

The atomic structure of imogolite nanotubes: A 50 years old issue reinvestigated by X-ray scattering experiments and molecular dynamics simulations

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Graphical Abstract

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Highlights

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- The atomic structure of synthetic imogolite nanotubes is revisited.
- X-ray scattering experiments are coupled to molecular dynamic simulations.
- Nanotubes with N = 13 or 14, N being the number of SiO₄ units along a circumference, are identified.
- Deformations of tubes in bundles must be taken into account in the analysis of the X-ray scattering diagrams.

The atomic structure of imogolite nanotubes: a 50 years old issue reinvestigated by X-ray scattering experiments and Molecular Dynamics simulations

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Abstract

Imogolites are clay nanotubes consisting of a curved di-octahedral gibbsitelike layer $[(OH)_3AlO_3]$ and of isolated $(OH)SiO_3$ tetrahedra connected by three mutual oxygen atoms to the octahedra, with N silicon tetrahedra along a circumference. There is a consensus that synthetic imogolites have a larger N value than natural ones, which may depend on the method of synthesis. However, N values reported in the literature over the last fifty years are given as uncertain or are not consistent. In this work, we reinvestigate the structure of synthetic imogolite nanotubes for which a value of N = 12 was reported. We perform X-ray scattering experiments on nanotubes in suspension and on the same sample as a dry powder after removing water. The analysis of diffractograms is based on Molecular Dynamics simulations allowing us to

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obtain imogolite nanotubes with different N values. We find that the sample is made of a mixture of nanotubes with N = 13 and N = 14. Our study points towards strong deformation of the nanotubes, assembled in bundles, in their dry state, which has to be taken into account in the analysis of measured diffractograms.

Keywords: imogolite, nanotube, structure, X-ray scattering, Molecular Dynamics simulations

1 1. Introduction

Fine fibrous aluminosilicate clay particles, named imogolite, were first 2 reported in 1962 in Japan (Yoshinaga and Aomine, 1962). Since then, they 3 have been found worldwide as a recurrent component in soils developed from volcanic ash or in weathered pyroclastic deposits. Despite many studies, their 5 structure remained a mystery during the ten years following their discovery. 6 The first structural models involved paracrystalline order and various chains of AlO_6 octahedra linked sideway by SiO_4 tetrahedra (Wada, 1967; Wada 8 and Yoshinaga, 1969; Russel et al., 1969). An important milestone was the 9 determination of the morphology of an imogolite fiber by high resolution 10 electron microscopy in 1970 (Wada et al., 1970). The fibre unit observed in 11 micrographs corresponds to a hollow tube with an inner diameter of 0.7-1 nm 12 and an outer diameter of 1.7 - 2.1 nm (Wada et al., 1970). Two years 13 later, Cradwick et al. (1972) proposed a structural model accounting for 14 this tubular shape in an article which is still a must-read. The nominal 15 composition of imogolite nanotubes (INT) is established to be $Al_2SiO_7H_4$. 16 An imogolite nanotube consists of a curved di-octahedral gibbsite-like layer 17

 $[AlO_6]$ and of isolated SiO_4 tetrahedra connected by three mutual oxygen 18 atoms to the octahedra (Fig. 1(a)), with the apex of the tetrahedra pointing 19 inside the nanotube (Fig. 1(b)). H atoms are bounded to O atoms on outer 20 and inner surfaces. The nanotube's point group is C_{2Nh} and it is periodic 21 along its long axis with a period $T \approx 8.4$ Å (Fig. 1(c)). Equivalently with 22 the formalism used to describe carbon nanotubes, INTs can be characterized 23 by a chiral vector $(N, 0)^1$ (Guimarães et al., 2007; Monet et al., 2018). N is 24 the number of SiO_4 tetrahedra on a circumference, as shown in Fig. 1(b). 25

A drawback of natural imogolites is that they do not form large deposits 26 compared to other clay minerals, implying their separation from parent ma-27 terials. By working on the formation conditions of INTs in the environment, 28 Farmer et al. (1977) were the first to propose a synthesis protocol for imogo-29 lite. Their approach consisted in hydrolyzing a dilute solution of aluminum 30 chloride and monomeric orthosilic acid in presence of sodium hydroxide, fol-31 lowed by an acidification step to adjust the pH below 5. This suspension 32 was heated during almost five days. Modulations of the synthesis conditions 33 were further reported by the same group two years later (Farmer and Fraser, 34 1979). They varied the precursors ratios, changed the anion species of the 35 Al inorganic salt $(ClO_4^-, NO_3^- \text{ or } Cl^-, \text{ used salt-free solutions of organic$ 36 precursors (ASB: aluminum-tri-*sec*-butoxide and TEOS: tetraethoxysilane). 37

¹Each atomic corona is achiral, i.e. it is superimposable on itself after a mirror operation, the mirror plane containing the axis of the tube, as it is the case for (N, 0)single-walled carbon nanotubes. But atomic corona cannot be in phase one with the other because of their different radii. One cannot superimpose their individual mirror planes and, interestingly, the whole nanotube structure is chiral.



Figure 1: (a) Local view of the di-octahedral gibbsite-like layer and of the location of an SiO_4 tetrahedron, being the AlO_6 octahedra being in blue and the SiO_4 tetrahedron in orange; oxygen atoms are in red and hydrogen ones are white; (b) three-dimensional representation of a N = 12 nanotube; (c) side-view of the nanotube, evidencing its period T.

The optimum temperature of synthesis was found around 100°C. Since then, most of the studies were generally based on one or the other of these protocols (inorganic or organic route) with marginal changes. However, we should not overlook the remarkable study conducted by Shin-ichiro Wada, who investigated over a period of seven years the synthesis of imogolite at room temperature in conditions similar to their formation in natural environment (Wada, 1987).

⁴⁵ Both natural and synthetic imogolite nanotubes are found to be rather
⁴⁶ monodisperse in diameter. The outer diameter of INTs is classically deduced
⁴⁷ from observations by transmission electron microscopy (TEM) (Wada et al.,
⁴⁸ 1970, 1972, 1979; Wada, 1987; Koenderink et al., 1999; Bursill et al., 2000;
⁴⁹ Mukherjee et al., 2005) or from the intertube distance determined by electron

diffraction or X-ray scattering (XRS) (Cradwick et al., 1972; Barrett et al., 50 1991; Yang and Su, 2007; Bonelli et al., 2009; Kang et al., 2010; Thill et al., 51 2012; Hongo et al., 2013; Lee et al., 2014; Amara et al., 2015; Du et al., 2017; 52 Liao et al., 2018). We shall discuss later the drawbacks of determining the 53 intertube distance from the position of the first diffraction peak. A review of 54 the literature over the past 50 years shows an overall increase in outer diam-55 eter and in intertube distance for synthetic INTs compared to natural ones, 56 except for the ones synthesized at room temperature (Table S1). The nature 57 of the anions seems to allow a modification of the diameter (Yucelen et al., 58 2012). The diameter values obtained from different references appear coher-59 ent for natural INTs and for INTs synthesized with Si(OH)₄/AlCl₃/NaOH 60 precursors. Some discrepancies on the reported diameters are evidenced for 61 nanotubes synthesized using TEOS/AlCl₃/NaOH and TEOS/ASB/HClO₄ 62 precursors, which are most likely related to the limit of the experimental 63 measurements or to biases in some analyses. 64

The diameter values are directly related to the number N of silicon atoms 65 along a circumference (Fig. 1(b)). Numerical simulations calculate a well-66 defined minimum of energy as a function of the number N of silicon atoms 67 along the nanotube circumference. However, these simulations cannot be 68 used to predict the value(s) of N since they are performed in vacuum and do 69 not take into account the interactions between the nanotube and its synthesis 70 environment. Very few articles dealt with the determination of N, i.e. the 71 determination of the atomic structure of the nanotube, either for natural 72 and synthetic INTs. Their conclusions are reported in Table 1. It will be 73 discussed in more details in section 3.5. However, both uncertainty in N

values for a given type of nanotube and discrepancies between N values for the same type of nanotube are already evident in Table 1.

Table 1: Value of the integer N defining the number of silicon atoms along a circumference for natural and synthetic nanotubes, as deduced from the analysis of electron diffraction (in blue) or X-ray scattering (in black) experiments. TEOS: Tetraethoxysilane; ASB: Aluminum-tri-*sec*-butoxide.

Type	Synthetic precursors	N value	Ref.
Natural	-	10 or 11 or 12	Cradwick et al. (1972)
	-	10 or 11	Alvarez-Ramírez (2007)
Synthetic	TEOS/AlCl ₃ /NaOH	12	Alvarez-Ramírez (2007)
	$TEOS/ASB/HClO_4$	12 or 14	Kang et al. (2010)
	Na ₄ SiO ₄ /AlCl ₃ /NaOH	13	Alvarez-Ramírez (2007)
		10 or 12	Guimarães et al. (2007)

After this review of the structural studies of imogolite nanotubes over 77 the last fifty years, it appears that little progress has been made since the 78 seminal article of Cradwick et al. (1972). Natural and synthetic INTs have 79 the same point group C_{2Nh} and nominal composition $(Al_2SiO_7H_4)$, but the 80 values of the integer N, which defines their structures, have not been unam-81 biguously determined. In this framework, we re-investigate the structure of 82 synthetic INTs (TEOS/AlCl₃/NaOH), for which external diameters ranging 83 from 2.2 to 3.2 nm were reported, together with a value of N equal to 12 84 (see Tables 1 and S1). We propose a complete X-ray scattering (XRS) study, 85 combining laboratory and synchrotron radiation experiments on nanotubes 86 either in suspension or in dry powder. The analysis of XRS diagrams is 87 based on Molecular Dynamics (MD) simulations performed to obtain ther-88

⁸⁹ malized INTs with different values of N. The calculated XRS diagrams are ⁹⁰ compared to the experimental ones. We find that the sample is made of a ⁹¹ mixture of nanotubes with N = 13 and N = 14. Our analysis points to-⁹² wards strong deformation of the nanotubes in their dry state, which makes ⁹³ the analysis of XRS diagrams tricky. Finally, previous XRS investigations ⁹⁴ from the literature are discussed in the perspective of our results.

95 2. Material and methods

96 2.1. Sample preparation and characterization

Imogolite nanotubes were synthesized from a solution of TEOS with 97 $AlCl_{3.}6H_{2}O$ and NaOH as detailed in Belorizky et al. (2010). After hy-98 drothermal synthesis, the suspension was purified by diafiltration with ultra-99 pure water and concentrated by ultrafiltration. A part of the original puri-100 fied suspension was kept for determining the morphology of the nanotubes 101 by transmission electron microscopy (TEM). A highly dilute dispersion of 102 imogolite nanotubes was prepared at 1 mg.mL⁻¹ in ethanol and then a drop 103 was laid on a carbon-coated copper grid. TEM observations were made using 104 a JEOL1400 microscope from Imagerie-Gif core facility, operated at 120 kV. 105 The length and diameter distributions were determined using the Fiji soft-106 ware (Schindelin et al. (2012)). The rest of the suspension was dried in an 107 oven at 60°C. The resulting thin film was crushed and sieved (100 μ m mesh 108 size) in order to obtain a fine powder. Based on thermogravimetric analysis 109 (TGA) - see S.I. and figure S1, the dried powder used for XRS measurements 110 was heated at 200°C for 2 hours. 111

112 2.2. X-ray scattering experiments

Measurement on the liquid suspension was carried out on an X-ray source 113 produced by a Cu rotating anode (MORPHEUS platform, Laboratoire de 114 Physique des Solides). The beam was collimated and monochromatized by 115 parabolic confocal W/Si multilayers mirrors (Osmic), providing a 0.6 mm² 116 beam at a wavelength of $\lambda = 1.5418$ Å. The INT suspension was held in 117 a borosilicate glass capillary of 1 mm diameter (WJM-Glas, Müller GmbH, 118 Germany). A two-dimensional diffraction pattern was acquired on a MAR 119 detector with 150 μ m pixel size, the sample to detector distance being fixed 120 to 300 mm. The scattered intensity as a function of the wavevector Q was 121 obtained by angular integration of the two-dimensional pattern. Geomet-122 ric and polarization corrections together with correction for air adsorption 123 between the sample and the detector were applied to the data. 124

Dehydrated imogolite nanotubes were obtained by heating at 200°C dur-125 ing 2h a powder held in a borosilicate glass capillary (diameter 0.7 mm). 126 The capillary was flame-sealed immediately after hot removal from the oven 127 to avoid any water adsorption from atmospheric air. X-ray scattering data 128 were collected at the SOLEIL synchrotron, on the CRISTAL beamline us-129 ing the 2-circle powder diffractometer equipped with 9 silicon-strip detectors 130 (Mythen2, Dectris Ltd). The monochromatic X-ray beam with a wavelength 131 of $\lambda = 0.7287$ Å was extracted from the U20 ondulator beam by means of 132 a Si (111) double monochromator. Synchrotron radiation is linearly polar-133 ized in the plane of the storage ring, so no polarization correction is required 134 for measurements carried out in the vertical plane as it is the case with the 135 2-circle goniometer on CRISTAL beamline. 136

137 2.3. Molecular dynamics simulations

The first simulated system consists of isolated nanotubes, having N = 13138 or 14 Si atoms along their circumference and composed of eleven unit cells 139 along the nanotube axis z, with periodic boundary conditions applied along 140 all dimensions of the simulation box. The period T along the long axis 141 z is fixed to 8.45 Å, to account for the experimental XRS data. Molec-142 ular dynamics (MD) simulations were performed with the LAMMPS code 143 (Plimpton (1995)), using a version of the CLAYFF force field (Cygan et al. 144 (2004)) integrating the recent improvements proposed for imogolite nan-145 otubes (Scalfi et al. (2018)), further refereed to as the extended CLAYFF 146 force field (eCLAYFF). Coulomb interactions were handled with a solver 147 that performs Ewald summation in k-space, the accuracy being equal to 148 10^{-5} ; the cut-off distance of the Lennard-Jones potential was chosen equal to 149 8.5Å in order to avoid an atom to be in interaction with itself. The CLAYFF 150 forcefield is widely used in the literature when one is concerned with clay-151 like materials. In a recent article, Cygan et al. (2021) reviewed its success 152 in predicting properties of bulk nanoporous materials and their interfaces; 153 an entire paragraph is devoted to nanoporous materials with channels-like 154 geometries and in particular to imogolite. The minimum energy state of our 155 models was found by first performing an energy minimization at T = 0 K, us-156 ing the Polak-Ribiere version of the conjugate gradient (CG) algorithm (until 157 the net forces in the model is lower than 10^{-7} kcal/(mol.Å)). Minimization 158 was followed by a 10 ps long NVT simulation at 300 K, using a Nosé-Hoover 159 thermostat, with timestep 0.5 fs. 160

¹⁶¹ In a second step, in order to study the bundling of tubes, three different

bundles made of 19 tubes were built by MD: two with INTs having N = 13162 or N = 14 and one with a mix of 10 tubes having N = 14 and 9 tubes having 163 N = 13. The bundle with N = 14 INTs was obtained by packing the nan-164 otubes organized on a two-dimensional (2D) hexagonal lattice with a lattice 165 parameter d = 27 Å (26.5 Å for N = 13) and re-minimizing the energy of the 166 entire bundle, considering only one unit cell along the z axis to minimize cal-167 culation time. The mixed bundle was obtained by positioning, at the lattice 168 sites (lattice parameter d = 27 Å), tubes having either N = 13 or N = 14169 depending on the extraction of a random number. The three bundles were 170 first minimized at 0 K. The resulting models contain bundles of parallel tubes 171 having a compact arrangement and an almost perfect circular cross section. 172 With a view to studying the influence of the deviation of the tubes' cross 173 section from this perfect circular case on the diffraction diagram, we intro-174 duced an anisotropic distribution of deformations around the tubes' surface 175 inside the bundles. This was done by adding a layer of water -4 to 5 wt%176 in mass – at different positions around the bundle and further by raising the 177 temperature to 500 K for 10 ps, so that (i) shape deformation modes can 178 be thermally activated and (ii) the water molecules can exert random and 179 inhomogeneous forces on the tubes. In a final step, all the water molecules 180 were removed from the models and the bundle was further equilibrated at 181 300 K for 10 ps (we have checked that the equilibrium is reached at 10 ps: 182 the temperature of the system is found to be between 290 and 310 K after 183 1 ps). This operation worked perfectly: the bundles obtained this way are 184 formed by a set of tubes with significant -but realistic and energy viable-185 radial deformations. The calculation of the XRS diagrams from the results 186

of the MD simulations is detailed in Appendix section. LAMMPS files with atomic positions of undeformed nanotubes (N = 13 and 14) and with atomic positions for bundles of deformed nanotubes ($19 \ N = 13$ tubes, $19 \ N = 14$ tubes, $9 \ N = 13$ tubes and $10 \ N = 14$ tubes) can be uploaded from the github repository https://github.com/rolsstef/StructureLammpsFiles.

¹⁹² 3. Results and discussion

¹⁹³ 3.1. Length and diameter distributions

The morphology of individual nanotubes is determined from transmission 194 electron microscopy images. (Fig. 2(a)). The corresponding length distribu-195 tion is presented in Fig.2(b). It displays a log-normal shape with a mean value 196 around 290 nm, such a shape having already been reported in the literature 197 (Yang et al., 2008; Yucelen et al., 2013). We also attempted to determine 198 the nanotube diameter from TEM observations. The resulting distribution is 199 reported in Fig. 2(c). The measured INT diameters are mostly in the 2.4-2.8 200 nm range. The error bar on diameter determination is at least ± 0.1 nm. It 201 can thus be inferred that INTs diameters are in between 2.5 and 2.7 nm. 202

203 3.2. Comparison between X-ray scattering diagrams of an INTs suspension 204 and of a dry powder

The XRS diagrams of synthetic imogolite nanotubes in suspension and of the resulting dry powder for wave-vectors Q smaller than 1 Å⁻¹ are reported in Fig.3(a). The diagram of the suspension presents broad modulations due to the nanometric lateral extent of the nanotubes. This scattering diagram is, in first approximation, proportional to the square of the modulus of the



Figure 2: (a) Representative TEM image of Si-INTs and corresponding histograms of the nanotubes (b) length distribution (curve: log-normal fitting) and (c) diameter distribution.

form factor of a single tube (see Section S3 in Supplementary data). In 210 the dry state, narrower peaks are observed in Fig.3(a) due to the assembly 211 of INTs into bundles (Fig. S3). In the hypothesis that nanotubes hold the 212 same shape after drying, the powder scattering diagram is the product of the 213 squared form factor and of a structure factor for wave-vectors smaller than 214 1 Å⁻¹ (see section S4 in Supplementary data). The zeros of the form factor 215 should thus give minima in the powder scattering diagram. However, this is 216 not the case for the minimum at Q = 0.514 Å⁻¹ (Fig. 3(a)). This feature 217 suggests that imogolite nanotubes undergo radial deformations when they 218 self-assemble into bundles. 219

220 3.3. Wide-angle X-ray scattering: nanotube period and coherence length

Fig. 3(b) presents the powder wide-angle X-ray scattering (WAXS) diagram, for Q greater than 1 Å⁻¹. As discussed in the Appendix, the tubes are not coherent in z-position within a bundle, so that at large wave-vectors, the intensity is proportional to the angular average of the square of the modulus



Figure 3: XRS diagrams of an INTs suspension (red) and of a powder (black) for wavevectors Q(a) smaller than 1 Å⁻¹ and (b) above 1 Å⁻¹. Vertical lines in (a) locate the minima of the suspension XRS diagram. Curves are translated for the sake of clarity. The blue arrow in (b) points to $Q = 4\pi/T$ where T is the period of the nanotubes along their long axis.

of the form factor of a single nanotube. The asymmetric peak highlighted 225 by the blue arrow in Fig. 3(b) can be used to determine the period of the 226 INTs along their long axis (see Monet et al. (2018) and references therein). 227 In brief, diffraction by any nanotube with a period T along its long axis z228 gives diffuse scattering intensity located in planes at $Q_z = l \frac{2\pi}{T}$, with l integer. 229 When the scattered intensity is non-zero at the wave-vector $\vec{Q} = (0, 0, l\frac{2\pi}{T})$, 230 angular powder average gives abrupt sawtooth peaks at $Q_z = l \frac{2\pi}{T}$ (Warren, 231 1941). For nanotubes of finite coherence length, these peaks are smoothed 232 and the period value can be derived by determining the position of the in-233 flexion point of its rising edge (Fig.S4). In our case, the rising edge observed 234 in Fig. 3(b) corresponds to the 002 peak with a period T equal to 8.4 Å. 235

The 002 peak can also be used to determine the coherence length along

236

the nanotube axis (Monet et al., 2018). Comparison with calculated XRS 237 diagrams for a powder of tubes (N = 14) obtained by MD simulations shows 238 that the coherence length along the nanotube axis is of about 200 Å (see 239 Section S5 and Fig. S4). This value is 15 times shorter than the aver-240 age tube length determined from TEM observations. This small coherence 241 length should be attributed to the occurrence of defects. Yucelen et al. (2012) 242 evidenced different types of defects at the atomic scale in INTs, most of them 243 involving Al vacancies. The skeleton of the nanotube being its AlO_6 diocta-244 hedral layer, which is the locus of instabilities and constraints, the coherence 245 length can be strongly reduced with respect to the nanotube length. The 246 growth of INTs is based on several ingredients, with the formation of amor-247 phous precursors and/or of curved proto-imogolite tiles, the incorporation of 248 precursors or of proto-imogolites at the growing ends and the self-assembly 249 of already formed nanotubes by an oriented attachment process (Yang et al., 250 2008; Yucelen et al., 2013; Du et al., 2017). Interestingly, Yucelen et al. (2013) 251 evidenced that the minimum nanotube length that can form is around 20 nm, 252 a value close to the measured coherence length. It is therefore tempting to 253 hypothesize that the reduced coherence length along nanotubes axes could 254 be attributed to the process of oriented attachment between a growing nan-255 otube and a short one, with the appearance of defects within the attachment 256 section. To confirm this conclusion, it would be interesting to compare the 257 true length and the coherence length of natural nanotubes, which form over 258 a much longer time frame. 259

²⁶⁰ 3.4. Analysis of XRS diagrams from MD simulations

$_{261}$ 3.4.1. Determination of the values of N

We first compare the experimental diffractogram of the suspension with 262 the XRS diagram of powder of single nanotubes obtained for N = 13 and 263 N = 14 INTs (Fig. 4(a)). The minima of the N = 14 diagram are located 264 at slightly larger wave-vectors than those deduced from the experimental 265 diagram while those of the N = 13 INTs diagram are found at slightly smaller 266 wave-vectors. Linear combinations between the two calculated curves give 267 the experimental minima positions for a mixture of 50% N = 13 and 50% 268 N = 14 INTs. Characteristic radii of the tubes are given in Table S2. The 269 mean diameters associated to the outer oxygen atoms of the tubes are 23.4 270 and 25 Å for INTs with N = 13 and N = 14, respectively. This is in 271 agreement with our TEM results (Fig. 2), especially considering that INTs 272 can deform and flatten when deposited on the carbon coating surface for 273 TEM observations. The mean diameters are in the average of those reported 274 in the literature for INTs synthesized with the same precursors (see Table S1 275 in Supplementary data). 276

277 3.4.2. Radial deformation of nanotubes in bundles

Having determined the N values of the nanotubes in suspension, we can now analyze the diffractogram obtained for dry samples in Fig. 4(b). Imogolite nanotubes organize in bundles, on a two-dimensional (2D) hexagonal lattice, during the drying stage. The first diffraction peak around 0.28 Å⁻¹ corresponds to the 10 peak of this 2D lattice. Its width is inversely proportional to the number of tubes per bundle (Paineau et al. (2017)). Considering bundles formed of n = 19 tubes in our calculations allows to correctly ac-



Figure 4: Comparison between calculated XRS diagrams and experimental ones obtained for (a) an INTs suspension and (b) a dry powder. Calculations are made with (1) a powder of isolated N = 13 INTs, N = 14 INTs and a mixture of 50%-50% N = 13 and N = 14tubes; (2) powders of bundles with (i) 19 undeformed N = 13 tubes and of 19 undeformed N = 14 tubes (dotted green and blue curves, respectively); (ii) bundles of deformed tubes with 19 N = 13 tubes, 19 N = 14 tubes, a mixture of 10 N = 14 and 9 N = 13 tubes and 50%-50% bundles with 19 deformed N = 13 and N = 14 tubes (green, blue, orange and grey curves). Vertical lines locate minima and maxima of the experimental diagrams. Curves are translated for the sake of clarity. Top view of a bundle with (c) 19 N = 14undeformed INTs or (d) 19 N = 14 deformed INTs.

count for this width. One may however note that considering exactly n = 19tubes per bundle is an approximation. The aggregation of the tubes during drying leads *a priori* to bundles of different sizes.

The undeformed tubes from MD simulations are bundled together in order 288 to reproduce as well as possible the experimental powder diffraction pattern 289 as illustrated in Fig. 4(c). Inter-tube distances are chosen equal to d =290 26.5 Å and 27 Å for N = 13 and N = 14 INTs, respectively. Calculated 291 diagrams are shown in Fig. 4(b) as green and blue dotted curves, respectively. 292 The calculated diagrams display similarities with the experimental one, in 293 particular for the position of the first maximum. However, the maxima 294 around 0.47 Å⁻¹ and 0.73 Å⁻¹ are too intense for N = 13 tubes and they are 295 positioned at too small wave-vector values for the two calculated diagrams. 296

Comparison between the XRS diagrams of the suspension and of the dry 297 powder in subsection 3.2 indicates that the nanotubes could be deformed 298 radially when bundled. Based on MD simulations with three tubes, Tamura 299 and Kawamura (2002) found that the central imogolite nanotube was strongly 300 deformed. We have thus simulated bundles composed of deformed tubes, 301 with the procedure detailed in subsection 2.3, with 19 N = 13 tubes, 19 302 N = 14 tubes (as shown in Fig. 4(d)) and 10 N = 14, 9 N = 13 tubes. 303 This mixed bundle is considered because starting from a suspension with 304 50% N = 13 and 50% N = 14 INTs, one can expect that neighbouring 305 tubes are combined together into bundles during the drying process whatever 306 their N value, so that mixed bundles are formed.² Histograms of the lattice 307

²If one assumes that the pairing of N = 13 and N = 14 tubes is random, the probability to have a bundle with n tubes N = 14 and (19-n) tubes N = 13 is $\frac{C_{19}^n}{2^{19}}$.

parameter d, d being the distance between 2 nearest neighbors, and of the 308 acute angle γ between three nearest neighbors are presented in Fig. S5. We 309 find that $\langle \gamma \rangle = 60^{\circ}$ for all bundles, so that an average hexagonal arrangement 310 is preserved. The mean lattice parameter $\langle d \rangle$ is equal to 25.8 Å, 27.1 Å and 311 26.4 Å for N = 13, N = 14 and mixed bundles, respectively. The difference 312 δ_d between the mean inter-tube distance and the mean values of the outer 313 nanotube diameter, determined from the positions of outer hydrogens, is the 314 same for the minimized N = 13 and N = 14 bundles ($\delta_d = 1.1$ Å). 315

Fig. 4(b) presents the XRS diagrams (continuous lines) calculated from 316 simulated bundles of deformed INTs. The comparison between the dotted 317 and the continuous lines shows that the deformation smooths the peaks above 318 0.4 Å^{-1} , in better agreement with the experiment. For comparison, we also 319 report in Fig. 4b the XRS diagram for a powder of mixed bundles (9 tubes 320 N = 13 and 10 tubes N = 14) as well as the one for a powder where half of the 321 bundles are made with 19 deformed N = 13 tubes and half of them with 19 322 deformed N = 14 tubes. To quantify the deviation between the experimental 323 and simulated curves, one minimum $Q_{mes,min}$ and three maxima $Q_{mes,max}(i)$ 324 (i = 1 - 3) positions are selected (evidenced in Fig. 4(b) by vertical lines). 325 The deviation of the simulated positions from the experimental ones are 326 evaluated through the parameter Δ defined as 327

$$\Delta = \sqrt{\frac{(Q_{mes,min} - Q_{sim,min})^2}{Q_{mes,min}^2} + \sum_{i=1}^3 \frac{(Q_{mes,max}(i) - Q_{sim,max}(i))^2}{Q_{mes,max}(i)^2}} \qquad (1)$$

The obtained Δ values for bundles of deformed INTS are 0.054, 0.074, 0.034 and 0.030 for N = 13, N = 14, the mixed bundle (9+10 tubes) and the combination of bundles with deformed N = 13 and N = 14 tubes, respectively. The best agreements are thus obtained for the mixed bundle and for 50%-50% bundles of deformed N = 13 and N = 14 tubes.

These findings are corroborated by comparing the experimental WAXS 333 diagram with calculated ones (Fig. 5). As stated in the Appendix, diagrams 334 have to be calculated from the angular average of the squared form factor of 335 individual nanotubes. Average is also taken over the nanotubes in the bundle 336 when it is made of deformed nanotubes. Comparison between calculated 337 diagrams for N = 13 (or N = 14) undeformed and deformed INTs shows 338 that the radial nanotube deformation induces a smoothing of the oscillations, 339 in better agreement with the experiment. More importantly, the WAXS 340 diagram for mixed bundles of deformed tubes reproduce well the experimental 341 diagram of the dry powder. 342



Figure 5: (a) Measured XRS diagram of an INT powder for wavevectors Q greater than 1 Å⁻¹ and calculated ones for the N = 13 (dotted green curve) and N = 14 (dotted blue curve) undeformed INTs, by averaging the angularly averaged squared form factors of the nanotubes in the bundles with 19 deformed N = 13 INTs (continuous green curve), 19 deformed N = 14 INTs (continuous blue curve), 10 N = 14, 9 N = 13 deformed INTS (continuous orange curve) and by taking the average of the green and blue curves (grey curve). Vertical lines are guide for the eye. The red one gives the position of the 002 peak.

343 3.4.3. Robustness of the determination of N = 13 and N = 14 values

It is worth mentioning here the methodology which has been recently 344 developed by Monet et al. (2018) to determine the structure of nanotubes 345 of complex stoechiometry from their powder XRS diagram, by considering 346 helicoidal symmetry and minimizing the nanotube energy using a simple 347 semi-empirical harmonic model. This method allowed one to determine the 348 structure of INT analogs, namely $Al_2GeO_7H_4$ nanotubes and $Al_2SiO_6CH_6$ 349 and $Al_2GeO_6CH_6$ nanotubes (Monet et al., 2018, 2020). The determination 350 of the structure of the $Al_2SiO_7H_4$ nanotubes studied in this article using this 351 method is presented in section S8 in SI. The sample is found to be formed of 352 both N = 13 and N = 14 nanotubes. The same conclusions are drawn from 353 (i) the analysis of the suspension XRS diagram based on MD simulations 354 with the elaborated extended CLAYFF model (Scalfi et al., 2018) and (ii) 355 from the analysis of the powder XRS diagram with the method of Monet 356 et al. (2018) and a simplified energetic model. The determined N values 357 can thus be regarded with confidence. Finally, note that the limit of the 358 simple methodology of Monet and co-workers is that it does not allow one 359 to investigate radial deformations, which have been found to be important, 360 because it is based on the use of the symmetry of the nanotube. 361

³⁶² 3.5. Discussion of the literature findings and analyses

We have shown that the interpretation of the powder XRS diagram of INTs is not a simple task, but that when coupled to simulations, it can bring a wealth of information. Previous analyses of electron diffraction images or powder diffraction diagrams attempting to determine the N value of $Al_2SiO_7H_4$ nanotubes are reported in Table 1. In-depth analysis of electron

diffraction data on natural INTs allowed Cradwick et al. (1972) to propose 368 three values of N = 10, 11 or 12, but they could not obtain a complete in-369 terpretation of their experimental data with any N value. All other articles 370 in Table 1 compare experimental XRS diagrams to calculated ones to ob-371 tain the N values of the studied INTs. Alvarez-Ramírez (2007) estimated 372 the N values of three types of INTs, namely natural INTs and INTs syn-373 thesized from different precursors, the structure of the INTs being obtained 374 by Density Functional Theory (DFT) geometry minimization. In particular, 375 for INTs synthesized with TEOS/AlCl₃/NaOH precurors as the one studied 376 here, Alvarez-Ramírez (2007) concluded that the number of silicon tetrahe-377 dra around the circumference is N = 12. However, the agreement between 378 calculated and experimental XRS diagrams is not as good as what we report 379 in Fig. 4(b) thus refuting the finding that the tubes have N = 12 silicon tetra-380 hedra along their circumference. Contradictory results are also obtained for 381 INT synthesized from Na₄SiO₄/AlCl₃/NaOH precursors. Alvarez-Ramírez 382 (2007) finds that N = 13, while, with optimized Density Functional Tight 383 Binding nantubes geometries, Guimarães et al. (2007) concluded to the pres-384 ence of N = 12 nanotubes but they could not exclude N = 10 nanotubes. 385 Finally, with atomic structures obtained using the CLAYFF potential, Kang 386 et al. (2010) found N = 12 and/or N = 14 for INTs obtained with organic 387 Si and Al precursors. Quite surprisingly, neither Guimarães et al. (2007) 388 nor Kang et al. (2010) did consider odd values for N between the even ones 389 they found, which would have also lead to good agreement between experi-390 ment and calculation. There is no energetic argument to avoid considering 391 odd values. Indeed, the calculated energies per atom of an INT reported in 392

the literature are found to vary continuously with N (Tamura and Kawamura, 2002; Konduri et al., 2006; Guimarães et al., 2007; Zhao et al., 2009;
Demichelis et al., 2010; Lee et al., 2011; González et al., 2014; Lourenco et al.,
2014; Arancibia-Miranda et al., 2017; Elliott et al., 2017).

By reviewing the literature, we could see that the diameters of synthetic 397 nanotubes are globally larger than their natural counterparts. Nevertheless, 398 the reported values vary greatly from one study to another (Table S1), sug-399 gesting some possible experimental limitations (e.g. spatial resolution for 400 TEM measurements) or approximations in the interpretation of the data. 401 The purpose of this article is not to list the pros and cons between TEM, 402 electron diffraction or X-ray scattering. However, as far as X-ray scattering 403 is concerned, a brief historical overview of the interpretation of XRS data on 404 INTs is instructive. By studying the growth mechanism of INTs, Mukher-405 jee et al. (2005) revisited the indexation of the solid state packing of INTs, 406 which was later adopted by several other authors (Lee et al., 2014; Zanzottera 407 et al., 2012; Hongo et al., 2013). The XRS diagram analyzed in ref. Mukher-408 jee et al. (2005) covers the Q range between 0.25 and 1.2 \AA^{-1} as reproduced 409 in Fig.S7. From the fit of the four maxima positions, indexed as 100, 110, 410 001 and 211, the authors deduced the following parameters for bundled alu-411 minosilicate nanotubes: a = b = 21.05 Å, c = 8.51 Å and $\gamma = 78^{\circ}$. Based on 412 these considerations, several puzzling points need to be underlined. First, in 413 this non-hexagonal 2D lattice, intertube distances (the distance between the 414 center of the neighboring tubes in the plane perpendicular to their long axis 415 z) take two values: 21.05 Å and 26.5 Å. This monoclinic arrangement should 416 therefore imply a strong deformation of the nanotubes. In addition, the point 417

group of the nanotubes, C_{2N} , is such that the period projected along the tube 418 axis is c/2 and not c (see Fig. 1(c)). It follows that 00l reflections are ex-419 tincted for odd values of l, in contradiction with the 001 indexation of the 420 third maximum of the XRS diagram. Finally, the hkl notation assumes that 421 the tubes are organized in a coherent way in the z direction. The whole set of 422 Bragg peak positions calculated with the 3D unit cell reported by Mukherjee 423 et al. (2005) is shown in Fig.S8. Curiously, they have not been considered 424 in the indexation of the four maxima but we can hardly see how they could 425 be extincted in the experimental XRS diagram. Previous electron diffraction 426 studies of (Farmer and Fraser, 1979; Farmer et al., 1983) showed that syn-427 thetic INTs did not form 3D crystals. This is also confirmed by the good 428 agreement between calculations and experiments found at large Q values in 429 this work and previous reports (Guimarães et al., 2007; Monet et al., 2018, 430 2020), assuming no correlations between nanotubes along z. Therefore, the 431 indexation at small wave vectors of imogolite XRS diagrams requires only 432 two integers hk. 433

This last remark allows us to discuss the crucial role of the form factor 434 when analyzing the small wave-vector part of XRS diagrams of INT powders 435 $(Q \text{ smaller than 1 Å}^{-1})$. Let us consider the simple case of the undeformed 436 tubes within hexagonal bundles with unit cell parameters a = b = d, d being 437 the inter-tube distance, and $\gamma = 60^{\circ}$. The scattered intensity is the product 438 of the squared form factor modulus $|F_{INT}(Q)|^2$ and of a structure factor (see 439 section S4 in Supplementary data). For bundles of finite size, hk Bragg peaks 440 are enlarged while the position of their maxima is shifted with respect to the 441 equivalent position for bundles of infinite size (Thess et al., 1996; Rols et al., 442

⁴⁴³ 1999; Paineau et al., 2017), as illustrated in Fig. 6(*a*). The position of the ⁴⁴⁴ maxima are shifted to larger (smaller) Q values if their true position is on a ⁴⁴⁵ rising (decreasing) edge of $\langle F^2 \rangle$ (Q) and they can be splitted into two peaks ⁴⁴⁶ if they are located at a minimum of $\langle F^2 \rangle$ (Q). As an example, the position ⁴⁴⁷ of the 10 peak as a function of the number of tubes in a bundle is reported ⁴⁴⁸ in Fig. 6, together with the value of the erroneous lattice parameter which is ⁴⁴⁹ derived from this position assuming that it is not displaced:

$$d = \frac{4\pi}{\sqrt{3}Q_{10}}\tag{2}$$

In practice, the X-ray scattering diagrams reported in the literature show broad peaks due to finite-size bundles. For 7 INTs bundles, the *d* position is underestimated by 2 Å. Moreover, no systematic study of the impact of deformation of tubes in bundles as a function of the number of tubes per bundle exists. In any case, it is now evident that the intertube distances reported in Table S1 should be considered with great caution as they were obtained from the position of the maximum of the first peak and from equation (2).³

457 4. Conclusions

Fifty years after the seminal article of Cradwick et al. (1972) describing the structure of imogolite nanotubes, a review of the literature reveals that the number N of silicon tetrahedra around their circumference has not been accurately determined, neither for natural nor for synthetic INTs. In

³In table S1, note also that Lee et al. (2014) did not use Eq. 2 and that they calculated d as equal to $\frac{2\pi}{Q_{10}}$.



Figure 6: (a) Calculated XRS diagrams for a powder of undeformed N=14 INTs in bundles, Nt being the number of tubes per bundle. The bundle parameters are d = 27 Å and $\gamma = 60^{\circ}$. Calculated curves are renormalized by Nt. Vertical lines give Bragg peak positions for bundles of infinite size, hk indices are noted above. (b) Position Q_1 of the first maximum of the XRS diagram (black circles) and corresponding value of the "lattice parameter" $d_1 = \frac{2\pi}{Q_1 sin(\gamma)}$ (blue squares) as a function of the number of tubes per bundle. Dashed black and blue lines give repectively the position of the 10 peak for an infinite bundle and the true lattice parameter d. Solid black and blue lines are guides to the eye.

this article, we revisited this crystallographic issue. We focused on synthetic 462 nanotubes obtained from TEOS and AlCl₃.H₂O precursors hydrolyzed with 463 NaOH, for which it was stated that N equals 12 (Alvarez-Ramírez (2007)). 464 The analysis of both suspension and powder XRS diagrams up to large wave-465 vectors was carried out thanks to MD simulations based on the extended 466 CLAYFF model. Our results show unambiguously that synthetic INTs sam-467 ples are formed from both N = 13 and N = 14 nanotubes. Moreover, we 468 highlight that the analysis of scattering diagrams is rather subtle as defor-469 mations of dry tubes assembled in bundles have to be taken into account, 470 which had never been done before this work. It is hoped that this article can 471 serve as a basis for re-investigating the structure of natural clay nanotubes 472 and of synthetic analogues depending on the precursors used. Finally, the 473 value of the coherence length along the tube axis could support a model of 474 nanotube growth by oriented attachment. 475

476 5. CrediT authorship contribution statement

A. D'Angelo, S. Rouzière, E. Paineau, E. Elkaim, P. Launois and C.
Goldmann carried out the experiments. A. D'Angelo, S. Rols and P. Launois
performed XRS analysis and MD simulations. D. Toquer carried out the literature review on nanotube diameters. P. Launois, E. Paineau, A. D'Angelo
and S. Rols wrote the article which was reviewed by all authors.

482 6. Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work ⁴⁸⁵ reported in this paper.

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498 8. Appendix Section: X-ray scattering calculations

Each diffractogram is calculated from the atomic positions in the last 499 frame of a molecular dynamics trajectory. The correlation length along the 500 tube axis z is ~ 200 Å (see section 3.3), i.e. about 22T where T is the 501 period along the nanotube axis. Calculations of XRS diagrams are to be 502 made for tubes with the same length. The size of the MD simulation box 503 along the tubes axis is equal to 11T for isolated nanotubes and to T for 504 bundles. Nanotubes of correct length for XRS calculations are constructed 505 by periodization over the box length, so that two boxes are considered for 506 isolated INTs (translation of the first box by 11T) and 22 boxes with nT507

translations (n = 1 - 21) are taken for bundles of INTs. We then use the Debye formula, which allows to calculate the intensity scattered by a powder in terms of the interatomic distances:

$$I(Q) \propto \sum_{j,k} f_j(Q) f_k(Q) \frac{\sin(Qd_{jk})}{Qd_{jk}}$$
(3)

where $f_{j(k)}(Q)$ is the atomic form factor of atom j(k) and d_{jk} is the distance between atoms j and k. Intensity calculations have been speed up using highly parallel calculation on GPUs Neverov (2017). Convolution of the calculated diagrams to the experimental resolution is useless (see section S10).

The powder constituent can be a nanotube or a bundle of nanotubes. For 516 tubes organized in bundles, two parameters have to be considered: their rel-517 ative orientations and their phasing along the z axis of the bundle. At small 518 wave-vectors Q, when the homogeneous approximation applies, the relative 519 orientations or translations along z of the tubes inside bundles should have 520 no impact on the XRS diagram. We have verified this by performing calcula-521 tions with tubes all having the same orientation and phasing along z and with 522 nanotubes of random orientations and/or relative positions in z. At large Q, 523 the orientational coherence and z-phasing between the tubes will modify the 524 XRS diagram. In particular, without z-phasing, the intensity can be calcu-525 lated by simply considering a powder of isolated tubes, i.e., by taking the 526 coordinates of the atoms of a single tube in Eq. (3). If tubes are correlated 527 for their z positions, their Wide Angle XRS (WAXS) diagram will present 528 narrower modulations than for uncorrelated tubes. From this view point, 529 the WAXS diagram of aluminosilicate nanotubes in Fig. 3(b) presents strong 530

similitudes with those of methylated aluminosilicate and aluminogermanate 531 nanotubes (Monet et al., 2018) and with the one of hydroxylated aluminoger-532 manate nanotubes (Monet et al., 2020), for which reasonable agreement be-533 tween experimental and calculated diagrams was found considering isolated 534 nanotubes. Most interestingly, Farmer and co-workers (Farmer and Fraser, 535 1979; Farmer et al., 1983) compared electron diffraction diagrams of natural 536 and synthetic nanotubes. The 002 layer line presents discrete reflections for 537 natural aluminosilicate nanotubes while it consists in a continuous streak for 538 synthetic ones, as clearly shown in Fig.2 of ref. (Farmer et al., 1983). Natural 539 INTs thus form three-dimensional small crystals while synthetic ones are not 540 coherent in z-position within a bundle. This is probably due to the fact that 541 bundles, in the case of synthetic nanotubes, are formed during the drying of 542 their suspension, without the tubes having the option to reorganise further 543 to minimise bundle energy. 544

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