

Unpacking the lifelong secrets of little penguins: individual quality, energy allocation, and stochasticity in defining fitness

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1	Running head: Fitness and individual heterogeneity
2	Unpacking the Lifelong Secrets of Little Penguins: Individual Quality, Energy
3	Allocation, and Stochasticity in Defining Fitness
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32

33 <u>Abstract</u>

While the heterogeneity among individuals of a population is more and more documented, 34 questions on the paths through which it arises, particularly whether it is linked to fixed 35 heterogeneity or chance alone, are still widely debated. Here, we tested how individual 36 quality, energy allocation trade-offs and environmental stochasticity define individual fitness. 37 To do so, we simultaneously investigated the contribution of 18 life-history traits to the fitness 38 of breeding little penguins (Eudyptula minor), using a structural equation model. Fitness was 39 highly variable amongst the 162 birds monitored over their entire lifespan. It increased with 40 the individual penguin's ability to increase i) the number of breeding events (i.e. living 41 longer, breeding younger, breeding more often and producing more second clutches), and ii) 42 43 the breeding success per event through increased foraging performances (*i.e.* mass gained at 44 sea). While all three processes (stochasticity, individual quality and allocation trade-offs) affected fitness, inter-individual variability in fitness was mainly driven by individual quality, 45 46 birds consistently breeding earlier in the season and displaying higher foraging efficiency 47 exhibiting higher fitness. Why some birds consistently can perform better at sea and breed earlier remains a question to investigate to understand how selection applies to these traits. 48 **Key words:** *Fitness, individual heterogeneity, foraging, phenology, breeding ecology* 49

51 <u>1. Introduction</u>

Fitness is a measure of how an individual contributes to the population (De Jong, 1994), 52 which results from life-history traits such as survival and breeding success throughout an 53 54 animal's lifespan (Stearns 1992; McGraw & Caswell, 1996). Yet, due to competing paths between survival and reproduction (Williams, 1966), parents are expected to make trade-offs 55 in relation to variations in benefits to their offspring and costs to themselves (Winkler, 1987). 56 57 An individual can thus maximise its fitness by producing as many healthy offspring (*i.e.* offspring that would themselves exhibit high survival and breeding success) as possible in its 58 lifetime. In long-lived species, individuals are expected to maximise their breeding events 59 60 while ensuring the highest number of offspring produced per breeding event (Maccoll & Hatchwell, 2004). 61

62 Such heterogeneity in individual fitness is thought to result from the differences that individuals exhibit in their capacity to cope with environmental changes (Cam et al., 2002). 63 64 While some individuals efficiently cope with adverse conditions, others have difficulties 65 breeding and/or surviving (Grémillet & Boulinier, 2009; Reed et al., 2009). Thus, only a small proportion of individuals contribute to the majority of offspring production (Aubry et al., 66 2009), while others do not contribute at all.. This unequal contribution of individuals to the 67 68 population can be a major driver of population demography (Jenouvrier et al., 2015). However, part of the variability observed across individuals may also be explained by the fact 69 70 that different individuals actually faced very different unpredictable conditions throughout their lives, some individuals living mainly in very favorable years while other may face 71 unfavorable years all their lives (i.e. stochastic processes, Caswell, 2011; Steiner & 72 73 Tuljapurkar, 2012).

Individual heterogeneity also depends on different allocation trade-offs in their life-history 74 75 traits, which can be defined as different life-history "strategies" (Fay et al., 2022). In this case, fitness is increased due to a change in one trait but decreased by a second trait (Roff & 76 77 Fairbairn, 2007). Although these processes are mainly visible at the species/population level, it may also vary among individuals of the same population. Skipping breeding events is a 78 79 classic example of such strategy, as it will directly reduce individual fitness by removing a 80 breeding event, but it might also increase it on the long-term by improving longevity due to lower breeding costs (Stearns 1989). 81

Apart from breeding success and longevity, fitness can depend on other life-history traits such
as early development (Lindström, 1999), phenology (Reed et al., 2009) or mating and
foraging behaviours (Grémillet et al., 2016; Patrick & Weimerskirch, 2014), although their
relative importance is rarely studied. The combination of the effects of such traits on fitness is
difficult to assess, due to the complex interactions amongst them that may indirectly affect
other traits, which may either compensate or amplify one another.

88 Here, we disentangled the different processes leading to individual heterogeneity in fitness such as quality, strategies or environmental stochasticity by investigating simultaneously the 89 combined effects of 18 life-history traits on lifetime fitness and in the little penguin 90 91 (Eudyptula minor). The little penguin is a suitable model for this aim with regards to its relatively short life compared to other seabirds (6.5 years in average, up to around 20 to 25 92 years; Dann et al., 2005), and because it exhibits inter-individual variability in survival and 93 94 breeding success, linked to many different breeding behaviours such as multiple clutches (1 or 2 clutches of two eggs) or skipped breeding events, high asynchrony in their breeding 95 96 phenology, high divorce rate (up to 50%) and a high variability in their foraging efficiency and strategies at sea (A. F. Chiaradia & Kerry, 1999; Joly et al., 2022; Pelletier et al., 2014; 97 Reilly & Cullen, 1979, 1981; Ropert-Coudert et al., 2003; Saraux, Chiaradia, et al., 2011). 98

Variability in individual quality in breeding is mainly driven by differences in their capacity 99 100 to acquire and/or spare energy (Kahane Rapport et al. 2022). As reproduction is energetically costly, we expected both the energy stored overwinter and foraging efficiency during breeding 101 102 to play essential roles in explaining interindividual variability in little penguin fitness. Further, because foraging success while breeding is often related to the match of the breeding period 103 with prey abundance (Durant et al 2007), phenology was expected to affect foraging and 104 breeding performances strongly (Joly et al, 2022; LeBohec et al, 2007; Regular et al, 2014). 105 106 Reproducing earlier in the season might also give individuals access to better partners or nesting sites. 107

108 Besides differences in intrinsic individual quality, we also expected interindividual 109 heterogeneity to result from differences in energy allocation trade-offs among individuals. While breeding earlier in life or attempting two clutches per year could increase immediate 110 breeding success but decrease longevity through earlier senescence (Kim et al., 2011; 111 Zammuto, 1986), skipping breeding events should decrease short-term breeding success but 112 113 increase longevity (Le Bohec et al., 2007). Finally, the reproduction/maintenance trade-off (Williams 1966) could also be translated at the breeding season scale by looking at parameters 114 such as the meal proportion given to chicks or the regularity of feeding (Weimerskirch, 1998). 115 116 To quantify the relative contribution of all these pathways to individual fitness, we built a structural equation model including all the traits mentioned above and their interactions, using 117 118 a 19-year-long database of 162 individuals monitored throughout their entire life (including

119 87 for which we knew all 18 traits of interest). As individuals did not all live through the same

120 years and some may have benefited or suffered from the conditions of the years they lived in,

we ran a second model removing potential stochastic processes by expressing individual life-

122 history traits relative to the other individuals living simultaneously. We expected the effects

121

of most environment-depending variables, such as foraging or phenology, to be downscaled inamplitude.

125 *<u>2. Methods</u>*

126 **1. Little penguins monitoring**

127 The studied colony is located in the Summerland Peninsula on Phillip Island (38°15′ S,

128 143°30′ E), Victoria, Australia, consisting of 28,000 to 32,000 breeding adults (Sutherland &

129 Dann, 2012). This study spans 20 consecutive breeding years (from 2001 to 2020). As little

130 penguin breeding season occurs during the austral spring and summer (December to March), a

breeding year refers to austral spring, *e.g.* 2001 corresponds to the breeding season 2001-

132 2002.

Penguins were implanted as chicks with a 23 mm ISO HDX transponder (Allflex, Australia)
between the shoulder blades (Chiaradia & Kerry 1999) and nested in a site containing 100
artificial wooden nest boxes burrows, known to have no to slightly positive impact of
penguins' survival and breeding (Sutherland et al. 2014). Sex was assessed using bill
measurement (Arnould et al., 2004) and confirmed by reproductive behaviour. All nests were
checked for breeding status thrice a week during the breeding season.

Nest monitoring was coupled to an Automated Penguin Monitoring System (APMS) located on the primary penguin entrance between the colony and the sea – see details in Chiaradia and Kerry (1999). The APMS records individual penguins' ID and body mass going in or out of the colony. Only body masses ranging from 700 to 1700g were considered valid (see Salton *et al.* 2015 and Saraux & Chiaradia 2021). A second entry point with a transponder reading was used to detect individual ID only (26% of the detections during the breeding period).

145 Only individuals monitored through their entire life (i.e. from birth to death) and which tried

breeding at least once (i.e. laid at least one egg) were included in the analysis (N = 162).

- 147 Individuals were considered dead when they were not observed in the colony nor on the
- 148 APMS for more than one year (*Supplementary material S1*).

149 2. Little penguins fitness and life-history traits

150 *2.1 Fitness*

Individual fitness was assessed using an adapted population projection matrix (Leslie, 1945) as described by McGraw & Caswell (1996). Each individual's fitness was estimated as the dominant eigenvalue of an age-structured projection matrix, where the matrix is the size of the individual's lifespan, the first row is the number of chicks fledged per year divided by two (as only half of the genome is given by a single parent) and below the diagonal is yearly survival (1 until death). As such, individual fitness should represent the asymptotic growth of an individual genotype through time.

158 *2.2 Breeding behaviour and survival*

Longevity was measured as the number of years between hatching and death. The number of 159 160 breeding events was the number of times eggs were laid. The mean proportion of chicks fledged was estimated as the number of chicks fledged during life divided by the number of 161 eggs laid. Age at 1st breeding was the age at first recorded laying. As some little penguins can 162 lay a second clutch in the same season (Reilly & Cullen, 1981), we assessed the proportion of 163 2^{nd} clutch as the number of breeding seasons during which an individual attempted two 164 165 clutches divided by the number of breeding seasons (*i.e* seasons with at least one laying event). We calculated the proportion of skipped breeding events as the number of seasons an 166 adult penguin did not attempt breeding divided by the number of years between its first 167 168 breeding attempt and its death.

Finally, the breeding cycle was separated into 3 stages: 1) the incubation period lasting for
about 35 days, 2) the guard period (~2 weeks) when one parent stays with young chicks while

171	the other forages at sea and 3) the post-guard period (5 to 8 weeks), when chicks are left alone
172	during the day and parents return ashore at night to feed them (Chiaradia & Kerry 1999).

173 *2.3 Mating behaviour*

We assessed the partner and nest changing proportion as the proportion of the breeding season
during which an individual had a different partner/nest from the previous season. Only the
first breeding event of each season (1st clutch) was considered.

177 *2.4 Phenology*

Phenology was investigated through the combination of two factors: i) personality: the 178 average level of behaviour through life and ii) plasticity: the penguin response to 179 environmental variation as defined in (Dingemanse et al., 2010), Plasticity was calculated for 180 181 each individual as the slope of the linear model between its laying dates and the timing of the annual decrease in chlorophyll concentration, which is known to affect little penguins 182 183 phenology (Ramírez et al., 2021, Joly et al. 2022). Chlorophyll concentration data were 184 extracted from MODIS/SeaWifs from the NASA (https://earthdata.nasa.gov/) dataset following Joly et al. 2022 (see Supplementary Material S2). The end of the chlorophyll peak 185 was defined as the date at which 90% of the yearly cumulated daily chlorophyll was reached 186 187 (Brody et al., 2013). Individual plasticity was then extracted from the following model:

188 Laying date ~ Chloro end * Individual + (1/ year)

In this model, the date at which the chlorophyll peak ends (Chloro end) is the seasonal
environmental cue to which penguins should react to assess the best moment to breed
(Ramírez et al. 2016; Joly et al. 2022). While the penguin's laying date should be responsive
to this variable, each individual is expected to respond with strong or weak strength (*i.e.* be
more or less plastic). Here the model computes a slope per individual, *i.e.* the strength of the

laying date shift in response to environmental cue shift, while considering the different yearspenguins lived in.

196

2.5 Body mass before breeding

Because body mass was not always recorded on the exact day of the laying date, body mass
before breeding is the closest mass in a [-5,+5] days interval for males and a [-5,0] days
interval for females (to avoid mass loss after egg-laying). Lifetime mass before breeding is as
the mean of every breeding season's value.

201 *2.6 Foraging performance during the breeding season*

Adult foraging performance was based on trip duration and associated mass gain estimated through the APMS. Foraging trip duration was the number of days between "departure" and "arrival" dates (Chiaradia & Kerry, 1999). As most foraging trips lasted one day during guard (96% 1-d trips and 4% 2-d trips), trip duration was only investigated during incubation and post-guard. Trips longer than 17 days were considered as missing detections from the APMS and discarded (Saraux et al., 2016).

Adult body mass change was the mass change per foraging trip, calculated as the difference 208 between a penguin's body mass after and before a given foraging trip. Only body mass 209 changes ranging from [-75 to 500 g] during incubation and [0 to 600 g] during chick-rearing 210 211 were considered in this study (see Salton et al. 2015 and Saraux & Chiaradia 2021). For trip 212 duration and mass gain to be independent, mass gain was corrected for each breeding stage separately using residual values from the linear model [mass gain ~ trip duration] (Joly et al. 213 2022). Body mass gain during guard and post-guard trips were then scaled (i.e. standardised 214 215 according to mean and standard deviation) by stage and grouped as mass gained during chickrearing. 216

Lifetime foraging variables were assessed separately for different breeding stages (incubation
and post-guard/chick-rearing) as the mean of each season's trip average to ensure that every
season had the same weight in the final value, correcting for different numbers of trips.

220 2.7 Parental care

We built three investment variables to distinguish between energy allocated to chick growth and adults' survival. First, a chick-feeding irregularity was defined as the standard deviation of seasonal trip durations (post guard only). Because this variable was highly correlated to post-guard trip duration (*Supplementary Material S3*), we only kept chick feeding irregularity in our model.

Second, the proportion of meal mass delivered to the chicks was estimated during post-guard. 226 Chick meal mass was calculated as the mass change difference between each "arrival" and the 227 228 following "departure", as recorded by the APMS (Saraux, Chiaradia, et al., 2011). Based on 229 previous data, only meal masses ranging from [0 to 500 g] were considered to avoid unrealistic values (Chiaradia & Nisbet, 2006). The meal proportion given to chicks was then 230 calculated as the chick meal mass divided by the mass change of the adult over the previous 231 foraging trip. Note that the meal mass proportion given to chicks can be over 100%, if the 232 adult entailed its own reserve while foraging for the chicks. Still, to avoid potential bias due to 233 false negatives, proportions higher than the 95% interval around the mean proportion of food 234 given to chicks [48%;146%] were not considered. 235

As longer guard periods result in higher growth and fledging success due to longer intensive chick care (Chiaradia and Nisbet, 2006), lifetime guard length in days (mean over all breeding seasons) was also used as an index of reproductive effort. To avoid misinterpretation due to failed breedings, only guard lengths within a 95% interval around the mean (11-35 days) were kept.

241 **3. Statistical analysis**

We conducted two path models to examine the interindividual variability of fitness. Path 242 models are used to assess the relative strength of direct and indirect relations among variables 243 (Wold, 1980; Wright, 1934, more details in Supplementary Material S4). Structural equation 244 modelling was computed using the partial least square path modelling method from plspm 245 246 0.4.9 plspm function (Sanchez 2017, more details in Supplementary Material S4). Fitting model assumptions were checked following (Kline, 2015) and are detailed in Supplementary 247 Material S5. Overall, model validation was based on robust Satorra-Bentler corrected values 248 249 (Hu & Bentler, 1999) of RMSEA < 0.08, CFI > 0.90 and SRMR < 0.08, although small 250 deviations from these values (< 0.02) were accepted due to our small sample size for such model (87 values). Direct relations between variables are presented with their 95% CI and 251 252 associated p-value, while total effects of variables on fitness (i.e. sum of all direct and indirect 253 effects of a given variable) are presented with their bootstrap estimated (n = 10000) 95% confidence interval around the mean and were considered significant when the 95% CI did 254 not overlap 0. Lavaan grammar allows an input under the form of multiple linear relationships 255 that have been included in the model as available in Supplementary Material S6. 256

257 *3.1 Raw path analysis*

The direct relationships tested in the path were defined a priori based on current knowledge and detailed in *Figure 1*. In general, foraging performances and investment in chicks were expected to affect breeding success positively, but investment was also expected to negatively affect longevity, while mating behaviour, phenology and the main life-history traits (age at first breeding, skipping reproduction events, etc.) should play an essential role in fitness.

263

3.2 Path analysis without year effect

Because individuals did not all live at the same time in our study, differences in fitness 264 265 amongst individuals could translate to differences in individual quality or strategies, resulting from differences in environmental conditions over years (i.e. stochastic processes). To 266 distinguish those individuals having winning strategy/high quality vs. the "lucky" ones 267 experiencing excellent conditions throughout their life, we conducted a second path analysis 268 269 including the same variables and relationships as the first path model, but for a correction 270 applied to all variables to remove the effect of the different years. This was done by centering all values per year, *i.e.* subtracting each event's average annual population value from the 271 individual value for each variable. We distinguished three cases to accommodate different 272 273 types of variables,: i) parameters measured once a year (e.g. the number of clutches, phenology personality, guard duration, proportion of chick fledged), for which we centred by 274 removing the annual mean of the population to the annual individual value, ii) parameters 275 276 estimated several times a year (*i.e* foraging trips and meal proportion given to chicks) for which we removed the annual mean of the population to all trip values before averaging the 277 278 relative variable to get an annual value and iii) parameters estimated once across an 279 individual's life (e.g. longevity, pair switch and nest infidelity), decomposed in a series of 0 or 1 each year to which the population mean was subtracted. Examples of cases ii and iii can be 280 281 found in Supplementary Material S7. All corrected annual values were then averaged over the individual's life. Phenology plasticity was estimated as the slope of the same relationship as 282 before, albeit on yearly-centred laying dates instead of raw laying dates. 283

Only fitness, the number of breeding events (for which we wanted to understand how they were affected by relative variables) as well as sex and age at 1st breeding were not corrected for. The path analysis was then conducted as detailed in *3.1*.

287 <u>3. Results</u>

288 1. Fitness and life-history traits

289 *1.1 Individual fitness*

Individual fitness was assessed for 162 breeding individuals that laid at least one egg and 290 291 were monitored from birth to death (Figure 2). Among them, 27 individuals (17%) failed to 292 fledge a single chick during their life $(5.4 \pm 3.9 \text{ SD years})$, resulting in a 0 fitness. However, 135 individuals (83%) produced at least one chick during their life (9.3 \pm 4.3 years). Among 293 them, 14 individuals (9%) had a fitness of less than one, meaning they only produced one 294 295 chick during their life. A total of 24 individuals (15%) had a fitness of exactly one, meaning they fledged two chicks in their life. Most individuals (97 penguins, i.e. 60%) had a fitness 296 above one (1.27 \pm 0.11 on average), *i.e.*, they fledged more than two chicks (10.1 \pm 5.5 chicks 297 298 in average).

299 1.2

1.2 Life-history traits

The distribution of life-history traits highlights inter-individual variability (*Figure 3*). Individuals that reached maturity most commonly died at the age of 5, while the average longevity remained much higher (8.6 ± 4.5 years). Around 38% of individuals never attempted to lay a 2nd clutch, and 37% never skipped any breeding seasons during their lives. Conversely, 14% of individuals missed breeding seasons and 34% produced a 2nd clutch more than half of the time.

Age at 1st breeding attempt (laying) was 3 years old most of the time (60%), but was also

regularly 2 or 4 years old, and rarely at 1 (n=5) or above 4 years old (n=6). The mating

308 behaviours (partner or nest changes from one season to the next) varied from individual to

309 individual, from no change during their life to every season changes.

Guard period length was variable, from 11 to 34 days, but it was, on average, around 20 days

long (19.5 \pm 3.5 days). Phenology was highly unsynchronised, the time from the earliest and

latest breeders of a given season being almost half a year (177 days, from day 194 to day 312

349), although the average laying date was day 282 ± 23 (mid-October). 313

The plasticity in phenology in response to the peak of chlorophyll concentration was again 314 315 very variable among individuals. Still, most individuals (90%) advanced breeding when the end of the chlorophyll peak occurred earlier and only a few displayed the opposite 316 317 relationship of delaying breeding. On average, individuals bred 3 days earlier (3.1 ± 3.9) for 318 each day the end of the chlorophyll peak ended earlier, although some displayed a much stronger response, advancing reproduction by 10 days per day of shift in the bloom end. 319

320

2. Direct relationships between traits

The first path analysis (chi-square < 0.001, CFI = 0.91, RMSEA = 0.082, SRMR = 0.078), 321

has been conducted on 87 individuals (breeding at least twice) for which the 18 variables 322

323 included in the model were available. Outcomes are presented in Figure 4, details are in

324 Supplementary material S8.

325 The proportion of chicks fledged and the number of breeding events both had a strong effect

326 of similar strength on individual fitness (0.63 and 0.51 respectively, p < 0.001, $R^2 = 0.659$)

327 although being poorly correlated with each other (Pearson's $R^2 = 0.18$, Supplementary

Material S9). Number of breeding events strongly depended on individual longevity (relative 328

329 estimate of 0.83, CI95% [0.76; 0.90], p < 0.001). Breeding events also benefited from a

- higher proportion of 2^{nd} clutches (rel. est. = 0.27 [0.20; 0.34], p < 0.001), while it was 330
- negatively affected by older age at 1^{st} breeding (rel. est. = -0.21 [-0.27; -0.15], p < 0.001) and 331
- skipped breeding seasons (rel. est. = -0.27 [-0.37; -0.19], p < 0.001). These four variables 332
- (longevity, 2nd clutches, 1st breeding, skipped breeding) explained 96% of the variability in 333
- the number of breeding events (plspm $R^2 = 0.964$). 334

Foraging and investment in the chicks affected breeding success through mass gained at sea and chick feeding, although the results were not statistically significant. Individuals gaining more mass at sea during chick-rearing tended to fledge more chicks per breeding event (rel. est. = 0.22 [-0.04; 0.48], p = 0.089). Conversely, individuals that managed to provide a higher proportion of the meal mass to their chicks exhibited a lower proportion of chicks fledged (rel. est. = -0.25 [-0.56; 0.00], p = 0.057).

Average phenology (personality) had broad effects on several parameters. Earlier breeding 341 was linked with a higher proportion of 2^{nd} clutches (rel. est. = 0.71 [0.59; 0.81], p < 0.001) 342 and higher mass gained at sea during chick-rearing (rel. est. = 0.46 [0.26; 0.64], p < 0.001) 343 344 and tended to result in shorter foraging trips during incubation (p = 0.011), longer guard 345 periods (p = 0.005) and lower partner switch (p = 0.003) and nest switch (p = 0.017). Conversely, plasticity in phenology only affected the proportion of 2^{nd} clutches (rel. est. = -346 0.24 [-0.38; -0.06], p = 0.003). Phenology (and sex) explained respectively 53% and 24% of 347 the variability in proportion of 2^{nd} clutches and mass gain during chick-rearing (plspm $R^2 =$ 348 0.526 and 0.238). 349

Mating behaviour (partner and nest switches) had small to no effects on breeding success. And while other variables such as sex significantly affected some life-history traits (mainly linked to foraging), these effects did not impact breeding success and fitness. Females were for instance, lighter before breeding and gave less food to the chicks in proportion compared to males (full stats p < 0.001), without any consequences on the number of breeding events or the proportion of chicks fledged.

The second path analysis (chi-square < 0.001, CFI = 0.88, RMSEA = 0.092, SRMR = 0.078) presented the same model as in *Figure 4*, but data were corrected by year to only account for interindividual differences rather than differences due to individuals living in different years.

359 In most cases, relationships were similar to what was found with raw data and presented

above, albeit some changes in effect strength and a few rare occasions, where the

361 relationships changed (*see Supplementary Material S10 & S11*).

362

363 3. Overall effect on fitness

Variables' total effects on fitness are the combination of their direct and indirect effects 364 365 through other variables (*Table 1*). First, penguins' fitness was directly affected by the proportion of chicks fledged, and number of breeding events (total effects = direct effects 366 presented above). Longevity also significantly affected fitness (0.42 CI95% [0.32; 0.56]) 367 368 through an increased number of breeding events. While looking at indirect variables, the penguin fitness increased by skipping fewer breeding seasons (rel. est. = -0.13 [-0.25; -0.05]) 369 and conducting more 2^{nd} clutches (rel. est. = 0.22 [0.11; 0.37]). In terms of foraging, 370 371 individuals gaining more mass at sea during chick-rearing also exhibited higher fitness (rel. est. = 0.23 [0.03; 0.41]). Finally, early breeding had the strongest and most beneficial total 372 373 effect on fitness, with a strength almost as important as breeding success and longevity (rel. est. = -0.35 [-0.48; -0.22]). No other studied variables affected fitness, despite a significant 374 direct effect on chick-fledging or the number of breeding events for some of them (such as the 375 376 proportion of mass gained given to chicks or plasticity in phenology, Figure 4) as other indirect effects partly compensated it. 377

Once stochastic processes and year effects were removed from our variables (*Table 1*), the results of the new path analyses were highly consistent with those of the first model on raw data. Indeed, the variables that significantly affected fitness were strictly the same in both analyses. Further, the effect sizes of the proportion of chicks fledged, the number of breeding events, longevity and mass gained during chick-rearing remained similar between both analyses (although slightly decreased for longevity, rel. est = 0.36 [0.26; 0.50]). Nevertheless,

some interesting differences also appeared. The effects of 2^{nd} clutches and earlier breeding, while still significantly positive, were notably decreased in amplitude once the year effects were removed. More surprisingly, one relationship changed. While missing breeding seasons had an adverse impact on fitness before, here (*i.e.* after removing the year effect), it showed positive effects (rel. est. = 0.22 [0.11; 0.38]). Finally, switching partners had an overall positive (although non-significant) effect on fitness (rel. est. = 0.15 [-0.01; 0.34]).

390 **<u>4. Discussion</u>**

391 Fitness as an evolutionary concept refers to the overall genetic contribution of an individual to its population (De Jong, 1994). The fact that some individuals disproportionately contribute to 392 393 the population implies that others display a much less critical contribution (Aubry, Koons, et 394 al., 2009). This variability in individual contribution to their population is vital to 395 understanding population demography, especially in climate change (Grémillet & Boulinier, 2009; Jenouvrier et al., 2015). In this study, little penguins reached maturity at very different 396 fitness profiles, either not contributing to the population (16%), making one chick (9%), 397 contributing just enough to propagate their genes into the next generation (exactly 2 chicks, 398 399 15%) or over-contributing (> 2 chicks, 60%). While several factors have been proposed and tested to explain such differences in individual fitness in other species (Naves et al., 2006; 400 Patrick & Weimerskirch, 2014; Reed et al., 2009), the relative contribution of each one of 401 402 them has rarely, if ever been assessed.

We showed that although stochastic processes explained part of the variability, fitness was
mainly due to different individual capacities to multiply the number of their breeding
attempts. In general, increased breeding attempts were achieved by living longer, laying more
second clutches, skipping fewer breeding events, while fledging proportion was mainly driven
by foraging efficiency. Besides those variables, the average phenology of an individual

408 appeared to be one of the main contributors to its fitness due to very indirect but ubiquitous409 effects on many different life-history traits.

410 Lifetime fitness depends on the individual's capacity to maximise its survival, as longer life 411 may lead to multiple breeding events (Stearns, 1976) and on its capacity to efficiently fledge 412 chicks at each breeding event (Brommer et al., 1998; Maccoll & Hatchwell, 2004). In longlived species such as seabirds, individuals are expected to favour survival over breeding 413 414 success when trading-off energy allocation, as the number of future breeding prospects is important (Goodman, 1974; Stearns, 1992), so longevity is often expected to be the main 415 416 component of individual fitness. Here, the number of breeding events and the proportion of 417 fledged chicks had a similarly important effect on the variability in individual fitness. These results imply that both long-lived and short-lived strategies are somewhat equivalent at the 418 individual lifetime scale for this species. Yet, this is not unexpected as little penguins are one 419 of the shortest-lived seabirds, placing them at an intermediate position on the short-lived / 420 long-lived species gradient, implying that the costs of a failed breeding event tends to have a 421 422 more important impact on fitness than for species with much longer lifespan. Indeed, longevity is known to drive fitness through factors such as gained experience in long-lived 423 species (e.g. albatrosses, Aubry et al. 2011). However, such interests of surviving might be 424 425 less important in shorter-lived species where decreased performances tend to happen after only a few breeding events (Saraux et al. 2022). 426

Here, the number of breeding events still depended on longevity, but was also affected by the number of skipped breeding seasons, the proportion of 2^{nd} clutches and the age at first breeding. The variability in these three parameters is a well-known trade-off between survival and breeding (*i.e* balance of allocation to breeding or self-maintenance, Le Bohec et al. 2007,

431 Dobson and Jouventin 2010). While skipping a breeding event (season or 2nd clutch)

432 decreases the immediate offspring production, it simultaneously reduces the costs of

reproduction and increases the number of potential future breeding events (Desprez et al., 433 2018). The same trade-off applies for later age at 1st breeding (Aubry, Koons, et al., 2009), as 434 starting to breed at an older age tends to decrease an individual overall number of breeding 435 436 events but also allows individuals to delay the energetic costs of reproduction to optimum ages in their foraging and chick provisioning performance (Aubry, Cam, et al., 2009; Krüger, 437 438 2005; Limmer & Becker, 2009; Saraux & Chiaradia, 2021). However, in this study we 439 showed that no significant increase in longevity was associated with an increased number of skipped breeding events, leading to a significant detrimental effect of missed breeding events 440 on individual fitness. Thus, if skipping breeding events to maximise longevity may be a good 441 442 strategy in very long-lived species (Jenouvrier et al., 2005), this may not be true in relatively shorter-lived species such as the little penguin. 443

Regarding age at 1st breeding, there was no significant effect on fitness, although it led to
slightly fewer breeding events. This may be explained by the lack of variability in age at 1st
breeding in little penguins (*i.e* between 2 and 4 years old in almost all cases) and that the
breeding success of young individuals is lower than older ones (Saraux & Chiaradia 2021).
Thus, adding one failed breeding event might not affect lifelong fitness.

Finally, the balance between maintenance and reproduction can also be perceived at finer 449 450 scales within breeding seasons. Higher parental investment should increase breeding success but decrease a parent's body condition and future success (Storey et al., 2017). This was 451 investigated through 3 parameters in our study: the duration parents could maintain the 452 intensive care guard period, the regularity of chick feeding and the proportion of the captured 453 food allocated to the chicks. Yet, none of this affected fitness and their effects on either side 454 455 of the energy balance were not significant either. This may be because individuals rarely overreach while favouring their own body reserves (Saraux, Robinson-Laverick, et al., 2011) 456 to avoid decreased longevity. On the other hand, a decreased parental investment may be 457

partly compensated by the partner, as little penguins exhibit unequal parental investment 458 459 (Saraux, Chiaradia, et al., 2011). Interestingly, we found no sex-specific strategies that directly affected fitness, while it is known to affect survival in many birds taxa (Liker & 460 Székely, 2005). Zhang et al (2015) also showed that although common terns exhibit sex-461 specific recruitment and survival, these effects did not translate to reproductive value. 462 Beyond energy allocation trade-offs, fitness should depend on an individual's quality 463 464 (Blomqvist et al., 1997; Bolton, 1991; Coulson & Porter, 1985). Individual quality defines the capacity of an individual to maximise its life-history traits simultaneously and is often 465 perceived through positive correlations between traits (Wilson and Nussey 2010, Vedder and 466 467 Bouwhuis 2018), e.g. individuals reproducing better also surviving better. Mass gained at sea during chick-rearing positively affected both longevity and breeding 468 success (in lines with previous studies: Berlincourt & Arnould, 2015; Chiaradia & Kerry, 469 1999; Saraux et al., 2016), which indicates that an individual capacity to acquire energy (i.e. 470 471 foraging efficiency) might be a major driver of individual quality (Lescroël et al., 2009, 472 2010).

Certain traits may not directly affect breeding success, but instead affect other life-history 473 traits, thus reaching fitness through their capacity to influence various other traits rather than 474 by the strength of a single effect. We established the strong positive impact of early 475 phenology on fitness due to the cumulative sum of small effects on different parameters. We 476 showed that earlier breeding was associated with varying behaviours of breeding, mainly 477 significantly increasing 2nd clutch events, consistent with Reed et al. (2013). Earlier breeding 478 was also important in affecting foraging and chick provisioning through increased mass gain 479 480 at sea, decreased trip duration, or increased length of chick guard. If early phenology is so important for little penguins as seem to be the case for most seabirds (Keogan et al., 2018), 481 one can wonder why individuals do not all breed early and why this is species so 482

asynchronous. Evidence shows that individual breeding may start when reaching an energetic 483 484 threshold (i.e. carry-over effect of wintering; Robinson et al., 2005; Salton et al., 2015). Thus, some individuals may delay their breeding until they reach this threshold (the required 485 486 duration could then depend again on individuals' capacity to acquire energy or in their reproductive investment in the previous season for instance). While the shift in seabird 487 breeding timing is often described as the result of individuals exhibiting plastic phenology to 488 489 match with environment cycles (Frederiksen et al., 2004; Keogan et al., 2018; Reed et al., 2009), few significant effects of plasticity in phenology were found in this study. Likely, the 490 overall population switch in phenology to cope with environmental shifts is so strong that it 491 hides the inter-individual compound of this variability. 492

The role of stochastic processes in inter-individual variability is subject to much debate 493 (Caswell, 2011; Davison et al., 2019; Steiner & Tuljapurkar, 2012). Individuals may perform 494 better because of their quality or because they live in favourable conditions. We compared our 495 results with those of a second model minimising stochastic processes by looking at individual 496 497 performances relative to the population living in the same year. Based on our results, we argue that if stochastic processes tend to exacerbate individual variability; life-history traits 498 499 were never entirely driven by unpredictable events while presenting no individual variation in 500 the capacity to face the event. Some individuals lived in better years of earlier breeding and higher foraging performances, increasing their fitness. However, these processes did not 501 explain the significant part of interindividual variability. This is not surprising as relatively 502 long-lived species using a partly capital breeding strategy should have a higher capacity to 503 504 buffer environmental changes (Morris et al., 2008; Stephens et al., 2009). However, it implies 505 that even when processes are strongly driven by overall population shifts and inherent variability (*i.e.* independently from the environment), such as phenology (Keogan et al., 2018; 506 507 Youngflesh et al., 2018), some individuals still perform better than others in the trait (*i.e*

508	breeding earlier in the case of phenology). Whether this might have a genetic basis
509	(heritability, (Dobson et al., 2017) or derive from early-life conditions (environmental and
510	maternal effects, (Hamel et al., 2009) or other processes will need to be further investigated.
511	Conclusion
512	Using a 20-year dataset from 162 little penguins, we constructed a detailed map showing the
513	different paths these iconic penguins take to lifelong fitness. Individual variability in life-
514	history traits seemed to depend primarily on individual quality and secondarily on different
515	trade-off strategies. Although energy allocation trade-offs are expected to mediate the effect
516	of individual quality, our study demonstrates that they may not compensate for high intrinsic
517	differences among individuals (Kim et al., 2011). We also showed that penguins' individual
518	quality mainly relied on efficient foraging and early phenology, which are highly dependent
519	on the environment (Joly et al., 2022), raising major questions about population demography
520	in the context of rapid environmental changes.

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733 FIGURE CAPTIONS:

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Figure 1: Theoretical path modelling including main expected relations among foraging 735 (blue), chick investment (grey), breeding behaviour and longevity (yellow), phenology 736 (purple), mating behaviour (green) and sex (red) as well as their effect on breeding success 737 and fitness. Relationships expected to be negative are presented with red arrows while 738 739 positive ones are presented with green arrows. For clarity purposes, instead of displaying all arrows, arrows pointing from/to a block composed of several variables were pooled into a 740 single one representing the whole block. 741 Figure 2: Individual fitness histogram for all 162 mature little penguins known for their entire 742 life. 743 744 Figure 3: Histograms of life-history traits associated to survival, breeding and mating behaviour and phenology (skipped breeding, 2nd clutches, age at 1st breeding, partner/nest 745 switch and personality and plasticity in phenology) and longevity of 162 mature little 746 penguins known for their entire life depending on their sex when applicable (males are 747 represented by blue bars and females by red ones). Because all traits could not always been 748 749 estimated, the sample size varies for each histogram. Figure 4: Path modelling of the relation between fitness and 18 different life-history traits 750 751 (light grey nodes). Numbers correspond to relative estimates of partial least square path model. Arrows and numbers colours indicate the positive/negative (blue/orange) sign of the 752 relation when it was considered significant (bootstrap IC95% (n = 10000) does not include 0). 753 754 Grey arrows and numbers correspond to non-significant relations.

756 Figure 1













Figure 4

765 **<u>TABLES:</u>**

- 766 **Table 1:** Total effect (sum of direct and indirect) of 18 different life-history traits on fitness either using raw data or transformed data to remove
- stochastic year effect. "Tot. rel." stands for the total relative estimates of partial least square path model. 95% Confidence Interval bootstrap (n =
- 10,000) are given. Significant relationships (i.e. CI95% not intersecting 0) are indicated by stars.

769			Raw data		Data without year effect		
	Affects fitness _	Tot. Rel	CI95%		Tot. Rel	CI95%	
770	Sex	0.10	-0.05	0.24	0.08	-0.08	0.23
	Age at 1st breeding	-0.05	-0.16	0.04	0.06	-0.04	0.17
771	Prop. Skipped breeding	-0.13	-0.25	-0.05 *	0.22	0.11	0.38 *
	Phenology (plasticity)	0.01	-0.14	0.15	0.05	-0.11	0.21
	Phenology (personnality)	-0.35	-0.48	-0.22 *	-0.19	-0.36	-0.04 *
	Mass before breeding	-0.08	-0.31	0.17	-0.16	-0.35	0.05
	Guard period length	-0.09	-0.27	0.09	-0.08	-0.23	0.08
	Prop. Partner switch	-0.12	-0.31	0.05	0.15	-0.01	0.34 .
	Prop. Nest switch	-0.07	-0.21	0.07	0.02	-0.12	0.16
	Irregularity of chick feeding	-0.12	-0.31	0.11	-0.16	-0.36	0.06
	Prop. Food given to chicks	-0.15	-0.44	0.08	0.00	-0.28	0.20
	Mass gained in incubation	0.07	-0.12	0.30	-0.04	-0.21	0.16
	Trip duration in incubation	-0.11	-0.26	0.06	-0.06	-0.21	0.11
	Mass gained chick rearing	0.23	0.03	0.41 *	0.23	0.06	0.40 *
	Prop. 2nd clutch	0.22	0.11	0.37 *	0.15	0.05	0.29 *
	Longevity	0.42	0.32	0.56 *	0.36	0.26	0.50 *
	Prop. Chicks fledged	0.63	0.50	0.72 *	0.62	0.50	0.72 *
	Nb. Breeding events	0.51	0.37	0.70 *	0.47	0.33	0.66 *