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### ► To cite this version:

B. Qaddah, Laurent Soucasse, Frédéric Doumenc, Sophie Mergui, Philippe Riviere, et al.. Coupled heat and mass transfer in shallow caves: interactions between turbulent convection, gas radiative transfer and moisture transport. International Journal of Thermal Sciences, 2023, 194, pp.108556. 10.1016/j.ijthermalsci.2023.108556 . hal-04172286

## HAL Id: hal-04172286 https://hal.science/hal-04172286v1

Submitted on 27 Jul 2023

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## Highlights

### Coupled heat and mass transfer in shallow caves: interactions between turbulent convection, gas radiative transfer and moisture transport

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- The thermal behavior of a shallow cave presents strong similarities with the simpler academic configuration of the differentially heated cavity.
- Gas radiation increases the flow circulation in the cavity through wall–gas radiative exchanges and significantly modifies the wall radiative flux.
- In the energy balance at the walls, the radiative flux overcomes the conductive flux. However, the addition of conduction and latent heat fluxes, both driven by convection, prevails over radiation in some regions of the cavity.
- The region of the cavity roof at the shortest distance from the ground undergoes the maximum heat and mass fluxes, with condensation resulting in limestone dissolution.

# Coupled heat and mass transfer in shallow caves: interactions between turbulent convection, gas radiative transfer and moisture transport

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#### Abstract

Understanding and predicting the microclimate of shallow caves is a key issue for the conservation of parietal art. In order to determine the dominant mechanisms of heat transfer in a configuration close to that of painted caves, we performed numerical simulations of a parallelepiped cavity whose dimensions and depth are of the order of 10 m. This simple geometry allowed us to use a detailed model including turbulent natural convection, gas radiation, along with vapor transport and latent heat fluxes resulting from condensation and evaporation on the walls.

Gas radiation increases the flow circulation in the cavity through wall–gas radiative exchanges and significantly modifies the wall radiative flux. Conversely, the wall conductive flux remains unchanged (a non trivial behavior reported in the literature about the differentially heated cavity). In the energy balance at the walls, the radiative flux overcomes the conductive flux. However, the addition of conduction and latent heat fluxes, both driven by convection, prevails over radiation in some regions of the cavity.

Heat and mass fluxes are maximum in areas of the cavity roof where the distance from the ground is the shortest. Due to the asymmetry induced by the inversion of the vertical temperature gradient twice a year, net condensation resulting in limestone dissolution is expected in these areas, whereas

Preprint submitted to International Journal of Thermal Sciences

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the other regions of the cave undergo net evaporation resulting in limestone deposition. The orders of magnitude of the condensation flux (a few microns per day) and of the retreat velocity of the wall (a few tenth of a micron per year) are in line with the field data available in the literature.

*Keywords:* Shallow caves, Heat and mass transfer, Turbulent natural convection, Gas radiation, Condensation, Corrosion

#### 1 1. Introduction

Predicting cave microclimate is essential in many fields as diverse as pale-2 oclimate reconstruction [1], tunneling [2], or the study of subterranean fauna 3 and flora [3]. This is also a critical issue for the conservation of cave paint-4 ings. Indeed, the exceptional state of conservation of parietal prehistoric 5 paintings is mainly due to the high stability of cave microclimate. However, 6 cave microclimate can be disturbed in various ways. A visitor influx results in a sudden increase of temperature, carbon dioxide  $(CO_2)$  concentration 8 and humidity [4, 5, 6]. Cave modifications required to accommodate visitors q (artificial ventilation [5], creation of a large entrance [7], removal of a detritic 10 scree acting as a thermal buffer [8]) may increase heat and mass exchanges 11 with the external environment. The potential consequences of these distur-12 bances are manifold. The microbiological balance can be disturbed, resulting 13 in the formation of stains on the walls [9].  $CO_2$  fluctuations may result in 14 the corrosion of limestone walls by dissolution of calcium carbonate ( $CaCO_3$ ) 15 or, conversely, deposition of CaCO<sub>3</sub> films. CaCO<sub>3</sub> dissolution or deposition 16 can also be triggered by condensation and evaporation [10, 11, 12]. More-17 over, condensation is at the core of other issues. First, it can increase the 18 risk of growth of unwanted microbiological species as fungi [13, 14]. Sec-19 ond, the possibility to form verniculations by water condensation was re-20 cently demonstrated through laboratory experiments [15]. Verniculations 21 are natural patterns commonly observed on cave walls [16]. The formation 22 of vermiculations in painted caves triggers the migration of painting pigments 23 along the wall [17], resulting in serious damages to the paintings [18]. 24

A deep understanding of heat transfer within the cave and between the cave and the external environment is thus required for a rational management of painted caves and to achieve proper remediation when it becomes necessary (e.g., by designing and building artificial thermal buffers [8]). In this article we focus on closed shallow caves, i.e., caves with negligible air ex-

changes with the external environment and located at depths ranging from 30 a few meters to 20 m. Many painted caves fall in this category, including the 31 famous Lascaux Cave in France [7] and Altamira Cave in Spain [19]. For 32 such caves, heat transfer between the ground level and the cave is mainly 33 due to heat conduction [20, 19], while natural convection and infrared ra-34 diation occur inside the cave. In the range of depth considered here, daily 35 temperature fluctuations are completely damped by heat diffusion in the rock 36 layer between the ground level and the cave, but yearly fluctuations are still 37 measurable. Due to the complex geometry of the cave and depth variations 38 all along the cave, spatial temperature differences at a given time are also 39 expected. For instance, the amplitude of the yearly temperature variations 40 in the Hall of Bull in Lascaux Cave is of the order of a few tenth of de-41 grees [21, 22]. The spatial temperature variations at a given time inside the 42 cave are of the same order [23]. 43

Such temperature variations might seem very small. However, in a cave 44 of 5 m height with a temperature difference of 0.1 K between the floor and 45 the roof, the Rayleigh number is of the order of  $10^9$ , value at which turbulent 46 natural convection is expected. This was confirmed through the resolution 47 of Navier–Stokes equations based on large eddy simulations (LES) [24], a 48 method which allows the accurate prediction of the turbulent flow structure. 49 In this recent work, we considered the case of a parallelepiped cavity whose 50 size and depth roughly mimic the dimensions of the Hall of Bull in Lascaux 51 Cave. Assuming that the cave atmosphere was dry (no release of latent heat) 52 and transparent to the infrared radiation, we compared the wall convective 53 and radiative heat fluxes. We showed that, with the assumptions mentioned 54 above, wall-to-wall radiation was the dominant mechanism. But the real 55 situation is further complicated by two factors typical of cave atmospheres. 56

 In weakly ventilated caves, the natural infiltration of water through the pores and the fissures of the rock results in relative humidity close to 100% [19, 8]. Under these conditions, small temperature differences are sufficient to produce significant evaporation and condensation fluxes, resulting in latent heat release on the walls and vapor transfer from the hot to the cold spots of the cave.

2. The amount of CO<sub>2</sub> in soils is controlled by plant roots, microorganisms respiration and organic matter decomposition [25]. The CO<sub>2</sub> is
transferred from soils to caves in gaseous form or after dissolution in
water. Whereas the molar fraction of CO<sub>2</sub> in the atmosphere is ap-

proximately 0.04%, it can reach several percent in caves [21]. Due to 67 the presence of saturated water vapor and  $CO_2$ , the cave atmosphere 68 should probably not be considered as transparent for the infrared ra-69 diation. Indeed, the emission and absorption of radiation affect the 70 temperature of a radiating fluid, which in turn controls the buoyant 71 motion. Gas radiation has been shown to significantly interact with 72 convection in various configurations including plumes generated by a 73 localized heat source [26], the Rayleigh–Bénard cell [27] or the dif-74 ferentially heated cavity [28, 29]. Among the academic configurations 75 studied in the literature, the latter is the closest to ours. It consists 76 of two vertical, parallel, isothermal walls surrounded by four adiabatic 77 walls. Soucasse et al [30, 31] and Kogawa et al [32, 33] simulated the 78 turbulent natural convection coupled to wall and gas radiation in a dif-79 ferentially heated cubical cavity. The cavity was filled with a mixture 80 of air, water vapor and  $CO_2$ . These authors observed that wall-gas 81 radiative exchanges induced a thickening of vertical boundary layers 82 resulting in a strong intensification of the global circulation in the cav-83 ity and an increase of the turbulence level. However, conductive fluxes 84 through the walls were little modified by gas radiation. Soucasse *et al* 85 worked at Rayleigh numbers ranging from  $Ra = 3 \times 10^7$  to  $3 \times 10^9$ . 86 The averaged transmissivity based on the cavity size and weighted by 87 the Planck function at room temperature was equal to 0.83. The study 88 of Kogawa et al [32, 33] was performed at Rayleigh number  $1.5 \times 10^9$ 89 with different concentrations of water vapor and  $CO_2$  corresponding to 90 transmissivities of 0.89 and 0.64. They found results similar to those of 91 Soucasse *et al* (enhancement of the global circulation with little effect 92 on the wall conductive fluxes). 93

The temperature field inside a cave thus results from a complex interplay 94 between heat conduction in the surrounding rock and, inside the cave, turbu-95 lent natural convection resulting in heat and mass convective transfer, heat 96 conduction and latent heat release on the walls, and radiative transfer be-97 tween the cave walls and between the cave walls and the cave atmosphere. All 98 of these mechanisms are strongly coupled. The main objective of the present 99 article is to assess their relative significance, determine those that are dom-100 inant, and those that can be neglected with no significant loss of accuracy. 101 For this purpose, we rely on the same approach as already implemented in 102 ref. [24], but we now consider that the cave atmosphere is humid and radiant. 103



Figure 1: a) General geometry of the rock mass (not at scale). b) Detailed diagram of the cavity, with the definition of the axes. Red, yellow, green and blue lines correspond to the left upper  $(Z = 0 \text{ and } X = L_X)$ , left bottom (Z = 0 and X = 0), right upper  $(Z = L_Z)$  and  $X = L_X$  and right bottom  $(Z = L_Z)$  and X = 0 edges, respectively

Numerical simulations are performed in the simplified case of a parallelepiped 104 cavity, which allows the use of an accurate mathematical model in which the 105 coupling between radiative transfer and convective heat and mass transports 106 inside the cavity are strictly implemented. This approach provides the orders 107 of magnitude of the various heat and mass fluxes through the cave walls, in-108 cluding the time and location of condensation events. Simplifications to be 109 made in future models of closed shallow caves are deduced from these results. 110 The article is organized as follows. The problem statement and the phys-111 ical assumptions used in the model are detailed in Sec. 2. The governing 112 equations and the numerical methods are presented in sections 3 and 4. Sec-113 tion 5 describes the effects of the solutal buoyancy and the gas radiation on 114 the flow pattern. The consequences on heat and mass fluxes through the 115 cavity walls are reported in Sec. 6. 116

#### 117 2. Problem statement

#### <sup>118</sup> 2.1. Geometry and boundary conditions

We consider the same confined parallelepiped cavity as in our previous work [24]. The cavity is embedded in the rock mass displayed in Fig. 1a. The ground surface is inclined at 10° from the horizontal direction. Its tem<sup>122</sup> perature follows the external temperature

$$T_{ex}(t) = T_m + A\cos\left(2\pi\frac{t}{\tau}\right), \qquad (1)$$

where  $\tau = 1$  year is the period,  $T_m = 12^{\circ}$ C is the annual average external 123 temperature and  $A = 8^{\circ}$ C is the amplitude of the temperature variations 124 (these values of  $T_m$  and A are typical of the climate conditions in south-west 125 of France). As we only consider the periodic regime, we arbitrarily assume 126 that the initial time corresponds to the hottest temperature of the year that 127 takes place in July. The other external boundaries of the rock mass are all 128 adiabatic. The left upper edge of the cavity is located at a depth of  $7.3 \,\mathrm{m}$ . 129 The cave dimensions are the height  $L_X = 5.3 \,\mathrm{m}$ , the width  $L_Y = 7 \,\mathrm{m}$  and the 130 length  $L_Z = 17$  m. The axes X, Y and Z are displayed in Fig. 1b. g = -gX131 is the gravitational acceleration field. 132

Ideally, we should consider a 1-year periodic problem including heat con-133 duction in the rock fully coupled with radiation and turbulent natural convec-134 tion inside the cave. However, a one-year simulation of the Navier-Stokes 135 equations in the turbulent regime is not practicable with current compu-136 tational resources. In our previous work [24], this difficulty was overcome 137 by defining a large-scale model including heat conduction in the rock and 138 radiative transfer between the cavity walls, but disregarding natural convec-139 tion inside the cavity. Solving the 1-year periodic regime of this large-scale 140 model yielded temperature fields of the cavity walls throughout the year (see 141 the results for May and November in Fig. 2). In a second step, these wall 142 temperature fields at six selected times of the year were used as boundary 143 conditions to solve the natural convection problem inside the cavity (Cheby-144 shev pseudo-spectral method associated to large eddy simulations (LES) 145 with a spectral vanishing viscosity (SVV) model). The Rayleigh number 146  $Ra = g\beta_T \Delta T L_X^3/(\alpha \nu)$  was based on the difference  $\Delta T$  between the maxi-147 mum and the minimum wall temperatures (with g the gravity acceleration, 148  $\beta_T$  the thermal expansion coefficient,  $\alpha$  the thermal diffusivity and  $\nu$  the 149 kinematic viscosity). Ra ranged from  $2.1 \times 10^9$  (in August and February) 150 to  $8.4 \times 10^9$  (in May and November). Two flow regimes were identified. A 151 one-cell flow regime with a large level of turbulence and an isothermal core 152 (strong thermal mixing) was observed when the vertical gradient was nega-153 tive (roof colder than the floor). This happened in March, May and August. 154 Conversely, a multiple-cell flow regime with a lower turbulence level and a 155 thermal stratification in the core was observed when the vertical gradient 156



Figure 2: Wall temperature fields  $T - T_m$  computed from the large-scale model for May and November (from ref. [24]). The left panel corresponds to the top  $(X = L_X)$ , left (Z = 0) and front  $(Y = L_Y)$  cave walls. The right panel corresponds to the bottom (X = 0), right  $(Z = L_Z)$  and rear (Y = 0) cave walls. The difference  $\Delta T$  between the maximum and the minimum wall temperatures is 0.492 K in both cases.

was positive, in September, November and February. In both regimes, heat 157 transfer at the cavity walls was dominated by the radiative flux. This a pos-158 teriori justified that natural convection was neglected in the determination of 159 the thermal boundary conditions at the cave walls by the large–scale model. 160 We follow the same approach in the present work to determine the effect 161 of vapor transfer and gas radiation. We assume that the large-scale model 162 provides realistic temperature distributions on cave walls, and we use them 163 as boundary conditions. The natural convection problem, including heat and 164 mass transfer coupled to radiation, is solved by LES with the SVV model. 165 We focus on May and November, both months associated with the largest 166 temperature gradients, as typical of the one-cell flow regime and the multiple-167 cell flow regime, respectively. The physical assumptions needed to model the 168 mass transfer and the gas radiation are detailed in sections 2.2 and 2.3 below. 169

#### 170 2.2. Mass transfer, condensation and evaporation

The density of the cave atmosphere mainly depends on three parameters: 171 the temperature, the water vapor concentration and the  $CO_2$  concentration. 172 Therefore, the gradient of each of these three quantities may contribute to the 173 buoyancy that drives natural convection. However, the dynamics of the  $CO_2$ 174 is an intricate problem. Several mechanisms with different time scales may be 175 responsible for the transfer of  $CO_2$  from the soil to the cave [34, 23]. As a first 176 step, uniform  $CO_2$  concentration will be considered in the present work. We 177 thus assume that the buoyancy is only due to the gradients of temperature 178 and water vapor concentration. The resulting thermal and solutal buoyant 179 forces add up since the water vapor content increases with temperature and 180 water vapor is lighter than dry air. The relative contributions of these two 181 factors will be assessed. 182

We investigate the case of cave walls entirely covered with a thin wa-183 ter film whose origin may be percolation and/or condensation. In general, 184 condensation may also occur in the gas phase, resulting in the formation 185 of clouds. Clouds have been observed in caves, in certain configurations 186 (e.g., the mixing of two air streams at different temperatures [35]). However, 187 aerosols acting as nucleation points are necessary to initiate the formation of 188 droplets. The presence of such aerosols in caves is strongly correlated to the 189 ventilation and the visitor disruptions [36]. In weakly ventilated caves with 190 a reduced number of visitors, the presence of significant aerosols lasting on 191 long times is unlikely. We thus disregard condensation in the gas phase, and 192 we assume that evaporation and condensation take place on the walls only. 193

The high dilution of the water vapor in the cave atmosphere allows to 194 simplify the derivation of the condensation/evaporation flux at the cave 195 wall. Indeed, the saturated vapor pressure  $P_{sat} \simeq 14.03$  mbar at temperature 196  $T_m = 12^{\circ}$ C [22] and the atmospheric pressure  $P_{atm} \simeq 1013$  mbar yield the 197 water vapor molar fraction  $x_w \simeq P_{sat}(T_m)/P_{atm} \simeq 0.014$ , much lower than 198 1. In such a situation, the condensation or evaporation fluxes are driven by 199 the diffusion of the dilute vapor through the non-condensable gases (air and 200  $CO_2$  in our case). The kinetic resistance at the liquid–gas interface can be 201 neglected compared to the transfer resistance of the solutal boundary layer 202 in the gas phase, and the local thermodynamic equilibrium is assumed at 203 the liquid–gas interface (see for instance Ref. [37] for a detailed discussion on 204 the physical mechanisms driving evaporation or condensation). Therefore, 205 the water vapor is always saturated at the walls, and can be slightly under-206 saturated or supersaturated in the core of the gas phase. In the simulation 207

results, the volume-averaged absolute value of the deviation from saturation was always lower than  $10^{-3}$ .

#### 210 2.3. Radiative properties of the cave atmosphere

With the assumption of a clean atmosphere, there is no scattering due 211 to suspended particles. The radiative properties of the cave atmosphere 212 thus reduce to the absorption coefficient  $\kappa_{\nu}$ , which depends on the radiation 213 wavenumber  $\nu$  and the atmosphere composition. Fig. 3 shows the absorption 214 spectrum of air mixed with  $CO_2$  and water vapor at molar fractions  $x_{CO_2} =$ 215 0.02 and  $x_w = 0.014$ , respectively. The temperature is the yearly averaged 216 atmospheric temperature  $T_m = 285.15 \,\mathrm{K}$  and the total pressure is  $P_{atm} =$ 217 1 atm. The molar fraction of water vapor corresponds to saturated vapor at 218 temperature  $T_m$ . The absorption spectrum was calculated from a line by line 219 approach using the HITRAN database [38]. 220

The significance of gas radiation compared to wall radiation can be estimated from the transmissivity  $\tau_g$  defined as

$$\tau_g = \frac{\pi}{4\sigma_{SB}T_m^3} \int_{\nu} \exp(-\kappa_{\nu}\ell) \frac{dI_{\nu}^0}{dT} (T_m) d\nu , \qquad (2)$$

where  $\sigma_{SB}$  and  $I^0_{\nu}(T_m)$  are the Stefan-Boltzmann constant and the Planck function, respectively. The transmissivity  $\tau_g$  is the net radiative flux exchanged by two black walls through an optical path of mean beam length  $\ell$ , scaled by the net radiative flux through a transparent medium (the radiative transfer temperature dependence has been linearized). The relative contribution of gas radiation compared to surface-to-surface radiation is expected to be negligible for  $\tau_g \simeq 1$  and dominant for  $\tau_g \ll 1$ .

 $\tau_q$  is displayed in Fig. 4 for the three values  $x_{\rm CO_2} = 0,0.02$  and 0.1, at 230 constant water vapor molar fraction  $x_w = 0.014$ . A rough estimation of the 231 transmissivity between two opposite walls of the cave can be obtained from 232 Fig. 4 assuming a characteristic size of 10 m (the order of magnitude of the 233 cavity size, see Fig. 1). The corresponding transmissivity decreases from 0.81234 to 0.66 when the  $CO_2$  molar fraction increases from 0 to 0.1. These values 235 suggest a non negligible contribution of gas radiation to the radiative transfer. 236 Furthermore, the results obtained by Soucasse et al [30, 31] and Kogawa et237 al [32, 33] for the differentially heated cavity with similar Rayleigh numbers 238 and transmissivities (see Sec. 1) also suggest a significant modification of the 239 flow pattern by gas radiation. Figure 4 indicates an increase of these two 240



Figure 3: Absorption coefficient spectrum of the cave atmosphere considered as a mixture of air, saturated water vapor (molar fraction  $x_w = 0.014$ ) and CO<sub>2</sub> (molar fraction  $x_{CO_2} = 0.02$ ) at temperature  $T_m = 285.15$  K and atmospheric pressure (black); CO<sub>2</sub> contribution (blue); Planck function  $I_{\nu}^{\circ}(T_m)$  (red).

effects (contribution of gas radiation to radiative transfer and modification of the flow pattern) with the CO<sub>2</sub> level. In the following, we set  $x_{\rm CO_2} = 0.02$ , a high level of CO<sub>2</sub> commonly observed in caves. The corresponding value of  $\tau_g$  is approximately 0.7.

#### <sup>245</sup> 3. Governing equations

#### 246 3.1. Natural convection

In the parallelepiped cavity displayed in Fig. 1b, we consider the natural convection flow of a humid air mixture caused by both temperature and moisture gradients. Conservation equations for mass, momentum, energy and water vapor concentration write

$$\boldsymbol{\nabla} \cdot \boldsymbol{u} = 0, \tag{3}$$

251

$$\frac{\partial \boldsymbol{u}}{\partial t} + \boldsymbol{u} \cdot \boldsymbol{\nabla} \boldsymbol{u} = -\frac{1}{\rho_0} \boldsymbol{\nabla} p + \beta_T (T_0 - T) \boldsymbol{g} + \beta_c (c_0 - c) \boldsymbol{g} + \nu \boldsymbol{\nabla}^2 \boldsymbol{u}, \quad (4)$$

252

$$\frac{\partial T}{\partial t} + \boldsymbol{u} \cdot \boldsymbol{\nabla} T = \alpha \boldsymbol{\nabla}^2 T + \frac{1}{\rho_0 C_P} \mathcal{P}_{\text{rad}},\tag{5}$$



Figure 4: Effect of the CO<sub>2</sub> concentration on the transmissivity  $\tau_g$  defined in Eq. (2) as a function of the mean beam length  $\ell$ , at temperature  $T_m = 285.15$  K and atmospheric pressure, for saturated water vapor (molar fraction  $x_w = 0.014$ ).

253

$$\frac{\partial c}{\partial t} + \boldsymbol{u} \cdot \boldsymbol{\nabla} c = D \boldsymbol{\nabla}^2 c, \tag{6}$$

where  $\boldsymbol{u}, p, T$  and c are respectively the velocity vector, the motion pressure, 254 the temperature, and the water vapor mass concentration. The radiative 255 power  $\mathcal{P}_{rad}$  in the energy balance (Eq. (5)) corresponds to the emission and 256 absorption of radiation by the fluid and will be discussed in details in Sec. 3.2. 257 There is no source term due to phase change in transport equations (5)-258 (6) because phase change is supposed to take place on the walls only (see 259 section 2.2). It should be noted here that subgrid terms complement Eqs. (4)-260 (6) when the LES approach is employed (see Sec. 4.1). 261

The boundary conditions at the cave walls are defined as follows. We assume that the water film lying on the walls is thin enough to neglect: (1) its velocity compared to the air velocity, (2) its conductive thermal resistance compared to the boundary layer resistance in the gas phase. With the additional assumptions of no slip and local thermodynamic equilibrium on the walls, we get:

$$\boldsymbol{u} = \boldsymbol{0}, \quad T = T_w \quad \text{and} \quad c = c_{sat}(T_w),$$
(7)

where  $T_w$  is the wall temperature given by the large scale model (see Sec. 2.1 and Fig. 2), and  $c_{sat}(T_w)$  is the concentration of the saturated water vapor at temperature  $T_w$ . Because of the small temperature range considered in the simulations (approximately 1 K), we assume that  $c_{sat}(T)$  varies linearly with the temperature T [22]:

$$c_{sat}(T) = c_{sat}(T_m) + \beta(T - T_m), \qquad (8)$$

where  $\beta$  is the derivative of  $c_{sat}(T)$  at  $T = T_m$  (see table 1).

The physical properties of the fluid are listed in table 1. Following the Boussinesq approximation, the fluid density is assumed constant except in the buoyancy term of the momentum balance (Eq. (4)), where it varies linearly with temperature and moisture content. The reference temperature is  $T_0 =$  $[\max(T_w) + \min(T_w)]/2$ . Similarly, the reference concentration of water vapor is  $c_0 = [\max(c_{sat}(T_w)) + \min(c_{sat}(T_w))]/2$ .

280 3.2. Radiative transfer

The radiative power  $\mathcal{P}_{rad}$  in the energy balance (Eq. (5)) is defined by

$$\mathcal{P}_{\rm rad}(\boldsymbol{r}) = \int_{\nu} \kappa_{\nu} \left( \int_{4\pi} I_{\nu}(\boldsymbol{r}, \boldsymbol{\Omega}) \, d\boldsymbol{\Omega} - 4\pi I_{\nu}^{\circ}(T(\boldsymbol{r})) \right) d\nu, \tag{9}$$

where  $I_{\nu}(\boldsymbol{r}, \boldsymbol{\Omega})$  is the radiative intensity at wavenumber  $\nu$ , position  $\boldsymbol{r}$ , and direction  $\boldsymbol{\Omega}$ ,  $d\boldsymbol{\Omega}$  is the differential solid angle and  $I^{\circ}_{\nu}(T(\boldsymbol{r}))$  is the Planck equilibrium intensity (blackbody intensity) at temperature T. The absorption coefficient  $\kappa_{\nu}$  is assumed to be uniform.

<sup>286</sup> The radiative intensity satisfies the radiative transfer equation

$$\boldsymbol{\Omega} \cdot \boldsymbol{\nabla} I_{\nu}(\boldsymbol{r}, \boldsymbol{\Omega}) = \kappa_{\nu} \left( I_{\nu}^{\circ}(T(\boldsymbol{r})) - I_{\nu}(\boldsymbol{r}, \boldsymbol{\Omega}) \right).$$
(10)

Cave walls are assumed to be black (the emissivities of limestone and water are respectively equal to 0.95 and 0.96 [39], thus close to 1 in both cases). Therefore, the boundary condition for Eq. (10) at wall positions  $\boldsymbol{r}^{b}$  for the propagation directions  $\boldsymbol{\Omega}$  such that  $\boldsymbol{\Omega} \cdot \boldsymbol{n} > 0$ ,  $\boldsymbol{n}$  being the unit vector normal to the wall pointing to the interior of the domain, writes  $I_{\nu}(\boldsymbol{r}^{b}, \boldsymbol{\Omega}) =$  $I_{\nu}^{\circ}(T(\boldsymbol{r}^{b})).$ 

The annual variations of gas temperature and water vapor molar fraction 293 are not large enough to significantly affect the radiative properties of the 294 mixture. Indeed, the amplitude of temperature fluctuations during the year 295 is approximately  $\pm 1 \,\mathrm{K}$ . Assuming saturated water vapor, the corresponding 296 annual fluctuation of  $x_w$  is smaller than  $\pm 7\%$ . These fluctuations of temper-297 ature and water vapor concentration result in variations of the absorption 298 coefficient  $\kappa_{\nu}$  lower than 7 %. The constant absorption spectrum displayed 299 in Fig. 3 was thus used in all simulations. 300

#### 301 3.3. Heat and mass fluxes through the cave wall

The distribution of the evaporation and heat fluxes through the cave wall are among the most important outputs of the model. The evaporation velocity (i.e., the volume of evaporated liquid water per unit of surface and time) reads:

$$v_{ev} = -\frac{D}{\rho_w} \boldsymbol{\nabla} c \cdot \boldsymbol{n} , \qquad (11)$$

where  $\rho_w$  is the density of liquid water.  $v_{ev}$  is positive for evaporation and negative for condensation (the normal vector  $\boldsymbol{n}$  is directed towards the cavity).

The total heat flux  $q_{th}$  through the cave wall is the sum of the conduction flux  $q_{con}$ , the latent heat flux  $q_{lat}$  and the radiative flux  $q_{rad}$ :

$$q_{th} = \underbrace{-\lambda \nabla T \cdot n}_{q_{con}} + \underbrace{\mathcal{L}\rho_w v_{ev}}_{q_{lat}} + \underbrace{\int_{\nu} \int_{4\pi} I_{\nu}(\boldsymbol{r}^b, \boldsymbol{\Omega}) \,\boldsymbol{\Omega} \cdot \boldsymbol{n} \, d\boldsymbol{\Omega} \, d\nu}_{q_{rad}}, \qquad (12)$$

Physical property	Name	Numerical value
Air density	$\rho_0$	$1.23  {\rm kg.m^{-3}}$
Kinematic diffusivity	ν	$1.46 \times 10^{-5} \mathrm{m^2.s^{-1}}$
Specific heat	$C_p$	$1.005 \times 10^3 \mathrm{J.kg^{-1}.K^{-1}}$
Thermal conductivity	$\lambda$	$0.025{\rm W.m^{-1}.K^{-1}}$
Thermal diffusivity	α	$2.05 \times 10^{-5} \mathrm{m^2.s^{-1}}$
Water latent heat of vaporization	$\mathcal{L}$	$2.473 \times 10^{6}  \mathrm{J.kg^{-1}}$
Thermal expansion coefficient	$\beta_T$	$3.51 \times 10^{-3} \mathrm{K}^{-1}$
Solutal expansion coefficient	$\beta_c$	$0.490{ m m}^3.{ m kg}^{-1}$
Saturated vapor concentration	$c_{sat}(T_m)$	$1.065 \times 10^{-2}  \mathrm{kg.m^{-3}}$
Derivative of $c_{sat}(T)$	$\beta = c'_{sat}(T_m)$	$7.03 \times 10^{-4} \mathrm{kg.m^{-3}.K^{-1}}$
Water/vapor solutal diffusivity	D	$2.43 \times 10^{-5} \mathrm{m}^2.\mathrm{s}^{-1}$
Prandtl number	$\Pr = \nu / \alpha$	0.712
Schmidt number	$Sc = \nu/D$	0.601
Lewis number	$Le = \alpha/D$	0.844

Table 1: Physical properties of the cave atmosphere at temperature  $T_m = 285.15 \,\mathrm{K} \simeq 12 \,^{\circ}\mathrm{C}$  (from ref. [22]).

where  $I_{\nu}(\boldsymbol{r}^{b}, \boldsymbol{\Omega})$  is the radiative intensity at the cave wall. Equation (12) allows to estimate the relative contribution of each heat transfer mechanism to the total heat flux through the cave wall.

#### 314 4. Numerical methods

#### 315 4.1. Natural convection

Flow equations (3)-(6) are solved using a Chebyshev collocation method [40]. Domain decomposition along the horizontal direction is carried out by the Schur complement method to make the computations parallel [41]. Time integration is performed through a second-order semi-implicit scheme. The velocity divergence-free condition is enforced using a projection method.

The large-eddy simulation (LES) approach is used in this study to save computational time. The Spectral Vanishing Viscosity (SVV) method [42, 43, 44] is employed to model the effects of the unresolved subgrid scales, because it is particularly suitable for spectral methods. It consists in introducing an artificial dissipation term to ensure spectral convergence and dissipate the high modes of the Chebyshev polynomial development. The SVV method is implemented in the form of a modified Laplacian operator  $\nabla_{SVV}^2$  combining viscous and SVV dissipation such that

$$\boldsymbol{\nabla}_{SVV}^2 = \boldsymbol{\nabla} \cdot (1 + \nu^{-1}Q)\boldsymbol{\nabla},\tag{13}$$

for the momentum balance. For the energy balance and the water vapor 320 balance, the same operator is used with the thermal diffusivity  $\alpha$  and the 330 mass diffusivity D instead of the kinematic viscosity  $\nu$  in Eq. (13). The 331 viscosity kernel Q acts on each spatial direction independently. In spectral 332 space, it is given for the *i*<sup>th</sup> direction by  $\hat{Q}_i(k) = \epsilon_i e^{-\left(\frac{k-N_i}{k-M_i}\right)^2}$ , if  $k > M_i$ 333 or  $\hat{Q}_i(k) = 0$  otherwise, where k is the Chebyshev polynomial order,  $\epsilon_i$  is 334 the viscosity amplitude,  $N_i$  is the number of collocation point in the  $i^{\text{th}}$ 335 direction and  $M_i \leq N_i$  is the cut-off spectral mode.  $\epsilon_i$  and  $M_i$  are the 336 control parameters of the SVV method. The numerical modeling reduces by 337 either increasing  $M_i$  or decreasing  $\epsilon_i$ . It is worth noting that the same SVV 338 parameters are used in the momentum balance, in the energy balance and in 339 the water vapor balance. 340

Simulations have been carried out using a spatial mesh made of  $N_x \times$ 341  $N_y \times N_z = 241 \times 241 \times 641$  collocation points. SVV parameters are chosen 342 such that  $M_i = 3N_i/4$  and  $\epsilon_i = 1/(4N_i)$  for each spatial direction *i*. Time 343 integration is performed with a convection time step  $\delta t = 0.001 \times t_{ref}$ , where 344  $t_{\rm ref} \simeq 15 \ s$  is the reference time associated with the reference length  $L_X$  and 345 the reference velocity  $\sqrt{g\beta_T\Delta TL_X/Pr}$ . In Sec. 5, results will be analyzed in 346 terms of time-averaged quantities over a time period  $\Delta t = 100 \times t_{\rm ref}$ , taken 347 once the statistically steady state is reached. 348

#### 349 4.2. Radiative transfer

The high resolution spectrum of the absorption coefficient displayed in 350 Fig. 3 contains thousands of spectral lines which makes expensive the com-351 putation of the integral over the wavenumbers in Eq. (9). Therefore, we use 352 the Absorption Distribution Function (ADF) model [45] which consists in 353 substituting the integration over the wavenumber with an integration over 354 the values of the absorption coefficient, for which a coarse logarithmic dis-355 cretization is sufficient. In the present study, the values of the absorption 356 coefficient of Fig. 3 have been logarithmically discretized in 16 classes. The 357 accuracy of this method has been shown to be better than 1 % [46]. 358

The radiative transfer equation (10) is solved using a ray-tracing algorithm for each ADF class of absorption coefficient value. The computation

is made parallel by distributing the rays among the different processors. The 361  $4\pi$  angular domain is uniformly discretized using 900 rays from volume cell 362 centers and 450 rays from boundary cell centers. The radiation mesh is 363 coarsened by a factor of five in each direction of space compared with the 364 convection mesh and we use a radiation subgrid model [47] to account for the 365 radiation of the spatial scales resolved by the flow mesh but filtered by the 366 coarse radiation mesh. The radiation of spatial scales unresolved by the flow 367 mesh is ignored. This subgrid model has been validated in various configura-368 tions and its accuracy is approximately a few per cent on radiative power and 360 wall fluxes. It has been used for the simulation of coupled natural convec-370 tion and radiation in differentially heated cavities [31] and Rayleigh-Bénard 371 cells [48]. 372

Finally, an explicit coupling is carried out between flow and radiation calculations and the radiative power is updated every 10 convection time steps  $\delta t$ . Indeed, the flow time step is imposed by numerical stability constraints and does not correspond to significant variations of the temperature field.

#### 377 4.3. Validation

We first compare in this section Direct Numerical Simulations (DNS) to some experimental data available in the literature. We then validate the LES approach through comparisons with DNS results in the shallow cave configuration.

Figure 5 shows comparisons between DNS and experimental data for 382 Rayleigh-Bénard convection in cubical cavities. The DNS data correspond 383 to isothermal horizontal walls, adiabatic side-walls, dry and non-radiating 384 air. The data were obtained with the same code and numerical setup used in 385 the present study, and were already presented in Ref. [27]. Both experiments 386 and numerical simulations are related to gases with a Prandtl number close 387 to 0.7. In the experiment carried out by Belmonte  $et \ al \ [56]$ , the cubical 388 convection cell was put under pressure inside a cylindrical external vessel. 389 The volume between both cavities was filled by cotton batting in order to 390 impede any external motion and to increase thermal insulation of the cavity 391 lateral side-walls. The authors have measured the Nusselt number at the 392 center of the horizontal isothermal plates but they concluded that this value 393 is representative of the average Nusselt number on the surface of the plate. 394 On the opposite, Leong *et al* [57] have considered highly conducting side 395 lateral walls, leading to less uncertain boundary conditions with a nearly 396 linear temperature profile along the vertical axis for these side-walls. The 397

comparisons presented in Fig. 5 show a good agreement between numerical
 results and experimental data, despite the differences in side-wall boundary
 conditions.

In addition, Leong *et al* [57] carried out experiments with differentially heated cubical cavities, i.e. two vertical and opposite active walls with conducting walls for the four remaining side-walls. They provided a correlation for this case in the form  $Nu = 0.08461 \text{ Ra}^{0.3125}$  for  $10^4 \leq \text{Ra} \leq 10^8$ . DNS with the same boundary conditions on the side-walls led to Nu = 35.73 at Ra =  $3 \times 10^8$  [58]. A slight extrapolation of the experimental correlation yields Nu = 37.72, which is in quite satisfactory agreement.

In a second step, the Spectral Vanishing Viscosity (SVV) model has been 408 validated against DNS data in the case of a dry and transparent gas (C case 409 in Table 3) for the month of February. Table 2 reports LES and DNS re-410 sults for macroscopic quantities such as the volume-averaged reduced mean 411 temperature ( $\theta = (T - T_0)/\Delta T$ ), the volume-averaged dimensionless kinetic 412 energy of the mean flow, the volume-averaged dimensionless turbulent ki-413 netic energy, and the Nusselt number  $\overline{Nu} = \bar{q}_{con} L_X / (\lambda \Delta T)$  where  $\bar{q}_{con}$  is 414 the conduction flux averaged at the upper  $(X = L_X)$ , left (Z = 0) or side 415 (Y = 0) walls. Two meshes (LES<sub>240</sub> using  $241 \times 241 \times 641$  points and LES<sub>160</sub> 416 using  $161 \times 161 \times 641$  points) and two sets of SVV parameters M and  $\epsilon$ 417 are considered to assess the sensitivity of the LES results. The DNS mesh is 418 made of  $321 \times 321 \times 1025$  points. The best agreement is obtained for the LES 419 parameters retained for the coupled simulations (LES<sub>240</sub> mesh, M = 3N/4, 420  $\epsilon = 1/(4N)$  with differences with DNS results below 10 %. This maximum 421 difference is observed for the turbulent kinetic energy. The accuracy of the 422 LES decreases with the coarser  $LES_{160}$  mesh (differences with DNS up to 423 20 %) but is little affected by the change in SVV parameters M and  $\epsilon$ . More 424 details on this validation can be found in Ref. [24]. 425

#### 426 5. Flow field analysis

#### 427 5.1. The studied configurations

In order to highlight specific effects associated with gas radiation and solutal buoyancy, numerical simulations were performed with different versions of the model described in Sec. 3. We considered three cases, labelled C, CR and CRM (see Tab. 3). The CRM model is the full model used as a reference. It includes gas radiation and the contribution of the water vapor concentration field to buoyancy. In the CR model, the contribution of the water vapor



Figure 5: Comparisons between DNS results and experimental data in the case of Rayleigh-Bénard convection in cubical cavities filled with gases. The experimental data are from Belmonte et al [56] with nearly insulated side-walls, and from Leong et al [57] with conducting side-walls. The DNS data correspond to perfectly insulated side-walls [27].

Mesh	M	$\epsilon$	$\theta \times 10^2$	$k_{kin}^*  imes 10^4$	$k_{tur}^* \times 10^4$	$Nu_{up}$	Nu <sub>left</sub>	$Nu_{sides}$
DNS	N	0	5.760	2.540	2.710	-14.755	4.968	6.825
$LES_{240}$	3N/4	1/(4N)	5.610	2.638	2.998	-15.605	5.381	6.961
$LES_{160}$	3N/4	1/(4N)	5.350	2.803	2.547	-16.385	5.979	7.219
$LES_{160}$	N/2	1/(2N)	5.370	2.865	2.368	-16.385	6.046	7.192

Table 2: Comparison between LES and DNS results (C case in Table 3, February) on the volume-averaged reduced temperature, the volume-averaged dimensionless kinetic energy of mean flow, the volume-averaged dimensionless turbulent kinetic energy and the averaged Nusselt number at the upper  $(X = L_X)$ , left (Z = 0) and side (Y = 0) walls.

Case	radiation model	solutal buoyancy
CRM	radiating gas ( $\kappa_{\nu}$ from Fig. 3)	considered ( $\beta_c$ from Tab. 1).
$\operatorname{CR}$	radiating gas ( $\kappa_{\nu}$ from Fig. 3)	disregarded $(\beta_c = 0)$
$\mathbf{C}$	transparent gas ( $\kappa_{\nu} = 0$ )	disregarded $(\beta_c = 0)$

Table 3: Three considered cases with different levels of simplifications. The CRM model is the full model described in Sec. 3.

field to buoyancy is ignored ( $\beta_c = 0$ ), so that the velocity and temperature 434 fields can be computed without solving the mass conservation equation (6). 435 The C model uses the same simplifying assumption for buoyancy. In addi-436 tion, the gas is assumed to be transparent ( $\kappa_{\nu} = 0$ ). The radiative power is 437 thus zero ( $\mathcal{P}_{rad} = 0$ ), and the radiative transfer equation (10) can be removed 438 from the model. The convection-radiation coupling is thus ignored in model 439 C, but radiative fluxes still exist between the cave walls separated by the 440 transparent atmosphere. This is the model already used in Ref. [24]. 441

#### 442 5.2. Flow pattern

Figure 6 shows streamlines of the mean velocity field colored by the ki-443 netic energy of the mean flow in the Y mid-plane for the three models listed in 444 Tab. 3. In the C case, flow patterns and convection intensities differ consid-445 erably between May and November, as discussed in previous work [24]. The 446 maximum and minimum wall temperatures are the same for both months, 447 but the mean vertical temperature gradient is negative (i.e., unstable) in May 448 and positive (i.e., stable) in November (see Fig.2). This leads to a one-cell 449 flow pattern associated with intense convection in May and a multiple-cell 450 flow pattern associated with weaker convection in November. 451



Figure 6: Flow streamlines colored by the kinetic energy of the mean flow for May (left column) and November (right column), for the models C, CR and CRM (see table 3). Streamlines are drawn from the Y mid-plane and then projected onto the Y mid-plane when they deviate from it.

Comparing CR and CRM models for both months, we observe that flow 452 patterns are very similar. This suggests that the solutal buoyancy effect 453 on the flow behavior might be insignificant. Conversely, the gas radiation 454 increases the flow circulation and modifies the streamlines of the mean flow. 455 In May, two secondary cells are observed in the core when the gas radiation 456 is taken into account (CR and CRM cases). In November, gas radiation 457 unsettle the multiple-cell flow pattern observed in case C. Indeed, the flow 458 pattern observed in cases CR and CRM is closer to a one-cell large-scale 459 circulation due to increased kinetic energy of the mean flow, even if two 460 weak secondary cells are noticeable in the upper right and lower regions. 461

In order to quantify the previous observations, the following macroscopic quantities are reported in Tab. 4: the volume-averaged kinetic energy of the mean flow  $k_{kin} = \langle \frac{1}{2} \overline{\boldsymbol{u}} \cdot \overline{\boldsymbol{u}} \rangle_V$ , the volume-averaged turbulent kinetic energy  $k_{tur} = \langle \frac{1}{2} \overline{\boldsymbol{u}'} \cdot \overline{\boldsymbol{u}'} \rangle_V$  and the maximum of the mean vertical velocity  $|\overline{\boldsymbol{u}}|_{\text{max}}$ ,

May					
IVIAY					
	$\mathbf{C}$	$\operatorname{CR}$	$\operatorname{CRM}$		
$k_{kin} \times 10^4 ({\rm m}^2/{\rm s}^2)$	2.8	6.8	6.9		
$k_{tur} \times 10^4  ({\rm m}^2/{\rm s}^2)$	0.93	2.7	3.2		
$ \overline{u} _{ m max} \ ({ m m/s})$	0.095	0.105	0.108		
November					
	$\mathbf{C}$	$\operatorname{CR}$	$\operatorname{CRM}$		
$k_{kin} \times 10^4 (\mathrm{m}^2/\mathrm{s}^2)$	0.31	1.1	1.1		
$k_{tur} \times 10^4 ({\rm m}^2/{\rm s}^2)$	0.066	0.14	0.14		
$ \overline{u} _{\rm max} \ ({\rm m/s})$	0.050	0.069	0.069		

Table 4: Macroscopic flow quantities

where  $\overline{\cdot}$  and  $\langle \cdot \rangle_V$  denote time-averaging and volume-averaging, respectively. 466 The comparison between CR and CRM cases confirms the low impact of 467 solutal buoyancy on the flow behavior. Indeed, with the exception of the 468 turbulent kinetic energy  $k_{kin}$  which increases by 19 % between CR and CRM 469 models in May, macroscopic quantities are not significantly modified by so-470 lutal buoyancy. This weak effect of the moisture gradient on the flow pattern 471 can be readily explained by estimating the order of magnitude of the ratio 472 between the solutal and thermal contributions to buoyancy: 473

$$\frac{g\beta_c \left(\beta\Delta T\right)}{g\beta_T \Delta T} = \frac{\beta_c \beta}{\beta_T} \simeq 0.098 \ll 1.$$
(14)

The solutal contribution is thus negligible compared to the thermal contribution.

<sup>476</sup> Conversely, the macroscopic quantities in Tab. 4 confirm the thermal <sup>477</sup> convection enhancement due to gas emission and absorption. The kinetic <sup>478</sup> energies  $k_{kin}$  and  $k_{tur}$  are 2 to 3 times higher in the CR case compared to the <sup>479</sup> C case for both May and November, and  $|\overline{u}|_{max}$  is increased by 11% in May <sup>480</sup> and by 14% in November. The gas radiation effect will be discussed in more <sup>481</sup> detail in Sec. 5.3.

#### 482 5.3. Velocity and thermal fields

Figure 7 displays horizontal profiles of the vertical velocity component and of the temperature close to the left and right walls in the Y mid-plane, at three different heights X, in May. The results from the three models C,

CR and CRM are shown. CR and CRM models yield similar profiles, fur-486 ther confirming the weak solutal buoyancy effect on the flow behavior. The 487 thickness of the fluid layer flowing along the walls is drastically increased 488 due to the change in the temperature distribution induced by gas-wall ra-489 diative exchanges. For this month, the gas flows downward along the cold 490 left wall and upward along the hot right wall for the three cases C, CR and 491 CRM (Figs. 7a and 7b). The upward buoyant motion along the right wall is 492 strengthened by the gas radiation as the fluid is heated outside the thermal 493 boundary layer due to the absorption of the wall radiation (black curves in 494 Fig. 7d) resulting in the thickening of the ascending fluid layer. This mecha-495 nism of flow enhancement by gas-wall radiative exchanges has been reported 496 in the literature about the differentially heated cavity. It has been evidenced 497 both numerically [30, 31, 32, 33] and experimentally [49]. 498

A similar effect is observed along the left wall where the fluid is cooled 499 in the top left corner due to the emission by the gas (red curves in Fig. 7c). 500 The ascending flow observed at the bottom of this wall (black curves) is due 501 to the presence of a small vortex in the corner (see Fig. 6). Interestingly 502 enough, the inner part of the hydrodynamic and thermal boundary layers 503 (few centimeters thick) is not affected by gas radiation (see Fig. 7). The 504 same trends were observed in November, although the situation is further 505 complicated by the change in the flow pattern induced by gas radiation. 506

The horizontal velocity component and the temperature profiles along the 507 vertical centerline of the cavity  $(Z = L_Z/2 \text{ and } Y = L_Y/2)$  are displayed in 508 Fig. 8. As already discussed, the comparison between CRM and CR models 509 does not show any noticeable effect of the solutal buoyancy. Fig. 8a exhibits 510 the intensification of the large-scale counter-clockwise circulation due to the 511 gas radiation effect in May, associated with strong mixing effects resulting 512 in nearly isothermal temperature field in the cavity core (see Fig. 8b, red 513 curves) similar to the profile predicted by the C model. The temperature 514 gradients are confined very close to the walls and are not modified by gas 515 radiation. In November, the multiple-cell regime predicted by the C model 516 is broken by the gas radiation effect (see Fig. 6). The horizontal air motion 517 becomes stronger near the horizontal walls but a part of the core remains 518 almost motionless. We observe a little effect of gas radiation on the vertical 519 temperature profiles (see Fig. 8b, blue curves) but this effect is too weak to 520 significantly modify the thermal stratification obtained with the C model. 521

Figure 9 presents the vapor concentration profile along the vertical centerline of the cavity. Due to the analogy between heat and mass transfer,



Figure 7: Horizontal profiles of the vertical velocity component u (a) and (b), and of the temperature (c) and (d), in the Y mid-plane at different heights X along the vertical walls for C, CR and CRM models, in May.



Figure 8: Vertical profiles of the horizontal velocity component W (a) and the temperature (b) along the vertical centerline of the cavity ( $Z = L_Z/2$  and  $Y = L_Y/2$ ) for the C, CR and CRM models in May (red curves) and November (blue curves).

the solutal and thermal stratifications are quite similar. However, there is a local non-monotonic variation of the concentration vertical profile in November not observed on the corresponding temperature profile. Because of the homogenization by gas-gas radiative exchanges, the temperature profile is likely less sensitive to the presence of small secondary cells predicted by the CRM model in the core of the cavity in November.

#### 530 6. Heat and mass transfer through the cavity wall

#### <sup>531</sup> 6.1. Effect of gas radiation on the conductive and radiative fluxes

Figure 10 shows the spatial distribution of the conductive and radiative 532 fluxes along the cavity walls at the mid-plane  $Y = L_Y/2$ . We checked that 533 CR and CRM models gave indistinguishable results because of the negligi-534 ble effect of solutal buoyancy (not shown). Differences between the results 535 from C and CRM models is thus due to the presence of gas radiation in the 536 latter case. Despite the strong convection enhancement associated with gas 537 radiation (see Sec. 5.2 and 5.3), the conductive fluxes  $q_{cond}$  (blue curves in 538 Fig. 10) are little affected by radiation effects. Gas radiation tends to slightly 539 decrease the conductive flux, but the effect is hardly significant. This is con-540 sistent with the temperature profiles displayed in Fig. 7c, 7d and 8b, showing 541 that the wall temperature gradient is not affected by gas radiation. 542



Figure 9: Vapor concentration profile along the vertical centerline of the cavity  $(Z = L_Z/2)$ and  $Y = L_Y/2$  for the CRM model in May and November.

On the other hand, Fig. 10 shows that gas radiation significantly modifies the distribution of the radiative flux  $q_{rad}$  along the walls (red curves). The absolute value of the wall radiative flux is rather decreased by gas radiation along the top and bottom walls mainly due to a screening effect. For the left and right walls, the flux is rather increased but the analysis is made difficult by the complex structure of the temperature field in front of these walls.

The effect of gas radiation can go up to a factor two, leaving the order of magnitude of the wall radiative flux unchanged. This result is consistent with the value of the transmissivity  $\tau_g \simeq 0.7$  found in Sec. 2.3. The cavity of approximately 10 m length is at the transition between the regimes dominated by wall-to-wall radiation ( $\tau_g \simeq 1$ ) and gas-wall radiation ( $\tau_g \ll 1$ ). This behavior would likely be different in a larger cavity, with a characteristic length of a few tens of meters.

#### 556 6.2. Energy balance at the cavity wall

The total heat flux  $q_{th}$  through the cave walls can be broken down into three terms: the conductive flux  $q_{con}$ , the radiative flux  $q_{rad}$  and the latent heat flux  $q_{lat}$  (see Eq. (12)). In this section, we analyze the relative significance of these three contributions in the energy balance.

# 6.2.1. Approximate expressions of the mass and latent heat fluxes in CR and C models

Although the solutal contribution to buoyancy is ignored in models C and CR, solving the mass conservation Eq. (6) with the Dirichlet boundary



Figure 10: Spatial distribution of conductive (blue lines) and radiative (red lines) heat fluxes at the mid-plane  $Y = L_Y/2$  along the left (Z = 0), right  $(Z = L_Z)$ , bottom (X = 0) and top  $(X = L_X)$  walls, computed from the CRM and C models in May and November.

condition Eq. (7) is required to get the mass flux  $\rho_w v_{ev}$  and the latent heat flux  $q_{lat} = \mathcal{L}\rho_w v_{ev}$  through the cavity wall. However, this resolution can be avoided using an approximate solution based on the analogy between heat and mass transfer. With the following assumptions:

569 1.  $c_{sat}$  varies linearly with the gas temperature T,

- $_{570}$  2. the solutal and thermal diffusivities are equal (i.e., Le = 1),
- 3. the conductive flux through the cavity wall is not modified by gasradiation,

the dimensionless conductive and mass fluxes through the cavity wall, respec-573 tively the Nusselt number  $Nu = q_{con}L_X/(\lambda\Delta T)$  and the Sherwood number 574  $Sh = \rho_w v_{ev} L_X / (D\beta \Delta T)$ , would be equal. The assumptions 1 and 2 above 575 are generally valid in caves, because small temperature variations allow the 576 linearization of  $c_{sat}(T)$  and Le ~ 1 is a general property of gases. The as-577 sumption 3 is expected to be valid in cavities where radiative transfer is not 578 dominated by gas radiation, i.e., cavities for which the relation  $\tau_q \sim 1$  is 579 satisfied. For the specific cavity studied in this work, the assumption 3 has 580 been evidenced in Sec. 6.1, and the relation Nu  $\simeq$  Sh is confirmed in Fig. 11. 581 It yields: 582

$$\rho_w v_{ev} = \left(\frac{D\beta}{\lambda}\right) q_{con} \quad \text{and} \quad q_{lat} = \left(\mathcal{L}\frac{D\beta}{\lambda}\right) q_{con} \simeq 1.7 q_{con} \,.$$
(15)

These relations allow to compute the mass flux  $\rho_w v_{ev}$  and the latent heat flux  $q_{lat}$  from the conductive flux  $q_{con}$  and the physical properties of the cave atmosphere. They have been already used by Dreybrodt and Gabrovšek [11] to assess the order of magnitude of condensation fluxes in caves through simple models.

### 588 6.2.2. Relative contribution of conductive, radiative and latent heat fluxes to 589 the total flux

We showed in a previous work that conduction was mainly dominated by radiative fluxes between the walls of the cavity filled with a dry and transparent atmosphere [24]. This remains true for the wet and radiant atmosphere considered in this work because: (1) the solutal buoyancy has a negligible effect on the flow, (2) gas radiation hardly changes the conductive flux and does not modify the order of magnitude of the radiative flux (see Sec. 6.1). Indeed, one can check in Fig. 10 that, with the exception of limited



Figure 11: Cloud of points representing the local Nusselt number versus the local Sherwood number for May and November for the CRM model. Each point corresponds to a given spatial location on the wall.

areas where both  $q_{rad}$  and  $q_{con}$  go to zero,  $|q_{rad}/q_{con}| \gtrsim 1$  over the whole cavity surface. More precisely,  $1 \leq |q_{rad}/q_{con}| \leq 3$  in May at the upper left edge (intersection of top and left walls) or at the right of the bottom wall, and in November at the upper right edge (intersection of the top and right walls) and on a part of the bottom wall.  $|q_{rad}/q_{con}| \gtrsim 3$  everywhere else.

The latent heat flux  $q_{lat}$  must also be considered in the energy balance. 602 Eq. (15) yields  $(q_{con} + q_{lat}) \simeq 2.7 q_{con}$ . The added conduction and phase 603 change contributions, both driven by convection, are comparable with the ra-604 diation contribution in many places on the walls, and dominate heat transfer 605 in the areas such that  $|q_{rad}/q_{con}| \lesssim 3$ . The full spatial distribution of the total 606 heat flux  $q_{th}$  and its components  $q_{con}$ ,  $q_{lat}$  and  $q_{rad}$  are shown in Fig. 12 for 607 the CRM model in May. It is noteworthy that the contribution of convection 608  $(q_{con} + q_{lat})$  is comparable to that of radiation at the upper left edge, where 609 the heat transfer is most intense. The same remark applies in November (not 610 shown). 611

The wall temperature used in boundary conditions (Eq. (7)) were deter-612 mined by a large scale model including heat conduction in the rock mass. 613 In this model, the contribution of convection to the energy balance at the 614 cavity walls was assumed negligible with respect to radiation. We see that 615 this assumption fails when humid air is considered. With the addition of the 616 conduction and latent heat fluxes, convection significantly contributes to the 617 heat transfer at the walls. In the configuration studied here, the convection 618 contribution does not exceed radiation, so that the orders of magnitude of 619

the fluxes should be correctly predicted. However, a full coupling between conduction in the rock and convection inside the cavity should be considered to get an accurate solution in the case of humid air. The feasibility of such simulations will be discussed in the conclusion.

#### 624 6.3. Mass flux through the cavity wall and consequences on corrosion

As stated in the introduction, condensation and evaporation are of primary interest for the conservation of painted caves. Condensation results in wall corrosion by limestone dissolution. Conversely, evaporation induces limestone deposition. The retreat velocity of the wall  $v_{ret}$  is defined as the volume of dissolved limestone per unit of surface and time ( $v_{ret} < 0$  indicates limestone deposition).

The evaporation velocity fields on the cave walls are displayed in Fig. 13 631 for May and November. In May, condensation takes place on the upper 632 left walls, over 43 % of the cavity surface. The maximum condensation 633 rate reaches  $18 \,\mu m/day$  at the upper left edge, where the distance from the 634 ground surface is the shortest (see Fig. 1). The evaporation rate culminates 635 at  $6\,\mu\text{m}/\text{day}$  at the lower right edge. The situation is reversed in November. 636 In this case, evaporation takes place on the upper left walls over 41% of the 637 cavity surface. The maximum rate is  $9\,\mu m/day$ . Condensation is observed on 638 the lower right walls, with a maximum rate of  $3 \,\mu m/day$ . In May, the negative 639 (unstable) vertical temperature gradient enhances convection, resulting in 640 maximum condensation or evaporation rates multiplied by two compared to 641 November, which is associated with positive (stable) vertical temperature 642 gradient. Therefore, during a full year, there will be more condensation than 643 evaporation in the upper left part of the cave, and the opposite in the lower 644 right part. 645

The retreat velocity of the wall can be easily deduced from the evaporation velocity. The dissolution of 1 mol of CaCO<sub>3</sub> yields 1 mol of ions Ca<sup>2+</sup> in solution. The concentration of ions Ca<sup>2+</sup> in a water solution in equilibrium with CaCO<sub>3</sub> and a gas phase including CO<sub>2</sub> at partial pressure  $P_{CO_2}$  is given by

$$[\operatorname{Ca}^{2+}] \simeq \mathcal{K} P_{CO_2}^{1/3} \tag{16}$$

where the parameter  $\mathcal{K}$  can be computed from the equilibrium constants of the system H<sub>2</sub>O/CO<sub>2</sub>/CaCO<sub>3</sub> [50]. Using the data from Ref. [51], we get  $\mathcal{K} \simeq 8.27 \,\mathrm{mmol.L^{-1}.atm^{-1/3}}$  at 12°C (CaCO<sub>3</sub> in calcite form). Dreybrodt and Gabrovšek [11] showed that the thin film of condensed water lying on a



Figure 12: Spatial distribution of conductive  $(q_{con})$ , water phase change  $(q_{lat})$ , radiative  $(q_{rad})$  and total  $(q_{th})$  wall heat fluxes for the CRM model in May. The left panel corresponds to the top  $(X = L_X)$ , left (Z = 0) and front  $(Y = L_Y)$  cave walls. The right panel corresponds to the bottom (X = 0), right  $(Z = L_Z)$  and rear (Y = 0) cave walls.

limestone wall can be regarded as saturated with respect to limestone and  $CO_2$ . They came to this conclusion after performing a series of laboratory experiments covering wide ranges of condensation rates and  $CO_2$  partial pressure commonly found in nature. The retreat velocity can thus be deduced from Eq. (16) along with mass conservation:

$$v_{ret} = -\frac{\mathcal{K}\mathcal{M}}{\rho_c} P_{CO_2}^{1/3} v_{ev} \tag{17}$$

where  $\mathcal{M} \simeq 100 \text{ g/mol}$  and  $\rho_c \simeq 2700 \text{ kg/m}^3$  are the molar mass and the density of CaCO<sub>3</sub>, respectively. The value  $P_{CO_2} = x_{CO_2} P_{atm} = 0.02 \text{ atm}$  is considered in the following.

The behavior of the retreat velocity  $v_{ret}$  can be directly deduced from 663 the evaporation velocity  $v_{ev}$ , since both velocities are proportional. The 664 upper left edge undergoes corrosion in May and deposition in November, 665 with retreat velocities reaching respectively 0.55 and  $-0.27 \,\mu m/year$ . Con-666 versely, the lower right edge undergoes evaporation in May and condensation 667 in November, with retreat velocities of -0.18 and  $0.09 \,\mu\text{m/year}$ , respectively. 668 Therefore, over a full year, dissolution dominates in the upper left region, 660 and deposition in the lower right region. 670

There are few studies in the literature providing quantitative measure-671 ments of condensation or evaporation rates in caves. In the Glowworm cave 672 (New Zealand), when the cave entrance was closed to prevent air circula-673 tion, De Freitas and Schmekal [52] measured condensation and evaporation 674 rates oscillating from 0 to approximately  $10 \,\mu m/day$ . Buecher [53] measured 675 evaporation rates in Kartchen Caverns (Arizona), a wet cave with water per-676 colating from the surface, little air exchange with the outside, and thus high 677 relative humidity (over 99%). The average evaporation rate for all stations 678 installed in the cave was  $10 \,\mu m/day$ , reduced to  $6 \,\mu m/day$  for stations far 679 from the entrance. The orders of magnitude predicted by our numerical sim-680 ulations (a few microns per day) are in line with these values. Condensation 681 rates higher by an order of magnitude were reported in the literature (see 682 Ref. [54]), but in configurations far from the one studied in this article (high-683 ventilated areas or hydrothermal caves). Corrosion induced by condensation 684 was also investigated. Cailhol et al [55] found from a literature review that 685 the retreat velocity in a limestone cave should be in the range from 0.3 to 686  $30\,\mu\text{m/year}$ . Our estimation for a weakly ventilated cave (a few tenths of a 687 micron per year) falls in the lower part of this range. 688



Figure 13: Spatial distribution of the evaporation velocity  $v_{ev}$  and the retreat velocity  $v_{ret}$  from the CRM model in May and November.  $v_{ret}$  is proportional to  $v_{ev}$  [from Eq.(17):  $v_{ret}/(\mu m/\text{year}) \simeq -0.030 v_{ev}/(\mu m/\text{day})$ ]. The left panel corresponds to the top  $(X = L_X)$ , left (Z = 0) and front  $(Y = L_Y)$  cave walls. The right panel corresponds to the bottom (X = 0), right  $(Z = L_Z)$  and rear (Y = 0) cave walls.

#### 689 7. Conclusion

A major outcome of the numerical simulations is the strong similarities 690 between the results obtained in the shallow cave considered in this study and 691 in the classical differentially heated cavity, despite the simplicity of the ther-692 mal boundary conditions in the latter case (two isothermal vertical parallel 693 walls surrounded by adiabatic walls). In a shallow cave, opposite vertical and 694 horizontal walls at different distances from the ground surface have different 695 non-uniform temperatures. Due to buoyancy forces, the hot and cold ver-696 tical walls classically drive the air flow upward and downward, respectively, 697 inducing a global circulation in the cavity. Convection is enhanced when the 698 vertical temperature gradient is negative, i.e., when the floor is warmer than 699 the ceiling. 700

Heat and mass fluxes are maximum in areas of the cavity roof where the 701 distance from the ground is the shortest. Due to the asymmetry induced by 702 the inversion of the vertical temperature gradient twice a year, net conden-703 sation/corrosion is expected in these areas, whereas the other regions of the 704 cave undergo net evaporation/deposition. The orders of magnitude of the 705 condensation flux (a few microns per day) and of the retreat velocity (a few 706 tenth of a micron per year) are in line with the field data available in the 707 literature. 708

Gas radiation increases the flow circulation in the cavity through wall-709 gas radiative exchanges. This results in a higher stirring of the cavity core, 710 but the wall conduction and mass fluxes are not modified. This effect was 711 already observed in the differentially heated cavity. In contrast, gas radiation 712 significantly modifies the wall radiative flux, but without changing its order 713 of magnitude. This is consistent with the estimation of the transmissivity 714  $(\tau_q \simeq 0.7)$  based on the cavity size (approximately 10 m). A transmissivity 715 slightly lower than 1 indicates a transition between the regimes dominated by 716 surface radiation and gas radiation. Gas radiation should be clearly dominant 717 for larger cavities (typically a few tens of meters). 718

The contribution of the water concentration gradient to buoyancy can be neglected compared to the temperature contribution. However, the heat flux released by the latent heat of evaporation or condensation must be considered in the energy balance at the wall. Indeed, the addition of the latent heat and conduction fluxes, both driven by convection, prevails over radiation in some regions of the cavity. Therefore, more accurate predictions of heat and mass fluxes at the cavity walls require to couple natural convection inside the

cavity with conduction in the rock mass. Although the present Large-Eddy 726 Simulations are computationally expensive, this coupling should be feasible 727 given the large time scale associated with the conduction in the rock allowing 728 low coupling frequencies. A last limitation of this study is the cave geometry 729 that is not representative of actual caves. Considering realistic geometries 730 and unstructured meshes would increase the computational time required for 731 the flow simulation but associated numerical methods (finite element, finite-732 volume) would benefit from a much more efficient parallel implementation 733 than our spectral algorithm. 734

#### 735 Acknowledgements

This work was funded by the financial support of the LabeX LaSIPS 736 (ANR-10-LABX-0032-LaSIPS) managed by the French National Research 737 Agency under the "Investissements d'avenir" program (ANR-11-IDEX-0003-738 02). This work was granted access to the HPC resources of IDRIS under the 739 allocation 2021- A0102B00209 attributed by GENCI (Grand Equipement Na-740 tional de Calcul Intensif). This work was also performed using HPC resources 741 from the "Mésocentre" computing center of CentraleSupélec and Ecole Nor-742 male Supérieure Paris-Saclay supported by CNRS and Région Île-de-France 743 (http://mesocentre.centralesupelec.fr/). 744

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