

# Exceptional case of the non semi-simple Real Abelian Main Conjecture

Georges Gras

#### ▶ To cite this version:

Georges Gras. Exceptional case of the non semi-simple Real Abelian Main Conjecture. 2023. hal-04178551

## HAL Id: hal-04178551 https://hal.science/hal-04178551

Preprint submitted on 8 Aug 2023

**HAL** is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

# EXCEPTIONAL CASE OF THE NON SEMI-SIMPLE REAL ABELIAN MAIN CONJECTURE

#### GEORGES GRAS

ABSTRACT. In the papers: "The Chevalley–Herbrand formula and the real abelian Main Conjecture (New criterion using capitulation of the class group), J. Number Theory **248** (2023)" and "On the real abelian main conjecture in the non semi-simple case, arXiv (2023)", we consider real cyclic fields K and primes  $\ell \equiv 1 \pmod{2p^N}$  totally inert in K, implying implicitly,  $K \cap \mathbb{Q}(\mu_{p\infty})^+ = \mathbb{Q}$ . In this complementary work, we examine the non linearly disjoint case.

#### Contents

| 1. Introduction   | 1 |
|---|---|
| 2. Recalls about real abelian fields  | 2 |
| 2.1. Arithmetic $\chi$ and $\varphi$ -objects                                     | 2 |
| 2.2. Analytic expression of $\#\mathcal{H}_{K,\chi}^{ar}$ and the RAMC            | 2 |
| 3. Case $K \subset \mathbb{Q}(\mu_{p^{\infty}})^+$ and Greenberg's conjecture     | 3 |
| 4. Analysis of the RAMC when $K \cap \mathbb{Q}(\mu_{p^{\infty}})^+ \subsetneq K$ | 4 |
| 4.1. Expression of $\#\mathcal{H}_{K,\varphi}^{ar}$                               | 4 |
| 4.2. Proof of the RAMC when $\mathscr{C}_0 \subsetneq k$                          | 5 |
| 4.3. The special case $\mathscr{C}_0 = k$   | 6 |
| References  | 7 |

#### 1. Introduction

Let  $K/\mathbb{Q}$  be a real cyclic extension of Galois group  $\mathscr{G}_K \simeq \gamma \oplus \Gamma$ , with  $\gamma$  of prime-to-p order d and  $\Gamma \simeq \mathbb{Z}/p^c\mathbb{Z}$ ,  $c \geq 1$ . Let  $\mathscr{H}_K$  be the p-class group of K as  $\mathbb{Z}_p[\mathscr{G}_K]$ -module, and let  $E_K$  (resp.  $F_K$ ) be the group of units (resp. of Leopoldt's cyclotomic units) of K; set  $\mathscr{E}_K = E_K \otimes \mathbb{Z}_p$ ,  $\mathscr{F}_K = F_K \otimes \mathbb{Z}_p$ . We consider  $\widehat{\mathscr{E}}_K = \widehat{E}_K \otimes \mathbb{Z}_p$ , where  $\widehat{E}_K$  is the subgroup of  $E_K$  generated by the units of the strict subfields of K.

The "Real Abelian Main Conjecture" (RAMC for short), stated in [Gra1977], writes as follows in terms of arithmetic  $\varphi$ -objects (for irreducible p-adic character  $\varphi = \varphi_0 \varphi_p$  of K,  $\varphi_0$  of prime-to-p order,  $\varphi_p$  of p-power order):

(1.1) 
$$\#\mathscr{H}_{K,\varphi}^{\mathrm{ar}} = \#(\mathscr{E}_K/\widehat{\mathscr{E}}_K\,\mathscr{F}_K)_{\varphi_0},$$

where  $\mathscr{H}_{K,\varphi}^{\mathrm{ar}} := \{x \in \mathscr{H}_K, \ \mathbf{N}_{K/K}(x) = 1, \forall \kappa \subsetneq K\}_{\varphi_0}$  and where the semi-simple  $\varphi_0$ -components are given by the idempotents  $e_{\varphi_0} := \frac{1}{\#\gamma} \sum_{\tau \in \gamma} \varphi_0(\tau^{-1})\tau$ .

Date: August 8, 2023.

<sup>1991</sup> Mathematics Subject Classification. Primary 11R18, 11R29, 11R27; Secondary 11R37, 12Y05.

 $Key\ words\ and\ phrases.$  abelian fields; p-adic characters; class groups and units; Abelian Main Conjecture; class field theory.

We have proved in [Gra2023<sup>a</sup>, Gra2023<sup>b</sup>] that the RAMC (1.1) holds for K as soon as there exists a prime number  $\ell \equiv 1 \pmod{2p^N}$ , totally inert in K, such that  $\mathscr{H}_K$  capitulates in the auxiliary p-subextension L/K of  $K(\mu_\ell)/K$ . Obviously, when  $\mathscr{C} := K \cap \mathbb{Q}(\mu_{p^\infty})^+$  is distinct from  $\mathbb{Q}$ , any  $\ell \equiv 1 \pmod{2p^N}$  totally splits in  $\mathscr{C}$  and can not be totally inert in K (this was not made explicit in our papers); so we shall look at this particular case using a similar process of capitulation of the p-class group  $\mathscr{H}_K$  in suitable absolute abelian extensions.

One proves the RAMC, except in the case  $K \subset \mathbb{Q}(\mu_{p^{\infty}})^+$  using Greenberg's conjecture, and in the "special case"  $K \cap \mathbb{Q}(\mu_{p^{\infty}})^+ \subsetneq K$  with  $\varphi_0$  character of  $\mathbb{Q}(\mu_p)^+$ , which remains open.

Some proofs, identical to that given in the two previous papers, will not be given again, as well as notations, definitions and references.

#### 2. Recalls about real abelian fields

Let  $\mathbb{Q}^{ab}$  be the maximal abelian extension of  $\mathbb{Q}$ . We consider the set of even irreducible p-adic characters  $\Phi$ , for a prime  $p \geq 2$ , and the set  $\mathscr{X}$  of irreducible rational characters of  $\mathbb{Q}^{ab}$ ; then the subsets of irreducible characters  $\Phi_K$ ,  $\mathscr{X}_K$ , of  $K \subset \mathbb{Q}^{ab}$ . Thus, K is the fixed field of a unique  $\chi \in \mathscr{X}$  and we put  $K = K_{\chi}$ .

2.1. **Arithmetic**  $\chi$  and  $\varphi$ -objects. Let k be the subfield of  $K = K_{\chi}$  fixed by  $\Gamma \simeq \mathbb{Z}/p^c\mathbb{Z}$ . The field of values of  $\psi \mid \chi$ , of degree 1, is  $\mathbb{Q}(\mu_{dp^c})$ , direct compositum  $\mathbb{Q}(\mu_d)\mathbb{Q}(\mu_{p^c})$ ; thus  $\psi = \psi_0\psi_p$ ,  $\psi_0$  of order d,  $\psi_p$  of order  $p^c$  and  $\chi = \chi_0\chi_p$ ,  $\chi_0 \in \mathscr{X}_k$  above  $\psi_0$  and  $\chi_p \in \mathscr{X}_{K^{\gamma}}$  above  $\psi_p$ . Similarly, in the direct compositum  $\mathbb{Q}_p(\mu_d)\mathbb{Q}_p(\mu_{p^c})$ , the irreducible p-adic characters  $\varphi \mid \chi$  are of the form  $\varphi = \varphi_0\varphi_p$ ,  $\varphi_0 \mid \chi_0$ , and where  $\varphi_p = \chi_p$  since  $\operatorname{Gal}(\mathbb{Q}_p(\mu_{p^c})/\mathbb{Q}_p) \simeq \operatorname{Gal}(\mathbb{Q}(\mu_{p^c})/\mathbb{Q})$ .

If  $\mathscr{A}$  is a  $\mathbb{Z}_p[\mathscr{G}_K]$ -module,  $\mathscr{A}_{\varphi_0} := \mathscr{A}^{e_{\varphi_0}}$  denotes its semi-simple  $\varphi_0$ -component given by the idempotent  $e_{\varphi_0} := \frac{1}{\#\gamma} \sum_{\tau \in \gamma} \varphi_0(\tau^{-1}) \tau \in \mathbb{Z}_p[\gamma]$ .

Recall that for  $K = K_{\chi}$  and  $\varphi \mid \chi$ :

(2.1) 
$$\begin{cases} \mathcal{H}_{K,\chi}^{\mathrm{ar}} := \{ x \in \mathcal{H}_K, \ \mathbf{N}_{K/K}(x) = 1, \, \forall \, \kappa \subsetneq K \}, \\ \mathcal{H}_{K,\varphi}^{\mathrm{ar}} := (\mathcal{H}_{K,\chi}^{\mathrm{ar}})_{\varphi_0}. \end{cases}$$

The above definitions of  $\chi$  and  $\varphi$ -objects lead to the fundamental formula [Gra2023<sup>b</sup>, Corollary 2.3]:

**Theorem 2.1.** For all  $\rho \in \mathcal{X}$ , we have the semi-simple decomposition  $\mathcal{H}_{K_{\rho},\rho}^{\operatorname{ar}} = \bigoplus_{\varphi \mid \rho} \mathcal{H}_{K_{\rho},\varphi}^{\operatorname{ar}}$ , and for all real cyclic extension  $K/\mathbb{Q}$ , we have the global formula  $\#\mathcal{H}_K = \prod_{\rho \in \mathcal{X}_K} \#\mathcal{H}_{K_{\rho},\rho}^{\operatorname{ar}} = \prod_{\rho \in \mathcal{X}_K} \prod_{\varphi \mid \rho} \#\mathcal{H}_{K_{\rho},\varphi}^{\operatorname{ar}}$ .

2.2. Analytic expression of  $\#\mathscr{H}_{K,\chi}^{\operatorname{ar}}$  and the RAMC. Since the RAMC is trivial for  $\chi$  of p-power order, we assume  $d>1, \chi_0\neq 1$ . The interpretation of Leopoldt's analytic formulas yields the following result for  $K=K_{\chi}$  real (see the survey [Gra2023 $^b$ , Theorem 3.2]):

**Theorem 2.2.** Let  $\mathscr{H}_{K,\chi}^{ar} := \{x \in \mathscr{H}_K, \mathbf{N}_{K/K}(x) = 1, \forall \kappa \subsetneq K\}.$ Then  $\#\mathscr{H}_{K,\chi}^{ar} = (\mathscr{E}_K : \widehat{\mathscr{E}}_K \mathscr{F}_K)$  where  $\widehat{\mathscr{E}}_K$  is the subgroup of  $\mathscr{E}_K$  generated by the  $\mathscr{E}_K$ 's for all  $\kappa \subsetneq K$  and  $\mathscr{F}_K$  is the group of Leopoldt's cyclotomic units.

We have formulate in the 1970's [Gra1977] the following RAMC:

**Conjecture 2.3.** Let  $\chi = \chi_0 \chi_p$ ,  $\chi_0 \neq 1$ , be an even irreducible rational character and let  $K = K_{\chi}$ . For all irreducible p-adic character  $\varphi \mid \chi$  (whence  $\varphi_0 \mid \chi_0$ ,  $\varphi_p = \chi_p$ ), we have  $\#\mathscr{H}_{K,\varphi}^{\operatorname{ar}} = \#(\mathscr{E}_K/\widehat{\mathscr{E}}_K \mathscr{F}_K)_{\varphi_0}$  ( $\varphi_0$ -component of the  $\chi$ -object  $\mathscr{E}_K/\widehat{\mathscr{E}}_K \mathscr{F}_K$ ).

As we have explained, the particular case  $K \cap \mathbb{Q}(\mu_{p^{\infty}})^+ \neq \mathbb{Q}$  must be examined separately; this is coherent with the specific properties of p-adic L-functions regarding characters conductors powers of p.

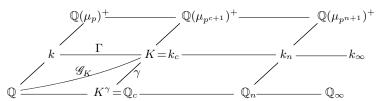
Let  $\mathscr{C}:=K\cap \mathbb{Q}(\mu_{p^{\infty}})^+$  and  $\mathscr{C}_0:=k\cap \mathbb{Q}(\mu_p)^+$  with  $d=[k:\mathbb{Q}]>1$ ,  $\varphi_0\mid \chi_0\neq 1$  and  $[K:k]=p^c>1$ . Note that for  $p\leq 3$ ,  $\mathscr{C}_0=\mathbb{Q}$  and  $\mathscr{C}$  is a layer of the cyclotomic  $\mathbb{Z}_2$ -extension  $\mathbb{Q}_{\infty}$ ; since  $k\neq \mathbb{Q}$  and  $\varphi_0\neq 1$ , this case will be solved in §4.2. So we can focus on the case p>3 in the sequel.

We will analyze the RAMC, under capitulation properties, in two steps corresponding to the following cases:

- (i)  $\mathscr{C} = K \subset \mathbb{Q}(\mu_{p^{\infty}})^+$  (cf. Section 3),
- (ii)  $\mathbb{Q} \subsetneq \mathscr{C} \subsetneq K$ , with the two sub-cases  $\mathscr{C}_0 \subsetneq k$  and the "special case"  $\mathscr{C}_0 = k$  (cf. Section 4.1).
  - 3. Case  $K \subset \mathbb{Q}(\mu_{n^{\infty}})^+$  and Greenberg's conjecture

Note that this framework makes sense only if Vandiver's conjecture is false, otherwise all the subfields of  $\mathbb{Q}(\mu_{p^{\infty}})^+$  are p-principal.

Thus, k is a subfield of  $\mathbb{Q}(\mu_p)^+$  and K is the layer  $k_c$  of the cyclotomic  $\mathbb{Z}_p$ -extension  $k_{\infty}$  of k.



This case can not use an auxiliary totally inert prime  $\ell \equiv 1 \pmod{2p^N}$  (since it is totally split in a non-trivial subfield of K), but Greenberg's conjecture implying a capitulation principle in  $k_{\infty}$  (in some sense, p replaces  $\ell$  and  $k_{\infty}$  replaces  $L \subset K(\mu_{\ell})$ ).

Assuming Greenberg's conjecture [Gree1976, Theorem 2], we know that, for all  $m \geq n \geq n_0$ , the arithmetic norms  $\mathbf{N}_{k_m/k_n} : \mathscr{H}_{k_m} \to \mathscr{H}_{k_n}$  are isomorphisms and that  $\mathscr{H}_{k_m} = \mathscr{H}_{k_m}^{\mathrm{Gal}(k_m/k_n)}$ ; so,  $\nu$  denoting the algebraic norm,  $\nu_{k_m/k_n}(\mathscr{H}_{k_m}) = \mathbf{J}_{k_m/k_n} \circ \mathbf{N}_{k_m/k_n}(\mathscr{H}_{k_m}) = \mathscr{H}_{k_m}^{p^{m-n}} = \mathbf{J}_{k_m/k_n}(\mathscr{H}_{k_n}) = 1$  for  $m \gg n \geq n_0$ . It follows that the p-class group of any layer  $k_n$   $(n \geq 0)$  capitulates in  $k_\infty$ ; so,  $\mathscr{H}_K$  capitulates in  $k_\infty$ .

Since p is totally ramified in  $k_{\infty}/\mathbb{Q}$ , the Chevalley–Herbrand formula [Che1933, pp. 402-406] yields  $\#\mathscr{H}_{k_n}^{\mathrm{Gal}(k_n/k)} = \#\mathscr{H}_k$ , and in the same way as for [Gra2023<sup>b</sup>, Corollary 4.5], we have:

$$\mathscr{H}_{k_n,\varphi_0}^{\operatorname{Gal}(k_n/k)} \simeq \left(\mathscr{E}_k/\mathbf{N}_{k_n/k}(\mathscr{E}_{k_n})\right)_{\varphi_0},$$

of order  $\#\mathcal{H}_{k,\varphi_0}$ , as soon as  $\mathcal{H}_{k,\varphi_0}$  capitulates in  $k_n$ .

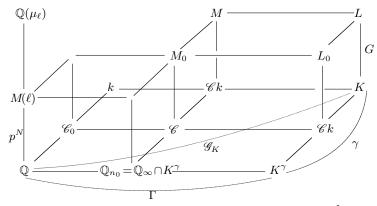
In terms of cyclotomic units  $\mathscr{F}_{k_n}$ , we have the fundamental norm relation  $\mathbf{N}_{k_m/k_n}(\mathscr{F}_{k_m}) = \mathscr{F}_{k_n}$ , for all  $m \geq n \geq 0$ ; the principle of computation giving [Gra2023<sup>b</sup>, Theorem 4.4] holds, which leads to the proof of the non semi-simple RAMC 2.3, for  $K \subset \mathbb{Q}(\mu_{p^{\infty}})^+$ .

However, Greenberg's conjecture is far to be proved and is probably more difficult than the capitulation conjecture using primes  $\ell$  totally inert in  $K/\mathbb{Q}$ , since these are infinite in number and many numerical computations go in this direction.

### 4. Analysis of the RAMC when $K \cap \mathbb{Q}(\mu_{p^{\infty}})^+ \subsetneqq K$

4.1. **Expression of**  $\#\mathscr{H}_{K,\varphi}^{\mathrm{ar}}$ . We will use the following definitions when  $K = K_{\chi}$  (of degree  $dp^c$ ) contains  $\mathscr{C} := K \cap \mathbb{Q}(\mu_{p^{\infty}})^+$ , such that  $\mathbb{Q} \subsetneq \mathscr{C} \subsetneq K$ . Let  $\varphi = \varphi_0 \varphi_p \mid \chi$ .

Let  $M_0 := M(\ell)\mathscr{C}$ , where  $M(\ell)$  is the subfield of degree  $p^N$  of  $\mathbb{Q}(\mu_\ell)$  for  $\ell \equiv 1 \pmod{2p^N}$ ,  $N \geq 1$ , with  $\ell$  prime totally inert in  $K/\mathscr{C}$  (possible from the density theorem) and let  $L = M_0K$ . So any prime ideal  $\mathfrak{l} \mid \ell$  of K is totally ramified in L/K, then (totally if N is large enough) split in  $\mathscr{C}$  and totally inert in  $L/M_0$ :



We use some notations of  $[\operatorname{Gra2023}^b]$ ; in particular, let  $\widehat{K}$  and  $\widehat{L}$  be the subfields of K and L such that  $[K:\widehat{K}]=[L:\widehat{L}]=p$ . Put  $\widehat{\mathbf{N}}:=\mathbf{N}_{L/\widehat{L}},\,\widehat{\mathbf{J}}:=\mathbf{J}_{L/\widehat{L}}$ . From  $[\operatorname{Gra2023}^b,\, \operatorname{Lemma}\, 4.3]$ , if  $\mathscr{H}_K$  capitulates in L, then  $\mathscr{H}_{\widehat{K}}$  capitulates in  $\widehat{L}$ . By abuse, put  $(A:B)_{\varphi_0}=\#(A/B)_{\varphi_0}$ .

Under the above assumptions, the p-localized Chevalley–Herbrand formulas for p-class groups are the following ones (to get the orders, for  $\varphi_0 \neq 1$ , of the  $\varphi_0$ -components  $\mathscr{H}_{L,\varphi_0}^G$ ,  $G := \operatorname{Gal}(L/K)$ , we have followed the process given in Jaulent's Thesis [Jau1986, Chapitre III, p. 167] and described again in [Gra2023 $^b$ , Section 4.1]):

$$\begin{cases}
#\mathcal{H}_{L,\varphi_{0}}^{G} = #\mathcal{H}_{K,\varphi_{0}} \times \frac{p^{N r_{\varphi_{0}}}}{(\mathcal{E}_{K} : \mathcal{E}_{K} \cap \mathbf{N}_{L/K}(L^{\times}))_{\varphi_{0}}} \\
#\mathcal{H}_{\widehat{L},\varphi_{0}}^{G} = #\mathcal{H}_{\widehat{K},\varphi_{0}} \times \frac{p^{N r_{\varphi_{0}}}}{(\mathcal{E}_{\widehat{K}} : \mathcal{E}_{\widehat{K}} \cap \mathbf{N}_{\widehat{L}/\widehat{K}}(\widehat{L}^{\times}))_{\varphi_{0}}}
\end{cases}$$

 $r_{\varphi_0} = \left[\mathscr{C}:\mathscr{C}_0\right]d_{\varphi_0}, \, \text{where} \,\, d_{\varphi_0} = \left[\mathbb{Q}_p(g_{\varphi_0}):\mathbb{Q}_p\right] \,\, \text{as usual}.$ 

Under capitulations in L/K and  $\widehat{L}/\widehat{K}$ , we have the exact sequences:

$$(4.2) \begin{cases} 1 \to \mathscr{H}_{L,\varphi_0}^{\mathrm{ram}} \longrightarrow \mathscr{H}_{L,\varphi_0}^{G} \\ & \longrightarrow (\mathscr{E}_K \cap \mathbf{N}_{L/K}(L^{\times})/\mathbf{N}_{L/K}(\mathscr{E}_L))_{\varphi_0} \to 1, \\ 1 \to \mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}} \longrightarrow \mathscr{H}_{\widehat{L},\varphi_0}^{G} \\ & \longrightarrow (\mathscr{E}_{\widehat{K}} \cap \mathbf{N}_{\widehat{L}/\widehat{K}}(\widehat{L}^{\times})/\mathbf{N}_{\widehat{L}/\widehat{K}}(\mathscr{E}_{\widehat{L}}))_{\varphi_0} \to 1, \end{cases}$$

where  $\mathscr{H}^{\mathrm{ram}}$  is the subgroup of  $\mathscr{H}$  generated by the *p*-classes of the ramified prime ideals  $\mathfrak{L} \mid \mathfrak{l} \mid \ell$  (for L and  $\widehat{L}$ ).

The  $\mathbb{Z}_p[\gamma]$ -modules  $\Omega_K = \{(s_i)_{i=1}^r \in G^r, \prod_{i=1}^r s_i = 1\}$  and  $\Omega_{\widehat{K}} = \{(\widehat{s}_i)_{i=1}^r \in \widehat{G}^r, \prod_{i=1}^r \widehat{s}_i = 1\}$ , where  $r = [\mathscr{C} : \mathbb{Q}]$ , are isomorphic. Then, from (4.1), (4.2) giving two expressions of  $\mathscr{H}_{L,\varphi_0}^G$  and  $\mathscr{H}_{\widehat{L},\varphi_0}^G$ :

(4.3) 
$$\begin{cases} #\mathscr{H}_{K,\varphi_0} = \frac{\#\mathscr{H}_{L,\varphi_0}^{\mathrm{ram}} \times (\mathscr{E}_K : \mathbf{N}(\mathscr{E}_L))_{\varphi_0}}{\#\Omega_{K,\varphi_0}}, \\ #\mathscr{H}_{\widehat{K},\varphi_0} = \frac{\#\mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}} \times (\mathscr{E}_{\widehat{K}} : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0}}{\#\Omega_{\widehat{K},\varphi_0}}, \end{cases}$$

One sees easily that  $\mathscr{H}_{L,\varphi_0}^{\mathrm{ram}} = \widehat{\mathbf{J}}\big(\mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}}\big)$  since prime ideals over  $\ell$  are inert in  $L/\widehat{L}$ . We have the exact sequence defining  $\mathscr{H}_{K,\varphi}^{\mathrm{ar}}$ :

$$1 \to (\mathscr{H}_{K,\chi}^{\mathrm{ar}})_{\varphi_0} = \mathscr{H}_{K,\varphi}^{\mathrm{ar}} \longrightarrow \mathscr{H}_{K,\varphi_0} \stackrel{\widehat{\mathbf{N}}}{\longrightarrow} \mathscr{H}_{\widehat{K},\varphi_0} \to 1;$$

then, from (4.3), and the fact that  $\#\Omega_{K,\varphi_0}=\#\Omega_{\widehat{K},\varphi_0}$ , we get, under capitulations, the fundamental relation:

(4.4) 
$$\#\mathcal{H}_{K,\varphi}^{\operatorname{ar}} = \frac{\#\widehat{\mathbf{J}}\left(\mathcal{H}_{\widehat{L},\varphi_{0}}^{\operatorname{ram}}\right)}{\#\mathcal{H}_{\widehat{L},\varphi_{0}}^{\operatorname{ram}}} \times \frac{\left(\mathcal{E}_{K} : \mathbf{N}(\mathcal{E}_{L})\right)_{\varphi_{0}}}{\left(\mathcal{E}_{\widehat{K}} : \mathbf{N}(\mathcal{E}_{\widehat{L}})\right)_{\varphi_{0}}},$$

to be compared with  $X_{\varphi_0} := (\mathscr{E}_K : \mathscr{E}_{\widehat{K}} \mathscr{F}_K)_{\varphi_0}$ . So, the proof of the RAMC is essentially based on  $\mathbf{N}(\mathscr{F}_L)$  regarding  $\mathbf{N}(\mathscr{E}_L)$ .

We have  $\mathbf{N}(\mathscr{F}_L) = \mathscr{F}_K^{1-\tau_\ell^{-1}}$ , where  $\tau_\ell$  is the Artin automorphism of  $\ell$  in  $K/\mathbb{Q}$ ; it generates  $\mathrm{Gal}(K/\mathscr{C})$  and is of p-power order if and only if  $\mathscr{C}_0 = k$ , which defines the "special case".

4.2. **Proof of the RAMC when**  $\mathscr{C}_0 \subsetneq k$ . In this case,  $(1 - \tau_{\ell}^{-1})e_{\varphi_0}$  is invertible in  $\mathbb{Z}_p[\mathscr{G}_K]e_{\varphi_0}$  [Gra2023<sup>b</sup>, Proposition 3.4]. Recall that this applies for  $p \leq 3$  since  $\mathscr{C}_0 = \mathbb{Q}$  and  $k \neq \mathbb{Q}$ .

The computations [ $Gra2023^b$ , Proof of Theorem 4.4] leads in this case to the relation:

$$(4.5) X_{\varphi_0} = \frac{(\mathscr{E}_K : \mathbf{N}(\mathscr{E}_L))_{\varphi_0}}{(\mathscr{E}_{\widehat{K}} : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0}} \times Z_{\varphi_0},$$

where:

$$Z_{\varphi_0} := \frac{(\mathscr{E}_{\widehat{K}} \mathbf{N}(\mathscr{E}_L) : \mathbf{N}(\mathscr{E}_{\widehat{L}}) \mathscr{F}_K)_{\varphi_0}}{(\mathscr{E}_{\widehat{K}} \mathbf{N}(\mathscr{E}_L) : \mathbf{N}(\mathscr{E}_L))_{\varphi_0}} \times (\mathbf{N}(\mathscr{E}_{\widehat{L}}) \widehat{\mathscr{F}}_K : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0},$$

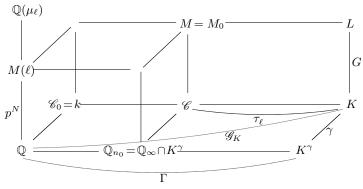
with  $\widehat{\mathscr{F}}_K := \mathscr{F}_K \cap \mathscr{E}_{\widehat{K}}$ . Since  $\mathbf{N}(\mathscr{E}_{\widehat{L}})\mathscr{F}_K \subseteq \mathbf{N}(\mathscr{E}_L)$ ,  $Z_{\varphi_0}$  is an integer and we obtain from the relations (4.4) and (4.5) under capitulations:

$$X_{\varphi_0} = \# \mathscr{H}_{K,\varphi}^{\mathrm{ar}} \times \frac{\# \mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}}}{\# \widehat{\mathbf{J}} \big( \mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}} \big)} \times Z_{\varphi_0} \ge \# \mathscr{H}_{K,\varphi}^{\mathrm{ar}};$$

in other words, one gets the supplementary factor  $\#\text{Ker}(\widehat{\mathbf{J}})_{\varphi_0}$  which was trivial in the case  $\ell$  totally inert in K and  $\varphi_0 \neq 1$ . Whence the equality as usual implying the supplementary interesting relations:

- $\bullet \ \mathscr{F}_{K,\varphi_0} \cap \mathscr{E}_{\widehat{K},\varphi_0} \subseteq \mathbf{N}(\mathscr{E}_{\widehat{L},\varphi_0}),$
- $\bullet \ \mathbf{N}(\mathscr{E}_{\widehat{L},\varphi_0})\mathscr{F}_{K,\varphi_0} = \mathbf{N}(\mathscr{E}_{L,\varphi_0}),$
- $ullet \ \widehat{\mathbf{J}}ig(\mathscr{H}_{\widehat{L},arphi_0}^{\mathrm{ram}}ig)\simeq \mathscr{H}_{\widehat{L},arphi_0}^{\mathrm{ram}}.$

4.3. The special case  $\mathscr{C}_0 = k$ . So,  $\tau_{\ell}$  generates  $\operatorname{Gal}(K/\mathscr{C})$ , of p-power order, and  $(1 - \tau_{\ell}^{-1})e_{\varphi_0}$  is not invertible in  $\mathbb{Z}_p[\mathscr{G}_K]e_{\varphi_0}$ .



Under capitulations, we still have the fundamental relation (4.4) for which we have to prove the inequality:

$$(\mathscr{E}_K : \mathscr{E}_{\widehat{K}} \mathscr{F}_K)_{\varphi_0} \geq \# \mathscr{H}_{K,\varphi}^{\mathrm{ar}} = \frac{\# \widehat{\mathbf{J}} \big( \mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}} \big)}{\# \mathscr{H}_{\widehat{L},\varphi_0}^{\mathrm{ram}}} \times \frac{(\mathscr{E}_K : \mathbf{N}(\mathscr{E}_L))_{\varphi_0}}{(\mathscr{E}_{\widehat{K}} : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0}},$$

whence to prove, since the first factor is  $(\#\text{Ker}(\widehat{\mathbf{J}})_{\varphi_0})^{-1}$ , that:

$$\#\mathrm{Ker}(\widehat{\mathbf{J}})_{\varphi_0} \times \frac{(\mathscr{E}_{\widehat{K}} : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0} (\mathscr{E}_K : \mathscr{E}_{\widehat{K}} \mathscr{F}_K)_{\varphi_0}}{(\mathscr{E}_K : \mathbf{N}(\mathscr{E}_L))_{\varphi_0}} \geq 1.$$

We have the exact sequence:

$$\begin{split} 1 \to \mathscr{E}_{\widehat{K}} \mathbf{N}(\mathscr{E}_L) / \mathbf{N}(\mathscr{E}_L) &= \mathscr{E}_{\widehat{K}} / \mathscr{E}_{\widehat{K}} \cap \mathbf{N}(\mathscr{E}_L) \longrightarrow \\ \mathscr{E}_K / \mathbf{N}(\mathscr{E}_L) &\longrightarrow \mathscr{E}_K / \mathscr{E}_{\widehat{K}} \mathbf{N}(\mathscr{E}_L) \to 1 \end{split}$$

and the inclusions  $\mathbf{N}(\mathscr{E}_{\widehat{L}}) \subseteq \mathscr{E}_{\widehat{K}} \cap \mathbf{N}(\mathscr{E}_L) \subseteq \mathscr{E}_{\widehat{K}}$ , giving:

$$\begin{split} &\#\mathrm{Ker}(\widehat{\mathbf{J}})_{\varphi_0} \times \frac{(\mathscr{E}_{\widehat{K}}: \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0}(\mathscr{E}_K : \mathscr{E}_{\widehat{K}}\mathscr{F}_K)_{\varphi_0}}{(\mathscr{E}_K : \mathbf{N}(\mathscr{E}_L))_{\varphi_0}} \\ &= \#\mathrm{Ker}(\widehat{\mathbf{J}})_{\varphi_0} \times \frac{(\mathscr{E}_{\widehat{K}}: \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0}(\mathscr{E}_K : \mathscr{E}_{\widehat{K}}\mathscr{F}_K)_{\varphi_0}}{(\mathscr{E}_{\widehat{K}} : \mathscr{E}_{\widehat{K}} \cap \mathbf{N}(\mathscr{E}_L))_{\varphi_0}(\mathscr{E}_K : \mathscr{E}_{\widehat{K}}\mathbf{N}(\mathscr{E}_L))_{\varphi_0}} \\ &= \#\mathrm{Ker}(\widehat{\mathbf{J}})_{\varphi_0} \times \frac{(\mathscr{E}_{\widehat{K}} \cap \mathbf{N}(\mathscr{E}_L) : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0}(\mathscr{E}_K : \mathscr{E}_{\widehat{K}}\mathscr{F}_K)_{\varphi_0}}{(\mathscr{E}_K : \mathscr{E}_{\widehat{K}}\mathbf{N}(\mathscr{E}_L))_{\varphi_0}} \end{split}$$

We have  $\mathscr{F}_K^{1-\tau_\ell^{-1}}=\mathbf{N}(\mathscr{F}_L)\subseteq\mathbf{N}(\mathscr{E}_L)$ , which is not necessarily true for  $\mathscr{F}_K$  and prevents to conclude, contrary to the case  $\ell$  totally inert.

If this case of RAMC is true, because of the product formula of Theorem (2.1), one should obtain, under capitulation of  $\mathcal{H}_K$  in L:

$$(4.6) \quad \#\mathrm{Ker}(\widehat{\mathbf{J}})_{\varphi_0} \times \frac{(\mathscr{E}_{\widehat{K}} \cap \mathbf{N}(\mathscr{E}_L) : \mathbf{N}(\mathscr{E}_{\widehat{L}}))_{\varphi_0} (\mathscr{E}_K : \mathscr{E}_{\widehat{K}} \mathscr{F}_K)_{\varphi_0}}{(\mathscr{E}_K : \mathscr{E}_{\widehat{K}} \mathbf{N}(\mathscr{E}_L))_{\varphi_0}} = 1.$$

Numerical investigations will be necessary to study the behavior of each factor, but the relations obtained for the case  $\mathscr{C}_0 \subsetneq k$  (§ 4.2):

$$\bullet \ \mathbf{N}(\mathscr{E}_{\widehat{L},\varphi_0})\mathscr{F}_{K,\varphi_0} = \mathbf{N}(\mathscr{E}_{L,\varphi_0}),$$

• 
$$\operatorname{Ker}(\widehat{\mathbf{J}})_{\varphi_0} = 1$$
,

with the supplementary one:

$$\bullet \ \mathscr{E}_{\widehat{K},\varphi_0} \cap \mathbf{N}(\mathscr{E}_{L,\varphi_0}) = \mathbf{N}(\mathscr{E}_{\widehat{L},\varphi_0}),$$

satisfies the relation (4.6), but this is only speculation.

#### References

- [Che1933] C. CHEVALLEY, Sur la théorie du corps de classes dans les corps finis et les corps locaux, Thèse no. 155, Jour. of the Faculty of Sciences Tokyo, 2 (1933), 365–476. http://archive.numdam.org/item/THESE\_1934\_\_155\_\_365\_0/3
- [Gra1977] G. GRAS, Étude d'invariants relatifs aux groupes des classes des corps abéliens, Journées Arithmétiques de Caen (1976), Astérisque 41–42 (1977), 19 pp. http://www.numdam.org/item/?id=AST\_1977\_41-42\_35\_0 1, 2
- [Gra2023<sup>a</sup>] G. Gras, The Chevalley–Herbrand formula and the real abelian Main Conjecture (New criterion using capitulation of the class group), *J. Number Theory* **248** (2023), 78–119. https://doi.org/10.1016/j.jnt.2023.01.002 2
- [Gra2023<sup>b</sup>] G. Gras, On the real abelian main conjecture in the non semi-simple case (2023). https://arxiv.org/abs/2306.12836 2, 3, 4, 5
- [Gree1976] R. GREENBERG, On the Iwasawa invariants of totally real number fields, Amer. J. Math. 98(1) (1976), 263–284. https://doi.org/10.2307/2373625 3
- [Jau1986] J-F. JAULENT, L'arithmétique des ℓ-extensions (Thèse d'état), Publications Mathématiques de Besançon 1(1) (1986), 1–357. https://doi.org/10.5802/pmb.a-42 4

VILLA LA GARDETTE, 4 CHEMIN CHÂTEAU GAGNIÈRE, 38520 LE BOURG D'OISANS http://orcid.org/0000-0002-1318-4414

E-mail address: g.mn.gras@wanadoo.fr