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## **Prone position decreases acute lung inflammation measured by [11C](R)-PK11195 positron emission tomography in experimental acute respiratory distress syndrome**

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1 **TITLE PAGE**

2 **Title:** Prone position decreases acute lung inflammation measured by [<sup>11</sup>C](R)-PK11195  
3 positron emission tomography in experimental acute respiratory distress syndrome

4  
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20 **Running head:** Prone position decreases acute lung inflammation

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28  
29 **New & Noteworthy** Prone position decreases acute lung macrophage inflammation  
30 quantified *in vivo* with [<sup>11</sup>C](R)-PK11195 positron emission tomography in an experimental

31 acute respiratory distress syndrome. Regional macrophage inflammation is maximal in the  
32 most anterior and posterior lung section of supine animals, in relation with increased  
33 regional tidal strain and hyperinflation, and reduced regional lung compliance.

34 **ABSTRACT**

35 Whether prone positioning (PP) modulates acute lung inflammation by the modulation of  
36 biomechanical forces of ventilator-induced lung injuries (VILI) remains unclear. We aimed to  
37 demonstrate that PP decreases acute lung inflammation in animals with experimental ARDS.  
38 Animals were under general anesthesia and protective ventilation (tidal volume 6 ml.kg<sup>-1</sup>,  
39 PEEP 5 cmH<sub>2</sub>O). ARDS was induced by intra-tracheal instillation of chlorohydric acid. Animals  
40 were then randomized to PP, or to supine position (SP). After 4h, a positron emission  
41 tomography (PET) acquisition with [<sup>11</sup>C](R)-PK11195 was performed coupled with  
42 computerized tomography (CT) acquisitions, allowing the CT quantification of VILI-associated  
43 parameters. [<sup>11</sup>C](R)-PK11195 lung uptake was quantified using pharmacokinetic  
44 multicompartement models. Analyses were performed on 8 lung sections distributed along  
45 the antero-posterior dimension. Six animals were randomized to PP, 5 to SP (median  
46 P<sub>a</sub>O<sub>2</sub>/F<sub>i</sub>O<sub>2</sub> [interquartile range]: 164 [102–269] mmHg). The normally aerated compartment  
47 was significantly redistributed to the posterior lung regions of animals in PP, compared to SP.  
48 Dynamic strain was significantly increased in posterior regions of SP animals, compared to  
49 PP. After 4h, animals in PP had a significantly lower uptake of [<sup>11</sup>C](R)-PK11195, compared to  
50 SP. [<sup>11</sup>C](R)-PK11195 regional uptake was independently associated with the study group,  
51 dynamic strain, tidal hyperinflation and regional respiratory system compliance in  
52 multivariate analysis. In an experimental model of ARDS, 4h of PP significantly decreased  
53 acute lung inflammation assessed with PET. The beneficial impact of PP on acute lung  
54 inflammation was consecutive to the combination of decreased biomechanical forces and  
55 changes in the respiratory system mechanics.

56

57 **Keywords:** acute respiratory distress syndrome, [<sup>11</sup>C](R)-PK11195, ventilator-induced lung  
58 injury, prone position, positron emission tomography

59

## 60 INTRODUCTION

61 Prone positioning (PP) significantly improves the survival of patients with severe to  
62 moderately severe acute respiratory distress syndrome (ARDS). It improves ventilation-  
63 perfusion mismatch, oxygenation, alveolar recruitment, and homogenizes the distribution of  
64 lung histologic damage (6, 15, 40, 41). PP also alters the chest wall compliance and may  
65 decrease ventilator-induced lung injuries (VILI) by uniformizing ventilation distribution  
66 throughout lung regions (30, 42, 46). Yet, it remains uncertain whether this modification in  
67 regional lung mechanics would translate into a modulation of the ventilator-induced lung  
68 inflammatory response (34).

69 Positron emission tomography (PET) with [<sup>11</sup>C](R)-PK11195 allows the non-invasive  
70 quantification of the translocator protein (TSPO), a mitochondrial receptor expressed by  
71 macrophages (26). [<sup>11</sup>C](R)-PK11195 lung PET can quantify *in vivo* acute lung inflammation  
72 triggered by injurious ventilation in swine, using a multiple tissue-compartment kinetic  
73 model describing its specific lung uptake (4, 5). Coupled with quantitative lung computerized  
74 tomography (CT), [<sup>11</sup>C](R)-PK11195 lung PET may help identify which biomechanical forces  
75 are associated with an increased lung inflammatory response.

76 We hypothesized that PP would decrease acute lung macrophagic inflammation in  
77 animals with experimental ARDS, in relation with regional changes in lung aeration and  
78 ventilation. The main objective of the study was to evaluate the impact of PP on lung  
79 inflammation assessed *in vivo* by the regional lung uptake of [<sup>11</sup>C](R)-PK11195 in animals  
80 with experimental ARDS.

## 81 MATERIAL AND METHODS

### 82 *Animal conditioning*

83 The protocol was approved by an animal ethics committee (CELYNE, reference  
84 2015041015179195), in accordance with the declaration of Helsinki. Female pigs breeded  
85 and hosted in the same animal facility and weighting 28 [25–30] kg at time of experiment,  
86 underwent surgical preparation under mechanical ventilation, continuous general  
87 anesthesia (*propofol* 7 mg.kg<sup>-1</sup>.h<sup>-1</sup> and *fentanyl* 4 µg.kg<sup>-1</sup>.h<sup>-1</sup>) and neuromuscular blockade  
88 (*cisatracrium* 1 mg.kg<sup>-1</sup>.h<sup>-1</sup>), after prior intramuscular bolus premedication with *xylazine* 0.7  
89 mg.kg<sup>-1</sup>, *ketamine* 2 mg.kg<sup>-1</sup> and *droperidol* 0.2 mg.kg<sup>-1</sup>.

90 The tracheal tube was introduced *via* median surgical tracheotomy, secured  
91 hermetically and connected to an eXtend ventilator (Taema, Air Liquide, France). Drugs and  
92 radiotracer were injected using a central venous catheter surgically hosted in the right  
93 internal jugular vein. The animals were also equipped with an arterial catheter placed in the  
94 left carotid artery for hemodynamic monitoring, and a pulmonary artery catheter placed in  
95 the right jugular vein used to measure the PET radiotracer input function. An esophageal  
96 balloon (Marquat Gbm, France) allowed monitoring of esophageal pressures (P<sub>ES</sub>). Its proper  
97 position and adequate non-stress volume were confirmed by the presence of cardiac  
98 artifacts, adequate correlation (1±0.2 slope) of airway pressure (P<sub>AW</sub>) to esophageal pressure  
99 (P<sub>ES</sub>) during an occlusion test, and the absence of P<sub>ES</sub> changes during epigastric compressions  
100 (44, 52).

### 101 *Experimental protocol*

102 The protocol is summarized in **Figure 1**. Immediately after animal conditioning (T1), we  
103 applied protective ventilation in the supine position (SP) using the following settings: tidal

104 volume ( $V_T$ )  $6 \text{ ml.kg}^{-1}$ , external positive end-expiratory pressure (PEEP)  $5 \text{ cmH}_2\text{O}$ , respiratory  
105 rate  $25 \text{ breaths.min}^{-1}$ , 1:2 inspiratory/expiratory ratio, constant inspiratory flow, and  $0.21 \text{ O}_2$   
106 inspired fraction ( $F_{i\text{O}_2}$ ) (47). Experimental ARDS was induced 1h after the end of animal  
107 conditioning, by the intra-tracheal instillation of  $0.1 \text{ M}$  chlorohydric acid (body weight dose  $3$   
108  $\text{ml.kg}^{-1}$ ), possibly followed by an additional  $2 \text{ ml.kg}^{-1}$  dose if the ratio of arterial  $\text{O}_2$  partial  
109 pressure ( $P_{a\text{O}_2}$ ) to  $F_{i\text{O}_2}$  remained  $> 300 \text{ mm Hg}$  (28). Experimental ARDS was confirmed using  
110 a  $P_{a\text{O}_2}/F_{i\text{O}_2}$  ratio  $\leq 300 \text{ mmHg}$  after a 30-min stabilization period (T2). Arterial blood gas  
111 were analyzed on a ABL800 FLEX analyzer (Radiometer, France). Once experimental ARDS  
112 was achieved, animals were randomized to be placed in PP during 4 hours (T3), or to remain  
113 in SP, using a pre-determined random list. Mechanical ventilation settings were left  
114 unchanged throughout the protocol (including during imaging procedures), except for a  $F_{i\text{O}_2}$   
115 increase after ARDS onset.

### 116 *Respiratory mechanics*

117 All airway ( $P_{AW}$ ) and esophageal ( $P_{ES}$ ) pressures and gas flow were acquired and  
118 analyzed with the Acqknowledge® software (Biopac Systems, CA, USA). Respiratory rate,  $V_T$ ,  
119 total PEEP ( $P_{AW,EE}$ ), airway plateau pressure ( $P_{AW,EI}$ ) and esophageal pressures were  
120 repeatedly measured throughout the protocol.  $P_{AW,EE}$  and end-expiratory  $P_{ES}$  ( $P_{ES,EE}$ ) were  
121 measured at the end of a 3-sec expiratory pause, and  $P_{AW,EI}$  and end-inspiratory  $P_{ES}$  ( $P_{ES,EI}$ ) at  
122 the end of a 3-sec inspiratory pause (1). From these, we calculated the difference in end-  
123 inspiratory and end-expiratory airway and esophageal pressures ( $\Delta P_{AW}$  and  $\Delta P_{ES}$ ),  
124 respectively. Dividing  $\Delta P_{AW}$ ,  $\Delta P_{ES}$  and the difference between them by  $V_T$ , we obtained the  
125 respiratory system elastance ( $El_{RS}$ ), chest wall elastance ( $El_{CW}$ ), and lung elastance ( $El_L$ ),  
126 respectively. Lung end-inspiratory transpulmonary driving pressures  $P_{L,EI}$  were estimated

127 using the ratio of  $E_{L}$  to  $E_{RS}$  (19). The dynamic and static constituents of elastic mechanical  
128 power were also computed (17).

### 129 *PET/CT imaging protocol*

130 Three sets of CT scans were acquired using a BioGraph TruePoint® PET/CT camera  
131 (Siemens, Munich, Germany): before experimental ARDS (T1), after the ARDS stabilization  
132 period (T2), and 4 hours later (T3). Each set comprised 3 CT scans: at end-expiration, at end-  
133 inspiration, and after transient disconnection from the ventilator (i.e. at functional residual  
134 capacity [FRC]). End-expiration and end-inspiration CTs were performed by hermetically  
135 clamping of the tracheal tube with 2 Kocher clamps. Longitudinal field-of-view (FOV)  
136 extended from the caudal lung extremity to the lung apex. CT settings were as follows:  
137 voltage 120 keV, intensity 66 mAs, pitch 0.8, FOV diameter 780 mm, image reconstruction  
138 with a B31f smooth filter, slice thickness 2 mm, matrix size 512×512, and pixel size 1.52×1.52  
139 mm.

140 Lung regions of interest (ROIs) were drawn on all CT scans, using OsiriX (Pixmeo SARL,  
141 Bernex, Switzerland), excluding intrathoracic large vessels, large airways, bullae and  
142 pneumothorax (13). Then, 10 lung levels were defined by dividing the lung ROI in 10 regions  
143 of equal thickness along the antero-posterior axis (**Figure 2**); the 2 most extreme lung levels  
144 (#1 and #10) were excluded to avoid small-volume ROIs, resulting in 8 lung levels for regional  
145 CT analysis.

### 146 *Quantitative lung CT analysis*

147 Aeration and CT volumes were computed using MatLab (Natick, Massachusetts, USA)  
148 on the whole lung and in the 8 lung levels, using the voxel CT number in Hounsfield units  
149 (HU), to estimate voxel gas fraction (16). ROI lung weight was estimated by the product of

150 the ROI volume by its non-gas fraction, assuming a lung tissue density of 1. Four lung  
151 aeration compartments were identified on end-expiratory CTs [nonaerated (-100 to +100  
152 HU), poorly aerated (-500 to -101 HU), normally aerated (-900 to -501 HU), and hyperinflated  
153 (-1000 to -901 HU)] (45). The regional gas volume at end-expiration (EELV), end-inspiration  
154 (EILV), and at FRC ( $V_{FRC}$ ) corresponded to the ROI volume multiplied by its gas fraction (14).  
155 Lung inhomogeneity index was calculated at voxel level as the highest ratio of the  
156 surrounding voxels' gas fraction to the studied voxel's gas fraction at end-expiration, after  
157 gaussian filtering with a radius corresponding to the swine acinus size (9, 10). Their extent  
158 corresponded to the fraction of voxels with an inhomogeneity index  $> 1.685$  (10).

159 CT-derived  $V_T$  ( $V_{T,CT}$ ) and PEEP-related gas volume ( $V_{PEEP}$ ) corresponded to the  
160 difference between EILV and EELV, and EELV and  $V_{FRC}$ , respectively. Tidal lung recruitment  
161 and PEEP-related lung recruitment were calculated as the change in non-aerated  
162 compartment weight induced by  $V_T$  and PEEP and corrected by the end-inspiration and end-  
163 expiration gas fractions, respectively (35). Tidal hyperinflation was computed as the  
164 difference in hyperinflated volume at end-inspiration and end-expiration. Dynamic strain  
165 was computed as the ratio of  $V_{T,CT}$  to EELV, and static strain as the ratio of  $V_{PEEP}$  to  $V_{FRC}$ ,  
166 corrected for tidal and PEEP recruitment, respectively (4). Finally, regional respiratory  
167 system compliance ( $C_{RS}$ ) corresponded to the ratio of regional  $V_{T,CT}$  to  $\Delta P_{AW}$ .

#### 168 *[<sup>11</sup>C](R)-PK11195 PET acquisition protocol*

169 A [<sup>11</sup>C](R)-PK11195 PET acquisition was performed at T3 in all animals. The 216-mm  
170 longitudinal FOV extended from the caudal lung extremity to its apex. PET acquisitions lasted  
171 60 min, starting at the onset of the [<sup>11</sup>C](R)-PK11195 bolus injection (body weight dose of 6.3  
172 [5.3–7.0] MBq.kg<sup>-1</sup>) on the central venous line. PET frames were reconstructed in 3D

173 volumes of 112 slices (thickness 2 mm) and 128×128 matrices (2.1×2.1 mm pixel size), as a  
174 dynamic series of 18 frames (5×12 sec, 4×60 sec, 7×300 sec, and 2×600 sec). Data were  
175 corrected for random coincidences, attenuation, scatter, and <sup>11</sup>C isotope decay. ROI masks  
176 were drawn on the transmission CT as described above, allowing the acquisition of regional  
177 PET time-activity curves (TAC) using MatLab (Natick, MA, USA).

#### 178 *Quantification of [<sup>11</sup>C](R)-PK11195 lung uptake and study primary outcome*

179 [<sup>11</sup>C](R)-PK11195 lung uptake was quantified using a 2-tissue-compartment (2TCM)  
180 and a 3-tissue-compartment kinetic model (3TCM), as previously described. The 3TCM  
181 improves the specific quantification of [<sup>11</sup>C](R)-PK11195, as it involves a non-specific and  
182 irreversible compartment in which the tracer may be trapped (23). The models' output was  
183 the non-displaceable binding potential of [<sup>11</sup>C](R)-PK11195 (BP<sub>ND</sub>), defined as the ratio of  
184 specifically bound [<sup>11</sup>C](R)-PK11195 to its non-displaceable tissue concentration at  
185 equilibrium (25). BP<sub>ND</sub> reflects the number of TSPO receptors in the lung tissue, and directly  
186 quantifies macrophage count. Regional BP<sub>ND</sub> was the study primary outcome measure, and  
187 was calculated as the ratio of the  $k_3$  rate constant, representing [<sup>11</sup>C](R)-PK11195 binding to  
188 the TSPO receptor, to the  $k_4$  rate constant, corresponding to its dissociation from its  
189 receptor. Of note, BP<sub>ND</sub> was not corrected for the ROI tissue fraction, as the  $k_3/k_4$  ratio  
190 relates to the bidirectional transfer between the bound compartment and the free  
191 compartment, both being contained in the same tissue region (the tissue fraction correction  
192 being hence applied on both side of the fraction) (25). We have previously demonstrated  
193 that BP<sub>ND</sub> is unaffected by varying tissue fraction values in lungs with or without  
194 experimental ARDS (5).

195 A summary of PET methodology is presented here (5). In each ROI, resolution of both  
196 models (2TCM and 3TCM) was performed using the parent plasma non-invasive input  
197 function  $C_{PK}(t)$ , and the lung ROI TAC  $C_{ROI}(t)$  as input data, by means of convolution and  
198 weighted non-linear least-square resolution. To select which model best described the lung  
199 TAC of a ROI, we applied a 2-step model selection algorithm which led to the determination  
200 of ROI's  $BP_{ND}$  value (5).  $C_{PK}(t)$  was the whole blood radioactivity measured in the pulmonary  
201 artery on PET images, corrected for spill-over, partial volume, plasma fraction, metabolite  
202 fraction, and regional tissue arrival delay, as previously described (5, 27, 43, 49). The 3TCM  
203 model required the determination of  $F_{WB}$  (the fraction of blood volume in the ROI) and five  
204 rate constants  $K_1$ ,  $k_2$ ,  $k_3$ ,  $k_4$  and  $k_5$  which are: the entry rate constant from blood to tissue,  
205 ( $K_1$ , corrected for the ROI tissue fraction, and expressed in  $ml$  of plasma. $min^{-1}.g^{-1}$  of lung),  
206 the backward rate constant of transfer from tissue to blood ( $k_2$  in  $min^{-1}$ ), the rate constant  
207 representing [ $^{11}C$ ](R)-PK11195 binding to the TSPO receptor ( $k_3$  in  $min^{-1}$ ), the rate constant  
208 corresponding to its dissociation from its receptor ( $k_4$  in  $min^{-1}$ ), and the rate constant of  
209 irreversible uptake by the non-specific compartment ( $k_5$  in  $min^{-1}$ ) (5, 24). The 2TCM was  
210 similar to the 3TCM, with the exception of the non-specific irreversible compartment.

#### 211 *Pathology protocol*

212 After the PET acquisition, animals were euthanized by the intravenous injection of 180  
213  $mg.kg^{-1}$  of *pentobarbital* followed by the disconnection from the ventilator. The right lung  
214 and left lung were divided into an anterior region and a posterior region. In each region of  
215 each lung, 2 samples of a cubic centimeter were sampled in the cephalic and caudal tiers of  
216 the region, respectively (resulting in 4 samples per animal and per lung). Samples of the right  
217 lung were prepared for pathology analysis with hematoxylin and eosin staining. Macrophage

218 presence and histologic lung injury were evaluated on the right lung samples using published  
219 semi-quantitative scores by a pathologist blinded to study protocol (4, 6).

#### 220 *Nanostring mRNA multiplex assay*

221 Lungs samples of the left lung were snap frozen in liquid nitrogen and kept at -80° for  
222 long-term storage. The whole tissue samples were then placed on an optical coherence  
223 tomography compound to make fifty 20 µm frozen sections using a cryostat (Leica  
224 Biosystems CM3050S, Wetzlar, Germany). Total RNA from frozen sections was extracted  
225 using the RNeasy Blood Mini Kit (Qiagen, Hilden, Germany) according to the manufacturer's  
226 instructions. RNA quantity and quality were determined using Nanodrop (ThermoFisher  
227 Scientific, MA, USA).

228 The NanoString nCounter® technology (NanoString Technologies, Seattle, WA, USA), a  
229 hybridization-based multiplex assay characterized by its amplification-free step, was used for  
230 mRNA detection of a multigene custom panel designed with genes known to be crucial to  
231 the macrophages/neutrophils immune response (18). The panel included 5 mRNAs of  
232 interest [i.e., TSPO, the target of [<sup>11</sup>C](R)-PK11195 (accession number NM\_213753.1), CD68,  
233 a protein expressed on the surface of monocytes and macrophages (NM\_001291776.1),  
234 FCGR3A/B (CD16a/b), a mRNA produced by natural killer cells and neutrophils  
235 (NM\_214391.2), CD84, a protein expressed on the surface of M1 macrophages  
236 (XM\_001928464.6), and CD163, a protein expressed on the surface of M2 macrophages  
237 (NM\_213976.1)] and 3 housekeeping mRNAs [i.e., TBP (XM\_013991786.1), SDHA  
238 (XM\_021076930.1) and RPL4 (XM\_005659862.2)] (2, 3). Due to the limited availability of  
239 multiplex assays, we randomly selected 36 lung samples among the 44 lung samples  
240 collected during the study to perform the analysis.

241 According to manufacturer's instructions, 100 ng of RNA were hybridized to the probes  
242 at 67°C for 18 hours using a thermocycler. After removal of excessive probes, samples were  
243 loaded into the nCounter Prep Station (NanoString Technologies, Seattle, WA, USA) for  
244 purification and immobilization onto the internal surface of a sample cartridge for 2-3 hours.  
245 The sample cartridge was then transferred and imaged on the nCounter Digital Analyzer  
246 (NanoString Technologies, Seattle, WA, USA) where color codes were counted and tabulated  
247 for the selected genes. Data treatment and normalization were next performed using  
248 nSolver analysis software (version 4.0, NanoString technologies, Seattle, WA, USA). Each  
249 sample was analyzed in a separate multiplexed reaction, each including 8 negative probes  
250 and 6 serial concentrations of positive control probes. Negative control analysis was  
251 performed to determine the background for each sample. A first step of normalization using  
252 the internal positive controls allowed correction of a potential source of variation associated  
253 with the technical platform. To do so, we calculated for all samples the background level as  
254 the median +3 SD across the six negative probe counts (obtained threshold: 20). Every  
255 sample under the background level was fixed to this value. Next, we calculated for each  
256 sample the geometric mean of the positive probe counts. The scaling factor for a sample was  
257 the ratio of the geometric mean of the sample and the average across all geometric means.  
258 For each sample, we divided all gene counts by the corresponding scaling factor. To  
259 normalize for differences in RNA input we used the same method as in the positive control  
260 normalization, except that geometric means were calculated over the 3 housekeeping genes.  
261 Finally, to represent the balance between M1 and M2 macrophage phenotypes, we  
262 calculated the ratio of CD84 normalized count to CD68 normalized count, and the CD163  
263 normalized count to CD68 normalized count, respectively (51).

## 264 *Statistics*

265 A  $p$  value below 0.05 was chosen for statistical significance. All analyses were  
266 performed using R (38). All quantitative variables are reported using median and  
267 interquartile range in brackets. Comparison of whole lung measurements over time and  
268 between groups was performed using mixed effects linear regression, with the animal  
269 number as random effect. Association of lung levels (including a quadratic factor) and study  
270 group with aeration compartments, CT parameters and regional  $BP_{ND}$  were performed using  
271 mixed effects regression models, using the lung level and the animal number as random  
272 slope within a random intercept (8). Interactions were systematically evaluated. We also  
273 performed a multivariate analysis of the CT parameters associated with regional  $BP_{ND}$ , using  
274 the variables with a  $p$  value  $\leq 0.20$  in univariate analysis, and the same random effects as  
275 above. Model selection and reduction was performed using backward stepwise selection.

## 276 **RESULTS**

### 277 *Effect of experimental ARDS*

278 Twelve pigs were included;  $P_{AW,EI}$  significantly increased up to 22 [20–24]  $cmH_2O$  after  
279 ARDS induction (T2) (**Table 1**).  $P_aO_2/F_iO_2$  ratio was non significantly lower in PP vs. SP animals  
280 (99 [89–135] vs. 192 [155–225] mmHg,  $p=0.22$ ) at T2. Between T1 and T2, there was a  
281 significant drop in whole lung EELV, and a significant increase in whole lung tissue weight in  
282 both groups (**Table 2**). After ARDS induction, 6 animals were randomized to be placed in PP  
283 after T2, the rest was left in SP ( $n=5$ ). One animal in the SP group was excluded due to PET  
284 acquisition failure at T3.

285 *Effect of PP on CT parameters and histology*

286 At T3, animals in PP had significantly lower  $P_{AW,El}$ ,  $El_L$ , and non-aerated lung volume  
287 compared to the SP group (**Table 1** and **2**). There was a significant decrease in the regional  
288 non-aerated compartment at end-expiration in the posterior lung levels of PP animals  
289 (**Figure 3**), and a consecutive EELV redistribution towards posterior regions in this group  
290 (**Figure 4**). Regional  $V_{T,CT}$  and  $V_{PEEP}$  were significantly increased in the anterior regions of SP  
291 animals. Also, dynamic strain and lung inhomogeneity index were significantly increased,  
292 and regional  $C_{RS}$  significantly decreased, in posterior regions of SP animals, compared to PP  
293 animals (**Figure 4**).

294 Histology showed moderate lung injury with significantly higher scores in the posterior  
295 regions of both groups, while macrophage recruitment was significantly higher in anterior  
296 lung regions of SP animals, compared to PP (**Figure 5**, panels D and E). mRNA quantification  
297 showed a significant increase in TSPO expression as well as increased FCGR3A/B expression  
298 in SP animals, with a shift towards a M2 macrophage phenotype in the PP group (**Figure 5**).

299 *Effect of PP of [<sup>11</sup>C](R)-PK11195 lung uptake at T3*

300 Whole lung  $BP_{ND}$  reached 0.43 [0.25–0.99] in SP animals, and 0.17 [0.08–0.49] in PP  
301 animals. Regional  $BP_{ND}$  was significantly increased in all lung levels of SP animals after 4  
302 hours, compared to the PP group (**Figure 6**), with no significant between-group differences  
303 in other kinetic-model estimated parameters (**Figure 7**). The antero-posterior distribution of  
304  $BP_{ND}$  in SP animals followed a U-shape pattern, with the highest values in the most anterior  
305 and posterior lung sections.

306 Regional [<sup>11</sup>C](R)-PK11195  $BP_{ND}$  was significantly higher in posterior lung regions of SP  
307 animals in relation with higher dynamic strain, and lower regional  $C_{RS}$  (**Figure 8**). Anterior

308 regions of SP animals demonstrated higher EELV and  $V_{PEEP}$ , in relation with higher  $BP_{ND}$ ,  
309 compared to PP. Multivariate analysis identified SP group (vs. PP), decreasing regional  $C_{RS}$ ,  
310 and increasing regional dynamic strain and tidal hyperinflation as being positively associated  
311 with regional  $BP_{ND}$  (**Table 3**).

## 312 **DISCUSSION**

313 In the present study, we evaluated the effects of PP on acute lung inflammation  
314 assessed by the lung uptake of [ $^{11}C$ ](R)-PK11195 in an animal model of ARDS under  
315 protective ventilation. We demonstrated that 1- PP improved regional aeration homogeneity  
316 and attenuated VILI biomechanical forces along the antero-posterior axis; 2- under identical  
317 protective ventilation strategies, PP significantly attenuated the inflammatory lung response  
318 in all lung regions, with maximal effect in the most anterior and posterior lung regions,  
319 compared to SP; 3- increased regional inflammation occurred in relation with higher  
320 dynamic strain and tidal hyperinflation on the one hand, and deteriorated regional  $C_{RS}$  and  
321 the body position itself on the other.

322 Our work is the first to demonstrate *in vivo* that PP modulates regional lung  
323 inflammation. We used a widely used, macrophage-specific, PET radiotracer and advanced  
324 analytical methodology to ensure its specificity in the study of the inflammatory response to  
325 ventilation (5). We observed that lung inflammation was predominant in the posterior and  
326 anterior lung regions of SP animals. We observed that this increase in lung macrophage  
327 recruitment occurred only 4 hours after ARDS induction despite protective settings.  
328 Furthermore, quantitative pathology assays confirmed the increase in TSPO expression in  
329 animals maintained in the supine position. These results suggest that conventional ventilator

330 settings alone do not prevent biomechanical damage and support the use of PP combined  
331 with further optimization of mechanical ventilation.

332 To produce experimental ARDS, we used intratracheal instillation of chlorohydric acid  
333 (28, 29). This model is widely considered as a potent model to reproduce direct epithelium  
334 damage observed during gastric content aspiration. The model also impacts the endothelium  
335 and produces injury proportional to the administered dose of acid. From a pathology point  
336 of view, this model implies major neutrophil recruitment, alveolar hemorrhage, fall in lung  
337 compliance and FRC. It is considered as a good model to test ventilatory strategies (29).  
338 Another advantage is that the model is considered less recruitable than other model such  
339 oleic acid or saline lavage.

340 The mechanisms by which macrophages are recruited in the context of VILI has long  
341 been suspected of being secondary to excessive mechanical tidal forces applied to the lung  
342 parenchyma (12, 22, 48). Here, we showed that macrophage recruitment is increased in  
343 relation with increased dynamic strain and tidal hyperinflation. Dynamic strain was at the  
344 highest in the posterior regions of SP animals, in relation with the important loss of aeration  
345 that these regions exhibited (32, 39). PP prevented high regional strains in posterior regions  
346 efficiently (36). We also observed that dynamic strain increased lung inflammation at levels  
347 that were relatively low, compared to previously published data in healthy lungs subjected  
348 to high volume ventilation (37). On the other hand, the values associated with increased  
349 inflammation were within the range of strain identified by Motta Ribeiro et al. when  
350 exploring strain's relationship with metabolic activity in experimental ARDS (32). The  
351 underlying assumption is that locally applied dynamic strain may trigger physical damage  
352 and inflammation although globally measured strain remains low.

353           Likewise, we observed a significant relationship between macrophagic inflammation  
354 and tidal hyperinflation. Tidal hyperinflation is observed in one third of human cases of ARDS  
355 and is significantly associated with mortality when its volume exceeds 30% of tidal volume  
356 (7, 45). Tidal hyperinflation absolute values were low in our study, and may not have  
357 represented the true alveolar volume subjected to overdistension. This may be explained by  
358 the fact that hyperinflation is commonly defined by an absolute CT density threshold, whose  
359 validity has never been challenged, and may be species-specific (11, 16). It is hence plausible  
360 that the phenomenon of tidal hyperinflation may be of greater magnitude if higher HU  
361 threshold were used. Also, tidal hyperinflation may be the consequence of excessive tidal  
362 excursion applied to regions with low aerated volumes at end-expiration at low PEEP (baby  
363 lung), or on the contrary appear in case of large normally aerated compartments secondary  
364 to high PEEP (33).

365           We also found that lung regions with lower  $C_{RS}$  demonstrated lower lung [ $^{11}C$ ](R)-  
366 PK11195  $BP_{ND}$ , implying that not only do tidal forces exert mechanical stress with secondary  
367 biotrauma, but also that they do it in combination with the intrinsic alteration of the lung  
368 elastic characteristics. This is congruent with our findings of higher inflammation in the  
369 posterior regions of SP animals, where the initial experimental hit was predominantly  
370 distributed, accompanied with loss of aeration, increase in the non-aerated lung fraction and  
371 greater lung inhomogeneity indices.

372           The supine position was identified as one of the independent factors associated with  
373 lung inflammation in multivariate analysis. We hypothesize that this factor alone could  
374 explain the increase in  $BP_{ND}$  observed in anterior lung sections, when other CT parameters  
375 could not. However, we cannot exclude that the effect of the intervention on lung  
376 inflammation was not only mediated by its impact on lung recruitment and homogenization

377 of ventilation, as stress and strain were not kept constant after proning. Of note, only EELV  
378 and  $V_{PEEP}$  showed major differences in the anterior regions of SP animals, compared to PP.  
379 Although not retained in the final multivariate model, we hypothesize that  $BP_{ND}$  was  
380 increased in these regions due to the mechanical stress induced by PEEP in relation with the  
381 effects of SP on the chest wall (52). Indeed, although all animals were applied the same level  
382 of PEEP, animals in SP may have encountered greater regional transpulmonary pressures  
383 due to higher antero-posterior gradient of pleural pressures in SP, and larger ventilation of  
384 the non-dependent “baby lung” (44, 46, 52). This is also suggested by the fact that regional  
385  $C_{RS}$  was not significantly different in anterior lung regions, meaning that if the volume was  
386 kept constant and pleural pressures decrease in SP compared to PP, transpulmonary  
387 pressures must have been higher in these regions. Of note, this mechanism of injury is not  
388 identified by static strain at conventional ventilation regimens, as both the resting volume  
389 and its variation increased proportionally when lung elastance is kept constant. Finally, it is  
390 possible that the regional distribution of acute lung injury by HCl administration may have  
391 been impacted by proning despite the 30 minutes stabilization period (31). Although this  
392 period of time is usually deemed sufficient to stabilize injury, proning may have decreased  
393 the regional effect of the acid.

394 Our results imply two therapeutic assumptions. First, homogenization of lung aeration  
395 and regional ventilation remains an important target in ARDS management. PP allows such a  
396 decrease in the biomechanical forces of VILI, that would otherwise be applied to posterior  
397 regions of subjects in SP. This was made possible without applying higher PEEP levels, which  
398 may increase alveolar overdistension and induce hemodynamic impairment, with potentially  
399 harmful consequences (50). The second implication of our results is that mechanical  
400 ventilation in SP was harmful despite the use of conventional protective ventilation. This also

401 implies that low PEEP levels may be injurious in normally aerated lungs, when there exist  
402 large geospatial heterogeneities in lung injury distribution, as in our model.

403         Several limitations must be acknowledged. First, we were unable to compare the  
404 results of lung BP<sub>ND</sub> against TSPO quantification in pathology to allow the assessment of the  
405 radiotracer's specificity. However, our group has previously published 2 studies showing the  
406 association of [<sup>11</sup>C](R)-PK11195 lung uptake with a semi-quantitative score of lung  
407 macrophage recruitment in pathology (4, 5). Second, CT determination of dynamic strain and  
408 tidal hyperinflation may have been impacted by registration errors. However, lung distortion  
409 was limited in our model, as tidal volumes were kept within the clinical range. Third, BP<sub>ND</sub>  
410 data measured in healthy pigs ventilated in SP or PP has not been acquired, and may have  
411 informed on the baseline radiotracer uptake in normal lungs subjected to protective  
412 ventilation. Finally, PP was applied for only 4 hours before the primary outcome was  
413 evaluated, and we are unable to conclude how longer periods of PP would have impacted  
414 regional lung inflammation. Yet, PP should be administered for at least 16 hours or more, as  
415 shorter durations may not prove as effective in terms of lung protection, recruitment, and  
416 clinical outcome, which let us hypothesize that a similar experiment over an extended period  
417 of time (>4 hours) would have potentially resulted in larger effect size (20, 21).

## 418 **CONCLUSIONS**

419         In an experimental model of ARDS subjected to conventional protective ventilation, PP  
420 significantly homogenized regional lung aeration and ventilation, and significantly decreased  
421 acute lung macrophagic inflammation quantified in PET, compared to SP. The beneficial  
422 impact of PP on acute lung inflammation is the consequence of the combination of

423 decreased VILI-related biomechanical forces and the alteration of the lung and chest wall  
424 intrinsic characteristics induced by the body position.

## 425 **DECLARATIONS**

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### 430 *Authors' contributions*

431 FD collected and analyzed the data, interpreted the results, drafted the manuscript. SL  
432 collected and analyzed the data, made substantial revisions to the manuscript for important  
433 intellectual content. DLB collected and analyzed the data, made substantial revisions to the  
434 manuscript for important intellectual content. NC interpreted the data, made substantial  
435 revisions to the manuscript for important intellectual content. ER interpreted the data, made  
436 substantial revisions to the manuscript for important intellectual content. MO interpreted  
437 the data, made substantial revisions to the manuscript for important intellectual content. NB  
438 collected, analyzed and interpreted the data, made substantial revisions to the manuscript  
439 for important intellectual content. JCR designed the study, collected, analyzed and  
440 interpreted the data, made substantial revisions to the manuscript for important intellectual  
441 content. LB designed the study, collected, analyzed and interpreted the data, made  
442 substantial revisions to the manuscript for important intellectual content. All authors  
443 approved the final version of the manuscript, and agree to be accountable for all aspects of  
444 the work.

445

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450 *Competing interests*

451       All authors, except one, have declared no conflicts of interest, financial or otherwise.  
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453 *Data availability*

454       Data will be made available upon reasonable request.

455 *Code availability*

456       Code will be made available upon reasonable request.

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- 635

## 636 **FIGURE LEGENDS**

### 637 *Figure 1. Study protocol*

638 The figure shows the protocol process, with the 2 main study steps (T1, T2 and T3)  
639 according to the corresponding CT acquisitions. CT study designates the 3 CT acquisitions  
640 that were performed at end-expiration, end-inspiration and FRC in all animals. PET study  
641 designates the [<sup>11</sup>C](R)-PK11195 60-min PET acquisition that was performed 4 hours after  
642 randomization. ARDS designates the time point at which ARDS induction was performed  
643 (between T1 and T2). Apart from body position, all animals received the exact same  
644 interventions, including ventilatory settings (described on the right-hand side of the figure).  
645 After T3, animals were euthanized and samples from the right lung were send in pathology.  
646 ARDS: acute respiratory distress syndrome; CT: computerized tomography; FiO<sub>2</sub>: inspired  
647 fraction in O<sub>2</sub>; FRC: functional residual capacity; I:E: ratio of inspiratory time over expiratory  
648 time; PEEP: positive end-expiratory pressure; PET: positron emission tomography; RR:  
649 respiratory rate; VT: tidal volume

### 650 *Figure 2. Lung segmentation*

651 The figure shows the 8 lung levels along the antero-posterior axis in an illustrative  
652 animal, in the axial view (A, at mid-lung level) and the sagittal view (B, through the right  
653 lung).

### 654 *Figure 3. Aeration compartment distribution in lung levels based on study group at T3*

655 The figure shows the mean fraction of each of the 4 aeration compartments (non-,  
656 poorly, normally aerated, and hyperinflated) in each of the 8 lung levels, in animals in the  
657 prone and the supine groups, 4 hours after experimental ARDS stabilization (T3). There was a  
658 significant association between the distribution of the non-aerated, poorly aerated and

659 normally aerated fractions and the interaction Group x Level. The # corresponds to a  $p < 0.05$   
660 in the post-hoc pairwise analysis evaluating the difference in fraction level for a given  
661 aeration compartment in the same lung level, between study groups, and accounting for the  
662 repetition of tests.

663 *Figure 4. Regional CT parameters in both study groups at T3 (figure is on the preceding page)*

664 The figure shows the values of the end-expiratory aerated lung volume (A), lung weight  
665 at end-expiration (B), lung inhomogeneities index (C), lung inhomogeneities extent (D),  
666 regional  $C_{RS}$  (E),  $V_{T,CT}$  (F), tidal recruitment (G), tidal hyperinflation (H),  $V_{PEEP}$  (I), PEEP-induced  
667 recruitment (J), dynamic strain (K), and static strain (H) in each of the 8 lung levels, in  
668 animals in the supine position (red boxplots) and those in the prone position (blue boxplots).  
669 Tidal recruitment and hyperinflation are expressed as a percentage of  $V_{T,CT}$ , and PEEP-related  
670 recruitment as relative to  $V_{PEEP}$ . The p value examines the association of the studied  
671 parameter with interaction of Study Group x Lung Level (including a quadratic factor, if  
672 statistically significant). In case of a non-significant interaction term, the p value of the  
673 independent effect of Group is given.  $C_{RS}$ : respiratory system compliance; PEEP: positive end-  
674 expiratory pressure;  $V_{PEEP}$ : PEEP-related increase in gas volume,  $V_{T,CT}$ : computerized  
675 tomography-derived tidal volume.

676 *Figure 5. Lung pathology scores and mRNA assays in both study groups*

677 Panels A, B and C show representative pathology slides from 3 lung regions sampled in  
678 the same animal. For each sample, the corresponding macrophage score is given. In panels B  
679 and C, an inset shows a zoom of the same sample with multiple macrophages (isolated or in  
680 clusters, respectively), with the black arrows pointing at macrophages. Panel D shows the  
681 mean histologic lung injury score in the 4 lung regions (antero-cephalic, antero-caudal,

682 postero-cephalic and postero-caudal) of the 2 study groups (SP in red and PP in blue), with  
683 individual data represented (6). No difference in the score between study groups was  
684 observed; the score was significantly higher the 2 caudal regions, compared to the antero-  
685 cephalic region ( $p < 0.05$ ). Panel E shows the mean macrophage recruitment score in the  
686 same 4 lung regions of the 2 study groups (SP in red and PP in blue), with individual data  
687 represented. The macrophage score was significantly higher between study groups in the  
688 antero-cephalic and postero-cephalic regions (§:  $p < 0.05$  in the post-hoc pairwise analysis).  
689 Panels F to J shows the results of the mRNA multi-gene panel assay performed with the  
690 Nanostring technology in the same 4 lung regions, showing the quantity of mRNA (expressed  
691 in normalized counts, nc) of TSPO (F), CD68 (G), FCGR3A/B (H), CD84/CD68 ratio (I) and  
692 CD163/CD68 ratio (J). The panels show the individual value observed in each region, and  
693 corresponding boxplots (SP in red and PP in blue). Ant-caud: antero-caudal; ant-ceph:  
694 antero-cephalic; nc: normalized count; post-caud: postero-caudal; post-ceph: postero-  
695 cephalic; PP: prone position; SP: supine position; TSPO: translocator protein.

696 *Figure 6. [<sup>11</sup>C](R)-PK11195 BP<sub>ND</sub> in each lung level in the 2 study groups at T3*

697 Panel A shows representative BP<sub>ND</sub> parametric images (determined at voxel level using  
698 the same TCM methodology) of an animal in the prone position and of another in the supine  
699 position. The color scale describes the voxels BP<sub>ND</sub> values in PET images. Anatomical level  
700 and relationships are given with the black arrow on the left, and the corresponding end-  
701 expiratory CT slice. The red box shows lung regions with high macrophagic inflammation, as  
702 estimated by the [<sup>11</sup>C](R)-PK11195 BP<sub>ND</sub>. Panels B and C show the BP<sub>ND</sub> of [<sup>11</sup>C](R)-PK11195 in  
703 each of the 8 lung levels, in animals in the supine position (red boxplots, points and lines)  
704 and those in the prone position (blue boxplots, points and lines). Panel B shows the

705 experimental data with individual values collected at T3 in all animals. Panel C shows the  
706 results of the mixed effects model describing the effect of study group and lung levels on  
707  $BP_{ND}$  values ( $BP_{ND}$  values were normalized by the random effects determined by the mixed  
708 effects regression to improve graphical representation). In panel C, the grey shading  
709 represented the 95% confidence interval of the model. The  $p$  value examines the association  
710 between the interaction of study group with lung level (including a quadratic factor), and  
711 lung level  $BP_{ND}$ .  $BP_{ND}$ : non-displaceable binding potential.  $BP_{ND}$ : [ $^{11}C$ ](R)-PK11195 binding  
712 potential.

713 *Figure 7. Regional [ $^{11}C$ ](R)-PK11195 kinetic model parameter estimates at T3*

714 The figure shows the regional  $K_1$  to  $k_2$  ratio (A), blood fraction  $F_{WB}$  (B) and  $k_5$  (C) in the 8  
715 lung sections in animals in the supine position (red boxplots) and those in the prone position  
716 (blue boxplots). The  $K_1$  rate constant was corrected for the ROI tissue fraction and expressed  
717 in ml of plasma.min<sup>-1</sup>.g<sup>-1</sup> of tissue. The data is presented with boxplots. We evaluated the  
718 association of the studied parameter with the interaction of Study Group x Lung Level  
719 (including a quadratic factor, if significant). In case of a non-significant interaction term, the  
720  $p$  value of the independent effect of Group is given.  $F_{WB}$ : regional blood fraction.

721 *Figure 8. Regional [ $^{11}C$ ](R)-PK11195  $BP_{ND}$  in relation with regional CT parameters at T3*

722 The figure shows the regional  $BP_{ND}$  as a function of regional  $C_{RS}$  (A), lung  
723 inhomogeneity index (B), EELV (C),  $V_{PEEP}$  (D), dynamic strain (E) and tidal hyperinflation (F).  
724 The 3 lung sections are defined as Levels 1-2 for the most anterior lung levels, Levels 3-6 for  
725 the mid-lung section, and Levels 7-8 for the most posterior slices. On each plot left side, the  
726 values of regional  $BP_{ND}$  are summarized using boxplots, separated based on the study group.  
727 On the upper part of each plot, we also show the distribution of the variable of interest,

728 separated based on the study group. Blue dots, boxplots and distribution plots corresponds  
729 to PP animals, red dots, boxplots, and distribution plots to SP animals. The  $p$  values given in  
730 each plot identify the statistical difference of each variable between study groups in that  
731 region, using multiple comparison methodology. Standardized  $BP_{ND}$  values are given, using  
732 the random effects determined in the multivariate analysis.  $BP_{ND}$ : binding potential;  $C_{RS}$ :  
733 respiratory system compliance; EELV: end-expiratory lung volume; PEEP: positive end-  
734 expiratory pressure;  $V_{PEEP}$ : PEEP-induced increase in gas volume; PP: prone position; SP:  
735 supine position.

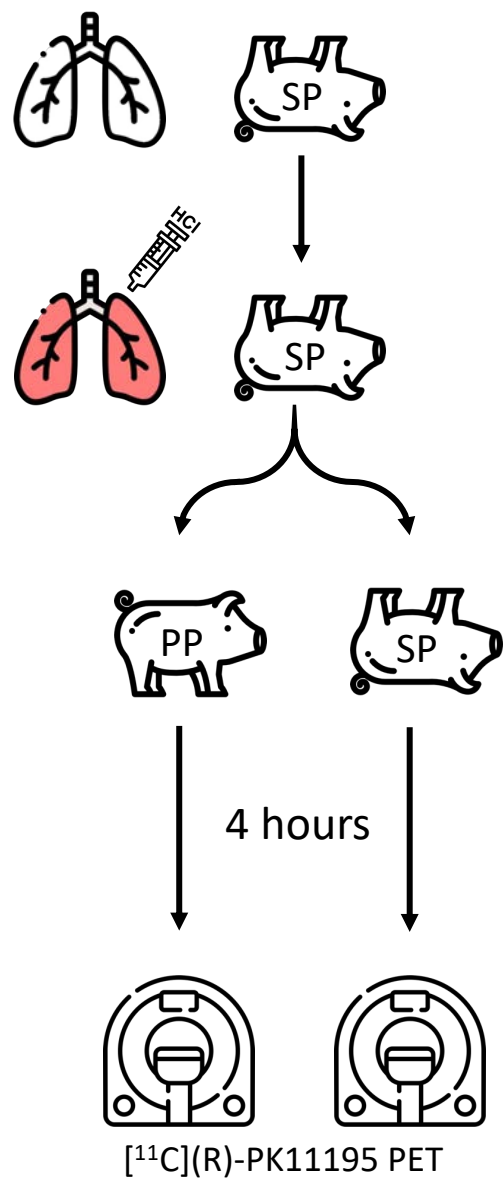
736

737

# Prone position decreases acute lung inflammation measured with $[^{11}\text{C}](\text{R})\text{-PK11195}$ PET in experimental ARDS

## METHODS

Randomized controlled preclinical trial of the effect of prone position versus supine position on lung macrophagic inflammation, quantified in PET using the  $[^{11}\text{C}](\text{R})\text{-PK11195}$  TSPO ligand, in swine with experimental ARDS.



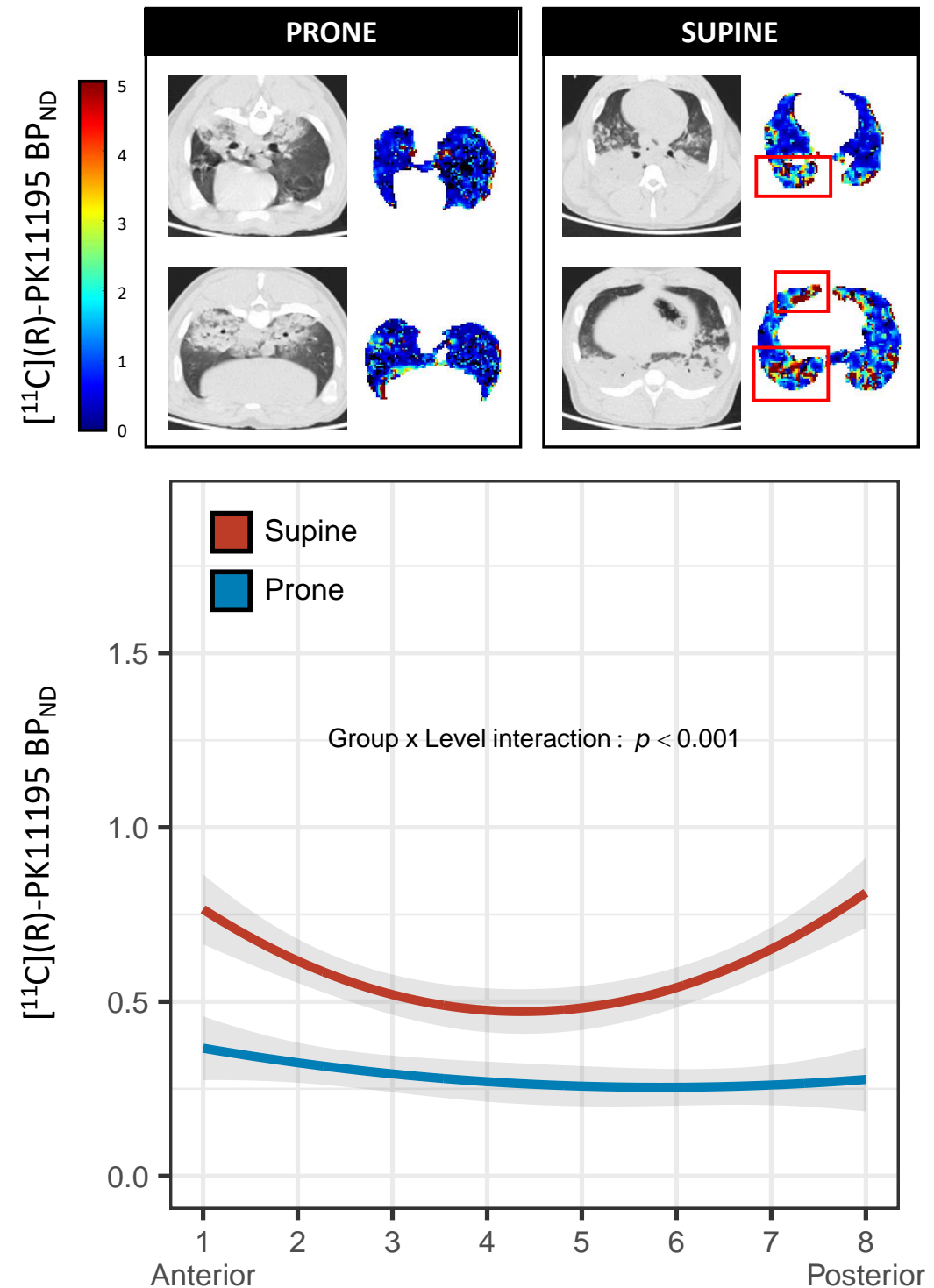
- 1 • Healthy pigs (30 kg) in the supine position (SP)  
• Ventilated with  $V_T$  6 ml.kg<sup>-1</sup>, PEEP 5 cmH<sub>2</sub>O

- 2 • ARDS induction with intratracheal chlorohydric acid instillation  
• Target  $\text{PaO}_2/\text{FiO}_2 < 300$  mm Hg

- 3 • Randomization to prone position or to remain in SP  
• Ventilator settings unchanged ( $V_T$  6 ml.kg<sup>-1</sup>, PEEP 5 cmH<sub>2</sub>O)  
• Position maintained during 4 hours

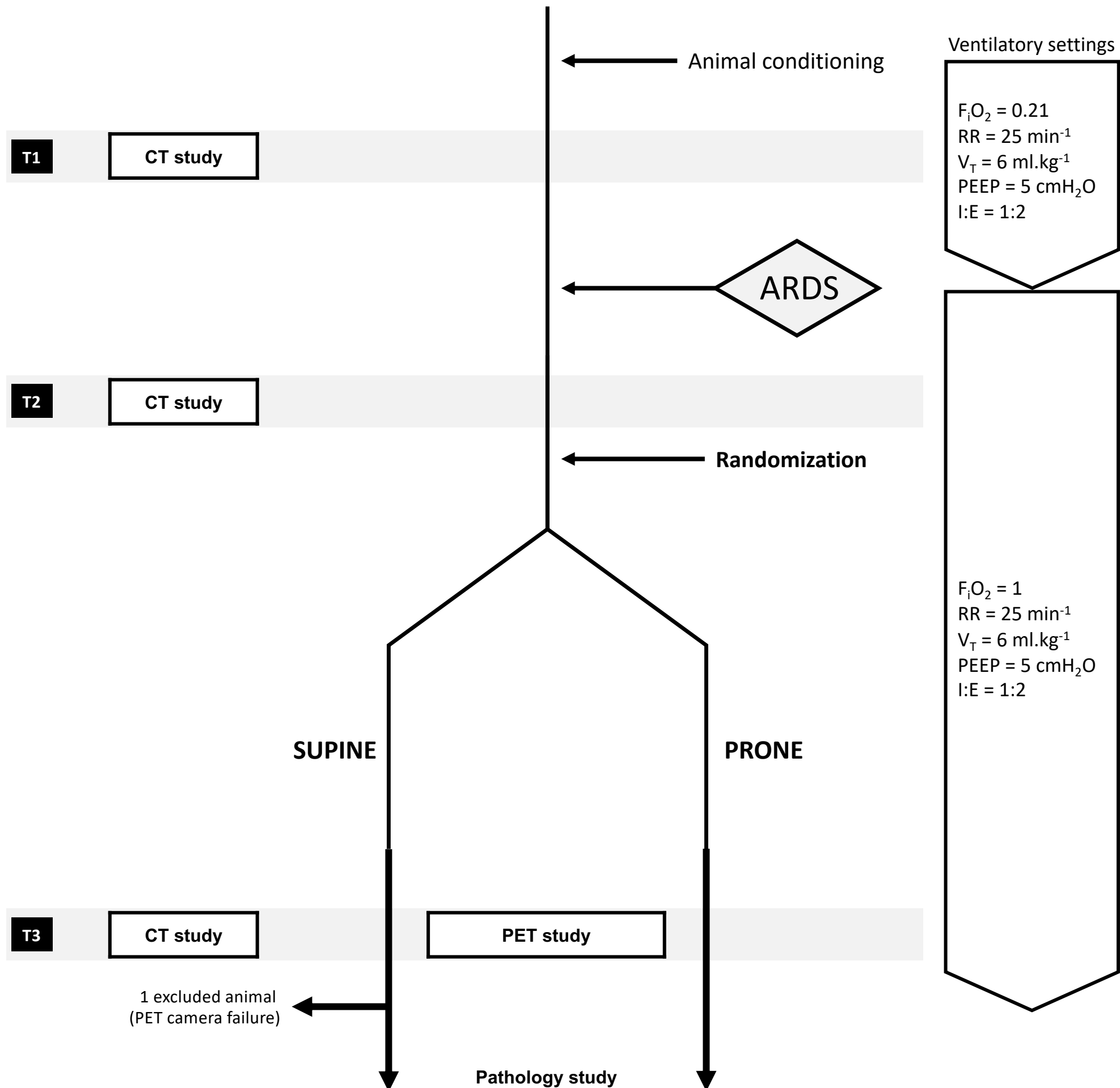
- 4 • Regional lung inflammation quantified by the binding potential ( $\text{BP}_{\text{ND}}$ ) of  $[^{11}\text{C}](\text{R})\text{-PK11195}$ , determined using multicompartmental pharmacokinetic models.

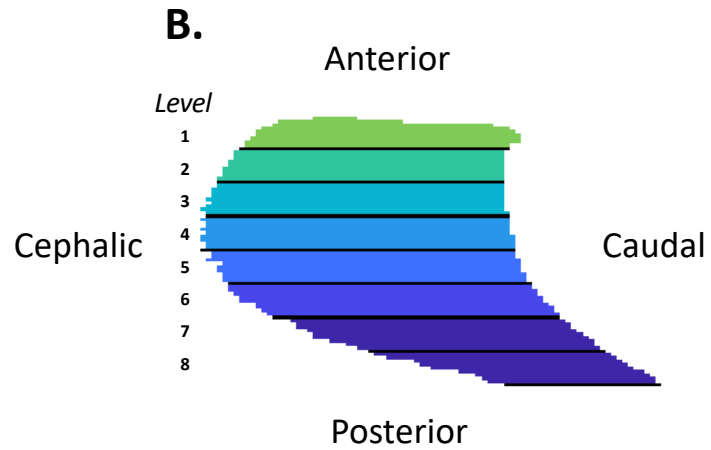
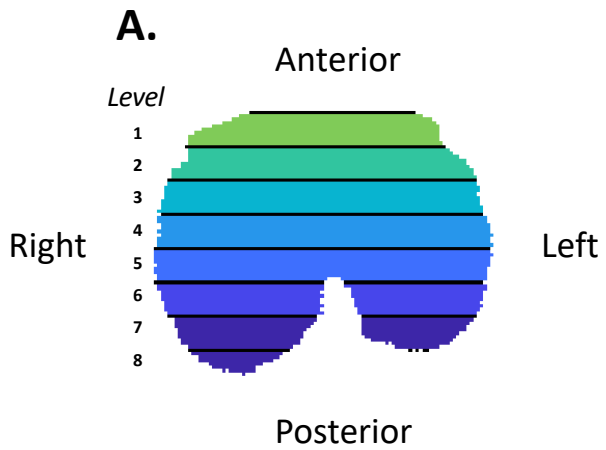
## OUTCOME



## CONCLUSIONS

In an experimental model of ARDS, 4h of prone positioning significantly decreased acute lung inflammation in all lung regions, consecutive to decreased biomechanical forces and changes in the respiratory system mechanics.





## Supine

## Prone

### Regional aeration



Level 1,  
most anterior

2

3

4

5

6

7

Level 8,  
most posterior

0%

25%

50%

75%

100%

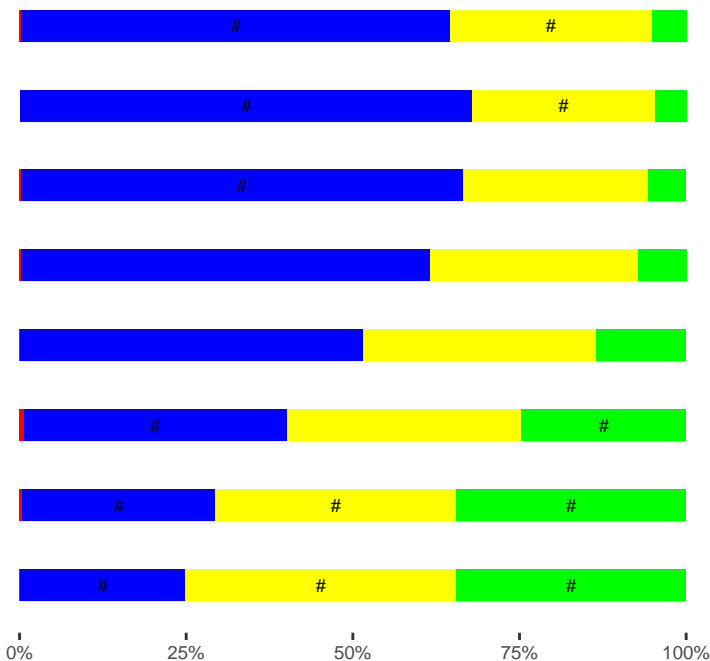
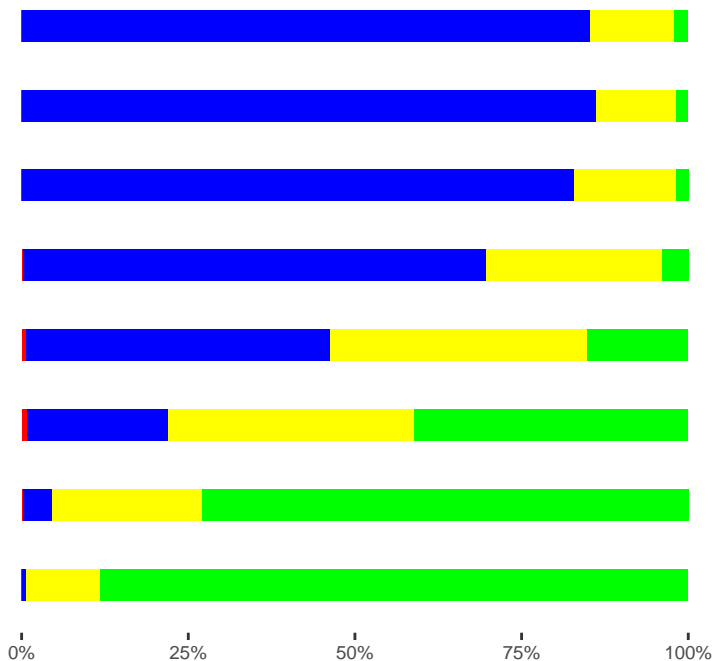
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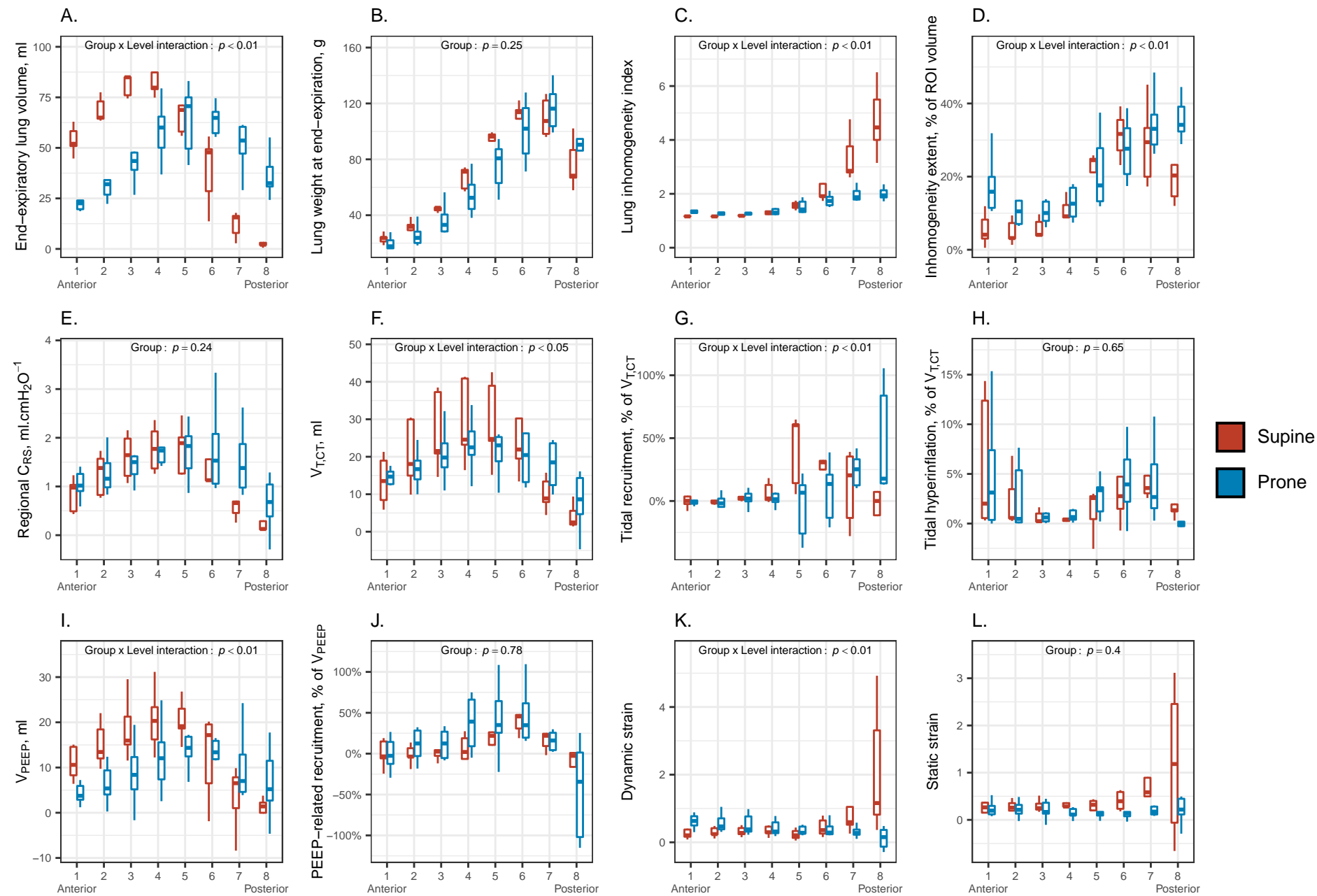
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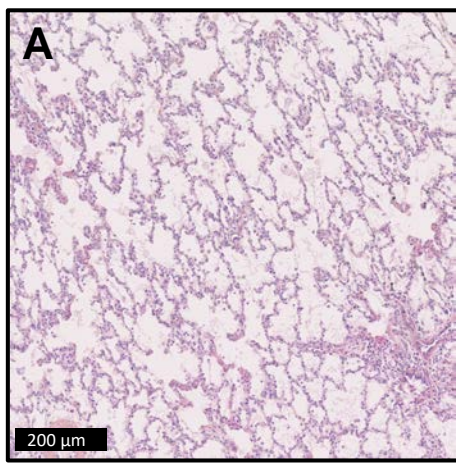
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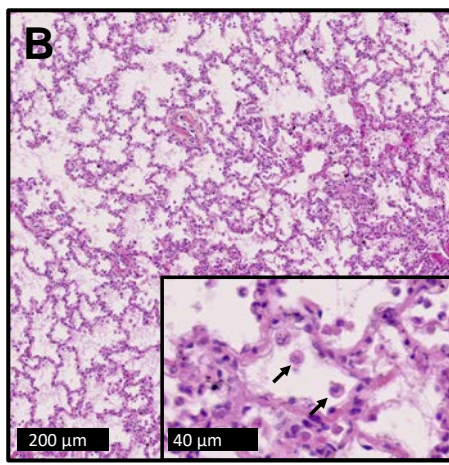




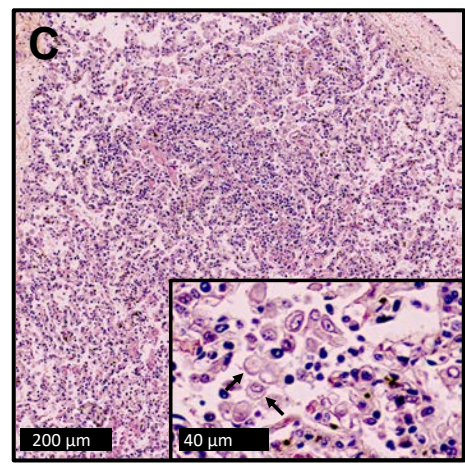
Antero-posterior lung levels



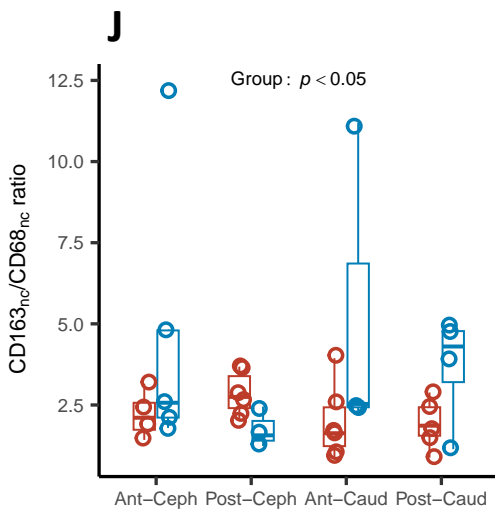
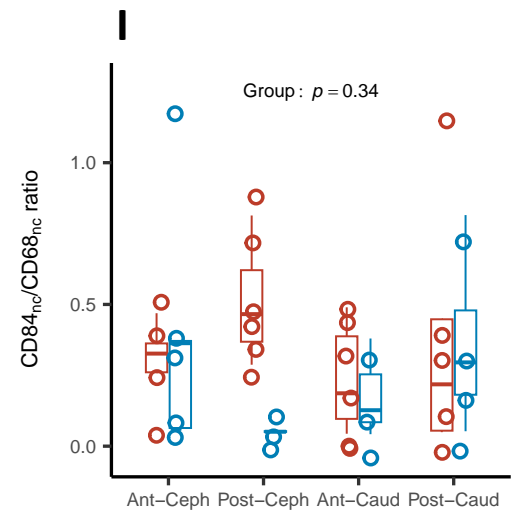
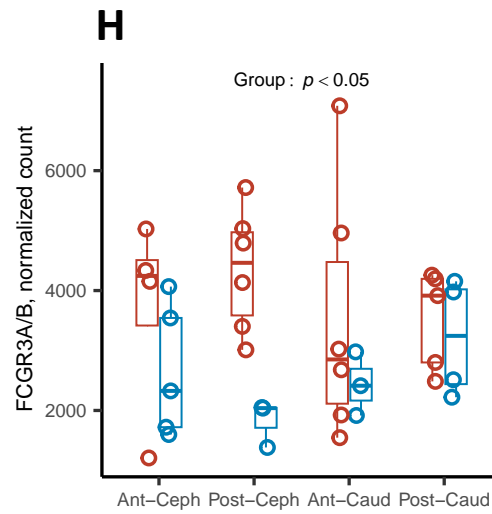
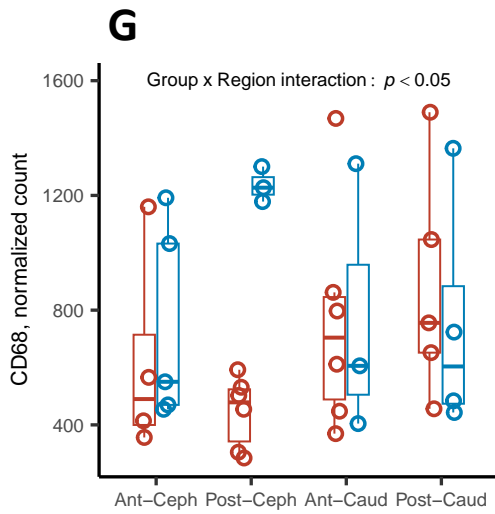
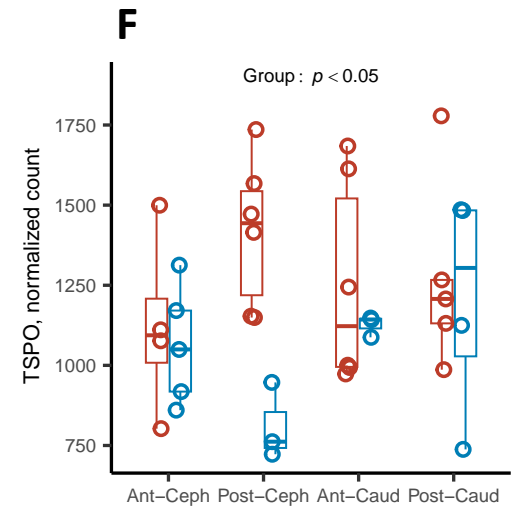
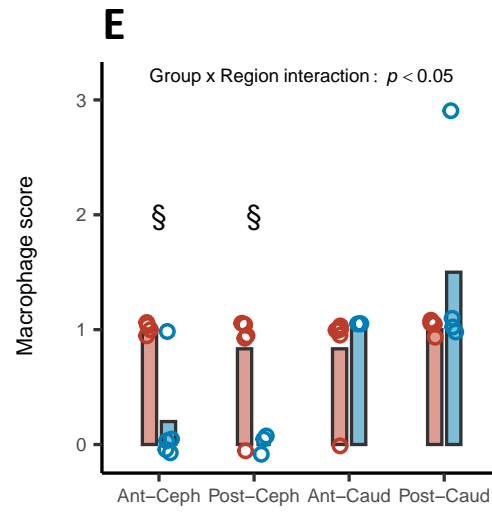
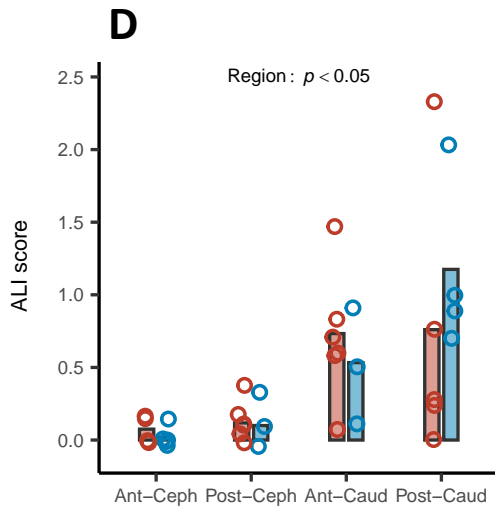
**A**  
Antero-medial lung region  
Normal lung  
Macrophage score = 0

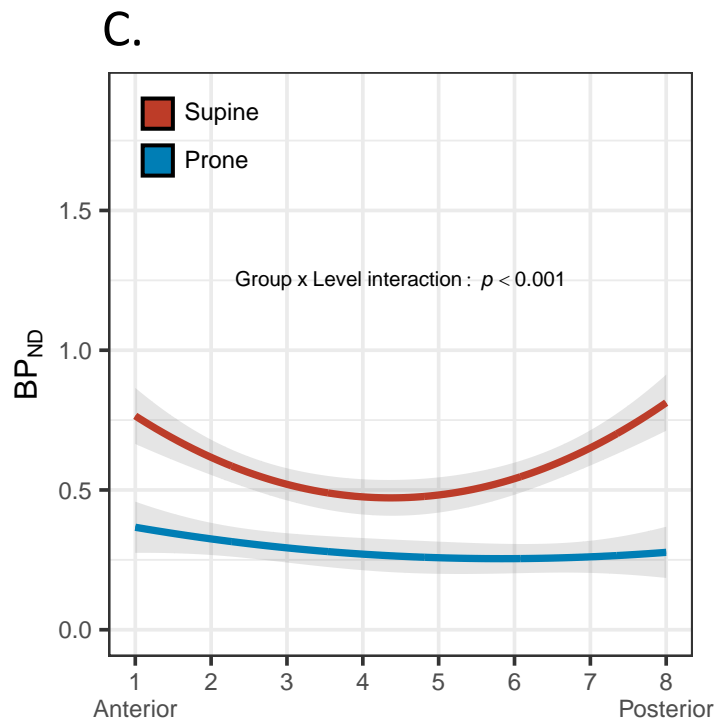
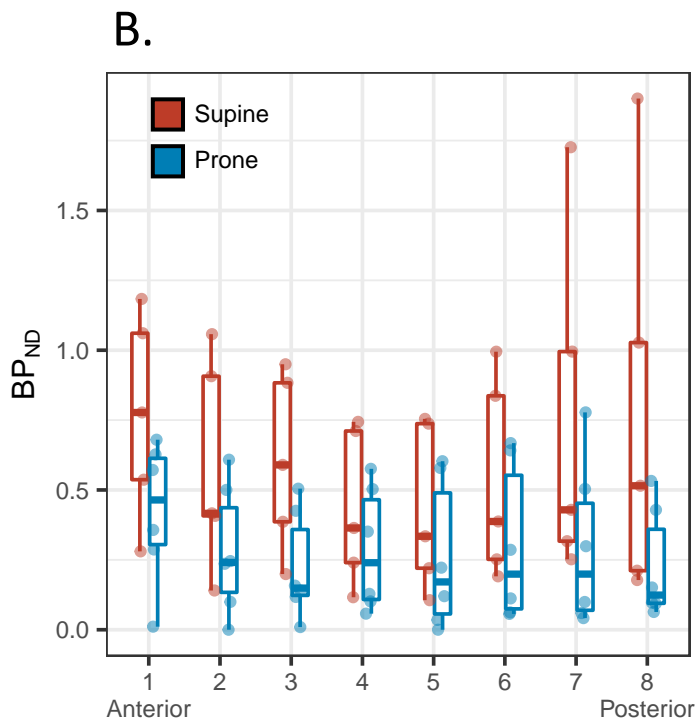
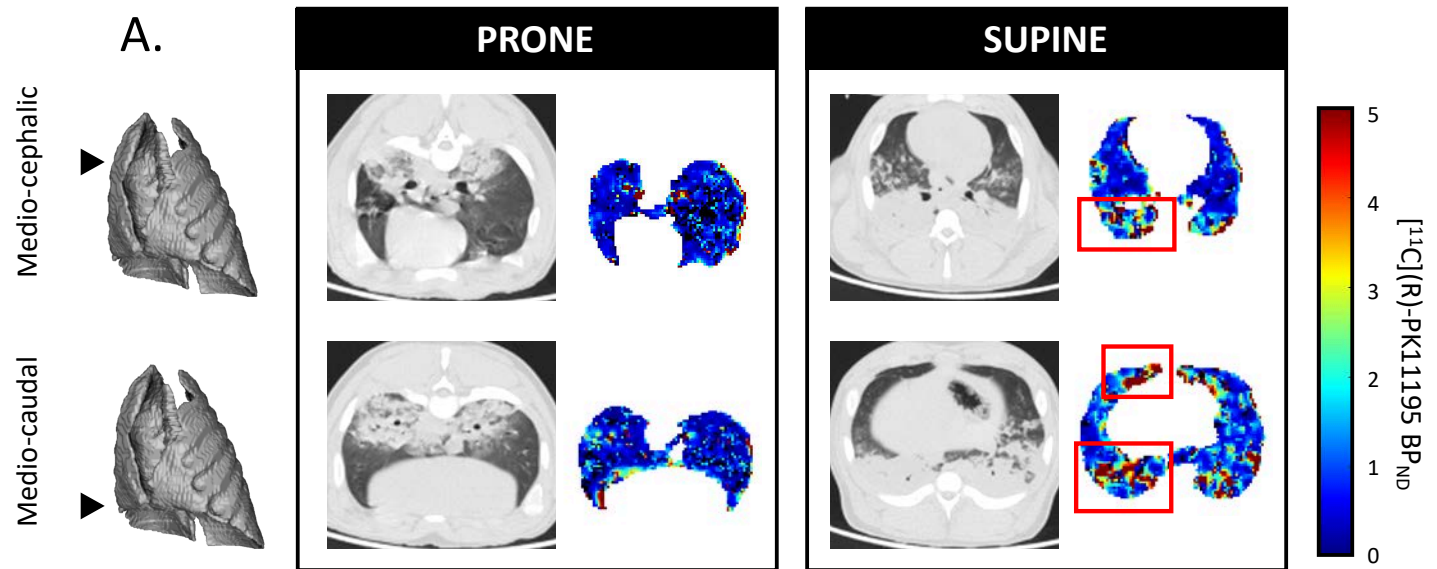


**B**  
Antero-caudal lung region  
Mild macrophage recruitment  
Macrophage score = 1

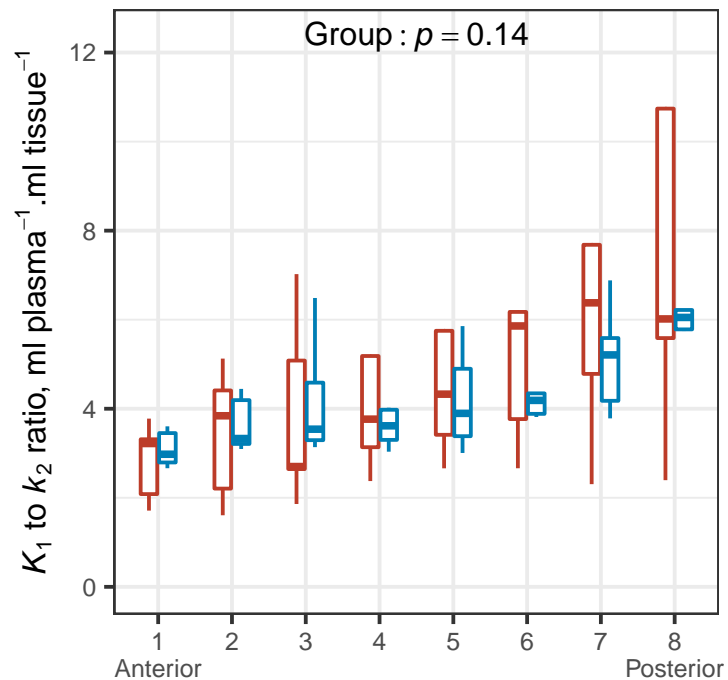


**C**  
Postero-caudal lung region  
Moderate macrophage recruitment,  
Macrophage score = 2

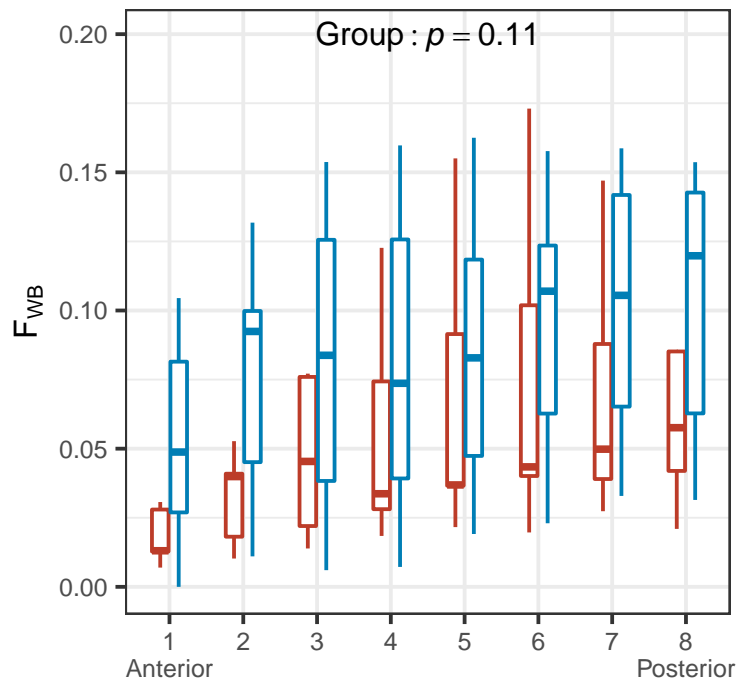




A.

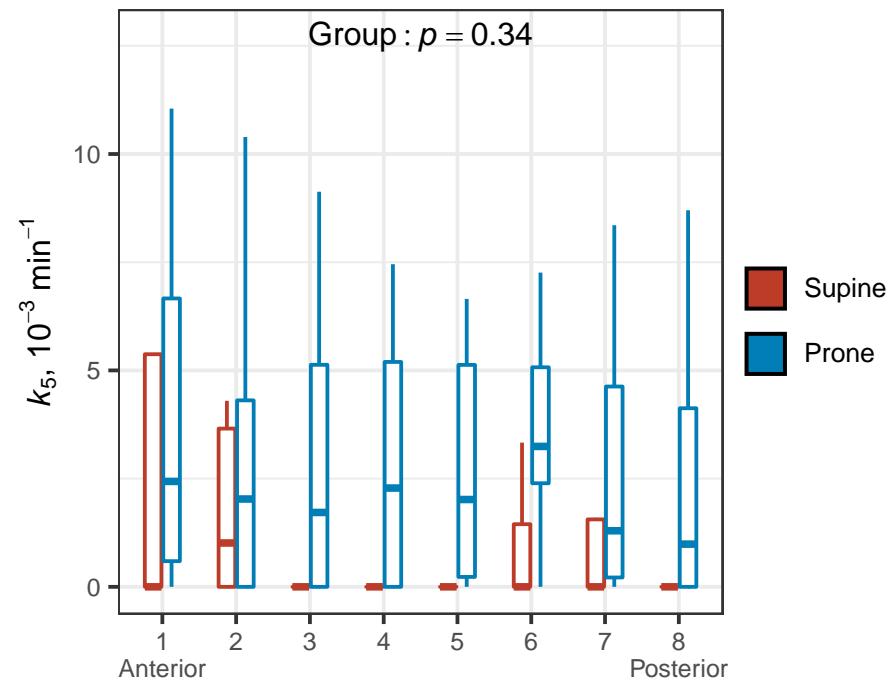


B.



Antero-posterior lung levels

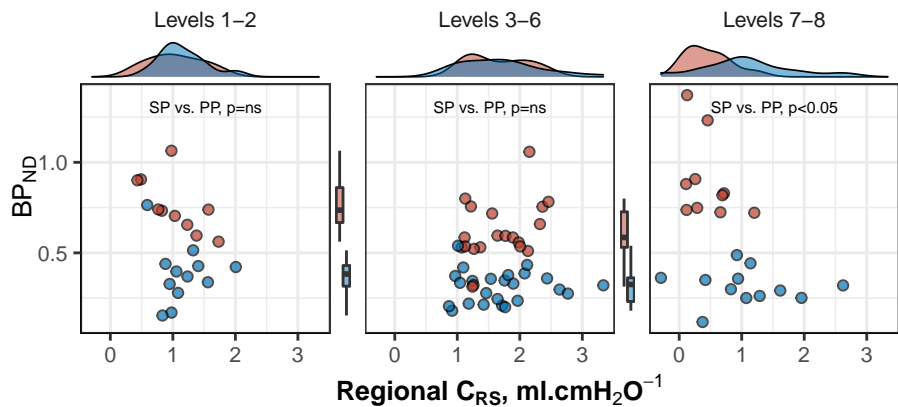
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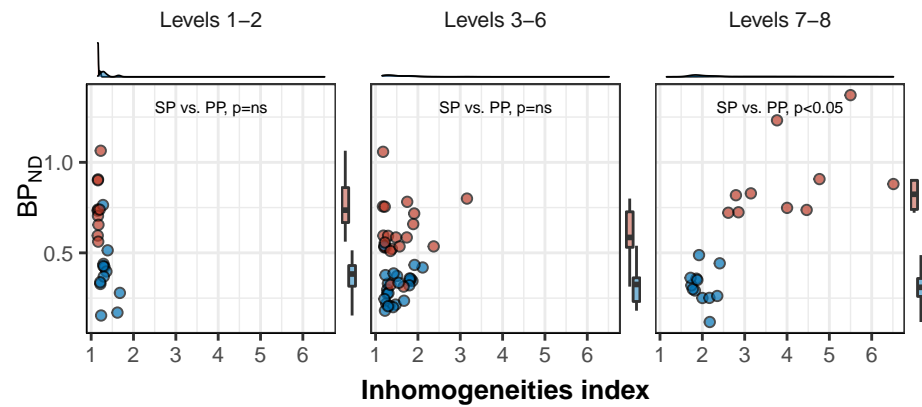
Supine

Prone

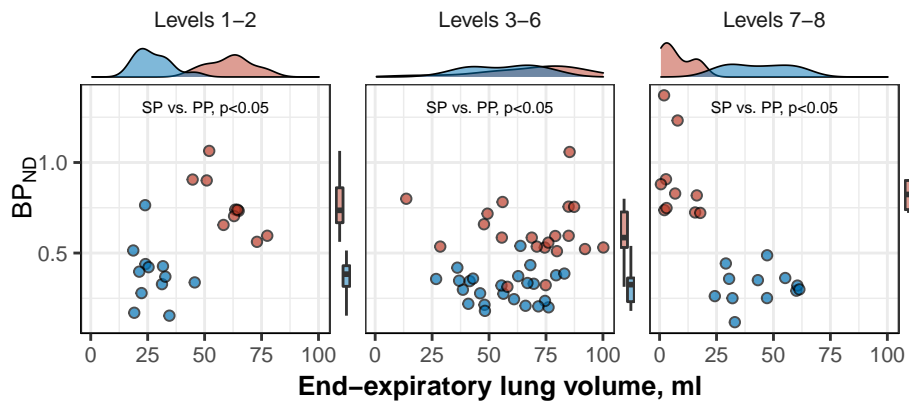
A.



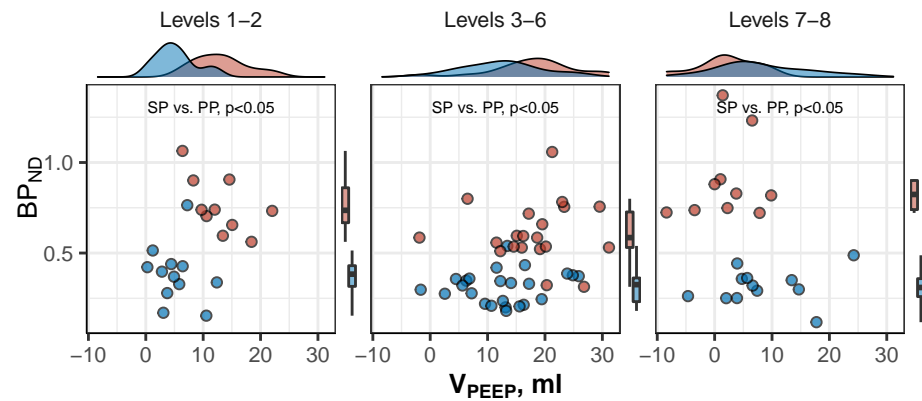
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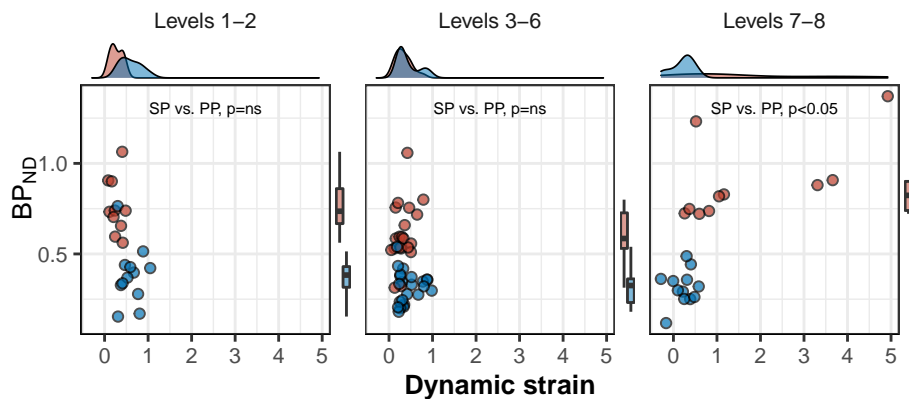
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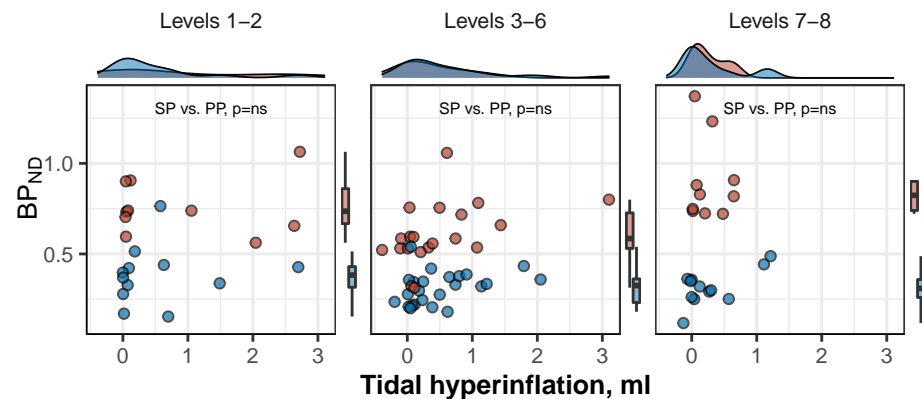
D.



E.



F.



**Table 1.** Respiratory mechanics over time

Variables   <i>study time points</i>	Study group		Effect of group, <i>p</i>	Effect of time, <i>p</i>	Group × time, <i>p</i>
	Supine n=5	Prone n=6			
Tidal volume, ml.kg <sup>-1</sup>			>0.99	>0.99	>0.99
<i>T1</i>	6 [5.9–6.1]	5.9 [5.9–6]			
<i>T2</i>	6 [5.9–6.1]	5.9 [5.9–6]			
<i>T3</i>	6 [5.9–6.1]	5.9 [5.9–6]			
Respiratory rate, breaths.min <sup>-1</sup>			0.07	>0.99	>0.99
<i>T1</i>	25 [25–25]	25 [25–25]			
<i>T2</i>	25 [25–25]	25 [25–25]			
<i>T3</i>	25 [25–25]	25 [25–25]			
Plateau pressure, cmH <sub>2</sub> O			-	-	0.01
<i>T1</i>	14 [12–14]	12 [12–12]			
<i>T2</i>	23 [21–24] <sup>†</sup>	20 [19–22] <sup>†</sup>			
<i>T3</i>	24 [19–25] <sup>†</sup>	18 [18–19] <sup>†,‡</sup>			
Total PEEP, cmH <sub>2</sub> O			0.01	0.73	0.75
<i>T1</i>	6 [6–6]	6 [5–6]			
<i>T2</i>	7 [6–7]	6 [6–6]			
<i>T3</i>	6 [6–6]	5 [5–6]			
Δ <i>P</i> <sub>AW</sub> , cmH <sub>2</sub> O			0.14	<0.01	0.06
<i>T1</i>	7 [6–7]	7 [6–7]			
<i>T2</i>	17 [15–18]	14 [13–17]		*	
<i>T3</i>	17 [13–19]	13 [12–14]		*	
Δ <i>P</i> <sub>ES</sub> , cmH <sub>2</sub> O			-	-	0.01
<i>T1</i>	2 [1–2]	2 [2–2]			
<i>T2</i>	2 [2–3]	3 [2–3]			
<i>T3</i>	3 [2–3]	4 [3–4] <sup>†,‡</sup>			
El <sub>RS</sub> , cmH <sub>2</sub> O.L <sup>-1</sup>			0.32	<0.01	0.14
<i>T1</i>	43 [33–44]	41 [37–41]			
<i>T2</i>	94 [94–100]	96 [77–100]		*	
<i>T3</i>	90 [86–96]	78 [74–87]		*	
El <sub>CW</sub> , cmH <sub>2</sub> O.L <sup>-1</sup>			-	-	0.01
<i>T1</i>	10 [8–11]	13 [10–14]			
<i>T2</i>	15 [10–16]	20 [11–21]			
<i>T3</i>	14 [11–17]	24 [21–25] <sup>†,‡</sup>			
El <sub>L</sub> , cmH <sub>2</sub> O.L <sup>-1</sup>			-	-	0.01
<i>T1</i>	33 [23–36]	28 [24–30]			
<i>T2</i>	84 [73–93] <sup>†</sup>	76 [59–89] <sup>†</sup>			
<i>T3</i>	79 [76–79] <sup>†</sup>	56 [52–63] <sup>†,‡</sup>			
<i>P</i> <sub>L,El</sub> , cmH <sub>2</sub> O			-	-	<0.01
<i>T1</i>	10 [9–10]	9 [7–9]			
<i>T2</i>	20 [19–21] <sup>†</sup>	16 [15–18] <sup>†</sup>			
<i>T3</i>	19 [17–22] <sup>†</sup>	13 [12–13] <sup>†,‡</sup>			
Elastic mechanical power, J.min <sup>-1</sup>			-	-	0.02
<i>T1</i>	3.9 [3.1–5.3]	3.6 [3.1–3.7]			
<i>T2</i>	5.6 [5.3–8.2] <sup>†</sup>	5.0 [4.7–5.2] <sup>†</sup>			
<i>T3</i>	6.0 [5.0–7.9] <sup>†</sup>	4.8 [4.4–5.1] <sup>†</sup>			

Values are median with interquartile range. Study time points were baseline (*T1*, normal lungs), after experimental ARDS stabilization period (*T2*), and 4h later (*T3*). The analysis used all data collected in both groups at the 3 study time points, using a mixed effects linear regression with study group and study time point as independent variables, and animal identification number as the random effect. Interaction of time with study group was systematically checked for. If no interaction was identified, the *p* values of the effect of Group and Time are given, respectively. In case of a significant interaction, a pairwise post-hoc multiple comparison was performed to compare groups at each time point on the one side, and compare *T2* and *T3* to *T1* in each group, on the other. Multiple comparisons were adjusted for  $\alpha$  inflation using the Tukey method. \*: *p*<0.05 compared to *T1* at the time point (no interaction with study group); †: *p*<0.05 compared to *T1* in this study group; ‡: *p*<0.05 compared to the supine group at this time point. Δ*P*<sub>AW</sub>: difference in airway pressure at end-inspiration (plateau pressure) and end-expiration (total PEEP); Δ*P*<sub>ES</sub>: difference in esophageal pressure at end-inspiration and end-expiration; *P*<sub>L,El</sub>: elastance-

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derived plateau transpulmonary pressure;  $E_{CW}$ : chest wall elastance;  $E_L$ : lung elastance;  $E_{RS}$ : respiratory system elastance; PEEP: positive end-expiratory pressure.

**Table 2.** Computed tomography-derived parameters over time

Variables (whole lung)   study time points	Supine n=5	Prone n=6	Effect of group, <i>p</i>	Effect of time, <i>p</i>	Group × time, <i>p</i>
Lung tissue weight at end-expiration, g			0.62	<0.01	0.83
<i>T1</i>	477 [420–503]	442 [407–475]			
<i>T2</i>	568 [512–625]	510 [501–571]		*	
<i>T3</i>	599 [559–621]	565 [544–588]		*	
End-expiratory non-aerated lung volume, ml			-	-	0.03
<i>T1</i>	47 [28–54]	44 [39–50]			
<i>T2</i>	190 [185–205] <sup>†</sup>	190 [152–225] <sup>†</sup>			
<i>T3</i>	272 [213–294] <sup>†</sup>	178 [144–207] <sup>†,‡</sup>			
End-expiratory aerated lung volume (EELV), ml			0.77	<0.01	0.09
<i>T1</i>	607 [545–622]	602 [599–628]			
<i>T2</i>	458 [441–476]	475 [382–566]		*	
<i>T3</i>	430 [408–472]	393 [359–434]		*	
Gas fraction at end-expiration			0.95	<0.01	0.42
<i>T1</i>	0.56 [0.53–0.57]	0.57 [0.56–0.59]			
<i>T2</i>	0.45 [0.42–0.47]	0.44 [0.42–0.47]		*	
<i>T3</i>	0.42 [0.39–0.45]	0.41 [0.37–0.43]		*	
Tidal volume ( $V_{T,CT}$ ), ml.kg <sup>-1</sup>			0.66	0.65	0.73
<i>T1</i>	5.6 [5.4–6.5]	5.5 [5.4–5.6]			
<i>T2</i>	5.7 [5.7–6.4]	5.4 [4.9–5.8]			
<i>T3</i>	6.1 [5.0–6.4]	5.9 [5.6–6.2]			
Tidal recruitment, ml			0.87	0.04	0.59
<i>T1</i>	13 [5–26]	0 [-1–3]			
<i>T2</i>	23 [9–42]	29 [20–40]			
<i>T3</i>	30 [27–43]	25 [19–34]		*	
Tidal hyperinflation, ml			>0.99	0.10	0.73
<i>T1</i>	1 [1–2]	2 [2–3]			
<i>T2</i>	3 [3–4]	4 [2–6]			
<i>T3</i>	3 [1–8]	4 [3–4]			
PEEP-related increase in gas volume ( $V_{PEEP}$ ), ml			0.06	<0.01	0.30
<i>T1</i>	150 [147–169]	138 [130–157]			
<i>T2</i>	125 [101–131]	93 [85–94] <sup>§</sup>			
<i>T3</i>	109 [106–112]	77 [67–92]		*	
PEEP-related alveolar recruitment, ml			0.07	0.91	0.99
<i>T1</i>	18 [5–35]	2 [0–4]			
<i>T2</i>	14 [13–23]	3 [-3–29] <sup>§</sup>			
<i>T3</i>	18 [17–20]	11 [7–14]			
Dynamic strain			0.95	0.50	0.14
<i>T1</i>	0.22 [0.22–0.35]	0.26 [0.25–0.31]			
<i>T2</i>	0.33 [0.23–0.33]	0.23 [0.21–0.24]			
<i>T3</i>	0.28 [0.21–0.37]	0.34 [0.30–0.36]			
Static strain			0.82	0.06	0.92
<i>T1</i>	0.28 [0.25–0.29]	0.28 [0.26–0.29]			
<i>T2</i>	0.31 [0.20–0.31]	0.15 [0.11–0.28] <sup>§</sup>			
<i>T3</i>	0.23 [0.21–0.23]	0.20 [0.15–0.30]			
Index of lung inhomogeneity at end-expiration			0.78	<0.01	0.78
<i>T1</i>	1.30 [1.26–1.31]	1.27 [1.26–1.29]			
<i>T2</i>	1.53 [1.50–1.69]	1.58 [1.49–1.68]		*	
<i>T3</i>	1.63 [1.56–1.68]	1.63 [1.57–1.74]		*	
Extent of lung inhomogeneity at end-expiration			-	-	<0.01
<i>T1</i>	0.12 [0.11–0.15]	0.11 [0.10–0.11]			
<i>T2</i>	0.22 [0.15–0.23] <sup>†</sup>	0.17 [0.17–0.18] <sup>†</sup>			
<i>T3</i>	0.15 [0.15–0.20]	0.24 [0.22–0.30] <sup>†,‡</sup>			

Values are median with interquartile range. Study time points were baseline (*T1*, normal lungs), after experimental ARDS stabilization period (*T2*), and 4h later (*T3*). The analysis used all data collected in both groups at the 3 study time points, using a mixed effects linear regression with study group and study time point as independent variables, and animal identification number as the random effect. Interaction of time with study group was systematically checked for. If no interaction was identified, the *p* values of the effect of Group and Time are given, respectively. In case of a significant interaction, a pairwise post-hoc multiple comparison was performed to compare groups at each time point on the one side, and compare *T2* and *T3* to *T1* in each group, on the other. Multiple comparisons were adjusted for  $\alpha$  inflation using the Tukey method. \*: *p*<0.05 compared to *T1* at the time point (no interaction with study group); †: *p*<0.05 compared to *T1* in this study group; ‡: *p*<0.05 compared to the supine group at this time point; §: no test was performed due to missing values at

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this time point. EELV: end-expiratory aerated lung volume;  $V_{PEEP}$ : PEEP-related increase in gas volume;  $V_{T,CT}$ : computerized tomography-derived tidal volume

**Table 3.** Association of regional CT parameters with regional BP<sub>ND</sub>

Regional variables (88 measurements)	Univariate analysis		Multivariate analysis	
	Regional BP <sub>ND</sub> β±SE	p	Regional BP <sub>ND</sub> β±SE	p
Study group: supine position (reference is prone)	0.20±0.12	0.10	0.35±0.13	0.03
Non-aerated lung volume at end-expiration, per 10 ml increase	0.02±0.01	0.08	<i>nr</i>	
End-expiratory lung volume, per 10 ml increase	-0.03±0.01	0.01	<i>mc</i>	
End-expiratory tissue fraction, per 0.1 increase	-0.01±0.01	0.54	<i>ns</i>	
Tidal volume, per 10 ml increase	-0.06±0.02	<0.01	<i>mc</i>	
Tidal recruitment, per 1 ml increase	-0.01±0.004	0.03	<i>nr</i>	
Tidal hyperinflation, per 1 ml increase	0.04±0.03	0.18	0.06±0.03	<0.05
PEEP-related increase in gas volume (V <sub>PEEP</sub> ), per 10 ml increase	-0.06±0.04	0.04	<i>nr</i>	
PEEP-related alveolar recruitment, per 10 ml increase	-0.05±0.04	0.18	<i>nr</i>	
Regional C <sub>RS</sub> , per 1 ml.cmH <sub>2</sub> O <sup>-1</sup> increase	-0.08±0.03	0.01	-0.07±0.03	0.01
Dynamic strain, per 1 unit increase	0.09±0.03	<0.01	0.10±0.03	<0.01
Static strain, per 1 unit increase	0.02±0.02	0.30	<i>ns</i>	
Lung inhomogeneity index, per 1 unit increase	0.09±0.03	0.01	<i>mc</i>	
Lung inhomogeneity extent, per 10% increase	-0.02±0.02	0.41	<i>ns</i>	

Variables with a *p* value ≤ 0.20 were included in the multivariate analysis. All continuous variables were scaled and centered prior to regression, and their coefficients back-scaled subsequently. Multicollinearity and interactions of variables were systematically checked for. Variables with a VIF > 3 were excluded before the backward stepwise selection of variables and are identified by ∅ in the multivariate analysis column when present. Significance of the multivariate model was evaluated using bootstrap with 500 simulations. Model residuals were checked graphically for normality. The final model marginal R<sup>2</sup> was 0.27 and had a conditional R<sup>2</sup> of 0.87. *nr*: included in the multivariate model, but not retained in the final model after backward stepwise selection; *mc*: excluded from the multivariate model due to multicollinearity (VIF > 3); *ns*: variable excluded from the multivariate analysis (*p* > 0.20). β: model coefficient; BP<sub>ND</sub>: [<sup>11</sup>C](R)-PK11195 binding potential; C<sub>RS</sub>: respiratory system compliance; PEEP: positive end-expiratory pressure; SE: standard error; VIF: variance inflation factor; V<sub>PEEP</sub>: PEEP-related increase in gas volume.