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# Transformations on Irreducible Binary Polynomials

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**Abstract.** Using the natural action of  $GL_2(\mathbb{F}_2) \simeq \mathfrak{S}_3$  over  $\mathbb{F}_2[X]$ , one can define different classes of polynomials strongly analogous to self-reciprocal irreducible polynomials. We give transformations to construct polynomials of each kind of invariance and we deal with the question of explicit infinite sequences of invariant irreducible polynomials in  $\mathbb{F}_2[X]$ . We generalize results obtained by Varshamov, Wiedemann, Meyn and Cohen and we give sequences of invariant irreducible polynomials. Moreover we explain what happens when the given constructions fail. We also give a result on the order of the polynomials of one of the classes: the alternate irreducible polynomials.

Keywords: irreducible polynomials, finite fields, sequences of irreducible invariant polynomials.

## 1 Introduction

In [6], we studied the natural action of the 6 elements group  $\mathfrak{S}_3 \simeq GL_2(\mathbb{F}_2) \simeq PGL_2(\mathbb{F}_2)$  on the set

$$\mathcal{I} = \{P \in \mathbb{F}_2[X] \mid P \text{ irreducible}\} \setminus \{X, X + 1\}.$$

This action of  $\mathfrak{S}_3$  on  $\mathcal{I}$ , is defined by the two operations

$$P^+(X) = P(X + 1)$$
$$P^*(X) = X^{\deg P} P\left(\frac{1}{X}\right).$$

The action of all other elements of  $\mathfrak{S}_3$  are obtained by compositions of these two operations. We shall write for example  $P^{**}$  for  $(P^*)^+$ .

**Definition 1** The *hexagon* of  $P \in \mathcal{I}$  is the orbit of  $P$  :

$$Hex(P) = \{P^\sigma \mid \sigma \in \mathfrak{S}_3\}.$$

The *degree* of a hexagon is the degree of its polynomials. When  $Card(Hex(P)) < 6$  we say that the hexagon is *degenerate*.

Such an orbit has 1, 2, 3 or 6 elements according to the isotropy subgroup of  $P$ . In  $\mathfrak{S}_3$ , apart from the trivial subgroups, we have 3 subgroups of order 2, and one of order 3. Each of them will give a family of invariant irreducible polynomials. The case of 1 element hexagon is easy :  $\mathcal{I}(2) = \{X^2 + X + 1\}$  is the only 1 element degenerate hexagon. This polynomial is the only fixed point of the action.

Let us define for any integer  $n > 1$

$$\mathcal{I}(n) = \{P \in \mathcal{I} \mid \deg P = n\},$$

then this grading of  $\mathcal{I}$  is stable under the action (but this is not true for  $n = 1$ ).

## 2 Self-reciprocity and the 3 Elements Degenerate Hexagons

Self-reciprocal polynomials are invariant under the action of one subgroup of order 2 (generated by  $*$  operation). In fact there is no reason to focus on one subgroup because they are all conjugate.

### 2.1 The Invariant Polynomials

**Definition 2** Let  $P$  be a polynomial,  $P$  is said to be **self-reciprocal** (resp. **periodic**, **median**) if  $P^* = P$  (resp.  $P^+ = P$ ,  $P^{**} = P^{**} = P$ ). We easily check that these polynomials are of even degree.

**Definition 3** Let  $P$  and  $Q$ ,  $P \neq Q$ , be two polynomials of  $\mathcal{I}$ ,  $\{P, Q\}$  is said to be a **reciprocal pair** (resp. **periodic pair**, **median pair**) if  $Q = P^*$  (resp.  $Q = P^+$ ,  $Q = P^{**}$ ). As the polynomials of a pair have the same degree, by extension, it will also be the degree of the pair.

**Definition 4** A **3 elements degenerate hexagon** is made of a self-reciprocal irreducible polynomial  $P$ , a median irreducible polynomial  $Q$  and a periodic irreducible polynomial  $R$  such that:



The next theorem extends Meyn's one (see [4], Theorem 1) to the periodic and median polynomials:

**Theorem 1** *i) Each self-reciprocal (resp. periodic, median) irreducible polynomial of degree  $2n$  ( $n \geq 1$ ) is a factor of the polynomial*

$$H_{r,n}(X) = X^{2^n+1} + 1$$

$$\text{(resp. } H_{p,n}(X) = X^{2^n} + X + 1, \quad H_{m,n}(X) = X^{2^n} + X^{2^n-1} + 1).$$

*ii) Each irreducible factor of degree  $\geq 2$  of  $H_{r,n}$  (resp.  $H_{p,n}$ ,  $H_{m,n}$ ) is a self-reciprocal (resp. periodic, median) polynomial of degree  $2d$ , where  $d$  divides  $n$  such that  $n/d$  is odd.*

*Proof.* In [4], Meyn proves the theorem for self-reciprocal polynomials. We trivially extend it to the periodic (resp. median) polynomials noting that, on the one hand,  $H_{p,n} = H_{r,n}^{+*}$  (resp.  $H_{m,n} = H_{r,n}^+$ ) and on the other hand, a periodic (resp. median) irreducible polynomial is obtained from a self-reciprocal one, applying the transformation  $+^*$  (resp.  $+$ ) on it.

## 2.2 The Quadratic Transformations

**Definition 5** We define the maps  $\phi_p, \phi_m, \phi_r: \mathbb{F}_2[X] \rightarrow \mathbb{F}_2[X]$  by

$$\begin{aligned}\phi_p(P) &= P(X^2 + X) \\ \phi_m(P) &= \phi_p(P)^* = X^{2n} P\left(\frac{X+1}{X^2}\right) \\ \phi_r(P) &= \phi_p(P)^{*+} = (X^2 + 1)^n P\left(\frac{X}{X^2 + 1}\right).\end{aligned}$$

The image by  $\phi_p$  (resp.  $\phi_m, \phi_r$ ) of a polynomial of  $\mathcal{I}(n)$  is a periodic (resp. median, self-reciprocal) polynomial of degree  $2n$  but is not always irreducible.

**Proposition 1** Let  $\mathcal{P} \subset \mathbb{F}_2[X]$  be the subset of periodic polynomials, then  $\mathcal{P}$  is a sub algebra of  $\mathbb{F}_2[X]$  and  $\phi_p: \mathbb{F}_2[X] \rightarrow \mathcal{P}$  is an algebra isomorphism.

*Proof.* Only  $\phi_p$  surjectivity deserves proof. Let  $Q$  be a periodic polynomial, its degree is an even integer  $2n$ . Then,  $Q + (X^2 + X)^n$  is a periodic polynomial of degree  $< 2n$ . Iterating this process, we obtain a polynomial  $P$  of degree  $n$  such that  $\phi_p(P) = Q$ .

We call **trace** of a polynomial  $P \neq 0$  of degree  $n$ , and write  $Tr(P)$ , the  $X^{n-1}$  coefficient.

The following theorem and corollary can be seen as an extension of Meyn's Lemma (see [4], Lemma 4):

**Theorem 2** Let  $P \in \mathcal{I}(n)$ . If  $Tr(P) = 1$ , then  $\phi_p(P)$  is a periodic irreducible polynomial of degree  $2n$ , else,  $\phi_p(P)$  is the product of two irreducible polynomials of degree  $n$ , which form a periodic pair.

Conversely, let  $Q$  be an irreducible periodic polynomial of degree  $2n$  (resp.  $\{R, R^+\}$  a periodic pair of degree  $n$ ), then, there exists a unique  $P \in \mathcal{I}(n)$  such that  $\phi_p(P) = Q$  (resp.  $\phi_p(P) = RR^+$ ).

*Proof.* Let  $P \in \mathcal{I}(n)$  and let  $h$  be a root of  $\phi_p(P)$ , then  $h^2 + h$  is a root of  $P$  by definition and generates the field  $\mathbb{F}_{2^n}$ . This implies that  $\mathbb{F}_2[h] \supset \mathbb{F}_2[h^2 + h] = \mathbb{F}_{2^n}$ . In turn  $h$  cannot be a root of a polynomial whose degree is  $< n$ . We conclude that the irreducible decomposition of  $\phi_p(P)$  contains only polynomials of degree  $\geq n$ . This leaves only two cases:  $\phi_p(P)$  is irreducible of degree  $2n$  or is the product of two irreducible polynomials  $A$  and  $B$  of degree  $n$ .

Suppose we are in the second case :  $AB$  being periodic, we have two possibilities:  $B = A^+$  or  $A$  and  $B$  are themselves periodic. This last event cannot

occur because if  $\phi_p(P) = AB$  with  $A$  and  $B$  periodic and irreducible, then by Proposition 1, there exist  $U$  and  $V$  such that  $\phi_p(U) = A$  and  $\phi_p(V) = B$ . Then  $\phi_p(P) = \phi_p(U)\phi_p(V) = \phi_p(UV)$  and  $P = UV$ . This would contradict the irreducibility of  $P$ .

We now prove that the trace dispatches the two cases. Suppose  $\phi_p(P) = AB$ , then we have  $h \in \mathbb{F}_{2^n}$ . We call  $a = h^2 + h \in \mathbb{F}_{2^n}$ , then  $Tr(a) = Tr(h^2) + Tr(h) = 0$  and  $Tr(P) = 0$  because  $a$  is a root of  $P$ . Conversely if  $Tr(P) = 0$  then  $Tr(a) = 0$  for any root  $a$  of  $P$ . Then it is well known that the equation  $X^2 + X = a$  has roots in  $\mathbb{F}_{2^n}$  (using the "half-trace"). This means that  $\phi_p(P)$  has a root in  $\mathbb{F}_{2^n}$ , so it is reducible.

We prove now the "conversely" part of the theorem.

Let  $Q$  be an irreducible periodic polynomial of degree  $2n$  and let  $h$  be a root of  $Q$ , then,  $h+1$  is also a root of  $Q$  and, from Theorem 1, we know that  $h+1 = h^{2^n}$ . Now, if

$$\mathcal{E} = \{h^{2^i} \mid 0 \leq i < n\},$$

$$Q = \prod_{h \in \mathcal{E}} (X + h)(X + h + 1) = \prod_{h \in \mathcal{E}} (X^2 + X + h(h + 1)).$$

We take

$$P = \prod_{h \in \mathcal{E}} (X + h(h + 1)),$$

it is then clear that  $\phi_p(P) = Q$ . Let  $h(h + 1)$  be a root of  $P$ , then any other root can be written  $h^{2^k}(h^{2^k} + 1) = [h(h + 1)]^{2^k}$  for some integer  $k \geq 1$ . In other terms, the roots of  $P$  are conjugate by Frobenius. This implies that  $P \in \mathbb{F}_2[X]$ . If  $P$  is reducible, say  $P = ST$  then  $\phi_p(P) = \phi_p(S)\phi_p(T) = Q$ . This decomposition is trivial because  $Q$  is irreducible. This forces  $S$  or  $T$  to be trivial and  $P$  to be irreducible. Now, if  $P$  is not unique, there exists another irreducible polynomial  $S$  such that  $\phi_p(S) = Q$ , then  $h(h + 1)$  is a root of  $S$  by definition, so  $S$  and  $P$  have the same roots and consequently,  $S = P$ .

In the same manner, let  $\{R, R^+\}$  be a periodic pair of degree  $n$  and  $\mathcal{F}$  be the set of the  $n$  roots of  $R$  then taking

$$P = \prod_{a \in \mathcal{F}} (X + a(a + 1)),$$

we obtain a  $P \in \mathbb{F}_2[X]$  such that  $\phi_p(P) = RR^+$ .

Suppose  $P = ST$  with two non constant polynomials in  $\mathbb{F}_2[X]$ , then  $\phi_p(P) = \phi_p(S)\phi_p(T) = RR^+$ . Consequently, we can write  $\phi_p(S) = R$  and this is a contradiction because  $\phi_p(S)$  is periodic and  $R$  is not, so  $P$  is irreducible. The unicity of  $P$  is proved like previously.

**Corollary 1** *Let  $P \in \mathcal{I}(n)$ , if  $Tr(P) = 1$ , then,  $\phi_r(P)$  (resp.  $\phi_m(P)$ ) is a self-reciprocal (resp. median) irreducible polynomial of degree  $2n$ , else, it is the product of two irreducible polynomials of degree  $n$ , which form a reciprocal (resp. median) pair.*

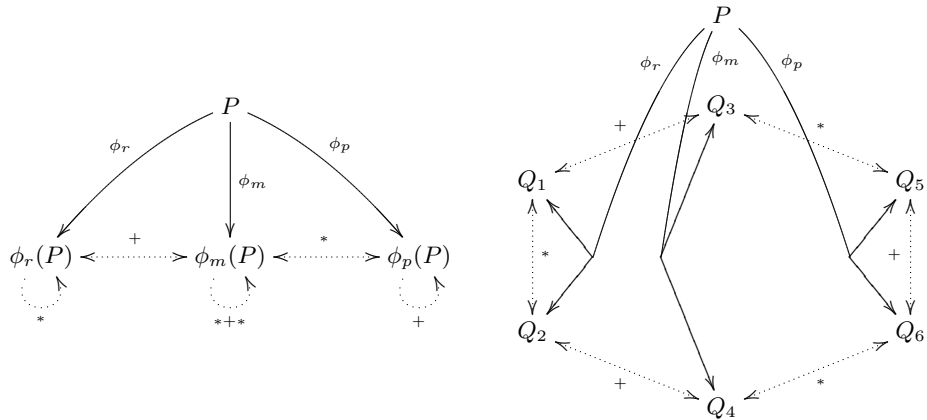
Conversely, let  $Q$  be an irreducible self-reciprocal (resp. median) polynomial of degree  $2n$ , then, there exists  $P \in \mathcal{I}(n)$  such that  $\phi_r(P) = Q$  (resp.  $\phi_m(P) = Q$ ). In the same way, let  $\{R, R^*\}$  (resp.  $\{R, R^{**}\}$ ) be a reciprocal (resp. median) pair of degree  $n$ , then, there exists a unique  $P \in \mathcal{I}(n)$  such that  $\phi_r(P) = RR^*$  (resp.  $\phi_m(P) = RR^{**}$ ).

*Proof.* We prove the corollary only for the self-reciprocal case, the median case being similar.

If  $Tr(P) = 1$ , from Theorem 2, we know that  $\phi_p(P)$  is periodic in  $\mathcal{I}(2n)$ . Using Definitions 4 and 5, we see that  $\phi_r(P)$  is self-reciprocal in  $\mathcal{I}(2n)$ . If  $Tr(P) = 0$ , there exists a periodic pair  $\{S, S^+\}$  of degree  $n$  such that  $\phi_p(P) = SS^+$ . Now, as the transformations  $*$  and  $+$  are distributive with regard to the multiplication in  $\mathbb{F}_2[X]$ ,  $\phi_r(P) = (SS^+)^{*+} = S^{*+}S^{+**} = S^{*+}(S^{**})^*$ , which is a reciprocal pair of degree  $n$ .

Using Theorem 2, Definitions 4 and 5, the proof of the second part is obvious.

We get a simple way to construct 3 and 6 elements hexagons: let  $P$  be an irreducible polynomial of degree  $n$  such that  $Tr(P) = 1$ , then  $\{\phi_r(P), \phi_m(P), \phi_p(P)\}$  is a 3 elements degenerate hexagon of degree  $2n$ . This is illustrated by the left-side diagram. If  $Tr(P) = 0$ , we have the right-side diagram, where  $\{Q_1, Q_2\}$ ,  $\{Q_3, Q_4\}$  and  $\{Q_5, Q_6\}$  are the pairs such that  $\phi_r(P) = Q_1Q_2$ ,  $\phi_m(P) = Q_3Q_4$  and  $\phi_p(P) = Q_5Q_6$  respectively.



**Fig. 1.** Construction of hexagons by  $\phi_r$ ,  $\phi_m$  and  $\phi_p$  from an irreducible polynomial  $P$  such that  $Tr(P) = 1$  (left) and  $Tr(P) = 0$  (right).

### 2.3 Infinite Sequences of Self-reciprocal, Median and Periodic Irreducible Polynomials

Let  $P \in \mathcal{I}(n)$ , we denote  $c_1(P)$  the coefficient of  $X$  in  $P$ .

Sequences of invariant irreducible polynomials appear implicitly in Varshamov [8] and more explicitly in Wiedemann [9], Meyn [4] and Cohen [1]. These sequences appear in papers devoted to a more general problem: the construction of irreducible polynomials (see Kyuregyan [2] and [3] for recent references).

Our approach shows that, actually, there are three strongly analogous families of invariant irreducible polynomials corresponding to the three conjugated 2-groups of  $\mathfrak{S}_3$ . The following theorem is an easy extension of the construction of sequences of self-reciprocal irreducible polynomials given by Meyn and Cohen to the median and periodic cases.

**Theorem 3** *Let  $P \in \mathcal{I}(n)$  be such that  $c_1(P) = \text{Tr}(P) = 1$ . Starting from  $\phi_r(P)$  (resp.  $\phi_m(P)$ ,  $\phi_p(P)$ ) and iterating the transformation  $P \rightarrow \phi_r(P)$  (resp.  $P \rightarrow \phi_m(P^+)$ ,  $P \rightarrow \phi_p(P^{*+})$ ) one generates an infinite sequence of self-reciprocal (resp. median, periodic) irreducible polynomials of degree  $2^i n$ ,  $i > 0$ .*

*Proof.* We know by Theorem 2 that  $\phi_r(P)$  is self-reciprocal and irreducible. By explicit computation, we see that  $\text{Tr}(\phi_r(P)) = 1$  and because of self-reciprocity,  $c_1(\phi_r(P)) = 1$ . So by recurrence, we get a sequence of self-reciprocal irreducible polynomials. Then, using the Definitions 4 and 5, we obtain sequences of median and periodic polynomials.

## 2.4 Equivalent Transformations

In Definition 5 we defined  $\phi_m$  and  $\phi_r$  from  $\phi_p$  in a natural way. Other transformations can be used instead of  $\phi_p$ . They are obtained by choosing one "right" action by an element of the group  $\mathfrak{S}_3$  before applying  $\phi_p$ .

We construct in this way new transformation  $\phi'_p$  and consequently new  $\phi'_m$  and  $\phi'_r$ . We recover in this way the transformation  $\phi'_r$  quoted in Section 2.3 which is more frequently used in the mathematical literature for constructing self-reciprocal polynomial. The results of the preceding sections can be transposed with small changes. The following table lists these transformations:

$\phi'_p$	$\phi'_m = * \circ \phi'_p$	$\phi'_r = + \circ * \circ \phi'_p$
$P(X^2 + X + 1) = \phi_p(P^+)$	$X^{2n} P(\frac{X^2+X+1}{X^2})$	$(X^2 + 1)^n P(\frac{X^2+X+1}{X^2+1})$
$(X^2 + X + 1)^n P(\frac{1}{X^2+X+1}) = \phi_p(P^{*+})$	$(X^2 + X + 1)^n P(\frac{X^2}{X^2+X+1})$	$(X^2 + X + 1)^n P(\frac{X^2+1}{X^2+X+1})$
$(X^2 + X + 1)^n P(\frac{X^2+X}{X^2+X+1}) = \phi_p(P^{*+*})$	$(X^2 + X + 1)^n P(\frac{X+1}{X^2+X+1})$	$(X^2 + X + 1)^n P(\frac{X}{X^2+X+1})$
$(X^2 + X)^n P(\frac{X^2+X+1}{X^2+X}) = \phi_p(P^{**})$	$(X + 1)^n P(\frac{X^2+X+1}{X+1})$	$X^n P(\frac{X^2+X+1}{X})$
$(X^2 + X)^n P(\frac{1}{X^2+X}) = \phi_p(P^*)$	$(X + 1)^n P(\frac{X^2}{X+1})$	$X^n P(\frac{X^2+1}{X})$

**Table 1.** Equivalent quadratic transformations.

### 3 Alternate Polynomials and 2 Elements Degenerate Hexagons

We introduced in [6] the class of alternate polynomials over  $\mathbb{F}_2$  :

- Definition 6** – A polynomial  $P$  is said to be **alternate** if  $P^{*+} = P^{+*} = P$ .
- Let  $P \in \mathcal{I}$ , if  $P$  is not alternate, then  $\{P, P^{*+}, P^{+*}\}$  is said to be an **alternate triplet**.  $P, P^{*+}$  and  $P^{+*}$  are of the same degree, so by extension, the degree of an alternate triplet is the degree of its polynomials.
  - A **2 elements degenerate hexagon** is made of two distinct alternate irreducible polynomials  $P$  and  $Q$  such that:

$$P \overset{+/*}{\longleftrightarrow} Q .$$

We refer again to [6] for the details of the following :

**Proposition 2** The irreducible alternate polynomials are exactly the irreducible factors of the polynomials

$$B_k(X) = X^{2^k+1} + X + 1,$$

for  $k \in \mathbb{N}$ .

If  $P$  is alternate then  $\deg P \equiv 0 \pmod{3}$  or  $P = X^2 + X + 1$ . If  $\deg P = 3m$  then either  $P$  divides  $B_m$  and  $B_{2m}^*$ , or  $P$  divides  $B_m^*$  and  $B_{2m}$ . In the first case we say that  $P$  has **type 1**, in the second  $P$  has **type 2**.

**Corollary 2** The order of an alternate irreducible polynomial of degree  $3n$  divides  $2^{2^n} + 2^n + 1$ .

*Proof.* Let  $P \in \mathcal{I}(n)$  be alternate. We suppose  $P$  is of type 1. Let  $a$  be a root of  $P$ , then, from Proposition 2,  $a^{2^n+1} + a + 1 = 0$ . We put this equation to the  $2^n$ , we get  $a^{2^n(2^n+1)} + a^{2^n} + 1 = 0$ . Now, multiplying by  $a$ , we have  $a^{2^n(2^n+1)+1} + a^{2^n+1} + a = 0$ . Finally, we replace the term in the middle using the first equation, we obtain  $a^{2^n(2^n+1)+1} + 1 = 0$ .

If  $P$  is of type 2, then  $P^*$  is of type 1 and as  $P$  and  $P^*$  have same order, this concludes the proof.

#### 3.1 The Cubic Transformation

Let  $P \in \mathbb{F}_2[X]$  of degree  $n$ , we define the map  $\psi : \mathbb{F}_2[X] \rightarrow \mathbb{F}_2[X]$  by

$$\psi(P)(X) = (X^2 + X)^n P\left(\frac{X^3 + X^2 + 1}{X^2 + X}\right).$$

We verify that  $\psi(P)$  is alternate.

Let  $\epsilon$  and  $\epsilon^2$  be the roots of  $X^2 + X + 1$ . For any irreducible  $P \neq X^2 + X + 1$  in  $\mathbb{F}_2[X]$  we have  $P(\epsilon) \in \{1, \epsilon, \epsilon^2\}$ . The main result of this section is:



- Theorem 4** – Let  $P \in \mathcal{I}(n)$ ,  $n > 2$ . If  $P(\epsilon) \neq 1$  then  $\psi(P)$  is an irreducible alternate polynomial of degree  $3n$ , else,  $\psi(P) = RST$ , where  $\{R, S, T\}$  is an alternate triplet of degree  $n$ .
- Conversely, let  $Q \in \mathcal{I}(3n)$ ,  $n > 1$  be an alternate polynomial (resp.  $\{R, R^{*+}, R^{*+}\}$  an alternate triplet of degree  $n$ ), then there exists a unique  $P \in \mathcal{I}(n)$  such that  $\psi(P) = Q$  (resp.  $\psi(P) = RR^{*+}R^{*+}$ ).

To complete the theorem, we precise that the irreducible (alternate) polynomials of degree 3 are obtained from  $X$  and  $X + 1$ :

$$\psi(X) = X^3 + X^2 + 1 \text{ and } \psi(X + 1) = X^3 + X + 1,$$

and for the particular case  $X^2 + X + 1$  (whose value in  $\epsilon$  is 0), we have:

$$\psi(X^2 + X + 1) = (X^2 + X + 1)^3.$$

Before going into the proof of Theorem 4, we need to establish some results.

Let us take  $P \in \mathcal{I}(n)$  with  $n > 2$ ,  $K = \mathbb{F}_{2^n}$  the splitting field of  $P$ , and  $h$  a root of  $\psi(P)$ , then  $h \neq 0, 1$  and

$$a = \frac{h^3 + h^2 + 1}{h^2 + h} = h + \frac{1}{h} + \frac{1}{h + 1} \quad (1)$$

is a root of  $P$ . This implies  $K \subset K(h)$ .

As  $h \neq 0, 1$ ,  $h$  is a root of the polynomial

$$T_a(X) = X^3 + (1 + a)X^2 + aX + 1. \quad (2)$$

### 3.2 Proof of the First Part of Theorem 4

**Proposition 3** Let  $w$  be a cubic root of  $(\epsilon + a)(\epsilon + a^2)$ , then the roots of (2) are

$$h_i = 1 + a + \epsilon^i w + \frac{b}{\epsilon^i w},$$

with  $i = 0, 1$  or  $2$ , and  $b = a^2 + a + 1$ . Moreover, they verify the relations  $h_1 = 1/(h_0 + 1)$  and  $h_2 = 1 + 1/h_0$ .

*Proof.* The formulas for the  $h_i$  are obtained by the classical Cardan's method:

The first step is to cancel the  $X^2$  coefficient in

$$X^3 + (a + 1)X^2 + aX + 1 = 0.$$

We take  $X' = X + 1 + a$  and  $b = 1 + a + a^2$ :

$$X'^3 + bX' + b = 0.$$

The second step is to use two variables  $u, v$  and take  $X' = u + v$ :

$$u^3 + v^3 + (u + v)(uv + b) + b = 0.$$

Choosing  $uv = b$ , if we can solve

$$\begin{cases} uv = b \\ u^3 + v^3 = b \end{cases}$$

in  $\overline{K}$ , we will have the roots of (2), they would be the

$$1 + a + u + v.$$

We can write:

$$\begin{cases} u^3v^3 = b^3 \\ u^3 + v^3 = b, \end{cases}$$

which is equivalent to a second degree problem. If  $Y = u^3$  then:

$$Y^2 + bY + b^3 = 0,$$

and dividing by  $b^2$  we get:

$$\frac{Y^2}{b^2} + \frac{Y}{b} + b = 0.$$

We take  $Z = Y/b$  (because  $b \neq 0$ ):

$$Z^2 + Z + b = 0$$

$$Z^2 + Z + 1 + a + a^2 = 0$$

$$(Z + a)^2 + (Z + a) + 1 = 0.$$

So the solutions are  $Z = a + \epsilon$  and  $Z = a + \epsilon^2$ . And we find:

$$u^3 = (1 + a + a^2)(a + \epsilon^2),$$

which can be written equivalently:

$$\begin{aligned} u^3 &= (a + \epsilon)(a + \epsilon^2)(a + \epsilon^2) \\ &= (a + \epsilon)(a^2 + \epsilon). \end{aligned}$$

So we can choose  $u$  as one of the three cubic roots of  $(a + \epsilon)(a^2 + \epsilon)$ . The quantity  $v = b/u$  is then determined uniquely. The roles of  $v$  and  $u$  can be exchanged.

We now establish the relations between the roots:

$$\begin{aligned} 1 + \frac{1}{h_0} &= h_0^2 + (1 + a)h_0 + a + 1 \quad (\text{from (2)}) \\ &= w^2 + \frac{b^2}{w^2} + (1 + a)\left(w + \frac{b}{w}\right) + a + 1 \\ &= \frac{w^3}{w} + \frac{b^2w}{w^3} + (1 + a)\left(w + \frac{b}{w}\right) + a + 1 \\ &= \left[\frac{b^2}{w^3} + 1 + a\right]w + \left[\frac{w^3}{b} + 1 + a\right]\frac{b}{w} + a + 1. \end{aligned}$$

Then using  $b = (\epsilon + a)(\epsilon^2 + a)$  and  $w^3 = (\epsilon + a)(\epsilon + a^2)$  we find:

$$1 + \frac{1}{h_0} = \epsilon^2 w + \frac{b}{\epsilon^2 w} + a + 1 = h_2.$$

The first relation can be obtained by the same trick.

**Lemma 1** *If  $P(\epsilon) = 1$  and  $n$  is even (resp. odd), then  $(\epsilon + a)(\epsilon + a^2)$  has cubic roots in  $K = \mathbb{F}_{2^n}$  (resp.  $K(\epsilon)$ ).*

*Proof.* Case  $n$  even: If  $n = 2m$

$$\begin{aligned} P(\epsilon) &= (\epsilon + a)(\epsilon + a^2)(\epsilon + a^4)(\epsilon + a^8) \dots (\epsilon + a^{2^{2m-2}})(\epsilon + a^{2^{2m-1}}) \\ &= (\epsilon + a)(\epsilon + a^2)((\epsilon + a)(\epsilon + a^2))^4 \dots ((\epsilon + a)(\epsilon + a^2))^{2^{2m-2}}. \end{aligned}$$

So  $P(\epsilon) = [(\epsilon + a)(\epsilon + a^2)]^k$  with

$$k = 1 + 4 + \dots + 2^{2(m-1)} = \frac{2^n - 1}{3}.$$

Let  $w$  be a cubic root of  $(\epsilon + a)(\epsilon + a^2)$  in some extension of  $\mathbb{F}_2$  (it always exists). Then, from what we have just said:

$$w^{2^n - 1} = w^{3 \cdot \frac{2^n - 1}{3}} = P(\epsilon) = 1,$$

so  $w \in K$ .

Case  $n$  odd: let  $n = 2m + 1$ , we write  $P(\epsilon)$  in two different ways, using Fermat's little theorem:

$$\begin{aligned} P(\epsilon) &= (\epsilon + a)(\epsilon + a^2)(\epsilon + a^4)(\epsilon + a^8) \dots (\epsilon + a^{2^{2m-2}})(\epsilon + a^{2^{2m-1}})(\epsilon + a^{2^{2m}}) \\ P(\epsilon) &= (\epsilon + a^{2^{2m+1}})(\epsilon + a^{2^{2m+2}})(\epsilon + a^{2^{2m+3}})(\epsilon + a^{2^{2m+4}}) \dots (\epsilon + a^{2^{4m}})(\epsilon + a^{2^{4m+1}}). \end{aligned}$$

Multiplying these equalities, we get

$$\begin{aligned} P(\epsilon)^2 &= [(\epsilon + a)(\epsilon + a^2)][(\epsilon + a)(\epsilon + a^2)]^4 \dots [(\epsilon + a)(\epsilon + a^2)]^{2^{4m}} \\ &= [(\epsilon + a)(\epsilon + a^2)]^k, \end{aligned}$$

with

$$k = 1 + 4 + \dots + 2^{4m} = \frac{4^{2m+1} - 1}{3} = \frac{2^{2n} - 1}{3}.$$

Then

$$w^{2^{2n} - 1} = w^{3 \cdot \frac{2^{2n} - 1}{3}} = P(\epsilon)^2 = 1,$$

and  $w \in K(\epsilon)$  because  $[K(\epsilon) : \mathbb{F}_2] = 2n$ .

**Proposition 4** *Let  $P \in \mathcal{I}(n)$  be such that  $P(\epsilon) = 1$ , then,  $\psi(P)$  is reducible.*

*Proof.* If  $n$  is even this is a direct consequence of the preceding Lemma and Proposition 3.

If  $n$  is odd,  $w \in K(\epsilon)$  by the preceding lemma, so by Proposition 3 we have  $K(h) \subset K(\epsilon)$ . If  $\psi(P)$  is irreducible then for any of its root  $h$  we have  $[K(h) : \mathbb{F}_2] = 3n$  and  $[K(h) : K] = 3$ . This is a contradiction so  $\psi(P)$  is reducible.

**Proposition 5** *Let  $P \in \mathcal{I}(n)$ ,  $n > 2$ , the polynomial  $\psi(P)$  has  $3n$  distinct roots and*

$$\psi(P) = \prod_{k=0}^{n-1} T_{a^{2^k}}(X),$$

where  $a \in K$  is any root of  $P$ .

*Proof.* If  $a$  is a root of  $P$  and if  $h$  is a root of  $T_a$ , then  $h$  is a root of  $\psi(P)$ . Let  $a'$  be another root of  $P$ , distinct from  $a$ , then, the set of roots of  $T_a$  is disjoint from the set of roots of  $T_{a'}$  because of (1). As  $P$  is irreducible, all the roots of  $P$  are conjugate and distinct, which concludes the proof of the proposition.

**Proposition 6** *Let  $P \in \mathcal{I}(n)$ ,  $n > 2$ , if  $\psi(P)$  is reducible in  $\mathbb{F}_2[X]$  then  $\psi(P) = RST$ , where  $\{R, S, T\}$  is an alternate triplet of degree  $n$ , moreover  $P(\epsilon) = 1$ .*

*Proof.* Let  $h$  be a root of  $\psi(P)$ , we have seen that  $K \subset K(h)$ . Thus, the degree of the minimal polynomial of  $h$  is divisible by  $n$  and is  $\leq 3n$ . This implies that the irreducible factors of  $\psi(P)$  in  $\mathbb{F}_2[X]$  are at least of degree  $n$ ,  $< 3n$  and multiples of  $n$ . Consequently, one of the irreducible factor of  $\psi(P)$  is of degree  $n$ . Let  $R$  be such a factor.

We consider  $R^{*+}$  and  $R^{+*}$ . They are polynomials of  $\mathbb{F}_2[X]$  of degree  $n$  and their roots are roots of  $\psi(P)$ , thus, they divide  $\psi(P)$ . If  $R$  is not alternate, then we obtain an alternate triplet  $\{R, R^{*+}, R^{+*}\}$  of degree  $n$ , as expected.

If  $R$  is alternate let  $h$  be a root of  $R$  and  $a$  such that

$$a = \frac{h^3 + h^2 + 1}{h^2 + h},$$

then, like previously,  $a$  is a root of  $P$  and  $T_a(X)|R$  because  $h$ ,  $\frac{1}{h+1}$  and  $\frac{h+1}{h}$  are distinct roots of  $R$ . As  $R \in \mathbb{F}_2[X]$  is irreducible of degree  $n$ , its roots are the  $h^{2^k}$ , with  $0 \leq k \leq n-1$ . So, as Frobenius commutes with our group transformations,  $T_{a^{2^k}}|R$  for every  $k$ . The  $T_{a^{2^k}}$  are distinct because of the preceding proposition. It follows that  $R$  has  $3n$  distinct roots which is a contradiction, so  $R$  cannot be alternate.

We can explicit the decomposition:

$$\psi(P) = R(X)(X+1)^n R\left(\frac{1}{X+1}\right) X^n R\left(\frac{X+1}{X}\right),$$

with  $R \in \mathbb{F}_2[X]$  irreducible of degree  $n > 2$ , so  $\psi(P)(\epsilon) = \epsilon^{3n} R(\epsilon)^3 = R(\epsilon)^3 = 1$ .

Then, taking  $X = \epsilon$  in the definition of  $\psi$ , we get  $\psi(P)(\epsilon) = P(\epsilon^2)$ . Consequently,  $P(\epsilon^2) = P(\epsilon)^2 = 1$  and  $P(\epsilon) = 1$ .

The Propositions 4 and 6 give a demonstration of the first part of Theorem 4.

### 3.3 Proof of the Second Part of Theorem 4

**Proposition 7** *let  $Q \in \mathcal{I}(3n)$ ,  $n > 1$  be an alternate polynomial (resp.  $\{R, R^{+*}, R^{*+}\}$  an alternate triplet of degree  $n$ ), then, there exists a unique  $P \in \mathcal{I}(n)$  such that  $\psi(P) = Q$  (resp.  $\psi(P) = RR^{+*}R^{*+}$ ).*

*Proof.* Let  $a$  be a root of  $Q$ , suppose  $\text{type}(Q) = 1$  (type 2 is similar), then,  $1/(1+a)$  and  $1+1/a$  are also roots of  $Q$ , moreover, from Proposition 2, we know that  $1+1/a = a^{2^n}$  and that  $1/(1+a) = a^{2^{2n}}$ . Now, we take

$$\mathcal{E} = \{a^{2^i} \mid 0 \leq i < n\},$$

from what we have just seen, we can write

$$\begin{aligned} Q &= \prod_{a \in \mathcal{E}} (X+a)(X+1+\frac{1}{a})(X+\frac{1}{1+a}) \\ &= (X^2+X)^n \prod_{a \in \mathcal{E}} \left( \frac{X^3+X^2+1}{X^2+X} + \frac{a^3+a^2+1}{a^2+a} \right). \end{aligned}$$

We take

$$P = \prod_{a \in \mathcal{E}} \left( X + \frac{a^3+a^2+1}{a^2+a} \right),$$

it is then clear that  $\psi(P) = Q$ . Let  $\frac{a^3+a^2+1}{a^2+a}$  be a root of  $P$ , then any other root can be written  $a^{2^k}(1+1/a^{2^k})(1/(1+a^{2^k})) = [a(1+1/a)(1/(1+a))]^{2^k}$  for some integer  $k \geq 1$ . In other terms, the roots of  $P$  are conjugate by Frobenius. This implies that  $P \in \mathbb{F}_2[X]$ .

To show that  $P$  is irreducible, we suppose that  $P = ST$ , with two non constant polynomials in  $\mathbb{F}_2[X]$ , then, as  $\deg ST = \deg S + \deg T$ :

$$\psi(P) = \psi(S)\psi(T) = Q,$$

and this decomposition is non trivial. This is impossible because  $Q$  is irreducible, so  $P$  is irreducible.

And to show that  $P$  is unique, we suppose that there exists another irreducible polynomial  $P_1$  such that  $\psi(P_1) = Q$ , then,  $\frac{a^3+a^2+1}{a^2+a}$  is a root of  $P_1$  by definition, so  $P$  and  $P_1$  have the same roots and  $P = P_1$ .

In the same way, let  $\{R, R^{+*}, R^{*+}\}$  be an alternate triplet of degree  $n$  and  $\mathcal{F}$  the set of the  $n$  roots of  $R$ , then, taking

$$P = \prod_{a \in \mathcal{F}} \left( X + \frac{a^3+a^2+1}{a^2+a} \right),$$

we obtain a  $P$  such that  $\psi(P) = RR^{+*}R^{*+}$  and  $P \in \mathbb{F}_2[X]$ .

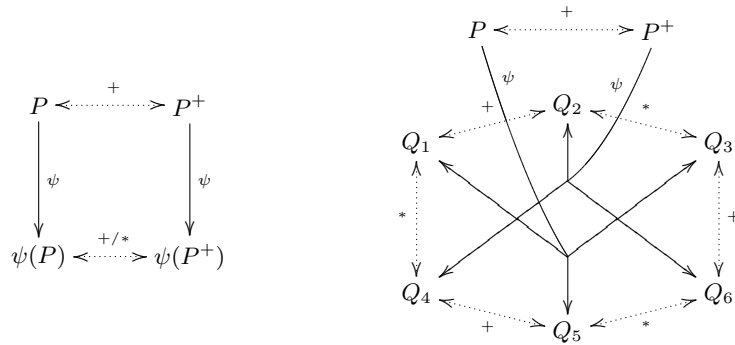
If  $P$  is reducible, we can write  $P = ST$ , with two non constant polynomials in  $\mathbb{F}_2[X]$ , then

$$\psi(P) = \psi(S)\psi(T) = RR^{+*}R^{*+}.$$

Consequently, we can write  $\psi(S) = R$  and this is a contradiction because  $\psi(S)$  is alternate and  $R$  is not, so  $P$  is irreducible. Finally, we can show that  $P$  is unique as we did in the first part.

This completes the proof of Theorem 4.

As we did in the previous section, we propose a simple way to construct 2 elements hexagons: let  $P \in \mathcal{I}(n)$  be such that  $P(\epsilon) \neq 1$ , then, using the fact that  $\psi \circ + = + \circ \psi$  (the demonstration is obvious), we deduce that  $\{\psi(P), \psi(P^+)\}$  is a 2 elements degenerate hexagon of degree  $3n$ . This is illustrated by the left-side diagram. On the other hand, if  $P(\epsilon) = 1$ , we have the right-side diagram, where  $\{Q_1, Q_3, Q_5\}$  and  $\{Q_2, Q_4, Q_6\}$  are the alternate triplets such that  $\psi(P) = Q_1Q_3Q_5$  and  $\psi(P^+) = Q_2Q_4Q_6$  respectively:



**Fig. 2.** Construction of hexagons by  $\psi$  from an irreducible polynomial  $P$  such that  $P(\epsilon) \neq 1$  (left) and  $P(\epsilon) = 1$  (right).

### 3.4 Infinite Sequences of Irreducible Alternate Polynomials

**Proposition 8** *If  $P$  is an irreducible alternate polynomial of degree  $> 2$ , then  $\psi(P)$  is an irreducible alternate polynomial.*

*Proof.* Let  $P$  be an irreducible alternate polynomial, by Theorem 4,  $P = \psi(Q)$  for some irreducible  $Q$ , then  $P(\epsilon) = \epsilon$  or  $\epsilon^2$ . By the same theorem  $\psi(P)$  is irreducible and alternate.

**Theorem 5** *Let  $P \in \mathcal{I}(n)$  be such that  $P(\epsilon) = \epsilon$  or  $\epsilon^2$ , then the iteration  $\psi$  on  $P$  generates a sequence of alternate irreducible polynomials of degree  $3^i n$ ,  $i > 0$ .*

*Proof.* Theorem 4 and Proposition 8 prove this theorem.

### 3.5 Computation of the Type of the Alternate Irreducible Polynomials

Another consequence of Theorem 4 is that it gives a simple way to compute the type:

**Theorem 6** *Let  $Q$  be an alternate irreducible polynomial of degree  $> 2$  then its type is 1 (resp. 2) if and only if  $Q(\epsilon) = \epsilon$  (resp.  $Q(\epsilon) = \epsilon^2$ ).*

*Proof.* If  $\deg Q = 3$ , we verify the theorem by calculus. We now suppose that  $\deg Q = 3n$ ,  $n > 1$ . We know from the preceding that  $Q = \psi(P) \in \mathcal{I}(3n)$  for some irreducible polynomial  $P$  and  $Q(\epsilon) = P(\epsilon)^2$ .

If  $n$  is even, let  $h_0$  be a root of  $Q$ , then with the notations used in Proposition 3 we have  $h_0 = 1 + a + w + \frac{b}{w}$ . Consequently:

$$h_0^{2^n} = 1 + a + w^{2^n} + \frac{b}{w^{2^n}}.$$

From the demonstration of Lemma 1 we have  $w^{2^n} = P(\epsilon)w$ , and

$$h_0^{2^n} = 1 + a + P(\epsilon)w + \frac{b}{P(\epsilon)w}.$$

If  $Q(\epsilon) = \epsilon$  (resp.  $\epsilon^2$ ), then  $P(\epsilon) = \epsilon^2$  (resp.  $\epsilon$ ) and using again Theorem 4:

$$h_0^{2^n} = 1 + \frac{1}{h_0} \quad (\text{resp. } h_0^{2^n} = \frac{1}{h_0 + 1}).$$

This is equivalent to say that  $Q$  is of type 1 (resp. type 2).

If  $n$  is odd the demonstration follows the same line except that we must use  $w^{2^{2n}} = P(\epsilon)^2w = Q(\epsilon)w$ . We compute:

$$h_0^{2^{2n}} = 1 + a + Q(\epsilon)w + \frac{b}{Q(\epsilon)w}.$$

If  $Q(\epsilon) = \epsilon$  (resp.  $\epsilon^2$ ), then  $P(\epsilon) = \epsilon^2$  (resp.  $\epsilon$ ). We obtain:

$$h_0^{2^{2n}} = \frac{1}{h_0 + 1} \quad (\text{resp. } h_0^{2^{2n}} = 1 + \frac{1}{h_0}).$$

This implies (by iteration for example) that:

$$h_0^{2^n} = 1 + \frac{1}{h_0} \quad (\text{resp. } h_0^{2^n} = \frac{1}{h_0 + 1}),$$

and  $Q$  has type 1 (resp. 2).

### 3.6 Equivalent Transformations

Other cubic transformations can be used instead of  $\psi$ . As previously, they are obtained by a right action of the group elements on  $\psi$ .

$\psi'$
$(X^2 + X)^n P\left(\frac{X^3+X+1}{X^2+X}\right) = \psi(P^+)$
$(X^3 + X + 1)^n P\left(\frac{X^2+X}{X^3+X+1}\right) = \psi(P^{*+})$
$(X^3 + X + 1)^n P\left(\frac{X^3+X^2+1}{X^3+X+1}\right) = \psi(P^{+++})$
$(X^3 + X^2 + 1)^n P\left(\frac{X^3+X+1}{X^3+X^2+1}\right) = \psi(P^{**})$
$(X^3 + X^2 + 1)^n P\left(\frac{X^2+X}{X^3+X^2+1}\right) = \psi(P^*)$

**Table 2.** Equivalent cubic transformations.

## 4 Conclusion

To conclude, in this paper, we defined the different classes of irreducible polynomials obtained by the action of  $GL_2(\mathbb{F}_2)$  on  $\mathbb{F}_2[X]$ . We gave transformations to get invariant polynomials of each class, ways to generate infinite sequences of them and we showed the perfect analogy between self-reciprocal irreducible polynomials and alternate ones.

Now, the perspectives would be to study the action of  $GL_2(\mathbb{F}_q)$  on  $\mathbb{F}_q[X]$ . For that, the first step would be the action of  $GL_2(\mathbb{F}_{2^n})$  on  $\mathbb{F}_{2^n}[X]$ .

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