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# Plasma electrolytic oxidation of aluminium in electrolytes containing various concentrations of carbon black nanoparticles

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1    **Abstract**

2    Incorporation of carbon-based nanoparticles into ceramic coatings during plasma electrolytic  
3    oxidation (PEO) is promising for the synthesis of new composite layers on lightweight metals.  
4    Specifically, the present study focuses on the incorporation of carbon black (CB)  
5    nanoparticles into PEO alumina layers. For this purpose, PEO of aluminium is performed in  
6    silicate-based electrolytes containing various concentrations of dispersed carbon black  
7    nanoparticles (from 0 to 6 g·L<sup>-1</sup>). The influence of this concentration on the microstructure of  
8    the achieved PEO coatings is investigated by combining complementary characterization  
9    techniques (scanning electron microscopy, X-ray diffraction and Raman spectroscopy).  
10   Results show that using concentrations up to 6 g·L<sup>-1</sup> tend to limit the morphological  
11   inhomogeneity between the edges and the centre of the treated samples. Moreover, the  
12   addition of carbon black nanoparticles results in a sponge-like outermost sublayer covering  
13   larger areas of the surface with abilities to host a higher amount of these nanoparticles. It is  
14   also evidenced that CB nanoparticles do not suffer any further structural degradation during  
15   their incorporation. In addition, cross-checked results show that the presence of dispersed CB  
16   nanoparticles slightly affect the coating average growth rate. As for potential future  
17   applications, the electrical volume conductivity of grown carbon-alumina composite coatings  
18   is also measured.

19

20   **Keywords:** Plasma Electrolytic Oxidation (PEO); Aluminium; Alumina coating; Carbon  
21   black; Nanoparticle; Electrical volume conductivity

22

23



## 1 **1. Introduction**

2 Over the last 25 years, plasma electrolytic oxidation (PEO) also known as micro-arc oxidation  
3 (MAO) has been widely studied due to its high potential to advantageously replace anodizing  
4 that often uses hazardous chemical compounds and requires several steps of cleaning,  
5 oxidation and sealing. Moreover, PEO makes it possible to grow thick and protective oxide  
6 coatings on valve metals (*e.g.* Al, Ti; Mg, Zr) in a single step and using a non-hazardous  
7 alkaline electrolyte [1-6]. More recently, PEO has gained a new interest by considering the  
8 possibility to elaborate ceramic-based composite coatings by incorporating elements dissolved  
9 or dispersed in the electrolyte into the inherent porosity of the PEO layers.

10 Indeed, it seems fairly obvious that the network of pores represents privileged sites in which  
11 particles added to the electrolyte can be trapped [7-13].

12 The mechanisms of incorporation of particles into the PEO coating are still unclear. Some  
13 authors, however, suggest that the insertion of particles into the layers takes place according  
14 to two mechanisms that can occur either separately or simultaneously:

15 (i) the transport of the particles from the electrolyte to the electrode and through the  
16 extreme surface porosity under the effect of the electric field (electrophoresis). This  
17 transport is predominant during the anodic phase, especially for particles with a  
18 negative zeta potential [8, 13, 14], and

19 (ii) the deposition and trapping of particles on the inner walls of pores mainly driven by  
20 the electrolyte infiltration [7, 15].

21 According to these mechanisms, it appears that several factors can influence the insertion of  
22 particles in the PEO layers:

- 1 - their electrokinetic potential. In most cases, particles exhibit a negative zeta potential in
- 2 an alkaline medium, and this potential increases in absolute value with the increase in
- 3 electrolyte pH [16];
- 4 - the relative size and shape of particles and pores [7, 17];
- 5 - the composition of the electrolyte, keeping in mind that the addition of particles in the
- 6 electrolyte can modify its conductivity [18-20];
- 7 - the electrical parameters of the process (applied voltage and current waveforms), which
- 8 define the electric field during the anodic and/or cathodic phases as well as the micro-
- 9 discharge characteristics and behaviour;
- 10 - the nature and concentration of particles dispersed in the electrolyte.

11 Finally, the incorporation of particles or elements from the electrolytic bath can be either inert  
12 – the particles are simply trapped in the available pores, like physisorption – or reactive. In  
13 the latter case, the incorporated elements react with the host sites and their environment,  
14 which can result in modifications of the microstructure and/or the composition of the layers  
15 [21, 22]. Depending on the desired properties of the PEO coatings, there is a wide range of  
16 particles that can be dispersed into the electrolyte and incorporated in the PEO layers. These  
17 particles include metals, ceramics, nitrides, sulfides, polymers [9, 10, 21, 23-26]. The review  
18 paper by Lu *et al.* [8] gives an extensive overview of the most used particles to improve the  
19 PEO coating properties.

20 Despite the large number of papers reporting on the PEO with particle incorporation, those  
21 dealing with the incorporation of carbon-based nanoparticles only account for less than 8%  
22 [27]. Moreover, most of these papers focus on the final properties of the composite coatings  
23 and only few relate to the process itself and the mechanisms of incorporation of particles  
24 during PEO.



1 Carbon black (CB) nanoparticles have been widely used for many decades for various  
2 applications [28], essentially as a pigment and reinforcing phase in the tire industry. Due to  
3 their electrical properties, carbon blacks are also used in various kinds of batteries [29-31] and  
4 can be incorporated in polymer matrix to elaborate conductive carbon-polymer composites  
5 [32, 33]. Hence, the present work focuses on the incorporation of carbon black nanoparticles  
6 in the PEO coatings by dispersing CB with various concentrations in the electrolyte, and on  
7 their influence on the characteristics of the resulting coatings, including the electrical volume  
8 conductivity.

9

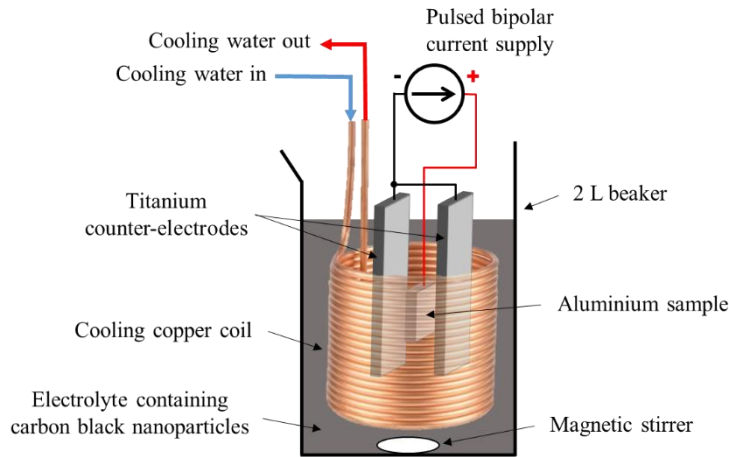
## 10 **2. Material and methods**

### 11 2.1 Experimental set up

12 The PEO treatments were carried out in a 2 L beaker filled with the electrolyte containing a  
13 carbon black suspension (Fig. 1). The choice of working with a small volume tank was  
14 motivated by the presence of carbon nanoparticles. Indeed, a small volume reduces the  
15 required quantity of particles, thus reducing their consumption as well as waste by-products.  
16 Moreover, thermal regulation of the electrolyte usually involves circulating it through a heat  
17 exchanger with a pump, which is not suitable when solid particles are dispersed in the  
18 electrolyte. Indeed the particles could accumulate in the recirculation pipes and heat  
19 exchanger with the risk of clogging it. Particles could also be lost at the internal walls of the  
20 pipes and heat exchanger resulting in a decreasing particle concentration in the electrolyte  
21 during the process. Instead, cold water at a temperature of 18 °C flowed in a cooling copper  
22 coil that surrounded the electrodes, thus keeping the electrolyte temperature around 40 °C.  
23 Rectangular samples ( $32 \times 50 \times 5 \text{ mm}^3$  in size) made of Al-1050 (Table 1) were used as  
24 working electrodes. The processed aluminium sample and two titanium counter electrodes



1 (120 × 50 × 1 mm<sup>3</sup>) were immersed in the electrolyte. The distance between the working  
 2 electrode and each counter electrode was set at 20 mm. During the PEO treatment, a magnetic  
 3 stirrer was used to prevent the CB nanoparticles sedimentation, and to ensure a homogeneous  
 4 electrolyte temperature.



5  
 6 **Fig. 1.** Experimental setup for PEO treatments with dispersed carbon black nanoparticles in  
 7 the electrolyte.

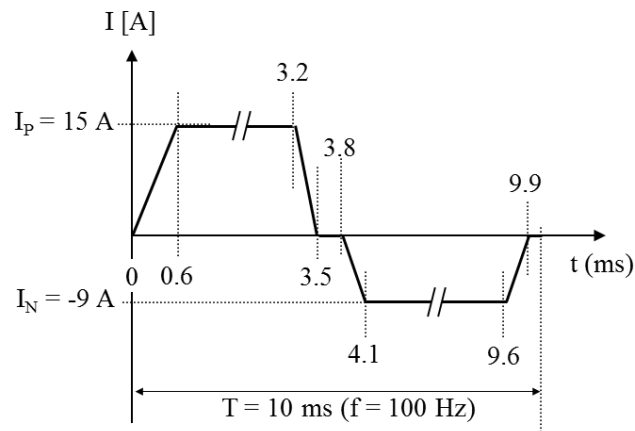
8  
 9 **Table 1**

10 Elemental composition of the 1050 aluminium substrate.

| Element | Fe, Ti, Zn | Si     | Cu   | Mg     | Mn     | Al      |
|---------|------------|--------|------|--------|--------|---------|
| wt. %   | < 0.5      | < 0.25 | 0.05 | < 0.05 | < 0.05 | Balance |

11  
 12 The aluminium sample and the counter electrodes were connected to a pulsed bipolar current  
 13 supply (Ceratronix<sup>®</sup> CER004 [34]). The anodic and cathodic current waveforms could be  
 14 adjusted in amplitude and duration to set the positive and negative charge quantity at the  
 15 desired values. In the present work, the current parameters were set according to Table 2; a  
 16 schematic drawing of the current waveform is depicted in Fig. 2. A full description of the  
 17 current waveform is given in [35]. Within this set of parameters, the negative to positive

- 1 charge quantity ratio was slightly lower than 1 ( $\sim 0.88$ ), which favoured the occurrence of the
- 2 "soft" sparking regime [35-37].



3

- 4 **Fig. 2.** Schematic drawing of one period of the current waveform indicating the time at each
- 5 change in the current rising/falling rate according to Table 2.

6 **Table 2**

7 Parameters of the current waveform.

|   |                      |       |
|---|----------------------|-------|
| Current pulse frequency (Hz)                  |                      | 100   |
| Anodic current pulse                          | Rise time (ms)       | 0.6   |
|   | Pulse duration (ms)  | 2.6   |
|   | Fall time (ms)       | 0.3   |
|   | Amplitude (A)        | 15    |
|   | Charge per pulse (C) | 0.046 |
| Delay between anodic and cathodic pulses (ms) |                      | 0.3   |
| Cathodic current pulse                        | Rise time (ms)       | 0.3   |
|   | Pulse duration (ms)  | 5.5   |
|   | Fall time (ms)       | 0.3   |
|   | Amplitude (A)        | -9    |
|   | Charge per pulse (C) | 0.052 |



|   |     |
|---|-----|
| Delay between cathodic and anodic pulses (ms) | 0.1 |
|---|-----|

1

2 An alkaline mother solution was prepared by diluting potassium hydroxide (KOH:  $1 \text{ g}\cdot\text{L}^{-1} \cong$   
3  $17.86 \text{ mmol}\cdot\text{L}^{-1}$ ) and sodium silicate ( $\text{Na}_2\text{SiO}_3$ :  $1.65 \text{ g}\cdot\text{L}^{-1} \cong 13.52 \text{ mmol}\cdot\text{L}^{-1}$ ) in deionized  
4 water. Its measured electrical conductivity and pH were  $7.5 \text{ mS}\cdot\text{cm}^{-1}$  and 12.3, respectively.  
5 From this mother solution, different electrolytes were prepared by dispersing carbon black  
6 nanoparticles with concentrations ranging from  $0 \text{ g}\cdot\text{L}^{-1}$  to  $6 \text{ g}\cdot\text{L}^{-1}$ . The carbon-based  
7 nanoparticles being intrinsically hydrophobic, the CB suspensions were submitted to probe  
8 sonication during 30 min before PEO processing. During PEO processing, both the magnetic  
9 stirrer and the electrolyte bubbling maintained the carbon nanoparticle suspension. In the  
10 following, samples are referred to as CBx, where x stands for the CB concentration (in  $\text{g}\cdot\text{L}^{-1}$ )  
11 in the base electrolyte as reported in Table 3. In order to avoid the ageing effect of the  
12 electrolyte [38], and to ensure a controlled CB concentration, a fresh CB suspension was  
13 made for each sample. The treatment duration was set to 60 min for each sample.

14

15 **Table 3**

16 Concentration of dispersed carbon black nanoparticles used in different electrolytes, and  
17 corresponding sample identification.

| CB ( $\text{g}\cdot\text{L}^{-1}$ ) | 0   | 1   | 2   | 4   | 6   |
|-------------------------------------|-----|-----|-----|-----|-----|
| Sample name                         | CB0 | CB1 | CB2 | CB4 | CB6 |

18

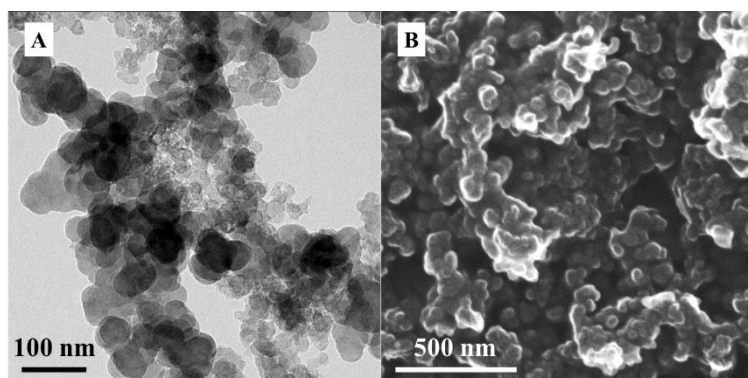
19 Carbon black nanoparticles (Vulcan XC-72) were provided by Cabot Corp.

20 Thermogravimetric analysis (TGA Setsys Evolution 1750 Setaram) of carbon black



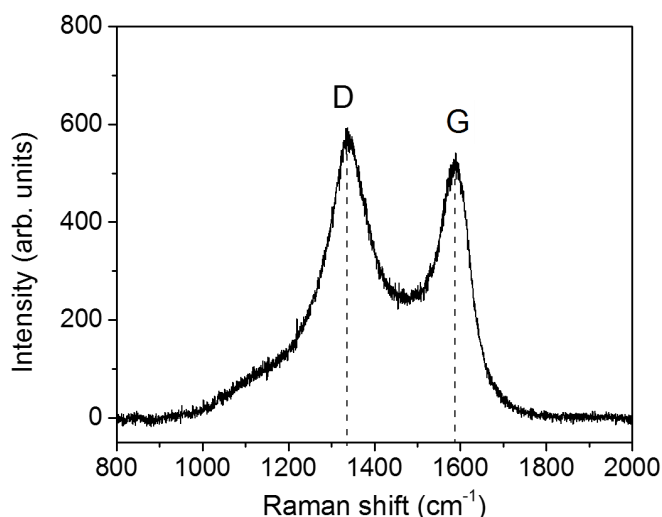
1 nanoparticles was performed prior to their dispersion in the electrolyte. The CB powder was  
2 heated in a platinum crucible under dry air from ambient temperature to 1000 °C with  
3 3 °C min<sup>-1</sup> heating rate. TGA revealed that CB nanoparticles were of high purity (less than  
4 0.7 wt% impurities). Scanning electron microscopy (SEM FEI Quanta 650 FEG),  
5 transmission electron microscopy (TEM Phillips CM200), and Raman spectroscopy with an  
6 exciting radiation wavelength of 532 nm in the range 800-2000 cm<sup>-1</sup> (Horiba Jobin-Yvon  
7 LabRam HR800) were used to characterize the produced PEO coatings as well as the as-  
8 received CB nanoparticles. SEM and TEM micrographs and the Raman spectrum of the  
9 pristine CB nanoparticles are shown in Fig. 3 and Fig. 4, respectively.

10



11

12 **Fig. 3.** TEM (A) and SEM (B) micrographs of the pristine carbon black nanoparticles used for  
13 dispersion in the PEO electrolyte.



1

2 **Fig. 4.** Raman spectrum ( $\lambda = 532$  nm) of the pristine carbon black nanoparticles used for  
 3 dispersion in the PEO electrolyte.

4

5 SEM and TEM micrographs reveal that CB nanoparticles are agglomerated in pristine state.

6 Their spherical shape is observed by TEM experiments, and one can note the presence of two  
 7 main sizes of particles, about 20 and 50 nm in diameter. The Raman spectrum recorded in the  
 8 800-2000 cm<sup>-1</sup> region shows the presence of the typical D and G bands for carbon materials  
 9 [39].

10 After the PEO treatment, the processed samples were rinsed with ethanol, cleaned in an  
 11 ultrasonic bath in ethanol in order to remove all non-incorporated CB nanoparticles, dried,  
 12 and stored in a dry environment before *ex situ* characterizations.

13

#### 14 2.2 *Ex situ* characterization of the PEO coatings

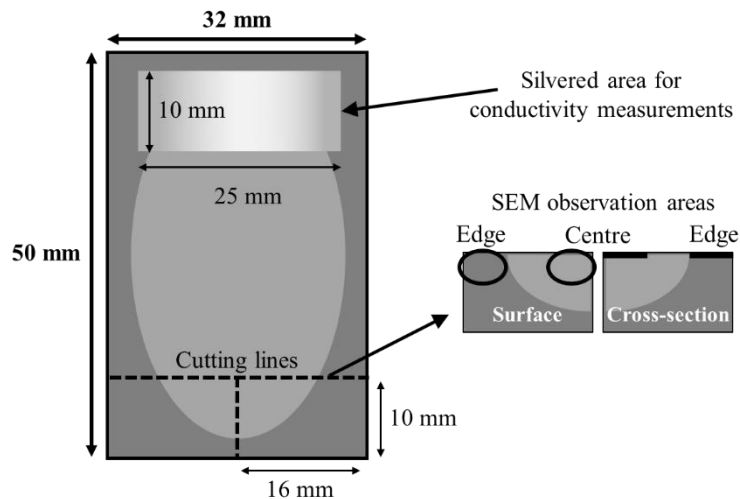
15 Cross-sections of the processed samples were examined by SEM (FEI – Quanta 650 FEG)  
 16 working in backscattered electron mode at 20 kV accelerating voltage. Prior to SEM

1 observations, samples were cut, mounted in resin and finely polished with 1  $\mu\text{m}$  diamond  
2 paste. Top surface of the processed samples were observed by SEM in secondary electron  
3 mode at 20 kV accelerating voltage. To avoid surface charging issues from improper electron  
4 evacuation during SEM observations, the samples were coated with a 10 nm gold thin film.  
5 Observations were systematically done at both the centre and the edge of each sample in order  
6 to account for possible coating inhomogeneity due to edge effect. The centre and edge areas  
7 of the sample are defined as depicted in Fig. 5.

8 For each zone (edge and centre), the coating thickness was determined as the average value of  
9 40 measurements (20 on each side, front and back, of the sample) taken randomly on the  
10 considered zone by an Eddy current gauge (Fischer Isoscope FMP10-FTA3.3H). Moreover, to  
11 get a better overview of the variation of thickness over the sample surface, thickness  
12 measurements were also done using ImageJ software on SEM cross-section views at rather  
13 low magnification. A hundred measurements regularly spaced of 30  $\mu\text{m}$  were taken and  
14 averaged in the two zones. The phase composition of the coatings was determined by X-ray  
15 diffraction (XRD) measurements in Bragg-Brentano geometry using the  $\text{Cu-K}_{\alpha 1}$  radiation at  
16  $\lambda = 0.1542 \text{ nm}$  (Bruker D8 ADVANCE diffractometer). Finally, CB nanoparticles  
17 incorporated through the PEO coatings were also characterized by Raman spectroscopy  
18 measurements performed with an exciting radiation wavelength of 532 nm in the range 800-  
19 2000  $\text{cm}^{-1}$ .

20 Because of the high value of the initial estimates of the sample resistance, electrometric  
21 methods must be used to precisely determine the volume conductivity of the composite  
22 coatings. Conductivity measurements were performed at room temperature using a Keithley  
23 610BR electrometer in ohmmeter mode. It was connected to the test set-up by a short length  
24 coaxial transmission cable and PTFE insulated connectors. The measuring device consisted of

1 two brass clamps on a PTFE block, shielded by a 2 mm thick copper box. The volume  
2 resistance of the coating was measured between a 2.5 cm<sup>2</sup> area covered with silver paint on  
3 the surface coating (Fig. 5) and the underlying aluminium substrate.



4

5 **Fig. 5.** Schematic drawing showing the SEM observation areas at the centre and at the edge of  
6 the PEO processed samples, and the delimited silvered area for conductivity measurements.

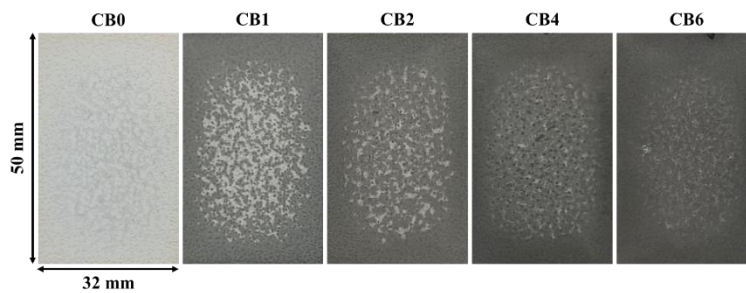
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### 8 **3. Results and discussion**

9 3.1 Visual aspects the PEO treated samples.

10 Fig. 6 shows macroscopic views of the sample surface after PEO treatment for various  
11 concentrations of CB nanoparticles dispersed in the electrolyte. Depending on this  
12 concentration, the samples exhibit a more or less dark grey colour as compared with the  
13 nearly white colour coating of the sample processed without carbon black nanoparticles  
14 (CB0). This indicates that carbon nanoparticles are indeed incorporated, at least on the top-  
15 most sublayer of the PEO coatings. An inhomogeneity in the colouring can also be observed  
16 between the centre and the edges of the samples, the edges being darker in case of a treatment  
17 with CB nanoparticles. Such an inhomogeneity is likely due to a stronger electric field at the

1 edges as already pointed out in references [40, 41], which results in a higher growth rate and  
2 likely a greater particle incorporation. Moreover, as the concentration in nanoparticles  
3 increases, the visual aspect of the coatings becomes more homogeneous with a larger  
4 expansion of the dark zones from the edges to the centre, up to a concentration of  $6 \text{ g}\cdot\text{L}^{-1}$   
5 (CB6).



6  
7 **Fig. 6.** Macroscopic views of the sample surface after PEO treatment using different  
8 concentrations of carbon black nanoparticles dispersed in the electrolyte.

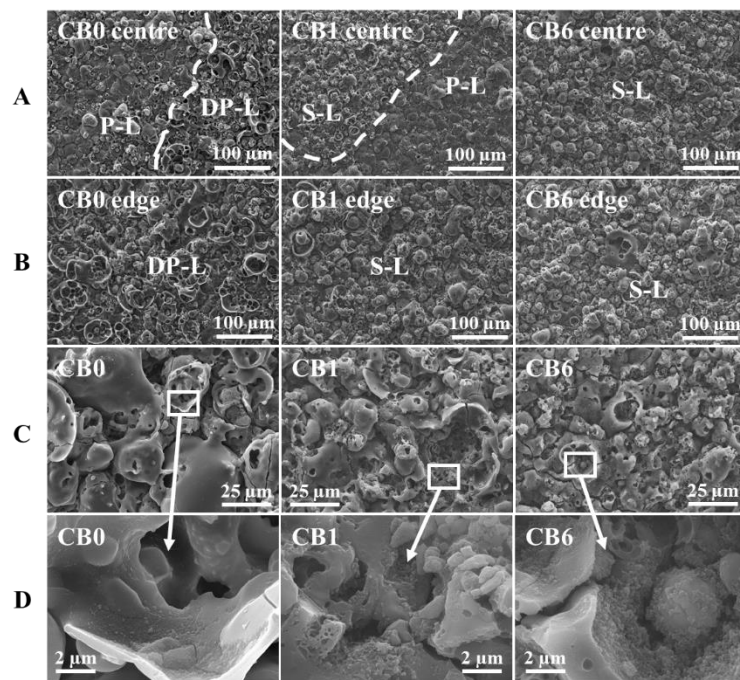
9  
10 3.2 Morphology of the PEO coatings.

11 Top-surface SEM micrographs of the processed samples shown in Fig. 7 evidence different  
12 morphologies of the PEO layers according to whether they were achieved with or without CB  
13 nanoparticles. Sample CB0 exhibits in the centre a mix of a pancake-like morphology as  
14 usually reported for PEO processed aluminium samples in silicate-containing electrolyte [2]  
15 and a morphology that looks like a pancake-like structure that was damaged, likely because of  
16 discharges igniting there. The edge of the sample is only covered with such a damaged  
17 pancake-like morphology. Adding CB nanoparticles in the base electrolyte results in a slightly  
18 different morphology of the layers that exhibits a sponge-like morphology with few remaining  
19 pancake-like structures, with the sponge-like structure covering larger area as the CB  
20 concentration in the electrolyte increases. Furthermore, this sponge-like morphology is a well-  
21 known feature associated with the "soft" sparking regime as encountered when supplying the

1 electrodes with a pulse bipolar current waveform having an anodic to cathodic charge quantity  
2 ratio slightly lower than 1 [35, 42]. Therefore, this change in surface morphology is likely  
3 related to an earlier transition to the "soft" sparking regime when the concentration in  
4 carbonaceous nanoparticles in the electrolyte increases. Indeed, we recently reported that the  
5 time needed for the PEO process to switch from arc to "soft" sparking regime linearly  
6 decreases with the increase in the content of carbon nanoparticles, whether they are carbon  
7 nanotubes [43] or carbon black nanoparticles [44]. It is also worth noting that, specifically for  
8 the sample CB6 treated in presence of the highest concentration in CB nanoparticles, the  
9 surface morphology of the oxide coating is less subject to the edge effect and remains quite  
10 similar both at the centre and the edges of the sample (Fig. 7A & 7B), which confirms visual  
11 observations (see section 3.1).

12 At higher magnification (Fig. 7D), SEM observation reveals the presence of nanoparticles in  
13 the porosity of the coatings for all samples processed with CB nanoparticles dispersed in the  
14 electrolyte. Analyses of the surface of all samples by Raman spectroscopy confirm that these  
15 nanoparticles imbedded in the coating surface porosity consist indeed of carbon black  
16 nanoparticles (see section 3.5).

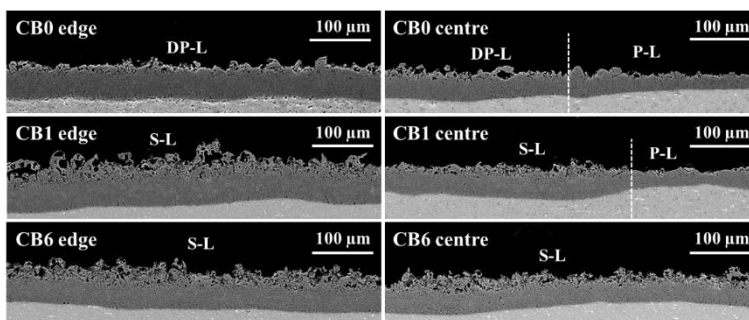
17 The changes in coating morphology are also noticeable on SEM cross-section views (Fig. 8).  
18 Sample CB0 exhibits a rather dense inner sublayer and a thin outer sublayer mainly composed  
19 of pancake-like structures. In contrast, the sample processed with a low concentration of  
20 nanoparticles (CB1) presents a combined arrangement of pancake-like structures and sponge-  
21 like ones, while with a higher CB concentration in the electrolyte (CB6), the sponge-like  
22 structure dominates and covers the whole sample surface.



1

2 **Fig. 7.** Top view SEM micrographs of the samples processed with different carbon black  
 3 concentrations. Low magnification SEM images taken at the centre (A) and at the edge (B) of  
 4 the samples. Medium (C) and high (D) magnification SEM images showing nanoparticles in  
 5 the porosity of samples processed with carbon black nanoparticles dispersed in the electrolyte.  
 6 The dash lines in A delimit zones with different morphology. S-L, P-L, and DP-L stand for  
 7 sponge-like, pancake-like, and damaged pancake-like structures, respectively.

8



9

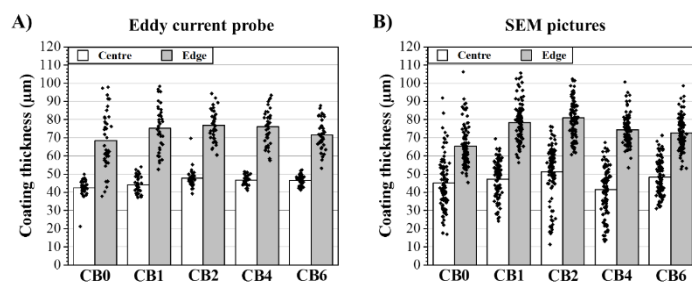
10 **Fig. 8.** Cross-section SEM micrographs of the samples processed with different carbon black  
 11 concentrations. S-L, P-L, and DP-L stand for sponge-like, pancake-like, and damaged  
 12 pancake-like structures, respectively

13



1 3.3 Growth rate of the PEO layers.

2 As observed on SEM cross-section views (Fig. 8), the effect of introducing CB nanoparticles  
3 in the electrolyte on the coating thickness is not that obvious. Therefore, thickness  
4 measurements were performed according to the measurement procedures described in section  
5 2.2. Thickness values obtained by using an Eddy current probe (Fig. 9A) show that the  
6 average thickness is rather the same whatever the CB concentration dispersed in the  
7 electrolyte. However, Eddy current probe has a measurement area of the order of a few square  
8 millimetres. To get more localized measurements, thickness values were also determined from  
9 cross-section SEM pictures (Fig. 9B). Several observations arise from these measurements.  
10 First, the coating inhomogeneity observed on macroscopic views (Fig. 6) is linked to an  
11 uneven thickness of the coating over the sample, with a much thicker layer at the edges than  
12 at the centre. Second, it appears that the inhomogeneity is less pronounced as the CB  
13 concentration in the electrolyte increases, as evidenced by a lower dispersion in the data  
14 points (Fig. 9 and Table 4). Third, it is noticeable that the dispersion of thickness values  
15 decreases as the concentration in CB increases. Finally, it is worth noting that the sample  
16 processed without CB (CB0) exhibits a slightly lower average growth rate than those treated  
17 with CB, irrespective of the CB concentration in the electrolyte.



18

19 **Fig. 9.** Coating thickness measured (A) with Eddy current probe and (B) from SEM pictures.  
20 Average thickness values in the centre (white bars) and at the edges (grey bars) of the sample,

1 together with all data points (black dots) that were used to determine the average thickness  
2 values. See section 2.2 for the measurement procedure.

3

#### 4 **Table 4**

5 Standard deviation of coating thickness values measured with Eddy current probe and from  
6 SEM pictures.

| Sample                                  |                 |        | CB0  | CB1  | CB2  | CB4  | CB6 |
|---|-----------------|--------|------|------|------|------|-----|
| Standard deviation<br>( $\mu\text{m}$ ) | Eddy<br>current | Centre | 4.4  | 4.7  | 4.7  | 2.6  | 2.7 |
|   |                 | Edge   | 15.7 | 12.1 | 8.3  | 9.3  | 8.0 |
|   | SEM             | Centre | 14.0 | 9.7  | 14.8 | 13.2 | 9.6 |
|   |                 | Edge   | 10.7 | 10.8 | 10.5 | 8.0  | 8.6 |

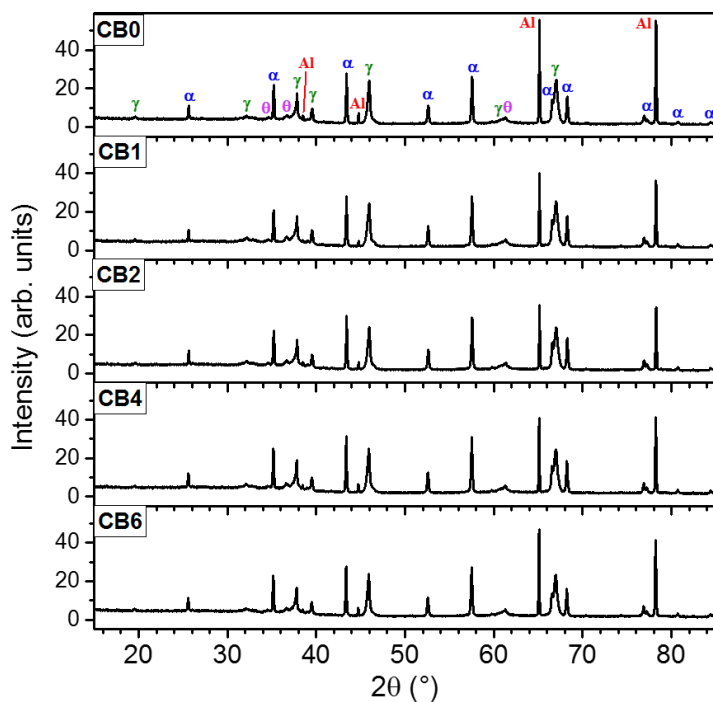
7

#### 8 3.4 Crystallographic phase composition of the PEO coatings

9 X-ray diffraction (XRD) measurements were carried out in order to identify the different  
10 polymorphs of aluminium oxide formed during PEO of aluminium in presence or not of  
11 dispersed CB nanoparticles, and to estimate their relative phase proportion. The  
12 corresponding X-ray diffraction patterns are shown in Fig. 10. Whatever the concentration in  
13 CB, diffraction peaks are fine and well defined, indicating a good crystallinity of the coatings.  
14 The aluminium peaks are due to the metallic substrate. All other peaks are due to the PEO  
15 coatings in which two main crystalline polymorphic phases of aluminium oxide are detected:  
16 the rhombohedral  $\alpha\text{-Al}_2\text{O}_3$  that corresponds to the stable alumina phase formed at high  
17 temperatures ( $> 1100\text{ }^\circ\text{C}$ ) and the cubic  $\gamma\text{-Al}_2\text{O}_3$  that corresponds to a low temperature  
18 metastable phase. Some small diffraction peaks corresponding to monoclinic  $\theta\text{-Al}_2\text{O}_3$  are also  
19 detected. As proposed by some authors [45, 46], the relative proportion of  $\alpha\text{-}$  and  $\gamma\text{-Al}_2\text{O}_3$  was



1 evaluated as a function of the concentration in CB particle from the relative area of the (113)  
 2 reflexion peak of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> at 43.6° ( $I_\alpha$ ) to that of the (400) one of  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> at 45.9° ( $I_\gamma$ ). The  
 3 value of this  $I_\alpha/I_\gamma$  ratio, given in Table 5, is close to 0.5 for all samples suggesting that the  
 4 presence of CB nanoparticles does not modify the crystallographic phase proportion of PEO  
 5 oxide coatings achieved on aluminium.



6  
 7 **Fig. 10.** XRD patterns (Cu-K $\alpha_1$ ,  $\lambda = 0.1542$  nm) recorded on aluminium samples PEO  
 8 processed with different concentrations in carbon black nanoparticles dispersed in the  
 9 electrolyte. **Al** is for aluminium (PDF 04-0787);  **$\alpha$**  for  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (PDF 46-1212);  **$\gamma$**  for  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>  
 10 (PDF 74-2206) and  **$\theta$**  for  $\theta$ -Al<sub>2</sub>O<sub>3</sub> (PDF 23-1009).

11

12 **Table 5**

13 Relative integrated intensity of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (113) and  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (400) diffraction peaks at 43.6°  
 14 and 45.9°, respectively, for different concentrations of CB nanoparticles.

| Sample              | CB0  | CB1  | CB2  | CB4  | CB6  |
|---------------------|------|------|------|------|------|
| $I_\alpha/I_\gamma$ | 0.49 | 0.43 | 0.48 | 0.49 | 0.51 |



1

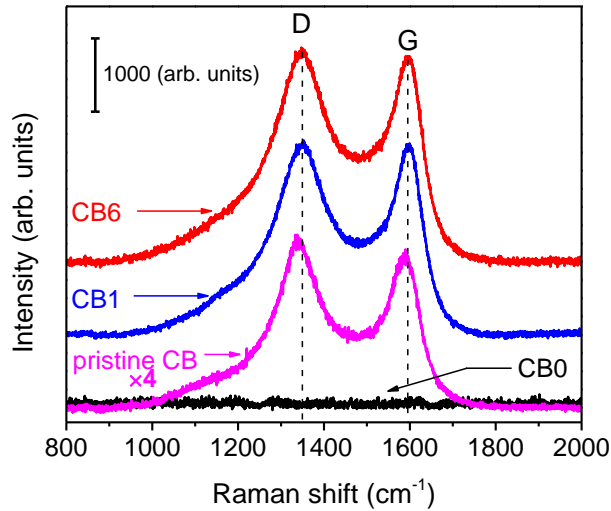
## 2 3.5 Characterization of PEO coatings by Raman spectroscopy

3 Fig. 11 shows the Raman spectra recorded on the surface (at the edge area) of CB0, CB1, and  
4 CB6 samples. As a reminder, data from Fig. 4 (multiplied by 4 for an easier comparison) are  
5 also plotted in Fig. 11. As expected, no vibrational band of CB is detected for sample CB0  
6 processed without nanoparticles. In contrast, for samples CB1 and CB6, the spectra reveal  
7 two bands attributed to carbon nanoparticles: the D band around  $1350\text{ cm}^{-1}$  associated with  
8 the structural defects of the carbon black nanoparticles, and the G band around  $1600\text{ cm}^{-1}$  due  
9 to the in-plane vibrations of  $\text{sp}^2$  carbon atoms. It is also worth noting that the spectra of the  
10 CB1 and CB6 samples are superimposable, which means that increasing the concentration of  
11 CB in the electrolyte does not modify the structural properties of the incorporated CB  
12 nanoparticles. Moreover, the comparison of these spectra with that of the pristine CB  
13 nanoparticles does not evidence any significant difference, thus indicating that incorporated  
14 CB nanoparticles are not degraded by the PEO process. These results clearly show that CB  
15 nanoparticles are efficiently incorporated in the PEO coating, without being damaged.

16

17

18



1

2 **Fig. 11.** Raman spectra ( $\lambda = 532$  nm) of the surface of PEO coatings obtained with different  
 3 concentrations in carbon black nanoparticles dispersed into the electrolyte (0, 1 and 6 g·L<sup>-1</sup>).  
 4 Spectra were recorded at the edge area of the samples.

5

### 6 3.6 Electrical properties of the PEO coatings

7 The volume conductivity  $\sigma_V$  (S·cm<sup>-1</sup>) was calculated from the volume resistance value  $R_V$  ( $\Omega$ )  
 8 according to the following equation:

$$9 \quad \sigma_V = \frac{l}{R_V} \times \frac{e}{A} \quad (1)$$

10 where  $e$  (cm) is the average thickness of the coating measured on the edge of the sample by  
 11 Eddy current probe, and  $A$  (cm<sup>2</sup>) is the area of the measuring zone.

12

13 The values given in Table 6 show a volume conductivity of the order of 10<sup>-12</sup> S·cm<sup>-1</sup>, which  
 14 corresponds to values reported for usual porous alumina layers like those deposited by  
 15 thermal spray [47-49], with some defects and/or impurities.

1 Values vary slightly, but remain within this range, despite the incorporation of carbon black  
2 nanoparticles in the layers.

3

#### 4 **Table 6**

5 Volume resistance  $R_V$  and volume electrical conductivity  $\sigma_V$  of the PEO coatings obtained  
6 with different CB concentrations dispersed into the electrolyte.

| Sample   | CB0  | CB1  | CB2  | CB4  | CB6  |
|--|------|------|------|------|------|
| $R_V$ ( $10^9 \Omega$ )                                | 1.65 | 1.45 | 1.50 | 1.05 | 2.25 |
| $\sigma_V$ ( $10^{-12} \text{ S}\cdot\text{cm}^{-1}$ ) | 1.65 | 2.07 | 2.05 | 2.90 | 1.28 |

7

### 8 3.7 Discussion

9 From the results and observations presented above, it can be suggested that the CB  
10 nanoparticles do not incorporate deeply in the layers and are likely located in the outer porous  
11 sublayer. To sustain this hypothesis, Raman spectra were recorded along the coating thickness  
12 of sample CB6. For this, the coating was detached from the aluminium substrate by immersing  
13 the sample in a 1M NaOH solution for about 2 hours. After dissolution of the substrate, the  
14 resulting self-supported layer was broken to reveal the transverse coating cross section on which  
15 Raman spectroscopy was performed. This cross section was also observed using a ZEISS  
16 Gemini SEM 500 in SE mode at 2 kV accelerating voltage, which allowed us to work without  
17 depositing a conductive gold layer prior to the observations. Fig. 12 shows the Raman spectra  
18 recorded at various depths of the coating, together with a SEM micrograph on which the  
19 locations of the measurement points are reported.

20

21 These spectra clearly evidence that carbon particles are only detected in the outer porous  
22 sublayer. This is in agreement with the incorporation mechanism driven by the electrolyte  
23 filling the pores left after the extinction of micro-discharges ignited at the surface, as proposed  
24 by O'Hara *et al.* [13] and by Da Silva Tusch *et al.* [50]. Nevertheless, the absence of CB  
25 fingerprint in the dense sublayer does not necessarily mean that no particles are trapped in that  
26 sublayer. It could be also that CB nanoparticles be embedded in that sublayer with an amount

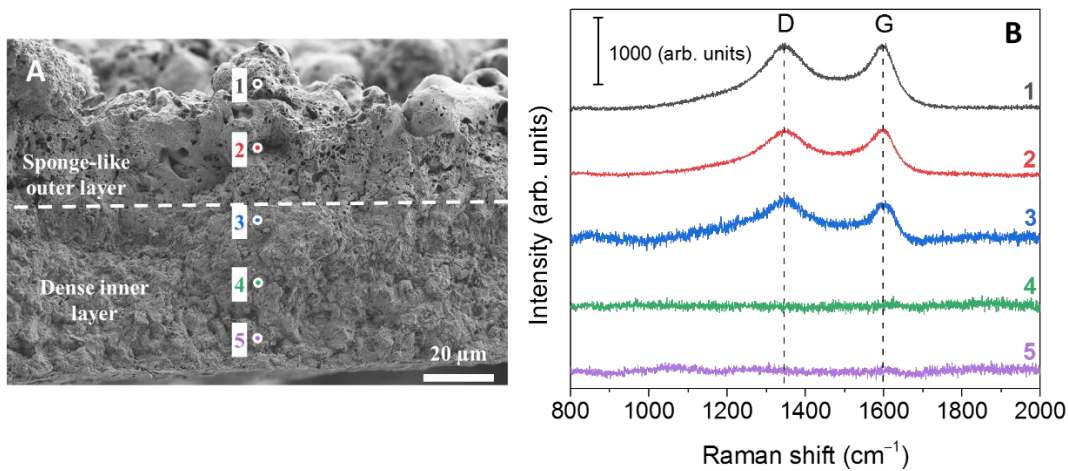


1 below the Raman detection limit, which would also be consistent with the results of electrical  
2 conductivity measurements.

3 The preferential incorporation in the outer sublayer is also consistent with the macroscopic  
4 views of the samples (Fig. 6) and the related SEM observations (Fig. 7 & 8). Indeed, as the CB  
5 concentration in the electrolyte increases, the sponge-like structure expands on larger area on  
6 the sample. Such a structure has a higher porosity and, consequently, more sites in which CB  
7 particles can be trapped. This results in a more homogeneous black colour of the samples  
8 processed with a high CB concentration (Fig. 6).

9 As for the electrical conduction properties of the carbon-alumina composite, two issues must  
10 be addressed. First, the CB nanoparticles must be evenly distributed across the layer thickness.  
11 One possibility could be to favour the porous layer and inhibit the growth of the dense inner  
12 layer by working out of soft sparking conditions [35, 36]. It is also well known that supplying  
13 the electrodes with DC current or voltage favours the growth of porous PEO coatings on  
14 aluminium [51]. Such a DC mode could therefore be of interest to incorporate more particles  
15 into the layers. Incorporating CB nanoparticles into the dense sublayer could also be a solution  
16 to grow a carbon-alumina conductive composite, similarly to carbon-polymer conductive  
17 composites [32, 33]. This however is still a concern since the dense layer can be formed either  
18 by diffusion mechanisms of aluminium and oxygen species from the substrate and the  
19 electrolyte respectively, or by densification of the porous layer under soft sparking conditions.  
20 In the former case, CB nanoparticles could unlikely penetrate the dense layer. In the latter case,  
21 one would expect CB nanoparticles present in the porous layer to be trapped in the densified  
22 layer, which would be an asset towards conductive PEO layers. Second, incorporated CB  
23 nanoparticles must percolate in order to constitute a continuous current pathway. This implies  
24 that most of the inner pores are open in order to form a network of cavities that could be filled  
25 with CB nanoparticles.

26 Increasing the porosity would however likely be detrimental to the corrosion and wear  
27 resistance of the coatings, which might be an issue depending on the applications.



1

2 Fig. 12. A) SEM micrograph of a cross section of a self-supported PEO layer (CB6) with  
 3 indication of the points where Raman spectra were recorded. B) Raman spectra ( $\lambda = 532 \text{ nm}$ )  
 4 recorded at several depths across the layer as indicated in the SEM image in A).

5

#### 6 4. Conclusions

7 The present study related to the incorporation of carbon black nanoparticles (CB) into alumina  
 8 layers formed by plasma electrolytic oxidation of aluminium with carbon black nanoparticles  
 9 dispersed at various concentrations in the processing electrolyte. In particular, the main  
 10 objective was to take advantage of complementary characterization techniques to study how  
 11 the presence of CB influences the produced PEO alumina coating and its characteristics  
 12 including the electrical volume conductivity. The information presented allows the following  
 13 conclusions to be drawn:

- 14 • CB preferentially incorporate throughout the sponge-like structure of the coating.  
 15 Moreover, the increase in the CB concentration promotes a larger covering of the  
 16 sponge-like structure, and a higher incorporation of CB nanoparticles into the overall  
 17 processed surface.



- 1       • The presence of CB nanoparticles results in a slight increase in the average growth  
2       rate of the PEO coatings (as compared with processing without particles). Whatever  
3       the concentration in CB nanoparticles, the average growth rate of the PEO coatings  
4       remains close to  $1.2 \mu\text{m}\cdot\text{min}^{-1}$ .
- 5       • CB do not affect the crystallographic phase composition and coatings mainly consist  
6       of  $\alpha$  and  $\gamma$ -alumina polymorphs in more or less identical proportion.
- 7       • CB nanoparticles do not seem to undergo any structural degradation from the  
8       surrounding plasma of the micro-discharges.
- 9       • In the range of 0 to  $6 \text{ g}\cdot\text{L}^{-1}$  CB concentration in the electrolyte, the electrical volume  
10      conductivity remains constant at about  $10^{-12} \text{ S}\cdot\text{cm}^{-1}$ . It is therefore suspected that  
11      because incorporation mainly takes place in the outermost porous sublayer, the  
12      percolation threshold through the dense inner sublayer is not being reached.
- 13

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2

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12 manufacturing.

13

14

## 15 Data availability

16 The data that support the findings of this study are available from the corresponding author,  
17 upon reasonable request.

18

19

## 20 Declaration of competing interest

21 The authors declare no competing financial interests.

22



1 **CRediT author statement**

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12

13

14 **Authorship statement**

15 The submission of the manuscript has been approved by all co-authors.

16



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1 **List of Tables**

2

3 **Table 1**

4 Elemental composition of the 1050 aluminium substrate.

| Element | Fe, Ti, Zn | Si     | Cu   | Mg     | Mn     | Al      |
|---------|------------|--------|------|--------|--------|---------|
| wt. %   | < 0.5      | < 0.25 | 0.05 | < 0.05 | < 0.05 | Balance |

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2 **Table 2**

3 Parameters of the current waveform.

|   |                      |       |
|---|----------------------|-------|
| Current pulse frequency (Hz)                  |                      | 100   |
| Anodic current pulse                          | Rise time (ms)       | 0.6   |
|   | Pulse duration (ms)  | 2.6   |
|   | Fall time (ms)       | 0.3   |
|   | Amplitude (A)        | 15    |
|   | Charge per pulse (C) | 0.046 |
| Delay between anodic and cathodic pulses (ms) |                      | 0.3   |
| Cathodic current pulse                        | Rise time (ms)       | 0.3   |
|   | Pulse duration (ms)  | 5.5   |
|   | Fall time (ms)       | 0.3   |
|   | Amplitude (A)        | -9    |
|   | Charge per pulse (C) | 0.052 |
| Delay between cathodic and anodic pulses (ms) |                      | 0.1   |

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2 **Table 3**

3 Concentration of dispersed carbon black nanoparticles used in different electrolytes, and  
4 corresponding sample identification.

| CB (g·L <sup>-1</sup> ) | 0   | 1   | 2   | 4   | 6   |
|-------------------------|-----|-----|-----|-----|-----|
| Sample name             | CB0 | CB1 | CB2 | CB4 | CB6 |

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2 **Table 4**

3 Standard deviation of coating thickness values measured with Eddy current probe and from  
4 SEM pictures.

| Sample                                  |                 |        | CB0  | CB1  | CB2  | CB4  | CB6 |
|---|-----------------|--------|------|------|------|------|-----|
| Standard deviation<br>( $\mu\text{m}$ ) | Eddy<br>current | Centre | 4.4  | 4.7  | 4.7  | 2.6  | 2.7 |
|   |                 | Edge   | 15.7 | 12.1 | 8.3  | 9.3  | 8.0 |
|   | SEM             | Centre | 14.0 | 9.7  | 14.8 | 13.2 | 9.6 |
|   |                 | Edge   | 10.7 | 10.8 | 10.5 | 8.0  | 8.6 |

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2 **Table 5**

3 Relative integrated intensity of  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (113) and  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> (400) diffraction peaks at 43.6°  
4 and 45.9°, respectively, for different concentrations of CB nanoparticles.

| Sample                         | CB0  | CB1  | CB2  | CB4  | CB6  |
|--------------------------------|------|------|------|------|------|
| I <sub>α</sub> /I <sub>γ</sub> | 0.49 | 0.43 | 0.48 | 0.49 | 0.51 |

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2 **Table 6**

3 Volume resistance  $R_V$  and volume electrical conductivity  $\sigma_V$  of the PEO coatings obtained  
4 with different CB concentrations dispersed into the electrolyte.

| Sample   | CB0  | CB1  | CB2  | CB4  | CB6  |
|--|------|------|------|------|------|
| $R_V$ ( $10^9 \Omega$ )                                | 1.65 | 1.45 | 1.50 | 1.05 | 2.25 |
| $\sigma_V$ ( $10^{-12} \text{ S}\cdot\text{cm}^{-1}$ ) | 1.65 | 2.07 | 2.05 | 2.90 | 1.28 |

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1 **Figure captions.**

2

3 **Fig. 1.** Experimental setup for PEO treatments with dispersed carbon black nanoparticles in  
4 the electrolyte.

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6 **Fig. 2.** Schematic drawing of one period of the current waveform indicating the time at each  
7 change in the current rising/falling rate according to Table 2.

8

9 **Fig. 3.** TEM (A) and SEM (B) micrographs of the pristine carbon black nanoparticles used for  
10 dispersion in the PEO electrolyte.

11

12 **Fig. 4.** Raman spectrum ( $\lambda = 532$  nm) of the pristine carbon black nanoparticles used for  
13 dispersion in the PEO electrolyte.

14

15 **Fig. 5.** Schematic drawing showing the SEM observation areas at the centre and at the edge of  
16 the PEO processed samples, and the delimited silvered area for conductivity measurements.

17

18 **Fig. 6.** Macroscopic views of the sample surface after PEO treatment using different  
19 concentrations of carbon black nanoparticles dispersed in the electrolyte.

20

21 **Fig. 7.** Top view SEM micrographs of the samples processed with different carbon black  
22 concentrations. Low magnification SEM images taken at the centre (A) and at the edge (B) of  
23 the samples. Medium (C) and high (D) magnification SEM images showing nanoparticles in



1 the porosity of samples processed with CB nanoparticles dispersed in the electrolyte. The  
2 dash lines in A delimit zones with different morphology. S-L, P-L, and DP-L stand for  
3 sponge-like, pancake-like, and damaged pancake-like structures, respectively.

4  
5 **Fig. 8.** Cross-section SEM micrographs of the samples processed with different carbon black  
6 concentrations. S-L, P-L, and DP-L stand for sponge-like, pancake-like, and damaged  
7 pancake-like structures, respectively

8  
9 **Fig. 9.** Coating thickness measured (A) with Eddy current probe and (B) from SEM pictures.  
10 Average thickness values in the centre (white bars) and at the edges (grey bars) of the sample,  
11 together with all data points (black dots) that were used to determine the average thickness  
12 values. See section 2.2 for the measurement procedure.

13  
14 **Fig. 10.** XRD patterns (Cu-K $\alpha_1$ ,  $\lambda = 0.1542$  nm) recorded on aluminium samples PEO  
15 processed with different concentrations in carbon black nanoparticles dispersed in the  
16 electrolyte. **Al** is for aluminium (PDF 04-0787);  **$\alpha$**  for  $\alpha$ -Al $_2$ O $_3$  (PDF 46-1212);  **$\gamma$**  for  $\gamma$ -Al $_2$ O $_3$   
17 (PDF 74-2206) and  **$\theta$**  for  $\theta$ -Al $_2$ O $_3$  (PDF 23-1009).

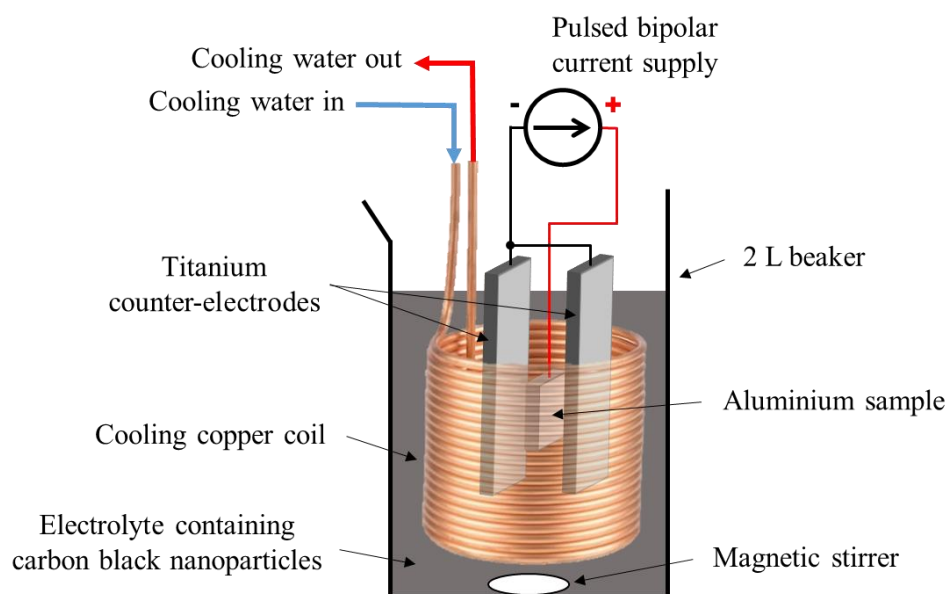
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19 **Fig. 11.** Raman spectra ( $\lambda = 532$  nm) of the surface of PEO coatings obtained with different  
20 concentrations in carbon black nanoparticles dispersed into the electrolyte (0, 1 and 6 g·L $^{-1}$ ).  
21 Spectra were recorded at the edge area of the samples.

22  
23 **Fig. 12.** A) SEM micrograph of a cross section of a self-supported PEO layer (CB6) with  
24 indication of the points where Raman spectra were recorded. B) Raman spectra ( $\lambda = 532$  nm)  
25 recorded at several depths across the layer as indicated in the SEM image in A).

1 **List of Figures**

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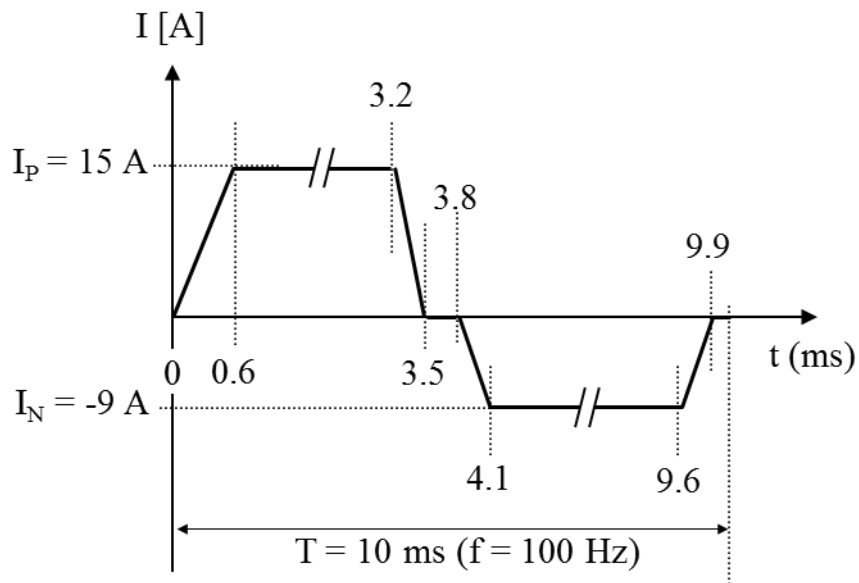
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17 **Fig. 1.**

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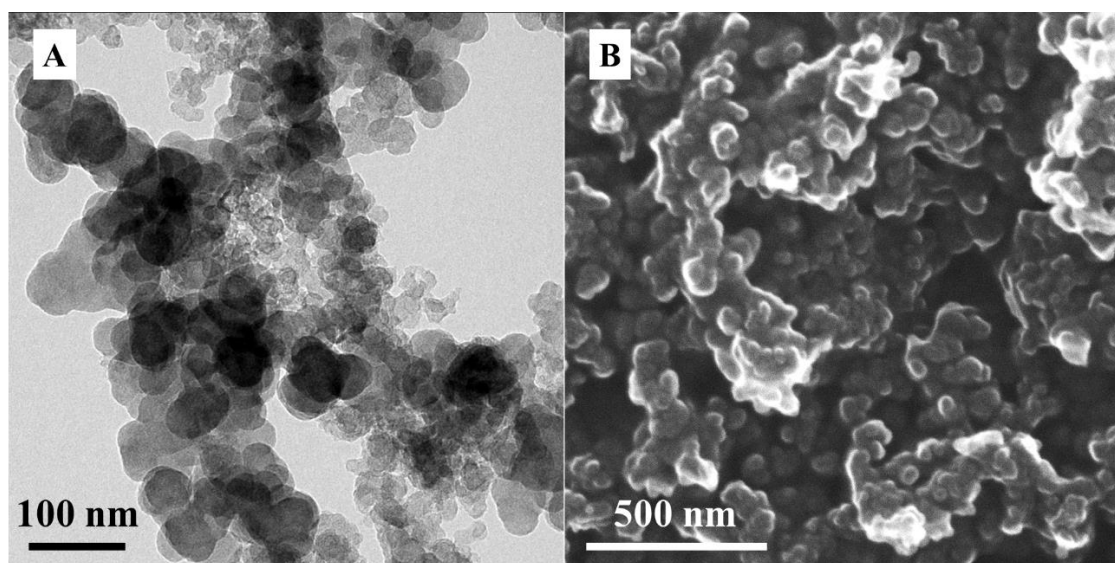
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**Fig. 2.**

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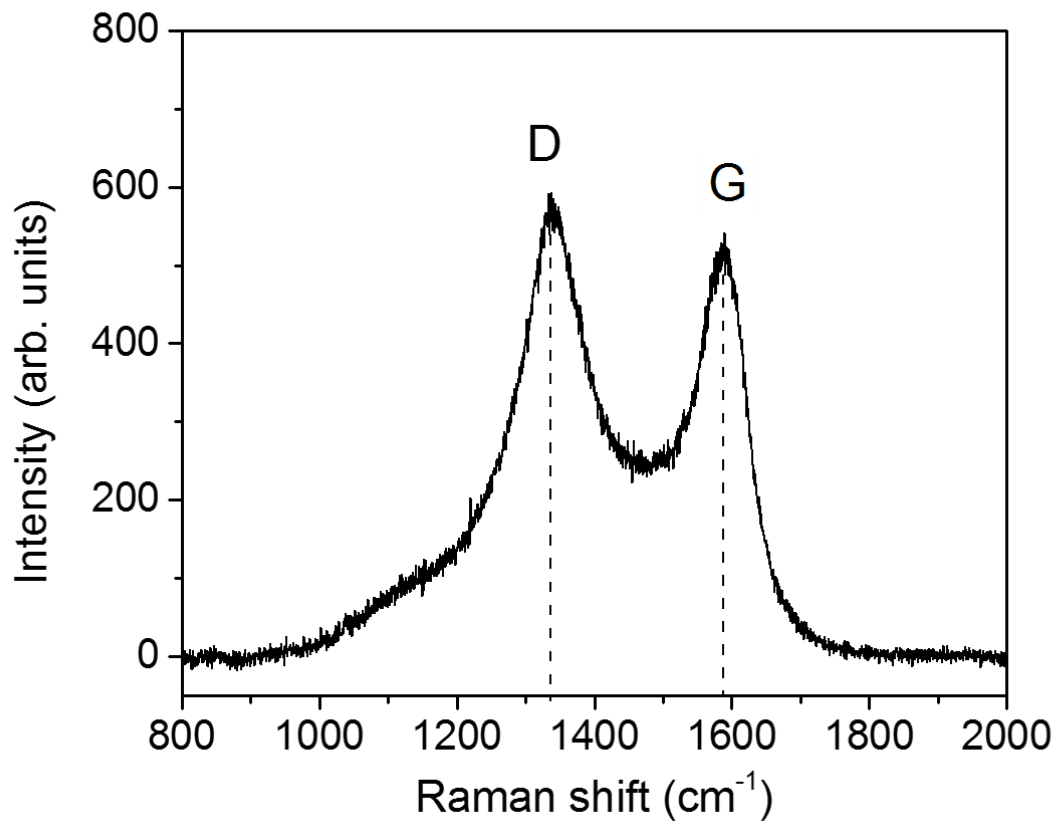
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**Fig. 3.**

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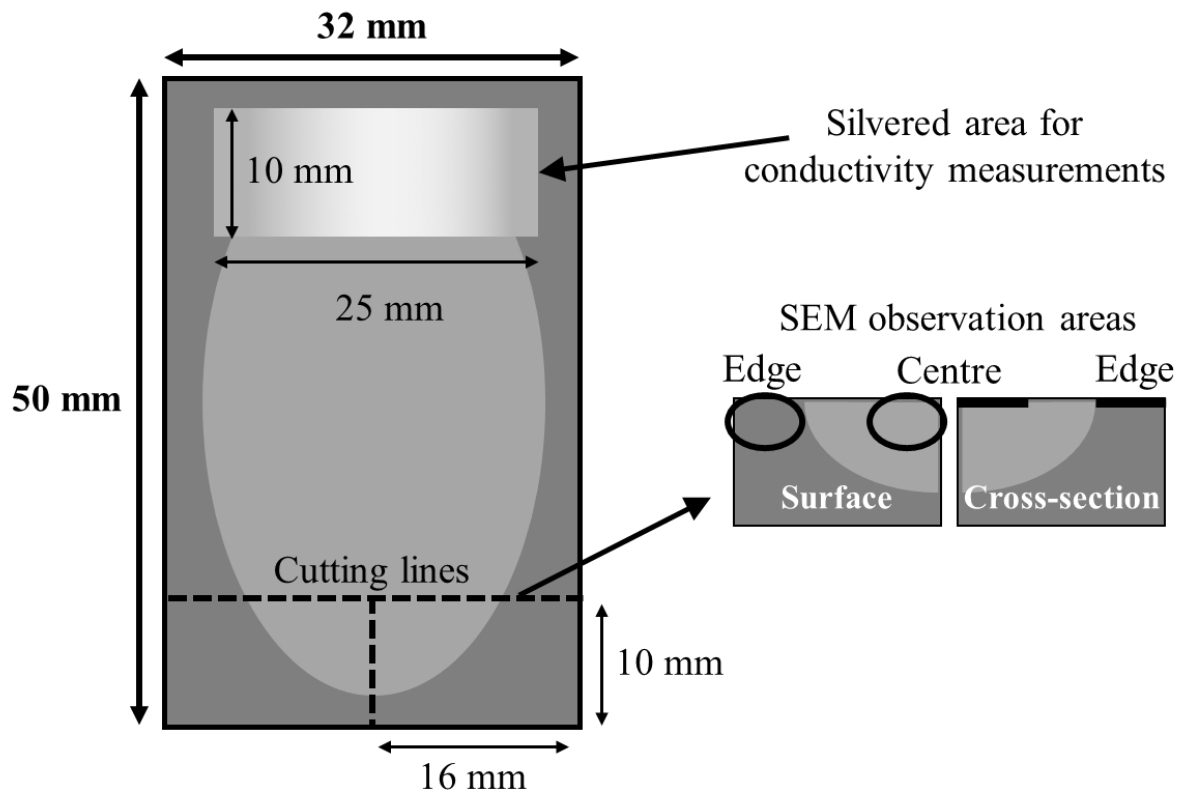
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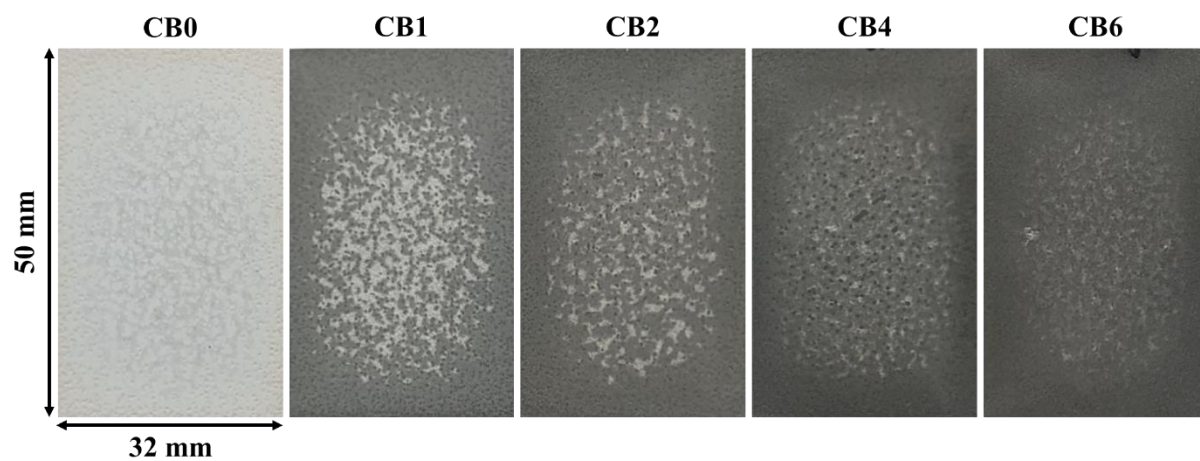
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**Fig. 4.**



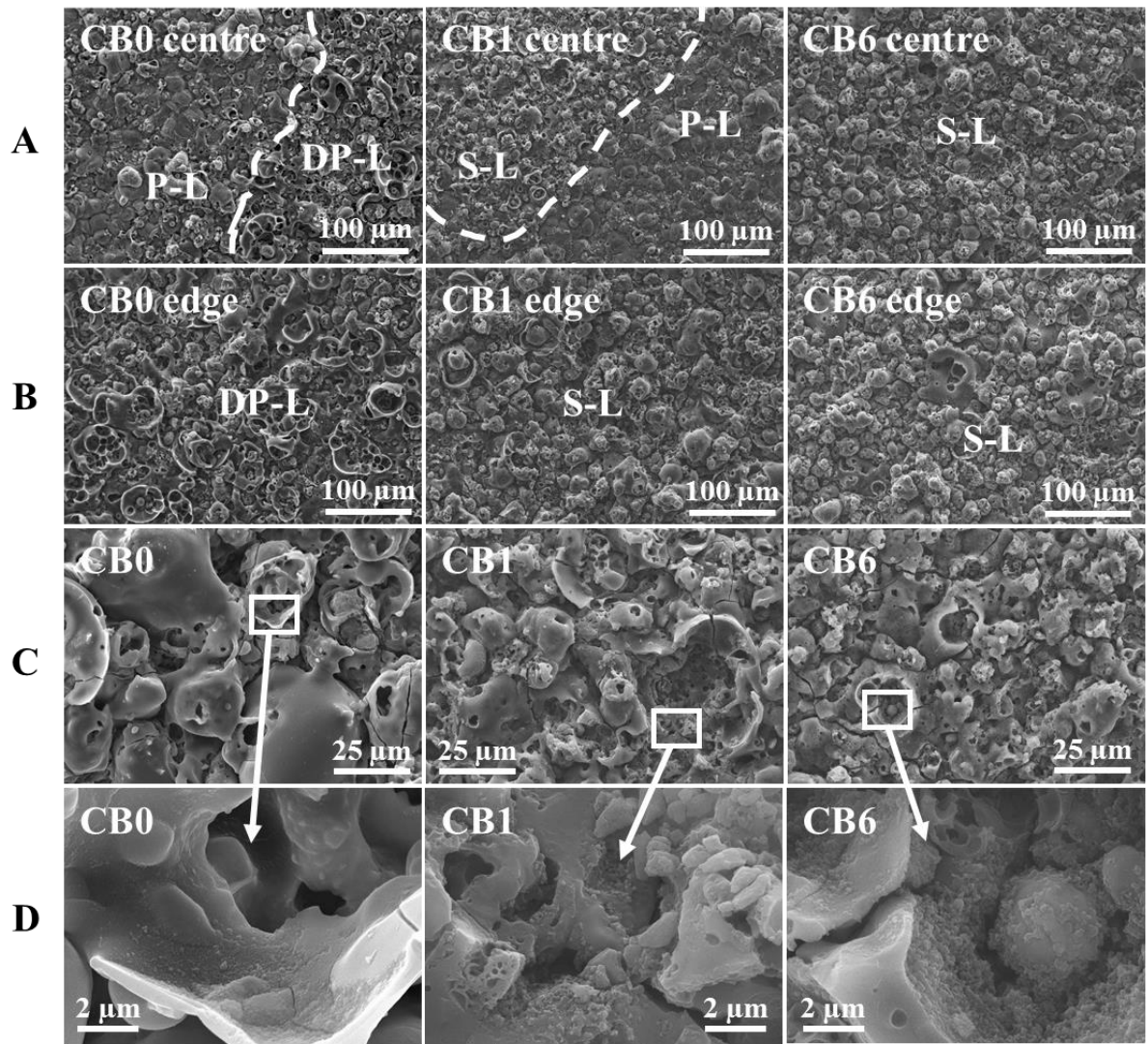
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**Fig. 5.**



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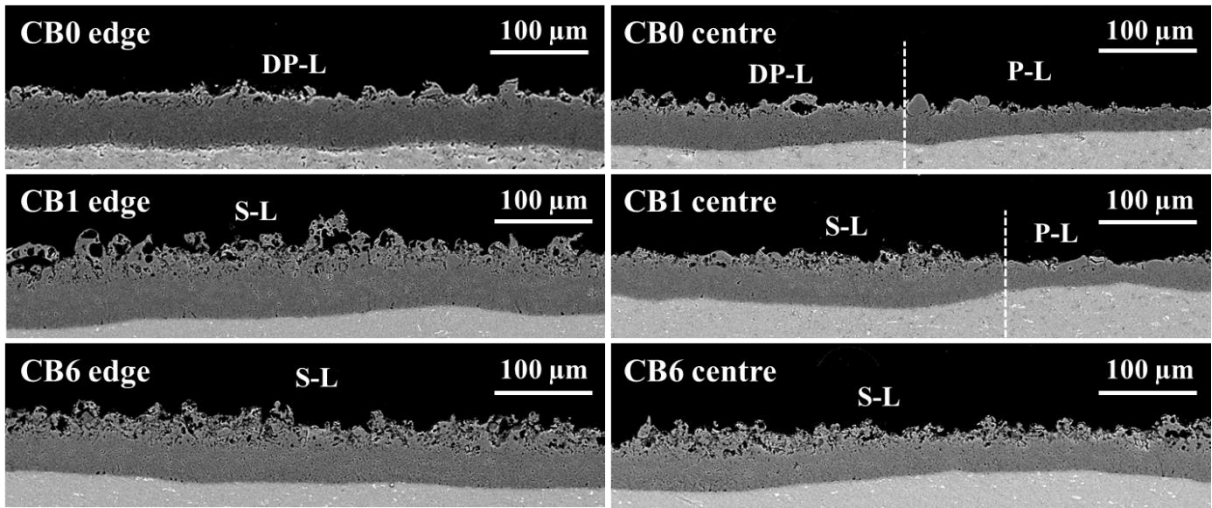
**Fig. 6.**



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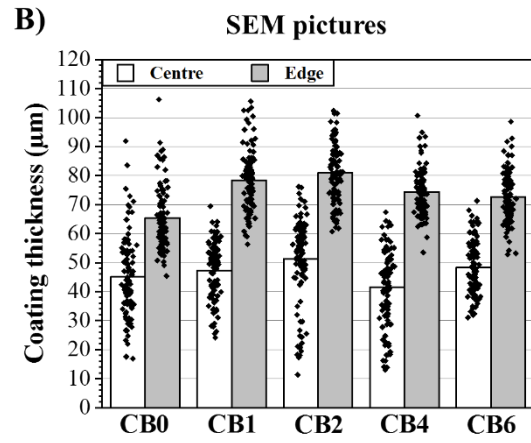
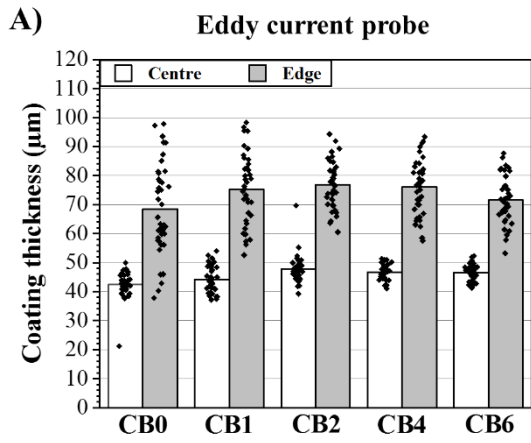
**Fig. 7.**





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**Fig. 8.**

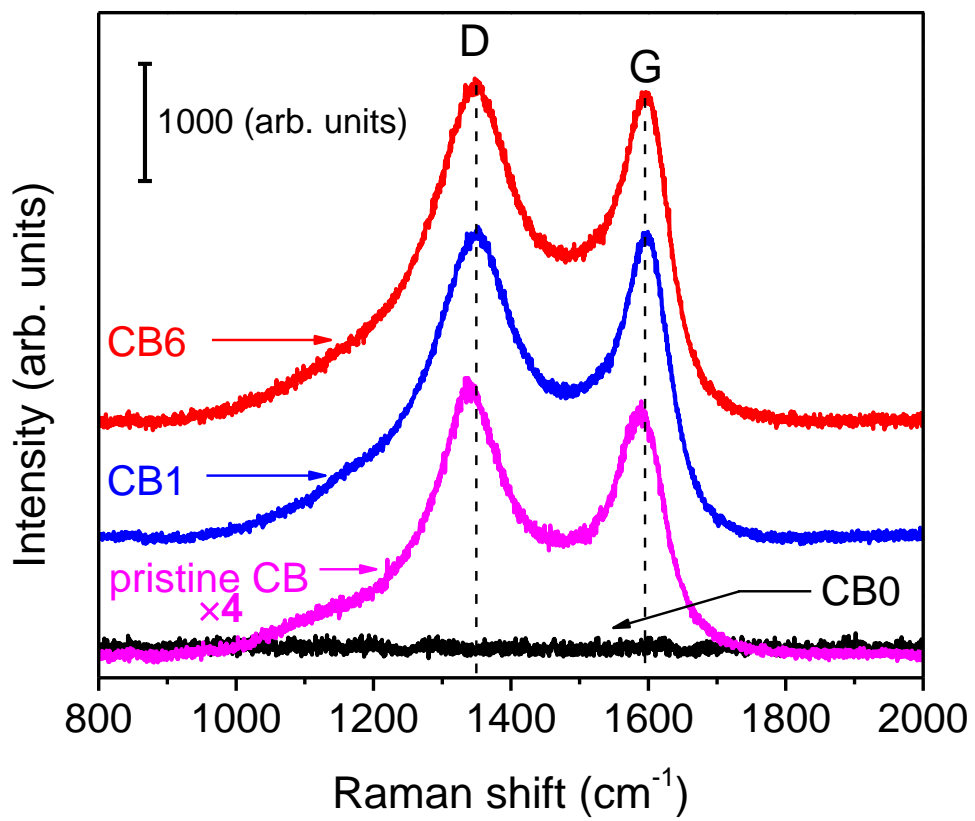


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**Fig. 9.**

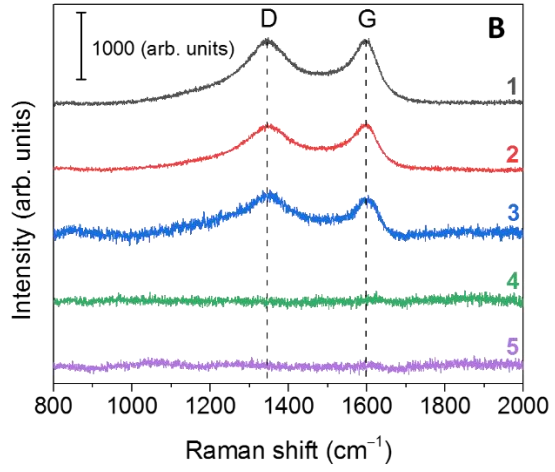
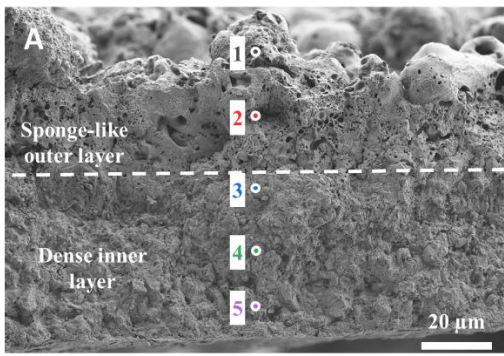






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**Fig. 11.**



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**Fig. 12.**

