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# 1 **Material-process interactions in particle bed 3D printing and the underlying physics**

2  
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5  
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10  
11 **Keywords:** 3D Printing; Additive Manufacturing; Selective binding; Particle bed binding; Selective cement activation; Selective paste  
12 intrusion

## 13 **Abstract**

14 This paper focuses on material-process interactions in particle bed binding. After a classification of particle bed  
15 binding techniques currently available for cement-based materials, the most important material-process interactions  
16 and their underlying physics are discussed in detail for the selective cement activation (SCA) and the selective paste  
17 intrusion technique (SPI). Here, we consider the sub-processes layer application, layer compaction, fluid application,  
18 fluid penetration and after printing treatment. We show that, by varying the material and process parameters in these  
19 sub-processes, the printing process and the resulting material properties of the printed component, such as  
20 compressive strength, durability and dimensional accuracy, can be specifically controlled. Furthermore, we illustrate  
21 how these sub-processes can be both understood and described on the basis of the underlying mechanisms and  
22 physically based material models.

## 23 **1 Introduction**

24 Additive manufacturing promises the concrete industry unprecedented opportunities in terms of digitalisation and  
25 resource efficiency [1]–[10]. With the use of additive manufacturing, planning and construction methods can be  
26 fundamentally rethought. Planners will no longer be constrained by the use of system formwork but will be able to  
27 use the material only where it is structurally or functionally required, see Figure 1. This eliminates the wasteful use  
28 of materials dictated by the economic constraints of traditional construction methods. The introduction of additive  
29 manufacturing in concrete construction revises the old paradigm that material-efficient construction is uneconomical  
30 due to labour-intensive manual work. The freedom of form comes almost for free, and intelligent computer-aided  
31 design can thus potentially save valuable resources or, more generally, reduce environmental impacts [1], [8].  
32 Furthermore, as a digital manufacturing technology, additive manufacturing offers the possibility of being linked to  
33 the digital planning environment of building information modelling (BIM), [11].

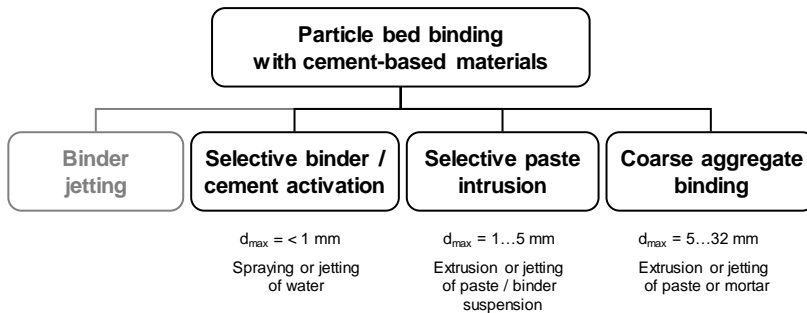


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Figure 1: Topology optimised node 3D printed with Selective Cement Activation (Manufacturing: Herding, Mai, Lowke, iBMB, TU Braunschweig, Design: Kloft, ITE, TU Braunschweig)

37 In order to fully realise these potentials, however, a fundamental understanding of these novel additive  
38 manufacturing processes is required. Much more than with conventional technologies in concrete construction,  
39 materials and processes cannot be considered separately. Instead, it is necessary to fully account for all interactions  
40 between the process and the material. This statement applies also to the additive manufacturing process of particle  
41 bed binding [12], [13], otherwise known as particle bed 3D printing.

42 The essential characteristic of particle bed binding processes is the application of a particle layer in a first process  
43 step and the subsequent selective binding of the particle layer by the local application of a fluid [14], [15]. In the  
44 context of particle bed 3D printing with cement-based materials, a classification can be made between four process  
45 variants so far, see Figure 2: (a) Selective binder activation or more specific selective cement activation, (b) Selective  
46 paste intrusion, (c) Coarse aggregate binding and (d) Binder jetting.



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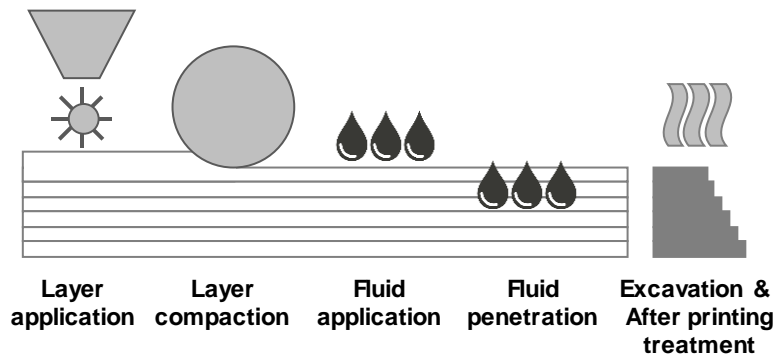
Figure 2: Extended classification of particle bed binding processes with cement-based materials from Lowke et al. [14].

49 In selective cement activation (SCA), the particle bed consists of a mixture of very fine aggregates (typically  
50 sand  $< 1 \text{ mm}$ ) and cement, which is selectively bound by local spraying or jetting of water [14]–[23],[24]. In selective  
51 paste intrusion (SPI), the particle bed consists of sand (1...5 mm) and is selectively bound by the local intrusion of a  
52 very fluid cement paste [14], [25]–[30]. The coarse aggregate binding process (CAB) is a recent development in  
53 particle bed binding. In this process variant, a coarse aggregate (typically 5...32 mm) is used for the particle bed.  
54 The coarse particle bed is then selectively bound, either by spraying mortar, as in Large Particle 3D Concrete Printing  
55 [31], or by extruding a paste, as in Aggregate-bed 3D concrete printing [32]. In contrast to SPI, the pastes or mortars  
56 are usually less fluid. It thus partially remains on the particle bed surface. When the next layer of particles is applied,  
57 it is pressed into the residual portion of the paste or mortar on the surface, which allows for a good interlayer bond

58 to be achieved. A major advantage of CAB is the use of coarse aggregates, which require significantly less cement  
 59 per volume of concrete printed. It therefore significantly reduces the carbon footprint of the material [31]. Finally,  
 60 binder jetting is a process, in which a liquid binder, typically a resin, is jetted onto a particle bed of sand and hardener.  
 61 Although this process does not directly produce a concrete component, it can be used to produce free-formed  
 62 formwork for concrete components [2], [33]. The layer height in particle bed 3D printing processes is typically equal  
 63 to or larger than the maximum particle diameter. In the case of SCA, however, a maximum particle size larger than  
 64 the layer thickness has already been used successfully with very low layer thicknesses of 0.1 mm [15].

65 In principle, a variety of application scenarios are feasible with particle bed 3D printing. However, a particular  
 66 strength of particle bed 3D printing is its ability to produce components with an exceptionally high degree of  
 67 geometrical freedom and detail. Therefore, free-formed, topology-optimised components, particularly slim  
 68 components or very detailed components are particularly suitable for the fabrication with these processes. With the  
 69 increasing availability of large-scale particle bed 3D printers, the first large-scale components have already been  
 70 produced, e.g. bridges [13], [14], [24], slabs [33], ceilings [14], [33], [34] or façade elements [35].

71 In addition to the preparation of the particle mixture and the liquid phase prior to the actual printing process, the  
 72 following sub-processes can be distinguished in particle bed binding processes, see Figure 3: (a) layer application,  
 73 (b) layer compaction as appropriate (c) fluid application, (d) fluid penetration, (e) removal of the unbound particle  
 74 bed and (f) after printing treatment.



75  
 76 Figure 3: Sub-processes in particle bed binding

77 The aforementioned particular relevance of taking into account the process-material interactions in particle bed  
 78 binding results from two circumstances. (I) the particle layer created in the first process step, must be completely  
 79 penetrated by the fluid phase in order to guarantee high mechanical and good durability-related properties, and  
 80 (II) the fluid must not move beyond the layer boundary in order to guarantee a high dimensional accuracy. With  
 81 regard to high strength, a high packing density of the particles, i.e. low porosity, must also be achieved, which  
 82 however can in turn negatively affect the fluid penetration. The properties of the printed material are thus affected  
 83 by multiple and partly opposing interactions. In addition to the material properties of the particles and the fluid  
 84 phase, there are almost all process parameters in the individual process steps that can affect the penetration of the  
 85 particle bed with the fluid phase as well as the particle bed compaction.

86 This paper focuses on the particle bed binding techniques of Selective Cement Activation and Selective Paste  
 87 Intrusion. The parameters that are decisive in the individual sub-processes of these techniques will be discussed in

88 detail with regard to their effect on the material properties of the printed component along with the underlying  
89 physical mechanisms.

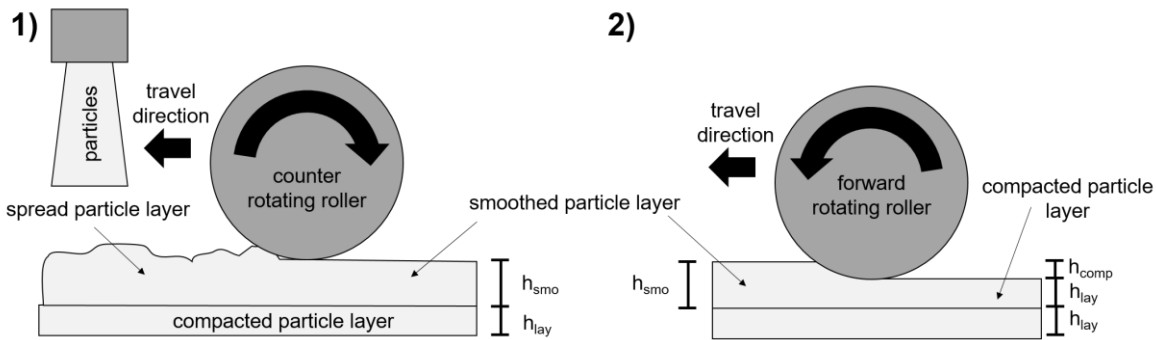
## 90 2 Selective Cement Activation

### 91 2.1 Layer application and compaction

92 Layer application and compaction are the most relevant process steps in Selective Cement Activation when it  
93 comes to the packing density of the particle bed. The packing density in the particle bed is highly relevant for the  
94 mechanical performance of printed objects since it affects the coordination number, i.e. the total number of particle  
95 contacts of a single particle, as well as the liquid intrusion into the powder bed. Moreover, information about the  
96 particle bed packing are crucial for the adjustment of a defined water-cement-ratio. This can be achieved either by  
97 varying the flow rate of the liquid  $q$  (ml/min) or the travel speed of the nozzle  $v_n$  (m/s).

98 By varying the process conditions during layer application, the packing density of the particle bed is affected.  
99 For the presented study, various compaction levels are investigated in terms of their effect on mechanical  
100 performance. In our process using a particle bed printer of Progress (Italy), we control the level of compaction by  
101 varying the compaction height  $h_{comp}$ , i.e. the difference of the layer height before and after compacting the particle  
102 bed by a forward rotating roller with a diameter of 100 mm, see Figure 4. For example  $h_{comp} = 0.3$  mm means that  
103 the particle bed is compacted from a height of 1.3 mm after spreading and smoothing the particles to the final layer  
104 height of 1.0 mm.

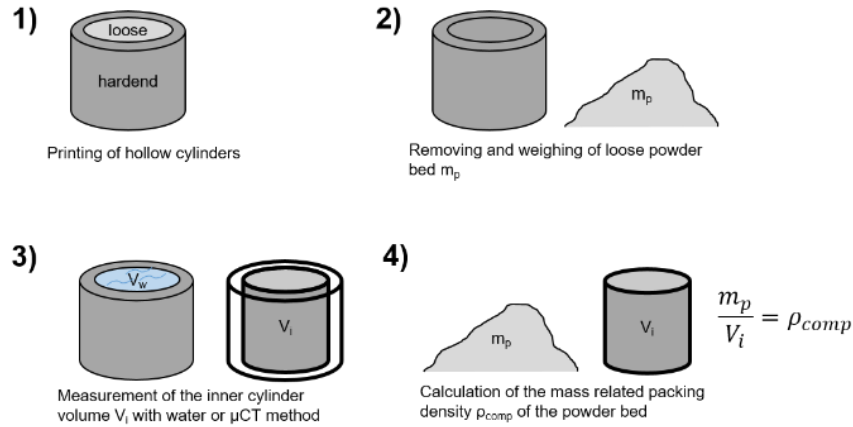
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106

107 Figure 4: Schematic illustration of particle spreading and smoothing (1) and compaction (2)

108 Since the particle bed packing differs based on the compaction process [36] the packing density has to be  
109 measured directly in the printing process. Following [37], a hollow cylinder with a base was printed, which contained  
110 non-activated, but compacted particles, see Figure 5. In the next step, the non-activated material inside the printed  
111 cylinder was carefully removed and weighted. Furthermore, in order to take geometric imperfections of the printed  
112 cylinder into account, the filling volume was determined experimentally. Therefore, two methods were used and  
113 compared. For the first method, the hollow cylinders are scanned with a  $\mu$ CT and the inner volume of the cylinder  
114 is determined by image segmentation. For the second approach, the inner volume of the cylinder is determined by  
115 coating the hollow cylinder with a very thin layer of waterproof spray paint and subsequent filling of the cylinder  
116 with water. Then, the mass of the water  $m_w$  is measured and converted into a volume.

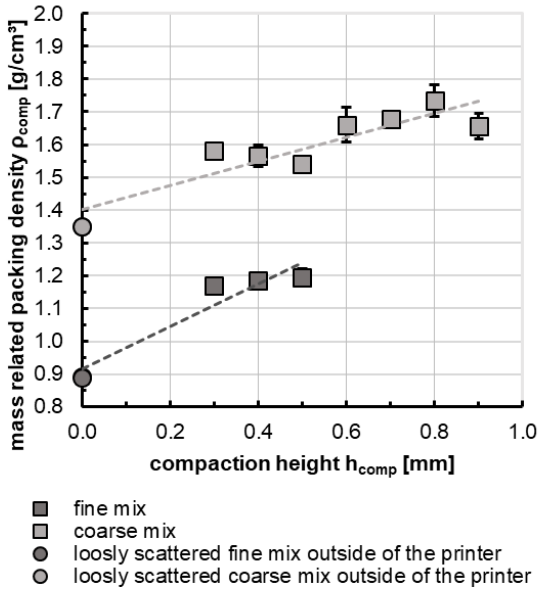


117  
118 Figure 5: Schematic procedure of the measurement of the compacted powder bed density;

119 with the inner volume of the cylinder  $V_i$  (cm<sup>3</sup>) and the measured weight of the excavated material  $m_p$  (g) the mass-  
120 related packing density of the compacted particle bed  $\rho_{comp}$  (g/cm<sup>3</sup>) is calculated as follows:

$$\rho_{comp} = \frac{m_p}{V_i} \quad \text{Eq. 1}$$

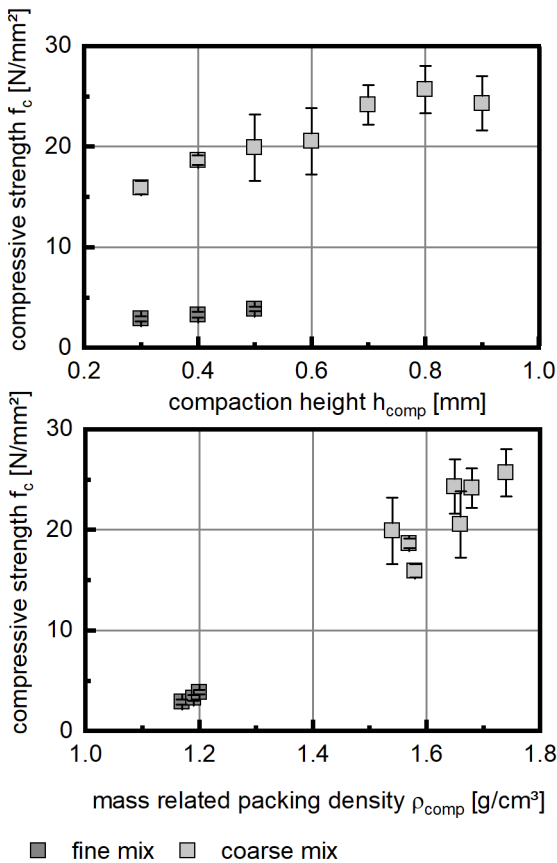
121 When evaluating the methods, it was found that the results are comparable. Therefore, we recommend the water-  
122 filling method since it is time and resource efficient.



123  
124 Figure 6: Effect of compaction height on the mass related particle bed packing density for a fine mix with  $d_{50} = 10 \mu\text{m}$  and a coarse mix with  $d_{50} = 230 \mu\text{m}$  for  
125 a layer height  $h_{lay} = 1 \text{ mm}$

126 Two particle bed mixtures containing cement ( $d_{50} = 9 \mu\text{m}$ ) as well as a fine sand ( $d_{50} = 15 \mu\text{m}$ ) or a coarse sand  
127 ( $d_{50} = 298 \mu\text{m}$ ) with volumetric mixture ratios of sand/cement of 60/40 were tested. The mean material density was  
128  $2.80 \text{ g/cm}^3$  for the fine mix and  $2.79 \text{ g/cm}^3$  for the coarse mix, respectively. The obtained packing densities of the  
129 particle bed for various compaction heights ( $h_{comp}$ ) are shown in Figure 6 for a layer height  $h_{lay} = 1 \text{ mm}$ . In addition,  
130 the loose density of the particle mixtures, i.e. without compaction, is shown, see solid circles in Figure 6. It is found  
131 that the packing density increases with increasing compaction height. In the fine mix the packing density is  
132 significantly lower than in the coarse mix. The highest packing density for the fine mix is measured at a compaction

133 height of 0.5 mm with 1.22 g/cm<sup>3</sup>. For the coarse particle bed mixture the packing density in the printer increases  
 134 with compaction height from 1.57 g/cm<sup>3</sup> for  $h_{\text{comp}} = 0.3$  mm up to 1.66 g/cm<sup>3</sup> for  $h_{\text{comp}} = 0.9$  mm. Thus, based on a  
 135 random loose packing measured outside of the printer (solid circles) the packing density increases by up to 33 % for  
 136 the fine mix and 28 % for the coarse mix as a result of the compaction process in the printer. For the fine mix, the  
 137 particle size of the cement ( $d_{50} = 9 \mu\text{m}$ ) and the particle size of the fine aggregate ( $d_{50} = 16 \mu\text{m}$ ) result in an almost  
 138 monomodal particle packing. Thus, the voids in between the sand particles cannot be filled with cement particles.  
 139 As a result, there are low packing densities [38], [39]. In the coarse mix, however, the fine cement particles fill the  
 140 voids between the coarse sand particles ( $d_{50} = 298 \mu\text{m}$ ) resulting in higher packing densities.



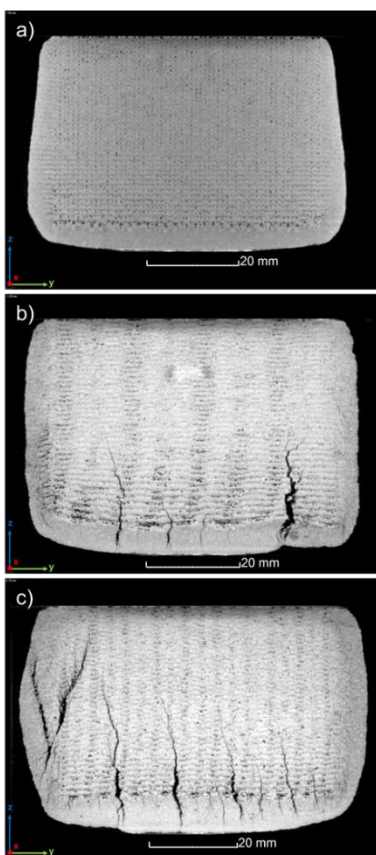
141  
 142 Figure 7: Compressive strength  $f_{c28d}$  of printed specimens depending on the compaction height and mass related packing density for  $w/c = 0.5$  and  $q = 6$  g/min

143 The effect of the level of compaction, i.e. the compaction height, on the mechanical strength of the specimens  
 144 printed with a flowrate of  $q = 6$  g/min and a  $w/c$  ratio of 0.5 is shown in Figure 7 top. Compressive strength tests  
 145 were performed according to EN 12390-3 on the remaining halves of prisms  $40 \cdot 40 \cdot 160$  mm<sup>3</sup> after a previously  
 146 performed bending tensile test. The specimens were loaded orthogonally ( $90^\circ$ ) to the orientation of the layers. Before  
 147 testing, the printed prisms were dry cut in order to enable plane parallel surfaces and to ensure an exact geometry.  
 148 For both particle bed mixtures, the compressive strength increases with increasing compaction height. While the  
 149 compressive strength for the fine mix increases slightly from 2.9 N/mm<sup>2</sup> to 3.8 N/mm<sup>2</sup>, the compressive strength of  
 150 the coarse mix is severely higher and ranges from 15.9 to 25.7 N/mm<sup>2</sup> for  $h_{\text{comp}} = 0.3$  mm and 0.8 mm, respectively.  
 151 This is a result of a denser particle bed with less porosity at high compaction levels, i.e. more particle contacts which  
 152 improve the load transfer [39]. Accordingly, the compressive strength is increasing with increasing packing density,

153 see Figure 7 bottom. It is worth noting that along with the packing density, the cement content per  $1\text{m}^3$  of printed  
154 concrete also increases. With a constant sand/cement volume ratio of 60/40 from  $515\text{ kg/m}^3_{\text{concrete}}$  at a mass-related  
155 packing density of  $1.2\text{ g/cm}^3$  to  $687\text{ kg/m}^3$  at a mass-related packing density of  $1.6\text{ g/cm}^3$ . The resulting increased  
156 volume of hardened cement paste per  $1\text{m}^3$  of printed concrete thus also plays a significant role in terms of increased  
157 strength.

158 Although an increase in compressive strength can be observed for high compaction levels, the quality of the  
159 printed specimens is quite diverse, see Figure 8. Cracks appear in the bottom of the printed specimens, increasing in  
160 number and size with increasing compaction height. This might be the result of the underlying hardening particle  
161 bed. When the cement starts to hydrate with water contact and the printed object gets stiffer in the lower layers, the  
162 high compaction on top induces a high tensile stress, which may damage the bottom layers, resulting in cracks.

163



164

165 Figure 8: CT images of cross sections of printed cylinders with  $q = 6\text{ g/min}$  and  $w/c = 0.5$ , a)  $h_{\text{comp}} = 0.3\text{ mm}$  b)  $h_{\text{comp}} = 0.6\text{ mm}$  c)  $h_{\text{comp}} = 0.9\text{ mm}$

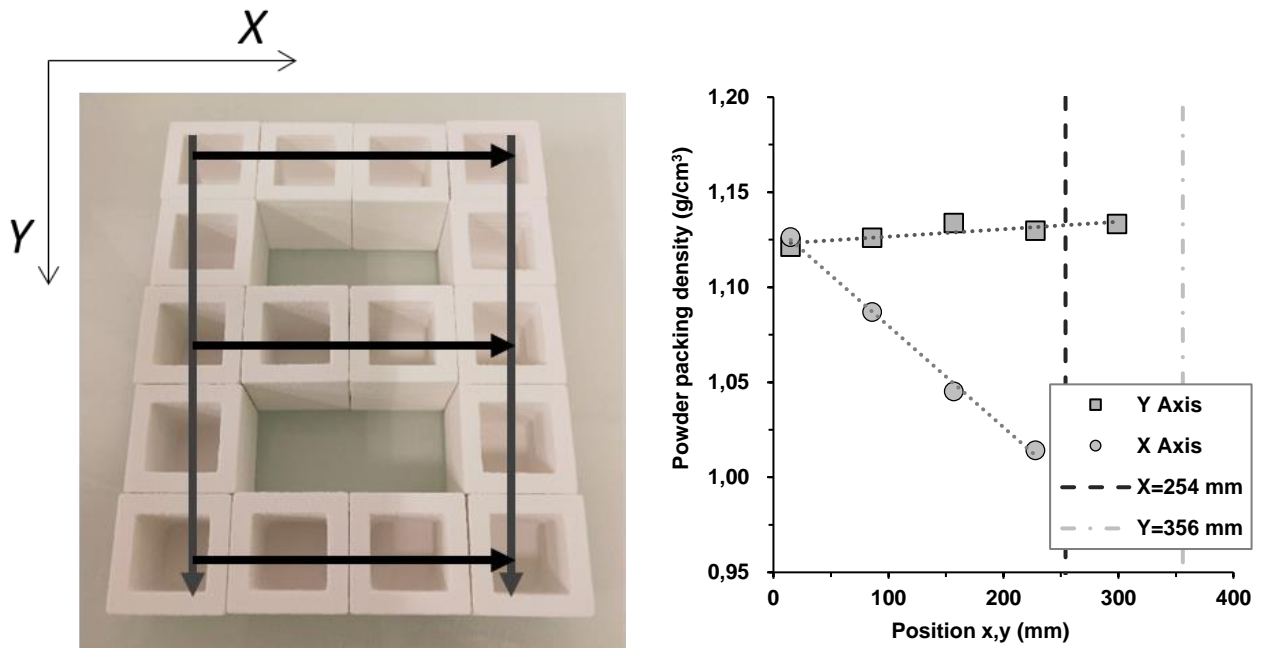
166 These results show that the compaction process is of crucial importance for the mechanical properties of the  
167 printed components. Therefore, besides the compaction intensity, the effect of the location of the particle bed inside  
168 the printing area on the compaction was investigated using a Spectrum Z510 3D printing system with cementitious  
169 plaster materials produced by the company Z-Corp [40]. The printer can print components up to  $356\text{ mm}$  (length) \*  
170  $254\text{ mm}$  (width) \*  $203\text{ mm}$  (height). Again, the packing density of the powder bed was assessed in-situ by printing  
171 hollow containers with known internal volume [41]. Here, cubic containers with an inner side length of  $20\text{ mm}$  and  
172 a wall thickness of  $5\text{ mm}$  were used, see Figure 9 left. During printing, each layer of powder was spread and  
173 compacted by a roller with a diameter of  $22\text{ mm}$  and a length of  $356\text{ mm}$ . After printing, the containers were taken



174 out, and the mass of the unbound powder was measured. The packing density of the powder was then derived  
175 according to Eq. 1.

176 It can be seen from Figure 9 right, that the packing density remains constant for the positions parallel to the roller  
177 (Y-axis). However, a decrease in powder packing density is found along the powder spreading direction (X-axis).  
178 According to the mathematical modelling in [42], the decrease in powder packing density along the powder  
179 spreading direction depends mainly on the powder excess displaced by the roller. It should be emphasised that the  
180 effect of a location-dependent packing density is related to the design of the printer and is therefore not a general  
181 phenomenon in particle bed 3D printing.

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184

185 Figure 9: In-situ measurement of the packing density of the powder-bed printing: (left) Printed cubic shells as a powder container; (right) Initial powder  
186 packing density as a function of position. Note: the X-axis (powder spreading direction) is bounded by the black dashed line; the Y axis (parallel to the roller  
187 axis) is bounded by the gray dash-dotted line.

## 188 2.2 Liquid application

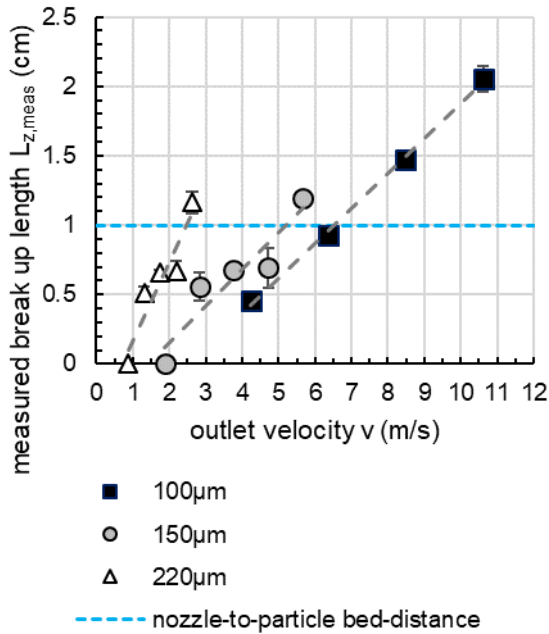
189 In general, there are the two modes of liquid application in particle bed 3D printing: (1) continuous application  
190 of the liquid as a jet or (2) application as single droplets. In the second case, the liquid jet will break up into single  
191 droplets after a so called break up length. The mode of liquid application can significantly affect the mechanical as  
192 well as the geometrical properties of the printed components. Therefore, this effect should be both, quantifiable and  
193 controllable in the printing process.

194 Since a continuous liquid jet is prone to disturbances and is therefore favoring its disintegration into detached  
195 masses (droplets), the liquid jet usually breaks up after a certain time or length [43]–[45]. For a controlled application  
196 of the liquid as a droplet or a jet, the break up length is relevant with regard to the distance between nozzle and  
197 particle bed surface. The two most important process parameters affecting the breakup length are the nozzle diameter  
198 and the liquid flow rate. Therefore, the effect of these two parameters was investigated for cannulas with a diameter

199 of 100 $\mu$ m, 150 $\mu$ m and 220 $\mu$ m and flow rates of = 2, 3, 4, 5 and 6 g/min. Cannula diameter  $d$  and liquid flow rate  $q$   
 200 can be combined in the outlet velocity  $v$ :

$$v = \frac{q}{\pi(0.5d)^2} \quad \text{Eq. 2}$$

201 First, the effect of the flow rate and nozzle diameter, i.e. the outlet velocity, was experimentally investigated  
 202 outside the 3D printer in a specifically designed test setup. In these experiments, the break up length was obtained  
 203 by using a highspeed camera (Megaspeed, 2000 frames per second) and the image analysis software IC measure  
 204 (The Imaging Source Europe, Bremen). The resulting break up length depending on the nozzle diameter and outlet  
 205 velocities are shown in Figure 10. For the investigated range, the break up length varies between 0 cm for the lowest  
 206 flow rate (150  $\mu$ m and 220  $\mu$ m, 2 g/min corresponding to 1.9 m/s and 0.9 m/s) and more than 2 cm for the smallest  
 207 nozzle with the highest flow rate (100  $\mu$ m, 5 g/min corresponding to 10.6 m/s). Two effects can be observed: (a) At  
 208 constant cannula diameter, an increase in outlet velocity correlates linearly with an increase in break up length and  
 209 (b) At constant outlet velocity, the larger the nozzle diameter, the higher the break up length.



210  
 211 Figure 10: Break up length over outlet velocity for various nozzle diameters

212 The break up length  $L_Z$  can be approximated by a semi empirical-equation developed by Goldin et al. [46]. This  
 213 equation enables to predict the break up length of a Newtonian liquid jet based on Rayleigh's linear stability theory  
 214 [45] jet without induced wind:

$$L_Z = 12d[\sqrt{W_e} + 3\frac{W_e}{R_e}] \quad \text{Eq. 3}$$

215 With  $W_e$  (-) being the Weber number calculated with the density  $\rho$  (kg/m<sup>3</sup>), the velocity  $v$  (m/s), the diameter  $d$   
 216 (m) and the surface tension  $\gamma$  (N/m) according to:

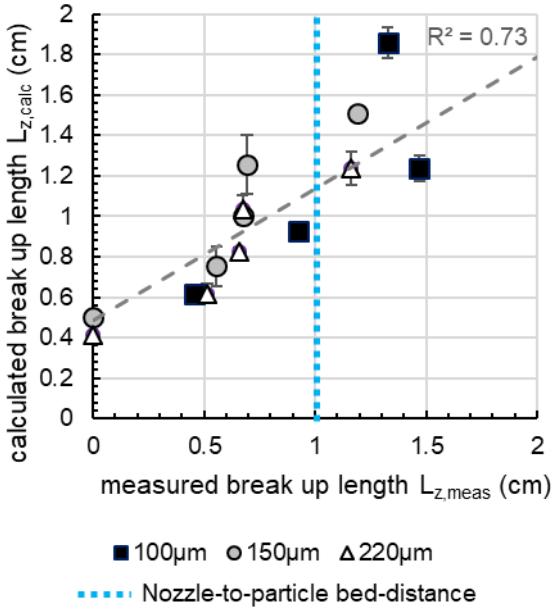
$$W_e = \frac{\rho v^2 d}{\gamma} \quad \text{Eq. 4}$$

217 The Reynolds number  $R_e$  (-) is additionally considering the viscosity  $\eta$  and is computed from:

$$R_e = \frac{\rho v d}{\eta}$$

Eq. 5

218 Based on Eq. 3 the break up length is calculated and compared to the measured break up length, Figure 11. As it is  
 219 shown with the dashed line, in general, a good correlation of the calculated and measured break up length is  
 220 prevalent, meaning that the relevant parameters are considered in the formula. However, the calculated break up  
 221 length overestimates the measured break up length by 15% in average for the obtained data. Comparable trends were  
 222 mentioned in [44].



223

224

Figure 11: Comparison of calculated and measured break up length for nozzle diameters of 100 $\mu\text{m}$ , 150 $\mu\text{m}$  and 220 $\mu\text{m}$ .

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In order to investigate the effect of the flow rate and the resulting mode of liquid application on the strength, specimens were produced in the 3D printer with a corresponding variation of the flow rate (2, 3, 4, 6, 9 g/min) using a nozzle diameter of 100  $\mu\text{m}$ , see Figure 12. It is shown that an increase in flow rate from 2 g/min to 9 g/min increases the compressive strength of the printed objects from 14.8 MPa to 20.7 MPa. A particularly strong increase in compressive strength is observed when changing the liquid application regime from droplet to jet. The possible mechanisms underlying this are as follows:

231

232

(a) the jet enables a higher penetration depth of liquid in the particle bed, resulting in more homogenous liquid distribution within the particle bed and

233

234

(b) the particles are rearranged by the jet, resulting in a rougher surface of the particle bed. This roughness generates a higher mechanical interlocking between the layers, i.e. a higher interlayer bonding. This effect can be seen on the printed object's surface roughness, as it is exemplary shown for 2, 4 and 6 g/min in Figure 13. Here, the roughness of the printed surface was determined using a digital optical microscope (Keyence, VHX-2000D, magnification Z20x100). The higher the flow rates, the rougher the topography.

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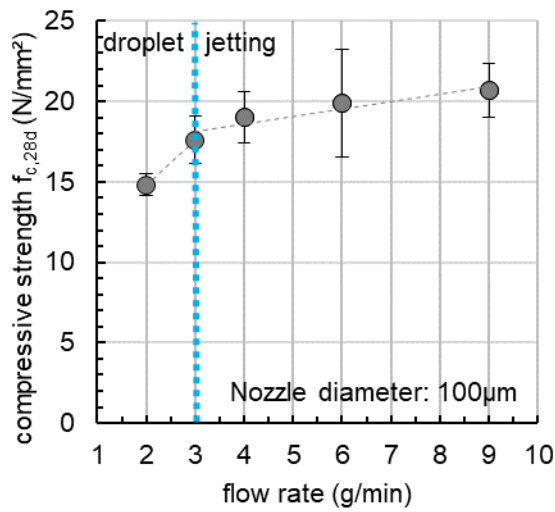
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Thus, in order to ensure the liquid application as a jet for wide range of flow rates on the particle bed, smaller nozzle diameters are desirable.



240

241 Figure 12: Effect of outlet velocity on compressive strength of printed specimen with a nozzle diameter of 100  $\mu\text{m}$

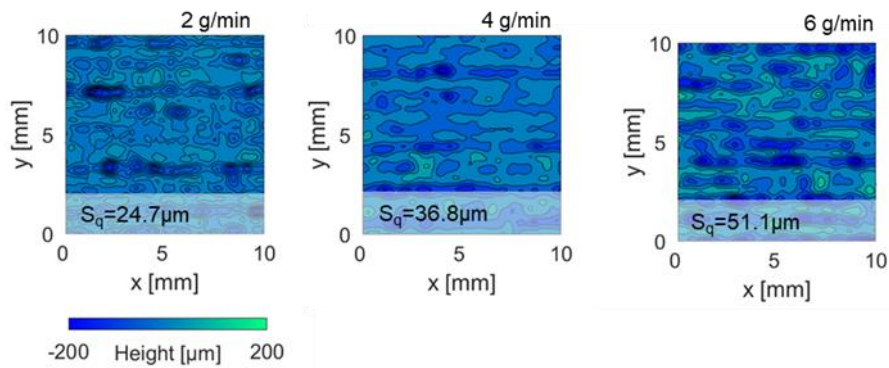


Figure 13: Surface contour plot from the top layer of specimen printed with 2g/min (left), 4g/min (middle) and 6g/min (rechts) with a nozzle diameter of 100 $\mu\text{m}$  and corresponding root mean square roughness  $S_q$  according to DIN EN ISO 25178-2

242

243 Besides the effect of the liquid application mode on the mechanical properties, it can also affect the dimensional  
 244 accuracy. In this context, a continuous jet is targeted. In contrast to individually deposited (dripped) droplets, a jet  
 245 enables a more precise liquid application onto the particle bed and thus a higher dimensional accuracy, see Figure  
 246 14.



247

248 Figure 14: Comparison of printed cylinders (diameter: 60mm, height: 40mm) with droplet and liquid jet application

249

## 250 2.3 Liquid penetration in particle packings

### 251 2.3.1 Physics

252 In the Selective Cement Activation technique (SCA) the particle bed consists of fine aggregates, cement and  
253 where necessary admixtures. After liquid is applied, it is transported in the pores in between the particles. For a  
254 homogeneous hydration reaction within a layer and a good bond between the layers, the liquid has to fully  
255 penetrate the individual layer of the particle bed. However, if too much liquid is used, it could spread beyond the  
256 target dimensions of the designated geometry, which would result in a low dimensional accuracy. Therefore, it is of  
257 merely importance to know the underlying mechanisms for the liquid intrusion into the particle bed.

258 In order to describe the liquid intrusion into the particle bed, the pores may be considered as a bundle of vertical  
259 capillaries. According to Washburn [47], the transported liquid volume is then equivalent to the intruded geometric  
260 pore space of  $n$  capillaries:

$$V = \pi \sum_{i=1}^n r^2 l \quad \text{Eq. 6}$$

261 With  $r$  being the pore radius and  $l$  being the penetration length. In a particle bed the pore diameter is often  
262 expressed as a function of the particle diameter [48]–[50]. Depending on the packing density, the particle size  
263 distribution and shape, varying relations may be applied for estimating the pore radius, ranging from  $0.2r_{particle} \leq$   
264  $r \leq r_{particle}$ .

265 The volume flow  $\dot{V}$  within these pores can be described in several ways, e.g. according to Darcy's law [51] or  
266 Hagen-Poiseuille's law [52]–[55]. The latter is expressed as follows and will be used further:

$$\dot{V} = \frac{dV}{dt} = \pi r^2 \frac{dl}{dt} = \frac{\pi r^4 \Delta p}{8\mu l(t)} \quad \text{Eq. 7}$$

267 With  $V$  being the intruding volume in a cylindrical capillary with radius  $r$ ,  $t$  being the time,  $\mu$  being the dynamic  
268 viscosity of the liquid and  $\Delta p$  being the driving pressure difference. The total pressure difference comprises three  
269 single pressure terms, the unbalanced atmospheric pressure  $p_{atm}$ , the hydrostatic pressure  $p_{hyd}$  and the capillary  
270 pressure  $p_{cap}$ :

$$\Delta p = \Delta p_{atm} + \Delta p_{hyd} + \Delta p_{cap} \quad \text{Eq. 8}$$

271 For the boundary conditions in SCA, i.e. small pore radii and low penetration lengths with small liquid volumes,  
272 the atmospheric and hydrostatic pressure differences are smaller than the capillary pressure difference ( $\Delta p_{atm} +$   
273  $\Delta p_{hyd} \ll \Delta p_{cap}$ ). Therefore, in simplified terms, the capillary pressure difference can be considered to be the main  
274 driving pressure, thus, making the Laplace-Equation become valid:

$$\Delta p \approx \Delta p_{cap} = \frac{2\gamma \cos\vartheta}{r} \quad \text{Eq. 9}$$

275 With  $\gamma$  being the surface tension of the air/liquid interface and  $\vartheta$  being the contact angle between the liquid and  
276 solid (particle). However, it needs to be pointed out that hydrostatic pressure may increase when printing several  
277 layers and could therefore become relevant when printing large objects and for fast vertical building rates.

278 When inserting Eq. 9, Eq. 7 becomes:

$$\frac{dl}{dt} = \frac{r\gamma\cos\vartheta}{4\mu l(t)} \rightarrow l(t)dl = \frac{r\gamma\cos\vartheta}{4\mu} \cdot dt \quad \text{Eq. 10}$$

279 After integration, the time depending penetration length  $l(t)$  can be estimated with:

$$l(t) = \sqrt{\frac{r\gamma\cos\vartheta}{2\mu} \cdot t} \quad \text{Eq. 11}$$

280 Since the particle bed can also be considered as a porous body [47], the penetration length can be also estimated  
281 based on the permeability  $K$  [52]:

$$l(t) = \sqrt{\frac{\Delta p_{cap} K t}{2\mu}} \quad \text{Eq. 12}$$

282  
283 With Eq. 11 and Eq. 12 the main influencing factors on liquid penetration velocity in a particle bed can be derived:

- 284 • The pore size radius, i.e. particle size: The smaller the pores, the lower the penetration velocity. When  
285 assuming a correlation between pore radius and particle size distribution, finer particle systems are  
286 accompanied with lower intrusion velocities. Since the permeability  $K$  is i. a. linked to the pore size radius,  
287 compare e.g. [57], [58], the lower penetration velocity occurs also for particle beds with a lower permeability.
- 288 • Viscosity of the liquid: High liquid viscosities are accompanied with a lower penetration velocity.
- 289 • The contact angle between solid and liquid. The higher the contact angle, the lower the penetration velocity.

### 290 2.3.2 Liquid penetration with infinite water reservoir - Effect of particle size and particle reactivity

291 In order to experimentally prove the effect of particle size and reactivity, a setup was used to quantify capillary  
292 penetration in the presence of an infinite water reservoir. Therefore, particles are filled in a glass tube (diameter  $d_t$ =  
293 23.5 mm), see Figure 14 middle top. The top of the tube is open, the bottom of the tube is covered with a lid with  
294 three holes (diameter  $d$  = 6 mm). A filter paper is used in order to prevent the particles from leaving the tube. The  
295 bottom of the glass tube is then put into a water reservoir and the subsequent fluid penetration from bottom to top  
296 over time is recorded starting from the water surface. The water volume in the reservoir was significantly larger than  
297 the pore volume of the particle bed in the glass tube, therefore the availability of water can be considered infinite.  
298 Although, the boundary conditions of the experiment do not directly describe the conditions during particle bed 3D  
299 printing - where a locally very limited applied water volume is prevalent - the described experimental setup provides  
300 valuable information on capillary flow within the particle bed.

301 Figure 15 left shows the experimentally determined penetration length over time  $l(t)$  in a particle bed of different  
302 particle mixtures of aggregate ( $d_{50} = 298 \mu\text{m}$ ) and cement ( $d_{50} = 9 \mu\text{m}$ ) or ground limestone ( $d_{50} = 11 \mu\text{m}$ ), compare  
303 supplementary material for particle size distribution. In order to vary the fineness of the mixture, we varied the  
304 amount of cement and ground limestone in the particle bed. A volumetric mix of aggregate/cement and  
305 aggregate/limestone of 80/40 (coarse,  $d_{50} = 276 \mu\text{m}$ ) and 60/40 (fine,  $d_{50} = 230 \mu\text{m}$ ) was used. When comparing the  
306 coarse particle beds (black symbols) with the fine particle beds (white symbols), a reduced penetration length over  
307 time is measured for the finer particle beds. Moreover, Figure 15 left illustrates that the particle beds containing

308 cement, i.e. a reactive component (triangular symbols) have a significantly lower penetration length over time than  
 309 the non-reactive particle mixtures (squared symbols).

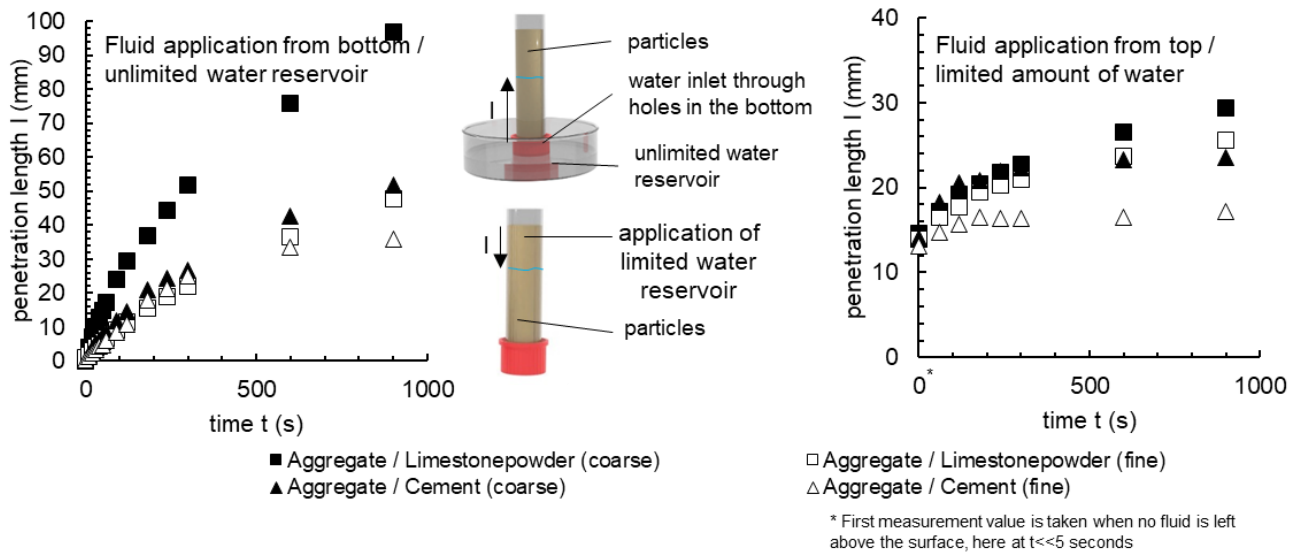


Figure 15: Measured water intrusion from bottom to top in a particle bed when an unlimited amount of liquid is prevalent (left) and from top to bottom with an limited amount of water (right) for two non-reactive (Aggregate/Limestone powder) and two reactive (Aggregate/cement) particle beds varying in their fineness (coarse ( $d_{50}=276\mu\text{m}$ )/fine ( $d_{50}=230\mu\text{m}$ ))

### 310 2.3.3 Liquid penetration with limited water volume

311 At this point, however, it needs to be emphasized, that Eq. 11 is only valid for unlimited liquid reservoirs.  
 312 However, in the printer only a limited amount of water is applied onto the particle bed in order to meet requirements  
 313 regarding the water/cement-ratio per printed layer. Consequently, the effect of particle size and reactivity of the  
 314 particles on liquid penetration was further investigated, also for a limited volume of water. Therefore, the glass tube  
 315 (compare section 2.3.2) is filled with particles and 1.5 mL of fluid is homogeneously distributed on the particle's  
 316 surface, Figure 15 middle bottom. The subsequent time depending fluid penetration process from top to bottom is  
 317 recorded and evaluated over time. Thus, this experimental setup mimics the boundary conditions of water penetration  
 318 during particle bed 3D printing.

319 The results clearly show a slower liquid penetration for a limited water volume, compare Figure 15 left (fully  
 320 saturated pores) and right (unsaturated pores). The limited water volume results in unsaturated pores and a localized  
 321 flow [59]. After the limited volume of water has completely penetrated the particle bed within a few seconds  
 322 (compare section 2.3.4 and Figure 16), a "redistribution" of the water occurs with the result that the originally filled  
 323 pores are drained. Due to the locally varying pore radii in the particle bed, pressure differences arise between the  
 324 capillaries, compare Eq. 9. This causes a non-homogeneous intrusion behaviour of the liquid. If not all pores are  
 325 fully saturated, the capillary flow is reduced compared to fully saturated pores. This finally results in a slower liquid  
 326 penetration.

327 Thus, the limited amount of liquid as well as the presence of a reactive component determine liquid penetration  
 328 behaviour into the particle bed significantly. This is shown in more detail in [60]–[62]. There, more precise  
 329 modelling approaches are developed for the liquid penetration process into particle beds. Nevertheless, the  
 330 importance of the parameters described before remains unchanged.

### 331 2.3.4 Liquid penetration in particle packings – Effect of cellulose ethers

332 Dimensional accuracy remains one of the primary concerns in particle bed binding techniques. As the liquid is  
333 free to spread in the particle bed, the final net geometry and surface roughness may be of relevance [14], [17], [27].  
334 Ideally, the liquid is expected to move over a pre-determined and controlled length [14], [27], [40], [63]. Recent  
335 results show an effective way to ensure a controlled geometry of the resulting printed objects, incorporating  
336 thickeners for liquids such as cellulose ethers in the particle bed [61]. A one-dimensional penetration experiment  
337 was designed, using glass tubes (inner diameter = 10 mm) with cement powder packed inside (height = 100 mm)  
338 and distilled water (0.4 ml) added on the top of the particle bed. A digital camera was used to record the penetration  
339 length. The water penetration length was measured with time for various hydroxyethoxy methoxy cellulose (HEMC)  
340 mass dosages in a pure cement powder, see Figure 16. The HEMC used has a molar mass of 370,000 g/mol and a  
341 density of 1,200 kg/m<sup>3</sup>. The degree of substitution and the molar substitution of HEMC are 1.5 and 0.20, respectively  
342 [64].

#### 343 2.3.4.1 Polymer free and low polymer concentration

344 **Saturated Penetration:** For cement powder without or with low HEMC dosage (see 0 wt.% and 1 wt.% HEMC  
345 in Figure 16), water penetration length initially increases with the square root of time for around 1 min and slows  
346 down sharply afterwards. This transition occurs as the initial water on top of the sample has fully penetrated the  
347 cement packing. This situation corresponds to the so-called “saturation penetration length” assuming that water has  
348 fully saturated the porosity of the powder bed, see the fine dash line in Figure 16. Before reaching the saturation  
349 penetration length, i.e. as long as there is a reservoir of water above the surface, capillary-driven water penetration  
350 in the particle bed shall follow Lucas-Washburn law according to Eq. 11, [47], compare section 2.3.1. As previously  
351 mentioned, the penetration of water in a fine porous media is mainly capillary-driven since the capillary pressure  
352  $p_{cap}$  is much higher than the hydrostatic pressure:  $p_{cap} \gg \rho gH$ . To evaluate this condition, a good approximation  
353 of  $p_{cap}$  is  $2\gamma\cos\theta/r$  (see Eq. 9). This condition holds true for most fine particle beds and the initial water penetration  
354 length in the particle bed scales therefore with the square root of time, see Figure 16.

355 **Unsaturated Penetration:** When there is no water left above the surface, the pores of the particle bed are expected  
356 to de-saturate as water penetration extends deeper in the powder. If the level of water saturation is assumed to remain  
357 uniform, the particle packing de-saturates homogeneously. The flow induced by capillary penetration strongly  
358 depends on permeability, which decreases with liquid saturation [65]. Thus, water penetration kinetics are expected  
359 to slow down sharply as the water reservoir above the cement packing vanishes. Moreover, the HEMC concentration  
360 in water should increase with the penetration length as the polymer gradually dissolves in the liquid, see 1 wt.%  
361 HEMC in Figure 16. This process increases the liquid viscosity and thus slows down the penetration. At longer time  
362 scales, as the HEMC concentration in the water further increases, the liquid becomes non-Newtonian and penetration  
363 fully stops (see next section).



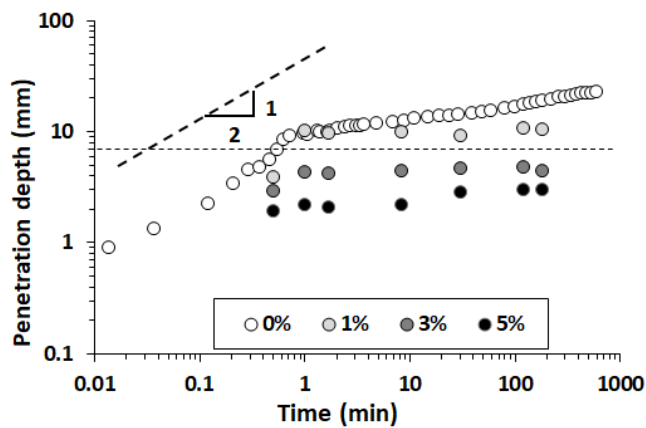


Figure 16. Penetration length as a function of time for particle beds containing different HEMC dosages. The numbers in the legend stand for the mass ratios of HEMC to cement [wt.%], the bold dash lines stand for the scaling relationship between penetration depth and time, and the fine dash line stands for the saturation penetration length after which the system is unsaturated, adapted from [61].

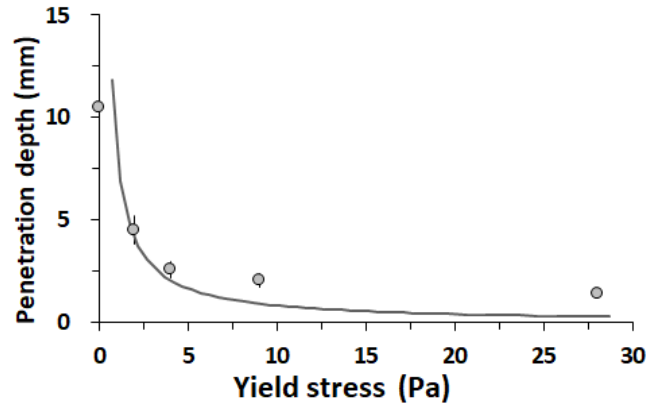


Figure 17. Experimental penetration length for penetrating liquid with a yield stress, compared to the theoretical penetration length adapted from [27].

#### 364 2.3.4.2 High polymer concentration

365 For particle beds with high polymer dosage (3 wt.% and 5 wt.% HEMC in Figure 16), the penetration length  
 366 stabilizes after a couple of minutes below the saturation penetration length. Thus, some water remains above the  
 367 particle bed surface. As the liquid penetration stops early, this suggests that the penetrating liquid turns into a non-  
 368 Newtonian liquid with a yield stress able to resist flow. According to [27], penetration is expected to stop as the  
 369 yield stress of the penetrating liquid equilibrates the capillary gradient. Moreover, it should be noted that, for powder  
 370 mixes with high HEMC dosages of 3 to 5 wt.%, the volume ratio of HEMC in the powder is around 8 to 13 vol.%.  
 371 Given the constant packing density of powder mixes of around 30%, the amount of HEMC corresponds to 2.4 to  
 372 3.9 vol.% in the overall packing volume. When HEMC fully dissolves, the porosity increases thus by 2.4 to 3.9  
 373 vol.%, which can be neglected.

374 Furthermore, Figure 17 shows that the penetration length decreases with the yield stress. The predicted  
 375 penetration depth is in good agreement with the measured depth for penetrating liquid with low yield stress.  
 376 However, the experimental depth is higher than the predictive values for high HEMC dosage. This may be due to an  
 377 over-estimation of the effective HEMC content in the penetrating liquid. Indeed, the cellulose ether dissolution, on  
 378 such short time scales, may not be complete and the effective HEMC dosage shall then be lower than expected. This,  
 379 in turn, reduces the effective yield stress of the liquid [66], [67].

380 Nevertheless, it appears clearly that, for systems where penetration depth stays in the saturated regime, the  
 381 stabilized penetration depth decreases with the yield stress of the penetrating liquid. Higher effective cellulose  
 382 concentration in the penetrating liquid leads to a better geometry control of the printed products.

#### 383 2.3.5 Effect of cellulose ether on dimensional accuracy and strength

384 In order to determine the effect of methyl cellulose on the macroscopic properties of 3D printed elements, particle  
 385 beds containing various amount of methyl hydroxyethyl cellulose in the dry mix (0, 0.5, 1, 1.5, 2, 2.5 wt.%) were  
 386 investigated. The mechanical performance, i.e. compressive strength, was determined on halves of prisms with a

387 geometry of 40×40×160 mm<sup>3</sup>. To quantify the dimensional accuracy of printed objects, a shape index  $S_{3D}$  was  
388 determined, which compares the actually printed volume  $V_{act}$  [mm<sup>3</sup>] to the target volume  $V_{tar}$  [mm<sup>3</sup>]:

$$S_{3D} = \frac{V_{act}}{V_{tar}} \quad \text{Eq. 13}$$

389 If the actual fabricated geometry corresponds exactly to the designed dimensions, the shape index is 1. The higher  
390 the difference between the actual and design geometry, the higher the shape index and the lower the shape accuracy.  
391 Here, cylinders with a design diameter of 60 mm and a height of 40 mm were fabricated. The actual volume of the  
392 printed object was obtained by CT, as also described in [15].

393 The effect of methyl cellulose on the mechanical properties is shown in Figure 18. The addition of small amounts  
394 of methyl cellulose, increases the compressive strength of the printed specimens from 14.0 MPa (0 wt.% cellulose)  
395 up to 20.5 MPa (1.0 wt.% cellulose). However, adding more methyl cellulose reduces the compressive strength, as  
396 shown by the samples with 1.5 to 2.5 wt.%. The increase in methyl cellulose is accompanied by a linear decrease in  
397 density of the printed elements from 1.73kg/dm<sup>3</sup> for 0 wt.% cellulose to 1.55kg/dm<sup>3</sup> for 2.5 wt.% cellulose.

398 The increase in compressive strength at low dosages can be attributed to a temporary absorption and subsequent  
399 release of water, which may increase the degree of hydration in the vicinity of the methyl cellulose inclusions [15].  
400 A delayed and slow provision of water to the cement in the particle bed could reduce the amount of evaporating  
401 water. This effect increases with an increase in methyl cellulose. However, at higher dosages of methyl cellulose, an  
402 antagonistic effect can also be observed. This can be attributed to a reduction in density with increasing methyl  
403 cellulose dosage and thus an increase in the porosity of the printed object. In addition, a reduction in the penetration  
404 length of the water (compare Figure 16) and an associated poor layer bonding could also contribute to the reduction  
405 in compressive strength at high dosages. Finally, it is also important that cellulose can cause a delay of cement  
406 hydration, which results in a delayed setting and hardening [68]–[74].

407 With regard to dimensional accuracy, an increase in methyl cellulose decreases the Shape Index  $S_{3D}$ , i.e. increases  
408 the shape accuracy of printed objects, Figure 19. For methyl cellulose dosages up to 1.5 wt.% a decrease in Shape  
409 Index from 1.45 to 1.18 is observed. A further increase in methyl cellulose does not lead to a further decrease in  
410 Shape Index. An altered liquid penetration behavior in the particle bed containing methyl cellulose may occur mainly  
411 due to the water retention in the methyl cellulose inclusions. When water reaches the cellulose inclusion, it is partially  
412 and temporarily retained in the swelling methyl cellulose molecules. The more methyl cellulose available, the more  
413 water is stored. However, this water retention is a time depending process and therefore the Shape Index does not  
414 decrease further, if a certain cellulose dosage threshold is exceeded.

415 To summarize, the addition of methyl cellulose is an effective tool for printing objects with high dimensional  
416 accuracy and good mechanical performance. However, too high cellulose dosages do not lead to the desired effect,  
417 i.e. the compressive strength decreases without further decreasing the Shape Index. Therefore, a moderate amount -  
418 in this example 1-1.5 wt.% - is recommended for addition to the particle bed.

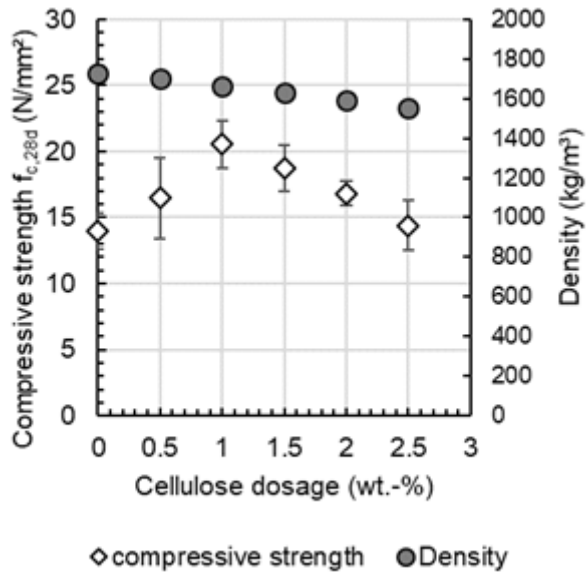


Figure 18. Effect of methyl cellulose dosage on compressive strength and on the density of printed objects

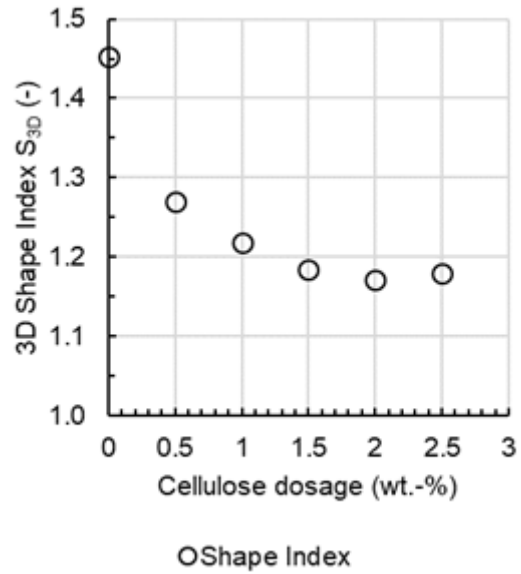


Figure 19. Effect of methyl cellulose dosage on Shape Index  $S_{3D}$  of printed objects

## 419 2.4 After finishing the printing process

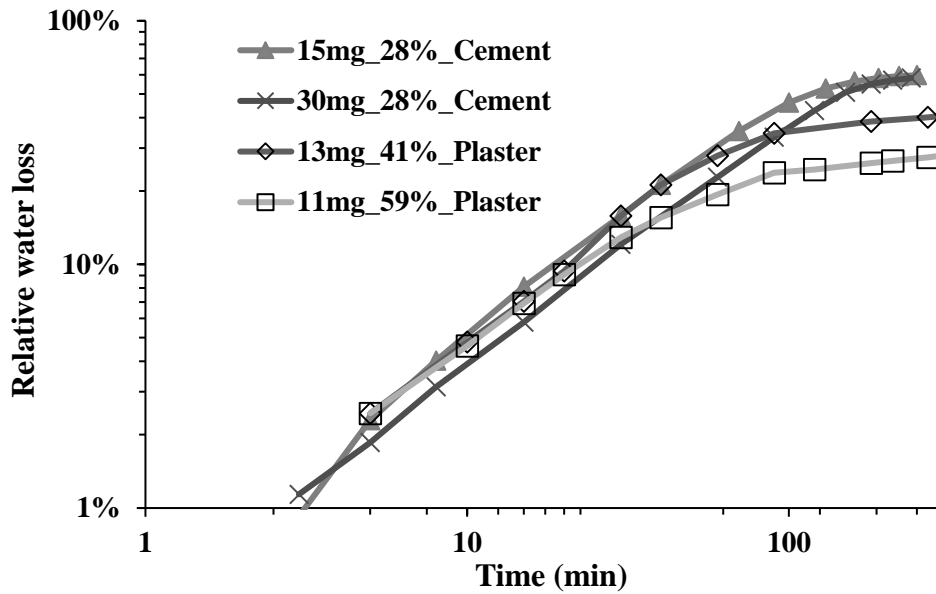
### 420 2.4.1.1 Water evaporation

421 Evaporation inevitably occurs during and after the printing process as long as the printed elements are exposed  
 422 to unsaturated air. Drying will reduce the final water content available for hydration of the powder bed. Figure 20  
 423 shows the relative mass loss of distilled water in a plaster (Z-Corp) and a cement (CEM I cement) particle bed in the  
 424 condition of  $22 \pm 2^\circ\text{C}$  and 35 % relative humidity. In this experiment, 12 to 15 water droplets were placed onto the  
 425 particle bed and the mass was recorded every 5 seconds.

426 For plaster and cement particle beds, the relative water loss increases linearly with time until most of the water  
 427 has evaporated, then the last fraction of water dries slowly or remains bound by hydration reactions. The setting time  
 428 does appear as a noticeable transition time in the drying process, i.e. the slope of the curve changes as it can be seen  
 429 at approx. 30 min for plaster and approx.150 min for cement, [75]. At early age, the mass loss increases with the  
 430 initial mass. Indeed, we suggest that the particle bed will lose water proportionally to the wetted surface, which  
 431 increases with the mass, i.e. size of the water droplet, and the packing density of the particle bed. Therefore, water  
 432 loss may be significant during printing if the time interval between water jetting on the consecutive layers is too  
 433 long, and the drying rate is too high due to low relative humidity or high temperature.

434

435



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437

438

Figure 20. Relative water loss (i.e., the water loss divided by the initial water mass) as a function of evaporation time. Note: in the legend, the first value stands for the average mass of the droplets, the second value stands for the packing density of the powder-bed, the powder type is indicated in the third term.

439

#### 2.4.1.2 After printing treatment

440

In the light of the significant evaporation during and after the printing process as well as the very low water content necessary for high dimensional accuracy, it is obvious that an after printing treatment by curing can be a useful option to increase the compressive strength, as shown for example by Xia et al. [16].

443

Figure 21 shows a comparison of the compressive strength for specimens with a w/c-ratio of 0.3 and 0.5, cured 7 d in tap water and a subsequently stored for 21 days at 20 °C and 65 % relative humidity, and specimens without any after printing treatment, i.e. stored for 28d at 20 °C and 65 % relative humidity immediately after the printing process. The after printing treatment, i.e. tap water curing, drastically increases the compressive strength by about 250 % to 25.9 N/mm<sup>2</sup> and 200 % to 28.5 N/mm<sup>2</sup> for specimens with a w/c-ratio of 0.3 and 0.5, respectively. Calorimetry measurements in Figure 22 show the remaining potential for hydration of the uncured specimens. In the experiment an uncured printed specimen, i.e. without after printing treatment, with a w/c-ratio of 0.5 and a specimen of the same w/c-ratio cured for 1 day in tap water were crushed to a particle size of approximately 0.1 mm and mixed with additional water in the measuring cell. The additional water content was chosen in such a way that a total water content of water applied during the printing process and water added for the calorimetry measurement would lead to a theoretical w/c-ratio of 3.33, which is significantly higher than would be necessary for the complete hydration of the unhydrated cement present in the sample. As expected, a much higher heat flow and generated heat can be observed for the specimen without after printing treatment. The difference between the curve of the uncured and the cured specimen can be interpreted as a kind of “after printing hydration potential”. Thus, looking at the difference in compressive strength of cured and uncured specimens water curing might be a promising way to utilise the full potential of SCA. For the production of components with thin cross-sections, this concept offers the possibility of

458

459 printing with a low w/c ratio and the associated high dimensional accuracy and then significantly improving the  
 460 mechanical properties by after printing treatment.  
 461

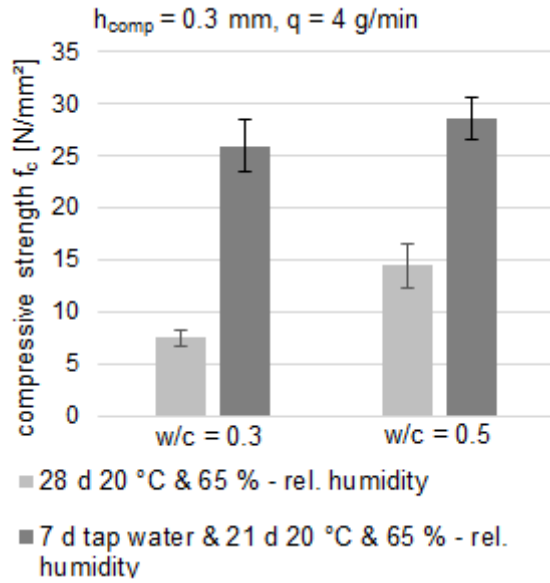


Figure 21: Compressive strength with and without after printing treatment

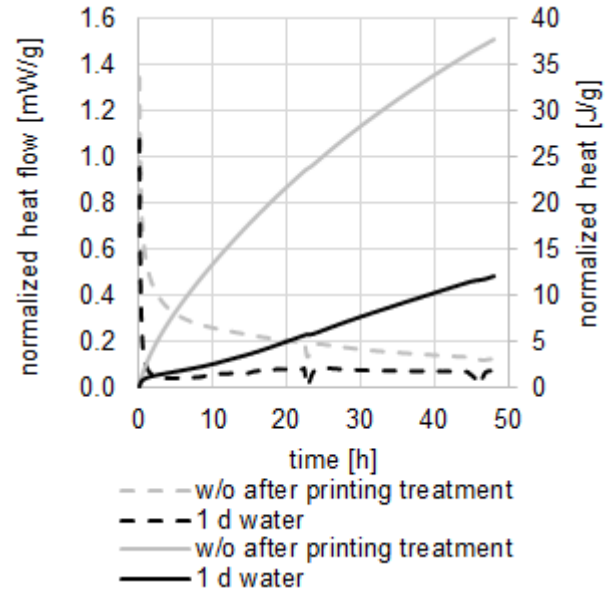


Figure 22: Calorimetry results of a specimen without after printing treatment and a specimen stored for 1d in tap water

### 462 3 Selective Paste Intrusion

#### 463 3.1 Fluid application and penetration into the particle bed

##### 464 3.1.1 Phenomenological effects and fundamental physics

465 In Selective Paste Intrusion, the typical size of the particles of the bed is of the order of around one millimetre.  
 466 Therefore, the order of magnitude of the particle's mass is 1.4 mg. The particle stability is ensured by internal friction  
 467 and the force needed to remove the particle can be computed assuming that the particle obeys to the Coulomb law  
 468 and equals  $m_{particle} \cdot g \cdot \tan\phi$  with  $\phi$  being the internal friction angle of the particle bed (around 30°). In order to  
 469 ensure that the particle bed is not shifted by the fluid deposition, this force has to be compared to the load induced  
 470 by the deposition of the fluid. This latter force is induced by the kinetic energy of the cement paste hitting the particle  
 471 bed and with  $\rho_{fluid} \cdot V_{fluid} \cdot Q_{fluid}$  (where  $r_{fluid}$  (m) is the fluid density,  $V_{fluid}$  (m/s) is the fluid velocity and  $Q_{fluid}$  (m<sup>3</sup>/s)  
 472 is the fluid flow rate).

473 Contrarily to SCA, where a Newtonian liquid is applied, SPI uses very flowable cement pastes that displays a  
 474 visco-plastic behaviour with a yield stress. The rheological behaviour of the penetrating fluid, i.e. the cementitious  
 475 suspension, can be modelled using the Bingham model or the Herschel-Bulkley (HB) model [26], [28], [29], [76].  
 476 The constitutive equation of the latter is commonly written as:

$$\tau = \tau_0 + k \dot{\gamma}^n \quad \text{Eq. 14}$$

477 where  $\tau_0$  (Pa) is the yield stress of the material,  $\dot{\gamma}$  ( $s^{-1}$ ) the shear rate,  $k$  ( $Pa \cdot s^{-n}$ ) the consistency and  $n$  (-) the flow  
 478 index of the HB model. Please note that the Bingham model is a particular case of the HB model, when  $n = 1$ . The  
 479 driving principle of the SPI process is to rely on the material's yield stress to obtain the targeted penetration of the  
 480 fluid. This means that the yield stress of the cement paste has to be fine-tuned in order to counterbalance the driving  
 481 intrusion force and ensure that the penetration depth of the cement paste is equal to the height of the particle bed  
 482 layers.

483 The basic theory used for the prediction of the penetration depth of the yield stress fluid through the particle bed  
 484 layer is an adaptation of the one-dimensional Darcy law for a yield stress fluid displaying a HB type behaviour  
 485 developed by Chevalier et al. [77]. The authors studied the steady state flow of a HB fluid through an assembly of  
 486 particles of diameter  $D$  (m). The model is written in the non-linear form of the HB model and links the pressure drop  
 487 per unit length  $\nabla P$  ( $Pa \cdot m^{-1}$ ) to the fluid penetration velocity using the fluid's rheological parameter:

$$D\nabla P = \alpha\tau_0 + \beta k \left( \frac{V}{D} \right)^n \quad \text{Eq. 15}$$

488 where  $V$  is the fluid velocity ( $m \cdot s^{-1}$ ),  $\alpha$  and  $\beta$  are fitting parameters depending on the particles bed properties.  
 489 Based on experimental results, Chevalier et al. [77] suggest values of 5.5 and 85 for  $\alpha$  and  $\beta$  for an assembly of  
 490 spherical particles.

491 The Chevalier equation is combined with the Green and Ampt approach to write the pressure drop in the particle  
 492 bed over the penetrated depth  $\Delta h$  as a function of involved driving forces such as fluid initial kinetic energy ( $0.5\rho V^2$ ),  
 493 gravity ( $\rho g H$ ), capillary pressure  $\psi$  and friction effects  $\lambda$  that can be linked to the specific surface of the sand bed.

$$\nabla P(t) = \frac{1}{\Delta h(t)} \left[ \rho g \left( H(t) + \frac{V^2}{2g} \right) + \psi - \lambda(t) \right] \quad \text{Eq. 16}$$

494 where  $H$  is the water head level (m). It is worth noting that with sand particles, the capillary effect (order of  
 495 magnitude 10 Pa) can be neglected over gravity  $\rho g H$ , which is at least one order of magnitude higher. The effect of  
 496 the initial fluid velocity will depend on its magnitude.

497 Finally, it is of interest to predict the final penetration depth of the cement paste in the particle bed, i.e. the  
 498 depth, where fluid intrusion velocity  $V$  decreases to zero. The calculation of the penetration depth is presented in the  
 499 chapter 3.1.2. Ideally, the penetrated depth has to be equal to the height of the particle bed layer, as this achieves the  
 500 highest mechanical strength without losing dimensional accuracy due to horizontal and vertical deviations of SPI-  
 501 printed objects compared to the target geometry.

502 It should be mentioned that a deviation from the model prediction may occur due to the water absorption of  
 503 dry sand particles. It is well known that in cementitious materials, aggregates can absorb a significant quantity of  
 504 water (from few thousands to few percent of mass ratio). This absorption of water, that starts as soon as the cement  
 505 paste becomes in contact with dry particles, tends to make the fluid stiffer by increasing its yield stress. Pierre et al.  
 506 and Weger et al. have provided data proving that a preliminary wetting of the particle bed can be helpful to achieve  
 507 higher penetration depths [76], [28].

508

509 3.1.2 Model Implementation

510 As described before, Chevalier et al. [77], [78] combined Darcy's law and HB to model the flow of a yield stress  
 511 fluid through a porous media of spheres (see Eq. 15 in section 3.1.1). Based on Chevalier's approach different models  
 512 were created in [76] and [28] to model the penetration behaviour of cement pastes into particle beds.

513 Combining the Chevalier et al. approach with the Green and Ampt equations describing the penetration of a  
 514 viscous fluid in an unsaturated particle bed [79], [80], Pierre et al. [76] developed a first model, in the following  
 515 referred to as model A, for calculating the penetration depth of a cement paste into a particle bed. Following Eq. 15  
 516 the authors assumed that flow ( $V > 0$ ) can only occur if Eq. 17 is fulfilled.

$$\nabla p > \frac{\alpha \cdot \tau_0}{D} \text{ or } \tau_0 < \frac{D \cdot \nabla p}{\alpha} \quad \text{Eq. 17}$$

517 The model of Pierre et al. has the form of Eq. 18, the derivation of the equations being found in [76]:

$$e_A = \frac{\rho_p \cdot g \cdot d_{50} \cdot (1 - \varphi) \cdot h_{lay}}{\alpha \cdot \tau_0 - \rho_p \cdot g \cdot d_{50} \cdot \varphi + \frac{\varphi}{(1 - \varphi)} \cdot 6 \cdot \tau_0 \cdot \kappa} \quad \text{Eq. 18}$$

518 where  $e_A$  (m) is the penetration depth of the cement paste into the particle bed,  $\rho_p$  ( $\text{kg} \cdot \text{m}^{-3}$ ) is the density of the  
 519 cement paste,  $g$  ( $\text{m} \cdot \text{s}^{-2}$ ) is the gravitational constant,  $d_{50}$  (mm) is the average particle size,  $\varphi$  (-) is the solid volume  
 520 fraction of the particle bed,  $h_{lay}$  (m) is the height of the particle bed layer and  $\kappa$  (-) is the Janssen parameter [81]  
 521 which describes the ratio of horizontal and vertical stresses, connected via the Poisson's ratio  $\vartheta_p$ . Furthermore, it is  
 522 assumed that the cement paste is a largely incompressible medium and therefore  $\vartheta_p = 0.5$  and  $\kappa = 1$ .

523 As will be pointed out later, model A shows good results with measured values but present some limitations. Due  
 524 to the model's dependency on  $h_{lay}$ , it is only valid if the penetration depth  $\leq$  layer thickness. Therefore, Weger et al.  
 525 [28] suggest an adaptation of model A independent of  $h_{lay}$ . The authors described a final condition for the effective  
 526 height of the cement paste that determines the initial effective hydrostatic pressure before penetrating the particle  
 527 bed layer by using Eq. 19.

$$H_{0,eff} = \sqrt{\frac{\tau_0 \cdot 2 \cdot (b_{eff} - b_{nz})}{\rho_p \cdot g}} \quad \text{Eq. 19}$$

528 where  $H_{0,eff}$  (m) is the effective height of the cement paste determining the maximum initial hydrostatic pressure  
 529 acting at the bottom of the cement paste,  $b_{eff}$  (m) is the final spread of the cement paste on the particle bed surface  
 530 and  $b_{nz}$  (m) is the diameter of the nozzle.

531 By combining Eq. 18 and Eq. 19 model A+ is obtained. This model is now also valid for penetrations depths  
 532 higher than the layer thickness of the particle bed, see Eq. 20.

$$e_{A+} = \frac{\rho_p \cdot g \cdot d_{50} \cdot H_{0,eff}}{\alpha \cdot \tau_0 + \frac{\varphi}{(1 - \varphi)} \cdot 6 \cdot \tau_0 \cdot \kappa} \quad \text{Eq. 20}$$

533 Model A and A+ assume an assembly of spherical particles and uses the value of fitting parameters  $\alpha$  and  $\beta$   
 534 suggested by Chevalier et al.. In order to take into account the real morphology of the particle bed, Weger et al. [28]  
 535 developed further models. Therefore, the authors suggest to model the particle bed as a capillary pores system in  
 536 order to compute  $\alpha$  and  $\beta$  values of Darcy's law based on physical arguments, see Eq. 21 and Eq. 22.

$$\alpha \sim \frac{1}{\varepsilon} \quad \text{Eq. 21}$$

537 where  $\alpha$  defines the penetration ability of a fluid, which depends on the widest path between the particles in the  
538 particle bed or on the porosity of the particle bed  $\varepsilon$ , respectively.

$$\beta \sim \frac{1}{\varepsilon \cdot \frac{\tau_0}{\tau_{0,\text{lim}}}} \quad \text{Eq. 22}$$

539 where  $\beta$  describes the flow resistance of the entire particle bed as a function of pore size distribution and structure.  
540 Thus,  $\beta$  designates the mobilization of the fluid in the pores of the particle bed of porosity  $\varepsilon$  depending on the limiting  
541 yield stress  $\tau_{0,\text{lim}}$  (Pa) (depending on the pore size distribution and structure), see Eq. 23, as well as the actual yield  
542 stress of the fluid  $\tau_0$  (Pa).

$$\tau_{0,\text{lim}} = \frac{\rho_p \cdot g \cdot H_{0,\text{eff}} \cdot r_{\text{eff}}}{2 \cdot L_{\text{eff}}} \quad \text{Eq. 23}$$

543 where  $r_{\text{eff}}$  (m) is the effective radius of the capillary pore system of the particle bed according to Eq. 24 and  $L_{\text{eff}}$   
544 (m) is the effective length of the capillary pores in the particle bed.

$$r_{\text{eff}} = \left( \frac{8 \cdot G}{\pi} \right)^{\frac{1}{4}} \quad \text{Eq. 24}$$

545 where  $G$  can be calculated using Poiseuille's law  $G = \chi \cdot A_{\text{void}}^2 \cdot \frac{A_{\text{void}}}{U_{\text{void}}^2}$  and a form factor  $\chi$  according to [82] as  
546 well as an assumption of an octahedral or tetrahedral shape of the void between the particles to calculate  $A_{\text{void}}$  (m<sup>2</sup>)  
547 and  $U_{\text{void}}$  (m).

548 The combination of Eq. 21 and Eq. 22 with Eq. 15 (Darcy's law and the Herschel-Bulkley model) leads to model  
549 D in Eq. 25. With their model D, the authors have developed a model that proves to be a very efficient prediction  
550 tool for fluids with low yield stress of less than 3 Pa:

$$e_D = \frac{\rho_p \cdot g \cdot \frac{H_{0,\text{eff}}}{2} \cdot d_{\text{eff}} \cdot \varepsilon}{\tau_0 + \frac{\tau_{0,\text{lim}}}{\tau_0} \cdot k \cdot \dot{\gamma}^n} \quad \text{Eq. 25}$$

551 where  $\dot{\gamma}$  (s<sup>-1</sup>) is the shear rate of the penetrating cement paste in a pressure less capillary pore system and  $n$  is the  
552 Herschel-Bulkley flow index.

### 553 3.1.3 Comparing models and experimental data

554 The authors in [28] also conducted penetration tests with different cement pastes (w/c-ratio 0.30, 0.35 and 0.40,  
555 mini slump flow 400 mm) to compare the predictive capability of the models A+ and D. Both models showed  
556 accurate results especially in comparison with the penetration in a dry particle bed, see Figure 23 left. Furthermore,  
557 the results show the positive effect of the calculation of the  $\alpha$  and  $\beta$  values especially for cement pastes with low  
558 yield stress, i.e. high mini slump flow. Additionally, model D seems to be able to reproduce the effect of an increasing  
559 w/c-ratio, see Figure 23 right.



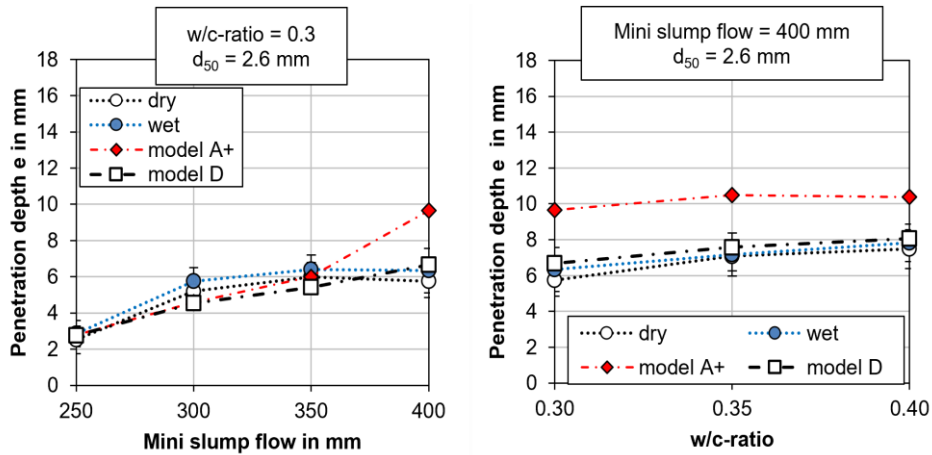


Figure 23: Penetration depth of a cement paste in dependence of flowability (left) and w/c-ratio (right) in a particle bed with an average particle size of 2.6 mm [28].

### 560 3.2 Macroscopic material properties

561 Weger and Gehlen [83] provide an overview of strength and durability properties of SPI material (w/c-ratio 0.3,  
 562  $d_{50} = 1.6$  mm, layer thickness 3 mm). These researchers have reported compressive strength values up to 78 MPa  
 563 after 7d and good durability properties in terms of carbonation resistance, chloride penetration resistance as well as  
 564 freeze-thaw resistance, i.e. XC4, XS2/XD2 and XF3/XF4 according to EN 206, independent of the layer orientation  
 565 (results not shown here). Furthermore, the compressive strength values show a clear dependency on the density of  
 566 the printed samples and therefore a comparable behaviour to conventional casted concrete, compare REF in Figure  
 567 24. The increase in density is attributed to a reduced air void content in the manufactured samples, which is  
 568 associated with an increasing amount of paste and a resulting higher pore filling of the particle bed.

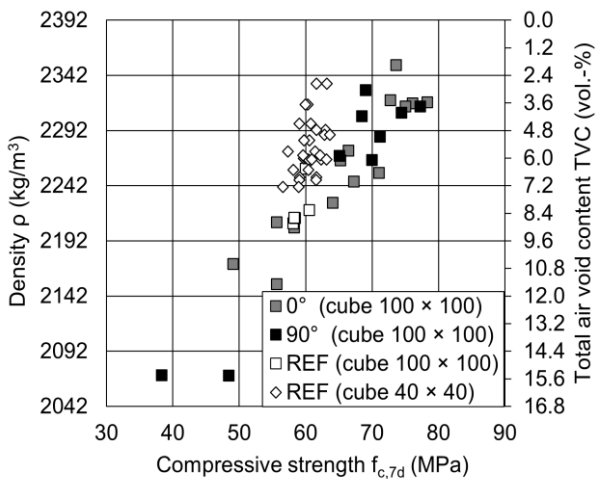


Figure 24: Compressive strength in dependency of the density, 0° = load parallel to the layers, 90° = load perpendicular to the layers, dimensions of the test specimens in the brackets in mm [83].

## 572 4 Conclusions

573 In this paper, we have discussed in detail the material-process interactions and their underlying physics in the  
 574 particle bed binding techniques of Selective Cement Activation and Selective Paste Intrusion. Due to the specific

575 characteristics of particle bed binding - with the separate creation of a particle bed layer and the subsequent selective  
576 binding - the consideration of the effects and interactions of material and process is of particular importance.  
577 Therefore, we considered the most relevant parameters in the individual sub-processes.

578 In **Selective Cement Activation**, the focus was on particle layer compaction, fluid application, fluid penetration  
579 and after printing treatment. We have proven that an increase in packing density of the particle bed can be achieved  
580 via compaction in the printer and increases the compressive strength of the printed objects. With regard to fluid  
581 application, it was possible to show that an increase in flow rate also increases the compressive strength. This  
582 phenomenon can be attributed to a higher penetration depth of the liquid as well as a rougher particle bed surface,  
583 which is assumed to result in a higher mechanical interlocking between the layers, i.e. a higher interlayer bonding.

584 For fluid penetration, we showed that a reduced penetration length over time is measured for finer particle beds  
585 as well as for particle beds containing cement, i.e. a reactive component. The underlying mechanisms were described  
586 and discussed by means of physically based material models. Furthermore, we showed that the addition of cellulose  
587 ether also leads to a reduced penetration length. Macroscopically, increased cellulose ether contents lead to an  
588 increase in the dimensional accuracy of the printed elements. However, the compressive strength only increases up  
589 to medium cellulose ether content and then decreases again as the contents increase further.

590 Last but not least, we could demonstrate that an after-printing treatment by water curing leads to a significant  
591 increase of the mechanical properties. This can primarily be attributed to an activation of the hydration potential of  
592 the cement, which was not fully exploited by the Selective Cement Activation process before.

593 In the 3D printing process of **Selective Paste Intrusion**, the primary focus was on the penetration of the cement  
594 paste into the particle bed. Various models that capture the rheology of the cement paste on the one hand and the  
595 properties of the particle bed on the other were derived based on Chevalier's approach and evaluated in terms of  
596 their predictive accuracy. We have shown that with increasing filling of the voids of the particle bed with cement  
597 paste, i.e. with increasing bulk density, there is an increase in the compressive strength of the printed specimens.  
598 Furthermore, good durability properties in terms of carbonation resistance, chloride penetration resistance as well as  
599 freeze-thaw resistance were reported for specimen produced with Selective Paste Intrusion.

600 Finally, we can conclude that the manifold material-process interactions represent a major challenge for the  
601 reliable control of particle bed 3D printing, however, they also offer many opportunities to control the material  
602 properties of the printed elements in a systematic manner.

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