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# Validation of the clinical utility of microRNA as noninvasive biomarkers of cardiac allograft rejection: A prospective longitudinal multicenter study

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### **KEYWORDS:**

heart transplantation;; allograft rejection;; noninvasive biomarker;; endomyocardial biopsy.

### List of abbreviations:

ACR, acute cellular rejection; AMR, antibody-mediated rejection; EMB, endomyocardial biopsy; miR, micro-RNA; OR, odds ratio

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While studies have shown an association between microRNAs and cardiac rejection, the clinical relevance of a pre-identified miRNA signature as a noninvasive biomarker has never been assessed in prospective multicentric unselected cohorts. To address this unmet need, we designed a prospective study (NCT02672683) including recipients from 11 centers between August 2016 to March 2018. The objective was to validate the association between 3 previously identified circulating microRNA (10a, 92a, 155) and the histopathological diagnosis of rejection. Both relative and absolute (sensitivity analysis) quantifications of microRNAs were performed. Overall, 461 patients were included (831 biopsies, 79 rejections). A per-protocol interim analysis (258 biopsies, 49 rejections) did not find any association between microRNA and rejection (microRNA 10a: odds ratio (OR) = 1.05, 95% confidence intervals (CI) = 0.87-1.27, p = 0.61; 92a: OR = 0.98, 95%CI = 0.87-1.10, p = 0.68; 155: OR = 0.91, 95%CI = 0.76-1.10, p = 0.33). These results were confirmed in the sensitivity analysis. The analysis of the remaining sera was stopped for futility. This study shows no clinical utility of circulating microRNAs 10a, 92a, and 155 monitoring in heart allograft recipients

Circulating microRNAs (miR) have been identified as potential valuable noninvasive biomarkers of cardiac allograft rejection that may help clinicians minimize the number of protocol endomyocardial biopsies (EMB).1 However, the majority of literature is based on retrospective cross-sectional studies at risk of bias.2-5 The prospective validation of an miR rejection signature in independent cohorts is therefore crucial. In a multicenter case—control study, we previously identified an miR signature of rejection with differential tissue and serum expression between rejecting and normal heart allografts.6 The assessment of circulating miR permitted discrimination with notably high accuracy between patients with and without allograft rejection. We designed a longitudinal prospective multicenter observational study aimed at validating this circulating miR signature of cardiac rejection (Non-Invasive Detection of Cardiac Allograft Rejection by Circulating microRNAs, NCT02672683).

Patients were recruited from 11 transplant centers across the country following written informed consent for a protocol approved by our local institutional review board (Ile de France IV, IRB number = 00003835, protocol 201554NICB). Our study complies with the ISHLT ethics statement. The primary objective was to prospectively validate the association between 3 previously identified circulating miR and the histopathological diagnosis of rejection on concomitant EMB. The rejection was defined as an acute cellular rejection (ACR)  $\geq$  2R and/or an antibody-mediated rejection (AMR)  $\geq$  pAMR1 according to international guidelines.7,8 Patients were included from August 2016 to March 2018 and followed during 1 year.

The inclusion criteria were adult heart transplant recipient with < 10 post-transplant years undergoing EMB. The exclusion criterion was multiorgan transplantation. The sample size was calculated based on an estimation of a 33% differential expression of circulating miR between rejection and no rejection, with a 0.05 significance level and 0.90 power and considering a rejection rate of 7% (n = 820). Sera samples were collected just before the EMB (i) for all for-cause biopsies; (ii) at 1-, 3-, 6-, and 12- months post- transplant for de-novo transplant recipients; and (iii) during annual visits for recipients transplanted after 1 year. miR 10a, miR 92a, and miR 155 were measured in triplicate in sera. Relative quantification of the miR of interest was performed by normalizing miR copy numbers using cel- miR-39 to obtain  $\Delta$ Ct values, as previously described.6  $\Delta\Delta$ Ct was then obtained by subtracting the average of  $\Delta$ Ct to each  $\Delta$ Ct values. Finally, we determined fold values for each sample (2<sup>(- $\Delta\Delta$ Ct)</sup>). Sensitivity analysis was performed with an absolute quantification analysis of the miR copy numbers using miR mimics standard dilution curves (Supplementary Methods).9 We followed the STROBE statement checklist for the report of observational cohort studies.10 The selection of sera for interim analyses is de-scribed in the Supplementary Methods. The association between circulating miR expression and rejection was tested using a 3-level mixed-effect logistic regression with a random intercept (random effects: "subject-level" nested in the "center-level," fixed effects: patient gender, integration method = adaptive Gauss-Hermite quadrature, number of integration points = 7) to account for the clustering of patients within a center and the clustering of biopsies from the same subject. The receiveroperating characteristics were drawn with calculations of the area under the curve and 95% confidence intervals (CI). We used STATA/MP (version 17.0) for all analyses and considered p-values below 0.05 to be significant. All the tests were two tailed.

A total of 461 patients were included representing 831 EMB, mostly protocol biopsies (n = 773, 93.0%). The baseline clinical characteristics at inclusion are described in Table 1. The median time between heart transplantation and EMB was 8 months (interquartile range: 3-16 months). A total of 79 rejection episodes were diagnosed, including 25 ACR  $\geq$  2 R and 56 AMR  $\geq$  pAMR1 (Supplementary Table 1). In a per-protocol interim analysis based on a subset of 258 EMB from 204 patients and including 49 rejection episodes (ACR  $\geq$  2R = 17, pAMR1(H+) = 14, pAMR1(I +) = 9, pAMR2 = 11), no association between the relative expression of any circulating miR and rejection was found (miR 10a: OR = 1.05, 95%CI = 0.87-1.27, p = 0.61; miR 92a: OR = 0.98, 95%CI = 0.87-1.10, p = 0.68; miR 155: OR = 0.91, 95%CI = 0.76-1.10, p = 0.33; Figures 1 and 2A)

The sensitivity analysis using an absolute quantification of circulating miR on a subset of 191 EMB from 163 patients and including 74 rejection episodes (ACR  $\geq$  2R = 23, pAMR1(H+) = 25, pAMR1(I+) = 12, pAMR2 = 16) confirmed the lack of association between circulating miR and rejection (miR 10a: OR = 1.05, 95%CI = 0.98-1.11, p = 0.18; p = ; miR 92a: OR = 1.00, 95%CI = 0.999-1.001, p = 0.60, miR 155: OR = 1.00, 95%CI = 0.999-1.002, p = 0.07; Figures 1 and 2B). The a posteriori power calculation to detect a 33% differential expression of circulating miR between rejection and no rejection was 0.75 and 0.77 for the main and sensitivity analysis, respectively. Defining allograft rejection differently or considering

separately ACR and AMR did not have any impact on our results (Supplementary Figures S1-S4). The analysis of the remaining sera was then stopped for futility.

Sex (female)—no. (%)  Body mass index (kg/m²)  Etiology of heart failure—no. (%)  Dilated  Ischemic  Congenital  Redo heart transplantation  Others  Positive CMV serology—no. (%)  Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  3:  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)		Overall cohor
Sex (female)—no. (%)  Body mass index (kg/m²)  Etiology of heart failure—no. (%)  Dilated  Ischemic  Congenital  Redo heart transplantation  Others  Positive CMV serology—no. (%)  Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		
Body mass index (kg/m²)  Etiology of heart failure—no. (%)  Dilated Ischemic Congenital Redo heart transplantation Others  Positive CMV serology—no. (%)  Donor characteristics Age (years) Sex (female)—no. (%)  Traumatic Cerebrovascular Other  Positive CMV serology—no. (%)  Traumatic Cerebrovascular Other  Positive CMV serology—no. (%)  Transplant characteristics Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab	7	48.9 ± 12.6
Etiology of heart failure—no. (%)  Dilated Ischemic Congenital Redo heart transplantation Others  Positive CMV serology—no. (%)  Donor characteristics Age (years)  Sex (female)—no. (%) Cause of death—no. (%)  Traumatic Cerebrovascular Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab	0	96 (20.9)
Dilated Ischemic Congenital Redo heart transplantation Others Positive CMV serology—no. (%) Donor characteristics Age (years) Sex (female)—no. (%) Cause of death—no. (%) Traumatic Cerebrovascular Other Positive CMV serology—no. (%) Iransplant characteristics Sex mismatch (female D, male R)—no. (%) Ischemic time (minutes) HLA A/B/DR/DQ mismatch (n) Anti-HLA DSA at day 0 ≥ 500 MFI—no. (%) Induction therapy—no. (%) Antithymocyte globulines Basiliximab	5	25.9 ± 14.4
Ischemic Congenital Redo heart transplantation Others Positive CMV serology—no. (%)  Donor characteristics Age (years) Sex (female)—no. (%) Cause of death—no. (%) Traumatic Cerebrovascular Other Positive CMV serology—no. (%) Transplant characteristics Sex mismatch (female D, male R)—no. (%) Ischemic time (minutes) HLA A/B/DR/DQ mismatch (n) Anti-HLA DSA at day 0 ≥ 500 MFI—no. (%) Induction therapy—no. (%) Antithymocyte globulines Basiliximab	5	
Congenital Redo heart transplantation Others  Positive CMV serology—no. (%)  Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic Cerebrovascular Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		168 (41.5)
Redo heart transplantation Others  Positive CMV serology—no. (%)  Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		142 (35.1)
Others  Positive CMV serology—no. (%) 30  Donor characteristics  Age (years) 33  Sex (female)—no. (%) 33  Cause of death—no. (%) 33  Traumatic Cerebrovascular Other  Positive CMV serology—no. (%) 33  Transplant characteristics  Sex mismatch (female D, male 8)—no. (%)  Ischemic time (minutes) 44  HLA A/B/DR/DQ mismatch (n) 33  Anti-HLA DSA at day 0 ≥ 500 33  MFI—no. (%)  Induction therapy—no. (%) 33  Antithymocyte globulines  Basiliximab		20 (4.9)
Positive CMV serology—no. (%)  Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		4 (1.0)
Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		71 (17.5)
Donor characteristics  Age (years)  Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab	3	234 (59.5)
Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		
Sex (female)—no. (%)  Cause of death—no. (%)  Traumatic  Cerebrovascular  Other  Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male  R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab	0	43.4 ± 13.7
Cause of death—no. (%)  Traumatic Cerebrovascular Other  Positive CMV serology—no. (%)  Transplant characteristics Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab	0	
Traumatic Cerebrovascular Other  Positive CMV serology—no. (%)  Transplant characteristics Sex mismatch (female D, male R)—no. (%) Ischemic time (minutes) HLA A/B/DR/DQ mismatch (n) Anti-HLA DSA at day 0 ≥ 500 MFI—no. (%) Induction therapy—no. (%) Antithymocyte globulines Basiliximab	0	The transfer and the
Other  Positive CMV serology—no. (%) 3:  Transplant characteristics  Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes) 4:  HLA A/B/DR/DQ mismatch (n) 3:  Anti-HLA DSA at day 0 ≥ 500 3:  MFI—no. (%)  Induction therapy—no. (%) 3:  Antithymocyte globulines  Basiliximab		127 (33.4)
Positive CMV serology—no. (%)  Transplant characteristics  Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		167 (44.0)
Transplant characteristics  Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab		86 (22.6)
Transplant characteristics  Sex mismatch (female D, male R)—no. (%)  Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%)  Induction therapy—no. (%)  Antithymocyte globulines  Basiliximab	5	164 (42.6)
Sex mismatch (female D, male R)—no. (%) Ischemic time (minutes) HLA A/B/DR/DQ mismatch (n) Anti-HLA DSA at day 0 ≥ 500 MFI—no. (%) Induction therapy—no. (%) Antithymocyte globulines Basiliximab		70 70 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
R)—no. (%) Ischemic time (minutes)  HLA A/B/DR/DQ mismatch (n)  Anti-HLA DSA at day 0 ≥ 500  MFI—no. (%) Induction therapy—no. (%)  Antithymocyte globulines Basiliximab	0	85 (22.4)
Ischemic time (minutes) 44 HLA A/B/DR/DQ mismatch (n) 33 Anti-HLA DSA at day 0 ≥ 500 3 MFI—no. (%) Induction therapy—no. (%) 33 Antithymocyte globulines Basiliximab		
HLA A/B/DR/DQ mismatch (n) 3: Anti-HLA DSA at day 0 ≥ 500 3: MFI—no. (%) Induction therapy—no. (%) 3: Antithymocyte globulines Basiliximab	2	191 ± 56
Anti-HLA DSA at day 0 ≥ 500 3 MFI—no. (%) Induction therapy—no. (%) 3: Antithymocyte globulines Basiliximab	0	5.8 ± 1.5
MFI—no. (%) Induction therapy—no. (%) Antithymocyte globulines Basiliximab	7	
Induction therapy—no. (%) 3: Antithymocyte globulines Basiliximab		
Antithymocyte globulines Basiliximab	4	410 (98.8)
Basiliximab		288 (88.9)
Routine immunosuppressive		36 (11.1)
		,
regimen—no. (%)		
	8	324 (93.1)
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The state of the s	4	
The first of the f	4	0 (0)
	4	363 (99.7)

intensity; R, recipient.

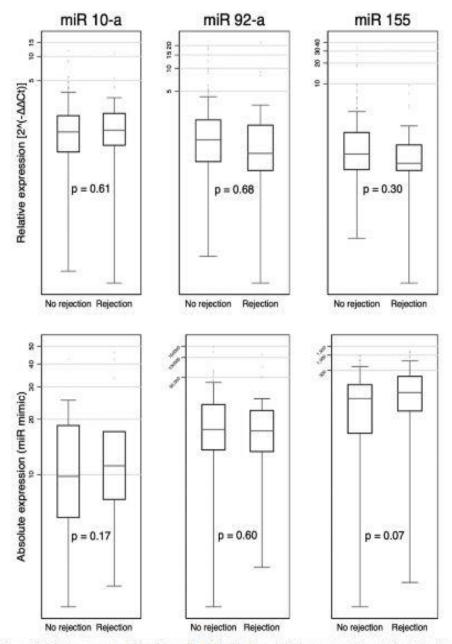


Figure 1 Relative and absolute expression of circulating microRNA 10a, 92a, and 155 according to the rejection status. Upper panel: relative quantification. Lower panel: absolute quantification. Rejection was defined as an acute cellular rejection ≥2R and/or an antibody-mediated rejection ≥ pAMR1. The expression of circulating microRNA did not significantly differ between rejection and no-rejection groups. miR, microRNA.

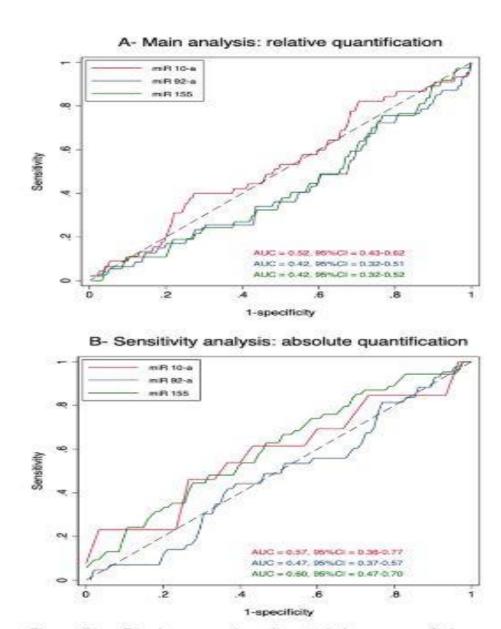


Figure 2 Receiver operating characteristics curves of circulating microRNA expression to detect concomitant biopsy-proven rejection. (A) Relative quantification and (B) absolute quantification. Rejection was defined as an acute cellular rejection ≥2R and/or an antibody-mediated rejection ≥ pAMR1. The expression of circulating micro-RNA did not discriminate rejecting patients from nonrejecting patients. miR, microRNA.

In this large prospective cohort of unselected patients, the previously identified circulating miR signature of cardiac rejection was not validated. First, this absence of prospective validation may reflect important bias inherent to retrospective case—control studies (limited sample size, selection bias). Second, an important issue limiting the clinical application of miR is the high variability of the miR signature identified across studies (miR-144-3p,2 miR-181a-5p,3 miR-142-3p, and miR-101-3p,5 miR-29c-3p, and miR-486-5p4) and a drop in discrimination when externally validating an miR rejection signature.1 Among the 39 miR associated with rejection in the largest prospective study published, only 1 has been found to

be associated with rejection in previous studies.1 Third, the normalization step using an endogenous miR expression may induce measurement bias since there is no consensus in the literature regarding the best normalization miR. However, even an absolute quantification of miR failed to find any relevant association. Finally, our analysis was restricted to 3 circulating miR. An analysis of a larger number of miR may have identified stronger and more stable associations required for the external validation process, as in a recent large prospective study performed without any a priori selection of miR of interest.1 However, our aim was to prospectively validate a previously identified rejection signature.

This large prospective longitudinal multicenter study showed no clinical utility of circulating miR 10a, 92a, and 155 monitoring in heart allograft recipients.

### **Author contributions (ICJME)**

GC and MR contributed to the acquisition of data and analysis, drafted the work, approved the final version, and agreed to be accountable for all aspects of the work. GB, RG, FR, KB, EE, AS, SP, AB, and AG contributed to the acquisition of data, revised the manuscript critically, approved the final version, and agreed to be accountable for all aspects of the work. FT contributed to the acquisition of data and all technical aspects of the study, approved the final version, and agreed to be accountable for all aspects of the work. PB contributed to the conception, the design and interpretation, approved the final version, and agreed to be accountable for all aspects of the work. XJ, AL, and JPVDH contributed to the conception, the design and interpretation, revised the manuscript critically for important intellectual content, approved the final version, and agreed to be accountable for all aspects of the work.

### Disclosure statement

The authors of this manuscript have no conflicts of interest to disclose as described by the Journal of Heart and Lung

### Transplantation.

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### Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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