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# *In situ* ultrasonic interface tracking for photovoltaic silicon directional solidification

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## Abstract

This paper presents an experimental validation of an *in situ* ultrasound technique for tracking the solid-liquid interface during directional solidification of photovoltaic silicon. Ultrasound bursts are introduced from the top into the melt via the tip of a carbon glass waveguide directly plunged into the liquid. Several runs of solidification have been conducted using the same waveguide thus demonstrating its reusability, its mechanical resilience and its appropriate acoustical and chemical compatibility with the melt. We present the times of flight analysis to track the location of the solid-liquid interface. As part of the signal crosses the solidifying ingot, we also measure  $c_s$ , the average celerity of sound waves in the solid. Since  $c_s$  exhibits a significant dependance on crystal orientation, we consider the possibility to use the echoes to extract some information on the crystalline orientation in the ingot. By quantifying the uncertainties and their dependence with the duration of the experiment in particular, we outline the conditions necessary to detect accurately the average orientation in the solid.

*Keywords:* A1. Directional solidification, A1. Characterization, A1. Interfaces, A1. Acoustic diagnostics, B2. Semi-conducting silicon

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## 1. Introduction

Introducing MHz range ultrasounds in directional solidification processes may open numerous perspectives. The estimation of the position and velocity of the solid-liquid (*s-l*) front is a key issue for mastering solidification recipes, specially in the case of the photovoltaic industry, where the polycrystalline and mono-like silicon ingots have been increasing in size with years. Therefore the issue to monitor the (*s-l*) interface shape and position in time becomes more and more acute. However, for want of alternatives,

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manual dipping of the interface using a silica-rod still appears to be the most used technique with the major drawbacks to be intrusive and to require experienced operators while not producing continuous inline data. A number of other methods to track the solid-liquid interface have been reviewed by Grujic *et al.* [1]; among these, the interface tracking using ultrasound pulses in a time of flight analysis is a promising technique, also in connection with industrial implementation. In this approach, ultrasounds are usually introduced in the process using a piezoelectric transducer which operates at ambient temperature far below the fusion temperature  $T_f$  of the grown material. A waveguide is then required to transfer the acoustic signal from the transducer to the material at  $T_f$ . Contactless solutions to create and detect ultrasounds have to be mentioned but are out of scope of this study, as these solutions require more complex and expensive setups featuring infrared lasers [2, 3], electromagnetic ultrasounds generators [4, 5] or direct visualisation in dedicated furnaces [6, 7, 8].

The solid material itself can be used as the waveguide. Indeed, both in the pioneering work of Parker and Maning [9] and in the works of Drevermann and co-workers [10, 11, 12], ultrasounds are introduced in the solid phase at the cold side of directional solidification furnaces. As a consequence, the sample cannot be fully melted since this would damage the ultrasound source. The authors consider different metallic alloys including doped silicon. Dold *et al.* [10] highlight the possibility of controlling the growth velocity by coupling the ultrasound measurements with the furnace control system. They monitor the (*s-l*) interface during the growth of doped silicon in a radiation-heated floating-zone furnace. A quartz glass rod is used for insulation between the transducer and the solid Si which has initially 300 mm length and 10 mm diameter. They also discuss in detail the variations of longitudinal and transverse sound velocities along this solid crystal with one end at less than 150 °C and the other end at more than 1400 °C.

Another option is to use a solid waveguide to introduce the ultrasounds from the hot side of the furnace directly into the melt. This method has demonstrated its interest in several configurations considering metallic alloys. In Al-based alloys, for example, ultrasounds are used for interface tracking [13, 14], but also particle detection [15], acoustic velocimetry [16, 17] and action on the process through acoustic streaming and cavitation [18, 19, 20]. A recurring issue is to define whether the waveguide has to be water-cooled. Another issue is to choose a material offering a satisfying compromise between chemical affinity with the melt, ensuring a good wetting, and high corrosion resistance but also keeping appropriate mechanical and acoustical properties over several hours and possibly several runs. Having an acoustic impedance similar to the melt impedance is also an advantage since this implies an optimum acoustic coupling. Trempa *et al.* [21] used a silica rod as a waveguide to drive ultrasounds into the liquid phase during Si solidification. Water is used both as a coolant of the ultrasonic transducer and as an acoustic coupling material between the transducer and the silica rod. Note that such a silica waveguide might lose its mechanical strength and react with the surrounding liquid Si, producing silicon oxide SiO. This could induce both the degradation of the waveguide and the contamination of liquid Si by oxygen, which could be detrimental to the grown silicon crystal quality.

Further uses of ultrasounds in melts can be considered in a long term perspective:

55 *in situ* diagnostics such as measurement and monitoring of the convective flow; *in situ*  
actions such as introducing stirring during solidification, often mentioned as acoustic  
streaming.

From this state of the art, our team has developed an ultrasonic waveguide solution  
60 to improve photovoltaic silicon elaboration processes [22, 23, 24, 25]. Essentially made  
of a carbon-glass rod, it does not require water cooling, has a good affinity with silicon  
and appropriate mechanical strength at temperatures higher than 1400 °C. The present  
paper describes a validation experiment for the suitability and the reusability of this  
65 device for tracking the interface of solidifying silicon. This (*s-l*) front tracking experiment  
has been performed in a small vertical Bridgman induction furnace, the BEATRICE  
furnace. It accepts typical Si loads of 100 g (see figure 1) and is operated at CEA INES  
in Chambéry, France. In the following section 2, the experimental apparatus and protocol  
are described. The section 3 is dedicated to the analysis of the echoes in a fully melted  
70 silicon. Section 4 presents the validation of our waveguide capabilities to track the (*s-l*)  
moving interface but also the opportunity it offers concerning inline, *in situ*, detection  
of crystalline orientation. Section 5 provides supplementary information concerning the  
crystal orientation effectively observed in this experiment.

## 2. Experimental apparatus and protocol

The waveguide has been designed and manufactured according to reference [26]. It is  
75 essentially a rod made of carbon glass V25 from Mersen™. This material (95 % of carbon)  
has undergone a thermal annealing at 2500 °C in order to keep stable thermomechanical  
properties at the operating temperatures of Si growth, which are slightly higher than  
1414 °C. Its surface presents only very few open porosities. It also has a very good  
affinity with silicon: its wetting by liquid silicon results in the formation of a very thin  
80 layer of silicon carbide (SiC) on the imbedded surface [27, 28]. This SiC layer is itself  
wetted by the liquid silicon, which naturally ensures a good mechanical contact with  
the waveguide; this feature is illustrated on the photograph of figure 1 by the presence  
of a pending drop at the tip of the waveguide extracted from the melt few seconds earlier.

85 The velocity of longitudinal and transversal waves of carbon glass have been mea-  
sured on a sample cylindrical rod of 8 mm in diameter and a length of 153.35 mm. We  
used contact transducers of 5 mm in diameter and a central frequency of 5 MHz for the  
longitudinal waves transducer and 2.25 MHz for the shear waves transducer. The trans-  
ducers were excited by very short pulses. The electronic damping has been chosen to get  
90 very short echoes. The transducers were successively bonded to one side of the rod and  
used in a pulse-echo configuration. Then the velocity of ultrasonic waves was deduced  
from the measured time of flight between two successive reflexions from the opposite side  
of the rod. The values are 4337 m.s<sup>-1</sup> for longitudinal wave and 2645 m.s<sup>-1</sup> for the  
transversal waves. The error on these velocity measurements can be evaluated to less  
95 than 1 %. The mass density of the material is 1500 kg.m<sup>-3</sup>.

For the waveguide used in the furnace, a piezoelectric pad (provided by Signal Process-  
ing SA) is glued directly at one end of the carbon glass rod of 10 mm in diameter  
and approximate length 500 mm, to emit and receive the 4.5 MHz burst signal.

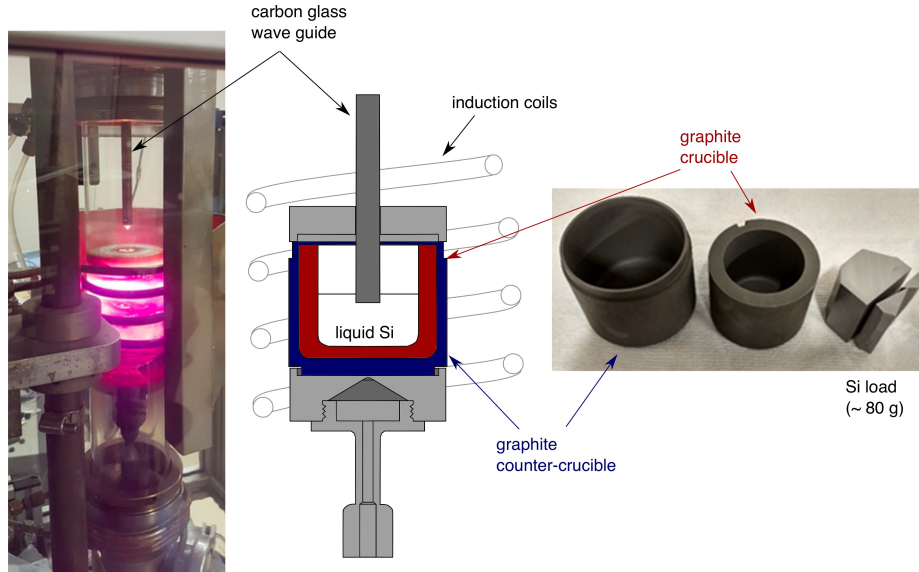


Figure 1: Left: photograph of the apparatus: the induction furnace contains a melted silicon load; a silicon drop still hangs at the tip of the waveguide that has been dipped into the melt during several dozens of minutes before being removed. Middle: scaled illustration of the furnace in which the waveguide (dark grey rectangle), the graphite crucible (red) and the counter-crucible (blue) are represented. Right: the silicon load, the graphite crucible and the counter-crucible.

100 Let us recall that the acoustic intensity transmission coefficient for normal wave incidence between two semi-infinite media of respective acoustic impedance  $Z_1 = \rho_1 c_1$  and  $Z_2 = \rho_2 c_2$ , is:

$$T_{\infty, \infty} = \frac{4Z_1 Z_2}{(Z_1 + Z_2)^2} \quad (1)$$

As can be seen from the material properties in table 1, the transmission coefficient between carbon glass and liquid silicon near the fusion point is thus expected to be 96 %  
 105 (considering the SiC layer to be too thin to have an influence).

The waveguide is inserted vertically from the top through the only access into the furnace. A graphite cylindrical crucible of inner diameter 40 mm and height 45 mm is used; the side wall is 15 mm thick while the bottom wall is 5 mm thick. Its weight is  
 110 96.6 g. It is set within a counter crucible, made of the same material, of inner diameter 55 mm, height 53 mm and weight 70 g. External walls are 8 mm thick. The 80.2 g silicon load originated from a mono-crystal grown in a CZ furnace.

The data acquisition chain simply consists in a wave generator emitting a burst every  
 115 minute. The same transducer, mounted on the waveguide, is used to emit the bursts and listen to the echoes between two bursts. A digital scope HD06054-MS triggered by the wave generator records each burst and its echoes after travelling through the setup. We initially intended to use bursts constituted by three periods of a 4.5 MHz sine with

Material	c (m.s <sup>-1</sup> ) (longitudinal waves)	$\rho$ (kg.m <sup>-3</sup> )	$Z = \rho c$ (10 <sup>6</sup> kg.m <sup>-2</sup> .s <sup>-1</sup> )	$T_{\infty, \infty}$
Carbon glass	4337	1500	6.51	
Liquid Silicon	3920	2570	10.07	0.96
Solid silicon (at melting temperature)	[7630 - 8600]	2310	[17.62-19.86]	[0.93-0.90]
Graphite crucible	3100	1770	5.49	[0.72-0.68]

Table 1: Sound wave celerity, density and acoustic impedance of the different materials in the set-up (from ref. ([9, 10, 29, 30, 31, 32, 33, 34]) and the resulting intensity transmission coefficients through the interfaces with normal incidence as if they were between infinite domains (see eq. (1)). The materials are sorted in the order of the path followed by the acoustic signal in the set-up. The range given for solid silicon celerity refers to crystal orientation (1 0 0) and (1 1 1) respectively ([9]) and are considered as upper and lower bounds. Consequently, acoustic impedance and transmission coefficient depending on the solid Si celerity are given as ranges. Celerity data for carbon glass have been measured experimentally and data for graphite have been extracted from times of flight.

10 V peak-to-peak amplitude. However due to the acoustic backing material made in epoxy, the emitted burst is significantly deformed and gives rise to a travelling double wave packet.

The same waveguide has been used for several preliminary experimental runs aiming at choosing the best emission and acquisition parameters for the validation run. This waveguide has thus worked in operating conditions during several hours in total while the tip was immersed in liquid Si slightly above the fusion temperature,  $T_f$ . The integrity of the waveguide has been visually examined and confirms the robustness and suitability of the material for this working fluid. Reusability remains the advantage of this new waveguide with its acoustic impedance matching with liquid silicon.

### 130 3. Echo analysis for fully molten silicon

In a preliminary experiment, involving a slightly larger Si load, the waveguide has been vertically displaced within a crucible full of liquid silicon with the objective to detect the echo at the interface between the liquid and the crucible. The received signal from the emitted burst is interpreted in terms of times of flight to detect the distance between the tip of the waveguide and the crucible. Thanks to the value of the acoustic reflection coefficient between liquid and graphite  $r_{lg} = \frac{Z_l - Z_g}{Z_l + Z_g} = 0.29$ , the interface echo, denoted  $I_1$  has sufficient amplitude to be observed.

As an illustration, the received waveform when the tip of the waveguide is located at  $e_{l_0} = 21$  mm from the crucible is depicted in top figure 2. First, two peaks labelled  $WG_1$  and  $WG_2$ , corresponding to back reflections from the tip of the waveguide, are observed. They are expected to have constant times of flight for any position of the

waveguide. They are followed by noisy wave packets of smaller amplitude, labelled  $WG_3$  and  $WG_4$  which are attributed to multiple reflections of emitted signal. Around time of flight  $t_f = 233 \mu\text{s}$ , the peak labelled  $I_1$  matches with a signal travelling into the liquid Si between the tip of the waveguide and the crucible surface. When the solid Si will grow (see section 4), this wave packet will correspond to the solid-liquid interface and its time of flight will evolve with the growth of the solid Si layer. It is interesting to note that after  $t_f = 235 \mu\text{s}$ , a pair of echoes labelled  $C_1$  and  $C_2$  is received. Their times of flight are larger than the one associated with  $I_1$ ; these echoes thus come from a wave which has crossed the crucible surface and travelled within the graphite bottom wall, consistently with the value of  $r_{lg}$ . After  $t_f = 240 \mu\text{s}$ , the signal becomes noisy because of acoustic waves interfering with each other.

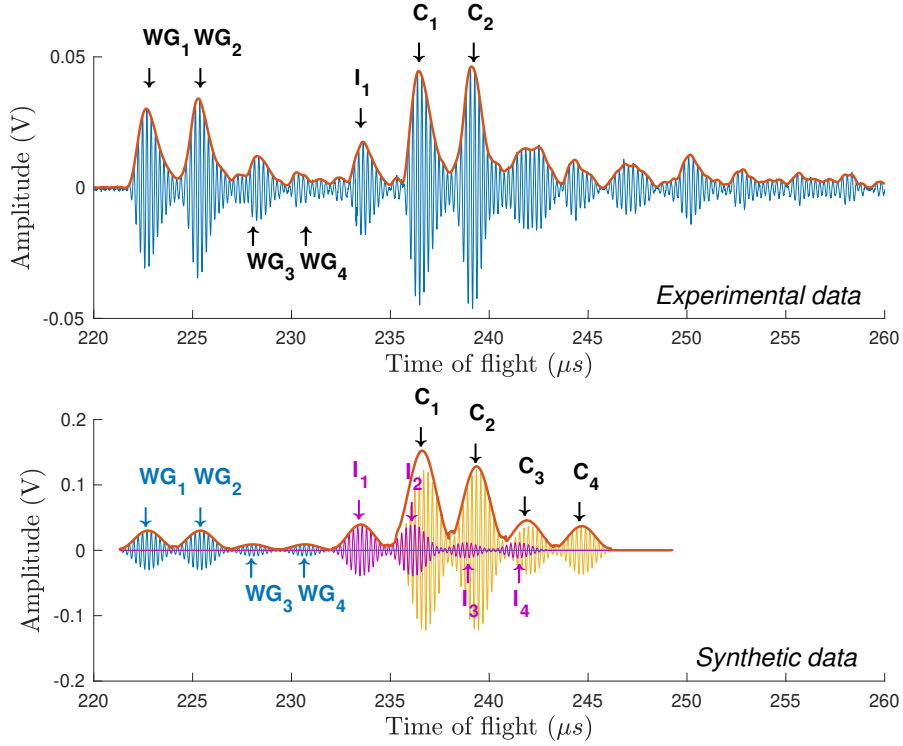


Figure 2: Top: experimental time series of the received signal when the silicon is fully liquid and the distance between the tip of the waveguide and the crucible is set to  $e_{l_0} = 21 \text{ mm}$ . Echoes  $WG_1$  to  $WG_4$  are back reflections inside the waveguide. The echo  $I_1$  is interpreted as the reflection at the crucible surface. Echoes labelled  $C_1$  and  $C_2$  corresponds to the reflections on the bottom of the crucible after crossing the 5 mm of graphite thickness. Bottom: synthetic data with calculated times of flight highlighting that echo  $I_2$  is hidden by the echo  $C_1$  in the experimental data (see text for details). Red curves are the envelopes of the signals.

A comparison with synthetic data built with Hamming window of 4.5 MHz sinusoidal signal is proposed in bottom figure 2. Arrival times have been calculated using

the thickness values and the material properties listed in table 1. One identifies the echoes  $WG_1$  to  $WG_4$  in blue, synthesized with 13 periods sine wave in hamming window with a  $2.65 \mu\text{s}$  delay between each waveform. Amplitudes have been set to match the experimental signal. It is followed by two pairs of echoes  $I_1, I_2$  and  $I_3, I_4$  in purple starting from  $t_{f_{I_1}} = t_{f_{WG_1}} + 2e_{l_0}/c_l \simeq 233.5 \mu\text{s}$  considering waves travelling through the liquid Si layer of thickness  $e_{l_0} = 21 \text{ mm}$  and with  $c_l$  the celerity of sound waves in the liquid Si. Times of flight of  $C_1$  and corresponding delayed echoes are calculated by adding the travel across the bottom of the graphite crucible of thickness  $e_g = 5 \text{ mm}$ :  $t_{f_{C_1}} = t_{f_{I_1}} + 2e_g/c_g$  with  $c_g$  the celerity of sound waves in the graphite. The excellent matching of the synthetic data with the experimental ones in terms of times of flight, indicates that  $C_1$  and  $C_2$  can indeed be interpreted as waves crossing the bottom surface of the crucible before being reflected back. Note that, according to the synthetic data, the time of flight of  $I_2$  is close to that of  $C_1$ , explaining why  $I_2$  is not directly visible on the experimental signal. Actually,  $C_1$  echo is a mix of the reflected wave at the bottom of the graphite crucible and the second echo  $I_2$ . As a first approach, the amplitudes of the synthetic signal have been estimated using the transmission/reflection coefficients with normal incidence for a single plane wave (see table 1). This framework makes the comparison between experimental and synthetic amplitudes less satisfactory than in terms of times of flight. Indeed, the  $WG_i$  amplitudes clearly appear to be too small in proportion with  $I_i$  and  $C_i$ . This could be attributed to a still better transmission coefficient from the waveguide to liquid silicon, which is already of 96% or even to the actual structure of the beam which is likely an angular distribution of plane waves, instead of a single plane wave. Note that total reflection is assumed at the bottom of the crucible so that energy is conserved, whereas further transmissions and reflections may occur in reality, leading to the experimentally observed complex signal after a time of flight of  $247 \mu\text{s}$ .

The envelope of the signal is plotted as a full red line. It has been extracted from the time-series, using the *envelope* built-in function of Matlab<sup>™</sup> and low-pass filtering the result with a 4 MHz cut off frequency. While the waveguide is moved vertically, the echo  $I_1$  (with a time of flight denoted  $t_f$ ) is tracked by applying a correlation algorithm between time series for each given position and a reference signal. The latter is taken when the waveguide tip was into contact with the crucible for which the time of flight for  $I_1$  is denoted  $t_{f,0}$ . The delay  $\tau = t_f - t_{f,0}$  corresponding to the maximum of the cross-correlation function was considered as the time of flight in the liquid between the waveguide tip and the crucible bottom. The celerity of ultrasounds in liquid silicon was taken as  $c_l = 3920 \text{ m.s}^{-1}$  (see table 1). A measurement point was taken every  $5 \text{ mm} \pm 1 \text{ mm}$  from the bottom of the crucible to a maximum distance of  $30.5 \text{ mm}$ .

The result plotted in figure 3 depicts the reconstructed distance  $d$  from the time of flight as  $2d = c_l\tau$  as a function of the distance mechanically measured. The agreement is excellent. The same cross-correlation technique will be used to extract the signal interface in silicon solidification run.

With this preliminary experiment, the waveguide is shown to be capable of emitting and receiving ultrasound bursts within the liquid silicon.



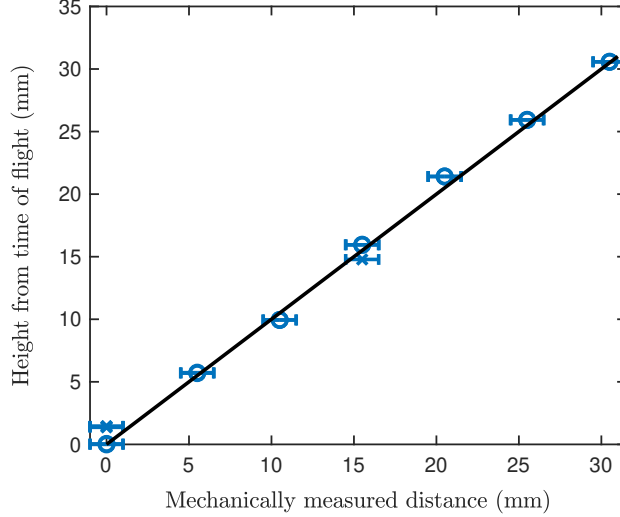


Figure 3: Preliminary echography in a graphite crucible containing liquid silicon. The waveguide tip is immersed in the melt and the time of flight associated to echo  $I_1$  is measured using a cross-correlation approach to recover the distance between the waveguide and the crucible. The distances recovered from time of flight measurements are represented by blue circles 'o' when the waveguide is moved away from the crucible and by blue crosses 'x' when it is displaced in the opposite direction. Horizontal error bars of 1 mm comes from the distance evaluated mechanically. The black line ( $y = x$ ) corresponds to the expected prediction.

## 4. Solidification interface tracking

### 4.1. Front velocity estimation $v_i$

The next validation experiment is the tracking of the interface position during silicon growth. The waveguide tip was immersed in the melt and set at approximately 21 mm above the bottom. The induction heating power is decreased with a 50 °C/h ramp for 1 hour and then a 35 °C/h ramp during the measurements. The time  $t = 0$  coincides with the beginning of the second cooling ramp. The first layer of solid silicon appears at  $t_0 = 49$  min, according to the acoustic diagnostic.

The signal evolution during the solidification experiment is plotted in figure 4 as a spatiotemporal-like diagram. The colored level corresponds to the amplitude of the envelope of the received signal. The abscissa, graduated in microseconds, gives the time elapsed since the emission of a burst by the transducer, also referred to as the time of flight; the corresponding sampling rate is 100 MHz. The ordinate, graduated in minutes, gives the time elapsed since the beginning of the second cooling ramp; with a sampling rate of one minute. Note that a movie showing the signal evolution during the solidification experiment is attached to the online version of the present article as a supplementary material. As sound undergoes several echoes in the system, the received signal is complex, as described in the previous section. Before  $t_0 = 49$  min, the crucible is full of liquid and the echoes emphasized in figure 2 are recovered.  $WG_1$  and  $WG_2$  are clearly visible at  $t_f = 222.5\mu s$  and  $t_f = 225\mu s$ . As the waveguide geometry is fixed all along

the experiment, these events correspond to constant time of flights and result in straight vertical stripes on the spatiotemporal diagram of figure 4.

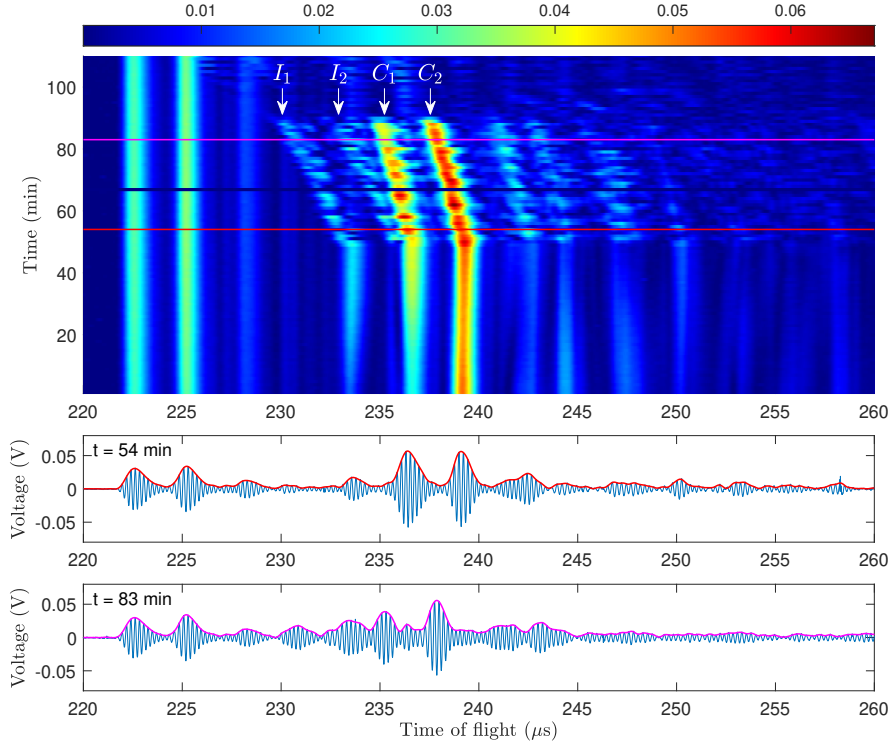


Figure 4: Top: Signal amplitude evolution during the solidification experiment. The color levels correspond to the magnitude of the received signal envelope (in red in fig 2). The horizontal axis indicates the time elapsed since the emission of a burst by the transducer, also referred to as the time of flight. The vertical axis corresponds to the time elapsed since the beginning of the recording. The echoes of interest have been labelled  $I_1$ ,  $I_2$ ,  $C_1$  and  $C_2$  and correspond to reflections on silicon ( $s-l$ ) interface and crucible bottom surface respectively. Middle: Time series of the received signal at  $t = 54$  min, few minutes after the start of solidification. Bottom: Times series for  $t = 83$  min (corresponding to the purple horizontal line in the top diagram).

The event labelled  $I_1$  in figure 2 is attributed to the acoustic reflection occurring at the ( $s-l$ ) interface. After roughly 50 minutes of acquisition, the solidification is observed  
 225 to start. As it can be seen in figure 4, this  $I_1$  event shifts leftward as the solidification progresses, which corresponds to the upward motion of the solid-liquid silicon interface. As the solid layer grows, the signal reflected at the interface undergoes a smaller distance therefore yielding a smaller time of flight. The echo  $I_2$ , hidden by  $C_1$  before the solidification begins, becomes clearly dissociated from  $C_1$  after  $t_0 = 49$  min; with the  
 230 same slope as  $I_1$ . The two most significant events labelled  $C_1$  and  $C_2$  are attributed to

the reflections at the graphite crucible bottom surface after being transmitted through the solid Si layer and graphite crucible bottom thickness. Indeed,  $C_1$  and  $C_2$  shift leftward with a less important slope than the ( $s$ - $l$ ) interface (echo  $I_1$  and  $I_2$ ). This is due to the significant difference in sound wave celerity between the solid and the liquid silicon: a straightforward time of flight calculation shows that the theoretical slope ratio is  $\frac{(c_s - c_l)}{c_s}$ , where  $c_s$  denotes the celerity of sound in the solid silicon layer. Interestingly, information concerning the celerity of sound in the grown crystal is therefore contained in the time of flight of  $C_1$  and  $C_2$  echoes (see further developments in section 4.2).

We can see that the amplitudes of  $I_1$  and  $I_2$  are smaller than the amplitudes of  $C_1$  and  $C_2$ ; this is consistent with the small value of the estimated energy reflection coefficients ( $1 - T_{\infty, \infty}$ ) at the interface between liquid and solid silicon (or even solid graphite). As observed in figure (4), a significant loss of signal occurs after 90 minutes of experiment. The reasons for this loss of signal are unclear: a significant curvature of the interface due to solidification from both bottom and side of the crucible, but more likely a solid silicon chunk has grown at the tip of the waveguide, deteriorating the acoustic signal. Interestingly, successive minima and maxima are observed on the  $I_1$ ,  $I_2$ ,  $C_1$  and  $C_2$  echoes as the solidification evolves. They appear as nearly periodic patterns and might be attributed to finite thickness layer effects: maxima are indeed expected when the solid silicon thickness corresponds to multiples of the half acoustic wavelength in the solid ( $\lambda = c_s/f_0 \simeq 1.9$  mm). As the solid silicon grows from zero to 25 millimeters on the whole experimental observation, the final thickness of the solid silicon layer is indeed not far greater than the acoustic wavelength ( $\sim 26\lambda/2$ ), possibly explaining the occurrence of the amplitude variations.

The local maxima of the echoes corresponding to  $I_1$ ,  $I_2$  have been detected and followed during 42 minutes after the beginning of solidification. The resulting data have been converted into solid Si layer thickness,  $e_{Si} = \frac{c_l \tau}{2}$  with  $\tau = t_{f, I_i}(t_0) - t_{f, I_i}(t)$  the difference of time of flights between a given time  $t$  and the reference time  $t_0$  associated with the beginning of solidification. The results are plotted in figure 5(a). The blue and red 'x' symbols correspond to data from  $I_1$  and  $I_2$ , respectively, assuming  $c_l = 3920$  m.s<sup>-1</sup>. The evolution of the solid thickness is modeled as a linear function within the observed time, giving a constant average interface velocity  $v_i = 9.23$  mm.h<sup>-1</sup>, a value in excellent agreement with manual dipping performed in previous crystallization runs, with the same thermal recipe. Dispersion of maximum values of echoes can be due to small departure from planar interface.

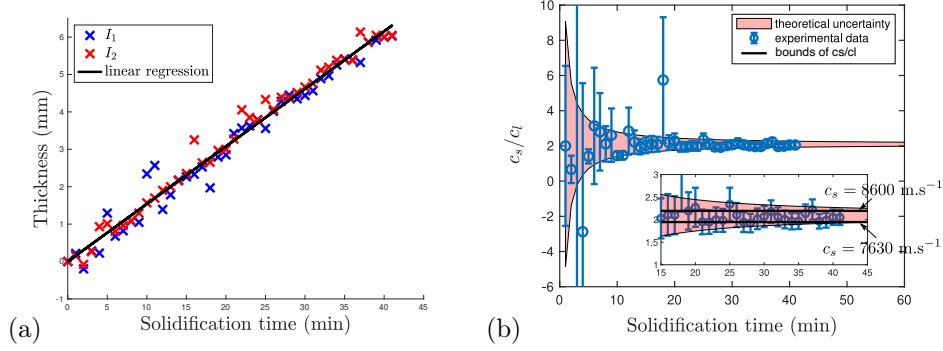


Figure 5: (a) Solid Si layer thickness evolution during the solidification experiment. 'x' symbols correspond to echoes from the (*s-l*) interface assuming  $c_l = 3920 \text{ m.s}^{-1}$ . Colors correspond to the analysis of first and second wave packets  $I_1$  and  $I_2$ . The linear regression in solid black line gives the front velocity  $v_i = 9.23 \text{ mm.h}^{-1}$ . (b) Ratio of sound celerities in the solid and liquid silicon as a function of time obtained by analyzing the times of flight of the echoes  $I_1$  and  $C_1$  (see eq.(2)). The error bars correspond to a standard error propagation formula considering a constant overestimated discrepancy on the time of flight detection,  $\Delta\tau = 0.1\mu\text{s}$ . The red shaded area is the confidence interval estimated from eq.(3) (assuming a constant interface velocity) and arbitrarily centered on the value deduced from  $c_s = 8200 \text{ m.s}^{-1}$ . The inset is a zoom over the last 30 minutes of solidification. The solid black lines are the upper and lower bounds of  $c_s/c_l$  for the (1 1 1) and (1 0 0) grain orientation estimated from literature, meaning  $c_s = 7630$  and  $8600 \text{ m.s}^{-1}$ , respectively, and with  $c_l = 3920 \text{ m.s}^{-1}$ .

#### 4.2. Crystal properties from acoustical measurements

The peculiar acoustic boundary conditions in our experiment allows the ultrasound  
 270 bursts to be well transmitted through the grown crystal and the graphite crucible before  
 being efficiently reflected, giving the strong echoes  $C_1$  and  $C_2$ . The analysis of times of  
 flight associated to these echoes can give valuable information on the properties of the  
 grown solid, especially the sound wave celerity  $c_s$ . As already mentioned in table 1, the  
 275 celerity of longitudinal waves for solid silicon depends on the crystal orientation and takes  
 values between  $7630 \text{ m.s}^{-1}$  for (1 0 0) orientation,  $8370 \text{ m.s}^{-1}$  for (1 1 0) orientation and  
 $8600 \text{ m.s}^{-1}$  for (1 1 1) orientation. The relative difference between the bounds of  $c_s$   
 is thus only of about 12%. Extracting a valuable information on the crystalline orientation  
 in the ingot from the acoustic signal thus requires a very accurate measurement of  $c_s$ .  
 280 We will consider only the first echo from the double wave packet, but the reasoning  
 remains the same for the second one. For a given time  $t$ , the thickness of the solid layer  
 can be expressed with both the time of flight  $\tau$  associated to  $I_1$  and the time of flight  
 $\tau$  associated to  $C_1$ :  $e_{Si}(t) = \frac{c_l}{2}\tau_I(t) = \frac{c_l c_s}{2(c_s - c_l)}\tau_C(t)$ . From these equations, we can  
 express the ratio of the sound celerities in the solid and liquid silicon as:

$$\frac{c_s}{c_l} = \frac{\tau_I}{\tau_I - \tau_C} \quad (2)$$

This quantity is displayed as a function of time in figure 5(b). The blue circles  
 285 representing the experimental data show a large scatter during the first minutes of so-  
 lidification when the thickness of the crystal does not exceed 1.5 mm. The uncertainties  
 on this quantity are related to the uncertainty in the detection of times of flight. As the  
 maximum amplitude of the wave packets on the acoustic signal is not very peaked, the  
 290 uncertainty of the correlation algorithm is estimated to be around half a period of the  
 acoustic signal ( $\Delta\tau = 1/(2f) \simeq 0.1\mu\text{s}$ ). The relative uncertainty plotted as error bars in  
 figure 5(b) is given by:

$$\frac{\Delta(c_s/c_l)}{c_s/c_l} = \frac{\Delta\tau_I}{\tau_I} + \frac{\Delta\tau_I + \Delta\tau_C}{\tau_I - \tau_C} \quad (3)$$

Assuming  $\Delta\tau_I = \Delta\tau_C$  constant, the uncertainty is found to decrease with time in connection with the increase of  $\tau_I - \tau_C$  the difference of time of flights (see figure 4). Considering  
 295 a constant value of front velocity  $v_i = 9.23 \text{ mm.h}^{-1}$ , the evolution in time of the uncertainty can be estimated by:

$$\frac{\Delta(c_s/c_l)}{c_s/c_l} = \frac{\Delta\tau}{2v_i(t-t_0)}(c_l + 2c_s) \quad (4)$$

This expression also gives a decrease with time and could in principle be reduced to very small values, while the ratio  $c_s/c_l$  is seen to converge to a value around 2.1 after 1 hour of solidification, as can be seen with the red shaded area in figure 5(b). A  
 300 zoom between  $t = 15$  and  $t = 45$  min is shown in the inset of figure 5(b). The two solid black lines are the upper and lower values of the ratio  $c_s/c_l$  with  $c_l = 3920 \text{ m.s}^{-1}$  and  $c_s \in [7630, 8600] \text{ m.s}^{-1}$ . We can clearly see that the observed dispersion is well estimated by this simple approach. Unfortunately, the error bars at the end of the run remain slightly larger than the difference between the values of  $c_s$  for (1 1 1) and (1 0 0)  
 305 orientations. Indeed, the experimental data oscillate between these bounds with a mean value at  $\langle c_s/c_l \rangle = 2.05 \pm 0.22$ , which gives  $\langle c_s \rangle = 8036 \text{ m.s}^{-1} \pm 10\%$ . This value is not close to any specific crystal orientation: the conclusion is that the grown ingot is most likely multi-crystalline, as expected for such a small amount of silicon. Note that this experiment was not initially designed to accurately measure the celerity in the solid. But  
 310 it is clear from equation (4) that reducing the uncertainty on  $c_s$  significantly below the range  $[c_s(1\ 1\ 1), c_s(1\ 0\ 0)]$  is possible, in particular in a bigger apparatus with a larger ingot thickness. Getting valuable inline information on the crystalline orientation is thus at reach.

## 5. Post-mortem crystal orientation analysis

As the silicon load is fully melted before starting the cooling ramp, there should not be  
 315 a preferential grain orientation at nucleation, and due to the reduced size of the crucible, polycrystalline configuration is rather likely to occur. Nevertheless, as growth proceeds, grain selection may take place, with the (1 1 1) orientation expected to be dominant as stated in the reference [7]. On the other hand, with a relatively small ingot as ours,  
 320 this grain selection mechanism can not be expected to be fully efficient and it can be expected that the grain structure should remain polycrystalline. To address the question of crystal orientation, EBSD (Electron Back Scattering Diffraction) has been conducted on the silicon ingot. A slice has been cut at height located at 3 mm and 7 mm, which roughly correspond to the middle and end of the front tracking experiment. Both faces  
 325 of the slice obtained have been polished and prepared for the analysis. A centered disk of 10 mm diameter has then been scanned by juxtaposing passes of smaller dimensions to cover the whole area. This choice is justified by the fact that the carbon glass waveguide has a 10 mm diameter and, considering that diffraction of the ultrasound beam is small, the area sampled by the ultrasonic beam is a cylinder of 10 mm diameter. The results  
 330 are shown in figure 6 in the form of EBSD-IPF (Inverse Pole Figure) maps for both faces of the slice. Colors are classically coded with respect to the main grain orientations.

Around 2300 grains have been detected on the lower face whereas 1300 grains have been counted on the upper face of the same area, showing an increasing grain size as

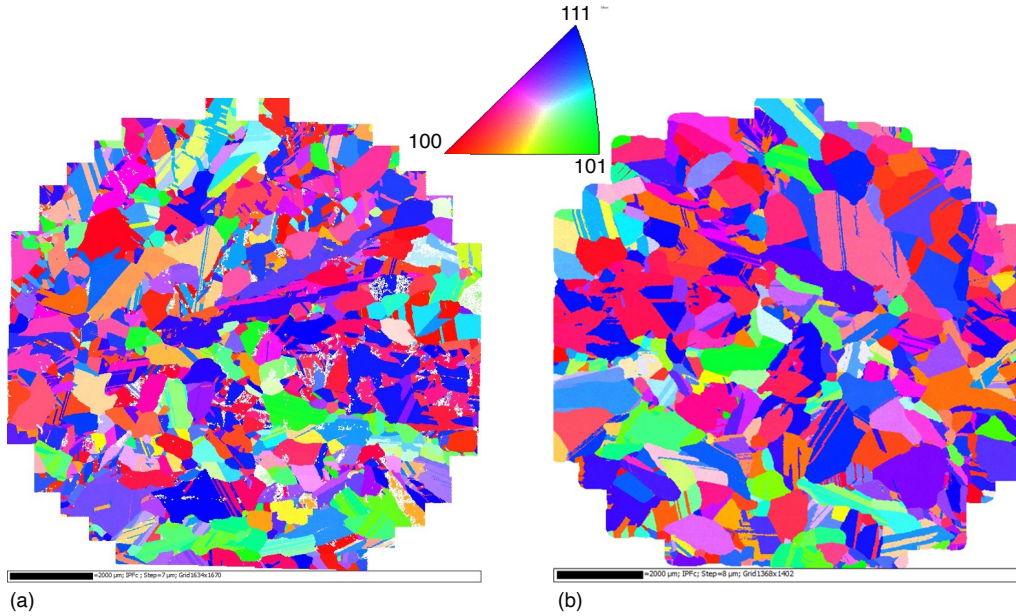


Figure 6: EBSD-IPF maps of 10 mm diameter area the solidified ingot (a) at 3 mm height ( $t \sim 20$  min), 26% of the scanned area corresponds to grains close to the (1 1 1) orientation, 16% to grains close to the (1 0 0) orientation, no other orientations representing more than 10% of the investigated area. (b) at 7 mm height ( $t \sim 46$  min), 30% of the scanned area corresponds to grains close to the (1 1 1) orientation, 17% to grains close to the (1 0 0) orientation, no other orientations representing more than 10% of the investigated area.

the crystal grows. A first observation is that the ingot is multi-crystalline without a dominant orientation of the grains. The (1 1 1) grain orientation, however, appears to have a slightly larger occurrence than the other orientations for both faces. It becomes more dominant for the upper face as it could be expected for this low growth rate ([7]). The acoustic echoes travelling through the silicon solid phase are sensitive to the sound celerity of the material. To account for the dependency of the celerity on the grain orientation, we have associated a value of sound celerity to each fundamental color in the RGB system. And, as a first approximation, we have then considered that the celerity in a grain can be computed from its color coordinates in the RGB system. Repeating the procedure for each pixel of the image gives then a surface weighted average estimate of the celerity. The obtained estimate for both sides of the slice is comparable and equal to  $c_s \simeq 8200 \text{ m.s}^{-1}$ . The relative difference with the experimental value obtained with times of flight analysis is only 2%.

## 6. Discussion and conclusion

The paper presents a technique to track the solid-liquid interface of photovoltaic silicon during directional solidification via ultrasound echo analysis. Acoustic bursts are introduced in the melted silicon thanks to a specifically-designed cylindrical waveguide. The material of the waveguide, carbon glass, ensures an excellent affinity and acoustic

matching with liquid silicon, and should result in acceptable contamination levels in the melt. An important advantage of this material relies on the reusability of the waveguide. It has been tested during several experimental runs (not all presented here), representing several cumulated hours of usage in melted silicon, without any damage.

The (*s-l*) interface is detected despite the low value of the reflection coefficient between liquid and solid silicon, thanks to a favorable signal to noise ratio. The signal from this interface is clear enough to make this technique potentially scalable to larger configurations. The echo analysis provides a very fast method to track the interface. The ease of implementation of this analysis, running on a laptop computer, opens the possibility to follow in line the position of the interface during solidification and to identify the velocity of the front. The accuracy of the ultrasound technique overrides manual or automated dipping techniques to track the interface position. The present experiment was dedicated to check the feasibility of emitting and receiving an echo from the (*s-l*) front with our decimetric, reusable waveguide. The goal has clearly been reached. Beyond the interface tracking, our technique provides an insight into the solidifying ingot by estimating the average sound wave celerity in the crystal, an information usually available only in *post-mortem* analysis. This is made possible by: i) a high transmission coefficient between liquid and solid silicon, ii) a high reflection coefficient on the crucible bottom (this depends on the crucible and furnace material and design) and iii) a relatively significant dependence of the sound celerity on crystal orientation. We showed that having an insight in average crystal orientation is within reach and that the accuracy of the result is dependent on the design of the furnace, the choice of the signal and the duration of the solidification. The reduction of the uncertainties is based on the increase of the acoustic frequency and of the duration of experiment. The first factor not only decreases  $\Delta\tau$ , the uncertainty on the time of flight but also reduces the interference effect when the solid thickness is close to the wavelength of the ultrasound. As the uncertainty on the times of flight detection decreases with time as  $1/t$ , the estimation of  $c_s$  gets better as the solidification advances, giving the possibility to eventually reach a value of  $c_s$  allowing to differentiate the average grain orientation of the grown crystal.

With the insurance of a good transmission of ultrasounds within liquid and solid silicon, further promising perspectives may be investigated such as the in-line detection of defects.

Other perspectives of this work concern the optimization of the waveguide [35] in order to access to finer diagnostics as well as the possibility to perform *in situ* ultrasound doppler velocimetry [16, 36, 37, 38], giving velocity profiles of the flow in the melt. As mentioned in the introduction, a step forward in the use of ultrasounds in melts like Si is to act on the flow to enhance or control the concentration of impurities by acoustic streaming [23, 39, 40].

The ability of this prototype waveguide to produce acoustic streaming has been tested in water. Though the acoustic transmission coefficient is less favorable in water than for liquid silicon, this ability is illustrated in figure 7, which shows the jet flow (Eckart streaming) generated by the continuous emission of sinusoidal sound waves from the tip of the waveguide.

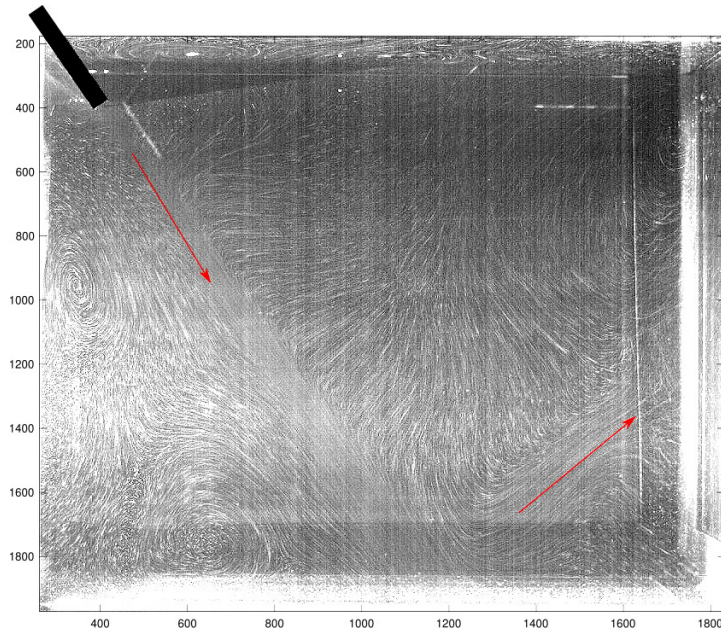


Figure 7: Average over 250 images of the flow generated by 4 MHz ultrasound wave coming out from the tip of the waveguide in a water tank.

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