

Poor visibility of retracted articles: a problem that should no longer be ignored

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▶ To cite this version:

Christophe Boudry, Katherine Howard, Frédéric Mouriaux. Poor visibility of retracted articles: a problem that should no longer be ignored. BMJ, 2023, 381, pp.e072929. 10.1136/bmj-2022-072929. hal-04168174

HAL Id: hal-04168174 https://hal.science/hal-04168174v1

Submitted on 12 Sep 2023

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1	Unidentified retracted articles in publisher's websites, bibliographic
2	databases, social academic networks, and Sci-Hub black open
3	access website: a problem that should no longer be ignored
4	
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KEY MESSAGES

- Publishers' websites and sites hosting references and/or full-text academic articles insufficiently identify retracted articles
- Retracted articles that are not identified as such may continue to be cited, propagating scientific error
- Solutions such as maintain up to date databases for sites hosting articles or better adhesion to COPE retraction guidelines exist, but these solutions have been unevenly applied to highlight when an article has been retracted
- All stakeholders must be aware of the importance of dealing appropriately with retracted articles and must urgently act in this direction

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23

24 **Contributors and sources**

- 25 CB and FM have published articles related to bibliometrics in the past several years,
- 26 especially in ophthalmology. They have also studied the difficulty involved in accessing
- 27 scientific literature around the world with KH, a specialist in information science. The idea for
- this article came from FM who had used a retracted article in his bibliography. Short
- 29 interviews with colleagues showed that this topic was relatively unknown.

- 30 CB and FM drafted the manuscript; CB and FM extracted data. CB analyzed data; and CB,
- 31 KH and FM revised the manuscript critically for important intellectual content. All authors
- 32 approved the final version of the manuscript. CB is the guarantor of the article.
- 33

34 Acknowledgements

- 35 The authors thank Retraction Watch for their assistance in providing the authors with free
- 36 access to the Retraction Watch Database. Thanks to Sebastien Kerever for constructive
- 37 discussions related to this article.
- 38

39 Patient involvement

40 No patients were involved in this work.41

42 **Conflicts of Interest**

43 We have read and understood <u>BMJ policy on declaration of interests</u> and we have no

- 44 conflicts of interest to declare.
- 45

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- 54 Christophe Boudry and colleagues call for better identification of retracted articles on
- 55 publishers' websites and sites hosting references and/or full-text articles, to stop retracted
- 56 articles being cited without reference to the retraction and thus avoiding scientific error
- 57 propagation.
- 58

59 Again, in December 2022, a high profile paper was retracted [1]. Article retraction is defined 60 as the withdrawal of a previously published article in an academic journal [2], and is 61 understood to be "a mechanism for correcting the literature and alerting readers to articles 62 that contain such seriously flawed or erroneous content or data that their findings and conclusions cannot be relied upon" [3]. Although retractions are still rare, with around 5 63 retractions per 10,000 articles published [4-6], numerous papers have highlighted an 64 65 increasing rate of retractions over time [4,5,7–9] (see Box 1). The COVID-19 crisis has been 66 the source of a significant number of article retractions [10-12], with high retraction rates in 67 the earlier and acute phase of COVID-19 compared to some related research fields, for 68 example up to four times higher compared to other infectious diseases such as HIV, H1N1 69 or Ebola [13]. Three years after the outbreak of the pandemic, however, it is now estimated 70 that the retraction rate of COVID-19 articles is "consistent with the expected overall rate of 71 retraction" [14].

72 Academic article retraction mainly results from inadvertent errors or mistakes, non-replicable 73 findings, research misconduct, and redundant or duplicate publication [15]. In 2020, the most 74 common reason for retraction of biological and medical research articles was scientific 75 misconduct (62.2%), followed by error(s) in the manuscript (37.4%) and issues with the 76 journal or publisher such as accidental duplicate publication, publication in wrong journal or 77 preliminary version accidently published without final author correction (19.4%) [6]. Nearly 78 70% of retracted articles had more than 1 reason for retraction [6]. Retractions "represent 79 wasted resources incurring significant financial costs" [16]: in a research article, Stern et al. 80 found that retracted articles due to research misconduct accounted for approximately \$58 81 million in direct funding from the NIH between 1992 and 2012 [16]. These authors also note 82 the cost of unproductive research by researchers whose articles are retracted or based their 83 work on retracted articles and, those related to publishing process of retracted articles (e.g. 84 time spent for reviewing and managing the articles). Moreover, article retractions may put 85 patients at risk [10,17,18]. Stern et al. also pointed out that "some studies indicate that 86 hundreds of thousands of patients have been placed at risk of improper medical care due to enrolment in fraudulent studies or the administration of treatment based on fraudulent 87 88 studies" that were retracted [16]. Article retractions also participate in scientific error 89 propagation when retracted articles continue to be cited without reference to the retraction 90 [19–24], also in clinical trials reports [25] and meta analyses [26].

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Theoretically, citations should stop as soon as articles are retracted. In fact, retractions have little or no impact on the total number of citations, showing that researchers continue to cite retracted articles without reference to the retraction [19–25]. For example, a research article paper published in Plos One analysed 54 retracted papers related to reporting a radiology-

96 imaging diagnostic method: for 30 of 54 articles (55.6%), the number of post-retraction 97 citations was higher than the number of citations before retraction [15]. Moreover, of 559 total post retraction citations of these 54 articles, 546 (97.7%) did not reference the 98 99 retraction, suggesting that the majority of citing articles were either not aware of the 100 retraction, or they were aware of the retraction and cited it anyway. This problem extends to 101 high-profile journals: a paper published in Cell in 2010 [27] was retracted in 2014 but 102 continued to be widely cited by 2016 without mentioning the retraction in 94% of post-103 retraction citations [24].

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105 The Committee on Publication Ethics (COPE) [3] has published specific recommendations to 106 help publishers facilitate and standardize their management of the retraction process. 107 Several studies have shown that these recommendations are inconstantly applied: e.g. publishers may not correctly identify retracted articles as such on their website [21,28-30], 108 109 although this is clearly stated in COPE guidelines. This could reflect "an inadequate 110 awareness of the existence of the COPE guidelines" [10] which could explain why they are 111 not well respected [28]. Copies of retracted articles can be hosted on a range of different 112 websites (preprint servers, bibliographic databases, academic social networks or illegal 113 black open access websites and may not be identified as retractions [19,20,25,31,32]. These 114 unidentified retracted articles continue to be cited by authors without reference to the 115 retraction and then may survive online [19–25]. We argue that a multi-pronged approach 116 including actions by sites hosting articles, journals and authors is needed to solve this 117 worrying problem. We also support that the persistence of this problem is as much 118 incomprehensible that some simple-to-implement solutions exist to overcome it.

- 120 Box 1: Retractions of biological and medical science research articles 121 The retraction rate per 10,000 publications was 0.38 in 1985, 2.03 in 2000 and rose to 5.95 122 in 2014. The majority of retractions occurred within 1 year of publication, with lower 123 retraction rates over time since publication. On average, articles are retracted 3.8 years after 124 their publication [5]. 125 It is difficult to know if the increase in article retractions results from a rise in fraud and error 126 [33], or if the scientific community is improving its ability to detect and report them, reflecting 127 a more self-monitoring community [4,7,8,10,34]. Pressure to 'publish or perish', and the 128 ensuing increased competition to publish and find funding may contribute to an atmosphere 129 in which some individuals could be tempted to selectively report results, or worse, commit 130 outright fraud, both of which may lead to article retraction [35]. For journals' part, accelerated 131 publication of research, as it was the case during the acute phase of the COVID-19 crisis 132 [12,36,37] may be associated with less rigorous peer-review [38], further increasing the risk 133 of retraction.
- 134

135 Online survival of unidentified retracted articles

136 Since the late 1990s, there has been a large proliferation of article servers that have grown 137 around publishers' websites. The number of different places where an article citation or full-138 text could be accessed online is approximately 200, [39], including preprint servers, 139 bibliographic databases (e.g. Scopus, Wos, Google Scholar), academic social networks (e.g. 140 ResearchGate or Academia.edu), or illegal black open access websites (e.g. Sci-Hub). 141 Information on the retraction of articles should in principle appear first on the publishers' 142 websites and flow to other research websites and servers. Nevertheless, some publishers 143 are still not identifying retractions correctly on their websites [21,28-30]. In a research article 144 from 2013, of 233 retracted articles studied, 52 (22.3%) were not flagged as being retracted 145 [28]. If articles are not corrected on publishers' websites, other sites hosting references 146 and/or full-text articles online may fail to identified them as retracted. For example, a 147 research article from May 2022 has shown that among the 30 preprints linked to retracted 148 journal publications present on Research Square, bioRxiv, and medRxiv, only 16 (53.3%) 149 included an indication of the retraction on the preprint [32]. Multidisciplinary databases such 150 as Scopus and the WoS do not always clearly and consistently display retractions [29], and 151 while Google Scholar is widely considered to be the most comprehensive source of scientific 152 information [40], it is well known to lack quality control and clear indexing guidelines [41]. For 153 example, two high-profile articles related to COVID-19 published in NEJM [42] and in The 154 Lancet [43], survived as non-retracted articles in Google Scholar five months after their 155 retraction [38]: 19 versions for the NEJM article, and 59 versions for The Lancet article.

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157 The bibliographic database specialized in biology and medicine PubMed best adheres to 158 procedures for documenting and updating retracted publications and is considered "the 159 authoritative source for information about retractions" [44]. In contrast, there is currently no 160 data available on the extent to which sites like Google Scholar, ResearchGate and Sci-Hub 161 mark retracted articles as retracted on their websites. We checked 500 randomly selected 162 retracted articles (from a total of 8559 retracted articles listed in PubMed in April 2021) for the presence of any information allowing identification of retraction in references and full-text 163 articles (Table 1). Roughly 66.3% of references hosted by Google Scholar were not 164 165 identified as retracted, and 22.9% of references and 81.8% of the full texts of retracted articles hosted by ResearchGate made no mention of retraction. In Scopus and the WoS, 166 54.6% and 41.9% of references were not identified as retracted, respectively. A 2021 167 168 research letter study corroborates our results with the poor identification of retracted articles 169 we observed in Scopus (50%) and the WoS (40.6%) [45]. Sci-Hub is widely used by 170 researchers and allows clinicians, especially in low income countries, to obtain essential 171 information and respond appropriately to patient care needs [46,47]. In our sample, more 172 than 71% of full texts downloadable on Sci-Hub were not marked as retracted. Researchers 173 using Sci-Hub thus have the double burden of not having good access to full text articles. 174 and also using a potentially biased subset of articles that does not account for retractions. 175 For comparison, publishers did a somewhat better job of identifying when an article was 176 retracted, but still did not flag 20.8% of references and 18.1% of full texts of retracted articles 177 as retracted (Table 1).

178

179 Table 1: Publishers' websites and sites hosting references and/or full-text articles

180 insufficiently identify retracted articles

181 A search for retracted articles was done on PubMed on April 8th 2021. A total of 8559 journal articles were 182 retrieved from which 500 were randomly selected. For this, a random sequence of integers between 1 and 8559 183 was generated using Random.org and attributed to the 8559 articles. An ascending classification was performed 184 based on these random integers, and only the first 500 articles were considered for analysis. A check for the 185 presence of any information allowing identification of retraction in references and full-text articles was performed 186 for each of these 500 articles and on each of the sites or services studied. Digital Object Identifiers (DOI) or titles 187 of articles were used as queries. All searches were conducted between April and July 2021. Full-text paywalled 188 articles on publishers' websites were searched using the institutional access of our university. Searches using 189 Sci-Hub were performed in France using non-university internet access. No university or institution affiliated with 190 the authors of this article were therefore involved in downloading articles via Sci-Hub.

191 "-" : unavailable

		Number of references available (%)	Number of references unidentified as retracted (%)	Of referenced articles, number of full text articles available (%)	Number of full text articles unidentified as retracted (%)
	Publishers'				
Publisher	websites	476 (95.2)	99 (20.8)	403 (84.7)	73 (18.1)
Subscription-	Scopus	403 (80.6)	220 (54.6)	N/A	N/A
based					
bibliographic					
databases	WoS	420 (84)	176 (41.9)	N/A	N/A
Free bibliographic					
database	Google Scholar	499 (99.8)	331 (66.3)	N/A	N/A
Academic social					
network	ResearchGate	497 (99.4)	114 (22.9)	176 (35.4)	144 (81.8)
Black open access					
(illegal)	Sci-Hub	437 (87.4)	330 (75.5)	435 (99.5)	310 (71.3)

Reducing citations of retracted articles needs action by publishers, sites hosting references and/or full-text articles, and authors

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196 A number of solutions have been proposed to better recognize when articles have been 197 retracted online and to decrease their being cited. Most obviously, retracted articles should 198 be unmistakably identified as such by publishers: Suelzer et al. and Frampton et al., have 199 suggested that these ones should systematically add the prefix of 'retracted' to the title of 200 retracted articles [45] and diagonally watermark each page of the full text article stating 201 "retracted" or "withdrawn" [10]. As pointed out by Frampton et al., publishers fail to alert 202 readers to retractions because some are not sufficiently "aware of the problem" [10]. It is 203 important therefore that the limitations in the retraction process are widely communicated 204 and that publishers share their best practices [10]. Improvement in adherence to COPE 205 guidelines could improve this situation [3,28], but this step alone would of course not solve 206 all problems. Indeed, there are potential limits to interoperability and the workflows that 207 update articles because international standards regarding the transmission and display of 208 metadata for retracted publications are still under development [29]. These difficulties alone 209 do not explain the poor performance of Google Scholar or Sci-Hub in correctly identifying 210 retracted articles. The fact that PubMed can and does update its references to reflect article 211 retractions suggests that article retractions have not been recognized or considered a priority 212 by these other sites. Retraction Watch DataBase (RWDB) has been specifically developed to 213 report retracted articles [48]. It has identified more than 40000 retracted articles in all 214 disciplines. It is the most comprehensive and largest database of retracted articles, and its 215 content is regularly updated [4]. Considering partnership with the RWDB and/or referring to 216 PubMed to identify retracted articles could be a solution for these sites.

217

218 For their part, authors can identify retracted articles by searching PubMed for "Retracted 219 publication [pt]", where the term "pt" in square brackets stands for publication type, or by 220 directly to PubMed list of retracted aoina the publications 221 (https://pubmed.ncbi.nlm.nih.gov/?term=retracted+publication+%5Bpt%5D) [44]. As a result 222 of partnering with the RWDB, reference management software such as Zotero and EndNote 223 are another efficient way to avoid citing retracted articles [20]. Unlike other reference 224 management software services (e.g., Mendeley), Zotero and EndNote have built-in 225 capabilities for monitoring retractions by checking the RWDB for retracted documents. This 226 feature was developed in partnership with the RWDB in 2019 for Zotero and in 2021 for 227 EndNote. As an example, retracted publications stored in Zotero and also present in the 228 RWDB are flagged in the Zotero article list, warning users that the stored article is retracted (Figure 1). In addition, each time the software is run, all articles are checked for retractions in the RWDB, allowing automatic updates over time. In our sample, Zotero or EndNote (and therefore the RWDB), would have identified 477 of the 500 retracted articles as being retracted (95.4%). Nowadays "inappropriate citations of retracted articles are difficult to excuse" [49] according the partnership of Zotero and EndNote with RWDB, and the absence of drawbacks to use these tools (except for EndNote which is a commercial software).

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236 Publishers can also do more to avoid publishing articles citing retracted articles without 237 reference to the retraction. In 2006 the members of the International Committee of Medical 238 Journal Editors (ICMJE) changed the Uniform Requirements for Manuscripts to specify that it 239 is the author's responsibility to check their manuscripts for and remove references to 240 retracted articles [44]. To this end, publishers could require authors to attest that they have 241 checked their submitted manuscripts' references and that no retracted articles are included 242 unwittingly [45]. Unfortunately, few publishers have included such statements in their 243 submission guidelines (for example Plos One or Visualized Cancer Medicine), and these 244 recommendations do not address the issue (for authors and peer reviewers who depend on 245 the same information sources [20]) because retracted articles are not systematically 246 identified as retracted across online databases. Manuscript submission platforms could also 247 help authors identify retracted articles in their reference list. Some platforms, such as 248 Editorial Manager, in partnership with scite_ [50], have developed reference check tools to 249 track retracted article during manuscript submissions. Needless to say, not all journals (and 250 not even all journals hosted by Editorial Manager) use this tool.

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	An item in your database ha	s been retracted. <u>View Item</u>	×	
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28	Determination of mutated genes in the prese 2	Zhang et al. Dec 05 28/10/2021, 16:3	Issue 7263	



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Fig 1 | Display of a retracted article in Zotero

255 In conclusion, despite COPE and ICMJE guidelines and the existence of reference 256 management software services such as Zotero or EndNote, amidst a growing number of 257 journals and a rising rate of retracted articles in the past two decades, retracted articles are 258 not universally marked as retracted across multiple websites hosting references and/or full-259 text articles. Retracted articles continue to be cited long after their retraction, propagating 260 errors and possibly affecting the accuracy of subsequent analyses [19–25] and, may have 261 potential consequences in medicine for patient health [10,17,18]. Guidelines regarding 262 retracted articles have existed for almost 25 years but have failed to improve the situation. 263 This may be, in part, because the COPE guidelines do not provide any specific 264 recommendations for tracking and deleting unlabelled retracted articles present on a 265 multiplicity of websites and sites hosting references and/or full-text articles once a decision 266 has been made to retract an article. It is also possible that the resolution of this problem, 267 being dependent on multiple actors, has experienced an effect of dilution of their individual 268 responsibility. We also assume that given that retractions are relatively uncommon, most 269 researchers do not feel concerned by this problem. Nevertheless, the scientific community is 270 increasingly aware of scientific integrity issues over time due to the rising of open science 271 practices [51]. In the long run, this could put pressure on the journals or sites hosting articles 272 to improve their practice regarding article retractions, which could indeed see in it an

273 opportunity to stand out from the other actors in an increasingly competitive field. In any 274 case, solving the worrying problem of the citation of retracted articles is a collective 275 responsibility that requires a real commitment on the part of all actors involved in scientific 276 publication.

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