



20 Years of on-site Raman Analysis of rare works of arts: Successes, Difficulties and Prospects

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20 Years of on-site Raman Analysis of rare works of arts: Successes, Difficulties and Prospects

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Keywords: multivariate analysis; colours; on-site; mobile instruments; authentication; optics

The study, in particular of the state of conservation, and the authentication of works of art are issues in full development and Raman microspectroscopy in conjunction with X-ray fluorescence are the reference techniques due to their perfectly non-invasive character, and the availability of mobile instruments. Although mobile instruments have been available for more than 20 years, their use has only recently exploded with the tightening of formalities allowing the movement of valuable objects and changes in practices. Our team having been among the first to develop the Raman analysis of works of art, whether in the laboratory with the development of the MOLE in 1975 at LASIR [1,2] and on site from the 2000s [3, 4] at the same time of David Smith at MNHN, Paris [5], we will focus the presentation on fire arts objects, most of which are enameled (metal, glass or ceramic). These sophisticated objects were technological achievements at their time of production, made for the elite, traded very early on at long distance, and their value which can reach now several millions of € imposes on-site and non-invasive procedures. Except for the identification of gems and some minerals [6,7], their Raman analysis requires the use of high-performance due to the simultaneous presence of vitreous phases generating a signature of broad bands and crystalline phases giving narrow peaks but mainly at low wavenumbers.[4,5,8-10] With the development of particular procedures (use of sophisticated optics (very high magnification, zoom and telescope)[11-13], micrometric stages/mapping, subtraction of the continuous background, dating from proton corrosion[14], multivariate analysis [5, 15]) and an understanding/modeling of the spectra [16], the parameters of processing (temperature) are deduced [5-8] and restorations/fakes/copies are identified. The combination with on-site pXRF analysis/mapping is highlighted [17-21] as well as the interest of measuring full spectral range in order to use information present in the 'fluorescence' background.[22] Difficulties (limitation in the choice of exciting wavelength, control of the ambient temperature and lighting, preservation of the artifact, limited access time for measurement, etc.) and future developments (on-site mapping, multiscale analysis, etc.) will be discussed [23].

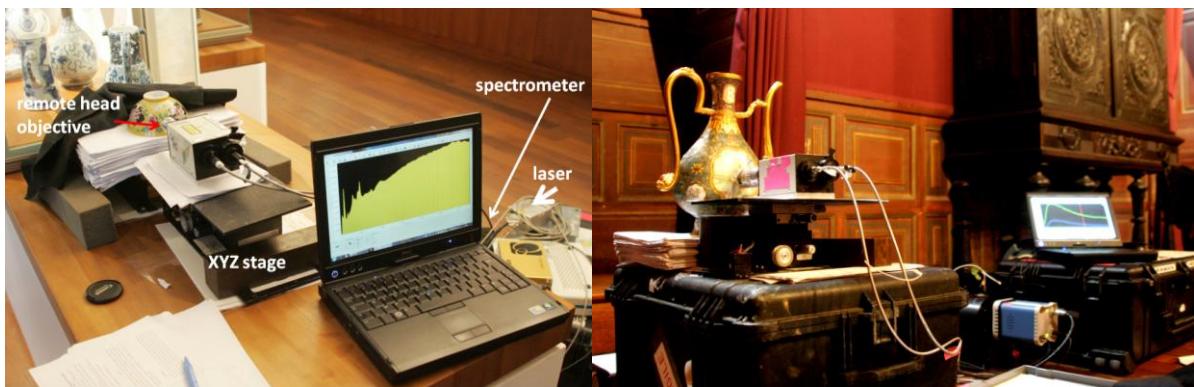


Figure 1 Examples of On-site Raman analysis using a mobile set-up.

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20 years of on-site Raman analysis of rare works of art: successes, difficulties and prospects



Monaris UMR8233 Laboratory,
Sorbonne Université, Paris, France

From molecule to nano-object: Reactivity, Interactions & Spectroscopies



Outline

1. Why on-site analysis using mobile set-up
2. Instruments: Raman studies of Cultural Heritage masterpieces
an historical overview (1975 -present)
3. Required Procedures, difficulties and modeling : example:understanding the Raman signature of
glassy and crystalline silicates / pigments / opacifiers
Authentication: stained glass windows
Conservation : rock art
History of Art & Technique :Tracing the technological exchanges between Europe and Asia
blue colour
 - Lapis lazuli or cobalt
 - Glass type
4. Conclusions & perspectives

1. Why on-site analysis using mobile set-up

- Exceptional artifacts located in secure room

Bibliothèque Nationale, Paris, Grandes Heures de Rohan
ca 1430-1435, Expected value : > 5 million €



Musée national des Arts asiatiques - Guimet
Imperial bowls, ca 1720-1750, > 3 million € each

Louvre, Arts déco, Cernuschi, etc.
Fondation Baur (Genève),
Galeria nazionale di Arte Moderna (Roma), etc.



- Unmovable Artifacts

Rock art, Drakensberg South Africa

Stained glass windows

Sculpture, buildings, etc.



Drakensberg
South Africa



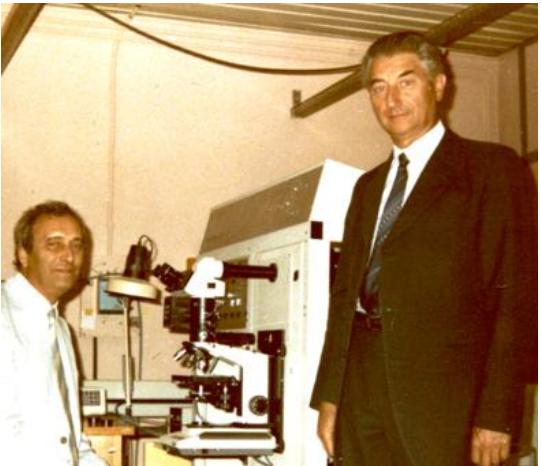
Objectives: authentication, documentation
conservation

Outline

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Broad band spectra !

2 Raman studies of Cultural Heritage masterpieces : an historical overview (1974 -present)



Professor Michel Delhaye (right) presenting one of the first microprobe equipped with optical microscope (MICRODIL 28, DILOR) and a RQicon512S linear diode array detector cooled at -200°C by Peltier Effect, at LADIR CNRS, Thiais (ca 1980)

Colomban Ph. (1979). Une nouvelle technique d'analyse: la microsonde Raman, *L'Industrie Céramique*, 734, 815-820.

Dele M.L., Dhamelincourt P., Schubnel H.J. (1980). Utilisation de la Microsonde Mole pour les inclusions dans les pierres précieuses, *L'Actualité Chimique*, 71, 39-40.

Guineau B. (1984). Microanalysis of Painted Manuscripts and of Colored Archeological Materials by Raman Laser Microprobe, *J. Forensic Sci.*, 29(2), 471-485.

Guichard V., Guineau B. (1986). Une nouvelle technique d'analyse des colorants. Premières applications à l'étude des tranches de manuscrits médiévaux, *Gazette du livre médiéval*, 8, 1-5. https://www.persee.fr/doc/galim_0753-5015_1986_num_8_1_994

Michel Delhaye & Paul Dhamelincourt (LASIR CNRS) were the first to build a Raman microprobe; the MOLE (Molecular Optic Laser Examiner) started to be commercialized in 1976 by Instrument SA Jobin-Yvon (now HORIBA Scientific)
Rapidly, replacement of the PM by a diode array detector (DILOR OMARS, MICROMARS and MICRODIL 28) and then CCD (XY DILOR, LABRAM)



LABRAM Infinity

YAG 532nm

He 633nm

End of 1990s

Transportable

High resolution

> 80 cm⁻¹

Flat background



>2010

Variety of compact, Palm-held instruments (green to nIR laser)

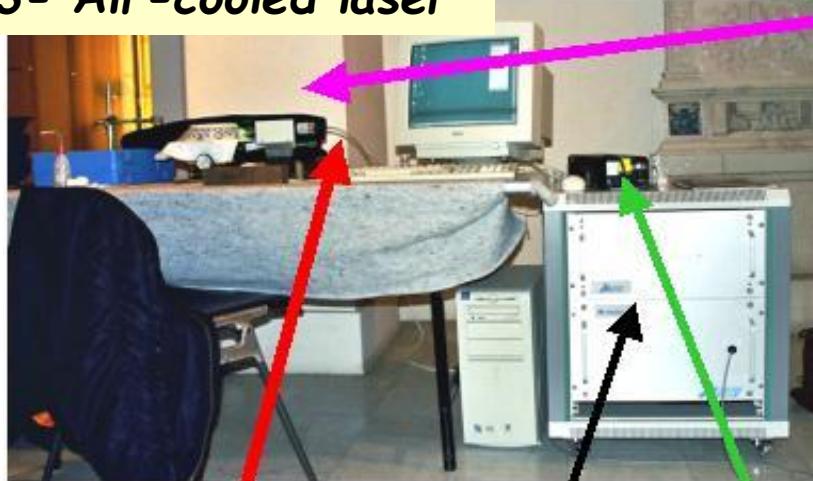
Poor to medium resolution

> 200 cm⁻¹

The first steps

2003

3- Air-cooled laser



2 optic fibers => spectrometer
<= laser 2x3 m

1- Spectrometer : fixed parts, Peltier-cooled CCD

Microscope objective
x500, 800 or 1000



2- Remote head

SuperHead®

Laser : 532nm 100mW

Musée national de Céramique, Sèvres

Broad bands, heterogeneous materials
high magnification, thick film

Ph. COLOMBAN, V. MILANDE, L. LE BIHAN

On-site Raman Analysis of Iznik pottery glazes and pigments

J. Raman Spectrosc. 35 (2004) 527-535.

Horiba Jobin Yvon

2x10m long fiber optics, 25m of electric cable



2005

High resolution
Flat background
 $>250 \text{ cm}^{-1}$



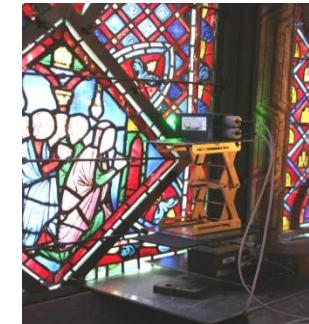
difficulty of
access by
narrow
spiral staircase

Broad bands, sunlight, access

Outside



Inside



SuperHead™

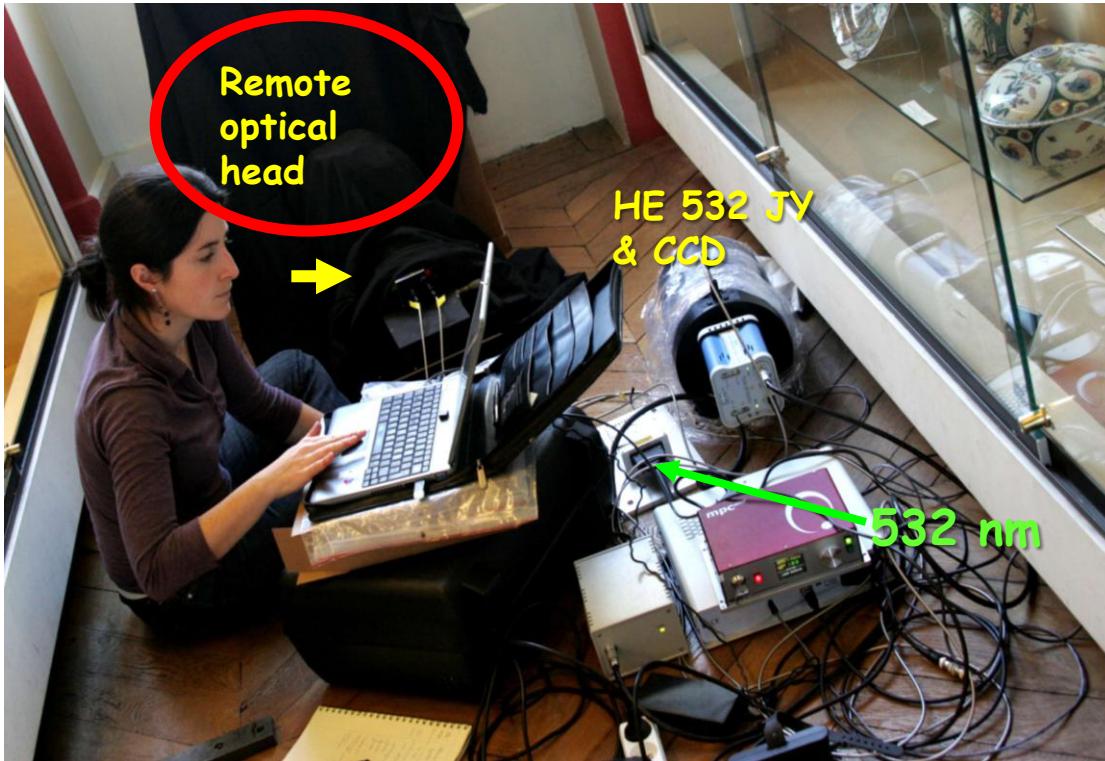


10mW on the glass

Ph. COLOMBAN, A. TOURNIE
On-site Raman Identification and Dating of
Ancient/Modern Stained Glasses
at the Sainte-Chapelle, Paris.
J. Cultural Heritage 8 [3] (2007) 242-256.

Sainte-Chapelle, île de la Cité, Paris

> 2007 : compact set up



High resolution
Flat background
 $>80 \text{ cm}^{-1}$

Laser Quantum
Ventus 100/300 mW
&
CCD and shutter boxes

Main characteristics
able to record
broad band
Raman signatures
(e.g. glass)

Musée national de Céramique, Sèvres

P. RICCIARDI, Ph. COLOMBAN, A. TOURNIE, V. MILANDE

Non-destructive on-site identification of ancient glasses: genuine artefacts, embellished pieces or forgeries ?

J. Raman Spectrosc. 40 (2009) 604-617.

High performance long working distance optics

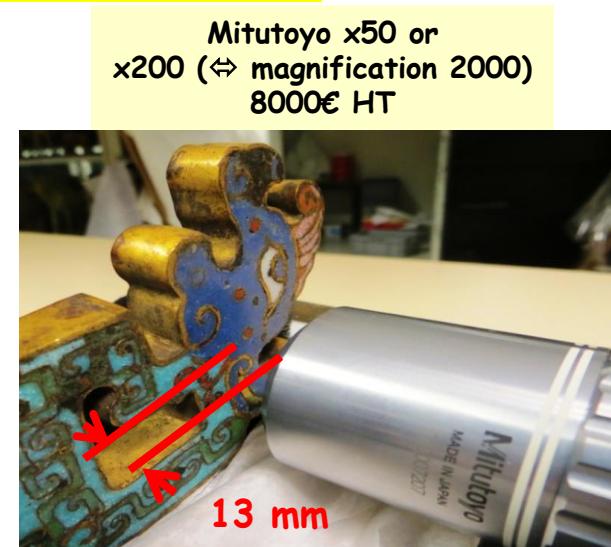
No contact - measurements on concave surfaces - micron spot



spot diameter \sim 4 μm
Along z up to 15 μm
easy focus



A precise
adjustment
at 1 μm scale
requires a
stable
XYZ stage



spot diameter \sim 0.5 μm
(close to the limit of diffraction)
along z \sim 2 μm
focus adjustment
time consuming

High magnification optics : higher quality spectra !!



Sanguine pigment

Paper

⇒ strong fluorescence

under green laser

⇒ use of a **x200 Mitutoyo**

microscope objective ($M = 2000$)

⇒ **spot < 1 μm^3**

Haematite
carbon

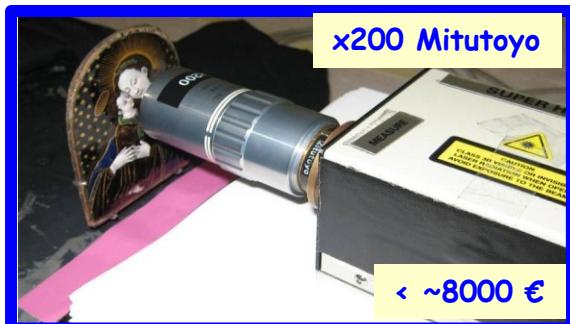
M.-C. CAGGIANI, Ph. COLOMBAN

Testing of Raman spectroscopy as a non-invasive tool
for the investigation of glass-protected pastels

J. Raman Spectrosc. 42 [4] (2011) 790-798.



single
grain
analysis



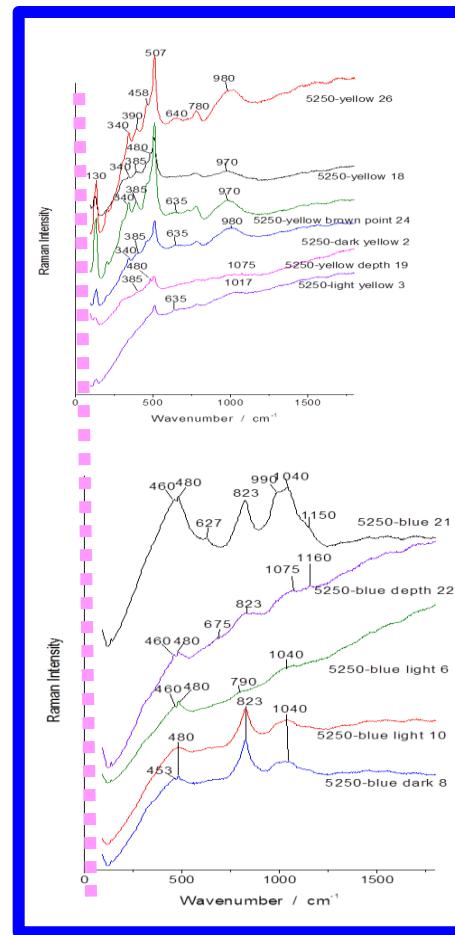
x200 Mitutoyo

< ~8000 €



x50 Nikon

< 1000 €



ULWD x200 : ~1 μm^3

standard ULWD x50

~50 μm^3



G 5250

Edge filter
> 80 cm^{-1}

by comparison, << 1mW at the lab !

Adapted laser source(s) power (and protection from solar/bulb light) (10 to 30 mW laser beam at the sample) easier job if the peaks are narrow

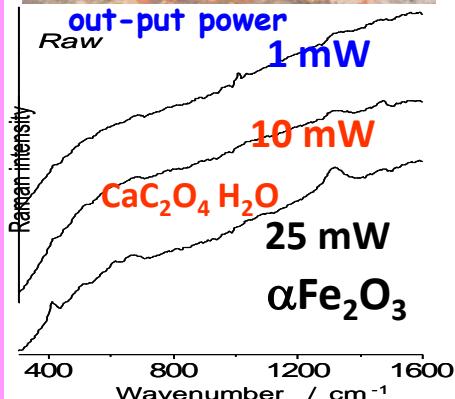
No darkness => work on evening/night
⇒ high laser power: x10 to 100 times
more than at the lab!



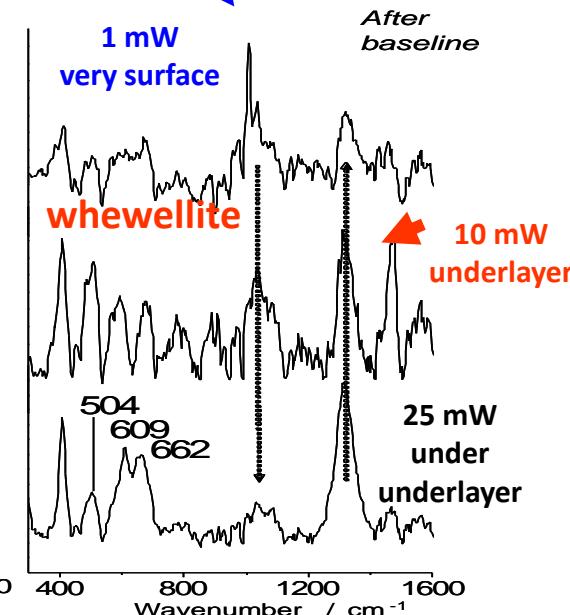
South Africa, San rock art
RSA BU1, Eastern Cap

Efflorescence:
many layers cover the painted Layer

In-depth analysis requires increasing Laser power



Gypsum surface



in depth analysis : focus & power
Pigment & surface deposits

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 - Conservation
 - History of Art & Technique: Tracing the technologies exchanges / blue colour
 - Lapis lazuli or cobalt
 - Glaze type. When Europe taught enamelling to China and Japan
4. Conclusions & perspectives

Standard colorant agent of glass: Co^{2+} ions and Co-rich spinel/olivine pigments

Cobalt not detected by EDS/XRF in some blue glass, but 0.05 wt% is sufficient to color a glass

Lapis lazuli cannot be identified by elemental analysis !

green
to
blue
Laser

Resonance
Raman
Effect
 Co^{2+} & S_n^-

Only under UV laser
the Raman signature
of the host framework
is recorded

1st evidence:

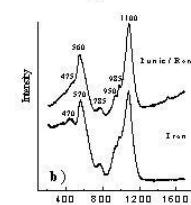
Clark, R.J., Curri, M.L., Laganara, C., 1997. Raman microscopy: The identification of lapis lazuli on medieval pottery fragments from the south of Italy. *Spectrochimica Acta Part A: Molecular and Biomolecular Spectroscopy*, 53(4), 597-603.

Ewer, 13th

Lapis lazuli as unexpected blue pigment in Iranian Lâjvardina ceramics



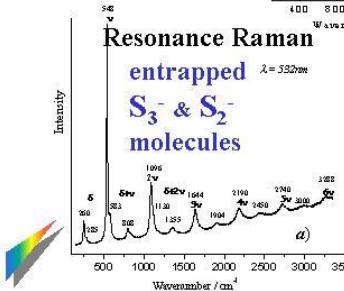
Abû'l Qâsim Kâshani alchemist's treatise 14th



Body / glaze x 100

Resonance Raman

entrapped
 S_3^- & S_2^-
molecules



Calcium-rich glaze
Si 70.5 at%
Ca 14.7 at%
Co 0.9 at%
(Ni, Cr, Zn)



x 1000

Lapis lazuli = lazurite + diopside

Ph. Colombari, J. Raman Spectrosc. 34 (2003), 420

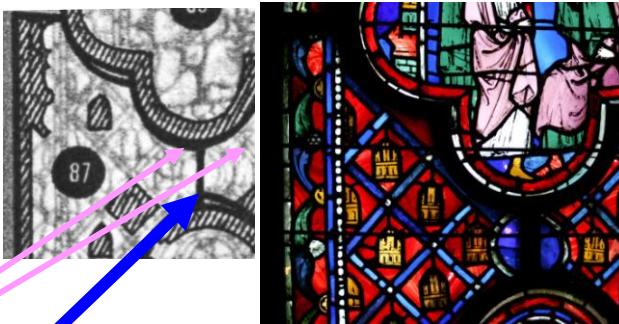
Improve the blue shade

Lapis lazuli evidenced
in
Ptolemaic glass/glaze
1st c BCE (Egypt)
Roman glass
1st c. BCE (Pompeii)
13th c. Normand Court
(Sicilia, Apulia)
14th c. Iran
14th-15th c. Mamluks
18th Meissen Saxony

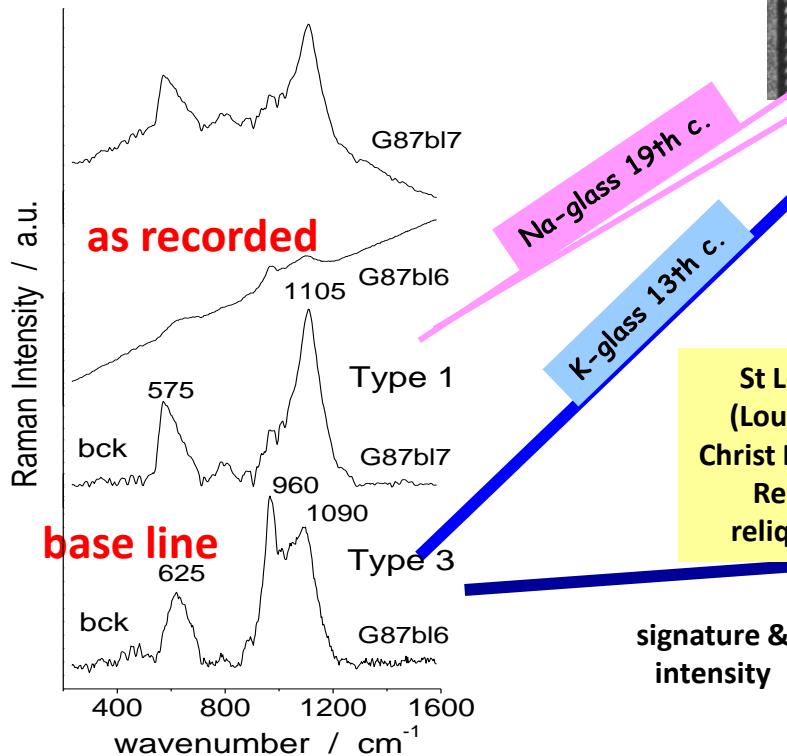
both Co & lapis lazuli

CVMA (historians)
Corpus vitraerum Medii Aevi
Attribution (googles)
Dashed = 19th c. restoration

L. Grodecki et al.: white: medieval; dashed: 19th c.
Stylistic visual examination, 1950



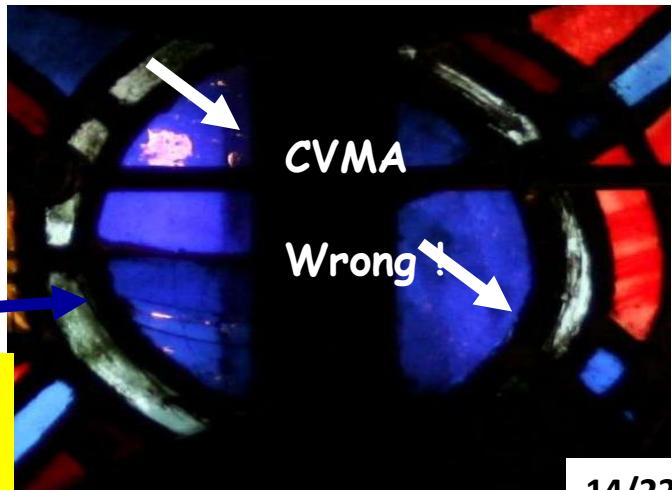
Built 13th century
Destruction of the basement
of stained glass windows
during French Revolution (~1790)
Restoration ~1870 by taking
pieces everywhere +
addition of new pieces



50% wrong !

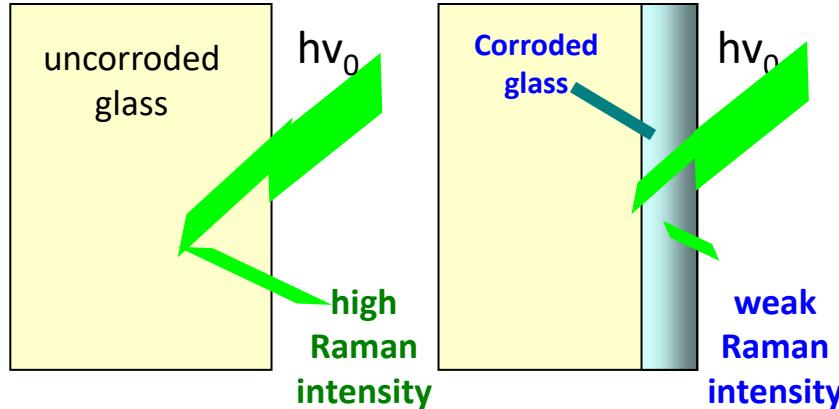


St Louis
(Louis IX)
Christ Passion
Relics
reliquary





Absolute intensity changes \Leftrightarrow dating

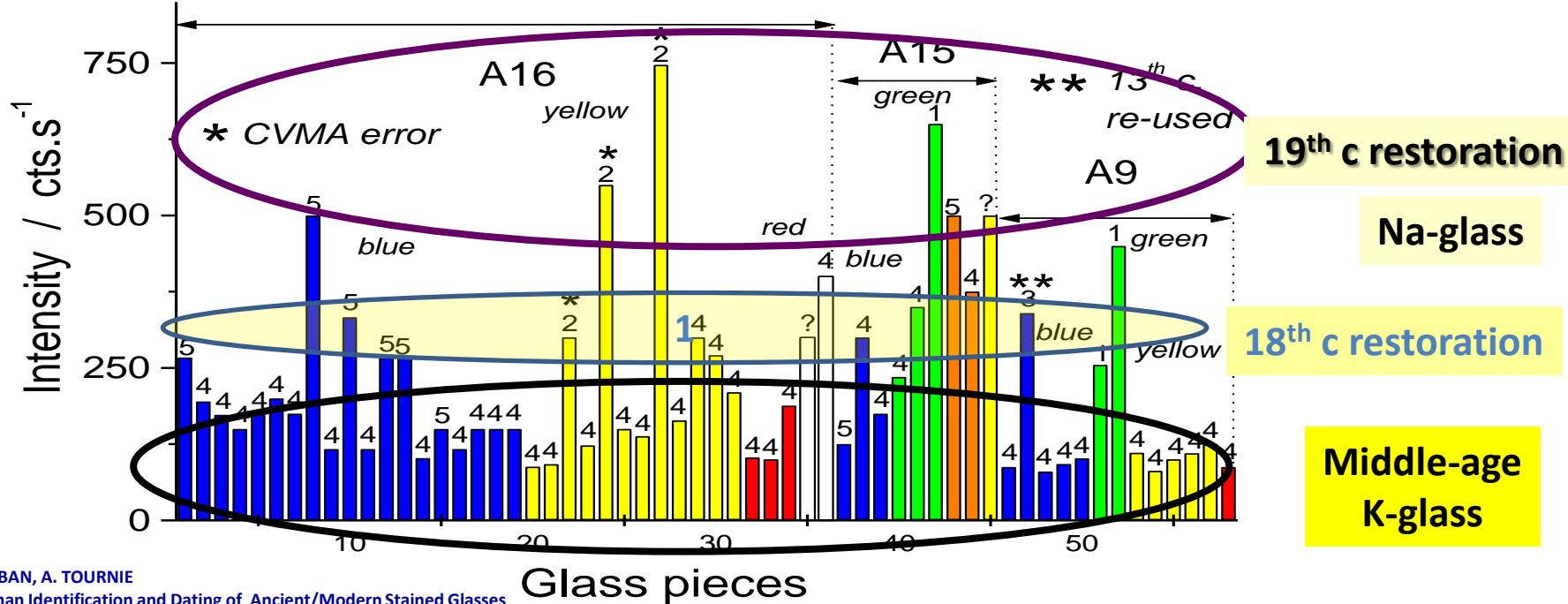


Surface corrosion by meteoritic water

Weathering: loss of optical quality of the glass surface

=>

differentiation between old corroded & restored glasses



Ph. COLOMBAN, A. TOURNIE

On-site Raman Identification and Dating of Ancient/Modern Stained Glasses at the Sainte-Chapelle, Paris. J. Cultural Heritage 8 [3] (2007) 242-256.

4: potash-glass (mediaeval)

1,2: soda-glass (19th c.)

5: soda-glass (18th c.?)

Dating

Weathering = loss of optical quality of the glass surface

on-site out-side measurements => differentiation

between old corroded & restored glass pieces

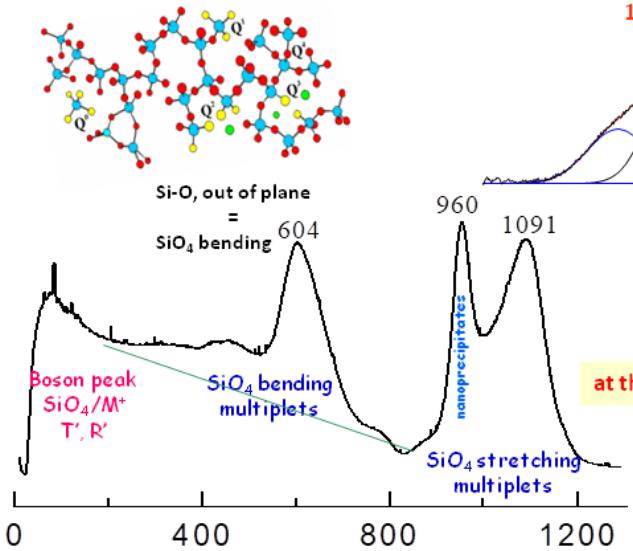
Mysen, B.; Virgo, D.; Scarfe, C.M. Relations between the anionic structure and viscosity of silicate melts—A Raman spectroscopic study. *Am. Mineral.* 1980, 65, 690–710.

Mysen, B.; Finger, L.W.; Virgo, D.; Seifert, F.A. Curve-fitting of Raman spectra of silicate-glasses, *Am. Mineral.* 1982, 67, 686–695.

McMillan, P. Structural studies of silicate-glasses and melts—Applications and limitations of Raman spectroscopy. *Am. Mineral.* 1984, 69, 622–644.

Raman modelling of the Si-O network

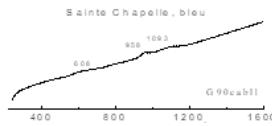
Glassy polymeric Si-O network



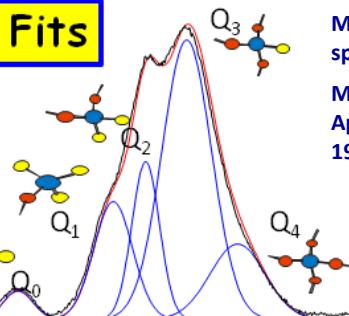
SiO_4 bending Multiplets
10 E δ_s bands

Baseline subtraction

at the laboratory!



Fits



SiO_4 stretching Multiplets
5 A₁ ν_s bands

- Q_0 isolated tetrahedron
- Q_1 Si-O-Si bridge
- Q_2 2 Si-O-Si bridges
- Q_3 3 Si-O-Si bridges
- Q_4 full polymerised $\approx \text{SiO}_2$

on site !

Need to define a baseline subtraction procedure

Colomban, P. Polymerisation Degree and Raman Identification of Ancient Glasses used for Jewellery, Ceramics Enamels and Mosaics. *J. Non-Crystall. Solids* 2003, 323, 180–187.

Colomban, P.; Paulsen, O. Raman Determination of the Structure and Composition of Glazes. *J. Am. Ceram. Soc.* 2005, 88, 390–395.

Colomban, P.; Tournié, A.; Bellot-Gurlet, L. Raman identification of glassy silicates used in ceramics, glass and jewellery: A tentative differentiation guide. *J. Raman Spectrosc.* 2006, 37, 841–852.

Colomban, P. Non-destructive Raman analysis of ancient glasses and glazes, ch. 4.2. In *Modern Methods for Analysing Archaeological and Historical Glass*, 1st ed.; Janssens, K., Ed.; John Wiley and Sons Ltd.: London, UK, 2012; pp. 275–300.

Spectrum

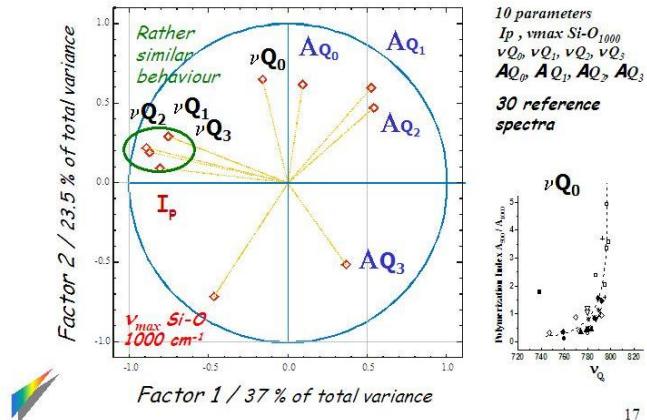
\Leftrightarrow Fits

\Leftrightarrow parameters

Multivariate analysis

PCA + Hierarchical classification

PCA Principal Component Analysis : selection of pertinent parameters



Application to stained glass window (composition, corrosion)

P. Colombar, A. Tournié, On-site Raman Identification and Dating of Ancient/Modern Stained Glasses at the Sainte-Chapelle, Paris. J. Cultural Heritage 8 [3] (2007) 242-256.

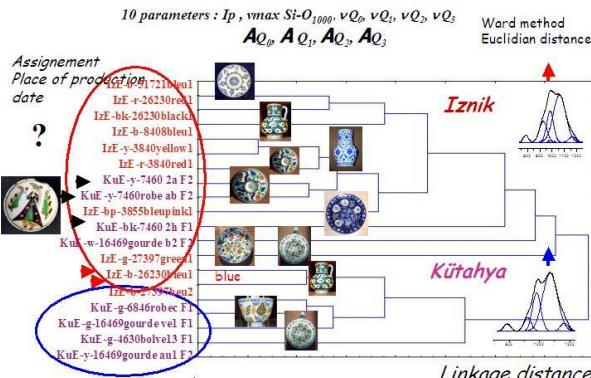
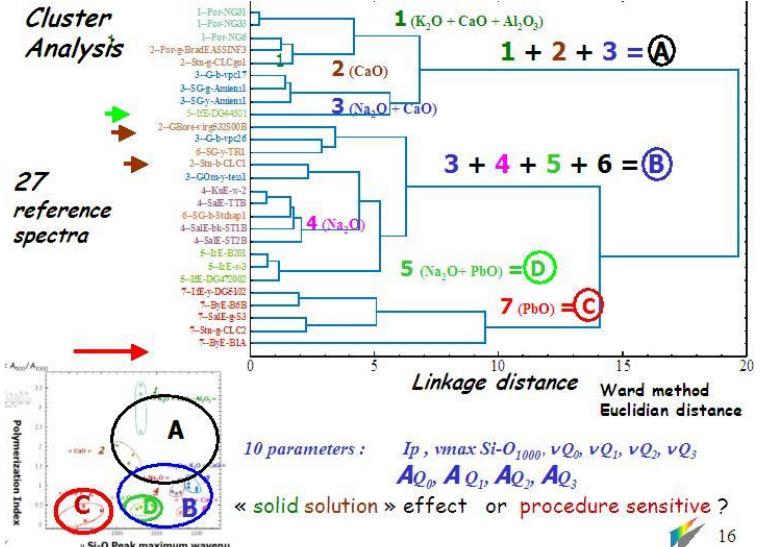
Application to Iznik/Kütahya pottery (provenance)

P. Colombar, Recent Case studies in the Raman Analysis of Ancient Ceramics: Glaze Opacification in Abbasid Pottery, Medici and 18th century French Porcelains, Iznik and Kütahya Ottoman Fritwares and Unexpected Lapis Lazuli Pigment in Lajvardina Wares Proc. of MRS 2004 Fall Meetings, 29th November–3 December 2004, Boston, USA, Symposium OO, vol 852, P. Vandiver, J. Mass & A. Murray Eds, 153-160.

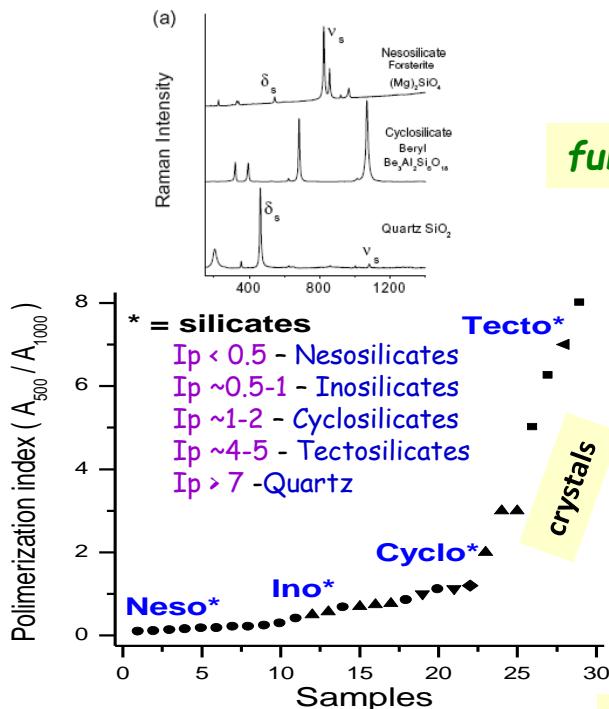
Application to Qing dynasty porcelain (ingredient provenance)

J. Burlot, D. Vangu, L. Bellot-Gurlet, P. Colombar, Raman identification of pigments and opacifiers: Interest and limitation of multivariate analysis by comparison with solid state spectroscopical approach J. Raman Spectrosc. 2023, submitted.

Cluster Analysis



Raman intensity ratio A_{500} / A_{1000} : technology (Tf, etc.)



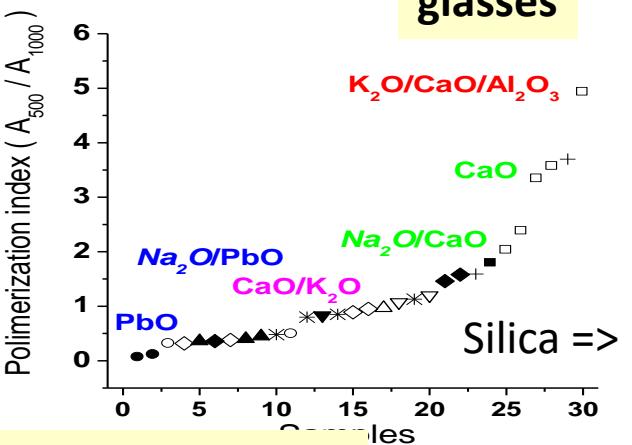
$Ip < 0.3 - 0.8$ - Pb rich silicates $\sim 600^\circ C$
 $0.8 < Ip < 1.1$ - Na rich silicates $\sim 1000^\circ C$
 $1.1 < Ip < 2.5$ - Ca rich silicates $\sim 1200^\circ C$
 $Ip > 2.5$ - K rich silicates $\Rightarrow +1400^\circ C$

full polymerized network

quartz

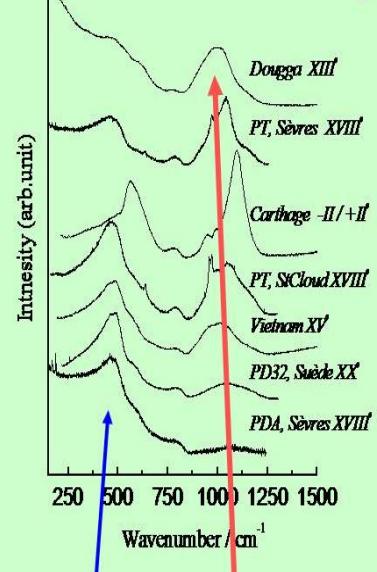
crystals

Melting temperature =>



same for crystalline and amorphous phosphates !

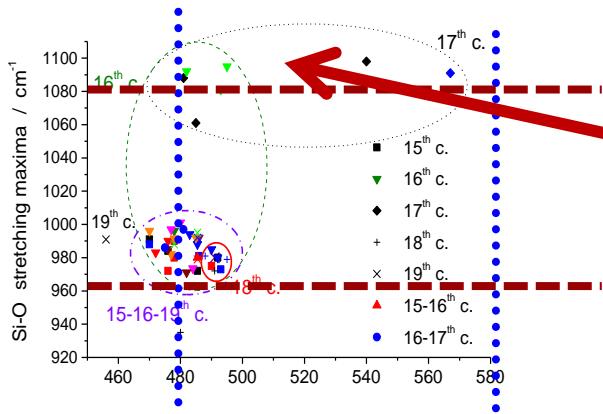
Melting temperature =





Kangxi paravent

PbO



except
for some 17th productions
"French technology"

China Court Jesuits

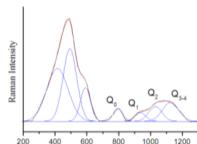
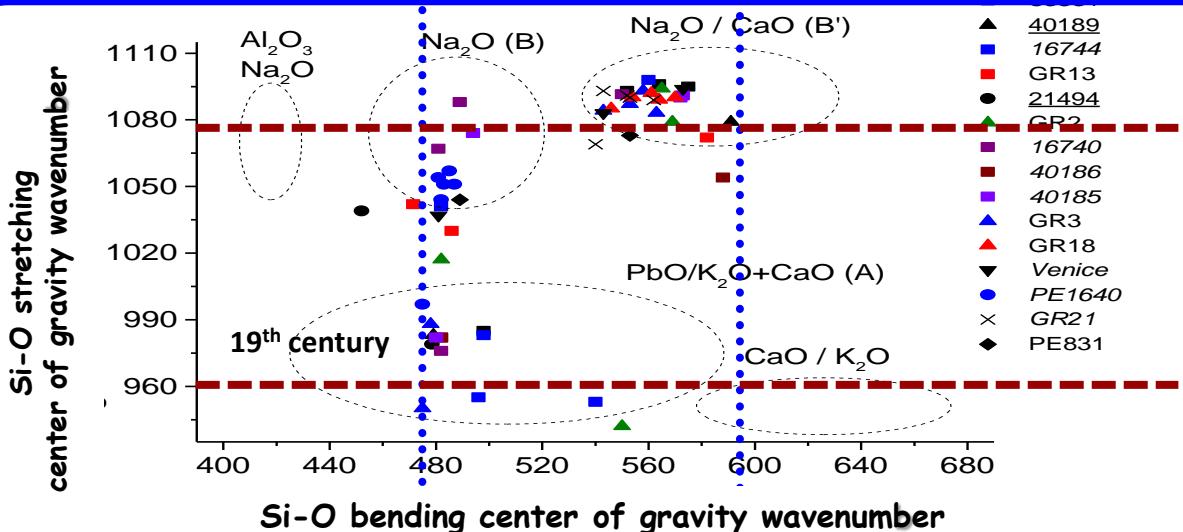
Lead-based enamels

15 to 19th century

Very narrow distribution
especially for 18th c. one
→ Same workshop?



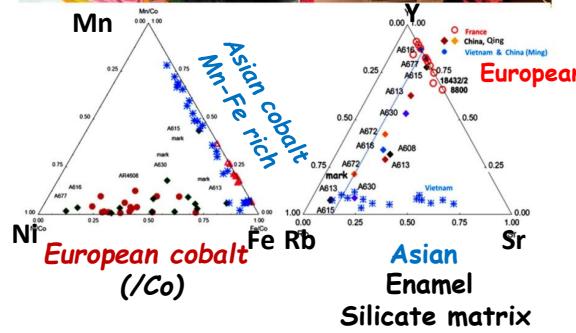
China cloisonné - Painted Limoges enamels comparison of glassy matrices



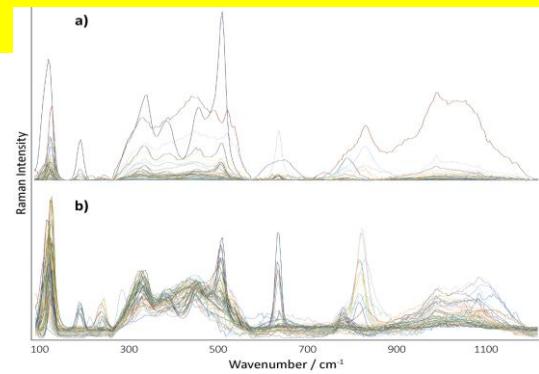
Limoges painted enamels
15th up to 18th century
sodium-based enamels

Qing Dynasty Imperial *huafalang* painted enamelled artefacts

Identification of artefacts with painted enamels prepared with Ingredients imported from Europe



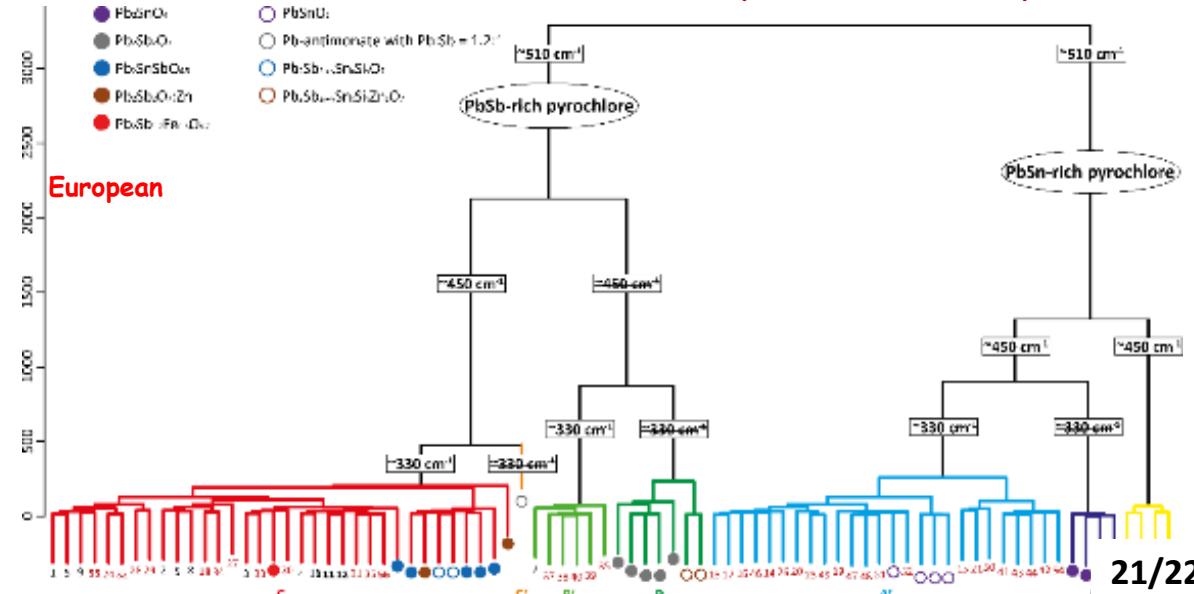
J. Burlot, D. Vangu, L. Bellot-Gurlet, P. Colombar,
Raman identification of pigments and opacifiers: Interest and limitation of
multivariate analysis by comparison with solid state spectroscopic
approach J. Raman Spectrosc. 2023, submitted.



(18th century : Kangxi to Qianlong reign)

Yellow (and green) enamels
3 different structures
both for
Sn- and Sb-based Naples yellow
(pyrochlore-like) pigments

Variables:
wavenumbers, band width & area of pigment signature
(silicate matrix excluded)



4. Conclusions & Perspectives

On-site non-invasive identification of amorphous and crystalline phases

- ⇒ Authentication (anachronisms, characteristic phases, ...)
- ⇒ Conservation (corrosion)
- ⇒ Datation (very surface protonation increases with centuries)

Requirements

Convenient laser source (color and power 100 to 300 mW)

High energy, good resolution spectrometers.

High magnification advanced optics

Deep understanding of the light-matter interaction and modeling

to extract information (statistical comparison, Raman is not a standard analytical technique)

Prospects

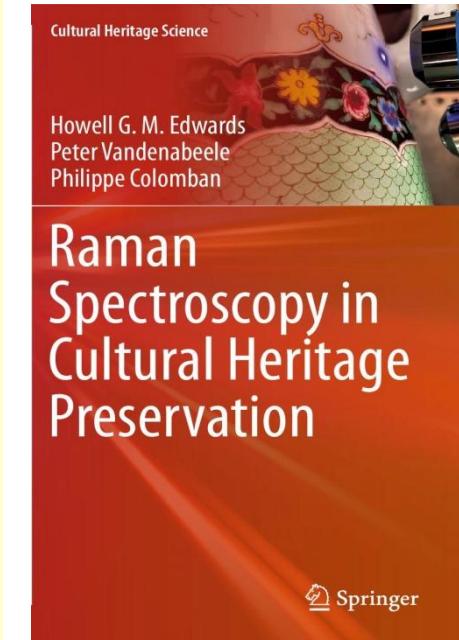
Compact spectrometer with blue laser

On-site Raman mapping

Higher performance CCD (pixel resolution)

Stand-off set-up (telescope, pulsed laser)

On-site SERS measurement, etc.



Thanks for attention

**S₃- chromophore
Lapis lazuli
already observed in**



- Ptolemaic glass, 1st c BCE
'Begram Hoard', Afghanistan

Coll. Musée national des arts asiatiques - Guimet
(Caggiani et al., Anal. Methods 2013)

- Ptolemaic enamelled faience (Pompei)

(A. Mangone, G. E. De Benedetto, D. Fico, L. C. Giannossa, R. Laviano, L. Sabbatini, I. D. van der Werf, A. Traini, New J. Chem., 2011, 35, 2860-2868)

- Roman Lübsow chalice

S. Greiff, J. Schuster, J. Cult. Herit. 2008, 9 Suppl., e27

- 14th c. Swabian-Norman-Islamic pottery (Sicilia, Apulia)

(R.J.H. Clark, C. Laganara et al., Bari University)

- 13th-14th c. Swabian-Norman-Islamic enameled glass

(M.C. Caggiani, A. Mangone et al., Bari University)

- 14th c. Lajvardina pottery

(Ph. Colomban)** in combination with ~2% Co !!

- 14th-15th c. Mamluk glass (some time in combination with Co)

- 18th Böttger porcelain (Saxony) : lapis as whitening agent of the glaze

OA
7352



Lamp bearing
the name of Sayf al-Din Tankizburghâ
Body : circa 1362
Egypt or Syria (Europe for foot)
Foot : 19th c. restoration Ph.J. Brocard

Comparison of coloring agents in Europe and China in the 17th - 18th centuries in glass/enamel (Qing Dynasty)

The phases detected by Raman micro-spectroscopy
are in bold, those whose elements are detected
by mobile XRF fluorescence are in italics.
In red underlined the imported recipes.

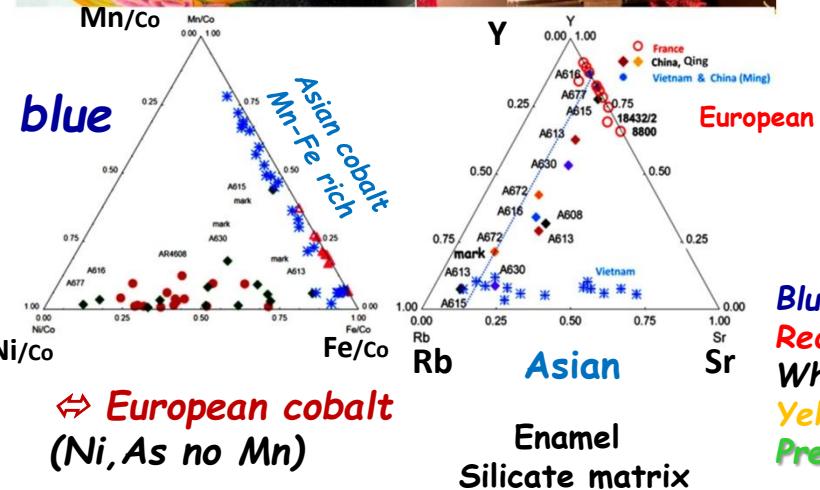
17世纪在欧洲和中国玻璃/珐琅着色剂的比较。
通过便携显微拉曼光谱检测的晶体粗体显示
通过移动XRF 荧光检测到的元素 以斜体书写
红色下划线表示为进口配方

Color 颜色	Europe 欧洲 (name/ formula) (物质名称/化学式)	Date (post quem) century 发明使用时间 (世纪)	Chine 中国 (特征物质/化学式) (characteristics/formula)	Date d'innovation/usage (Dynastie) 发明使用时间 (王朝)
White 白色	Cassiterite (SnO_2) 锡石	<5 th 5世纪之前	Imported 进口的	
			Fluorite (CaF_2) 萤石	> Song 自宋朝
	K, Ca – Pb Arsenates 砷酸盐 钾、铅和砷酸钙 $Na_{1-x-2y}K_xCa_yPb_4(AsO_4)_3$	~16 th 16世纪之前	Imported 进口的	
	($Ca_3(PO_4)_2$) phosphate 磷酸钙	<9 th 9世纪之前	Rare occurrences 罕见事件	
Blue 颜色 蓝色	Arsenic-rich cobalt 富砷钴矿	~16 th - 19 th 从 16 世纪到 19 世纪	Mn-rich cobalt 富锰钴矿 (Han blue 汉蓝) Arsenic-rich cobalt 富砷钴矿	Yuan – Ming “元”、“明” 时期
Yellow 黄色	$Pb_2Sn_2O_6$ - $Pb_2Sb_{2-x}M_xO_{7-d}$ M= Fe, Si, Zn (Naples Yellow) (烧绿石固溶体) pyrochlore	Antiquity (Sn) 古代 (15 th) 15世纪 文艺复兴时期 (Sb-Sn,Si,Zn,Fe)	Fe^{2+}/Fe^{3+} ions Fe^{2+} / Fe^{3+} 离子	> Han 汉代以来
Green 绿色	Cu^{2+}	Antiquity 古代	Cu^{2+} 离子	> Han 汉代以来
	Blue + Yellow 蓝色+黄色	Renaissance	Imported 进口的	
Red 红色	Hematite ($Fe_{2-x}M_xO_3$) 赤铁矿	Roman 罗马帝国	Hematite ($Fe_{2-x}M_xO_3$)	> Ming 自明朝
	Hercynite ($Fe_{2-x}M_xO_3$) 海长岩	Roman 罗马帝国		
	Cu° nanoparticles 铜纳米粒子	Celtic 凯尔特人	Cu° 铜纳米粒子	> Yuan 自元朝
	Au° nanoparticles 金纳米粒子	Roman (?) 罗马帝国 Renaissance (15 th)	Imported 进口的	

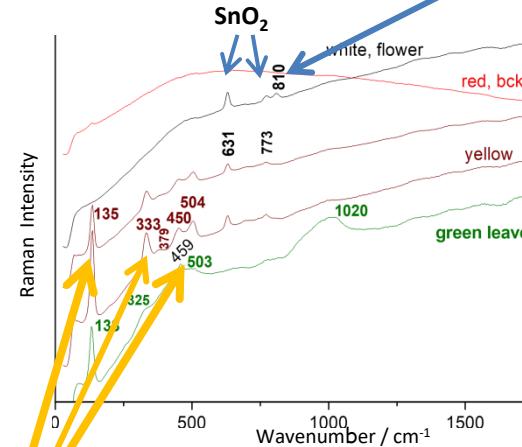
Qing Dynasty Imperial huafalang bowl (18th century : Kangxi to Qianlong reign)



pXRF

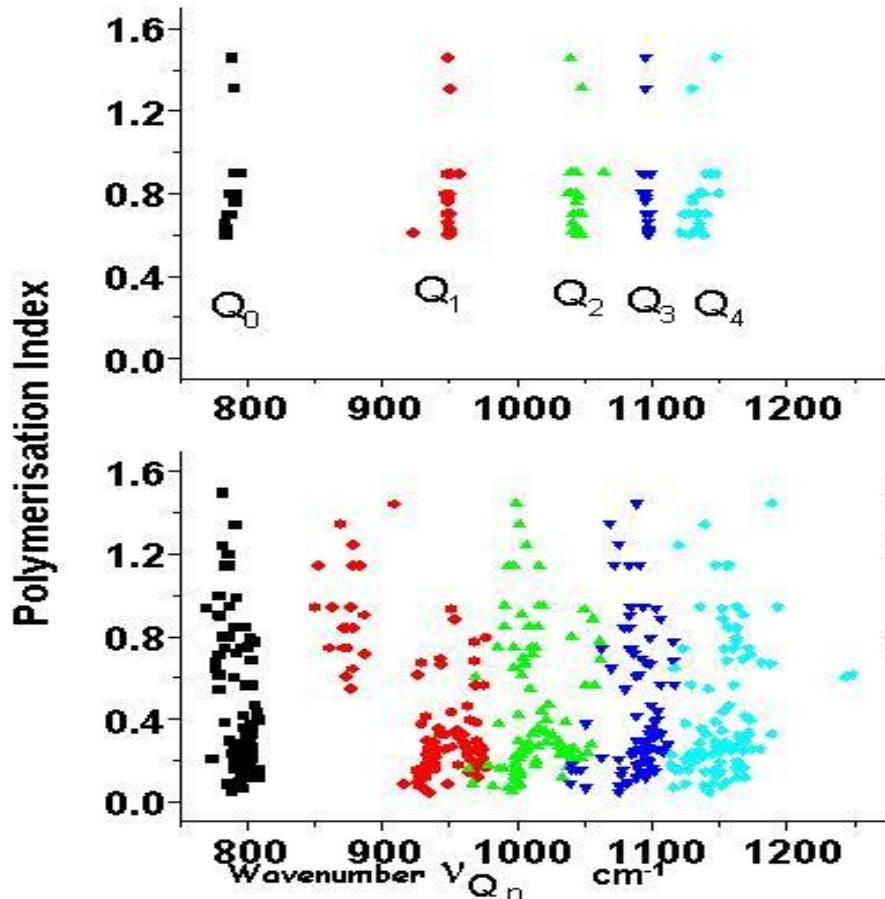


pRaman



huafalang enamels

Blue: Imported European cobalt under Jesuits guidance
 Red: Au° NPs (imported under Jesuits guidance)
 White : European recipes
 Yellow & green: Chinese recipes already imported from Europe during Previous Dynasty (Ming)



SiO_4 network

Roman glasses :
an unique signature
whatever the origin :
Italy, France, Tunisia

single technology &
composition

Stained glasses :
scattered signatures

Churches, France
⇒ many workshops &
many compositions

