

USING STRUCTURED VARIANTS IN LATTICE-BASED CRYPTOGRAPHY

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Let's start with a simple example: you want to send a message to someone.

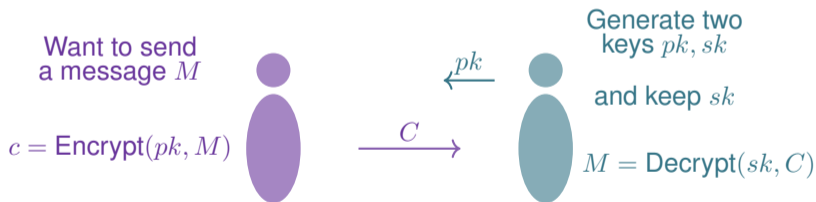
Two possibilities:

- ▶ Either you share a secret key (AES...),
- ▶ Either you don't \Rightarrow public key cryptography.

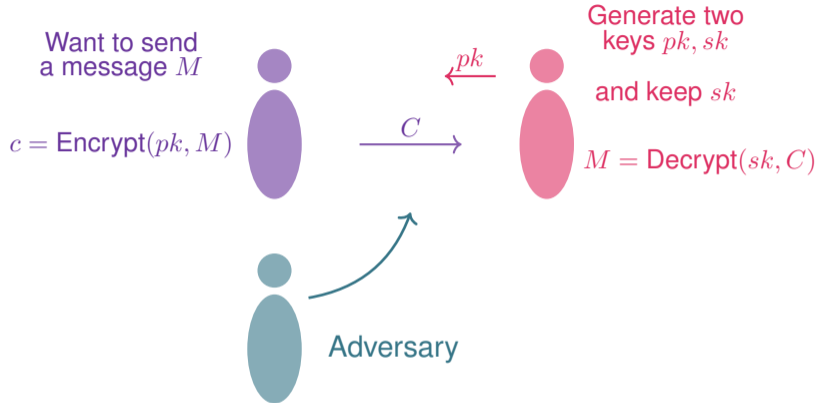
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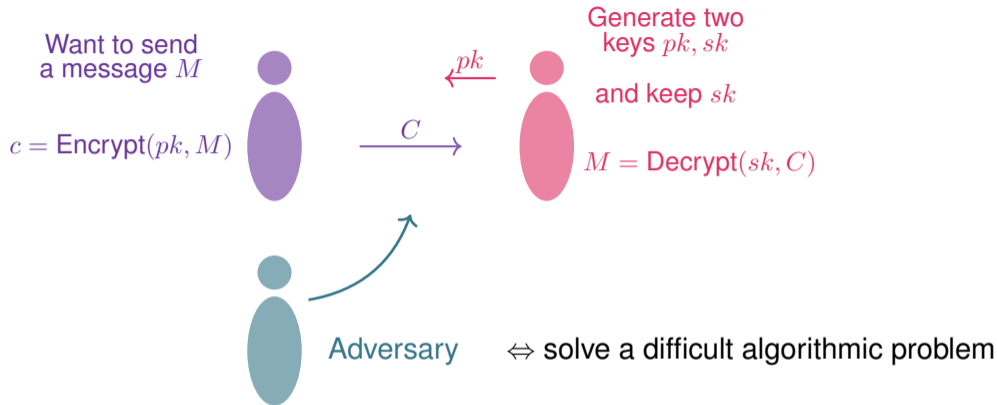
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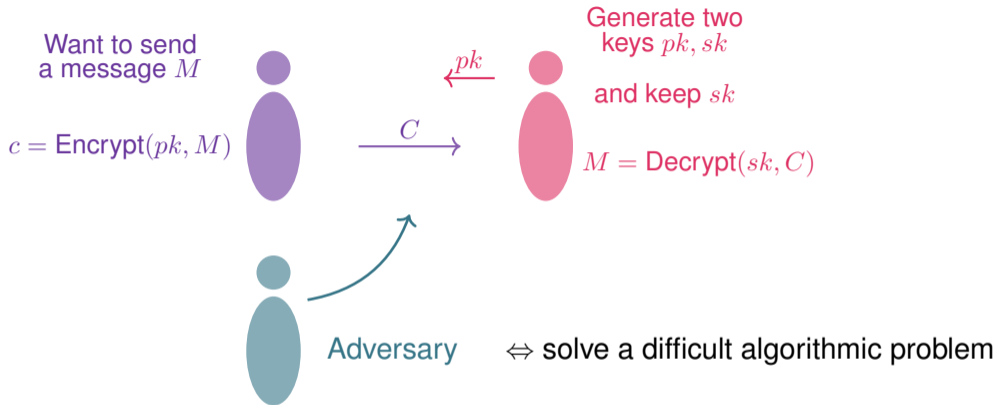
Public key cryptography



Public key cryptography

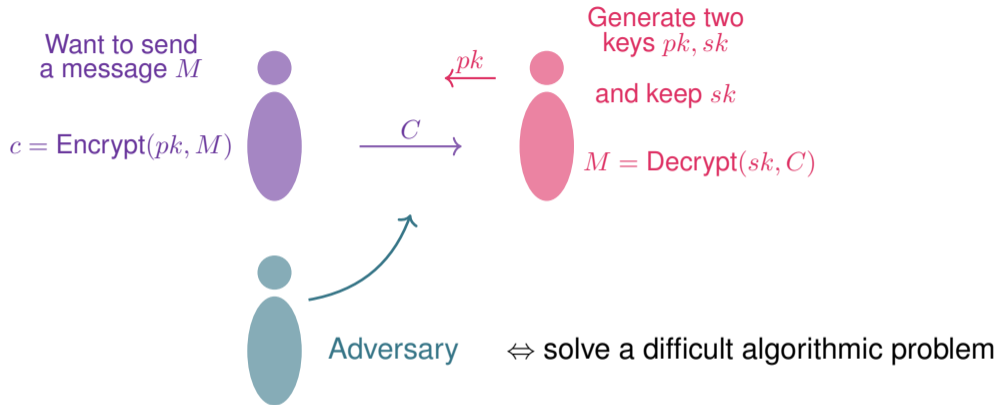


Public key cryptography



- ▶ Examples: factorisation (RSA), discrete log (El Gamal) ...
- ▶ Solving those problems needs an exponential complexity on a classical computer.

Public key cryptography



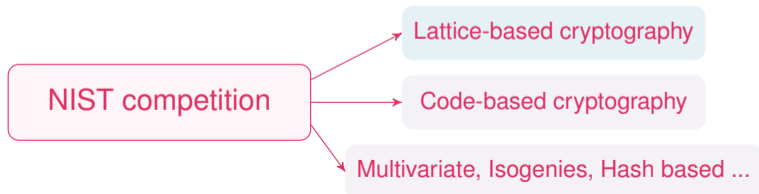
- ▶ Examples: factorisation (RSA), discrete log (El Gamal) ...
- ▶ Solving those problems needs an exponential complexity on a classical computer.
- ▶ Shor's algorithm (1997): **polynomial time on a quantum computer.**

New goals in cryptography

- ▶ Resisting to quantum computers,
- ▶ Need of new functionalities,

→ need alternatives

- ▶ Post-quantum secure,
- ▶ Efficient,
- ▶ New functionalities, different types of constructions.



From 2017 to 2024, NIST competition to develop new standards on post-quantum cryptography

Total: 69 accepted submissions (round 1)

- ▶ Signature (5 lattice-based),
- ▶ Public key encryption / Key Encapsulation Mechanism (21 lattice-based)

Other candidates: 17 code-based PKE, 7 multivariate signatures, 3 hash-based signatures, 7 from "other" assumptions (isogenies, PQ RSA ...) and 4 attacked + 5 withdrawn.

⇒ lattice-based constructions are very serious candidates

5 over 7 finalists are lattice-based

2022 first results: **3 over 4 new standards** are lattice-based

Why lattice-based cryptography?

- ▶ Likely to resist attacks from quantum computers,
- ▶ Strong security guarantees,
from well-understood hard problems on lattices.

- ▶ Novel and powerful cryptographic functionalities,
 - ▶ Public key encryption and signature scheme (practical),
 - ▶ Advanced signature (group signature ...),
and encryption scheme (IBE, ABE, ...),
 - ▶ Fully homomorphic encryption.

- ▶ Efficiency

1. Lattices

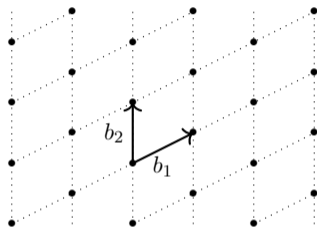
- ▶ Definition
- ▶ Hard problem on lattices

2. The Learning With Errors problem

- ▶ Definition
- ▶ Difficulty
- ▶ How to encrypt using LWE?

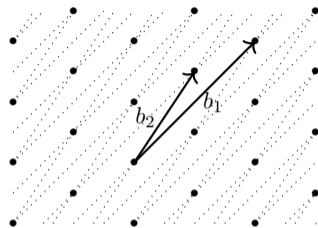
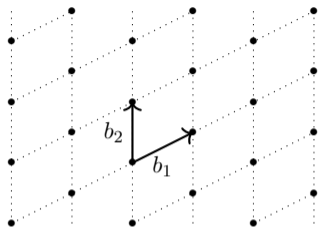
3. Practical scheme

- ▶ Adding structure
- ▶ Module-LWE
- ▶ Kyber encryption scheme

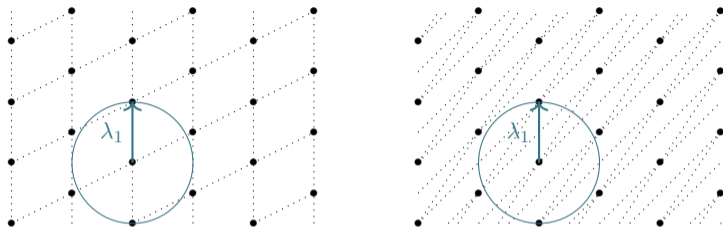


Lattice

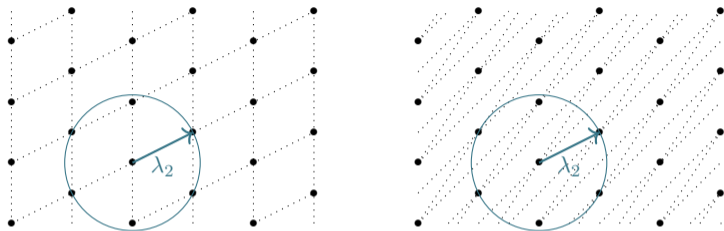
$\mathcal{L}(\mathbf{B}) = \{ \sum_{i=1}^n a_i \mathbf{b}_i, a_i \in \mathbb{Z} \}$, where the $(\mathbf{b}_i)_{1 \leq i \leq n}$'s, linearly independent vectors, are a **basis** of $\mathcal{L}(\mathbf{B})$.



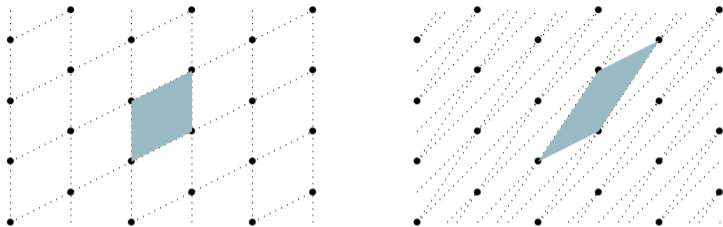
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- ▶ The fundamental parallelepiped is defined by $\mathcal{P}(\mathbf{B}) = \{\sum_{i=1}^n c_i \mathbf{b}_i : c_i \in [0, 1)\}$. Its volume defines the volume of the lattice: $\det(\Lambda) = |\det(\mathbf{B})|$.

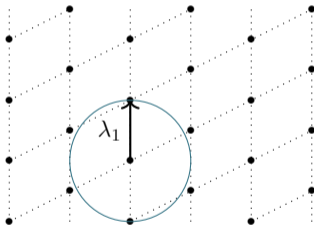
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- ▶ Minkowski Theorem:

$$\lambda_1(\Lambda) \leq \sqrt{n} \cdot \det(\Lambda)^{1/n}$$
$$\left(\prod_{i=1}^n \lambda_i(\Lambda) \right)^{1/n} \leq \sqrt{n} \cdot \det(\Lambda)^{1/n}$$

Shortest Vector Problem (SVP)

Given a lattice $\mathcal{L}(\mathbf{B})$ of dimension n :

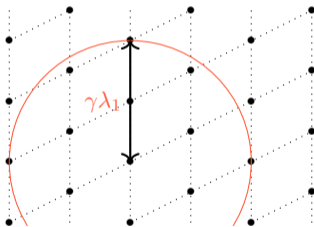
Output: find the shortest non-zero vector $\mathbf{x} \in \mathcal{L}(\mathbf{B})$.



Approx Shortest Vector Problem (Approx SVP $_{\gamma}$)

Given a lattice $\mathcal{L}(\mathbf{B})$ of dimension n :

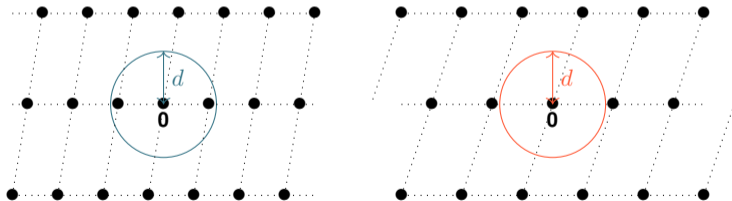
Output: find a non-zero vector $\mathbf{x} \in \mathcal{L}(\mathbf{B})$ such that $\|\mathbf{x}\| \leq \gamma \lambda_1(\mathcal{L}(\mathbf{B}))$



Gap Shortest Vector Problem (GapSVP)

Given a lattice $\mathcal{L}(\mathbf{B})$ of dimension n and $d > 0$:

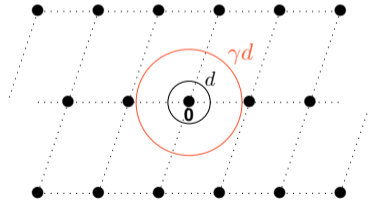
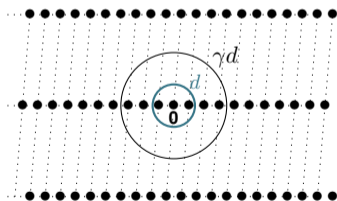
- Output:
- YES: there is $\mathbf{z} \in \mathcal{L}(\mathbf{B})$ non-zero such that $\|\mathbf{z}\| < d$,
 - NO: for all non-zero vectors $\mathbf{z} \in \mathcal{L}(\mathbf{B})$: $\|\mathbf{z}\| \geq d$.



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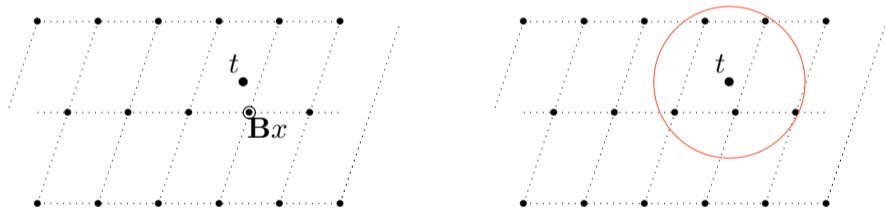


Closest Vector Problem

Given a lattice $\mathcal{L}(\mathbf{B})$ of dimension n and $\mathbf{t} \in \mathbb{Z}^m$:

Output: find $\mathbf{x} \in \mathbb{Z}^n$ minimizing $\|\mathbf{B}\mathbf{x} - \mathbf{t}\|$.

Approx variant: find $\mathbf{x} \in \mathbb{Z}^n$ such that $\|\mathbf{B}\mathbf{x} - \mathbf{t}\| \leq \gamma \cdot \text{dist}(\mathbf{t}, \Lambda(\mathbf{B}))$.

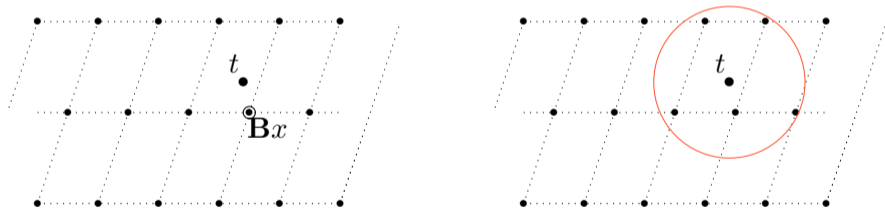


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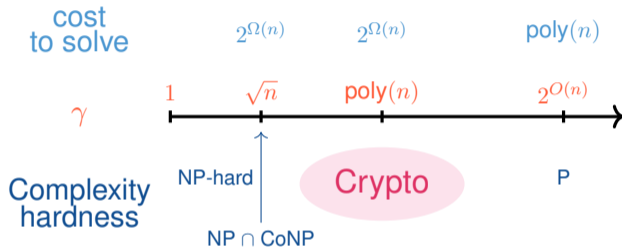
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How hard is it to solve those problems?

Hardness of Approx SVP $_{\gamma}$



Conjecture

There is no polynomial time algorithm that approximates this lattice problem and its variants to within polynomial factors.

At the heart of lattice-based cryptography

the Learning With Errors problem

- Introduced by Regev in 2005

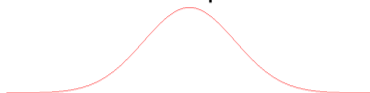
Problem: solve a linear system with m equations and n variables ($m \geq n$), with noise, and modulo an integer q .

Find $(s_1, s_2, s_3, s_4, s_5)$ such that:

$$\begin{aligned}s_1 + 22s_2 + 17s_3 + 2s_4 + s_5 &\approx 16 \pmod{23} \\3s_1 + 2s_2 + 11s_3 + 7s_4 + 8s_5 &\approx 17 \pmod{23} \\15s_1 + 13s_2 + 10s_3 + 3s_4 + 5s_5 &\approx 3 \pmod{23} \\17s_1 + 11s_2 + 20s_3 + 9s_4 + 3s_5 &\approx 8 \pmod{23} \\2s_1 + 14s_2 + 13s_3 + 6s_4 + 7s_5 &\approx 9 \pmod{23} \\4s_1 + 21s_2 + 9s_3 + 5s_4 + s_5 &\approx 18 \pmod{23} \\11s_1 + 12s_2 + 5s_3 + s_4 + 9s_5 &\approx 7 \pmod{23}\end{aligned}$$

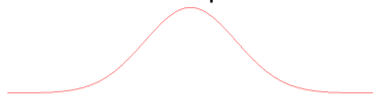
Continuous Gaussian distribution of center c and parameter s :

$$\left| \begin{array}{l} D_{s,c}(x) \sim \frac{1}{s} \exp\left(-\pi \frac{\|x-c\|^2}{s^2}\right) \\ \forall x \in \mathbb{R} \end{array} \right.$$



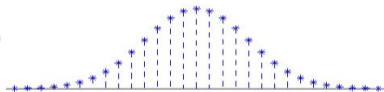
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Gaussian distribution on \mathbb{Z} of center c with parameter s :

$$\left| \begin{array}{l} D_{\mathbb{Z},s,c}(x) \sim \frac{1}{s} \exp\left(-\pi \frac{\|x-c\|^2}{s^2}\right) \\ \forall x \in \mathbb{Z} \end{array} \right.$$



- ▶ It is not the rounding of the continuous Gaussian.
- ▶ We now know how to sample it efficiently.
- ▶ Almost all samples are in $[-t \cdot s, +t \cdot s]$ for a constant t , if s is not too small.

Theorem (Gentry, Peikert, Vaikuntanathan 2008)

There exists a PPT algorithm which, given a basis \mathbf{B} of a lattice $\Lambda(\mathbf{B})$ of dimension n , a parameter $s \geq \|\tilde{\mathbf{B}}\| \cdot \omega(\sqrt{\log n})$, and a center $c \in \mathbb{R}^n$, outputs a sample from a distribution statistically close from $D_{\Lambda, s, c}$.

Intuition: sampling on \mathbb{Z} is quite easy, it is more complicated on a general lattice.

Important: Better is the basis (with short vectors), smaller is the parameter we can sample with, and then have short vectors.

Definition

For all $\varepsilon > 0$, the *smoothing parameter* of a lattice Λ with parameter ε is the smallest s such that $\rho_{1/s}(\Lambda^* \setminus \{0\}) \leq \varepsilon$, we denote it by $\eta_\varepsilon(\Lambda)$.

When the Gaussian's parameter is bigger than smoothing parameter, the discrete gaussian distribution has the same properties than a continuous one. In particular:

- ▶ the discrete gaussian distribution $D_{\Lambda,s,c}$ is mainly concentrated in a sphere of radius \sqrt{ns} around its center c .

If $s > \eta_\varepsilon(\Lambda)$,

$$\Pr_{x \leftarrow D_{\Lambda,s,c}} [\|x - c\| > \sqrt{ns}] \leq 2^{-n}.$$

- ▶ Addition: if $s, t > \eta_\varepsilon(\Lambda)$, we can also add two gaussian **on the same lattice** :

$$D_{\Lambda,s} + D_{\Lambda,t} = D_{\Lambda, \sqrt{s^2+t^2}}.$$

The size of the smoothing parameter can be compared to the size of the n -th minima.

- ▶ Micciancio, Regev 2004 and Regev 2005:
For any lattice Λ and $\varepsilon > 0$

$$\sqrt{\frac{\ln(1/\varepsilon)}{\pi}} \cdot \frac{\lambda_n(\Lambda)}{n} \leq \eta_\varepsilon(\Lambda) \leq \sqrt{\frac{\ln(2n(1 + 1/\varepsilon))}{\pi}} \cdot \lambda_n(\Lambda).$$

Let $n > 1$, $q \geq 2$ and $\alpha \in]0, 1[$.

For any $\mathbf{s} \in \mathbb{Z}_q^n$, we define the distribution $\mathcal{D}_{n,q,\alpha}(\mathbf{s})$ by:

$$(\mathbf{a}, \langle \mathbf{a}, \mathbf{s} \rangle + e), \text{ with } \mathbf{a} \leftarrow U(\mathbb{Z}_q^n) \text{ and } e \leftarrow D_{\mathbb{Z},\alpha q}.$$

► Search LWE

For any \mathbf{s} : find \mathbf{s} given an arbitrary number of samples from $\mathcal{D}_{n,q,\alpha}(\mathbf{s})$.

► Decision LWE

With non-negligible probability on $\mathbf{s} \leftarrow U(\mathbb{Z}_q^n)$: distinguish between the distributions $\mathcal{D}_{n,q,\alpha}(\mathbf{s})$ and $U(\mathbb{Z}_q^{n+1})$.

Decision version

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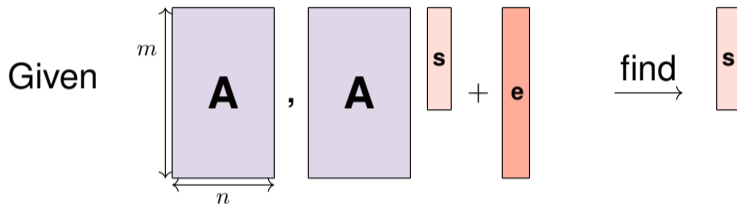
We consider an oracle \mathcal{O} which produces independant samples, all from the same distribution being:

- either $\mathcal{D}_{n,q,\alpha}(\mathbf{s})$ for a fixed \mathbf{s} ,
- either $U(\mathbb{Z}_q^{n+1})$.

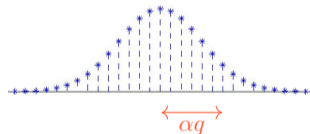
The goal is to decide which one with a non-negligeable advantage.

The Learning With Errors problem

$LWE_{\alpha, q}^n$



- ▶ $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{m \times n})$,
- ▶ $\mathbf{s} \leftarrow U(\mathbb{Z}_q^n)$,
- ▶ $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$, small compared to q .



Discrete Gaussian error $D_{\mathbb{Z}, \alpha q}$

Search version: Given $(\mathbf{A}, \mathbf{b} = \mathbf{A}\mathbf{s} + \mathbf{e})$, find \mathbf{s} .

Decision version: Distinguish from (\mathbf{A}, \mathbf{b}) with \mathbf{b} uniform.

Equivalence between the two variants

LWE sample: $(\mathbf{A}, \mathbf{b} = \mathbf{A}\mathbf{s} + \mathbf{e} \bmod q)$ with short \mathbf{e} .

- ▶ Easy reduction : from **decision** to **search**
 - ▶ find $\mathbf{s} \Rightarrow$ distinguish \mathbf{b} uniform or \mathbf{b} LWE sample,

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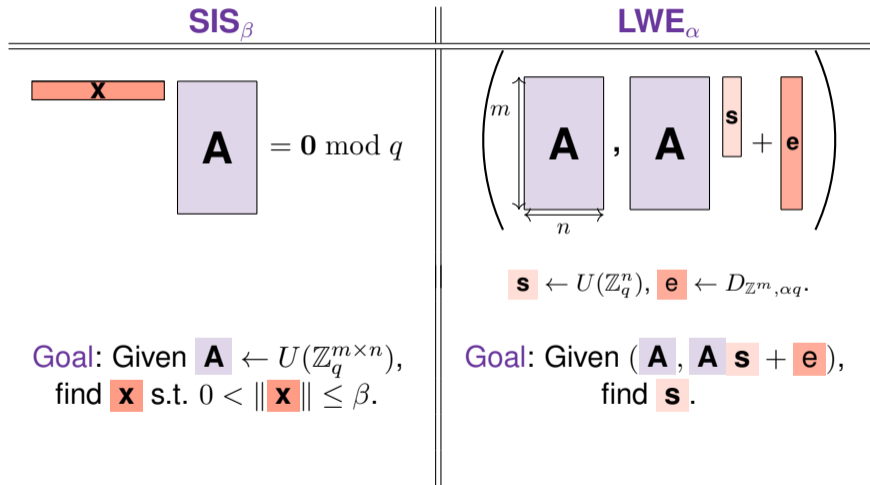
- ▶ Distinguish \mathbf{b} uniform from \mathbf{b} LWE sample \Rightarrow find \mathbf{s} ,
- ▶ Given (\mathbf{A}, \mathbf{b}) use the oracle to find each coordinate of \mathbf{s} : for all s_1^* , choose u uniform in \mathbb{Z}_q and modify (\mathbf{A}, \mathbf{b}) as follow:

$$(\mathbf{a}, b) + (u, 0, \dots, 0, us_1^*) = (\mathbf{a}', \langle \mathbf{a}', \mathbf{s} \rangle + e + u(s_1^* - s_1)), .$$

- ▶ if $s_1^* = s_1$ it stays a LWE sample,
- ▶ else \mathbf{b} will be uniform.

Short Integer Solution problem [Ajtai 1996]

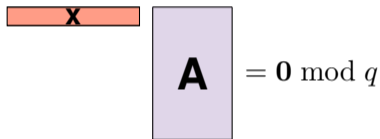
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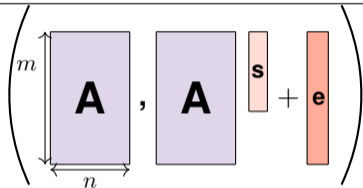
SIS $_{\beta}$


$$\mathbf{x} \mathbf{A} = \mathbf{0} \pmod{q}$$

Solve SVP in

$$\Lambda_q^{\perp}(\mathbf{A}) = \{\mathbf{x} \in \mathbb{Z}^m \mid \mathbf{x}^T \mathbf{A} = \mathbf{0} \pmod{q}\}$$

LWE $_{\alpha}$


$$\left(\begin{array}{c} m \\ \mathbf{A} \\ n \end{array} \right), \left(\mathbf{A} \mathbf{s} + \mathbf{e} \right)$$

$$\mathbf{s} \leftarrow U(\mathbb{Z}_q^n), \mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$$

Solve CVP in

$$\Lambda_q(\mathbf{A}) = \{\mathbf{y} \in \mathbb{Z}^m : \mathbf{y} = \mathbf{A} \mathbf{s} \pmod{q} \text{ for some } \mathbf{s} \in \mathbb{Z}^n\}$$

Hardness of LWE

- ▶ Exhaustive search
 - ▶ Try all the $\mathbf{s} \in \mathbb{Z}_q^n \rightarrow$ is $\mathbf{b} - \mathbf{A}\mathbf{s}$ small?
 - ▶ \Rightarrow cost around q^n .

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 - ▶ Other possibility: guess the n first errors, find $\mathbf{s} \rightarrow$ is $\mathbf{b} - \mathbf{A}\mathbf{s}$ small?
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 - ▶ \Rightarrow cost around $(\alpha q \sqrt{n})^n$.
- ▶ How to do better?
 - ▶ LWE is a lattice problem: consider

$$\Lambda_q(\mathbf{A}) = \{\mathbf{y} \in \mathbb{Z}^m : \mathbf{y} = \mathbf{As} \bmod q \text{ for } \mathbf{s} \in \mathbb{Z}^n\}.$$

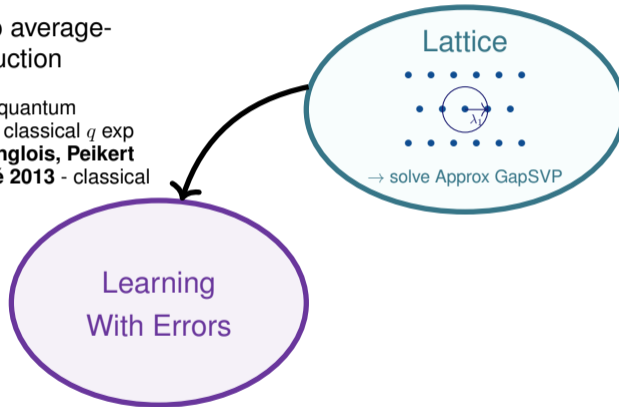
Solving LWE \Leftrightarrow solving CVP in this lattice.

- ▶ Cost: $\left(\frac{n \log q}{\log^2 \alpha}\right)^{\frac{n \log q}{\log^2 \alpha}}$.

Hardness of the Learning With Errors problem

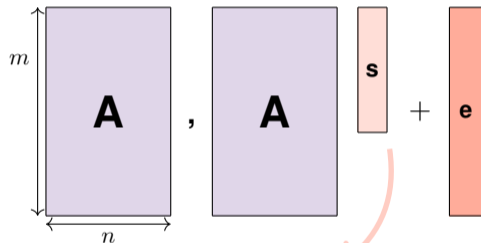
Worst-case to average-
case reduction

- **Regev 2005** - quantum
- **Peikert 2009** - classical q exp
- **Brakerski, Langlois, Peikert**
Regev, Stehlé 2013 - classical



Choose another distribution for the secret or the error.

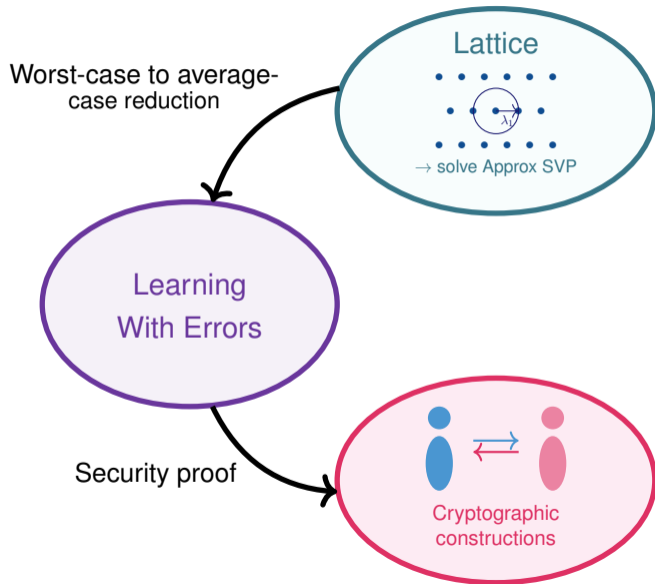
Regev 2009: uniform secret and gaussian error.



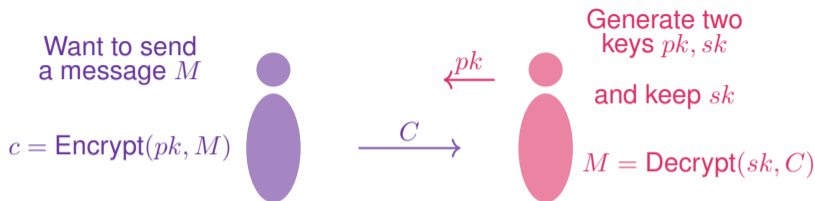
- ▶ Gaussian (continue, discretize, discrete ...),
- ▶ Uniform in small interval,
- ▶ Binary under conditions.

- ▶ Same distribution as the error: in particular Gaussian,
- ▶ Binary (Unif in $\{0, 1\}^n$),
- ▶ Entropic.

Using LWE to build provable constructions - theory



Public key encryption - definition



An encryption scheme is defined by three algorithms (**KeyGen**, **Enc**, **Dec**):

- ▶ The key generation algorithm **KeyGen** takes as input a security parameter λ and outputs the public and the secret keys (pk, sk) .
- ▶ The encryption algorithm **Enc** takes as input the public key pk and a message m and outputs $c = \text{Enc}(pk, m)$,
- ▶ The decryption algorithm **Dec** takes as input the secret key sk and a ciphertext c and outputs $m = \text{Dec}(sk, c)$,

such that $\text{Dec}(sk, (\text{Enc}(pk, m))) = m$.

Regev's encryption scheme

- ▶ **Parameters:** $n, m, q \in \mathbb{Z}, \alpha \in \mathbb{R}$,
- ▶ **Keys:** $\text{sk} = \mathbf{s}$ and $\text{pk} = (\mathbf{A}, \mathbf{b})$, with $\mathbf{b} = \mathbf{A} \mathbf{s} + \mathbf{e} \pmod q$
where $\mathbf{s} \leftarrow U(\mathbb{Z}_q^n)$, $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{m \times n})$, $\mathbf{e} \leftarrow D_{\mathbb{Z}^m, \alpha q}$.

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- ▶ **Encryption** ($M \in \{0, 1\}$): Let $\mathbf{r} \leftarrow U(\{0, 1\}^m)$,

$$\mathbf{u}^T = \begin{array}{c} \mathbf{r} \\ \mathbf{A} \end{array}, v = \begin{array}{c} \mathbf{r} \\ \mathbf{b} \end{array} + \lfloor q/2 \rfloor \cdot M$$

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- Decryption of (\mathbf{u}, v) : compute $v - \mathbf{u}^T \mathbf{s}$,

$$\underbrace{\begin{matrix} \mathbf{r} \\ \mathbf{A} \mathbf{s} + \mathbf{e} \end{matrix}}_v + \lfloor q/2 \rfloor \cdot M - \underbrace{\begin{matrix} \mathbf{r} \\ \mathbf{A} \mathbf{s} \end{matrix}}_{\mathbf{u}^T \mathbf{s}} = \text{small} + \lfloor q/2 \rfloor \cdot M$$

If **close from 0**: return 0, if **close from** $\lfloor q/2 \rfloor$: return 1.

Regev's encryption scheme

- ▶ **Parameters:** $n, m, q \in \mathbb{Z}, \alpha \in \mathbb{R}$,
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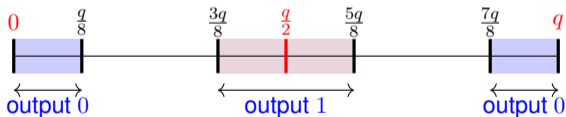
LWE hard \Rightarrow Regev's scheme is IND-CPA secure.

Correction

The randomness \mathbf{r} is uniformly chosen in $\{0, 1\}^m$,
and \mathbf{e} is sampled from a discrete gaussian of parameter $\alpha q \leq q/(8m)$,
then, with overwhelming probability,

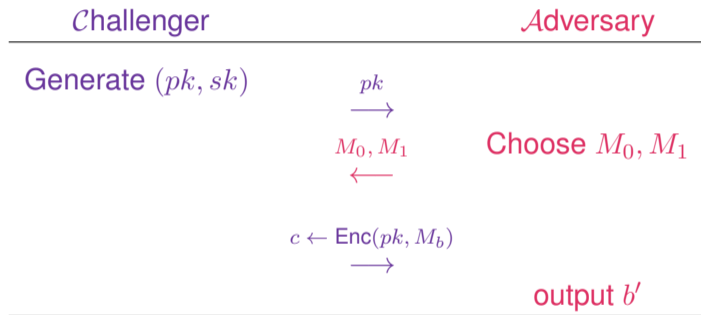
$$\left| \sum_{i \leq m} r_i e_i \right| \leq \|\mathbf{r}\| \cdot \|\mathbf{e}\| \leq \sqrt{m} \cdot \frac{q}{8\sqrt{m}} = \frac{q}{8}.$$

$v - \mathbf{u}^T \mathbf{s}$ is either close from 0, either close from $\lfloor q/2 \rfloor$, which allows to find M .



IND-CPA security

To define the security, we use a game between a challenger and an adversary. We define two experiments Exp_b for $b \in \{0, 1\}$:



$$Adv^{CPA}(\mathcal{A}) = |\Pr[\mathcal{A} \rightarrow^{Exp_0} 1] - \Pr[\mathcal{A} \rightarrow^{Exp_1} 1]|.$$

Goal of the proof: show that if an adversary succeed in attacking the encryption scheme with a non-negligible advantage, then the challenger can use it to solve a difficult problem (here LWE).

Decision LWE can also be seen as a game:

\mathcal{C}	\mathcal{B}
$\mathbf{A} \leftarrow U(\mathbb{Z}_q^{m \times n})$	
RAND ($b = 0$): $\mathbf{b} \leftarrow U(\mathbb{Z}_q^m)$	
LWE ($b = 1$): $\mathbf{b} = \mathbf{A}\mathbf{s} + \mathbf{e}$	$\xrightarrow{(\mathbf{A}, \mathbf{b})}$
	output b'

$$Adv(\mathcal{B}) = \left| \Pr[\mathcal{B} \xrightarrow{RAND} 1] - \Pr[\mathcal{B} \xrightarrow{LWE} 1] \right|.$$

Leftover Hash Lemma

Let $m, n, q \geq 1$ be integers such that $m \geq 4n \log q$ and q prime, and let $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{m \times n})$ and $\mathbf{r} \leftarrow U(\{0, 1\}^m)$. Then $(\mathbf{A}, \mathbf{r}^T \mathbf{A})$ has statistical distance $\leq 2^{-n}$ from the uniform distribution on $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^n$.

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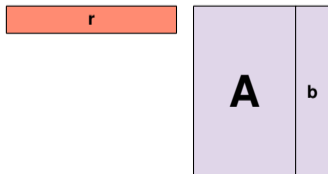
- ▶ Statistical distance : $\Delta(D_1, D_2) = \frac{1}{2} \sum_x |D_1(x) - D_2(x)|$.
- ▶ For any algorithm \mathcal{A} , we have
 $|\Pr[\mathcal{A}(D_1) = 1] - \Pr[\mathcal{A}(D_2) = 1]| \leq \Delta(D_1, D_2)$.
 $\Delta(D_1, D_2)$ small $\Rightarrow D_1$ and D_2 are statistically indistinguishable.

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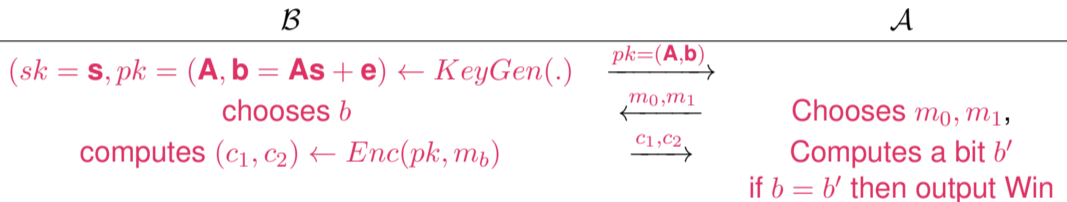
The LHL implies that $(\mathbf{A} \mathbf{b}, \mathbf{r} (\mathbf{A} \mathbf{b}))$ is indistinguishable from uniform.



IND-CPA security proof

Idea: we start from an **LWE** instance, and build an instance of the **IND-CPA** experiment, then we use the answer of the adversary to **solve LWE**.

We use the following **IND-CPA** game:

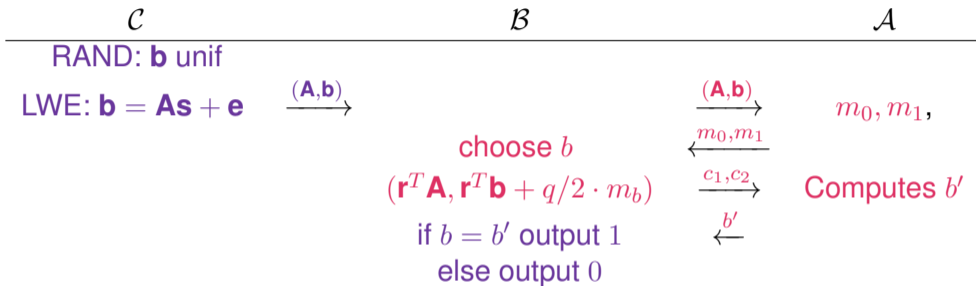


We want to show that if **LWE** is hard, then there exists a negligible function $negl$ such that:

$$\Pr[\mathcal{A} \text{ Win}] \leq 1/2 + negl(n).$$

IND-CPA security proof

\mathcal{B} wants to solve decisional LWE using \mathcal{A} .



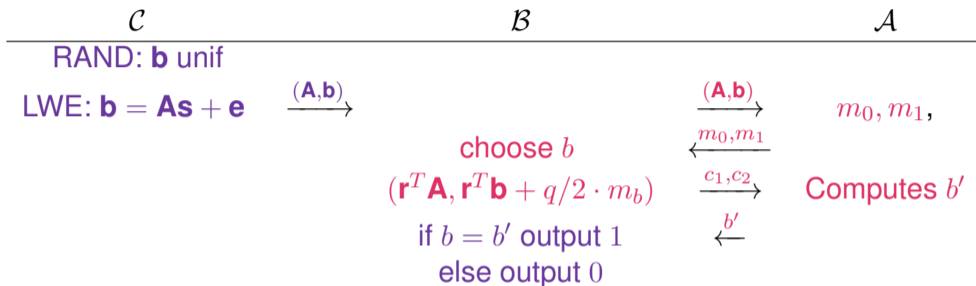
For \mathcal{B} :

- ▶ RAND: \mathbf{b} is uniform then c_2 is uniform. \mathcal{A} cannot distinguish between the two cases, its advantage is equals to zero, the probability that \mathcal{B} outputs 1 is $1/2$.

$$\Pr[\mathcal{B} \xrightarrow{RAND} 1] = 1/2,$$

IND-CPA security proof

\mathcal{B} wants to solve decisional LWE using \mathcal{A} .



For \mathcal{B} :

- ▶ LWE: $\mathbf{b} = \mathbf{A}\mathbf{s} + \mathbf{e}$ and then the ciphertext is exactly a ciphertext from the Regev encryption scheme. The probability that \mathcal{B} outputs 1 is exactly the success probability of \mathcal{A} in the encryption scheme security game (as it has the same view of the experiment).

$$\Pr[\mathcal{B} \xrightarrow{LWE} 1] = \Pr[\mathcal{A} \text{ win}],$$

To conclude, we have:

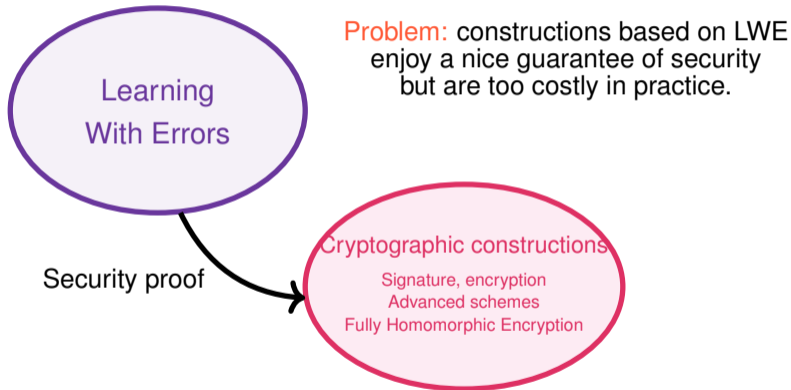
$$\Pr[\mathcal{B} \xrightarrow{RAND} 1] = 1/2,$$
$$\Pr[\mathcal{B} \xrightarrow{LWE} 1] = \Pr[\mathcal{A} \text{ win}],$$

then:

$$\begin{aligned} Adv(\mathcal{B}) &= |\Pr[\mathcal{B} \xrightarrow{RAND} 1] - \Pr[\mathcal{B} \xrightarrow{LWE} 1]| \\ &= |\Pr[\mathcal{A} \text{ win}] - 1/2| \end{aligned}$$

If \mathcal{A} succeeds with a non-negligible probability, then there exists ε such that $\Pr[\mathcal{A} \text{ win}] \geq 1/2 + \varepsilon$, then $Adv(\mathcal{B}) \geq \varepsilon$ which implies that there exists a distinguisher able to solve the decisional LWE problem.

Hardness of LWE used as a foundation for many constructions.



Solutions used today?