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# Constraint Automata on Infinite Data Trees: From CTL(Z)/CTL\*(Z) To Decision Procedures

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#### <sup>7</sup> — Abstract

<sup>8</sup> We introduce the class of tree constraint automata with data values in  $\mathbb{Z}$  (equipped with the less <sup>9</sup> than relation and equality predicates to constants), and we show that the nonemptiness problem is <sup>10</sup> EXPTIME-complete. Using an automata-based approach, we establish that the satisfiability problem <sup>11</sup> for CTL( $\mathbb{Z}$ ) (CTL with constraints in  $\mathbb{Z}$ ) is EXPTIME-complete, and the satisfiability problem for <sup>12</sup> CTL\*( $\mathbb{Z}$ ) is 2EXPTIME-complete (only decidability was known so far). By-product results with <sup>13</sup> other concrete domains and other logics, are also briefly discussed. <sup>14</sup> **2012 ACM Subject Classification** Theory of computation  $\rightarrow$  Logic and verification

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## 17 Introduction

In this paper, we study the satisfiability problem for the branching-time temporal logics 18  $\operatorname{CTL}(\mathbb{Z})$  and  $\operatorname{CTL}^*(\mathbb{Z})$ , extending the classical temporal logics  $\operatorname{CTL}$  and  $\operatorname{CTL}^*$  in that atomic 19 formulae express constraints about the relational structure  $(\mathbb{Z}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Z}})$ . Formulae in 20 these logics are interpreted over Kripke structures that are annotated with values in  $\mathbb{Z}$ . A 21 typical  $\operatorname{CTL}^*(\mathbb{Z})$  formula is the formula  $\mathsf{AGF}(x < Xx)$  stating that on all paths infinitely often 22 the value of the variable  $\mathbf{x}$  at the current position is strictly smaller than the value of  $\mathbf{x}$  at the 23 next position. Formalisms defined over relational structures, also known as *concrete domains*, 24 are considered in many works, including works on temporal logics [40, 13, 54, 48, 19, 35], 25 description logics [50, 51, 52, 53, 16, 45, 3], and automata [38, 61, 43, 71, 65, 57]. Combining 26 reasoning in your favourite logic with reasoning in a relevant concrete domain reveals to 27 be essential for numerous applications, for instance for reasoning about ontologies, see 28 e.g. [52, 46], or data-aware systems, see e.g. [28, 34]. A brief survey can be found in [26]. 29 Decidability results for concrete domains handled in [53, 38, 3] exclude the ubiquitous 30

concrete domain  $(\mathbb{Z}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Z}})$ . By contrast, decidability results for logics with concrete 31 domain  $\mathbb{Z}$  require dedicated proof techniques, see e.g. [11, 23, 61, 46]. In particular, fragments 32 of  $\text{CTL}^*(\mathbb{Z})$  are shown decidable in [11] using integral relational automata from [17], and 33 the satisfiability problem for existential and universal CTL\* with gap-order constraints 34 (more general than the ones in this paper) can be solved in PSPACE [12, Theorem 14]. 35 Another important breakthrough came with the decidability of  $CTL^*(\mathbb{Z})$  [15, Theorem 32] 36 (see also [14]) by designing a reduction to a decidable second-order logic, whose formulae are 37 made of Boolean combinations of formulae from MSO and from WMSO+U [10], where U is 38 the unbounding second-order quantifier, see e.g. [8, 9]. This is all the more remarkable as 39 the decidability result is part of a powerful general approach [15], but no sharp complexity 40 upper bound can be inferred. More recently, the condition  $C_{\mathbb{Z}}$  [23] to approximate the set 41

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of satisfiable symbolic models of a given  $LTL(\mathbb{Z})$  formula is extended to the branching case in [46] leading to the EXPTIME-easiness of a major reasoning task for the description logic  $\mathcal{ALCF}^{\mathcal{P}}(\mathbb{Z}_c)$ . However, no elementary complexity upper bounds for the satisfiability problem for  $CTL(\mathbb{Z})$  nor  $CTL^*(\mathbb{Z})$  were known since their decidability was established in [13, 15].

In this paper, we prove that the satisfiability problem for  $CTL(\mathbb{Z})$  is EXPTIME-complete, and the satisfiability problem for  $CTL^*(\mathbb{Z})$  is 2EXPTIME-complete. We pursue the *automatabased approach* for solving decision problems for temporal logics, following seminal works for temporal logics, see e.g. [68, 69, 44]. This popular approach consists of reducing logical problems (satisfiability, model-checking) to automata-based decision problems while taking advantage of existing results and decision procedures from automata theory, see e.g. [67].

It is well-known that decision procedures for CTL<sup>\*</sup> are difficult to design, and the 52 combination with the concrete domain  $\mathbb{Z}$  is definitely challenging. Moreover, we aim at 53 proposing a general framework: we do not wish for every new logic with concrete domain 54 to study again and again what is the proper way to define products of automata leading 55 to optimal complexity. That is why our main goal in this work is to investigate a new 56 class of tree constraint automata, understood as a target formalism in the pure tradition 57 of the automata-based approach, and easy to reuse. The structures accepted by such tree 58 constraint automata are *infinite* trees in which nodes are labelled by a letter from a finite 59 alphabet and a tuple in  $\mathbb{Z}^{\beta}$  for some  $\beta \ge 1$  (this excludes the automata designed in [36, 37] 60 dedicated to finite trees where no predicate < is involved). Decision problems for alternating 61 automata over infinite alphabets are often undecidable, see e.g. [56, 47, 25, 41], and therefore 62 we advocate the introduction of *nondeterministic* constraint automata without alternation. 63 Our definition of tree constraint automata naturally extends the definition of constraint 64 automata for words (see e.g. [17, 59, 61, 43, 57]) and as far as we know, the extension to 65 infinite trees in the way done herein has not been considered earlier in the literature. 66

As a key result, we show that the nonemptiness problem for tree constraint automata 67 over  $(\mathbb{Z}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Z}})$  is EXPTIME-complete. In order to obtain the EXPTIME upper 68 bound, we adapt results from [46, 45] (originally expressed in the context of interpretations 69 for description logics) and we take advantage of several automata-based constructions for 70 Rabin/Streett tree automata. As a corollary, we establish that the satisfiability problem for 71  $CTL(\mathbb{Z})$  is EXPTIME-complete (Theorem 14), which is one of the main results of the paper. 72 As a by-product, it also allows us to conclude that the concept satisfiability problem w.r.t. 73 general TBoxes for  $\mathcal{ALCF}^{\mathcal{P}}(\mathbb{Z}_c)$  is in EXPTIME, a result known since [46]. 74

Our main contribution is the characterisation of the complexity for  $CTL^*(\mathbb{Z})$  satisfiability, 75 which is an open problem evoked in [15, Section 9] and [46, Section 5] (decidability was 76 established ten years ago in [14]). In Section 6, we show that the satisfiability problem for 77  $CTL^*(\mathbb{Z})$  is in 2EXPTIME by using Rabin tree constraint automata (introduced herein). 78 We have to check that the essential steps for  $CTL^*$  can be lifted to  $CTL^*(\mathbb{Z})$  to get the 79 optimal upper bound. In general, our contributions stem from the cross-fertilisation of 80 automata-based techniques for temporal logics and reasoning about (infinite) structures 81 made of  $\mathbb{Z}$ -constraints. 82

<sup>83</sup> A complete version with all the proofs can be found in [27].

#### **2** Temporal Logics with Numerical Domains

#### **2.1** Concrete Domain $(\mathbb{Z}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Z}})$ and Kripke Structures

In the sequel, we consider the concrete domain  $(\mathbb{Z}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Z}})$  (also written  $\mathbb{Z}$ ), where  $=_{\mathfrak{d}}$  is a unary predicate stating the equality with the constant  $\mathfrak{d}$  and, < and = are the usual

relations on Z. Let VAR = {x, y,...} be a countably infinite set of variables. A *term* t over VAR is an expression of the form  $X^i x$ , where  $x \in VAR$  and  $X^i$  is a (possibly empty) sequence of *i* symbols 'X'. A term  $X^i x$  should be understood as a variable (that needs to be interpreted) but, later on, we will see that the prefix  $X^i$  will have a temporal interpretation. We write  $T_{VAR}$  to denote the set of all terms over VAR. For all  $i \in \mathbb{N}$ , we write  $T_{VAR}^{\leq i}$  to denote the subset of terms of the form  $X^j x$ , where  $j \leq i$ . For instance,  $T_{VAR}^{\leq 0} = VAR$ . An *atomic constraint*  $\theta$  over  $T_{VAR}$  is an expression of one of the forms below:

 $\mathtt{t} < \mathtt{t}' \qquad \mathtt{t} = \mathtt{t}'$  $=_{\mathfrak{d}}$  (t) (also written  $t = \mathfrak{d}$ ), 95 where  $\mathfrak{d} \in \mathbb{Z}$  and  $\mathfrak{t}, \mathfrak{t}' \in T_{VAR}$ . A constraint  $\Theta$  is defined as a Boolean combination of 96 atomic constraints. Constraints are interpreted on valuations  $\mathfrak{v}: T_{VAB} \to \mathbb{Z}$  that assign 97 elements from  $\mathbb{Z}$  to the terms in  $T_{\text{VAR}}$ , so that  $\mathfrak{v}$  satisfies  $\theta$ , written  $\mathfrak{v} \models \theta$ , if and only if, the 98 interpretation of the terms in  $\theta$  makes  $\theta$  true in  $\mathbb{Z}$  in the usual way. The Boolean connectives 99 are interpreted as usual. A constraint  $\Theta$  is *satisfiable*  $\stackrel{\text{\tiny def}}{\Leftrightarrow}$  there is a valuation  $\mathfrak{v}: T_{\text{VAR}} \to \mathbb{Z}$ 100 such that  $\mathfrak{v} \models \Theta$ . Similarly, a constraint  $\Theta_1$  entails a constraint  $\Theta_2$  (written  $\Theta_1 \models \Theta_2$ )  $\stackrel{\text{def}}{\Leftrightarrow}$ 101 for all valuations  $\mathfrak{v}$ , we have  $\mathfrak{v} \models \Theta_1$  implies  $\mathfrak{v} \models \Theta_2$ . The satisfiability problem restricted 102 to finite conjunctions of atomic constraints can be solved in PTIME (see e.g. [17, Lemma 103 5.5]) and entailment is in coNP. In the sequel, quite often, the valuations  ${\mathfrak v}$  are of the form 104  $\{\mathbf{x}_1, \dots, \mathbf{x}_\beta\} \to \mathbb{Z}$  when we are only interested in the values for the variables in  $\{\mathbf{x}_1, \dots, \mathbf{x}_\beta\}$ . 105 **Kripke structures.** In order to define logics with the concrete domain  $\mathbb{Z}$ , the semantical 106 structures of such logics are enriched with valuations that interpret the variables by elements 107 in  $\mathbb{Z}$ . A  $\mathbb{Z}$ -decorated Kripke structure (or Kripke structure for short)  $\mathcal{K}$  is a triple  $(\mathcal{W}, \mathcal{R}, \mathfrak{v})$ , 108 where  $\mathcal{W}$  is a non-empty set of worlds,  $\mathcal{R} \subseteq \mathcal{W} \times \mathcal{W}$  is the accessibility relation and 109  $\mathfrak{v}: \mathcal{W} \times \text{VAR} \to \mathbb{Z}$  is a valuation function. A Kripke structure  $\mathcal{K}$  is *total* whenever for all 110  $w \in \mathcal{W}$ , there is  $w' \in \mathcal{W}$  such that  $(w, w') \in \mathcal{R}$ . Given a Kripke structure  $\mathcal{K} = (\mathcal{W}, \mathcal{R}, \mathfrak{v})$ 111 and a world  $w \in \mathcal{W}$ , an *infinite path*  $\pi$  from w is an  $\omega$ -sequence  $w_0, w_1 \dots w_n, \dots$  such that 112  $w_0 = w$  and for all  $i \in \mathbb{N}$ , we have  $(w_i, w_{i+1}) \in \mathcal{R}$ . Finite paths are defined accordingly. 113

**Labelled trees.** Given  $D \ge 1$ , a labelled tree of degree D is a map  $\mathfrak{k} : dom(\mathfrak{k}) \to \Sigma$ 114 where  $\Sigma$  is some (potentially infinite) alphabet and  $dom(\mathbb{t})$  is an infinite subset of  $[0, D-1]^*$ 115 such that  $\mathbf{n} \in dom(\mathbb{t})$  and  $\mathbf{n} \cdot i \in dom(\mathbb{t})$  for all  $0 \leq i < j$  whenever  $\mathbf{n} \cdot j \in dom(\mathbb{t})$  for some 116  $\mathbf{n} \in [0, D-1]^*$  and  $j \in [0, D-1]$ . The elements of  $dom(\mathbb{t})$  are called *nodes*. The empty 117 word  $\varepsilon$  is the root node of  $\mathfrak{k}$ . For every  $\mathbf{n} \in dom(\mathfrak{k})$ , the elements  $\mathbf{n} \cdot i$   $(i \in [0, D-1])$  are 118 called the *children nodes of*  $\mathbf{n}$ , and  $\mathbf{n}$  is called the *parent node of*  $\mathbf{n} \cdot i$ . We say that the tree 119 t is a full D-ary tree if every node **n** has exactly D children  $\mathbf{n} \cdot 0, \ldots, \mathbf{n} \cdot (D-1)$ . Given a 120 tree t and a node **n** in dom(t), an infinite path in t starting from **n** is an infinite sequence 121  $\mathbf{n} \cdot j_1 \cdot j_2 \cdot j_3 \dots$ , where  $j_i \in [0, D-1]$  and  $\mathbf{n} \cdot j_1 \dots j_i \in dom(\mathbb{t})$  for all  $i \ge 1$ . 122

A tree Kripke structure  $\mathcal{K}$  is a Kripke structure  $(\mathcal{W}, \mathcal{R}, \mathfrak{v})$  such that  $(\mathcal{W}, \mathcal{R})$  is a tree (not necessarily a full *D*-ary tree). Tree Kripke structures  $(\mathcal{W}, \mathcal{R}, \mathfrak{v})$  such that  $(\mathcal{W}, \mathcal{R})$ is isomorphic to the tree induced by  $[0, D - 1]^*$  are represented by maps of the form  $\mathfrak{t} : [0, D - 1]^* \to \mathbb{Z}^{\beta}$ . This assumes that we only care about the value of the variables  $\mathfrak{x}_1, \ldots, \mathfrak{x}_{\beta}$  and  $\mathfrak{l}(\mathbf{n}) = (\mathfrak{d}_1, \ldots, \mathfrak{d}_{\beta})$  encodes that for all  $i \in [1, \beta]$ , we have  $\mathfrak{v}(\mathbf{n}, \mathfrak{x}_i) = \mathfrak{d}_i$ .

#### 128 2.2 The Logic $CTL^*(\mathbb{Z})$

We introduce the logic  $\operatorname{CTL}^*(\mathbb{Z})$  extending the temporal logic  $\operatorname{CTL}^*$  from [29] but with constraints over  $\mathbb{Z}$ . State formulae  $\phi$  and path formulae  $\Phi$  of  $\operatorname{CTL}^*(\mathbb{Z})$  are defined below

 $_{131} \qquad \phi := \neg \phi \mid \phi \land \phi \mid \mathsf{E}\Phi \qquad \Phi := \phi \mid \mathsf{t} = \mathfrak{d} \mid \mathsf{t}_1 = \mathsf{t}_2 \mid \mathsf{t}_1 < \mathsf{t}_2 \mid \neg \Phi \mid \Phi \land \Phi \mid \mathsf{X}\Phi \mid \Phi \mathsf{U}\Phi,$ 

where  $t, t_1, t_2 \in T_{VAR}$ . The size of a formula is understood as its number of symbols with integers encoded with a binary representation. We use also the universal path quantifier A

and the standard temporal connectives R and G ( $A\Phi \stackrel{\text{def}}{=} \neg E \neg \Phi$ ,  $\Phi_1 R\Phi_2 \stackrel{\text{def}}{=} \neg (\neg \Phi_1 U \neg \Phi_2)$ , 134 and  $\mathsf{G}\Phi \stackrel{\text{def}}{=} \bot \mathsf{R}\Phi$  with  $\bot$  equal to  $\mathsf{E}(\mathsf{x} < \mathsf{x})$ ). No propositional variables occur in  $\mathrm{CTL}^*(\mathbb{Z})$ 135 formulae, but it is easy to simulate them with atomic formulae of the form E(x = 0). We say 136 that a formula in  $\text{CTL}^*(\mathbb{Z})$  is in *simple form* if it is in negation normal form (using A, R and 137  $\vee$  as primitive) and all terms occurring in the formula are from  $T_{VAR}^{\leq 1}$ . State formulae are 138 interpreted on worlds from a Kripke structure, whereas path formulae are interpreted on 139 infinite paths. The two satisfaction relations are defined as follows (we omit the clauses for 140 Boolean connectives), where  $\mathcal{K} = (\mathcal{W}, \mathcal{R}, \mathfrak{v})$  is a total Kripke structure, and  $w \in \mathcal{W}$ . 141  $\mathcal{K}, w \models \mathsf{E}\Phi \Leftrightarrow^{\mathsf{def}}$  there is an infinite path  $\pi$  from w such that  $\mathcal{K}, \pi \models \Phi$ .

- 142
- Let  $\pi = w_0, w_1, \ldots$  be an infinite path of  $\mathcal{K}$ . Let us define  $\mathfrak{v}(\pi, \mathsf{X}^j \mathbf{x}) \stackrel{\text{def}}{=} \mathfrak{v}(w_j, \mathbf{x})$ , for all terms 143 of the form  $X^{j}x$ . For every  $n, \pi[n, +\infty)$  is the suffix of  $\pi$  truncated by the n first worlds. 144
- $= \mathcal{K}, \pi \models t = \mathfrak{d} \stackrel{\text{def}}{\Leftrightarrow} \mathfrak{v}(\pi, t) = \mathfrak{d}; \mathcal{K}, \pi \models t_1 \sim t_2 \stackrel{\text{def}}{\Leftrightarrow} \mathfrak{v}(\pi, t_1) \sim \mathfrak{v}(\pi, t_2) \text{ for all } \sim \in \{<, =\},$ 145
- $\mathcal{K}, \pi \models \Phi \cup \Psi \Leftrightarrow \text{there is } j \ge 0 \text{ such that } \mathcal{K}, \pi[j, +\infty) \models \Psi \text{ and for all } j' \in [0, j-1], \text{ we}$ 146 have  $\mathcal{K}, \pi[j', +\infty) \models \Phi;$ 147
- $\mathcal{K}, \pi \models \mathsf{X}\Phi \stackrel{\text{\tiny def}}{\Leftrightarrow} \mathcal{K}, \pi[1, +\infty) \models \Phi.$ 148

150

- Let us define two fragments of  $\operatorname{CTL}^*(\mathbb{Z})$ . Formulae in the logic  $\operatorname{CTL}(\mathbb{Z})$  are of the form 149
  - $\phi := \mathsf{E} \Theta \mid \mathsf{A} \Theta \mid \neg \phi \mid \phi \land \phi \mid \phi \lor \phi \mid \mathsf{EX}\phi \mid \mathsf{E}\phi\mathsf{U}\phi \mid \mathsf{E}\phi\mathsf{R}\phi \mid \mathsf{AX}\phi \mid \mathsf{A}\phi\mathsf{U}\phi \mid \mathsf{A}\phi\mathsf{R}\phi,$
- where  $\Theta$  is a constraint.  $LTL(\mathbb{Z})$  formulae are defined from path formulae for  $CTL^*(\mathbb{Z})$ 151 according to  $\Phi := \Theta | \Phi \land \Phi | \Phi \lor \Phi | X\Phi | \Phi U\Phi | \Phi R\Phi$ , where  $\Theta$  is a constraint. Negation 152 occurs only in constraints since the LTL logical connectives have their dual in  $LTL(\mathbb{Z})$ . In 153 contrast to  $\operatorname{CTL}^*(\mathbb{Z})$  and  $\operatorname{CTL}(\mathbb{Z})$ ,  $\operatorname{LTL}(\mathbb{Z})$  formulae are evaluated over infinite paths of 154 valuations  $\mathfrak{v} : \text{VAR} \to \mathbb{Z}$  (no branching involved). 155
- The satisfiability problem for  $CTL^*(\mathbb{Z})$ , written  $SAT(CTL^*(\mathbb{Z}))$ , is defined as follows. 156 **Input:** A CTL\*( $\mathbb{Z}$ ) state formula  $\phi$ . 157
- **Question:** Is there a total Kripke structure  $\mathcal{K}$  and a world w such that  $\mathcal{K}, w \models \phi$ ? 158
- The satisfiability problem  $SAT(CTL(\mathbb{Z}))$  for  $CTL(\mathbb{Z})$  is defined analogously; for  $LTL(\mathbb{Z})$ , 159
- $SAT(LTL(\mathbb{Z}))$  is the problem to decide whether there exists an infinite sequence of valuations 160  $\mathfrak{v}: \text{VAR} \to \mathbb{Z}$  for a given  $\text{LTL}(\mathbb{Z})$  formula  $\Phi$ . 161
- Decidability, and, more precisely, PSPACE-completeness of  $SAT(LTL(\mathbb{Z}))$  is shown in [24]. 162 For some strict fragments of  $CTL^*(\mathbb{Z})$ , decidability is shown in [11, 12]. It is only recently 163 in [14, 13, 15], that decidability is established for the full logic using a translation into a 164 decidable second-order logic: 165

#### ▶ Proposition 1 ([14, 15]). SAT( $CTL^*(\mathbb{Z})$ ) is decidable. 166

The proof in [14, 15] does not provide a complexity upper bound as the target decidable 167 2nd-order logic admits an automata-based decision procedure with open complexity [10, 8, 9]. 168 Let us shortly explain why the satisfiability problem is challenging. First of all, observe 169 that  $\mathrm{CTL}^*(\mathbb{Z})$  has atomic formulae in which integer values at the current and successor states 170 are compared. This prevents us from using a simple translation from  $CTL^*(\mathbb{Z})$  to  $CTL^*$ 171 with new propositions. Models of  $CTL^*(\mathbb{Z})$  formulae can be viewed as an infinite network 172 of constraints on  $\mathbb{Z}$ ; even if a formula contains only a finite set of constants, a model may 173 contain an infinite set of values, as it is the case for, e.g., the formula  $\mathsf{EG}(x < Xx)$ . Hence 174 a direct Boolean abstraction does not work. On the other hand,  $CTL^*(\mathbb{Z})$  has no freeze 175 quantifier and no data variable quantification, and hence no way to directly compare values 176 at unbounded distance (but this can only be done by propagating local constraints), unlike 177 e.g. the formalisms in [21, 63, 5, 1]. Hence, the lower bounds from [42] cannot apply either. 178 A problem related to satisfiability is the *model-checking problem*. Fragments of the 179 model-checking problem involving a temporal logic similar to  $\text{CTL}^*(\mathbb{Z})$  are investigated 180

<sup>181</sup> in [17, 11, 12, 34] (see also [39, 20, 70, 2]). However, model-checking problems with  $CTL^*(\mathbb{Z})$ -<sup>182</sup> like languages are easily undecidable, see e.g. [17, Theorem 1] and [54, Theorem 4.1] (more <sup>183</sup> general constraints are used in [54] but undecidability proof uses only the constraints involved <sup>184</sup> herein). The difference between model-checking and satisfiability is subtle and underlines <sup>185</sup> that decidability/complexity of  $CTL(\mathbb{Z})/CTL^*(\mathbb{Z})$  satisfiability is not immediate.

In this paper, we prove the precise computational complexity of  $SAT(CTL^*(\mathbb{Z}))$  and SAT(CTL( $\mathbb{Z}$ )). We follow the automata-based approach, that is, we translate formulae in our logics into equivalent automata – tree constraint automata for  $CTL(\mathbb{Z})$ , and Rabin tree constraint automata for  $CTL^*(\mathbb{Z})$  – so that we can reduce the satisfiability problem for the logics to the nonemptiness problem for the corresponding automata.

191 3

#### Tree Constraint Automata

In this section, we introduce the class of tree constraint automata that accept sets of infinite 192 trees of the form  $\mathbb{t}: [0, D-1]^* \to (\Sigma \times \mathbb{Z}^\beta)$  for some finite alphabet  $\Sigma$  and some  $\beta \ge 1$ . 193 The transition relation of such automata states constraints between the  $\beta$  integer values 194 at a node and the integer values at its children nodes. The acceptance condition is a 195 Büchi condition (applied to the infinite branches of the input tree), but this can be easily 196 extended to more general conditions (which we already consider by the end of this section). 197 Moreover, our definition is specific to the concrete domain  $\mathbb{Z}$  but it can be easily adapted to 198 other concrete domains. Formally, a tree constraint automaton (TCA, for short) is a tuple 199  $\mathbb{A} = (Q, \Sigma, D, \beta, Q_{\rm in}, \delta, F),$  where 200

- $_{\mbox{\tiny 201}}$   $\ \ \, = \ \, Q$  is a finite set of locations;  $\Sigma$  is a finite alphabet,
- $_{202}$   $D \ge 1$  is the (branching) degree of (the trees accepted by) A,
- $_{203}$   $\beta \ge 1$  is the number of variables (a.k.a. registers),
- $_{204}$  =  $Q_{in} \subseteq Q$  is the set of initial locations;  $F \subseteq Q$  encodes the Büchi acceptance condition,

 $\begin{aligned} & \delta \text{ is a finite subset of } Q \times \Sigma \times (\operatorname{TreeCons}(\beta) \times Q)^{D}, \text{ the transition relation. Here,} \\ & \operatorname{TreeCons}(\beta) \text{ denotes the constraints (Boolean combinations of atomic constraints) built} \\ & \text{over the terms } \mathbf{x}_{1}, \dots, \mathbf{x}_{\beta}, \mathbf{x}'_{1}, \dots, \mathbf{x}'_{\beta}, \text{ where } \mathbf{x}'_{i} \text{ denotes the term } \mathsf{X}\mathbf{x}_{i}. \quad \delta \text{ consists of} \\ & \text{tuples } (q, \mathbf{a}, (\Theta_{0}, q_{0}), \dots, (\Theta_{D-1}, q_{D-1})), \text{ where } q \in Q \text{ is called the source location, } q_{0}, \dots, \\ & q_{D-1} \in Q, \mathbf{a} \in \Sigma, \text{ and } \Theta_{0}, \dots, \Theta_{D-1} \text{ are constraints.} \end{aligned}$ 

**Runs.** Let  $\mathbb{t} : [0, D-1]^* \to (\Sigma \times \mathbb{Z}^{\beta})$  be an infinite full *D*-ary tree over  $\Sigma \times \mathbb{Z}^{\beta}$ . A *run* of  $\mathbb{A}$  on  $\mathbb{t}$  is a mapping  $\rho : [0, D-1]^* \to \delta$  satisfying the following conditions:

<sup>212</sup>  $\rho(\varepsilon) = (q_{\text{in}}, \dots)$  such that  $q_{\text{in}} \in Q_{\text{in}}$ ;

for every  $\mathbf{n} \in [0, D-1]^*$  with  $\rho(\mathbf{n}) = (q, \mathbf{a}, (\Theta_0, q_0), \dots, (\Theta_{D-1}, q_{D-1})), \mathbb{t}(\mathbf{n} \cdot i) = (\mathbf{a}_i, \mathbf{z}_i),$ and  $\rho(\mathbf{n} \cdot i)$  starts by the location  $q_i$  for all  $0 \leq i < D$ , we have  $\mathbb{t}(\mathbf{n})$  of the form  $(\mathbf{a}, \mathbf{z})$  and  $\mathbb{Z} \models \Theta_i(\mathbf{z}, \mathbf{z}_i)$  for all  $0 \leq i < D$ . Here,  $\mathbb{Z} \models \Theta_i(\mathbf{z}, \mathbf{z}_i)$  is a shortcut for  $[\vec{\mathbf{x}} \leftarrow \mathbf{z}, \vec{\mathbf{x}'} \leftarrow \mathbf{z}_i] \models \Theta_i$  where  $[\vec{\mathbf{x}} \leftarrow \mathbf{z}, \vec{\mathbf{x}'} \leftarrow \mathbf{z}_i]$  is a valuation  $\mathfrak{v}$  on the variables  $\{\mathbf{x}_j, \mathbf{x}'_j \mid j \in [1, \beta]\}$  with  $\mathfrak{v}(\mathbf{x}_j) = \mathbf{z}(j)$  and  $\mathfrak{v}(\mathbf{x}'_j) = \mathbf{z}_i(j)$  for all  $j \in [1, \beta]$ .

We show an example of a run  $\rho$  on  $\mathbb{t}$  in Figure 1. Suppose  $\rho$  is a run of  $\mathbb{A}$ . Given a path  $\pi = j_1 \cdot j_2 \cdot j_3 \ldots$  in  $\rho$  starting from  $\varepsilon$ , we define  $\inf(\rho, \pi)$  to be the set of locations that appear infinitely often as the source locations of the transitions in  $\rho(\varepsilon)\rho(j_1)\rho(j_1 \cdot j_2)\rho(j_1 \cdot j_2 \cdot j_3)\ldots$ A run  $\rho$  is *accepting* if for all paths  $\pi$  in  $\rho$  starting from  $\varepsilon$ , we have  $\inf(\rho, \pi) \cap F \neq \emptyset$ . We write L( $\mathbb{A}$ ) to denote the set of trees  $\mathbb{t}$  that admit an accepting run.

**Nonemptiness problem.** As usual, the *nonemptiness problem for TCA* asks whether a TCA  $\mathbb{A}$  satisfies  $L(\mathbb{A}) \neq \emptyset$ . To define the size of  $\mathbb{A}$  in a reasonably succinct encoding, we need to consider the size of constraints from TreeCons( $\beta$ ). Indeed, unlike (plain) Büchi tree automata [68], the number of transitions in a tree constraint automaton is *a priori* unbounded

(TreeCons( $\beta$ ) is infinite) and the maximal size of a constraint occurring in transitions is 227 unbounded too. In particular, this means that  $\operatorname{card}(\delta)$  is a priori unbounded, even if Q and 228  $\Sigma$  are fixed. We write MCS(A) to denote the maximal size of a constraint occurring in A 229 (with binary encoding of the integers). The complexity of the nonemptiness problem should 230 take into account these parameters. Note also that our automaton model differs from the 231 Presburger Büchi tree automata from [62, 6] for which, in the runs, arithmetical expressions 232 are related to constraints between numbers of children labelled by different locations. Herein, 233 the arithmetical expressions state constraints between integer values. 234

Next, we introduce a variant of TCA by considering the Rabin acceptance condition (as opposed to the Büchi acceptance condition). A *Rabin tree constraint automaton* (Rabin TCA, for short) is a tuple  $\mathbb{A} = (Q, \Sigma, D, \beta, Q_{\text{in}}, \delta, \mathcal{F})$  defined as for TCA except that  $\mathcal{F}$  is a set of pairs of the form (L, U), where  $L, U \subseteq Q$ . All the definitions about TCA apply except that a run  $\rho : [0, D-1]^* \to \delta$  is *accepting* iff for all paths  $\pi$  in  $\rho$  starting from  $\varepsilon$ , there is some  $(L, U) \in \mathcal{F}$  such that  $\inf(\rho, \pi) \cap L \neq \emptyset$  and  $\inf(\rho, \pi) \cap U = \emptyset$ .

Finite alphabet. The set  $\Sigma$  in data trees  $\mathbb{t} : [0, D-1]^* \to (\Sigma \times \mathbb{Z}^{\beta})$  plays no specific role herein, especially that it could be encoded with simple constraints of the form  $\mathbf{x}^* = \mathbf{d}$ , where  $\mathbf{x}^*$  is a distinguished variables. Its inclusion is more handy when the logical atomic formulae include constraints on variables *and* propositional variables, as done in [27, Section 5.2] dedicated to description logics (developments on description logics are very little in this paper, due to lack of space).

#### <sup>247</sup> 4 Complexity of the Nonemptiness Problem for TCA

This section is dedicated to prove the EXPTIME-completeness of the nonemptiness problem 248 for TCA (Theorem 11) and Rabin TCA (Theorem 13) (we make a distinction between TCA 249 and Rabin TCA because the complexity bounds differ slightly, see Lemma 10 and Lemma 12). 250 Before we prove the EXPTIME upper bound, let us drop a few words on the lower bound. 251 We show EXPTIME-hardness of the nonemptiness problem for TCA by reduction from the 252 acceptance problem for alternating Turing machines running in polynomial space, see e.g. [18, 253 Corollary 3.6]. Indeed, the polynomial-space tape using a finite alphabet  $\Sigma$  can be encoded 254 by a polynomial amount of variables taking values in  $[1, \operatorname{card}(\Sigma)]$ , details can be found 255 in [27, Section 4.1]. EXPTIME-hardness for Rabin TCA follows, as every TCA with set F of 256 accepting locations can be encoded as a Rabin TCA with a single Rabin pair  $(F, \emptyset)$ . 257

The proof of the EXPTIME upper bound is divided into two parts. In order to determine whether  $L(\mathbb{A})$  is nonempty for a given TCA  $\mathbb{A}$ , we first reduce the existence of some tree  $\mathbb{L} \in L(\mathbb{A})$  to the existence of some *regular symbolic tree* that is *satisfiable*, that is, it admits a concrete model (Sections 4.1 and 4.2). Second, we characterise the complexity of determining the existence of such satisfiable regular symbolic trees (Section 4.3). The result for Rabin TCA is presented in Section 4.4.

From now on, we assume a fixed TCA  $\mathbb{A} = (Q, \Sigma, D, \beta, Q_{\text{in}}, \delta, F)$  with the constants  $\mathfrak{d}_1, \ldots, \mathfrak{d}_{\alpha}$  occurring in  $\mathbb{A}$  such that  $\mathfrak{d}_1 < \cdots < \mathfrak{d}_{\alpha}$  (we assume there is at least one constant).

#### 266 4.1 Symbolic Trees

A type over the variables  $\mathbf{z}_1, \ldots, \mathbf{z}_n$  is an expression of the form

$$(\bigwedge_{i} \Theta_{i}^{\text{CST}}) \land (\bigwedge_{i < j} \mathbf{z}_{i} \sim_{i,j} \mathbf{z}_{j}), \text{ where}$$

for all  $i \in [1, n]$ ,  $\Theta_i^{\text{CST}}$  is equal to either  $\mathbf{z}_i < \mathfrak{d}_1$ , or  $\mathbf{z}_i > \mathfrak{d}_\alpha$  or  $\mathbf{z}_i = \mathfrak{d}$  for some  $\mathfrak{d} \in [\mathfrak{d}_1, \mathfrak{d}_\alpha]$ . This definition goes a bit beyond the constraint language in  $\mathbb{Z}$  (because of expressions of



**Figure 1** A tree  $\mathfrak{l}$  (middle), a run  $\rho$  of some TCA on  $\mathfrak{l}$  (left), where,  $\mathbf{T}_{a} = (q, \mathbf{a}, (\Theta_{0}, q), (\Theta_{1}, q))$ and  $\mathbf{T}_{b} = (q, \mathbf{b}, (\Theta_{0}, q), (\Theta_{1}, q))$ , and the symbolic tree  $t_{\mathfrak{l}}$  (abstraction of  $\mathfrak{l}$ ) (right).

the form  $\mathbf{z}_i < \mathfrak{d}_1$  and  $\mathbf{z}_i > \mathfrak{d}_\alpha$ ), but this is harmless in the sequel. What really matters in a type is the way the variables are compared to each other and to the constants.

273  $\sim_{i,j} \in \{>, =, <\}$  for all i < j.

Checking the satisfiability of a type can be done in polynomial-time, based on a standard 274 cycle detection, see e.g. [17, Lemma 5.5]. The set of satisfiable types built over the variables 275  $\mathbf{x}_1, \ldots, \mathbf{x}_\beta, \mathbf{x}'_1, \ldots, \mathbf{x}'_\beta$  is written SatTypes $(\beta)$  (*n* above is equal here to  $2\beta$ ). Observe that 276  $\operatorname{card}(\operatorname{SatTypes}(\beta)) \leq ((\mathfrak{d}_{\alpha} - \mathfrak{d}_{1}) + 3)^{2\beta} \times 3^{\beta^{2}}$ . The *restriction* of the type  $\Theta$  to some set 277 of variables  $X \subseteq \{\mathbf{x}_i, \mathbf{x}'_i \mid i \in [1, \beta]\}$  is made of all the conjuncts in which only variables in 278 X occur. The type  $\Theta$  restricted to  $\{\mathbf{x}'_i \mid i \in [1,\beta]\}$  agrees with the type  $\Theta'$  restricted to 279  $\{\mathbf{x}_i \mid i \in [1,\beta]\}$  iff  $\Theta$  and  $\Theta'$  are logically equivalent modulo the renaming for which  $\mathbf{x}_i$  and  $\mathbf{x}'_i$ 280 are substituted, for all  $i \in [1,\beta]$ . For instance, in Figure 1,  $\Theta$  restricted to  $\{\mathbf{x}'_1, \mathbf{x}'_2\}$  agrees 281 with  $\Theta_0$  restricted to  $\{\mathbf{x}_1, \mathbf{x}_2\}$ . The main properties we use about satisfiable types are stated 282 below. 283

<sup>284</sup> (I) Let  $z, z' \in \mathbb{Z}^{\beta}$ . There is a unique  $\Theta \in \text{SatTypes}(\beta)$  such that  $\mathbb{Z} \models \Theta(z, z')$ .

(II) For every constraint  $\Theta$  built over the variables  $\mathbf{x}_1, \ldots, \mathbf{x}_\beta, \mathbf{x}'_1, \ldots, \mathbf{x}'_\beta$  and the constants  $\mathfrak{d}_1, \ldots, \mathfrak{d}_\alpha$  there is a disjunction  $\Theta_1 \vee \cdots \vee \Theta_\gamma$  logically equivalent to  $\Theta$  and each  $\Theta_i$ belongs to SatTypes( $\beta$ ) (empty disjunction stands for  $\bot$ ).

288 (III) For all  $\Theta \neq \Theta' \in \text{SatTypes}(\beta)$ , the constraint  $\Theta \land \Theta'$  is not satisfiable.

The proof is by an easy verification and this justifies the term 'type' used in this context. 289 **Abstraction with types.** A symbolic tree t is a map  $t : [0, D-1]^* \to \Sigma \times \text{SatTypes}(\beta)$ . 290 Symbolic trees are intended to be abstractions of trees labelled with concrete values in  $\mathbb{Z}$ . 291 Given a tree  $\mathbb{t}: [0, D-1]^* \to \Sigma \times \mathbb{Z}^{\beta}$ , its abstraction is the symbolic tree  $t_{\mathbb{t}}: [0, D-1]^* \to \mathbb{C}$ 292  $\Sigma \times \text{SatTypes}(\beta)$  such that for all  $\mathbf{n} \cdot i \in [0, D-1]^*$  with  $\mathfrak{l}(\mathbf{n}) = (\mathbf{a}, \mathbf{z})$  and  $\mathfrak{l}(\mathbf{n} \cdot i) = (\mathbf{a}_i, \mathbf{z}_i)$ , 293  $t_{\mathbb{t}}(\mathbf{n} \cdot i) \stackrel{\text{def}}{=} (\mathbf{a}_i, \Theta_i)$  for the unique  $\Theta_i \in \text{SatTypes}(\beta)$  such that  $\mathbb{Z} \models \Theta_i(\boldsymbol{z}, \boldsymbol{z}_i)$ . Note that 294 the primed variables in  $\Theta_i$  refer to the  $\beta$  values at the node  $\mathbf{n} \cdot \mathbf{i}$ , whereas the unprimed 295 ones refer to the  $\beta$  values at the parent node **n**. At the root  $\varepsilon$  with  $\mathfrak{l}(\varepsilon) = (\mathbf{a}, \mathbf{z})$ , we have 296  $t_{\mathbb{t}}(\varepsilon) \stackrel{\text{def}}{=} (\mathbf{a}, \Theta)$  for the unique  $\Theta \in \text{SatTypes}(\beta)$  such that  $\mathbb{Z} \models \Theta(\mathbf{0}, \mathbf{z})$ , where  $\mathbf{0} \in \mathbb{Z}^{\beta}$  is 297 arbitrary as there are actually no parent values at the root. A symbolic tree t is satisfiable 298  $\stackrel{\text{def}}{\Leftrightarrow}$  there is  $\mathfrak{t}: [0, D-1]^* \to \Sigma \times \mathbb{Z}^{\beta}$  such that  $t_{\mathfrak{t}} = t$ . We say that  $\mathfrak{t}$  witnesses the satisfaction 299 of t, also written  $\mathbb{t} \models t$ . A symbolic tree t is regular if its set of subtrees is finite. 300

<sup>301</sup> A-consistency. In our quest to decide whether  $L(\mathbb{A}) \neq \emptyset$ , we are interested in symbolic <sup>302</sup> trees that satisfy certain properties that we subsume under the name  $\mathbb{A}$ -consistent. A symbolic <sup>303</sup> tree  $t : [0, D-1]^* \to \Sigma \times \text{SatTypes}(\beta)$  is  $\mathbb{A}$ -consistent if the following conditions are satisfied: <sup>304</sup> = t is locally consistent: for every node  $\mathbf{n}$ , the type  $\Theta$  labelling  $\mathbf{n}$  restricted to  $\mathbf{x}'_1, \ldots, \mathbf{x}'_\beta$ <sup>305</sup> agrees with all types  $\Theta_i$  labelling its children nodes  $\mathbf{n} \cdot i$  restricted to  $\mathbf{x}_1, \ldots, \mathbf{x}_\beta$ , and

there is an accepting run  $\rho$  of  $\mathbb{A}$  (but ignoring the conditions on data values) such that for all  $\mathbf{n} \in [0, D-1]^*$  with  $t(\mathbf{n}) = (\mathbf{a}, \Theta)$ ,  $t(\mathbf{n} \cdot i) = (\mathbf{a}_i, \Theta_i)$  for all  $i \in [0, D-1]$ , and  $\rho(\mathbf{n}) = (q, \mathbf{a}, (\Theta'_0, q_0) \dots (\Theta'_{D-1}, q_{D-1}))$ , we have  $\Theta_i \models \Theta'_i$  for all  $i \in [0, D-1]$ .

**Example 2.** In Figure 1, we show a tree  $\mathbb{t}$  with concrete values in  $\mathbb{Z}^{\beta}$  for  $\beta = 2$  (middle) and its abstraction  $t_{\mathbb{t}}$  (right). We assume that  $\mathfrak{d}_1 = 0$  is the only constant; consequently,  $t_{\mathbb{t}}$  uses constraints in SatTypes( $\beta$ ) that are built with variables  $\mathbf{x}_1, \mathbf{x}_2$ , their primed variants  $\mathbf{x}'_1, \mathbf{x}'_2$ , and the constant  $\mathfrak{d}_1$ . We underline constraints to illustrate the property of local consistency. It is not hard to prove that the set of all  $\mathbb{A}$ -consistent symbolic trees is  $\omega$ -regular, that is, it can be accepted by a classical tree automaton without constraints. In the following, we use the standard letter A to distinguish automata *without constraints* from TCA.

<sup>316</sup> ► **Lemma 3.** There exists a Büchi tree automaton (without constraints)  $A_{cons(\mathbb{A})}$  such that <sup>317</sup>  $L(A_{cons(\mathbb{A})})$  is equal to the set of  $\mathbb{A}$ -consistent symbolic trees.

The locations in  $A_{\text{cons}(\mathbb{A})}$  are from SatTypes $(\beta) \times Q$  and the transition relation for  $A_{\text{cons}(\mathbb{A})}$ and be decided in polynomial-time in card $(\delta) + \beta + \text{card}(\Sigma) + D + \text{MCS}(\mathbb{A})$ .

However, not every  $\mathbb{A}$ -consistent symbolic tree admits a concrete model. Thus the more important property is to check whether  $L(A_{cons(\mathbb{A})})$  contains some *satisfiable* symbolic tree (and we explain how to do this in the next two subsections). The result below is a variant of many similar results relating symbolic models and concrete models in logics for concrete domains, see e.g. [23, Corollary 4.1], [38, Lemma 3.4], [16, Theorem 25], [46, Theorem 11].

▶ Lemma 4.  $L(\mathbb{A}) \neq \emptyset$  iff there is a satisfiable symbolic tree in  $L(A_{cons(\mathbb{A})})$ .

## **4.2** Satisfiability for Regular Locally Consistent Symbolic Trees

Below, we focus on deciding when  $L(A_{cons(\mathbb{A})})$  contains a *satisfiable* symbolic tree, while evaluating the complexity to check its existence. Given a locally consistent symbolic tree  $t: [0, D-1]^* \rightarrow \Sigma \times \text{SatTypes}(\beta)$ , we introduce an infinite labelled graph that contains exactly the same types as t but expressed in a tree-like graph from which it is convenient to characterize satisfiability in terms of paths, under the premise that t is regular. Similar symbolic structures are introduced in [49, 23, 14, 46]. The graph is equal to the structure

 $G_t^{\rm c} = (V_t, \stackrel{=}{\rightarrow}, \stackrel{<}{\rightarrow}, U_{<\mathfrak{d}_1}, (U_i)_{i \in [\mathfrak{d}_1, \mathfrak{d}_\alpha]}, U_{>\mathfrak{d}_\alpha}),$ 

where  $V_t = [0, D-1]^* \times (\{\mathbf{x}_1, \dots, \mathbf{x}_\beta\} \cup \{\mathbf{d}_1, \mathbf{d}_\alpha\}), \stackrel{=}{\rightarrow} \text{and} \stackrel{\leq}{\rightarrow} \text{are two binary relations over } V_t,$ and  $\{U_{<\mathbf{d}_1}, U_{\mathbf{d}_1}, U_{\mathbf{d}_1+1}, \dots, U_{\mathbf{d}_\alpha}, U_{>\mathbf{d}_\alpha}\}$  is a partition of  $V_t$ . Elements in  $\{\mathbf{x}_1, \dots, \mathbf{x}_\beta\} \cup \{\mathbf{d}_1, \mathbf{d}_\alpha\}$ are denoted by  $\mathbf{xd}, \mathbf{xd}_1, \mathbf{xd}_2, \dots$  (variables or constants). Moreover,  $V_t^\beta \stackrel{\text{def}}{=} [0, D-1]^* \times \{\mathbf{x}_1, \dots, \mathbf{x}_\beta\}$ . The rationale behind the construction of  $G_t^c$  is to reflect the constraints between parent and children nodes as well as the constraints regarding constants, in such a way that, if  $\mathbb{t}$  witnesses the satisfaction of t, then, e.g.,  $\mathbb{t}(\mathbf{n})(\mathbf{xd}) < \mathbb{t}(\mathbf{n}')(\mathbf{xd'})$  if  $(\mathbf{n}, \mathbf{xd}) \stackrel{\leq}{\rightarrow} (\mathbf{n'}, \mathbf{xd'})$ , and  $\mathbb{t}(\mathbf{n})(\mathbf{xd}) = \mathbf{d}_1$  if  $(\mathbf{n}, \mathbf{xd}) \in U_{\mathbf{d}_1}$ . Here are all conditions for building  $G_t^c$ .

(VAR) For all  $(\mathbf{n}, \mathbf{x}_i), (\mathbf{n}', \mathbf{x}_{i'}) \in V_t^{\beta}$ , for all  $\sim \in \{<, =\}, (\mathbf{n}, \mathbf{x}_i) \xrightarrow{\sim} (\mathbf{n}', \mathbf{x}_{i'})$  iff either  $\mathbf{n}' = \mathbf{n} \cdot j$ and  $\mathbf{x}_i \sim \mathbf{x}'_{i'}$  in  $\Theta$  with  $t(\mathbf{n}') = (\mathbf{a}, \Theta)$ , or  $\mathbf{n} = \mathbf{n}'$  and  $\mathbf{x}'_i \sim \mathbf{x}'_{i'}$  in  $\Theta$  with  $t(\mathbf{n}') = (\mathbf{a}, \Theta)$ , or  $\mathbf{n} = \mathbf{n}' \cdot j$  and  $\mathbf{x}'_i \sim \mathbf{x}_{i'}$  in  $\Theta$  with  $t(\mathbf{n}) = (\mathbf{a}, \Theta)$ .

(P1) For all  $\mathfrak{d} \in [\mathfrak{d}_1, \mathfrak{d}_\alpha]$  and  $(\mathbf{n}, \mathbf{x}_j) \in V_t^\beta$ ,  $(\mathbf{n}, \mathbf{x}_j) \in U_\mathfrak{d}$  iff  $\mathbf{x}'_j = \mathfrak{d}$  in  $\Theta$  with  $t(\mathbf{n}) = (\mathfrak{a}, \Theta)$ .

<sup>345</sup> (P2) For all  $(\mathbf{n}, \mathbf{x}_j) \in V_t^{\beta}$ ,  $(\mathbf{n}, \mathbf{x}_j) \in U_{<\mathfrak{d}_1}$  iff  $\mathbf{x}'_j < \mathfrak{d}_1$  in  $\Theta$  with  $t(\mathbf{n}) = (\mathbf{a}, \Theta)$ .

<sup>346</sup> (P3) For all  $(\mathbf{n}, \mathbf{x}_j) \in V_t^{\beta}$ ,  $(\mathbf{n}, \mathbf{x}_j) \in U_{>\mathfrak{d}_{\alpha}}$  iff  $\mathbf{x}'_j > \mathfrak{d}_{\alpha}$  in  $\Theta$  with  $t(\mathbf{n}) = (\mathbf{a}, \Theta)$ .

<sup>347</sup> (P4) For all  $\mathbf{n} \in [0, D-1]^*$ ,  $(\mathbf{n}, \mathfrak{d}_1) \in U_{\mathfrak{d}_1}$  and  $(\mathbf{n}, \mathfrak{d}_\alpha) \in U_{\mathfrak{d}_\alpha}$ .

333

(CONS) This is about elements of  $V_t$  labelled by constants and how the edge labels reflect the relationships between the constants. Formally, for all  $((\mathbf{n}, \mathbf{xd}), (\mathbf{n}', \mathbf{xd}')) \in (V_t \times V_t) \setminus (V_t^\beta \times V_t^\beta)$ , for all  $\mathfrak{d}^{\dagger}, \mathfrak{d}^{\dagger\dagger}$  in '< $\mathfrak{d}_1$ ',  $\mathfrak{d}_1, \ldots, \mathfrak{d}_{\alpha}$ , '> $\mathfrak{d}_{\alpha}$ ' s.t.  $(\mathbf{n}, \mathbf{xd}) \in U_{\mathfrak{d}^{\dagger}}$  and  $(\mathbf{n}', \mathbf{xd}') \in U_{\mathfrak{d}^{\dagger\dagger}}$ , for all ~ $\in \{<, =\}$ ,  $(\mathbf{n}, \mathbf{xd}) \xrightarrow{\sim} (\mathbf{n}', \mathbf{xd}')$  iff either  $\mathfrak{d}^{\dagger}, \mathfrak{d}^{\dagger\dagger} \in [\mathfrak{d}_1, \mathfrak{d}_{\alpha}]$  and  $\mathfrak{d}^{\dagger} \sim \mathfrak{d}^{\dagger\dagger}$ , or  $\mathfrak{d}^{\dagger} = (<\mathfrak{d}_1', \mathfrak{d}_1')$ .

 $\mathfrak{d}^{\dagger\dagger} \neq (<\mathfrak{d}_1)$  and  $\sim$  is equal to < or  $\mathfrak{d}^{\dagger} \neq (>\mathfrak{d}_\alpha)$ ,  $\mathfrak{d}^{\dagger\dagger} = (>\mathfrak{d}_\alpha)$  and  $\sim$  is equal to <.

- Below, we illustrate the definition of the graph  $G_{t_{\mathbb{k}}}^{\mathbb{C}}$  for the symbolic tree  $t_{\mathbb{k}}$  in Figure 1. The edges labelled with = or < reflect the constraints (we omit edges if they can be inferred
  - from the other edges). For instance,  $(1, \mathbf{x}_1) \stackrel{\leq}{\rightarrow} (\varepsilon, \mathbf{x}_1)$  corresponds to the constraint  $\mathbf{x}'_1 < \mathbf{x}_1$ . Grey
- constraint  $\mathbf{x}'_1 < \mathbf{x}_1$ . Grey nodes are in  $U_{\mathfrak{d}_1}$ , all other nodes are in  $U_{>\mathfrak{d}_1}$  (no nodes in  $U_{<\mathfrak{d}_1}$ ).



A map  $p: \mathbb{N} \to V_t$  is a path map in  $G_t^{\mathbb{C}} \stackrel{\text{def}}{\Leftrightarrow}$  for all  $i \in \mathbb{N}$ , either  $p(i) \stackrel{=}{\to} p(i+1)$  or 356  $p(i) \stackrel{\leq}{\rightarrow} p(i+1)$  in  $G_t^c$ . Similarly,  $r: \mathbb{N} \to V_t$  is a reverse path map in  $G_t^c \stackrel{\text{def}}{\Leftrightarrow}$  for all  $i \in \mathbb{N}$ , 357 either  $r(i) \xrightarrow{=} r(i+1)$  or  $r(i+1) \xrightarrow{\leq} r(i)$ . A path map p (resp. reverse path map r) is 358  $strict \stackrel{\text{\tiny def}}{\Leftrightarrow} \{i \in \mathbb{N} \mid p(i) \stackrel{<}{\to} p(i+1)\} \text{ (resp. } \{i \in \mathbb{N} \mid r(i+1) \stackrel{<}{\to} r(i)\}\text{) is infinite. An infinite.}$ 359 branch  $\mathcal{B}$  is an element of  $[0, D-1]^{\omega}$ . We write  $\mathcal{B}[i, j]$  with  $i \leq j$  to denote the subsequence 360  $\mathcal{B}(i) \cdot \mathcal{B}(i+1) \cdots \mathcal{B}(j)$ . Given  $(\mathbf{n}, \mathbf{xd}) \in V_t$ , a path map p from  $(\mathbf{n}, \mathbf{xd})$  along  $\mathcal{B}$  is such that 361  $p(0) = (\mathbf{n}, \mathbf{xd})$  and for all  $i \ge 0$ , p(i) is of the form  $(\mathbf{n} \cdot \mathcal{B}[0, i], \cdot)$ . A reverse path map r from 362  $(\mathbf{n}, \mathbf{xd})$  along  $\mathcal{B}$  admits a similar definition. We present the condition  $(\mathbf{x}^{c})$  that is the central 363 property for characterising regular symbolic trees in  $L(A_{cons(\mathbb{A})})$  that are satisfiable, following 364 the remarkable result established in [46, Lemma 22] that non-satisfiability of a symbolic tree 365 can be witnessed along a *single branch*. 366

<sup>367</sup> ( $\star^{c}$ ) There are *no* elements (**n**, **xd**), (**n**, **xd**') in  $G_{t}^{c}$  (same node **n** from  $[0, D-1]^{*}$ ) and no <sup>368</sup> infinite branch  $\mathcal{B}$  such that

- 1. there exists a path map p from  $(\mathbf{n}, \mathbf{xd})$  along  $\mathcal{B}$ ,
- 2. there exists a reverse path map r from  $(\mathbf{n}, \mathbf{xd}')$  along  $\mathcal{B}$ ,
- 371 **3.** p or r is strict, and
- 372 **4.** for all  $i \in \mathbb{N}$ ,  $p(i) \xrightarrow{\leq} r(i)$ .

The following proposition states a key property: non-satisfaction of a regular locally consistent symbolic tree can be witnessed along a *single* branch by violation of  $(\bigstar^{C})$ .

**Proposition 5.** For every regular locally consistent symbolic tree t,  $G_t^c$  satisfies  $(\bigstar^c)$  iff t is satisfiable.

A proof can be found in [27, Section 7].

**Example 6.** Assume that every node along the rightmost branch in the symbolic tree  $t_{\mathbb{k}}$  in Figure 1 is labelled with  $(\mathbf{a}, \Theta_1)$ . Then  $t_{\mathbb{k}}$  is not satisfiable: in order to satisfy  $\Theta_1$ 's conjunct  $\mathbf{x}'_1 < \mathbf{x}_1$ , the value of  $\mathbf{x}_1$  must inevitably become finally smaller than  $\mathfrak{d}_1$ , violating the conjunct  $\mathfrak{d}_1 < \mathbf{x}_1$ . Consequently, the rightmost branch of  $G_{t_{\mathbb{k}}}^c$  presented above does not satisfy  $(\bigstar^c)$ : there exists a path map p from  $(\varepsilon, \mathfrak{d}_1)$  along  $1^{\omega}$ , there exists a strict reverse path map r from  $(\varepsilon, \mathbf{x}_1)$  along  $1^{\omega}$ , and for all  $i \in \mathbb{N}$  we have  $p(i) \stackrel{\leq}{\to} r(i)$ .

New constant nodes. Proposition 5 above is a variant of [46, Lemma 22]. Before going 384 any further, let us in short explain the improvement of our developments compared to what is 385 done in [46, 45]. The framified constraint graphs defined in [46, Definition 14] correspond to 386 the above defined graph  $G_t^c$  without  $[0, D-1]^* \times \{\mathfrak{d}_1, \mathfrak{d}_\alpha\}$  and corresponding edges. However, 387 Example 6 illustrates the importance of taking into account these elements when deciding 388 satisfiability (without  $\mathfrak{d}_1$ , the graph would satisfy  $(\bigstar^c)$ ). Actually, Example 6 invalidates  $(\bigstar)$ 389 as used in [46, 45] because the constants are missing to apply properly [15]. The problematic 390 part in [46, 45] is due to the proof of [45, Lemma 5.18] whose main argument takes advantage 391

of [15] but without the elements related to constant values (see also [24, Lemma 8]). With Proposition 5, we also propose a proof to characterise satisfiability of symbolic trees that is independent of [15]. Note also that the condition ( $\star$ ) in [46, Section 3.3] generalises the condition  $C_{\mathbb{Z}}$  from [23, Section 6] (see also the condition  $\mathcal{C}$  in [24, Definition 2] and a similar condition in [32, Section 2]). A condition similar to ( $\star$ ) is also introduced recently in [7, Lemma 18] to decide a realizability problem based on LTL( $\mathbb{Z}, <, =$ ).

We recall that there are *nonregular* locally consistent symbolic trees t such that  $G_t^{\rm C}$ satisfies ( $\star^{\rm C}$ ) (see e.g. [23, 46]) but t is not satisfiable; indeed, satisfiability of symbolic trees is not an  $\omega$ -regular property. The next result states that ( $\star^{\rm C}$ ) is  $\omega$ -regular; hence, satisfiability of symbolic trees can be overapproximated advantageously.

<sup>402</sup> ► **Lemma 7.** There is a Rabin tree automaton  $A_{\bigstar^C}$  such that  $L(A_{\bigstar^C}) = \{t \mid G_t^c \text{ satisfies } (\bigstar^c)\},$ <sup>403</sup> the number of Rabin pairs is bounded above by  $8(\beta + 2)^2 + 3$ , the number of locations is <sup>404</sup> exponential in β, the transition relation can be decided in polynomial-time in

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 $\max(\lceil log(|\mathfrak{d}_1|)\rceil, \lceil log(|\mathfrak{d}_\alpha|)\rceil) + \beta + card(\Sigma) + D.$ 

**Proof sketch.** The proof of Lemma 7 is structured as follows (see [27, Section 4.3]). 406 (1) We construct a Büchi word automaton  $A_B$  accepting the complement of  $(\bigstar^c)$  for D = 1. 407 (2)  $A_B$  is nondeterministic, but we can determinize it and get a deterministic Rabin word 408 automaton  $A_{B\to R}$  such that  $L(A_B) = L(A_{B\to R})$  (using the determinisation construction 409 from [60, Theorem 1.1]). (3) By an easy construction, we obtain a deterministic Street word 410 automaton  $A_S$  accepting the complement of  $L(A_{B\to R})$ ; it accepts words that satisfy  $(\bigstar^{\circ})$  for 411 D = 1. (4) By [60, Lemma 1.2], we construct a deterministic Rabin word automaton  $A_R$  s.t. 412  $L(A_S) = L(A_R)$ . (5) Finally, we construct a Rabin tree automaton  $A_{\pm C}$ , the intuitive idea is 413 to "let run the automaton  $A_R$ " along every branch of a run of  $A_{\star^{\rm C}}$ , doable thanks to the 414 determinism of  $A_R$ . Since  $(\star^c)$  states a property on every branch, we are done. 415

**Differences with** [46]. Lemma 7 is similar to [46, Proposition 26] but there is an 416 essential difference: the number of Rabin pairs in Lemma 7 is not a constant but a value 417 depending on  $\beta$ , an outcome of our investigations. It is important to know the number 418 of Rabin pairs in  $A_{\star c}$  for our complexity analysis as checking nonemptiness of Rabin tree 419 automata is exponential in the number of Rabin pairs [30, Theorem 4.1]. Our proof of 420 Lemma 7 also proposes a slight novelty compared to the construction in [46]: we design 421  $A_{\star c}$  without firstly constructing a tree automaton for the complement language (as done 422 in [46]) and then using results from [55] (elimination of alternation in tree automata). Our 423 new approach shall be rewarding: not only we can better understand how to express the 424 condition ( $\star^{c}$ ), but also we control the size parameters of  $A_{\star^{c}}$  involved in our forthcoming 425 complexity analysis. Furthermore, it may be useful to implement the decision procedure for 426 solving the satisfiability problem for  $CTL(\mathbb{Z})$  (resp. for  $CTL^*(\mathbb{Z})$ ). Note also that the above 427 analysis about the number of Rabin pairs is independent from the question discussed above 428 about having the elements in  $[0, D-1]^* \times \{\mathfrak{d}_1, \mathfrak{d}_\alpha\}$  within  $G_t^c$ . 429

430 Summarizing the developments so far, we can conclude this subsection as follows:

#### <sup>431</sup> ► Lemma 8. $L(\mathbb{A}) \neq \emptyset$ iff $L(A_{cons(\mathbb{A})}) \cap L(A_{\bigstar^{C}}) \neq \emptyset$ .

For its proof, by way of example, if  $L(A_{cons(\mathbb{A})}) \cap L(A_{\star^{C}})$  is non-empty, then as  $L(A_{cons(\mathbb{A})}) \cap L(A_{\star^{C}})$  is regular, it contains a regular  $\mathbb{A}$ -consistent symbolic tree t (see e.g. [58] and [64, Section 6.3] for the existence of regular trees) and by Proposition 5, t is satisfiable. By Lemma 4, we get  $L(\mathbb{A}) \neq \emptyset$ . For the other direction, we use Lemma 3 as well as the property that for every satisfiable symbolic tree t,  $G_t^c$  satisfies the condition ( $\star^c$ ).

#### 437 4.3 ExpTime Upper Bound for TCAs

Lemma 8 justifies why deciding the nonemptiness of  $L(A_{cons(A)}) \cap L(A_{\star^{C}})$  is crucial. In the proof of Lemma 9 below (see [27, Section 4.4]), we propose a construction for the intersection of Rabin tree automata that only performs an exponential blow-up for the number of locations, which is fine for our purposes.

<sup>442</sup> ► Lemma 9. There is a Rabin tree automaton A such that  $L(A) = L(A_{cons(A)}) \cap L(A_{\bigstar^C})$  and the <sup>443</sup> number of Rabin pairs is polynomial in β, the number of locations is in  $\mathcal{O}(card(\text{SatTypes}(\beta)) \times$ <sup>444</sup>  $card(Q) \times 2^{P(\beta)})$  for some polynomial  $P(\cdot)$  and the transition relation can be decided in <sup>445</sup> polynomial-time in  $card(\delta) + \beta + card(\Sigma) + D + \text{MCS}(A)$ .

Nonemptiness of Rabin tree automata is polynomial in the cardinality of the transition 446 relation and exponential in the number of Rabin pairs, see e.g. [30, Theorem 4.1]. More 447 precisely, it is in time  $(m \times n)^{\mathcal{O}(n)}$ , where m is the number of locations and n is the number 448 of Rabin pairs, see the statement [30, Theorem 4.1]. However, this is not exactly what 449 we need herein, as the complexity expression above concerns binary trees, and it assumes 450 that the transition relation  $\delta$  can be decided in constant time. If, as in our case,  $D \ge 1$ 451 and deciding whether a tuple belongs to  $\delta$  requires  $\gamma$  time units, checking nonemptiness is 452 actually in time  $(\operatorname{card}(\delta) \times \gamma \times n)^{\mathcal{O}(n)}$  (by scrutiny of the proof of [30, Theorem 4.1], page 453 144). Here,  $\gamma$  may depend on parameters related to  $\mathbb{A}$  and in Lemma 10 below,  $\gamma$  takes the 454 value  $\operatorname{card}(\delta) + \beta + \operatorname{card}(\Sigma) + D + \operatorname{MCS}(\mathbb{A})$  (by Lemma 9). Hence the following result: 455

<sup>456</sup> ► Lemma 10. The nonemptiness problem for TCA can be solved in time in  $\mathcal{O}(R_1(card(Q) \times card(\delta) \times MCS(A) \times card(\Sigma) \times R_2(\beta))^{R_2(\beta) \times R_3(D)})$  for some polynomials  $R_1$ ,  $R_2$  and  $R_3$ .

Assuming that the size of the TCA  $\mathbb{A} = (Q, \Sigma, D, \beta, Q_{\text{in}}, \delta, F)$ , written  $\mathtt{size}(\mathbb{A})$ , is polynomial in  $\operatorname{card}(Q) + \operatorname{card}(\delta) + D + \beta + \mathtt{MCS}(\mathbb{A})$  (which makes sense for a reasonably succinct encoding), from the computation of the bound in Lemma 10, the nonemptiness of  $L(\mathbb{A})$  can be checked in time  $\mathcal{O}(R(\mathtt{size}(\mathbb{A}))^{R'(\beta+D)})$  for some polynomials R and R'. The EXPTIME upper bound of the nonemptiness problem for TCA is now a consequence of the above complexity expression.

463 **• Theorem 11.** Nonemptiness problem for tree constraint automata is EXPTIME-complete.

#### 464 4.4 Rabin Tree Constraint Automata

We can prove the EXPTIME upper bound of the nonemptiness problem for Rabin TCA (Theorem 13) and follow the same lines of arguments as for TCA. Given a Rabin TCA  $A = (Q, \Sigma, D, \beta, Q_{\text{in}}, \delta, \mathcal{F})$ , we define a Rabin tree automaton  $A'_{\text{cons}(\mathbb{A})}$  such that  $L(\mathbb{A}) \neq \emptyset$ iff there is  $t \in L(A'_{\text{cons}(\mathbb{A})})$  that is satisfiable (cf. Lemma 4 for TCA). Moreover, we take advantage of  $A_{\star C}$  so that  $L(\mathbb{A}) \neq \emptyset$  iff  $L(A'_{\text{cons}(\mathbb{A})}) \cap L(A_{\star C})$  is non-empty (cf. Lemma 8). It remains to determine the cost for testing nonemptiness of  $L(A'_{\text{cons}(\mathbb{A})}) \cap L(A_{\star C})$ . Here is the counterpart of Lemma 9 (same kind of arguments).

<sup>472</sup> ► Lemma 12. There is a Rabin tree automaton A s.t.  $L(A) = L(A'_{cons(A)}) \cap L(A_{\star^C})$ , <sup>473</sup> the number of Rabin pairs is polynomial in β + card(F), the number of locations is in <sup>474</sup>  $O(card(\text{SatTypes}(\beta)) \times card(Q) \times 2^{P(\beta + card(F))})$  for some polynomial  $P(\cdot)$ , and the trans-<sup>475</sup> ition relation can be decided in polynomial-time in card(δ) + β + card(Σ) + D + MCS(A).

As for Lemma 10, we conclude that the nonemptiness problem for Rabin TCA can be solved in time  $\mathcal{O}(R_1(\operatorname{card}(Q) \times \operatorname{card}(\delta) \times \operatorname{MCS}(\mathbb{A}) \times \operatorname{card}(\Sigma) \times R_2(\beta + \operatorname{card}(\mathcal{F})))^{R_2(\beta + \operatorname{card}(\mathcal{F})) \times R_3(D)})$  for polynomials  $R_1, R_2$  and  $R_3$ . The nonemptiness problem for Rabin TCA is also in EXPTIME.

<sup>480</sup> ► **Theorem 13.** The nonemptiness problem for Rabin TCA is EXPTIME-complete.

<sup>481</sup> This result is mainly useful to characterize the complexity of  $SAT(CTL^*(\mathbb{Z}))$  in Section 6.

#### **5** Tree Constraint Automata for $CTL(\mathbb{Z})$

Below, we harvest the first results from what is achieved in the previous section:  $SAT(CTL(\mathbb{Z}))$ is in EXPTIME. So, enriching the CTL models with numerical values interpreted in  $\mathbb{Z}$  does not cause a complexity blow-up. We follow the automata-based approach and (after proving a refined version of the tree model property for  $CTL(\mathbb{Z})$ ) the key step is to translate  $CTL(\mathbb{Z})$ formulae into equivalent TCA. Theorem 14 below is one of our main results.

<sup>488</sup> **• Theorem 14.** The satisfiability problem for  $CTL(\mathbb{Z})$  is EXPTIME-complete.

<sup>489</sup> **Sketch.** EXPTIME-hardness is inherited from CTL. For EXPTIME-easiness, let  $\phi$  be a CTL( $\mathbb{Z}$ ) <sup>490</sup> formula. A first step is to preprocess the formula into a formula in *simple form* (see definition <sup>491</sup> in Section 2.2). Then, we can construct from a formula  $\phi$  in simple form a TCA  $\mathbb{A}_{\phi}$  s.t.  $\phi$  is <sup>492</sup> satisfiable iff  $L(\mathbb{A}_{\phi}) \neq \emptyset$  and  $\mathbb{A}_{\phi}$  satisfies the following properties.

<sup>493</sup> The degree D and the number of variables  $\beta$  are bounded by  $size(\phi)$ .

<sup>494</sup> The number of locations is bounded by  $(D \times 2^{\mathtt{size}(\phi)}) \times (\mathtt{size}(\phi) + 1)$ .

<sup>495</sup> The number of transitions is in  $\mathcal{O}(2^{P(\mathtt{size}(\phi))})$  for some polynomial  $P(\cdot)$ .

<sup>496</sup> The finite alphabet  $\Sigma$  in  $\mathbb{A}_{\phi}$  is unary;  $MCS(\mathbb{A}_{\phi})$  is quadratic in  $size(\phi)$ .

<sup>497</sup> By Lemma 10, the nonemptiness problem for TCA can be solved in time

<sup>498</sup>  $\mathcal{O}(R_1(\operatorname{card}(Q) \times \operatorname{card}(\delta) \times \operatorname{MCS}(\mathbb{A}) \times \operatorname{card}(\Sigma) \times R_2(\beta))^{R_2(\beta) \times R_3(D)}).$ 

Since the transition relations of the automata  $A_{\text{cons}(\mathbb{A})}$  and  $A_{\star}$  can be built in polynomial-time, we get that nonemptiness of  $L(\mathbb{A}_{\phi})$  can be solved in exponential-time.

Let  $\mathbb{N}$  be the concrete domain  $(\mathbb{N}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{N}})$  for which we can also show that nonemptiness 501 of TCA with constraints interpreted on  $\mathbb{N}$  has the same complexity as for TCA with constraints 502 interpreted on  $\mathbb{Z}$ . Let  $CTL(\mathbb{N})$  be the variant of  $CTL(\mathbb{Z})$  with constraints interpreted 503 on N. As a corollary, SAT(CTL(N)) is EXPTIME-complete. With the concrete domain 504  $(\mathbb{Q}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Q}})$ , all the trees in  $L(A_{cons(\mathbb{A})})$  are satisfiable (no need to intersect  $A_{cons(\mathbb{A})}$  with 505 a hypothetical  $A_{\star C}$ , see e.g. [49, 4, 23, 38]), and therefore SAT(CTL( $\mathbb{Q}$ )) is in EXPTIME too. 506 TCA can be also used to show that the concept satisfiability w.r.t. general TBoxes for the 507 description logic  $\mathcal{ALCF}^{\mathcal{P}}(\mathbb{Z}_c)$  is in EXPTIME [46, 45], see more details in [27, Section 5.2]. 508

#### **6** Complexity of the Satisfiability Problem for the Logic $CTL^*(\mathbb{Z})$

We show that SAT(CTL\*( $\mathbb{Z}$ )) can be solved in 2EXPTIME. We follow the automata-based approach for CTL\*, see e.g. [31, 30], but adapted to Rabin TCA. The main challenge here is to carefully check that essential steps for CTL\* can be lifted to CTL\*( $\mathbb{Z}$ ), but also that computationally we are in a position to provide an optimal complexity upper bound.

Let us explain in short all steps necessary to obtain the result. We start by establishing a special form for  $\text{CTL}^*(\mathbb{Z})$  formulae from which Rabin TCA will be defined, following ideas from [31] for  $\text{CTL}^*$ . A  $\text{CTL}^*(\mathbb{Z})$  state formula  $\phi$  is in *special form* if it has the form below

$$\mathsf{E} (\mathbf{x} = 0) \land \left( \bigwedge_{i \in [1, D-1]} \mathsf{AGE} \Phi_i \right) \land \left( \bigwedge_{j \in [1, D']} \mathsf{A} \Phi'_j \right),$$

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where the  $\Phi_i$ 's and the  $\Phi'_j$ 's are  $\text{LTL}(\mathbb{Z})$  formulae in simple form (see Section 2), for some  $D \ge 1, D' \ge 0$ . We can restrict ourselves to  $\text{CTL}^*(\mathbb{Z})$  state formulae in special form (see the proof of [27, Proposition 6]).

▶ Proposition 15. For every  $\text{CTL}^*(\mathbb{Z})$  formula  $\phi$ , one can construct in polynomial time in the size of  $\phi$  a  $\text{CTL}^*(\mathbb{Z})$  formula  $\phi'$  in special form s.t.  $\phi$  is satisfiable iff  $\phi'$  is satisfiable.

<sup>523</sup> So  $\phi'$  is also of polynomial size in the size of  $\phi$ . Let us state a tree model property of special <sup>524</sup> formulae, with a strict discipline on the witness paths. Proposition 16 below is a counterpart <sup>525</sup> of [31, Theorem 3.2] but for CTL\*( $\mathbb{Z}$ ) instead of CTL\*, see also the variant [38, Lemma 3.3].

Proposition 16. Let φ be a CTL\*(ℤ) formula in special form built over  $\mathbf{x}_1, ..., \mathbf{x}_\beta$ . φ is satisfiable iff there is a tree  $\mathbb{t} : [0, D-1] \to \mathbb{Z}^\beta$  such that  $\mathbb{t}, \varepsilon \models \phi$  and for each  $i \in [1, D-1]$ ,  $\mathbb{t}$  satisfies AGE  $\Phi_i$  via i, that is, if  $\mathbb{t}, \mathbf{n} \models \mathsf{E} \Phi_i$ , then  $\Phi_i$  is satisfied on the path  $\mathbf{n} \cdot i \cdot 0^ω$ .

Proposition 16 justifies our restriction to infinite trees and to TCA in the rest of this section. Proposition 15 allows us to restrict our attention to constructing automata for formulae of (only) the form AGE  $\Phi$  and A  $\Phi$ , where  $\Phi$  is a simple formula in LTL( $\mathbb{Z}$ ). The first step is to translate simple formulae in LTL( $\mathbb{Z}$ ) into equivalent *word* constraint automata (TCA with degree D = 1). Adapting the standard automata-based approach for LTL [69], we can show the following proposition (see the proof of [27, Proposition 8]).

▶ Proposition 17. Let  $\Phi$  be an LTL( $\mathbb{Z}$ ) formula in simple form. There is a constraint word automaton  $\mathbb{A}_{\Phi}$  such that  $\{\mathfrak{w} : \mathbb{N} \to \mathbb{Z}^{\beta} \mid \mathfrak{w} \models \Phi\} = L(\mathbb{A}_{\Phi})$ , and the following conditions hold. 537 (1) The number of locations in  $\mathbb{A}_{\Phi}$  is bounded by  $size(\Phi) \times 2^{2 \times size(\Phi)}$ .

<sup>538</sup> (II) The cardinality of  $\delta$  in  $\mathbb{A}_{\Phi}$  is in  $\mathcal{O}(2^{P(\mathtt{size}(\Phi))})$  for some polynomial  $P(\cdot)$ .

<sup>539</sup> (III) The maximal size of a constraint in  $\mathbb{A}_{\Phi}$  is quadratic in  $\mathtt{size}(\Phi)$ .

We can now construct, for every  $i \in [0, D-1]$ , a TCA  $\mathbb{A}_i$  such that  $L(\mathbb{A}_i) = \{\mathfrak{t} : \mathbb{A}_i\}$ 540  $[0, D-1]^* \to \mathbb{Z}^{\beta} \mid \mathfrak{k} \models \mathsf{AGE} \ \Phi_i \text{ and } \mathfrak{k} \text{ satisfies } \mathsf{AGE} \ \Phi_i \text{ via } i \}$ . The idea is to construct  $\mathbb{A}_i$  so 541 that it starts off the word constraint automaton  $\mathbb{A}_{\Phi_i}$  at each node **n** of the tree and runs it 542 down the designated path  $\mathbf{n} \cdot i \cdot \mathbf{0}^{\omega}$  to check whether  $\Phi_i$  actually holds along this path. This 543 can be easily done for AGE  $\Phi_i$ ; however, for formulas of the form A  $\Phi'_i$ , for this construction 544 to be correct, the underlying constraint word automaton  $\mathbb{A}'_j$  must be *deterministic*, that is, for all locations s, letters **a** and pairs of valuations  $(\boldsymbol{z}, \boldsymbol{z}') \in \mathbb{Z}^{2\beta}$ , there exists in  $\mathbb{A}'_j$  at 545 546 most a single transition  $(s, \mathbf{a}, \Theta, s')$  such that  $\mathbb{Z} \models \Theta(\mathbf{z}, \mathbf{z}')$ . A well-known construction to 547 transform nondeterministic Büchi automata to equivalent deterministic Rabin automata 548 is due to Safra [60, Theorem 1.1]. An important step towards the optimal complexity for 549  $\mathrm{CTL}^*(\mathbb{Z})$  is to show that it is possible to lift this construction to word constraint automata, 550 which is a result of its own interest. A special attention is given to the cardinality of the 551 552 transition relation and to the size of the constraints in transitions, as these two parameters are, a priori, unbounded in constraint automata but essential to perform a forthcoming 553 complexity analysis. 554

<sup>555</sup> ► **Theorem 18.** Let  $\mathbb{A} = (Q, \Sigma, \beta, Q_{in}, \delta, F)$  be a Büchi word constraint automaton involving <sup>556</sup> the constants  $\mathfrak{d}_1, \ldots, \mathfrak{d}_\alpha$ . There is a deterministic Rabin word constraint automaton  $\mathbb{A}' =$ <sup>557</sup>  $(Q', \Sigma, \beta, Q'_{in}, \delta', \mathcal{F})$  such that  $L(\mathbb{A}) = L(\mathbb{A}')$  verifying the following quantitative properties.

(1) card(Q') is exponential in card(Q) and the number of Rabin pairs in  $\mathbb{A}'$  is bounded by 2 · card(Q) (same bounds as in [60, Theorem 1.1]).

<sup>560</sup> (11) The constraints in the transitions are from SatTypes( $\beta$ ), are of size cubic in  $\beta$  + <sup>561</sup> max( $[log(|\mathfrak{d}_1|)], [log(|\mathfrak{d}_\alpha|)]$ ) and  $card(\delta') \leq card(Q')^2 \times card(\Sigma) \times ((\mathfrak{d}_\alpha - \mathfrak{d}_1) + 3)^{2\beta} \times 3^{\beta^2}$ .

This and Proposition 17 lead us to the result below on  $LTL(\mathbb{Z})$  formulae in simple form.

• **Corollary 19.** Let  $\Phi$  be an LTL( $\mathbb{Z}$ ) formula in simple form built over the variables  $\mathbf{x}_1, \ldots, \mathbf{x}_\beta$ and the constants  $\mathfrak{d}_1, \ldots, \mathfrak{d}_\alpha$ . There exists a deterministic Rabin word constraint automaton  $\mathbb{A}_\Phi$  such that  $\{\mathbf{w} : \mathbb{N} \to \mathbb{Z}^\beta \mid \mathbf{w} \models \Phi\} = L(\mathbb{A}_\Phi)$ , and the following conditions hold.

- (1) The number of locations in  $\mathbb{A}_{\Phi}$  is bounded by  $2^{2^{P^{\dagger}(size(\Phi))}}$  for some polynomial  $P^{\dagger}(\cdot)$ .
- <sup>567</sup> (II) The number of Rabin pairs is bounded by  $2 \times \text{size}(\Phi) \times 2^{2 \times \text{size}(\Phi)}$ .
- (III) The cardinality of  $\delta$  in  $\mathbb{A}_{\Phi}$  is bounded by  $card(\operatorname{SatTypes}(\beta)) \times 2^{2^{P^{\dagger}(\operatorname{size}(\Phi))+1}}$
- <sup>569</sup> (IV) MCS( $\mathbb{A}_{\Phi}$ ) is cubic in  $\beta$  + max( $\lceil log(|\mathfrak{d}_1|) \rceil$ ,  $\lceil log(|\mathfrak{d}_{\alpha}|) \rceil$ ), i.e. polynomial in size( $\Phi$ ).

This enables us to use the idea illustrated above for formulas of the form AGE  $\Phi_i$  also for formulas of the form A  $\Phi'_j$ , and define Rabin TCA  $\mathbb{A}'_j$  such that  $L(\mathbb{A}'_j) = \{\mathbb{t} : [0, D-1]^* \rightarrow \mathbb{Z}^{\beta} \mid \mathbb{t} \text{ satisfies A } \Phi'_j\}$ . We are now ready to perform the final step towards the main result of this section. Let us recapitulate what we have so far.

- 574  $\blacksquare$  One can define a TCA  $\mathbb{A}_0$  with two locations such that  $L(\mathbb{A}_0)$  is the set of trees  $\mathfrak{k}$ :
- <sup>575</sup>  $[0, D-1]^* \to \mathbb{Z}^{\beta}$  such that  $\mathfrak{t}(\varepsilon)(\mathbf{x}_1) = 0$ , to handle  $\mathsf{E}(\mathbf{x}_1 = 0)$  in formulae in special form. <sup>576</sup> For all  $1 \leq i < D$ , there are (Büchi) TCA  $\mathbb{A}_i$  such that  $\mathsf{L}(\mathbb{A}_i)$  is the set of trees <sup>577</sup>  $\mathfrak{t}: [0, D-1]^* \to \mathbb{Z}^{\beta}$  such that  $\mathfrak{t}, \varepsilon \models \mathsf{AGE} \Phi_i$  and  $\mathfrak{t}$  satisfies  $\mathsf{AGE} \Phi_i$  via *i*. Recall that
- TCA can be seen as Rabin TCA with a single Rabin pair.
- For all  $1 \leq j \leq D'$ , there are Rabin TCA  $\mathbb{A}'_j$  such that  $L(\mathbb{A}'_j)$  is the set of trees  $\mathfrak{t}$  such that  $\mathfrak{t}$  satisfies  $A \Phi_j$ , with an exponential number of Rabin pairs in  $\mathtt{size}(\Phi)$ .

To define a Rabin TCA  $\mathbb{A}$  such that  $L(\mathbb{A}) = L(\mathbb{A}_0) \bigcap_{i \in [1, D-1]} L(\mathbb{A}_i) \bigcap_{j \in [1, D']} L(\mathbb{A}'_j)$ , and then use the complexity bounds previously established, we need the result below (see the full proof in [27, Section 6.5]).

- ▶ Lemma 20. Let  $(\mathbb{A}_k)_{1 \leq k \leq n}$  be a family of Rabin TCA such that  $\mathbb{A}_k = (Q_k, \Sigma, D, \beta, Q_{k,in}, \delta_k, \mathcal{F}_k)$ , set  $card(\mathcal{F}_k) = N_k$  and  $N = \prod_k N_k$ . There is a Rabin TCA  $\mathbb{A}$  such that  $L(\mathbb{A}) = \bigcap_k L(\mathbb{A}_k)$  and
- the number of Rabin pairs is equal to N;  $MCS(\mathbb{A}) \leq n + MCS(\mathbb{A}_1) + \cdots + MCS(\mathbb{A}_n)$ ,
- the number of locations (resp. transitions) is less than  $(\prod card(Q_k))(2n)^N$  (resp.  $\prod card(\delta_k)$ ).

Putting all results together, the nonemptiness of  $L(\mathbb{A})$  can be checked in double-exponential time in  $size(\phi)$ , leading to Theorem 21 below, which is the main result of the paper. It answers open questions from [11, 15, 16, 46].

<sup>591</sup> **•** Theorem 21. SAT(CTL\*( $\mathbb{Z}$ )) is 2EXPTIME-complete.

<sup>592</sup> 2EXPTIME-hardness is from SAT(CTL\*) [66, Theorem 5.2]. As a corollary, SAT(CTL\*( $\mathbb{N}$ )) <sup>593</sup> is also 2EXPTIME-complete. Furthermore, assuming that  $<_{\text{pre}}$  is the prefix relation on  $\{0, 1\}^*$ , <sup>594</sup> we can use the reduction from [22, Section 4.2] to conclude SAT(CTL\*( $\{0, 1\}^*, <_{\text{pre}}$ )) is <sup>595</sup> 2EXPTIME-complete too. Furthermore, as observed earlier, when the concrete domain is <sup>596</sup> ( $\mathbb{Q}, <, =, (=_{\mathfrak{d}})_{\mathfrak{d}\in\mathbb{Q}}$ ), all the trees in L( $A_{\text{cons}(\mathbb{A})}$ ) are satisfiable, and therefore SAT(CTL\*( $\mathbb{Q}$ )) is <sup>597</sup> also in 2EXPTIME, which is already known from [38, Theorem 4.3].

#### 598 **7** Concluding Remarks

We developed an automata-based approach to solve  $SAT(CTL(\mathbb{Z}))$  and  $SAT(CTL^*(\mathbb{Z}))$ , by 599 introducing tree constraint automata that accept infinite data trees with data domain  $\mathbb{Z}$ . The 600 nonemptiness problem for tree constraint automata with Büchi acceptance conditions (resp. 601 with Rabin pairs) is EXPTIME-complete, see Theorem 11 (resp. Theorem 13). The difficult 602 part consists in proving the EXPTIME-easiness for which we show how to substantially adapt 603 the material in [45, Section 5.2] that guided us to design the correctness proof of  $(\star^{c})$ . The 604 work [46] was indeed a great inspiration but we adjusted a few statements from there (see 605 also [27]). We recall that  $(\star)$  in [46] is not fully correct (see Section 4.2) as we need to 606 add constants (leading to the variant condition  $(\star^{c})$ ). Moreover, our construction of the 607 automaton in Lemma 7 does depend on the number of variables unlike [46, Proposition 26]. 608

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- References

This is crucial for complexity, as it is related to the number of Rabin pairs. We also use [30] more precisely than [46, p.621] as we handle non-binary trees. In short, we introduced

TCA for which we characterise complexity of the non-emptiness problem (providing a few improvements to [46]). We left aside the question of the expressiveness of TCA, which is interesting but out of the scope of this paper. This lead us to show that  $SAT(CTL(\mathbb{Z}))$  is EXPTIME-complete (Theorem 14), and

This lead us to show that SAT(CTL( $\mathbb{Z}$ )) is EXPTIME-complete (Theorem 14), and SAT(CTL\*( $\mathbb{Z}$ )) is 2EXPTIME-complete (Theorem 21). The only decidability proof for SAT(CTL\*( $\mathbb{Z}$ )) done so far, see [15, Theorem 32], is by reduction to a decidable second-order logic. Our complexity characterisation for SAT(CTL\*( $\mathbb{Z}$ )) provides an answer to several open problems related to CTL\*( $\mathbb{Z}$ ) fragments, see e.g. [11, 38, 15, 16, 46]. We believe that our results on TCA can help to establish complexity results for other logics (see also Section 6 about a domain for strings and [33, Section 4] to handle more concrete domains).

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