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### ► To cite this version:

Frédéric Hamelin, Frank Hilker, Yves Dumont. Spatial spread of infectious diseases with conditional vector preferences. Journal of Mathematical Biology, 2023, 87 (2), pp.38. 10.1007/s00285-023-01972-y . hal-04177516

### HAL Id: hal-04177516 https://hal.science/hal-04177516

Submitted on 28 Sep 2023

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### **Spatial spread of infectious diseases**

### <sup>2</sup> with conditional vector preferences

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Abstract We explore the spatial spread of vector-borne infections with 13 conditional vector preferences, meaning that vectors do not visit hosts at random. 14 Vectors may be differentially attracted toward infected and uninfected hosts 15 depending on whether they carry the pathogen or not. The model is expressed as a 16 system of partial differential equations with vector diffusion. We first study the 17 non-spatial model. We show that conditional vector preferences alone (in the 18 absence of any epidemiological feedback on their population dynamics) may result 19 in bistability between the disease-free equilibrium and an endemic equilibrium. A 20 backward bifurcation may allow the disease to persist even though its basic 21 reproductive number is less than one. Bistability can occur only if both infected and 22 uninfected vectors prefer uninfected hosts. Back to the model with diffusion, we 23 show that bistability in the local dynamics may generate travelling waves with 24 either positive or negative spreading speeds, meaning that the disease either 25 invades or retreats into space. In the monostable case, we show that the disease 26 spreading speed depends on the preference of uninfected vectors for infected 27 hosts, but also on the preference of infected vectors for uninfected hosts under 28 some circumstances (when the spreading speed is not linearly determined). We 29 discuss the implications of our results for vector-borne plant diseases, which are the 30 main source of evidence for conditional vector preferences so far. 31

32

Keywords: vector bias, bistability, backward bifurcation, travelling wave, spread-33 ing speed, front reversal, pushed and pulled waves. 34

#### Introduction 1 35

62

Vector-borne diseases are a major concern for human, animal and plant health. Since 36 Ross' seminal work (1911), most mathematical models of vector-borne infections 37 consider that vectors visit hosts randomly, independent of their infection status (e.g., 38 Wonham et al., 2004; Martcheva, 2015). Spatially explicit models are no exception 39 in this regard (e.g., Lewis et al., 2006). However, growing evidence shows that many 40 vectors do not visit hosts randomly (e.g., Gandon, 2018). 41

Vectors may be differentially attracted towards infected and uninfected hosts, 42 independent of whether or not they carry the pathogen (e.g., Lacroix et al., 2005; 43 Mauck et al., 2010; Cornet et al., 2013). This is termed a "vector bias" in the mod-44 elling literature (Chamchod and Britton, 2011). Kingsolver (1987) was probably the 45 first to include such a vector bias in a model. He showed that vector preferences 46 can induce bistability, meaning that the dynamics converge either to a disease-free 47 state or to an endemic state depending on the initial conditions. However, bistability 48 only occurred in somewhat special cases in which the vector bias was a function of 49 the fraction of infected hosts in the population. Later studies generally assumed a 50 constant vector bias and did not find bistability (Hosack et al., 2008; Chamchod and 51 Britton, 2011; Wang and Zhao, 2017), except when disease-induced host mortality 52 and immigration were included in the model (Buonomo and Vargas-De-León, 2013). 53 Spatially explicit models have also been used to explore the consequences of a 54 vector bias in space. Individual-based models were formulated to investigate the 55 effect of spatial heterogeneity on the spread of vector-borne diseases with a vector 56 bias (McElhany et al., 1995; Sisterson, 2008). Chamchod and Britton (2011) were 57 probably the first to incorporate a vector bias into a partial differential equation (PDE) 58 model. They numerically showed that travelling waves occur, and how their speed 59 can be calculated. Later studies then also considered a vector bias in PDE models 60 (e.g., Xu and Zhao, 2012; Bai et al., 2018). In particular, Xu and Zhang (2015) also 61 showed the existence of travelling wave solutions. In these studies (Chamchod and

Britton, 2011; Xu and Zhang, 2015), the models did not exhibit bistability, and the
 travelling waves had positive speeds, meaning that the disease invades a disease free spatial domain.

Vector preferences, however, may depend on whether or not vectors carry the 66 pathogen (Ingwell et al., 2012; Blanc and Michalakis, 2016; Gandon, 2018; Eigen-67 brode et al., 2018; Shoemaker et al., 2019; Carr et al., 2020). These are termed 68 "conditional vector preferences". Roosien et al. (2013) were probably the first to 69 include conditional vector preferences in a model, but they did not fully analyse the 70 model. In particular, whether or not bistability can occur was left implicit. In a more 71 general version of the model (accounting for vector handling times), Gandon (2018) 72 showed that conditional preferences (in particular a preference of uninfected vectors 73 for infected hosts) can lead to bistability, provided vector fecundity depends on host 74 infection status. Similarly, Cunniffe et al. (2021) observed multi-stability in a more 75 complex model accounting for vector population dynamics that depend on the host 76 infection status. However, whether conditional preferences can lead to bistability 77 when the vector population dynamics are independent of the host infection status 78 still remains to be clarified. 79

Bistability may have important implications regarding the spatial spread of the disease in space. In particular, it is well known (Fife and McLeod, 1977; Lewis and Kareiva, 1993; Lewis and van den Driessche, 1993; Owen and Lewis, 2001; Fagan et al., 2002; Hilker et al., 2005, 2007) that bistability can give rise to negative wave speeds, meaning in our context that the disease retreats. This phenomenon is also termed "front reversal".

In this study, we analyse whether and how conditional vector preferences can 86 give rise to bistability and front reversal in vector-borne diseases. The organisation 87 of the paper is as follows. In Section 2, we present a spatio-temporal (reaction-88 diffusion) model with conditional vector preferences. In Section 3, we provide an 89 analysis of the temporal (non-spatial) model with some numerical simulations. Then, 90 in Section 4, we go back to the spatio-temporal model (with diffusion), showing 91 existence of travelling wave solutions. Numerical simulations illustrate our findings. 92 Lastly, Section 5 concludes the paper with a discussion. 93

#### 94 2 Spatio-temporal model

Let I(x, t) be the infected host density at time t and location  $x \in \mathbb{R}$ . We adopt a uni-95 dimensional representation of space for simplicity. The total host density is assumed 96 to be a constant N independent of x. The local density of uninfected hosts at time 97 t is therefore N - I(x, t). Let V(x, t) and U(x, t) be the infected ("viruliferous") and 98 uninfected vector densities, respectively. Let b be the vector "biting" rate. Let p 99 and q be the probabilities of pathogen transmission and acquisition, respectively. 100 Let r be the removal rate of infected hosts. Infected vectors lose the pathogen at 101 rate l (Chapwanya and Dumont, 2018). Let m be the vector mortality rate. For 102 simplicity, we assume that the vector birth rate exactly compensates the mortality 103 rate. In addition, we assume that vectors are born uninfected. There is no vertical 104 transmission in either the vector or the host. Let a be the preference (attraction) of 105 infected vectors for uninfected hosts: a = 1 means no preference, a > 1 preference 106 and 0 < a < 1 repulsion. Similarly, let *u* be the preference of uninfected vectors for 107 infected hosts. As in Chamchod and Britton (2011), only the spatial movement of 108 vectors is considered. Let D be the vector diffusion rate, independent of the vector 109 infection status. The model is: 110

$$I_{t} = bpV \frac{a(N-I)}{a(N-I) + I} - rI,$$
  

$$V_{t} = bqU \frac{uI}{uI + (N-I)} - (m+I)V + DV_{xx},$$
  

$$U_{t} = (m+I)V - bqU \frac{uI}{uI + (N-I)} + DU_{xx},$$
(1)

in which the subscripts denote differentiation with respect to t or x, and in which the dependence of the state variables on t and x has been omitted.

#### **113 2.1 Model simplification**

Let W = U + V be the total vector population density. We have  $W_t = DW_{xx}$ . Assuming W(x, 0) = K (the vector carrying capacity) for all  $x \in (-\infty, +\infty)$ ,  $W_t(x, 0) = 0$  for all x, meaning that W = K for all  $t \ge 0$  and  $x \in (-\infty, +\infty)$ . Therefore, we can substitute <sup>117</sup> *U* with K - V in model (1), which thus simplifies to a two-dimensional system:

$$I_{t} = bpV \frac{a(N-I)}{a(N-I) + I} - rI,$$

$$V_{t} = bq(K-V) \frac{uI}{uI + (N-I)} - (m+l)V + DV_{XX}.$$
(2)

#### 118 2.2 Non-dimensionalisation

<sup>119</sup> We rescale the state variables and parameters by letting

$$\tau = (m+l)t$$
,  $\xi = x\sqrt{\frac{m+l}{D}}$ ,  $i = \frac{I}{N}$ ,  $\nu = \frac{V}{K}$ 

120 and

$$\beta = \frac{bpK}{(m+l)N}, \quad \rho = \frac{r}{m+l}, \quad \theta = \frac{bq}{m+l}$$

A dimensionless version of model (2) is the following:

$$i_{\tau} = \beta v \frac{a(1-i)}{a(1-i)+i} - \rho i,$$

$$v_{\tau} = \theta (1-v) \frac{ui}{ui+(1-i)} - v + v_{\xi\xi},$$
(3)

<sup>122</sup> in which the subscripts denote differentiation with respect to  $\tau$  or  $\xi$ . Note that the <sup>123</sup> two state variables are both disease prevalences, i.e. they are fractions of the host <sup>124</sup> and the vector being infected, and take values in the unit interval.

### **3** Analysis of the non-spatial system

126 The non-spatial model is:

$$i' = \beta v \frac{a(1-i)}{a(1-i)+i} - \rho i =: f_1(i, v),$$

$$v' = \theta(1-v) \frac{ui}{ui+(1-i)} - v =: f_2(i, v).$$
(4)

We will also use the following notations:  $y = (i, v)^T$  and  $f = (f_1, f_2)^T$ .

#### **3.1 Basic reproductive number**

System (4) was previously explored in Roosien et al. (2013) and Cunniffe et al. (2021). It is known that the disease-free equilibrium (i, v) = (0,0) is locally asymptotically stable if and only if

$$\mathcal{R}_0^2 := \frac{b^2 p q}{rm} \frac{K}{N} u = \frac{\beta \theta}{\rho} u < 1.$$

<sup>132</sup> We refer to  $\mathcal{R}_0^2$  as the basic reproductive number. Note that  $\mathcal{R}_0$  depends on u (the <sup>133</sup> preference of uninfected vectors for infected hosts) but does not depend on a (the <sup>134</sup> preference of infected vectors for uninfected hosts). The results we present next are <sup>135</sup> original. Let  $u_c$  be such that  $\mathcal{R}_0^2 = 1$ , i.e.,

$$u_c = \frac{\rho}{\beta \theta}$$
.

136

#### 137 **3.2** The system is cooperative

138 We have

$$rac{\partial f_1}{\partial v} \geq 0 \quad ext{and} \quad rac{\partial f_2}{\partial i} \geq 0 \ ,$$

139 since

$$\frac{\partial}{\partial i}\left(\frac{ui}{ui+(1-i)}\right) = \frac{u}{(1+i(u-1))^2} > 0 \, .$$

Therefore, system (4) is cooperative, meaning that the dynamics necessarily con verge to an equilibrium (convergence to a limit cycle is impossible) (Smith, 2008).

#### 142 3.3 Endemic equilibrium

Let us solve the system  $f_1(i, v) = f_2(i, v) = 0$ . An endemic equilibrium  $(i^*, v^*)$ , with  $i^*, v^* > 0$ , satisfies:

$$Q(i^*) = Ai^{*2} + Bi^* + C = 0$$
,

145 in which

$$A = (a-1)(u(1+\theta)-1),$$
  

$$B = \left((2-(1+\theta)u) - \frac{\beta\theta}{\rho}u\right)a - 1 = -\left(((1+\theta)u - 1) + (\mathcal{R}_0^2 - 1)\right)a - 1,$$
  

$$C = a\left(\frac{\beta\theta}{\rho}u - 1\right) = a\left(\mathcal{R}_0^2 - 1\right).$$

Let  $u^*$  be such that A = 0:

$$u^* = \frac{1}{1+\theta} \,. \tag{5}$$

<sup>147</sup> Note that *A* has no reason to be zero in general (A = 0 only for a = 1 or  $u = u^*$ ). The <sup>148</sup> coefficient *A* can be also expressed as

$$A=(a-1)\left(\frac{u}{u^*}-1\right).$$

<sup>149</sup> First, we notice that

$$Q(1) = -u(1+\theta) < 0.$$
 (6)

<sup>150</sup> Next, we distinguish two cases:  $\mathcal{R}_0^2 > 1$  and  $\mathcal{R}_0^2 < 1$ . (The boundary case  $\mathcal{R}_0^2 = 1$  is <sup>151</sup> addressed in Appendix A.1 for the sake of completeness.)

152 **3.4** Case  $\mathcal{R}_0^2 > 1$ 

If  $\mathcal{R}_0^2 > 1$ , then Q(0) = C > 0. Since Q(1) < 0 (Eq. 6), there is exactly one root  $i^*$  in [0,1], which is the endemic equilibrium. Appendix A.2 shows that

$$i^* = \begin{cases} -\frac{C}{B} & \text{if } a = 1 \text{ or } u = u^* \text{ (special cases implying } A = 0), \\ \frac{1}{2A}(-B - \sqrt{\Delta}) & \text{otherwise,} \end{cases}$$

where  $\Delta = B^2 - 4AC$  is the discriminant.

### <sup>156</sup> **3.5** Case $\mathcal{R}_0^2 < 1$

157 If  $\mathcal{R}_0^2 < 1$ , then C < 0.

Since Q(0) = C < 0, and Q(1) < 0 (Eq. 6), either there is no root in between of and 1 or there are two roots (unless the discriminant  $\Delta$  is zero, in which case

there is a single root, of course). The existence of biologically feasible equilibria requires A < 0. Since this implies AC > 0, an additional necessary condition for the existence of endemic equilibria is that the discriminant  $\Delta$  is non-negative. The additional conditions are Q'(0) = B > 0 and Q'(1) = 2A + B < 0.

If these conditions ( $\mathcal{R}_0^2 < 1$ , A < 0,  $\Delta \ge 0$ , B > 0, and 2A+B < 0) are simultaneously satisfied, there are two positive equilibria with components

$$i_{1,2}^* = \frac{1}{2A} \left( -B \pm \sqrt{\Delta} \right),$$
 (7)

since A < 0 and B > 0. We set  $E_1 = (i_1^*, v_1^*)$  and  $E_2 = (i_2^*, v_2^*)$  and notice that the equilibria are ordered, i.e.  $E_1 < E_2$ , since  $i_1^* < i_2^*$  and  $v_1^* = g(i_1^*) < v_2^* = g(i_2^*)$ , where  $g(i) = \frac{\rho}{\beta} \left( 1 + \frac{i}{\alpha(1-i)} \right) i$  is an increasing function corresponding to  $f_1 = 0$  in (4).

#### **3.5.1** Necessary conditions for two equilibria

Here, we will derive two necessary conditions on the vector preferences, namely a > 1 and  $u < u^* < 1$  (since  $u^* = 1/(1 + \theta)$ , as defined in Eq. (5)), for two positive equilibria to coexist.

<sup>173</sup> First, *B* can be expressed as

$$B = -\left(1 + \theta + \frac{\theta\beta}{\rho}\right)au + (2a - 1)$$

<sup>174</sup> Therefore, B > 0 is equivalent to

$$u < \frac{(2a-1)}{\left(1+\theta+\frac{\theta\beta}{\rho}\right)a} =: u^+.$$

Second, B + 2A < 0 can be expressed as

$$\left((\theta+1)(a-2)-\frac{\theta\beta}{\rho}a\right)u+1<0.$$
(8)

<sup>176</sup> A necessary condition for the inequality (8) to hold is

$$\frac{\theta\beta}{\rho(\theta+1)} > \frac{a-2}{a} =: R_1.$$
(9)

Assuming inequality (9) holds, B + 2A < 0 (inequality 8) is equivalent to

$$u > \frac{1}{\frac{\theta\beta}{\rho}a - (\theta+1)(a-2)} =: u^-.$$

<sup>178</sup> So far, we have shown that conditions B > 0 and B + 2A < 0 are equivalent to  $u < u^+$ <sup>179</sup> and  $u > u^-$  (provided inequality 8 holds), respectively. A necessary condition for <sup>180</sup> these conditions to hold is therefore  $u^- < u^+$ . The latter inequality can be equiva-<sup>181</sup> lently expressed as

$$\frac{1}{\frac{\theta\beta}{\rho}a - (\theta+1)(a-2)} < \frac{2a-1}{\left(\left(1 + \frac{\beta}{\rho}\right)\theta + 1\right)a},$$

<sup>182</sup> which is equivalent to

$$\left(1+\frac{\beta}{\rho}\right)\theta+1<\left(2-\frac{1}{a}\right)\left(\frac{\theta\beta}{\rho}a-(\theta+1)(a-2)\right).$$

<sup>183</sup> After rearrangement, the above inequality can be equivalently expressed as

$$(\theta+1)(a-1)^2 < a(a-1)\frac{\theta\beta}{\rho}.$$

<sup>184</sup> A necessary condition for the above inequality to hold is a > 1. Assuming a > 1, this <sup>185</sup> inequality can be equivalently expressed as

$$\frac{\theta\beta}{\rho(1+\theta)} > \frac{a-1}{a} =: R_2$$

Since  $R_2 > R_1$ , the above inequality guarantees that inequality (9) is satisfied.

<sup>187</sup> We were not able to get more results from this preliminary analysis, but we have

<sup>188</sup> shown that a > 1 is a necessary condition for two endemic equilibria to coexist.

Assuming a > 1, the condition A < 0 is equivalent to

$$u < \frac{1}{1+\theta} = u^*$$

Hence, a > 1 and  $u < u^* < 1$  are necessary conditions for two positive equilibria to coexist.

#### **3.5.2** Numerical example of bistability

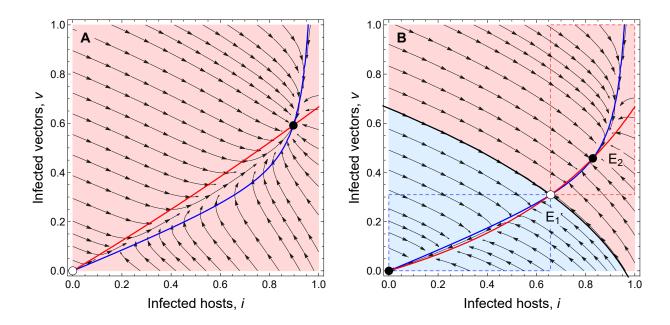


Figure 1: Phase portraits of the non-spatial model (4) with  $\nu$ - and *i*-nullclines (blue and red curves, respectively). Stable (unstable) equilibria are shown as filled (empty) circles. The basins of attraction to the endemic (disease-free) equilibrium are shown in light red (light blue). (**A**) The endemic equilibrium is the only attractor. Parameter value: u = 0.3, so  $\mathcal{R}_0^2 = 1.44 > 1$ . (**B**) Bistable case. The black line is the separatrix of the two basins of attraction. The dashed rectangles indicate analytically obtained sets of initial conditions that are known to approach the disease-free (blue) or endemic (red) equilibrium. They are part of the actual basins of attraction, see Sect. 3.6 for more details. Parameter value: u = 0.15, so  $\mathcal{R}_0^2 = 0.72 < 1$ . All other parameter values: a = 15,  $\beta = 2.4$ ,  $\rho = 1$ ,  $\theta = 2$ .

<sup>193</sup> Since necessary and sufficient conditions were hardly expressible with pen and <sup>194</sup> paper, we used symbolic calculation software (Maple 2022) to disentangle the con-<sup>195</sup> ditions for two positive equilibria to coexist. To simplify things, we let

$$X = u(1 + \theta) - 1 \quad \text{and} \quad Y = \mathcal{R}_0^2 - 1.$$
 (10)

<sup>196</sup> This way,

$$A = (a-1)X$$
,  $B = -(X+Y)a-1$  and  $C = aY$ .

Since  $\mathcal{R}_0^2 < 1$ , Y < 0. Since a > 0, C < 0. The previous section showed that A < 0, a > 1, and therefore X < 0 are necessary conditions for two positive equilibria to coexist. We thus used the function "solve" in Maple to solve the following system of inequalities,

$$A < 0$$
,  $B > 0$ ,  $(2A + B) < 0$ ,  $B^2 - 4AC > 0$ ,  $Y < 0$ ,  $a > 1$ ,  $X < 0$ 

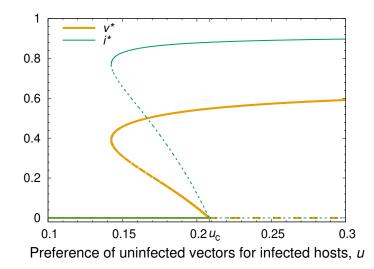


Figure 2: Bifurcation diagram of the non-spatial model (4). Stable (unstable) steady states are shown in solid (dashed) line. There is a backward bifurcation at  $u = u_c \approx 0.2083$  and a fold bifurcation at  $u \approx 0.1425$ . Other parameter values as in Fig. 1.

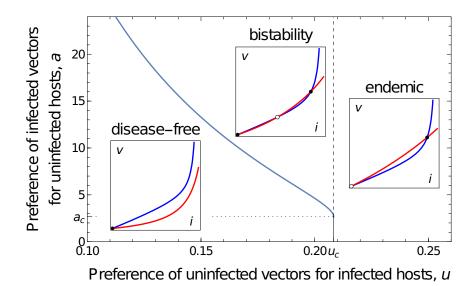


Figure 3: Two-parameter bifurcation diagram of the non-spatial model (4). The fold bifurcation where two endemic equilibria coalesce is shown in blue. The vertical line marks the transcritical bifurcation curve, which occurs at  $\mathcal{R}_0^2 = 1$ . When the vertical line is solid (dashed), there is a standard transcritical (backward) bifurcation. The fold and transcritical bifurcation curves meet at ( $u_c \approx 0.2083$ ,  $a_c \approx 2.6666$ ). The insets are nullcline examples of parameter values leading to different dynamical regimes. Other parameter values as in Fig. 1.

with respect to X, Y and a. Letting 201

$$h(Y,a) := \frac{Ya^2 - (2Y+1)a - 2\sqrt{-Ya^2(a-1)(Y+1)}}{a^2},$$

we obtained the following set of conditions: 202

• If 
$$1 < a < 2$$
,  
 $\frac{Ya+1}{a-2} < X < h(Y,a)$ , and  $Y > -\frac{a-1}{a}$ .  
• If  $a = 2$ ,  
 $X < -\frac{1}{a} - \sqrt{-Y(Y-1)} = h(Y,2)$ , and  $Y > -\frac{1}{a}$ .

$$X < -\frac{1}{2} - \sqrt{-Y(Y-1)} = h(Y,2)$$
, and  $Y > -\frac{1}{2}$ .

• If 
$$a > 2$$
:  

$$\begin{cases}
X < h(Y, a) & \text{if } Y > -\frac{1}{a}, \\
X < -4\frac{a-1}{a^2} = h\left(\frac{1}{a}, a\right) & \text{if } Y = -\frac{1}{a}, \\
\text{impossible} & \text{if } Y \in \left[-\frac{a-1}{a}, -\frac{1}{a}\right), \\
X < \frac{Ya+1}{a-2} & \text{if } Y < -\frac{a-1}{a}.
\end{cases}$$

This condition set allowed us to find parameter values for which bistability occurs; 205 see Figs. 1-3 for phase portraits, one-parameter, and two-parameter bifurcation di-206 agrams, respectively. 207

#### 3.6 Local and global asymptotic stability 208

The system being monotone cooperative, proving global asymptotic stability (GAS) 209 relies on local asymptotic stability (LAS) and the use of some appropriate theorems. 210 The Jacobian of system (4) is

$$J(i, v) = \begin{pmatrix} -\beta v \frac{a}{(a(1-i)+i)^2} - \rho & \beta \frac{a(1-i)}{a(1-i)+i} \\ \theta(1-v) \frac{u}{(ui+(1-i))^2} & -\theta \frac{ui}{ui+(1-i)} - 1 \end{pmatrix}.$$

Notice that J(i, v) is irreducible for all  $(i, v) \in [0, 1)^2$ . At equilibrium (0, 0), we have

$$J(0,0) = \begin{pmatrix} -\rho & \beta \\ \theta u & -1 \end{pmatrix},$$

from which we deduce that  $0 = (0, 0)^T$  is LAS when  $\mathcal{R}_0^2 = \beta \theta u / \rho < 1$  and unstable when  $\mathcal{R}_0^2 > 1$ .

<sup>213</sup> When  $\mathcal{R}_0^2 > 1$ , only one positive endemic equilibrium, *E*, exists in  $[0, 1]^2$ . Thus, <sup>214</sup> when  $\mathcal{R}_0^2 > 1$ , using Theorem 6 in Anguelov et al. (2012) (see also Smith, 2008), <sup>215</sup> with  $\mathbf{a} = (0, 0)$  and  $\mathbf{b} = (1, 1)$  such that  $f(\mathbf{b}) \le 0 \le f(\mathbf{a})$ , we deduce that the endemic <sup>216</sup> equilibrium *E* is GAS on  $[0, 1]^2$ . Similarly, when  $\mathcal{R}_0^2 < 1$ , in the case when no endemic <sup>217</sup> equilibrium exists, we can show, using the same approach, that 0 is GAS.

Assume  $\mathcal{R}_0^2 < 1$ . In the case where 0,  $E_1$ , and  $E_2$  co-exist such that  $0 \ll E_1 \ll E_2$ , we already know that 0 is LAS. We can check (at least numerically) that  $E_1$  is unstable and  $E_2$  is LAS. Following Smith (2008, Theorem 2.2.2), it is straightforward to show that the set  $\{y \in \mathbb{R}^2 : 0 \le y < E_1\}$  is in the basin of attraction of 0, while the set  $\{y \in \mathbb{R}^2 : E_1 < y \le 1\}$ , where  $1 = (1, 1)^T$ , is in the basin of attraction of  $E_2$  (Fig. 1B).

### **223 4** Back to the system with diffusion

<sup>224</sup> In this section, we get back to the system with diffusion, i.e., system (3).

#### **4.1** Existence and uniqueness of a solution

System (3), with non-negative initial conditions and appropriate boundary conditions, is a partly dissipative or a partially degenerate system. We consider the following spaces

$$\mathcal{S} = \left\{ (i, v) | v \in L^2(\mathbb{R}); i \in L^\infty(\mathbb{R}) \right\},\$$

and

$$S_{1,1} = \{(i, v) \in S | 0 \le v \le 1; 0 \le i \le 1\}$$

Following Rothe (1984, Theorem 1, page 111), or Rauch and Smoller (1978, Theorem 2.1), we can show local existence and uniqueness. Then, using a priori  $L^{\infty}$ 

estimates, the fact that the right-hand side of (3) is quasi-positive and the maximum

<sup>229</sup> principle lead to

**Theorem 1** (Existence and uniqueness). For any initial values  $(i_0, v_0) \in S_{1,1}$ , system (3) admits a unique non-negative bounded solution such that

$$i \in C([0,\infty); L^{\infty}(\mathbb{R})) \cap C^{1}([0,\infty); L^{\infty}(\mathbb{R}))$$

and

$$v \in C([0,\infty); L^{\infty}(\mathbb{R})) \cap C([0,\infty); H^{2}(\mathbb{R})) \cap C^{1}([0,\infty); L^{2}(\mathbb{R}))$$

Since the study of the non-spatial system showed us that, depending on parame ter values, it can be monostable or bistable, it seems relevant to study the existence
 (or non-existence) of travelling wave solutions.

#### 233 4.2 Monostable case

In this section, we assume  $\mathcal{R}_0^2 > 1$ . We know from the non-spatial system that the disease-free equilibrium 0 is unstable and the endemic equilibrium *E* is GAS. Does a travelling wave solution connecting 0 to *E* exist?

#### 237 4.2.1 Existence of a travelling wave

In the monostable case, the existence of a travelling wave should derive from the
fact that the system is cooperative (Li et al., 2005); the problem is that the system
is partially degenerate (Fang and Zhao, 2009; Li, 2012). However, for this situation
powerful theorems exist (Fang and Zhao, 2014; Li, 2012), see also Doli (2017).

Here, we will use Theorem 4.2 in Li (2012) for the following system:

$$\frac{\partial \mathbf{y}}{\partial t} = D \frac{\partial^2 \mathbf{y}}{\partial x^2} + \mathbf{f}(\mathbf{y}(t, x)),$$

with  $\mathbf{y} = (y_1(t, x), ..., y_k(t, x)), D = \text{diag}(d_1, ..., d_k) \ge 0$  and  $\mathbf{f} = (f_1, ..., f_k)$ . According to Li (2012), the following hypotheses have to be checked (Hypotheses 2.1 in Li, 244 2012):

1. There is a proper subset  $\Sigma_0$  of  $\{1, ..., k\}$  such that  $d_i = 0$  for  $i \in \Sigma_0$  and  $d_i > 0$ for  $i \notin \Sigma_0$ .

247 2.  $\mathbf{f}(0) = 0$ , there is a constant  $\gamma \gg 0$  such that  $\mathbf{f}(\gamma) = 0$  which is minimal in the 248 sense that there is no constant  $\nu$  other than  $\gamma$  such that  $\mathbf{f}(\nu) = 0$  and  $0 \ll \nu \ll \gamma$ , 249 and the equation  $\mathbf{f}(\alpha) = 0$  has a finite number of constant roots.

<sup>250</sup> 3. The system is cooperative.

4.  $\mathbf{f}(\alpha)$  is uniformly Lipschitz in  $\alpha$  such that there is  $\eta > 0$  such that for any  $\alpha_i$ ,  $i = 1, 2, ||(\alpha_1) - \mathbf{f}(\alpha_2)|| \le \eta ||\alpha_1 - \alpha_2||.$ 

<sup>253</sup> 5. **f** has the Jacobian  $\mathbf{f}'(0)$  at 0 with the property that  $\mathbf{f}'(0)$  has a positive eigen-<sup>254</sup> value whose eigenvector has positive components.

Assuming  $\mathcal{R}_0^2 > 1$ , and k = 2, it is straightforward to check the first three hypotheses for system (3), where  $\gamma = E$ . The fourth hypothesis requires long computations for  $a \neq 1$  and  $u \neq 1$  to be checked. Lastly, we have

$$\mathbf{f}'(0) = \left(\begin{array}{cc} -\rho & \beta \\ \theta u & -1 \end{array}\right).$$

Since  $\mathcal{R}_0^2 > 1$ , it is straightforward to show that  $\mathbf{f}'(0)$  has a positive eigenvalue,  $\lambda = \frac{1}{2} \left( \sqrt{(1-\rho)^2 + 4\rho \mathcal{R}_0^2} - (1+\rho) \right)$ , associated with the positive eigenvector  $\left( 1, \frac{\lambda + \rho}{\beta} \right)^T$ . Thus, according to Theorem 4.2 in Li (2012), we deduce the existence of a travelling wave connecting 0 to  $\gamma = E$ . See, for instance, Fig. 4.

#### **4.2.2 Derivation of the linear spreading speed**

Still assuming  $\mathcal{R}_0^2 > 1$ , we consider a travelling front connecting the disease-free 260 equilibrium, 0 to the endemic equilibrium, E. We posit that, in some circumstances, 261 the front speed is linearly determined by the minimum possible wave speed based 262 on the linearisation at the leading edge of the wave. We apply the minimum wave 263 speed approach (Lewis and Schmitz, 1996; Hadeler and Lewis, 2002; Bampfylde and 264 Lewis, 2007; Hilker and Lewis, 2010; Hamelin et al., 2022) to the linearised model to 265 find the linear spreading speed as a critical point. However, we stress that the linear 266 spreading speed may be only a lower bound of the actual spreading speed in some 267 cases (see Fig. 11 in Appendix B.2). 268

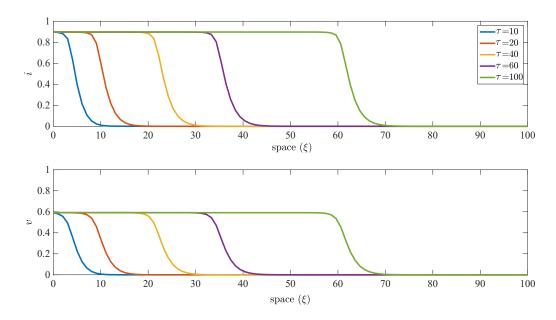


Figure 4: Monostable travelling wave solution of model (3) connecting the disease-free and endemic equilibria 0 and *E* when  $\mathcal{R}_0^2 = 1.44 > 1$ . Here u = 0.3, other parameter values as in Fig. 1.

At the leading edge of the front invading the disease-free equilibrium, *i* and  $\nu$ have small positive values. We linearise system (3) at the leading edge:

$$i_{\tau} = \beta v - \rho i,$$
  
$$v_{\tau} = \theta u i - v + v_{\xi\xi}.$$

<sup>271</sup> We are interested in travelling wave solutions such that

$$y = \begin{pmatrix} i \\ k \end{pmatrix} = k \exp(-s(\xi - c\tau)),$$

in which k is an implicit column vector, c is the linear wave speed, and s is the exponential decay rate of the wave profile at leading edge.

Plugging the previous expression in the system, we obtain

$$scy = \underbrace{\begin{bmatrix} -\rho & \beta \\ \theta u & -1 + s^2 \end{bmatrix}}_{M_s} y, \qquad (11)$$

275 which implies that

$$\det \underbrace{ \begin{bmatrix} -\rho - sc & \beta \\ \theta u & -1 + s^2 - sc \end{bmatrix}}_{M_s - sc \mathbb{I}} = 0,$$

<sup>276</sup> in which I is the identity matrix. This yields

$$0 = (-\rho - sc)(-1 + s^{2} - sc) - \theta u\beta,$$
  
=  $Fc^{2} + Gc + H,$  (12)

277 with

$$F = s^2$$
,  $G = s(\rho + 1 - s^2)$ ,  $H = \rho(1 - s^2) - \theta u\beta$ .

Next, we follow the approach of using Eq. (12) to calculate the minimum linear wave
speed as outlined in Hadeler and Lewis (2002).

The discriminant of the quadratic in Eq. (12) is

$$\begin{split} \Lambda &= G^2 - 4FH \,, \\ &= s^2 \left( \left( \rho + 1 - s^2 \right)^2 - 4\rho (1 - s^2) + 4\theta u\beta \right) \,, \\ &= s^2 \left( \left( \rho - 1 + s^2 \right)^2 + 4\theta u\beta \right) > 0 \,. \end{split}$$

Since  $\Lambda > 0$ , there are two real roots:

$$z = \frac{-G - \sqrt{\Lambda}}{2F}$$
 and  $c = \frac{-G + \sqrt{\Lambda}}{2F}$ .

First, we show that z < 0. If G > 0, then z < 0 since F > 0. Otherwise (if G < 0), then  $-G - \sqrt{\Lambda} > 0$  is equivalent to 0 > -4FH, which is impossible since H < 0 (this is because G < 0 implies  $1 - s^2 < 0$ ).

Second, we show that c > 0 for all s > 0. If G < 0, then c > 0 since F > 0. Otherwise (if G > 0), then  $-G + \sqrt{\Lambda} > 0 \Leftrightarrow \Lambda > G^2$  is equivalent to  $\mathcal{R}_0^2 > 1 - s^2$ , which is satisfied since we assume  $\mathcal{R}_0^2 > 1$  in this section.

The relevant root is therefore c. Since Eq. (12) only depends on three additional

parameters, *s*,  $\rho$  and  $\beta \theta u$ , we express *c* as a function of these parameters:

$$c(s,\rho,\beta\theta u) = \frac{-(\rho+1-s^2) + \sqrt{(\rho-1+s^2)^2 + 4\theta u\beta}}{2s}$$

Since *c* is a convex function of *s* (Appendix B.1),  $\lim_{s\to 0} c(s,\rho,\beta\theta u) = +\infty$ , and  $\lim_{s\to +\infty} c(s,\rho,\beta\theta u) = +\infty$ , there exists a minimum to *c* with respect to s > 0.

Equation (12) can also be written to include the dependency of *c* on *s*,  $\rho$ , and  $\beta \theta u$ as

$$P(c(s,\rho,\beta\theta u),s) := (-\rho - sc)(-1 + s^2 - sc) - \theta u\beta.$$

<sup>294</sup> Differentiating with respect to s, we have, for all s,

$$\frac{\mathrm{d}P}{\mathrm{d}s} = \frac{\partial P}{\partial c}\frac{\partial c}{\partial s} + \frac{\partial P}{\partial s} = 0.$$
(13)

<sup>295</sup> We are interested in the minimum possible linear wave speed. Let

$$s^*(\rho, \beta \theta u) = \arg\min_s c(s, \rho, \beta \theta u),$$

296 and

$$c^{*}(\rho, \beta \theta u) = c(s^{*}(\rho, \beta \theta u), \rho, \beta \theta u).$$

Since  $c^*$  is such that  $\partial c/\partial s = 0$ , Eq. (13) yields

$$\frac{\partial P}{\partial s}(c^*(\rho,\beta\theta u),s^*(\rho,\beta\theta u))=0.$$
(14)

Since *P* is cubic in *s*,  $\partial P/\partial s$  is quadratic in *s*. We are interested in the conditions on the coefficients that allow both polynomials to have a common root, *s*\*. They are given by cancelling the resultant of the two polynomials. Letting  $P = es^3 + fs^2 + gs + h$ yields  $\partial P/\partial s = 3es^2 + 2fs + g$ . The coefficients are identified as

$$e = -c$$
,  $f = c^2 - \rho$ ,  $g = (\rho + 1)c$ ,  $h = -\theta u\beta + \rho$ .

The resultant is  $r(e, f, g, h) = -e(f^2g^2 - 4eg^3 - 4f^3h + 18efgh - 27e^2h^2)$ , as described in Janson (2010, Eq. (4.3)). The equality r(e, f, g, h) = 0 can be equivalently

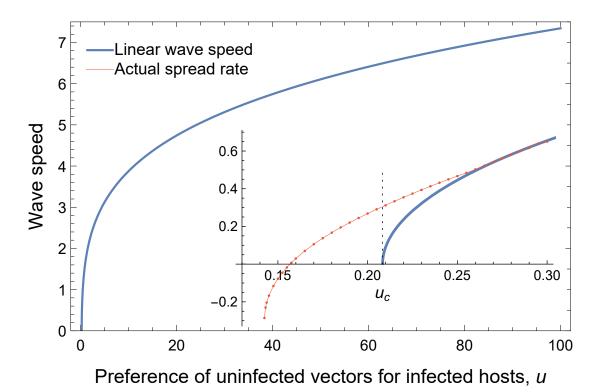


Figure 5: Linear speed of the monostable travelling wave as a function of u (the preference of uninfected vectors for infected hosts). The inset zooms on small values of u and compares the linear and actual (numerically computed) spreading speeds in model (3). The spreading speed is not linearly determined in the bistable case ( $u < u_c$ ) and in the monostable case ( $u > u_c$ ) for u close to  $u_c$ . However, the actual speed quickly converges to the linear speed as u increases. Other parameter values as in Fig. 1.

<sup>304</sup> expressed as a cubic with respect to  $c^2$ :

$$c_3(c^2)^3 + c_2(c^2)^2 + c_1(c^2)^1 + c_0 = 0, \qquad (15)$$

305 with

$$c_{3} = 4\beta\theta u + (\rho - 1)^{2},$$

$$c_{2} = 2\rho^{3} + 2\rho^{2} + (6\beta\theta u - 8)\rho + 18\theta u\beta + 4,$$

$$c_{1} = \rho^{4} + 8\rho^{3} - (6\beta\theta u + 8)\rho^{2} + 36u\rho\beta\theta - 27u^{2}\beta^{2}\theta^{2},$$

$$c_{0} = -4\rho^{3}(\beta\theta u - \rho) = -4\rho^{4}(\mathcal{R}_{0}^{2} - 1).$$

Since we assume  $R_0^2 > 1$ , we have that  $c_0$  is negative and  $c_3$  is positive, which means that we are in the same configuration as Hadeler and Lewis (2002). This implies that  $c^*(\rho, \beta \theta u)$  is uniquely defined as the square root of the largest root of the above cubic.

Although it is possible to write down the formula for the largest root of a cubic polynomial, we have no simple expression of  $c^*(\rho, \beta \theta u)$ . Figure 5 shows the minimum linear speed of the monostable travelling wave solution as a function of u, as obtained by solving the cubic equation (15).

#### 314 4.3 Bistable case

In this section, we assume  $\mathcal{R}_0^2 < 1$ .

#### **316 4.3.1** Existence of a travelling wave

To show the existence of a bistable travelling wave solution, we will consider Theorem 4.2 in Fang and Zhao (2009). We have to verify that assumption (L):  $f \in C^1(\mathbb{R}^2, \mathbb{R}^2)$  satisfies the following conditions:

1. 
$$f(0) = f(E_2) = f(E_1) = 0$$
, with  $0 \ll E_1 \ll E_2$ . There is no  $\eta$  other than 0,  $E_1$  and  $E_2$ 

such that 
$$f(\eta) = 0$$
, with  $0 \le \eta \le E_2$ 

322 2. System (3) is cooperative.

3.  $y \equiv 0$  and  $y \equiv E_2$  are stable while  $y \equiv E_1$  is unstable, that is

$$\lambda_0 := s(f'(0)) < 0, \quad \lambda_{E_2} := s(f'(E_2)) < 0, \quad \lambda_{E_1} = s(f'(E_1)) > 0.$$

4. f'(0),  $f'(E_1)$ , and  $f'(E_2)$  are irreducible.

Assuming that assumption (L) holds, then according to Theorem 4.2 in Fang and Zhao (2009), system (3) admits a monotone wavefront (U, c) with  $U(-\infty) = 0$  and  $U(+\infty) = E_2$ .

Since  $\mathcal{R}_0^2 < 1$ , two positive endemic equilibria,  $E_1$  and  $E_2$ , exist. Equilibrium  $E_1$  is unstable while  $E_2$  is LAS. Thanks to the results obtained in Section 3, it is straightforward to check that assumption (L) holds and to conclude that a travelling wave solution connecting 0 and  $E_2$  exists. See, for instance, Fig. 6.

In Fig. 7, we show that for u sufficiently small, the sign of the spreading speed can change. Thus, in the bistable case, for a given  $a \gg 1$ , there exist  $u^{\dagger}$  and  $u^{*,\dagger}$ such that for  $u^{\dagger} < u < u^{*}$  the disease travelling wave moves forward, while for

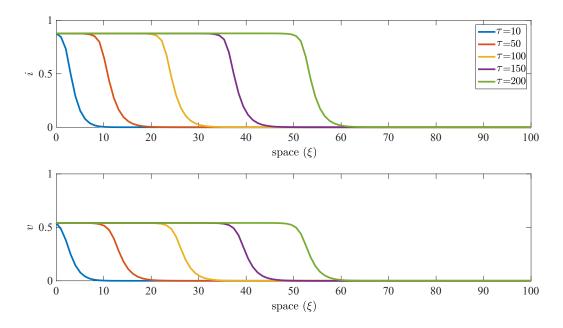


Figure 6: Bistable travelling wave solution of model (3) connecting the disease-free and endemic equilibria 0 and  $E_2$  when  $\mathcal{R}_0^2 = 0.96 < 1$ . Here u = 0.2, other parameter values as in Fig. 1. The disease is invading,  $c^* > 0$ .

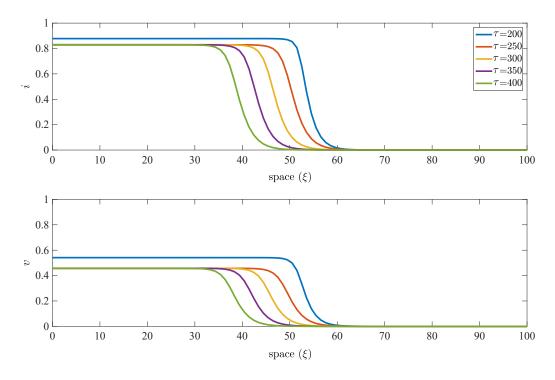


Figure 7: Bistable travelling wave solution of model (3) connecting the disease-free and endemic equilibria 0 and  $E_2$  when  $\mathcal{R}_0^2 = 0.72 < 1$ . Here u = 0.15, other parameter values as in Fig. 1. Starting at t = 200 with the solution from Fig. 6 as initial condition, the spread is reversing,  $c^* < 0$ . This shows that a small variation of the parameter u (switching from u = 0.2 in Fig. 6 to u = 0.15 in this figure) can make the spreading speed switch from positive to negative. This is why the equilibrium prevalences decrease compared to initial conditions (at t = 200).

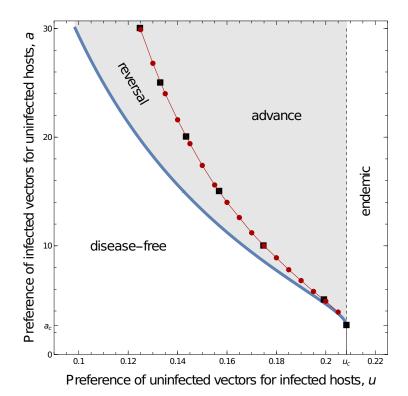


Figure 8: Within the bistable parameter domain (light grey), travelling waves connecting the diseasefree and endemic equilibria can reverse or advance. The parameter domains of reversal and advance are separated by a curve corresponding to stalled waves with zero wave speed. Here, the zero-wave speed curve is obtained, on the one hand, by numerical integration of the PDE system (3) with  $\rho =$  $1, \beta = 2.4, \theta = 2$  and identifying parameter values to result in zero wave speed, accurate to at least the third decimal place (grey squares). On the other hand, the zero wave speed curve was indicated by Eq. (25) in Appendix B.2 using a quasi-steady-state assumption (red points). Other curves as in Fig. 3.

 $u^{*,\dagger} < u < u^{\dagger}$  the disease travelling wave moves backward. When  $u < u^{*,\dagger}$  then the system converges to 0.

#### **336 4.3.2 Quasi-steady-state approximation**

For the case of a quasi-steady-state approximation (QSSA) (see Appendix B.2 for details), we can gain more information on the parameter domain for which the travelling wave moves forward or backward. The direction is given by the expression (25) in Appendix B.2.

Figure 8 marks the boundary between wave advancement and reversal by red dots. This boundary corresponds to stalled traveling waves with speed zero. The QSSA results match very well with the zero wave speeds in the original system without a quasi-steady-state approximation (dark grey squares). This match may be particularly surprising because we have chosen a time scale parameter of  $\rho = 1$  for the simulations, while the QSSA is based on the assumption  $\rho \gg 1$ . However, Fig. 9

in Appendix B.2 suggests that the wave speed approximations do not deviate much from the exact solutions for small spreading speeds and  $\rho \ge 1$ . This behaviour might explain why the QSSA correctly locates the c = 0 curve in Fig. 8.

In the monostable case, the QSSA allows us to derive an explicit expression for the linear wave speed (see Eq. (23) in Appendix B.2), which is simply  $c^* = 2\sqrt{\mathcal{R}_0^2 - 1}$ . However, the linear spreading speed is only a lower bound of the actual spreading speed in somes cases (Fig. 11).

#### 354 **5 Discussion**

We have shown that conditional vector preferences may result in bistability between the disease-free equilibrium and an endemic equilibrium. The novelty compared to Gandon (2018) and Cunniffe et al. (2021) is that bistability here occurs in the absence of any epidemiological feedback on vector population dynamics.

<sup>359</sup> More specifically, we have shown that conditional vector preferences can cause a <sup>360</sup> "backward bifurcation" (Fig. 2), meaning that  $\mathcal{R}_0^2 < 1$  is not a sufficient condition for <sup>361</sup> the disease to go extinct (e.g., Hadeler and van den Driessche, 1997).

#### 362 5.1 Bistability conditions

We have shown that for bistability to occur, the following necessary conditions must 363 be satisfied:  $\mathcal{R}_0^2 < 1$ , a > 1 and  $u < u^* < 1$ . The first condition ( $\mathcal{R}_0^2 < 1$ ) means that 364 the basic reproductive number of the pathogen is not large enough for the pathogen 365 to invade a disease-free population. Hence, the disease-free equilibrium is locally 366 stable. However, if the prevalence of the infection is initially high, and if infected 367 vectors have a sufficiently strong preference for uninfected hosts ( $\alpha > 1$ ), we have 368 shown that the pathogen may persist in the population (an endemic equilibrium 369 is locally stable as well) even though  $\mathcal{R}_0^2 < 1$ . These two conditions (a > 1 and 370  $\mathcal{R}_0^2 < 1$ ) are not too surprising. The third condition (implying u < 1) is less intuitive. 371 To interpret it, we recall that  $\mathcal{R}_0^2$  is proportional to u. If  $\mathcal{R}_0^2 < 1$  in spite of u > 1372 (uninfected vectors prefer infected hosts, which is advantageous for the pathogen), 373 this means that the pathogen has poor reproductive abilities. Therefore, even if 374 the prevalence of the infection is initially high, the pathogen still goes extinct. By 375

<sup>376</sup> contrast, if  $\mathcal{R}_0^2 < 1$  while u < 1 (uninfected vectors prefer uninfected hosts), it may <sup>377</sup> be that the pathogen has strong enough reproductive abilities not to go extinct when <sup>378</sup> its prevalence is initially high, and infected vectors prefer uninfected hosts (a > 1).

#### 379 5.2 Travelling waves

#### 380 5.2.1 Monostable case

In the monostable case ( $\mathcal{R}^2_0 > 1$ ), the disease invades the spatial domain. We have 381 shown that the linear spreading speed depends only on  $\rho$  and  $\beta \theta u$ , meaning that it 382 does not depend on a, the preference of infected vectors for uninfected hosts. The 383 interpretation is the same as for the basic reproductive number,  $\mathcal{R}_0^2 = \beta \theta u / \rho$ , which 384 does not depend on a either (Roosien et al., 2013; Gandon, 2018; Cunniffe et al., 385 2021). In a situation close to the disease-free equilibrium, like at the leading edge 386 of the front, there are so few infected hosts that the preference of infected vectors 387 for uninfected hosts has a negligible effect on the dynamics. However, even in the 388 monostable case, the spreading speed may not be linearly determined (Fig. 5), im-389 plying that it may depend on  $\alpha$  (Fig. 12). This is due to the fact that disease spread 390 is not driven by the leading edge of the invasion front ("pulled wave"). Instead, the 391 disease invasion is driven by the whole of the front ("pushed wave") (Stokes, 1976; 392 Lewis and Kareiva, 1993). In particular, the disease spread may be maximum for 393 intermediate prevalences because of the conditional preferences (similar to weak 394 and strong Allee effects where population growth is strongest at intermediate densi-395 ties). By contrast, dynamics of pulled waves are independent from the nonlinearities 396 behind the leading edge of the front. 397

#### 398 5.2.2 Bistable case

In the bistable case (requiring  $\mathcal{R}_0^2 < 1$ ), the disease either invades or retreats, depending on parameter values. More specifically, travelling waves may have negative speeds, meaning that the disease retreats.

In an epidemiological context, such a "front reversal" has been shown to occur when host population dynamics in the absence of disease are bistable, due, for instance, to a strong Allee effect in the host (Hilker et al., 2005, 2007). However,

to our knowledge, such a phenomenon has seldom (Bocharov et al., 2016) been
 shown to occur when bistability is due solely to the epidemiological dynamics.

#### 407 5.3 Biological implications

Although conditional vector preferences might occur in human and animal diseases,
 they have so far been shown mainly in plant diseases (Gandon, 2018). Therefore,
 we now discuss plant diseases more specifically.

Plant diseases are a main threat to global food security (Ristaino et al., 2021). 411 Many plant diseases are caused by pathogens (viruses, bacteria and others) that 412 are transmitted by insect vectors such as aphids, whiteflies, and others (Eigenbrode 413 et al., 2018). Infected vectors can be attracted to uninfected plants. This is for 414 instance the case for aphids, Rhopalosiphum padi, infected by the Barley yellow 415 dwarf virus (BYDV) (Ingwell et al., 2012). In his review of evidence for conditional 416 vector preferences, Gandon (2018) identified the volatile compounds emitted by 417 infected plants as an attraction mechanism for (uninfected) vectors. For instance, 418 plants infected by the Cucumber mosaic virus (CMV) or the Tomato chlorosis virus 419 (ToCV) produce volatiles that attract aphids or whiteflies (Fereres et al., 2016). Note 420 also that vectors can be attracted to infected plants by visual cues, such as, for 421 instance, yellow leaves. 422

Since the basic reproductive number of the pathogen ( $\mathcal{R}_0^2$ ) is proportional to u423 (the preference of uninfected vectors for infected hosts), a plant variety that emits 424 fewer volatiles could be considered resistant to disease. When visual cues are re-425 sponsible for vector preferences, a plant variety that expresses fewer symptoms, 426 and is therefore less attractive to uninfected vectors, could also be considered resis-427 tant. Deployment of such resistant hosts might make it possible to obtain  $\mathcal{R}_0^2 < 1$ . 428 We have shown that  $u \ge 1$  (a preference of uninfected vectors for infected hosts) 429 ensures disease extinction in this case ( $\mathcal{R}_0^2 < 1$ ), since bistability requires u < 1. 430 This means that breeding for varieties that emit, when infected, a concentration 431 of volatiles that is lower than that of standard varieties is a possible strategy for 432 the control of vector-borne diseases (in combination with other strategies such as 433 roquing - i.e., removing - infected plants, for instance). 434

#### 435 5.4 Mathematical prospects

An alternative for modelling vector preference could be density-dependent advec-436 tion (in analogy to preytaxis, this could perhaps be called "hosttaxis"). It has been 437 shown that preytaxis in the presence of disease, where predators are attracted to or 438 repelled by infected prey, can speed up or even lead to irregularly fluctuating travel-439 ling waves (Bate and Hilker, 2019). While Chamchod and Britton (2011) considered 440 a "hosttaxis" term in their model, it was only a vector bias towards infected hosts, 441 regardless of whether the vector carries the pathogen or not. Modelling conditional 442 vector preferences with a hosttaxis term is beyond the scope of this paper and is left 443 for future research. 444

Acknowledgments: FMHa and YD gratefully acknowledge partial funding from the 445 MODCOV19 CNRS platform. FMHa's visit in La Réunion (3P, Saint-Pierre) was partly 446 supported by the European Agricultural Fund for Rural Development (EAFRD) within 447 the DPP "Santé&Biodiversité" framework. YD is (partially) supported by the DST/NRF 448 SARChI Chair in Mathematical Models and Methods in Biosciences and Bioengineer-449 ing at the University of Pretoria, South Africa (Grant 82770). YD acknowledges the 450 support of the Conseil Régional de la Réunion (France), the Conseil Départemental de 451 la Réunion (France), the European Agricultural Fund for Rural Development (EAFRD) 452 and the Centre de Coopération Internationale en Recherche Agronomique pour le 453 Développement (CIRAD), France. The authors thank the reviewers for their insightful 454 comments and helpful suggestions. 455

456

### 457 A Side results on the non-spatial model

458 A.1 Case  $\mathcal{R}_0^2 = 1$  (boundary case)

459 If  $\mathcal{R}_0^2 = 1$ , or equivalently

 $u = \frac{\rho}{\beta \theta},$ 

then C = 0 and  $i^* = -B/A$ . Using the above expression of u yields

$$i^* = 1 - \frac{1}{(a-1)\left(\frac{\beta\theta}{(1+\theta)\rho} - 1\right)}$$

If a = 1, the endemic equilibrium does not exist. In what follows, we assume  $a \neq 1$ . Let the fraction of susceptible hosts at equilibrium be

$$s^* = \frac{1}{(a-1)\left(\frac{\beta\theta}{(1+\theta)\rho} - 1\right)}$$

462 We have:

$$s^* > 0 \Leftrightarrow \begin{cases} \frac{\beta\theta}{(1+\theta)\rho} > 1 & \text{if } a > 1, \\ \frac{\beta\theta}{(1+\theta)\rho} < 1 & \text{if } a < 1. \end{cases}$$

<sup>463</sup> Assuming  $s^* > 0$ ,  $s^* < 1$  is equivalent to

$$(a-1)\left(\frac{\beta\theta}{(1+\theta)\rho}-1\right)>1.$$

<sup>464</sup> Two cases can then be distinguished:

• If a > 1,  $s^* < 1$  is equivalent to

$$\frac{\beta\theta}{(1+\theta)\rho} - 1 > \frac{1}{a-1} \Leftrightarrow \frac{\beta\theta}{(1+\theta)\rho} > \frac{a}{a-1}$$

• If a < 1,  $s^* < 1$  is equivalent to

$$\left(1-\frac{\beta\theta}{(1+\theta)\rho}\right)(1-a) > 1 \Leftrightarrow 1-\frac{\beta\theta}{(1+\theta)\rho} > \frac{1}{1-a} \Leftrightarrow -\frac{\beta\theta}{(1+\theta)\rho} > \frac{a}{1-a}$$

467 which is impossible.

<sup>468</sup> Therefore,  $0 < s^* < 1$  if and only if

$$a > 1$$
 and  $\frac{\beta\theta}{(1+\theta)\rho} > \frac{a}{a-1} > 1$ . (16)

469 **A.2** Case  $\mathcal{R}_0^2 > 1$ 

To derive the expression of the endemic equilibrium, we consider three cases: a = 1, 0 < a < 1 and a > 1.

472 **Case** a = 1. If a = 1, then A = 0 and

$$i^* = -\frac{C}{B}.$$

473 Since  $\mathcal{R}_0^2 > 1$ ,

$$B=-\left((1+\theta)u+\mathcal{R}_0^2-1\right)<0\,.$$

474 Therefore,

$$i^* = \frac{\mathcal{R}_0^2 - 1}{(1 + \theta)u + \mathcal{R}_0^2 - 1}.$$

- 475 We have  $0 < i^* < 1$ .
- 476 **Case**  $0 \le a < 1$ . Since

$$B = -\left(\left((1+\theta)u + \mathcal{R}_0^2 - 1\right)a + (1-a)\right),$$

- we deduce that B < 0. Then, we have three sub-cases to consider:
- If  $u > u^*$ , then A < 0. The relevant root is therefore the largest:

$$\frac{1}{2A}\left(-B-\sqrt{\Delta}\right),$$

since the other root is negative.

• If  $u = u^*$ , A = 0. We obtain  $i^* = \frac{\mathcal{R}_0^2 - 1}{\mathcal{R}_0^2 - 1}$ 

$$i^* = \frac{\mathcal{R}_0^2 - 1}{\mathcal{R}_0^2 - 1 + \frac{1}{a}}.$$
(17)

481 We have  $0 < i^* < 1$ .

• If  $u < u^*$ , then A > 0. The relevant root is therefore the smallest:

$$\frac{1}{2A}\left(-B-\sqrt{\Delta}\right),\,$$

since both roots are positive.

<sup>484</sup> **Case** a > 1. We again distinguish three sub-cases:

Table 1: The component  $i^*$  of the endemic equilibrium in the specific case  $\mathcal{R}_0^2 > 1$ , expressed as a function of A, B, C and  $\Delta = B^2 - 4AC$ .

	0 < <i>a</i> < 1	a = 1	<i>a</i> > 1
<i>u</i> < <i>u</i> *	$\frac{1}{2A}(-B-\sqrt{\Delta})$	$-\frac{C}{B}$	$\frac{1}{2A}(-B-\sqrt{\Delta})$
$u = u^*$	$-\frac{C}{B}$	$-\frac{\overline{C}}{B}$	$-\frac{C}{B}$
$u > u^*$	$\frac{1}{2A}(-B-\sqrt{\Delta})$	$-\frac{C}{B}$	$\frac{1}{2A}(-B-\sqrt{\Delta})$

• If  $u > u^*$ , then A > 0 and B < 0. The relevant root is therefore the smallest:

$$\frac{1}{2A}\left(-B-\sqrt{\Delta}\right)$$

since both roots are positive.

- If  $u = u^*$ , A = 0. We again find expression (17).
- If  $u < u^*$ , then A < 0. The relevant root is therefore the largest:

$$\frac{1}{2A}\left(-B-\sqrt{\Delta}\right),$$

since the other root is negative.

<sup>490</sup> These results are summarized in Tab. 1.

491

### 492 **A.3** Case $\mathcal{R}_0^2 < 1$

We here focus on the necessary condition  $\Delta = B^2 - AC > 0$  for the existence of two endemic equilibria in the case  $\mathcal{R}_0^2 < 1$ . Using the notations  $X = u(1 + \theta) - 1$  and  $Y = \mathcal{R}_0^2 - 1$  (Eq. (10)) yields the following expression of  $\Delta$  as a quadratic function of *a*:

$$\Delta = (X - Y)^2 a^2 + 2(X(1 + Y) + Y(1 + X))a + 1.$$

<sup>497</sup> Since  $(X - Y)^2 > 0$ , this parabola has a U-shape. We also have  $\Delta(0) = 1 > 0$ . There-<sup>498</sup> fore, either there are two positive roots,  $a_c^-$  and  $a_c^+$ , or there are none. In the latter <sup>499</sup> case,  $\Delta > 0$  regardless of the value of a. In case there are two roots, the largest one,

$$a_c^+ = \frac{-((X(1+Y)+Y(1+X))+2\sqrt{XY(1+X)(1+Y)})}{(X-Y)^2},$$

500 can also be expressed as

$$a_{c}^{+} = \left(\frac{\sqrt{-X(1+Y)} + \sqrt{-Y(1+X)}}{X-Y}\right)^{2}$$

Similarly,  $a_c^-$  can be expressed as

$$a_{c}^{-} = \left(\frac{\sqrt{-X(1+Y)} - \sqrt{-Y(1+X)}}{X-Y}\right)^{2}$$

Since  $1 + X = u(1 + \theta) > 0$ ,  $1 + Y = \mathcal{R}_0^2 > 0$ , and  $Y = \mathcal{R}_0^2 - 1 < 0$ , the existence of two conjugate roots requires  $X = u(1 + \theta) - 1 < 0$ , or equivalently  $u < u^*$ .

We now focus on the condition  $a > a_c^+$  (implying  $\Delta > 0$ ) since it happens to coincide with the separatrix we numerically obtained in the parameter space (Fig. 3). Let us express  $a_c^+$  as a function of the original parameters:

$$a_{c}^{+} = \left(\frac{\sqrt{-(u(1+\theta)-1)\mathcal{R}_{0}^{2}} + \sqrt{-(\mathcal{R}_{0}^{2}-1)u(1+\theta)}}{u(1+\theta)-\mathcal{R}_{0}^{2}}\right)^{2},$$
(18)

507 or equivalently:

$$a_{c}^{+}(u) := \left(\frac{\sqrt{\left(1 - \frac{u}{u^{*}}\right)\mathcal{R}_{0}^{2}} + \sqrt{\left(1 - \mathcal{R}_{0}^{2}\right)\frac{u}{u^{*}}}}{\frac{\mathcal{R}_{0}^{2}}{u^{*}}(u_{c} - u^{*})}\right)^{2}$$

Assuming  $a_c^+(u)$  is defined for all  $u \in [0, u_c]$  implies  $u_c < u^*$ . In particular, since  $u_c$  is such that  $\mathcal{R}_0^2 = 1$ , we have

$$a_c^+(u_c) = \frac{1}{1 - \frac{u_c}{u^*}} =: a_c \,. \tag{19}$$

The condition  $a > a_c$  (implying a > 1) is equivalent to the condition we obtained for the existence of an endemic equilibrium in the boundary case  $\mathcal{R}_0^2 = 1$ , see Eq. (16). This means that in Fig. 3, the line  $\mathcal{R}_0^2 = 1$  and the separatrix between the "diseasefree" and "bistability" regions meet at the point  $(u_c, a_c)$ .

514

### **515 B** Side results on the spatial model

516

#### **517 B.1 Existence of a minimum linear spreading speed**

The function of the form  $\xi \mapsto \exp(-\xi s)y$  is a solution of system (3) linearised around the disease-free equilibrium if and only if  $scy = M_s y$ , in which

$$M_{s} = \left( \begin{array}{cc} -\rho & \beta \\ \\ u\theta & -1 + s^{2} \end{array} \right)$$

see Eq. (11). Since  $M_s$  is irreducible and, for all s > 0, essentially non-negative, the Perron-Frobenius theorem provides the existence of a unique eigenvalue  $\kappa_s$  of  $M_s$  associated to a positive eigenvector (Crooks, 1996)[Theorem 1.4]. Therefore,  $sc = \kappa_s$ . Since  $c = \kappa_s/s$  is the dominant eigenvalue of  $\frac{1}{s}M_s$ , c is a convex function of s(Cohen, 1981).

#### 525 B.2 Quasi-steady-state approximation

In this section, we make a quasi-steady-state approximation to reduce our model to
 a single dimension (similarly to Hamelin et al., 2016).

528 Model (3) can be equivalently expressed as:

$$\frac{1}{\rho}i_{\tau} = \frac{\beta}{\rho}v\frac{a(1-i)}{a(1-i)+i} - i,$$

$$v_{\tau} = \theta(1-v)\frac{ui}{ui+1-i} - v + v_{\xi\xi}.$$
(20)

We consider the case where the infected vector removal rate (m + l) is much lower than the removal rate of infected hosts r, so  $\rho = r/(m + l) \gg 1$ . This might happen in plant viruses if roguing occurs frequently relative to the vector lifespan  $(r \gg m)$ , and the virus is persistent in the vector (l = 0).

<sup>533</sup> We apply the quasi-steady-state approximation to the first equation of (20) to <sup>534</sup> yield the fraction of infected hosts *i* directly in terms of the fraction of infected vec-<sup>535</sup> tors  $\nu$  as

$$0 < i^{\sharp}(v) := \frac{\left(\frac{\beta}{\rho}v + 1\right)a - \sqrt{\left(\left(\frac{\beta}{\rho}v - 1\right)^{2}a + 4\frac{\beta}{\rho}v\right)a}}{2(a-1)} < 1.$$

<sup>536</sup> (It can be easily shown that the other root is greater than unity.)

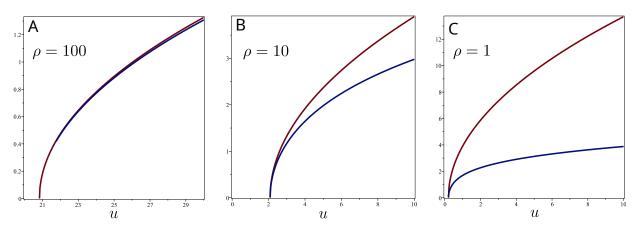


Figure 9: Exact linear spreading speed ( $c^*$ , in red), as given by numerically solving Eq. (15), and approximated linear spreading speed ( $c^*$ , in blue), as given by Eq. (23) in the monostable case. Our quasi-steady-state-approximation (QSSA) assumes  $\rho \gg 1$ . It is therefore unsurprising that the QSSA performs badly when  $\rho \leq 10$ . However, the approximation does not seem to deviate much from the exact solution for small spreading speeds and  $\rho \geq 1$ . This might explain why the QSSA correctly locates the c = 0 curve in Fig. 8. Here,  $\beta = 2.4\rho$ , other parameter values as in Fig. 1.

537 This yields

$$\nu_t \approx \theta(1-\nu) \frac{ui^{*}(\nu)}{ui^{*}(\nu) + 1 - i^{*}(\nu)} - \nu + \nu_{\xi\xi} =: W(\nu) + \nu_{\xi\xi}.$$
(21)

538

#### 539 **B.2.1** Monostable case ( $\mathcal{R}_0^2 > 1$ )

It is useful to notice that in the monostable case  $(\mathcal{R}_0^2 > 1), W(0) = 0, W(v^*) = 0$ , and W(v) > 0 for all  $v \in (0, v^*)$ . It is well known that if

$$\frac{W(v)}{v} < W'(0) \text{ for all } v \in (0, v^*),$$
 (22)

the spreading speed of the wave is linearly determined (Stokes, 1976; Lewis and Kareiva, 1993):

$$c^* = 2\sqrt{W'(0)} = 2\sqrt{\frac{\beta}{\rho}\theta u - 1} = 2\sqrt{\mathcal{R}_0^2 - 1}.$$
 (23)

544

Fig. 9 compares the linear speed under the QSSA (Eq. (21)) with the exact linear spreading speed given by Eq. (15). The QSSA performs well for large values of  $\rho$ , but performs increasingly badly for smaller values of  $\rho$  that do not meet the assumption  $\rho \gg 1$  behind the QSSA.

Note, however, that if condition (22) is not satisfied, the spreading speed may

not be linearly determined. A sufficient condition for condition (22) not to hold is W''(0) > 0. We have

$$W''(0) = -\frac{2\frac{\beta}{\rho}u\theta\left((1+(u-1)a)\frac{\beta}{\rho}+a\right)}{a}$$

and so W''(0) > 0 is equivalent to

$$u < \frac{\frac{\beta}{\rho}(a-1)-a}{a\frac{\beta}{\rho}}$$

553 Or equivalently,

$$(u-1)\frac{\beta}{\rho} + 1 < 0 \text{ and } a > \frac{\frac{\beta}{\rho}}{-((u-1)\frac{\beta}{\rho} + 1)} =: \tilde{a}(u).$$
 (24)

554 We also have

$$\tilde{a}(u_c) = \frac{\frac{\beta}{\rho}}{\frac{\beta}{\rho} - \frac{1}{\theta} - 1} = \frac{1}{1 - \frac{u_c}{u^*}} = a_c$$

see Eq. (19). This means that the curve separating pulled waves (linear speed) with pushed waves (nonlinear speed) in the parameter plane "originates" at  $(u_c, a_c)$ (Fig. 10).

558

568

### 559 **B.2.2** Bistable case ( $\mathcal{R}_0^2 < 1$ )

In the bistable case ( $\mathcal{R}_0^2 < 1$ ), the wave speed is not linearly determined. However, it is well known (Fife and McLeod, 1977) that

$$\operatorname{sign}(c^*) = \operatorname{sign}\left(\int_0^{v_2^*} W(v) dv\right), \qquad (25)$$

where  $v_2^*$  is the stable nontrivial equilibrium of (21). Hence, the travelling wave has positive (negative) speed when the net area between the growth dynamics W(v)of the approximated system (21) and the horizontal axis in the range between the disease-free state and the stable endemic state is positive (negative, respectively). Figure 11 compares the linear spreading speed with the actual (numerically computed) spreading speed under the QSSA. It shows that in the bistable case ( $u < u_c$ ),

the spreading speed can be either negative or positive. In the monostable case

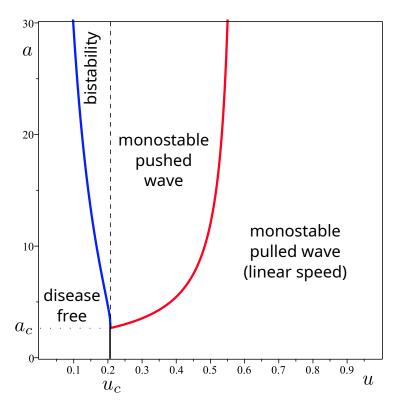


Figure 10: Two-parameter bifurcation analysis under the quasi-steady-state approximation (QSSA), i.e., model (21). The black line connecting  $(u_c, 0)$  to  $(u_c, a_c)$  is the boundary between the monostable case with linear speed and the disease-free region. The blue line separates the disease-free region from the bistability region, as given by Eq. (18). The red line separates the monostable/pushed wave from the monostable/pulled wave (linear speed) region, as given by Eq. (24). Note that Eq. (24) only depends on  $\beta/\rho$ , while Eq. (18) only depends on  $\theta$  and  $\beta/\rho$  through  $\mathcal{R}_0^2 = \beta \theta u/\rho$ . Parameter values:  $\theta = 2$  and  $\beta/\rho = 2.4$ . Note, however, that  $\rho$  must be much greater than 1 for the QSSA to hold.

 $(u > u_c)$ , the actual spreading speed significantly deviates from the linear speed for u close to  $u_c$ , but the actual speed converges to the linear speed as u increases. Figure 12 shows that the actual spreading does not depend on a when it is well approximated by the linear speed (for u > 0.3), while it increasingly depends on a as u decreases from u = 0.3. The dependency is greater in the bistable case (u = 0.15) than in the monostable pushed case (u = 0.2). As expected, the spreading speed is non-decreasing with a.

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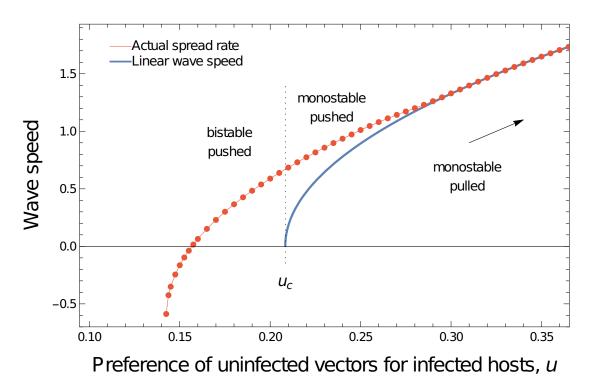


Figure 11: Comparing the linear wave speed, given by equation (23), with the actual (numerically computed) wave speed under the QSSA, i.e., model (21). Here,  $\rho = 100$ ,  $\beta = 2.4\rho$ , other parameter values as in Fig. 1.

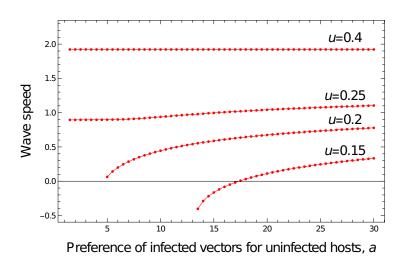


Figure 12: Shows whether and how the actual (numerically computed) spreading speed depends on *a*. This is for the QSSA, i.e., model (21), but the results are very similar in the original model (3), for  $\rho = 1$ . Here,  $\rho = 100$ ,  $\beta = 2.4\rho$ , other parameter values as in Fig. 1.

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