hence, the development of more 116 effective and cost-efficient techniques that allow both high purity and recovery is consider of 117 high priority. 118 To echo this need, herein, a novel extraction approach is proposed. This approach is based on 119 a biphasic extraction allowing, for the first time, both the extraction and purification of 120 phycocyanin, as well as, the extraction of lipophilic compounds in a single extraction step. 121 Furthermore, recently our group highlighted the high potential of glycerol based-NaDES for 122 phycocyanin recovery and stabilization using UAE.[15] Especially glycerol/glucose (2:1, 123 mol/mol) with 20% of water was highlighted as the most performant medium considering the 124 extraction and stability enhancement of phycocyanin.[15] Additionally, menthol-based NaDES 125 have been described as an interesting alternative option for FFA and pigments extraction 126 from Spirulina, [11] even if its total recovery was lower than those of fatty acids based NaDES, 127 as the selectivity towards polyunsaturated FFA (PUFA) could be dramatically improved using 128 them. During this study, these particular NaDES, *i.e.* those based on polar glycerol/glucose 129 and non-polar menthol-based systems, were selected to build up sustainable biorefinery 130 scheme, in order to propose a new sustainable path for Spirulina pigments and FFA 131 valorisation.

Therefore, the main objective of this work is to investigate and compare two biorefinery scenarios (sequential coupled with liquid-liquid extraction and triphasic solid/liquid/liquid (SLL) extraction) with different NaDES formulations to reach high phycocyanin extraction and purification. More importantly, this pioneer work was performed to pave the way toward a time and energy saving green process for the valorisation of numerous bio-compounds from Spirulina.

138 2. Material and methods

139 2.1 Raw Material.

140 Spirulina (Arthrospira platensis) biomass was kindly provided by Aqua Eco Culture (Lamballe,

141 France). The fresh biomass (20.16% dry matter) was frozen just after harvesting at -20 °C and

142 was stored at the same temperature prior to be used. All extractions were performed

143 between January and May 2022, in SIMBA Laboratory (Tours, France). Octanoic acid 98%, α-

144 D-(+)-glucose 99%, lauric acid 98%, 1,3-propanediol 98%, 1,2-octanediol 98% were purchased

145 from Acros Organics (Geel, Belgium). Glycerol 99% was purchased from Fisher Scientific SAS

146 (Illkirch, France). Ascorbic acid and Menthol 99% were purchased from COOPER (Melun,

147 France). Water was purified using a Milli-Q system (Millipore Corporation, Bedford, MA, USA).

148 Ethanol 96% analytical grade and methanol HPLC grade were purchased from Carlo Erba (Val

de Reuil, France). Fatty acids standard kits (saturated and unsaturated) was purchased from

150 Sigma Aldrich (St Louis, MO, USA).

151 2.2. NaDES preparation and analysis.

152 2.2.1 NaDES preparation

153 NaDES were prepared by mixing a fixed ratio of hydrogen bound donor (HBD) and hydrogen

bound acceptor (HBA) as shown in Table 1. The mixture was stirred and heated at 80°C for

155 hydrophilic NaDES and at 50°C for hydrophobic NaDES until a colorless solvent was obtained.

156

Insert here Table 1

157 *2.2.2.*¹*H* and ¹³*C NMR*

¹H NMR spectra were recorded on a Bruker[®] Avance 300 (300 MHz) NMR spectrometer, using

159 DMSO-d₆ as internal solvent and reference ($\delta_{\rm H}$ = 2.50 ppm). ¹³C NMR was recorded at 75 MHz

160 on the same instrument, using the DMSO-d₆ solvent, with the reference peak at δ_c = 39.52

161 ppm.

The NMR tubes were prepared by diluting 20 or 50 μL of NaDES in 480 or 450 μL of DMSO-d₆,
respectively.

164 2.2.3. COSMO-RS component calculations

165 During this work, the sigma profile and the sigma surface of each species were directly 166 visualized by using the COSMOthermX software (version BIOVA 2021) along with their BP-167 TZVP COSMO files when available into the BIOVA COSMObase 2021 database. However, if 168 such files are not already available into this database, like in the case of the α -D-glucose and 169 L-menthol, the following calculations were realized to obtain suitable 3D descriptors for a 170 wide range of conformers for each investigated molecule.

171 In such a case, the structure of the species was firstly optimized, with a convergence criterion 172 of 10⁻⁸ Hartree in the gas phase, by using DFT calculations within the Turbomole 7.5.1 173 program package[28] utilizing the BP68 functional with the def-TZVP basis set,[29]and 174 combining the Resolution of Identity (RI) approximation.[30] Each resultant optimized 3D 175 structure was then used as an input for the generation of the COSMO file within the sigma 176 profile and the sigma profile of each conformer of each species using the COSMOconfX 177 program (version BIOVA 2021). [31]

The sigma profile and the sigma surface of the most stable conformer (i.e. presenting the lowest energy conformer) was used to represent the 3D charge distribution onto the surface of each molecule (i.e. reflecting their sigma profile and sigma profile) to localize HBA and HBD sites, as the sigma surface represents the charge distribution onto the surface of each molecule. In fact, within the COSMO-RS method, HBA areas are represented as negative surfaces (shown in red color in Fig. S1 of the ESI), while HBD are expressed as positive surfaces, shown in blue color (Fig. S1 of ESI). The green area represents the non-polar part of the molecule, mainly corresponding to Van der Waals-type interactions.

Similarly, on each graph presenting a sigma profile, a peak localized with a positive surface charge density (σ , e/Å2) corresponds to HBA groups, while a peak observed in negative values corresponds to HBD groups. A peak observed at around 0 e/Å2 mainly corresponds to nonpolar moieties causing hydrophobic van der Waals-type interactions.

191 During this work, both sigma profile and sigma surface were used as descriptors to provide

information on molecule's association driven by their apolar (hydrophoci) and polar HBAs andHBDs moieties.

194 2.3. Extraction process.

195 The ultrasound-assisted extraction (UAE) was carried using an ultrasonic bath RK-100H

196 (Bandelin electronic, Berlin, Germany).

Each extraction was performed in triplicate. Two strategies were investigated herein, as displayed inFigure 1.

199

Insert here figure 1

The first one (Figure 1A) is based on a sequential extraction (SE) of Spirulina. First, biomass was extracted with hydrophilic NaDES using UAE at room temperature for 90 min at a given biomass/solvent ratio (1:5, w/w). The resulting polar extract was then centrifuged during 20 min at 16 200 g (Rotanta 460R, Hettich, Kirchlengern, Germany). After its centrifugation, the supernatant was submitted to a liquid-liquid extraction (LLE) using a non-polar NaDES (1:1, vol. ratio) and the pellet (algae) was reused for a second 90 min UAE using the same nonpolar NaDES. 207 The second scenario, specially developed for this work, is based on a biphasic extraction (Figure 1B). 208 Herein, 3 g of hydrophilic and 3 g of hydrophobic NaDES were simultaneously used along with 0.6 g 209 of microalgae (1:5, w/w ratio per NaDES) for a 90 min UAE at ambient temperature. After each 30 210 min, samples were vortex for 2 min. The resulting extract was then centrifuged during 20 min at 16 211 200 q, resulting, thus, in two separated phases, which were then further analyzed. To highlight the 212 potential interest to mix these two NaDES into this single extraction step, reference monophasic 213 extraction processes were carried under the same condition, *i.e.* for 90 min UAE, by mixing 3 g of a 214 particular NaDES with 0.6 g of biomass (1:5, w/w ratio).

215 2.4. Extract analysis.

216 2.4.1. Free Fatty acid (FFA) analysis

217 FFA qualitative and quantitative were determined by liquid chromatography-mass 218 spectroscopy (LC-ESI-MS), according to Wils et al. [25] These analyses were performed using 219 an Acquity H-Class coupled with an SQD detector (Waters, Saint Quentin in Yvelines, France). 220 The system was fitted with a BEH C18 (50 mm \times 2.1 mm; 1.7 μ m). The column oven was set at 221 60°C. The mobile phases were (A) 0.01% formic acid (aq.) containing 0.2 mM ammonium 222 formate and (B) 50% isopropanol in acetonitrile containing 0.01% formic acid (aq) and 0.2 223 mM ammonium formate. The flow rate was 0.24 mL/min and gradient was set as follows: 224 initial solvent B content was 50%, raised to 98% in 16 min and maintained for 4 min. The 225 column was then re-equilibrated to initial conditions. ESI was in negative mode and 226 performed with cone voltage at 50 V with capillary voltage of 2.8 kV. The NaDES extracts 227 required a prior pre-treatment, which was carried by solid-phase extraction (SPE) using C18silica gel (Ait, 40–60 µm Fischer Scientific, Waltham, MA, USA). The elution gradient ranged 228 229 from 50 to 100% water:methanol. Herein, only the 100% methanolic fractions were 230 analyzed. [25] Samples were all prepared in methanol. Nervonic acid (C 20:4) at 10 μ g/mL was 231 added as internal standard. All FFAs were identified by comparison of retention time and 232 mass spectra using commercial standards. FFA titration was performed using a calibration

- 233 curve of each standard ranging from 2.5 μ g/mL to 12.5 μ g/mL (1 μ L injection) and using
- 234 nervonic acid as internal standard. Linear regression and calculation were performed of SIR
- 235 chromatogram using Targetlynx (Waters).

236 2.4.2. Pigment titration of non-polar extract

- 237 The pigment titration was performed according to Wils *et al.*[25] Briefly, 100 mg of the
- 238 extract were diluted by 0.8 mL of methanol; 290 μL of the resulting solute were used for both
- the carotenoid and chlorophyll titration. The absorption spectra were measured using UV-vis
- 240 microplates spectrophotometer reader (Multiskan GO, Thermo Fisher Scientific, SAS) and the
- 241 carotenoids (C_{Ca}) and chlorophyll (C_{Ch}) contents were then calculated using the absorbances
- at 450, 645 and 663 nm by applying the following equations 1 and 2:

$$C_{Ch} = [(20.2 \times OD_{645}) + (8.2 \times OD_{663})] \times FD$$
 Eq. 1
 $C_{Ca} = (4 \times OD_{450}) \times FD$ Eq. 2

where C_{ch} (µg/ml) is the chlorophyll concentration, C_{ca} (µg/ml) the carotenoids concentration, FD the dilution factor, and OD_{645} , OD_{663} , and OD_{450} the extract optical density at 645, 663 and 640 nm, respectively.

247 2.4.3. Pigment titration of polar extract

The pigment titration was performed according to Wils *et al*.[25] Briefly, 100 mg extract was
diluted by 0.8 mL of distilled water; 250 µL of the resulting solute was used for the
phycocyanin titration. The absorption spectra were measured using UV–vis microplates

- 251 spectrophotometer reader (Multiskan GO, Thermo Fisher Scientific, SAS). The phycocyanin
- (C_{PC}) contents was obtained using the absorbances at 620 and 652 nm by applying the
- equation 3 as proposed by Bennett *et al.*[27]

$$C_{PC} = \frac{OD_{620} - (0.474 \times OD_{652})}{5.34}$$
 Eq. 3

254

where, C_{PC} (mg/mL) is the phycocyanin concentration, and OD_{620} and OD_{652} is the extract optical density at 620 and 652 nm, respectively.

The phycocyanin yield ($Y_{\rm PC}$) was then calculated using equation 4, as follows: 257

$$Y_{0rc} = \frac{C_{PC} \times 10 \times V_{NADES}}{M_{DM}}$$
Eq. 4258where V_{NaDES} is the NaDES volume, M_{DW} the mass of dried matter and Y_{PC} the extraction yield259expressed in milligram of phycocyanin per gram of dried matter (mg/g DM).2602.4.4. Colorimetry in CIELAB color space.261Colorimetric measurements were performed on the monophasic extract of GG and compared262to the biphasic extract of the same NaDES coupled with MC8. The equipment used is a263handheld chroma meter C400 (Konika Minolta, Tokyo, Japan) able to read translucid samples264in a cuvette. All the measurements were performed at ambient temperature and performed265in triplicates.266CIELAB coordinates (L*a*b) of all samples were measured and significant differences were2702.4.5. Statistical analyses271The statistical significance was evaluated by the one-way ANOVA. Differences were272considered to be significant at p < 0.05.273**3. Results and discussion**2743.1. Smart NaDES selection strategy275The selection of the raw materials used during the preparation of the so-called NaDES is a276crucial step in order to truly design a formulation which respects Green Chemistry principles275and could be then used as green solvents. Indeed, like all non-volatile solvents, the276solvent/metabolite separation requires purification or de-extraction steps using conventional274organic solvents, biobased or not, which drastically reduces the environmental interest of276using these matrice. One of the solutions

of interest.[32,33] However, this approach further complicates the extraction scheme by
multiplying the number of unit operations and in fact increases the overall cost and footprint
of the whole process.

285 Another approach, which we have applied herein, consists in selecting raw materials 286 biocompatible with the targeted application of the extract produced. In fact, by applying such 287 an approach, no further extraction scheme is requested as the NaDES will be further used as a 288 passive ingredient presents in final formulation containing the targeted and extracted 289 product. As in the present case, the targeted application being associated with the cosmetic 290 market, the raw materials were preselected according to the following criteria: i) 291 compatibility with the European and Chinese cosmetic regulations; and ii) availability of 292 biosourced resources. The main constraint of the cosmetic market concerning NaDES is the 293 prohibition of choline chloride, *i.e.* the most used ingredient for the preparation of this class 294 of solvents.[1]

Thus, all raw materials used during this work were firstly selected as they are compatible with cosmetics, which allows their integration into the finished product. This singularity further allows to deeply simplify the overall extraction process as separation units and steps including metabolite recovery and solvent removal/recycling could be vanished. More importantly, this possibility provides a real sense of the utilisation of NaDES, respecting thus Green Chemistry principles by proposing, also, a sustainable solution with lower footprint than current benchmarks.[34]

The ingredients of these NaDES are functional ingredients used in current cosmetic
formulation as emollient, solvent or texture agent. In fact, by keeping the NaDES in the
finished product further allows to decrease the use of additional formulation ingredients,
reinforcing the overall hypothesis that the proposed approach is greener and more
sustainable than conventional ones.

307 The biocompatible character of NaDES was also tested previously on keratinocyte cell culture 308 and all formulations do not show significant toxicity at tested concentrations.[11] 309 Among all NaDES described in the literature, GG and combination of Menthol and fatty acids 310 or thymol were in fact selected. [35-37] The choice of menthol partner in hydrophobic NaDES 311 was mainly guided thanks to the sigma profile of preselected biocompatible compounds (see 312 Figure S1 of the Electronic Supporting information). In fact, each decision made on either the 313 formulation or on a molecule selection, was also driven thanks to simulation calculations. 314 Herein, the COSMOthermX software was used to visualize the sigma profile and the 3D 315 mapping of the charges' distribution onto each preselected molecule. Both of them were 316 used therein as descriptors to further validate decisions made. In fact, these descriptors could 317 be used to depict the compatibility of the menthol with selected partners. Selected partners 318 are defined as : i) hydrophobic species mainly dominated by van der Waals-type interactions, 319 with a HBD group as observed with C8 and C12 fatty acids; ii) hydrotropes with variable chain 320 length to provide a modulated hydrophobic character along with both HBA and HBD moieties, 321 as observed with 1,3-propanediol and 1,2-octanediol; and, iii) a molecule mimicking the 3D 322 mapping and the sigma profile of the menthol like the thymol (see Figure S1 of the Electronic 323 Supporting information).

As reported in Table 1, another advantage for formulating hydrophobic NaDES based on Menthol is related to their relatively low density data (on average around 0.9 g/mL) and their low viscosity (on average between 20 and 70 mPa.s),[38] making these NaDES easy to handle. In contrary, the tested glucose-based NaDES has a density and viscosity close to that of glycerol, making its handling more tedious but still experimentally and industrially feasible.[34]

330

331 3.2. Reference extractions

Reference extractions were performed with different NaDES; polar (GG) and non-polar (MC8, MC12,
MO, MP, and MT). Figure 2 displays the pigments (Fig. 2A) and FFA (Fig. 2B) content in the extracts
after each monophasic extraction.

335

Insert here figure 2

Regarding GG extract, the main pigment extracted was the water-soluble protein phycocyanin with
90.85 ± 2.50 mg/g DM. Although GG was considered as polar NaDES, a minority of chlorophylls and
carotenoids were extracted (respectively, 3.72 ± 0.09, and 1.71 ± 0.04 mg/g DM). Regarding the nonpolar NaDES, the NaDES MO exhibited the highest selectivity toward non-polar pigments with 8.9 ±
0.1 mg/g DM of chlorophylls and 3.8 ± 0.1 mg/g DM of carotenoids.

341 Concerning the FFA content of reference extracts, the profile was substantially the same for each;

342 with: myristic acid (C14), palmitic acid (C16), palmitoleic acid (C16:1), stearic acid (C18), oleic acid

343 (C18:1), linoleic acid (C18:2), and linolenic acid (C18:3). FFA profile was mostly dominated by PUFA.

344 The polar GG extract was surprisingly enriched in FFA with a total amount of 26.55 mg/g DM,

345 compared to 36.06 mg/g DM in MC12 extract. In fact, sugar-based NaDES are less polar than water
 346 with polarity close to methanol.[37] Thus, belonging to the category of NaDES that exhibit solvent

347 duality (lipophilicity and hydrophilicity), explaining why GG can extract also a high quantity of FFA.

348 *3.3. Sequential extraction.*

349 Two strategies were initially considered: 1) an extraction with a polar NaDES followed by a 350 non-polar NaDES or 2) an extraction with a non-polar NaDES followed by a polar NaDES. 351 Regarding this last strategy, it was abandoned since no metabolite was extracted with polar 352 NaDES after the non-polar extraction (data not shown as its concentration was always lower 353 than the detection limit of the equipment used). Based on our observation, it appears that 354 the non-polar NaDES clogged the hydrophilic NaDES access points, limiting thus the extraction 355 of the targeted compounds. Subsequently, solely the strategy 1) was then used. First, polar 356 NaDES (GG) was used to extract metabolites, mostly polar, from Spirulina. After UAE extraction and

centrifugation, the polar extract exhibited a green-blue color due to the extracted pigment
phycocyanin (Fig. 2A). For sequential extraction, this green-blue extract has been set aside and the
pellet biomass (called GG pellet) was reused for the extraction of non-polar metabolites with nonpolar NaDES (MC8, MC12, MO, MP, and MT). Figure 3 displays the pigments (Fig. 3A) and FFA (Fig.
3B) content of non-polar extracts after the sequential extraction (abbreviated as Seq). These results
were compared with reference monophasic extractions (abbreviated as MonoP).

363

Insert here Figure 3

364 After the sequential extraction of pellet biomass with non-polar NaDES, the results showed a 365 decrease of non-polar pigments (chlorophylls and carotenoids) and FFA (especially linoleic 366 acid) compared to the references monophasic extraction. For example, regarding the 367 sequential extraction with the NaDES MO, the content of chlorophylls and carotenoids 368 decreased, respectively, by 71% and 78%, compared to the reference extract. Regarding FFA, 369 linoleic acid content was 17.74 ± 1.23 for the MO reference extract and was zero for 370 sequential extract. In view of the FFA content in GG extracts (Fig2.B), one could point-out that 371 a significant percentage of these non-polar metabolites was already extracted via the polar 372 NaDES GG. 373 Therefore, the polar extract GG was submitted to a liquid-liquid extraction (LLE) with non-374 polar NaDES intended for both non-polar compound extraction and phycocyanin purification. 375 The non-polar phase obtained was pooled with the non-polar extract from the sequential 376 extraction to form the so-called: pooled extract. Figure 4 displays the phycocyanin content 377 (mg/g DM) in the polar extracts after liquid-liquid extraction with non-polar NaDES.

378

Insert here Figure 4

Regardless of the non-polar NaDES used to perform liquid-liquid extraction on the polar extract, the amount of phycocyanin in this phase remains approximately the same: *e.g.* 82 mg/g DM; *i.e.* a result close to the reference GG extract close to 90.8 \pm 2.5 mg/g DM. 382 Therefore, it could be speculated that the liquid-liquid extraction using a menthol based 383 NaDES did not affect the polar composition of the polar fraction. Figure 5 displays the nonpolar pigment (Fig. 5A) and FFA (Fig. 5B) content in the extracts after a sequential extraction 384 385 (abbreviated as Seq), liquid-liquid extraction (abbreviated as LL), and in the non-polar extract 386 called "pooled". Regarding the pigment profiles of non-polar extracts, as shown in Fig. 5A, 387 one can see that using a liquid-liquid extraction it is possible to recover a large majority of the 388 chlorophylls and carotenoids pigments. For example, the recovery rate of chlorophylls varied 389 between 61% and 80% with MC12 and MO, respectively. However, in the case of carotenoids, 390 its recovery rate is between 26% and 35% using MT and MO, respectively.

391

Insert here figure 5

The recovery of the green chlorophyll and orange carotenoid pigments allowed the
purification of GG phase restoring thus the bright blue color related to the high phycocyanin
content as shown in Figure 6.

395

Insert here figure 6

396 In the case of FFA (Fig.5B), the collected results indicate that only 12%, 13%, 11%, 16%, and 397 17% of FFA were left in the GG hydrophilic extract after MC8, MC12, MO, MP, and MT liquid-398 liquid extraction, respectively. NaDES composition in each liquid phase was determined after the LLE extraction using ¹H and ¹³C NMR. No significant variation of the NaDES concentration 399 400 in its rich phase was notified. More importantly, no trace of non-polar NaDES component 401 transfer to GG was observed (Figure S2 to S5, Electronic Supporting Information). It was 402 important to assess this point, as GG can solubilize non-polar metabolites, and could then 403 disturb the non-polar NaDES equilibrium during the LLE process. By using the sequential 404 extraction combined with liquid-liquid extraction, it was then possible to obtain selectively 405 two distinct extracts: i) the purified polar with mainly water-soluble phycocyanin, and ii) the 406 pooled non-polar extract composed of non-polar metabolites: chlorophylls, carotenoids and

FFA. Collected data could be used as a first proof of concept that LLE using solely NaDES
matrixes is in fact possible. This further open the way to multistep biorefinery or purification
procedure, using such promising alternative solvents. However, reference extractions with
hydrophobic NaDES remain better and less time-consuming than the sequential extraction
followed by liquid-liquid extraction.
Nevertheless, in the literature most of LLE processes including NaDES described a
NaDES/organic solvent or water systems, either for metabolites recovery from NaDES,[38-42]

414 or liquid-liquid chromatography. [43] Herein and for the first time, we described an extraction

415 process using solely NaDES-based solvents, generating two different enriched NaDES-based

416 extracts. However, in order to improve the productivity of the process, we then decided to

417 investigate a triphasic S/L/L approach.

418 *3.3. Solid/Liquid/Liquid strategy.*

An innovative solid/liquid/liquid (SLL) process was considered as an alternative biorefinery scenario allowing the extraction of polar and non-polar compounds into two easily separated fractions. Herein, the polar fraction (GG) was characterized by a bright blue color (rich in phycocyanin) while the non-polar fraction with a greenish yellow coloration (chlorophylls, carotenoids, and FFA). Figure 7 displays the phycocyanin content (mg/g DM) in each polar extract (abbreviated as BiP GG) after a biphasic extraction using non-polar NaDES.

425

Insert here figure 7

Whatever the NaDES used, similar phycocyanin content was collected back, *i.e.* about 75
mg/g DM. Contrary to monophasic extract (Figure 6), after the biphasic extraction, the polar
phase exhibits a bright blue color. This might imply that it is possible to both extract and
purify the phycocyanin using this extraction technique.

In order to confirm these hypotheses, the content of non-polar pigments (Fig.8B) and FFA
(Fig.8B) was investigated in the polar fraction (abbreviated GG SLL) and in the non-polar
fraction (abbreviated as "non-polar NaDES" SLL).

433

Insert here figure 8

It was found that chlorophylls, carotenoids, and FFA concentrations were more than 80% lower in the GG polar fraction of the biphasic extract than values collected using the monophasic GG for all used couplings. After the biphasic extraction the contents of pigment and FFA in the non-polar extract were either higher or comparable with data found using the monophasic extraction, used herein as a reference. Based on data collected, MO was found to be the best lipophilic NaDES for the extraction of non-polar metabolites.

440 Extraction performances of FFA were slightly improved for all NaDES compared to the

reference extraction and GG resulting extracts exhibited all a bright blue color. Thus, this

442 biphasic strategy appears to be a relevant scenario to produce in one step both polar and

443 non-polar enriched extracts.

The non-polar fraction from the LLE and SLL processes could well be incorporated into antiaging cosmetic preparations, thanks to the compatibility of NaDES with cosmetic regulations and its richness in carotenoids and FFA of interest. The presence of menthol will not be a limitation, as the active ingredient content of finished products is generally around 1%.
Cytotoxicity results against keratinocytes and cutaneous microbiota carried out on a panel of

449 NaDES, including Menthol-based NaDES, showed good safety at cosmetic doses.[11]

450 *3.4. Colorimetric analysis*

451 Here, it was assumed that the biphasic extraction allowed both the extraction and

452 purification of phycocyanin. This hypothesis was argued by both the blue color of the extracts

and the important decrease in the chlorophylls, carotenoids, and FFA contents in the polar

454 fraction. To further investigate this hypothesis, a colorimetry analysis using CIELAB color

455 system was performed using GG extracts as reference, GG coupled with MC8 as the biphasic extracts, and GG after liquid-liquid extraction with MC8. The extracts were all diluted with 456 457 water to 1:20 ratio for a better reading of colorimetry parameters. The system is composed of one channel for light (L*) and two color channels (a* and b*). The L*axis goes from 0 (black) 458 459 to 100 (white), the a^* from green (- a^*) to red (+ a^*), and b from blue (- b^*) to yellow (+ b^*). In 460 the case of the GG extract, *i.e.* the reference, collected values were: $a^*= 3.66 \pm 0.11$, $b^*= 1.12$ 461 \pm 0.01, and L*= 23.43 \pm 1.34, representing a greener blue. In the case of the biphasic extract, 462 the following values were collected: $a^* = -0.2 \pm 0.01$, $b^* = -7.06 \pm 0.01$, and $L^* = 27.29 \pm 0.02$ 463 representing a pure light blue similar to the one found after the liquid-liquid extract (e.g. a*= 464 0.38 ± 0.01 , b^{*}= -6.78 \pm 0.01, and L^{*}= 27.06 \pm 0.02). This was further confirmed thanks to the 465 calculation of ΔE , which was significant, *i.e.* >2, in comparison with the selected references: 466 e.g. the sequential GG (ΔE = 96.7) or biphasic GG (ΔE = 86.3), but not significant when 467 comparing with the value collected for the Sequential and Biphasic extract ($\Delta E = 0.5$).

It was noted here that both biphasic and liquid-liquid extractions allow the purification of phycocyanin as confirmed by the blue-sky color of the extract. Even if the biphasic extraction has a lower yield that the monophasic extraction, it allows, for all the tested coupling, both the extraction and purification of phycocyanin. This could potentially eliminate a further purifications step prior to the incorporation of the blue extracts in cosmetic, nutraceutical or food products.

474 Moreover, as GG was highlighted as powerful stabilization media for phycocyanin, using this
475 biphasic approach extraction, purification and stabilization of the high value pigment could be
476 performed in one step.

477 3.5. Sequential/LLE vs SLL approach

478 Considering the polar extracts and therefore the phycocyanin content, similar performances
479 were obtained with Seq/LLE and triphasic SLL extraction. Nonetheless, the SLL process slightly
480 improved the extraction of non-polar compounds in comparison with the sequential

extraction followed by a liquid-liquid extraction. Moreover, this biphasic process has an
extraction time three times shorter than the other. Figure 9 summarizes the results obtained
for the NaDES MC12 and MO, which allows an easy comparison of the two tested approaches
in terms of their productivity.

Insert here Figure 9

As shown in Figure 9, the use of the biphasic process increases the productivity for all tested metabolites. Indeed, with the sequential approach, solely 100 mg of chlorophyll could be extracted per hour, while 250 and 500 mg/h could be extracted using the biphasic approach with GG/MC12 and GG/MO, respectively. For the FFAs, ~0.5 g would be extracted per hour for the sequential approach compared to more than 2 g/h for the biphasic, corresponding to a four-fold increased productivity. Considering carotenoids, major high value-added pigments, productivity was increased only of a factor 2, which is still a very good result.

493 Data collected therein using the NaDES extracts with ultrasonic extraction processes were 494 then compared with those reported in the literature, to further highlight their competitive 495 levels and thus the validity of the proposed strategy. In fact, the levels observed using 496 laboratory scale processes (0.26 mg/mL solvent) [44] or industrial ones (0.17 mg/ml solvent) 497 [45], are about ten times less concentrated than those achieved therein by following the 498 NaDES protocols (reaching 3.0 mg/mL NaDES on average). However, the non-volatile nature 499 of NaDES makes subsequent concentration steps very difficult, unlike aqueous extracts. 500 However, it is important to note that biological activities related to cosmetic applications 501 (tyrosinase, antioxidant, anti-inflammatory) have shown active phycocyanin concentrations 502 oscillating between 20 and 100 µg/mL [46] These concentrations would correspond to a use 503 of our extracts between 0.5 and 3% in a cosmetic product, which is in line with industrial 504 practices in this field.[47]

505

485

506 3.6. Biorefinery approaches sustainability assessment.

507 The SLL extraction process, appearing then as a major improvement in microalgae biorefinery 508 processes panel, was then analyzed according to the principles of green extraction as 509 established by Chemat et al. [48] This original and green charter in the form of the "six 510 principles of green extraction" allows an innovation that is not only limited to the process but 511 to all the solid-liquid extraction aspects. More recently, new indicators derived from 512 analytical chemistry have appeared, such as the ComplexGAPI method. [49] This approach 513 makes it possible to assess the greenness of an analytical process by considering the entire 514 workflow, including the steps preliminary to the analysis itself. This approach has been 515 specially developed on the basis of the GAPI and CHEM 21 approaches, to take into account 516 the specific features of emerging solvents such as ionic liquids or deep eutectic solvents.[49] 517 The ComplexGAPI approach simplifies access to green performance indicators through the 518 use of freely available online software. Rente et al. recently used this tool to assess the 519 comparative impact of extraction processes involving NaDES.[47] Minimal adaptation of some 520 parameters makes the tool very easy to use. We therefore applied the ComplexGAPI strategy 521 to both our sequential/LLE and SLL approaches. The parameters used to generate the 522 pictograms are summarized in Table 2, and the pictograms generated are shown in Figure 10. 523 Insert here Table 2 & Figure 10

Indicators of good environmental performance appear in green, those of average impact in yellowand the most negative impacts in red.

The parameters grouped together under the term "pre-extraction procedure" represent the steps involved in preparing NaDES prior to be used as an extraction solvent. In the pictogram, these indicators are located in the center of the graph. Logically, the pictograms are identical for both processes, as the NaDES involved are the same. Next come parameters relating to sample preparation (left), solvents and reagents (center), then instrumentation (right). The major difference between the two processes lies in energy consumption, which is drastically reduced in the SLL 532 approach, enabling the indicator to change from red to yellow. Interestingly enough, the 533 consumption indicators are suitable for sample analysis and can be quite low when considering an 534 extractive process. Nevertheless, the ComplexGAPI approach allows us to visually highlight the 535 environmental impact of our processes. Selecting NaDES using our Smart NaDES approach eliminates 536 the need for numerous extract processing steps saving, thus, energy and limiting waste generation. 537 Overall, both approaches have good environmental impacts, with the SLL process having a more 538 favorable impact. This greenness indicator, combined with increased productivity, makes the SLL 539 process a prime choice for the development of economically and environmentally sustainable 540 biorefinery schemes.

541 4. Conclusions

542 Whether sequential/LLE or SLL strategies, polar and non-polar metabolites could be extracted 543 from the microalgae Spirulina using exclusively NaDES. The SLL process fully fulfilled the eco-544 extraction guidelines allowing a dramatic reduction of unitary operation combined with an 545 improved mass transfer and pre-purification of phycocyanin. When compared to the 546 sequential extraction, the productivity of this process increases four-fold and doubled the 547 content of FFA and carotenoids, respectively. In the light of collected results, the biphasic 548 approach assisted by ultrasound extraction could be seen as a promising approach for the 549 extraction, purification, and valorisation of Spirulina high value-added compounds, covering a 550 wide range of polarity.

These results describe for the first time a full NaDES-based biorefinery scheme of Spirulina,
opening the way to alternative extractions solvent for developing promising biomasses
valorisation. Extension to other microalgae species and to plant biomasses is currently under
investigation, as well as, innovative alternative extraction processes.

556 Author Contributions

Conceptualization, L.B.D.; Methodology, L.B.D., E.T., J.J. and B.C.L.; investigation, S.H., L.V.G., E.T., L.W., and M.Y.;
resources, M.P. and B.C.L.; writing—original draft preparation, S.H., and L.V.G.; writing—review and editing, E.T.,
L.B.D., J.J. and B.M.; supervision, L.B.D., J.J. and B.M.; project administration, L.B.D.; funding acquisition, L.B.D. All
authors have read and agreed to the publiched version of the manuscript

authors have read and agreed to the published version of the manuscript.

561 Conflicts of interest

562 There are no conflicts to declare

563 Acknowledgements

This work was supported by the French National Research Agency (ANR-19-CE43-0001-01), the Région Centre Val
de Loire (L. Wils, PhD Grant) and Université de Tours (M. Yagmur, PhD grant, les Rabelaisiennes).

- 567 Supplementary material
- 568 Supplementary material is available online at
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728 Tables

729 Table 1: Studied hydrophilic and hydrophobic NaDES compositions

Eutectic mixtures		Component 1	Component 2	Molar Ratio	Water content (wt%)	Density (g/mL)
Polar	GG	Glycerol	Glucose	2:1	20%	1.303 ± 0.002
Non-polar	MC8	Menthol	Octanoic acid	5:1	-	0.885 ± 0.003
	MC12		Lauric acid	4:3	-	0.881 ± 0.003
	MO		1,2-Octanediol	1:1	-	0.907 ± 0.001
	MP		1,3-Propanediol	1:2	-	0.963 ± 0.005
	MT		Thymol	1:1	-	0.931 ± 0.002

730

731 Table 2: Complex GAPI indicator for sequential/LLE and SLL processes considering 1g of biomass

732 engaged

GAPI Parameter	Sequential /LLE	SLL
Pre-extraction processes		
Yield and conditions		
Yield	100 %	100 %
Temperature/time	max 1h 80°C	max 1h 80°C
Relation to green economy		
Number of rules met	5-6	5-6
Reagents and solvents		
Health hazard	No special hazards	No special hazards
Safety hazard	No hazard	No hazard
Instrumentation		
Technical set-up	Common set up	Common set up
Energy	0.5 kWh/sample	0.5 kWh/sample
Occupational hazard	Hermetization	Hermetization
Work up and purification		
End product work up purification	No work up	No work up
Purity	> 98%	> 98%
E-factor		
E-factor input	0	0
Sample preparation and extraction		
Sample preparation		
Collection	n.a.	n.a.
Preservation	None	None
Transport	None	None
Storage	Under normal conditions	Under normal conditions
Type of method	No sample preparation	No sample preparation
Scale of extraction	n.a.	n.a.
Solvent/reagent used	Green solvents	Green solvents
Additional treatment	none	none
Reagents and solvents		
Amount	< 10 g	< 10 g
Health hazard	No special hazards	No special hazards
Safety hazard	No hazards	No hazards
Instrumentation		
Energy	2.36 kWh per sample	0.79 kWh per sample
Occupational hazard	Hermetic	Hermetic
Waste	No waste	No waste
Waste treatment	n.a.	n.a.
Method type		
Type of analysis	n.a.	n.a.



735 Figure 1: Extraction protocol for the sequential (A) and triphasic solid/liquid/liquid (B) extraction





737 Figure 2: Pigment profile (A) and FFA profile (B) for reference extractions







740 solid/liquid extraction of GG pellet

741 Figure 4: Phycocyanin content after liquid-liquid extraction of the polar phase GG



743 Figure 5: Pigment profile (A) and FFA profile (B) for sequential extraction compared to reference



744 extraction

745 Figure 6: Typical color difference between monophasic, LLE and biphasic extracts.

746







750 Figure 8: Pigment profile (A) and FFA profile (B) for biphasic extraction









- 754 Figure 10: Sustainability analyses of sequential LLE strategy vs SLL approach using using ComplexGAPI
- tool ⁴¹ Pictograms were drawn by a freeware software available at:
- 756 <u>https://mostwiedzy.pl/en/justyna-plotka-wasylka,647762-1/complexgapi</u> (accessed on 06/30/2023)
- 757 (red fields indicate steps that may be hazardous to the environment, yellow fields indicate steps with
- 758 medium environmental impact, and green fields reflect eco-friendly steps).