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1 **Soil-dependent β and γ shape parameters of the Haverkamp infiltration model for 3D infiltration**
2 **flow**

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11

12 **Highlights**

- 13 • Our approach allowed robust estimation of (β, γ) couplet
- 14 • Six synthetic soils and different initial scenarios of soil water content were considered
- 15 • The (β, γ) couplet was proved dependent on the type of soil and initial condition
- 16 • New prefixed (β, γ) values are more reliable for S and K_s accurate estimations

17 **Abstract:**

18 Estimating of soil sorptivity (S) and saturated hydraulic conductivity (K_s) parameters by field
19 infiltration tests are widespread due to the ease of the experimental protocol and data treatment. The
20 analytical equation proposed by Haverkamp et al. (1994) allows the modeling of the cumulative
21 infiltration process, from which the hydraulic parameters can be estimated. This model depends on
22 both initial and final values of the soil hydraulic conductivity, initial soil sorptivity, the volumetric water
23 content increase ($\Delta\theta$), and two infiltration constants, the so-called β and γ parameters. However, to
24 reduce the number of unknown variables when inverting experimental data, constant parameters such

25 as β and γ are usually prefixed to 0.6 and 0.75, respectively. In this study, the values of these constants
26 are investigated using numerical infiltration curves for different soil types and initial soil water contents
27 for the van Genuchten-Mualem (vGM) soil hydraulic model. Our approach considers the long-time
28 expansions of the Haverkamp model, the exact soil properties such as S , K_s , and initial soil moisture
29 to derive the value of the β and γ parameters for each specific case. We then generated numerically
30 cumulative infiltration curves using Hydrus 3-D software and fitted the long-time expansions to derive
31 the value of the β and γ parameters. The results show that these parameters are influenced by the
32 initial soil water content and the soil type. However, for initially dry soil conditions, some prefixed
33 values can be proposed instead of the currently used values. If an accurate estimate of S and K_s is the
34 case, then for coarse-textured soils such as sand and loamy sand, we propose the use of 0.9 for both
35 constants. For the remaining soils, the value of 0.75 can be retained for γ . For β constant, 0.75 and 1.5
36 values can be considered for, intermediate permeable soils (sandy loam and loam) and low permeable
37 soils (silty loam and silt), respectively. We clarify that the results are based on using the vGM model to
38 describe the hydraulic functions of the soil and that the results may differ, and the assumptions may
39 change for other models.

40

41 **Keywords:** Beerkan infiltration, soil sorptivity, saturated hydraulic conductivity, Haverkamp model,
42 steady-state, Hydrus 3D synthetic soils.

43 1- Introduction

44 The quasi-exact implicit (QEI) three-dimensional (3D) analytical formulation for a disc infiltrometer
45 infiltration with null or negative surface pressure (Haverkamp et al., 1994) is derived from the one-
46 dimensional (1D) analytic approximation (AAP) proposed by Parlange et al. (1982), by including a new
47 term representing the lateral flow (Smettem et al., 1994). This equation is expressed as a function of
48 soil sorptivity, S , and hydraulic conductivity, K , volumetric water content increase, $\Delta\theta$, and integration
49 β and scale γ parameters.

50 The β parameter, which was initially introduced by Parlange et al. (1982) to derive the general form of
51 the 1D QEI formulation, was next redefined by Haverkamp et al. (1990). According to Haverkamp et al.
52 (1994), β is a function of the soil hydraulic conductivity, the soil diffusivity, and the initial and final
53 volumetric water content. As for the constant β , several studies (Ross et al., 1996; Haverkamp et al.,
54 1999) found it to be in the range of [0, 1], where 0 corresponds to soils with Green-Ampt (GA) behavior,
55 and one corresponds to very diffusive soils. This gave a physical interpretation to the integration
56 parameter β depending on the soil type. However, the constant β value of 0.6 initially retained by
57 Haverkamp et al. (1994), which was specific to their studied experimental sandy loam soil, became a
58 default setting in almost all studies (Angulo-Jaramillo et al., 2016). This “0.6” value was calculated using
59 soil textural information and the 1D analytical formulation (Fuentes et al., 1992). Some works have
60 numerically investigated its optimal value with different results. When the QEI formulation
61 (Lassabatere et al., 2009) or its expansions (Latorre et al., 2018; Moret-Fernandez et al., 2020) were
62 fitted to synthetic numerical curves generated for the van Genuchten-Mualem (1980) (vGM) soil
63 hydraulic model, β increased from sand to diffusive soil type, exceeding the initially proposed range
64 [0, 1].

65 The extension of the 1D AAP formulation to 3D formulation was performed by introducing the scaling
66 factor γ (Smetten et al., 1994). The combination of the resolution for two-dimensional saturated water
67 flow (known as the Laplace equation) and simplified assumptions such as GA wetting front during the
68 infiltration process leads to a specific theoretical value of $\sqrt{0.3}$ for γ (Smetten et al., 1994). Since this
69 theoretical value was specific to a GA wetting front, the γ value was corrected to 0.75 to fit the 3D
70 formulation to their experimental soil data (Smettem et al., 1994). Then, the 0.75 value for γ became
71 a common value for many studies (Di Prima et al., 2020; Yilmaz et al., 2022a; 2021). However, according
72 to Haverkamp et al. (1994), this parameter should be constrained within the [0.6, 0.8] range.

73 The proper values of β and γ parameters are the subject of discussion because both are known to
74 depend on soil type and initial water content (Lassabatere et al., 2009, Angulo-Jaramillo et al., 2016)

75 rather than being considered unique values. No study has focused on the simultaneous estimation of
76 β and γ . In general, the β parameter is prefixed according to measurements performed in a 1D vertical
77 column in the laboratory or directly calculated by the analytical formula of Fuentes et al. (1994). Then
78 γ is adjusted or optimized with the S and K_s estimations. Therefore, this paper is novel because it aims
79 to investigate simultaneously the optimal values of β and γ parameters. This approach differs from
80 the others since it doesn't constrain the optimized couplet to 1D flow estimation. For this purpose,
81 numerical cumulative infiltration curves corresponding to six different synthetic soils from the
82 database of Carsel and Parrish (1988) and with contrasting hydraulic behaviors are considered. Hydrus-
83 3D software was used to model cumulative infiltration corresponding to a zero water pressure head at
84 the surface, considering the vGM model for describing the soil hydraulic functions and several initial
85 water contents. The long-time expansion of QEI formulation was used to retrieve the couplet of
86 unknown β and γ for each synthetic soil. Then, the variation of both parameters is discussed in the
87 function of the synthetic soils and initial soil water conditions.

88 2- Material and Methods

89 2-1 Soil Hydraulics functions

90 The van Genuchten model uses the following mathematical function to describe the water retention
91 (Equation 1a) and the hydraulic conductivity (Equation 1b) curves:

$$92 \quad S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} = [1 + |\alpha h|^n]^{-m} \quad \text{Equation 1a}$$

$$93 \quad K(\theta) = K_s \left(\frac{\theta - \theta_r}{\theta_s - \theta_r} \right)^l \left[1 - \left(1 - \left(\frac{\theta - \theta_r}{\theta_s - \theta_r} \right)^{\frac{1}{m}} \right)^m \right]^2 \quad \text{Equation 1b}$$

$$94 \quad m = 1 - \frac{k_m}{n} \quad \text{Equation 1c}$$

95 Where S_e is the degree of soil water saturation, θ ($L^3 L^{-3}$) is the volumetric soil water content, h (L) is
96 the water pressure head, K ($L T^{-1}$) is the soil hydraulic conductivity, n and m are shape parameters,
97 k_m is a user index (Haverkamp *et al.*, 2016), l is a tortuosity parameter and α (L^{-1}) representing the

98 inflection point of the water retention curve, θ_s and θ_r ($L^3 L^{-3}$) are saturated and residual soil volumetric
99 water contents, and K_s ($L T^{-1}$) is the field-saturated soil hydraulic conductivity. The Mualem condition
100 (1976) set the k_m parameter to 1 and l to 0.5.

101 2-2 Synthetic data from Hydrus-3D software

102 Cumulative Beerkan infiltrations were simulated using HYDRUS-2D/3D software (Šimůnek et al. 2008),
103 which solves Richards' equation governing the flow by applying the finite element method. The
104 numerical domain was configured as a cylinder of 40 cm radius and 40 cm height, representing a
105 volume of 200 dm³, large enough to contain the wetting front below the ring infiltration device during
106 the whole infiltration process until the attainment of steady-state. Finite elements of 2 mm were used
107 to discretize the numerical domain (Lassabatere et al., 2009). The upper boundary condition was set
108 to saturated water content for the ring section, while the lower boundary limit was set to free drainage
109 condition, and zero flux boundary conditions were applied elsewhere. The ring radius r_d was set to 5
110 cm. The vGM model and related hydraulic properties of 6 synthetics soils, ranging from sand to silt
111 textures, were used (Table 1). The initial water content was considered homogeneous and set to a
112 degree of saturation S_e of 0, 0.1, 0.2, 0.3, and 0.4. Because of numerical problems for sand and loamy
113 sand, the initial degree of saturation 0 was replaced by 0.05.

114 2-3 Detection of steady-state

115 Di Prima et al. (2021) introduced the approach to detect the attainment of steady-state. First, the
116 program considers the last four points of the infiltration curve and then performs a linear regression
117 to calculate the slope. The latter is considered the reference slope. Then the program increments by
118 one the number of points to be considered for the linear regression. At each increment, a threshold E
119 is calculated as follows:

$$120 \quad E = \frac{\text{slope_last_i} - \text{slope_reference}}{\text{slope_reference}} < 0.005$$

Equation 2

121 Where $slope_last_i$ and $slope_reference$ values are the measured slope, including the last i^{th} and
 122 fourth points, respectively. The points respecting the threshold are considered for the long-time
 123 regime. When the threshold value becomes higher than 0.005 for an incremented i number of points,
 124 the algorithm stops and considers the steady-state flow process starting at the $i + 1$ points of the
 125 cumulated infiltration curve.

126 2-4 Quasi-exact implicit infiltration formulation and its long-time approximation

127 For a given initial volumetric water content, θ_i , the QEI formulation models the cumulative infiltration
 128 at the surface of a single ring of radius r_d (Beerkan type experiment) as below (Haverkamp et al., 1994):

$$129 \frac{2\Delta K^2}{S^2} t = \frac{1}{1-\beta} \left(\frac{2\Delta K}{S^2} \left(I_{3D} - \frac{\gamma S^2}{r_d(\theta_s - \theta_i)} t - K_i t \right) - \ln \left(\frac{e^{\frac{2\beta\Delta K}{S^2} \left(I_{3D} - \frac{\gamma S^2}{r_d(\theta_s - \theta_i)} t - K_i t \right) + \beta - 1}}{\beta} \right) \right) \quad \text{Equation 3}$$

130 Where t (T) is the time, I_{3D} (L) is the cumulative 3D infiltration, γ is the scaling constant representative
 131 of the lateral flow, β is the integration parameter, S (L T^{-0.5}) is the initial soil sorptivity, K_i is the initial
 132 soil hydraulic conductivity, and ΔK is the difference between the saturated and the initial soil hydraulic
 133 conductivity. For long times, the 3D cumulative infiltration, $I_{+\infty}$ (L), can be approximated by the
 134 following explicit steady-state expansion (Haverkamp et al., 1994):

$$135 I_{+\infty}(t) = (AS^2 + \Delta K) \cdot t + C \frac{S^2}{\Delta K} \quad \text{Equation 4a}$$

136 For the specific case of the vGM model, A (L⁻¹) and C constants are defined as:

$$137 A = \frac{\gamma}{r_d(\theta_s - \theta_i)} \quad \text{Equation 4b}$$

$$138 C = \frac{1}{2(1-\beta)} \ln \left(\frac{1}{\beta} \right) = \frac{1}{2(1-\beta)} \ln \left(\frac{1}{\beta} \right) \quad \text{Equation 4c}$$

139 2-5 Reference S calculation, estimation from the steady-state shape, and S error objective function

140 The reference sorptivity S_{ref} is calculated using the Parlange et al. (1975) flux concentration model
 141 as follows:

142 $S_{ref}^2(\theta_0, \theta_i) = \int_{\theta_i}^{\theta_0} (\theta_0 + \theta - 2\theta_i) D(\theta) d\theta$ Equation 5a

143 Where D stands for the soil diffusivity function. The S_{ref} is calculated for each synthetic soil (Table 1)
 144 and initial soil water content using the computation algorithm proposed by Lassabatere et al. (2022).

145 Alternatively, S can be related to the slope and intercept coefficient of equation 4a as follows
 146 (Bagarello et al., 2014):

147
$$S = \sqrt{\frac{s_{std}}{A + \frac{c}{i_{std}}}}$$
 Equation 5b

148 Where s_{std} and i_{std} stand for the slope and the intercept of the linear regression of the steady-state
 149 part of the cumulated infiltration curve. The equation Eq. 5b defines sorptivity as a function of the β
 150 and γ parameters. S defined by Eq. 5b must equal S_{ref} as defined by Eq. 5a. We then assess the
 151 objective function to calculate the deviation from the reference value as follow:

152
$$S_{error} = S_{ref} - \sqrt{\frac{s_{std}}{A + \frac{c}{i_{std}}}}$$
 Equation 5c

153 Accurate values of the β and γ parameters must ensure values of the optimization function close to
 154 zero.

155 2-6 K_s estimation from the steady-state and K_s error objective function

156 K_s is estimated from equation 4a using the intercept information (Yilmaz et al., 2010) as follows:

157
$$K_s = \frac{s_{std}}{\left(i_{std} \frac{A}{c} + 1\right) \left(1 - \left(\frac{\theta_i - \theta_r}{\theta_s - \theta_r}\right)^{0.5} \left[1 - \left(1 - \left(\frac{\theta_i - \theta_r}{\theta_s - \theta_r}\right)^{\frac{1}{m}}\right)^m\right]^2\right)}$$
 Equation 6a

158 The objective function to calculate the deviation from the reference value is defined as follows:

159
$$K_{serror} = K_{sref} - \frac{s_{std}}{\left(i_{std} \frac{A}{c} + 1\right) \left(1 - \left(\frac{\theta_i - \theta_r}{\theta_s - \theta_r}\right)^{0.5} \left[1 - \left(1 - \left(\frac{\theta_i - \theta_r}{\theta_s - \theta_r}\right)^{\frac{1}{m}}\right)^m\right]^2\right)}$$
 Equation 6b

160 Again, accurate values of the β and γ parameters must ensure values of the optimization function
 161 close to zero. We have then defined two objective functions that allow retrieving the best values of
 162 the β and γ parameters.

163 2-7 Contours of the minimum of K_s and S error objective function

164 K_s and S are estimated for (β, γ) couplets ranging each term from 0.1 to 3 with an increment step of
 165 0.005. Thus, the objective function is calculated for each couplet to find the minimum. Crossing the
 166 objective functions thus takes up the values of (β, γ) couplet that best fits with the values of references
 167 K_s and S . Since the objective functions (5a and 6b) are derived from the shape coefficient of the
 168 steady-state, the calculation of the values of (β, γ) are done from the shape coefficient as described
 169 after.

170 2-8 Estimation of (β, γ) couplet from shape coefficient

171 The γ value is calculated by considering the relation 4a with the reference values K_s and S , and the
 172 slope of the linear regression of the steady-state points as follows:

$$173 \quad \gamma = \frac{r_d(\theta_s - \theta_i)(s_{std} - K_{sref})}{S_{ref}^2} \quad \text{Equation 7a}$$

174 In order to calculate the β value, the intercept of the regression line of the steady-state is used to
 175 define a new objective function f , as follows:

$$176 \quad f(\beta) = i_{std} - \frac{1}{2(1-\beta) \left(1 - \left(\frac{\theta_i - \theta_r}{\theta_s - \theta_r} \right)^{0.5} \left[1 - \left(1 - \left(\frac{\theta_i - \theta_r}{\theta_s - \theta_r} \right)^{\frac{1}{m}} \right)^{m^2} \right] \right)} \ln \left(\frac{1}{\beta} \right) \frac{S_{ref}^2}{K_{sref}} \quad \text{Equation 7b}$$

177 Then Scilab "fsolve" function (Campbell et al., 2010), which finds the zero for a mathematical function,
 178 is used to retrieve the equivalent β . The values computed of the (β, γ) couplet computed with Eqs 7a
 179 and 7b correspond to the optimum values of the objective functions defined by Eqs 5c and 5c.

180 2-9 Relative error estimation of S and K_s using by-default (β, γ) couplet

181 To highlight the bias in the estimation of S and K_s with respect to their reference value, when the by-
182 default ($\beta = 0.6, \gamma = 0.75$) couplet is used, the relative error [%] is calculated as follows:

$$183 \quad S_{relative\ Error} = \frac{S_{estimated} - S_{ref}}{S_{ref}} \times 100 \quad \text{Equation 8a}$$

$$184 \quad K_{s\ relative\ Error} = \frac{K_{s\ estimated} - K_{s\ ref}}{K_{s\ ref}} \times 100 \quad \text{Equation 8b}$$

185 3 – Results

186 3-1 Beerkan cumulative infiltrations and steady-state asymptotes

187 Simulations for the six synthetic soils and the different scenarios of initial water content are shown in
188 Figure 1. The steady-state attainment time, t_{std} , asymptote slope, s_{std} , and intercept, i_{std} , are
189 summarized in Table 2.

190

191 3-2 Contour of objectives functions

192 The contours of the objective error functions for S (Eq. 5c) and K_s (Eq. 6b) and the intersection of both
193 contour error lines of 0.005 for initial saturation degree $S_e = 0.1$ are illustrated in Figure 2. The
194 crossing pattern (Right column, Figure 2) shows the (β, γ) couplet that fits well with both expected S
195 and K_s values. Their exact values were calculated from steady state shape coefficients and summarized
196 in Table 2 (Cf. the last two columns).

197 3-3 Relative error of S and K_s estimates using by-default values of (β, γ) couplet

198 Using the by-default value of 0.6 and 0.75 for (β, γ) couplet resulted in relative errors for S estimate
199 below 2 %, in absolute value, for sand, loamy sand, and sandy loam (Table 2). For the others, the error
200 was constrained below 10 % in absolute value. For K_s estimates, the relative errors were higher than
201 for S estimates. The minimum error range was observed for sandy loam in the range of [4.7-11.1] %,
202 and the maximum error was for silt in the range of [32.1-70.4] % in function to the initial degree of
203 saturation.

204 3-3 Identification of (β, γ) couplet from steady-state measurements

205 For initial conditions of $S_e = 0.1$, parameter β depends on the soil texture and decreases from 1.01 to
206 0.77, respectively, for sand and sandy loam and then increases to 2.32 for silt (Table 2). This parameter
207 also increases from dry to wetter initial conditions for loamy sand, whereas it decreases for the other
208 synthetic soils.

209 The value of the γ parameter for an initial degree of saturation of 0.1 decreases from 0.98 to 0.76 for
210 sand and loam, respectively, and then it slightly increases to 0.79 for silt. For sand and loamy sand, γ
211 optimized values slightly increase from dry to wetter initial conditions and decrease for the remaining
212 soils.

213 4- Discussion

214 4-1 Effect of the by-default couplet value on the estimates of K_s and S

215 The objective error function for K_s (Figure 2) obtained from the steady-state approach (Equation 4a)
216 shows an increasing elongated valley, which indicates that infinite values of the couplet (β, γ) can be
217 chosen for the actual estimate of K_s . A similar behavior, with a decreasing elongated valley, was
218 observed in the estimates of S . Simultaneous estimate of both parameters conducts to a restricted
219 choice of the couplet (β, γ) represented by the intersection of both valleys with minimum error.
220 Identifying the intersection of the two zones correctly identifies the optimum values of the couplet
221 (β, γ) and are directly calculated from equations 7a and 7b. Therefore, it is interesting to test the by-
222 default (β, γ) couplet value to see the potential errors in the estimation of S and K_s (Table 2). In such
223 case and considering the field variability of intrinsic soil parameters such as porosity, the relative errors
224 observed for S are negligible ($< 10\%$). For the estimation of K_s , the observed relative error is higher
225 than S , but indicates that the estimates remain in the same order of magnitude as the reference value
226 ($< 60\%$). The case of synthetic sandy loam, with the by-default couplet (β, γ) , led to the smallest errors.
227 That seems logical since the original default values were calculated for cumulative infiltration curves
228 experimentally measured for sandy loam soils in the laboratory (Smettem et al., 1994) and on the field

229 (Haverkamp et al., 1994). Globally, for a more rigorous estimation of the K_s parameter, the couplet
230 (β, γ) must be corrected according to the soil type and initial soil moisture content.

231 4-2 Comparison to values of couplet (β, γ) reported in the literature

232 In the literature, almost all studies that employ the QEI formulation (Haverkamp et al., 1994) and its
233 corresponding expansions systematically used the by-default (β, γ) values. Note that BEST methods
234 (Yilmaz et al., 2019; Di Prima et al., 2021) and the simplified Beerkan approach (Yilmaz, 2021; Di Prima
235 et al., 2020; Bagarello et al., 2017) used a different model for the modeling of hydraulic functions, i.e.,
236 the van Genuchten (1980) model for the water retention curve and the Brooks and Corey (1967) model
237 for the unsaturated hydraulic conductivity (Lassabatere et al., 2021). Therefore, the conclusion of this
238 study may not apply to these methods since we investigated values of (β, γ) for the specific case of
239 the vGM model. However, we may expect similar trends. Further investigations will deal with the
240 dependency of our findings on the mathematical formulations considered for the description of the
241 water retention and hydraulic conductivity functions.

242 Few studies have evaluated the effect of β and γ parameters on the estimates of K_s and S (Table 3),
243 focusing mainly on initial very dry conditions. Our study differs from these in that we analyzed the
244 cumulative infiltration at long times, we estimate simultaneously both parameters β and γ rather than
245 fixing β from 1D and adjusting γ value. In complement, we also investigate the effect of initial soil
246 moisture from dry to wet conditions on both parameters. For instance, Latorre et al. (2018) observed
247 that β could be accurately estimated with QEI formulation from a single infiltration curve only when
248 very long times were considered. Once β is estimated then they extrapolated the 1D results to 3D
249 flow. Similar approach was done by Lassabatere et al. 2009. Working with QEI expansions, Moret-
250 Fernandez et al. (2020) and Rahmati et al. (2020) prefixed β according to textural parameters and 1D
251 analytical formulation of Fuentes (1992). They observed that β had a meager impact on the K_s and S
252 estimates. However, using the long-time formulation, we observed that the β parameter has a direct
253 influence on the coefficient C (Equation 4c), which directly influences the estimation of K_s and S . This

254 results in significant differences between the β values in the literature obtained from 1D flow
255 conditions and those found in this work for 3D flow conditions.

256 For sand synthetic soil, the β value observed for initially relatively dry soils was close to 1.02. This value
257 differs from the study of Lassabatere et al. (2009), Rahmati et al. (2020), Moret-Fernandez and Latorre
258 (2017), who observed β value between 0.33 and 0.6. Note that although Moret-Fernandez et al. (2020)
259 considered β value of 0.6 for sandy soils from inversion of 1D infiltration experiments, for 3D
260 infiltration, the β value observed in their optimization procedure was close to 1. This clearly shows
261 differences between the 1D and 3D β estimates and calls into question the extrapolation of β from 1D
262 flow to estimate soil parameters from 3D flow.

263 For the γ parameter, our observed values are close to those proposed by Lassabatere et al. (2009).
264 Moreover, Moret-Fernandez et al. (2020) reused the γ value for loam soil and observed similar
265 optimized values of β in comparison to our steady-state approach. Haverkamp et al. (1994) bounded
266 γ parameter between 0.6 and 0.8 for “normal working conditions”. This statement is vague since
267 “normal working condition” is not explicit. It seems that for very permeable soil, such as sand and
268 loamy sand, this range can be expanded and be closer to 1.

269

270 4-3 Effect of the initial soil water content

271 4-3-1 On the estimate of S and K_s using the by-default couplet (β, γ)

272 Regarding the effect of the initial water content with the by-default couplet (β, γ) , a similar error was
273 obtained in the estimation of S , regardless of the initial soil water content (Table 2). Therefore, no
274 effect of the initial water content was observed for this parameter. Contrary to the estimation of K_s ,
275 the increase of the initial water content resulted in an increase or a decrease in the error according to
276 the soil type (Table 2). For instance, for sand and loamy soils, the error of K_s remains almost stable
277 with the increase of the initial soil degree saturation. This trend contrast with the remaining soils,

278 where a decrease of the K_s error with the increase of the initial soil degree saturation was observed.
279 Therefore, the studied synthetic soils could be classified into two groups: sand and loamy sand soils on
280 the one hand, and sandy loam, loam, silty loam, and silt soil on the other hand, with regard to the
281 impact of the by-default value on the estimations of S and K_s . The evolution of the optimum values of
282 the couplet (β, γ) is then discussed afterwards.

283 4-3-1 On the optimized value of (β, γ)

284 For the first group, β and γ values increase with increasing initial water content. It should be noted
285 that the increase of γ is contained in a small range (Table 2) compared to β and can be considered
286 almost constant. For the second group, we have an opposite effect where β and γ values decrease
287 with the increase of the initial water content. It should be noted that for the sandy loam, the γ value
288 remains almost constant as well.

289 During field experiments, utterly dry condition such as initial degree of saturation below 0.1 is rarely
290 observed and infiltration tests are generally performed with initial soil condition higher but remaining
291 relatively dry ($Se_i < 0.3$). Therefore, some values of β and γ can be considered for the estimation of
292 S and K_s . For the first group (sand and loamy sand textural soils), γ can be set to 0.9. Indeed, it is logical
293 for very permeable soils to have a revised γ value higher than 0.75 because these soils have a behavior
294 quite close to a 1D flow. While for the second group, a default value of 0.75 can be kept. For the β
295 parameter, the first group can have a value close to 0.9 which represents a mean value for this group.
296 However, for the second group, it is not possible to fix a mean value for β value beforehand, as it varies
297 from 0.72 to 2.32. Therefore, we propose to split this group in two: sandy loam textural soils and the
298 others (Loam, silty loam and silt) with respectively β values fixed to 0.75 and 1.5.

299 5- Conclusions

300 In the debate on the constancy of the couplet (β, γ) , this study showed that these parameters are not
301 constant and are influenced by the type of soil and the initial soil moisture. Our results indicate that
302 synthetic soils can be classified into three groups: very draining soils with a reach of the permanent

303 regime in less than 30 minutes (sand and loamy sand textural type), the second group where regime
304 permanent is reached in less than 120 minutes (sandy loam textural type) and the others. For the first
305 group and for relatively initially dry soil conditions (i.e., $Se_i < 0.3$), we suggest readjusting the by-
306 default couplet from the case of ($\beta = 0.6, \gamma = 0.75$) to ($\beta = 0.9, \gamma = 0.9$). Note that the value of $\beta =$
307 1 cannot be considered since it may lead to undefined numerical values with the use of the QEI model
308 (see Eq. 3) or the definition of the asymptote intercept (see Eq. 4). For the second group (sandy loam
309 textural soils), we propose to readjust the couplet to ($\beta = 0.75, \gamma = 0.75$). For the last group who
310 requests longer times for the attainment of the steady state flow (over 120 minutes), the values ($\beta =$
311 1.5, $\gamma = 0.75$) can be chosen as a good option for a more precise estimation of S and K_s . The results
312 of this investigation are specific to the case of van Genuchten-Mualem soil hydraulic models and need
313 to be tested on other models before generalization. The present work also highlights that there are
314 two good options for the definition of the γ constant with 0.9 and 0.75. This means that a pre-fixed
315 value for γ can be used when the transient expansion 3, 4, and 5 terms of the QEI and simultaneous
316 estimate of triplet (K_s, S, β) are considered. Although this work has focused on soil textural
317 characteristics, new efforts should be made to study the possible influence of soil structure, for
318 instance, compacted soils or high porosity soils such as technosols (Yilmaz et al., 2022b) on β and γ
319 values.

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321 6- References

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418 Table 1. vGM related hydraulic parameters for the six studied synthetic soils (Di Prima et al., 2021)

Type of soil	θ_r	θ_s	n	α (cm ⁻¹)	K_s (cm.min ⁻¹)	t_f (min)
Sand	0.045	0.430	2.68	0.145	0.495	30
Loamy Sand	0.057	0.410	2.28	0.124	0.2432	60
Sandy Loam	0.065	0.410	1.89	0.075	0.07368	120
Loam	0.078	0.430	1.56	0.036	0.01733	240
Silty Loam	0.067	0.450	1.41	0.02	0.00750	480
Silt	0.034	0.460	1.37	0.016	0.00417	720

Table 2. Summary of asymptote slope and intercept, relative error on S and K_s using by-default (β, γ) estimated optimum values of (β, γ)

	S_{e_i}	t_{std}	s_{std}	i_{std}	S Eq. 5a	S_{Error} Eq. 8a	K_{sError} Eq. 8b	β Eq. 7b	γ Eq. 7a
	[-]	[min]	[mm.min ⁻¹]	[mm]	[mm.min ^{-0.5}]	[%]	[%]		
Sand	0.05	11.3	1.198	1.319	1.148	0.8	31.0	1.020	0.975
	0.1	11.7	1.197	1.251	1.116	0.9	30.9	1.012	0.976
	0.2	9.4	1.197	1.090	1.049	0.7	32.2	1.042	0.982
	0.3	7.5	1.196	0.934	0.977	0.5	33.9	1.076	0.990
Loamy Sand	0.05	23.5	0.561	1.378	0.773	1.5	17.3	0.792	0.890
	0.1	23.2	0.560	1.302	0.752	1.5	17.4	0.793	0.892
	0.2	19.8	0.560	1.141	0.706	1.4	18.1	0.806	0.896
	0.3	17.9	0.559	0.989	0.658	1.6	18.7	0.809	0.902
	0.4	15.8	0.558	0.835	0.605	1.8	20.2	0.821	0.913
Sandy Loam	0	68.3	0.184	1.835	0.491	-1.3	11.1	0.794	0.789
	0.1	64.5	0.183	1.667	0.465	-1.1	10.0	0.770	0.787
	0.2	64.9	0.182	1.515	0.437	-0.5	8.1	0.725	0.786
	0.3	64.0	0.181	1.369	0.407	0.4	5.6	0.665	0.785
	0.4	55.0	0.180	1.185	0.374	1.0	4.7	0.635	0.789
Loam	0	159.0	0.053	1.944	0.285	-7.2	32.1	1.429	0.766
	0.1	161.0	0.052	1.814	0.269	-6.9	27.8	1.322	0.756
	0.2	167.0	0.051	1.688	0.253	-6.3	22.8	1.196	0.746
	0.3	159.0	0.051	1.534	0.236	-5.8	18.6	1.093	0.738
	0.4	155.0	0.050	1.362	0.217	-5.2	14.6	0.996	0.731
Silty Loam	0	338.0	0.028	2.337	0.222	-8.5	50.8	1.923	0.775
	0.1	327.0	0.027	2.182	0.211	-8.4	45.3	1.785	0.761
	0.2	328.0	0.027	2.019	0.198	-8.0	39.8	1.642	0.751
	0.3	346.0	0.026	1.867	0.185	-7.5	32.9	1.462	0.738
	0.4	266.0	0.026	1.591	0.170	-7.5	32.1	1.448	0.737
Silt	0	560.0	0.017	2.511	0.184	-9.4	70.4	2.465	0.800
	0.1	556.0	0.017	2.332	0.175	-9.3	64.6	2.317	0.786
	0.2	525.0	0.016	2.127	0.164	-9.2	60.1	2.193	0.777
	0.3	523.0	0.016	1.944	0.153	-8.8	53.4	2.004	0.765
	0.4	266.0	0.026	1.591	0.170	-7.5	32.1	1.813	0.737

Table 3. Literature summary of optimized β or/and γ parameters on numerical curves produced with vGM model from Hydrus software and QEI formulation and its expansions.

	Synthetic Soil	β	γ
Lassabatere et al. (2009)- From QEI 3D infiltration	Sand	0.33	1.030
	Loam	1.25	0.756
	Silt	1.56	0.748
Moret-Fernandez and Latorre (2017)- From Upward 1D infiltration	Sand	0.63	
	Loam	1.25	
	Silt	1.56	
Latorre et al. (2018) - From AAP 1D infiltration	Loamy sand	0.78	
	Loam	1.27	
	Silt	1.50	
Moret-Fernandez et al. (2020)- From QEI 3D infiltration	Sand	0.63	1.030
	Loam	1.25	0.756
	Silt	1.56	0.748
Rahmati et al. (2020) - From 5T expansion of AAP 1D infiltration	Sand	0.60	
	Loamy Sand	0.80	
	Sandy Loam	0.99	
	Loam	1.27	
	Silty Loam	1.44	
	Silt	1.50	

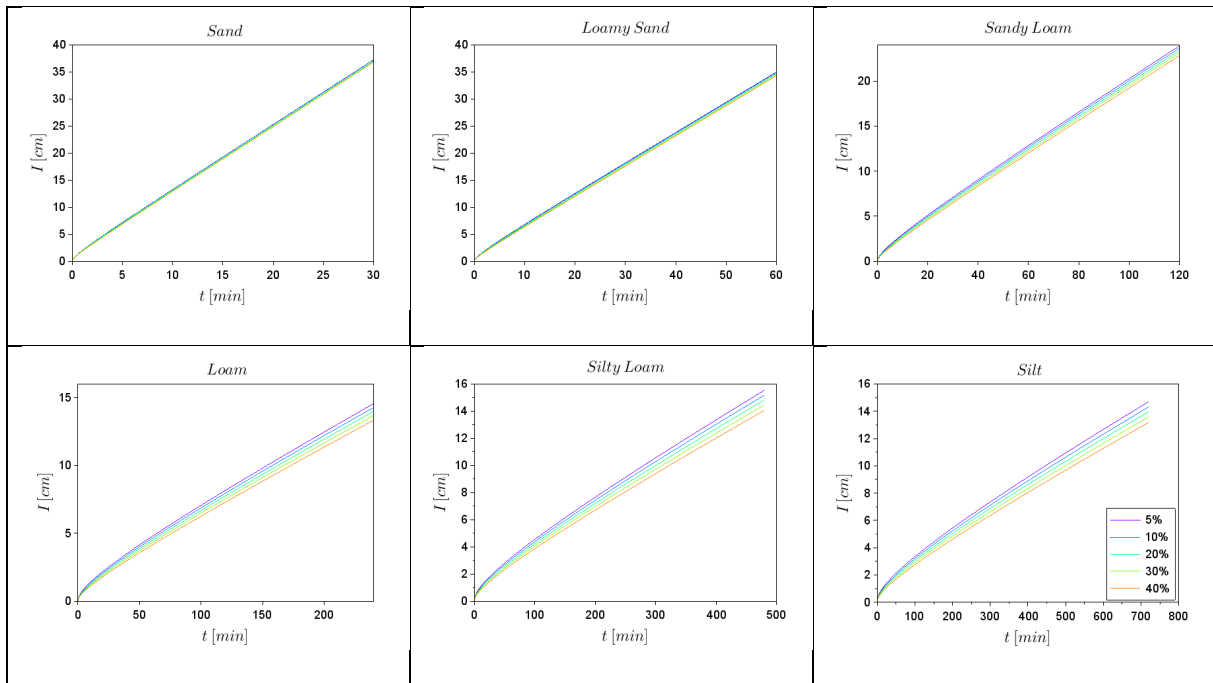
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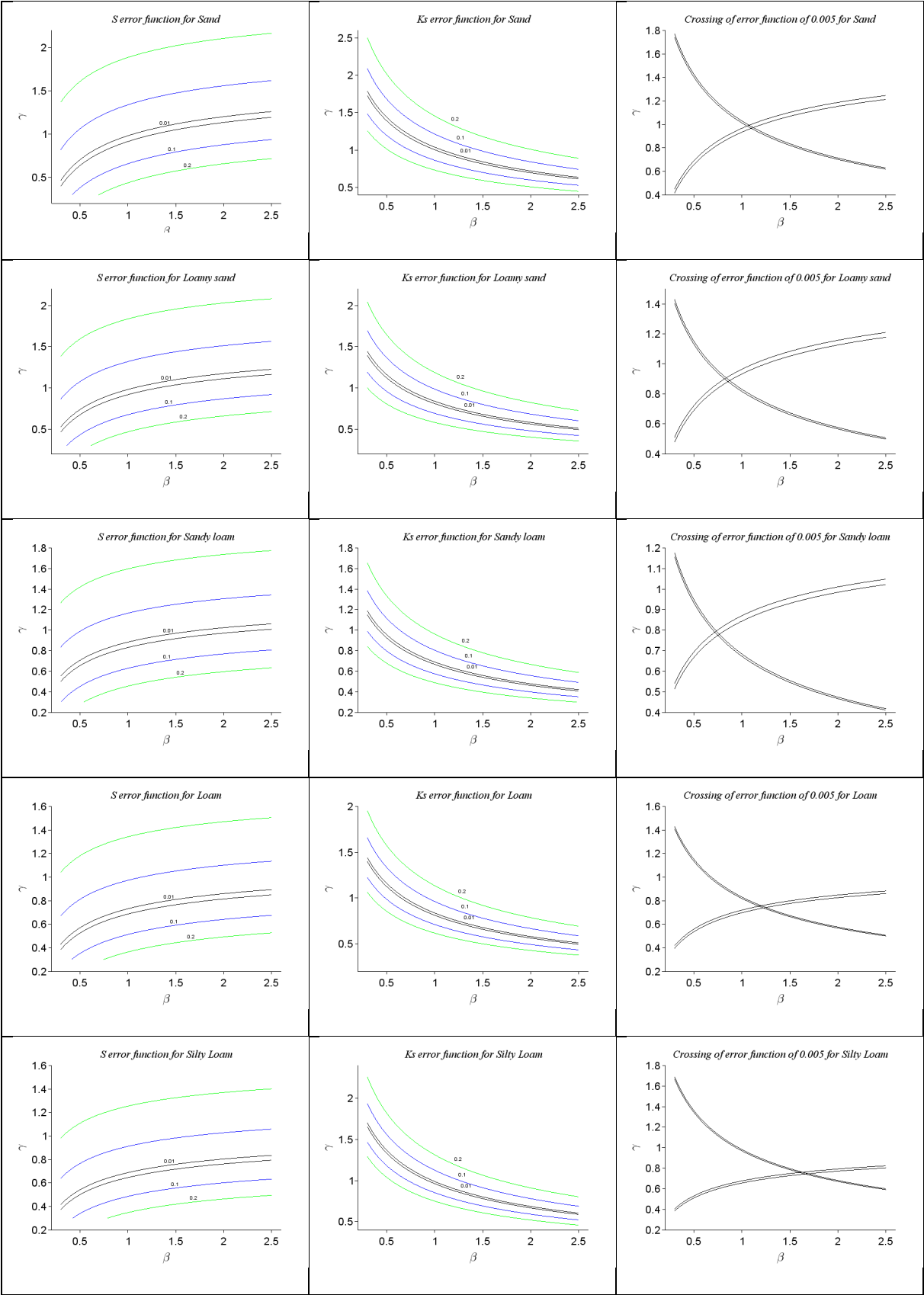
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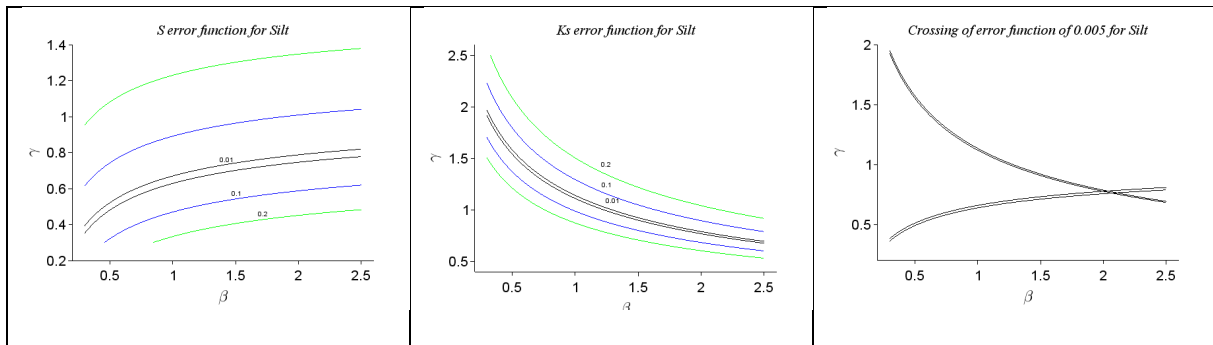


429 Figure 1. Numerical Hydrus cumulative infiltration calculated for the six synthetic soils and scenarios of initial soil
430 volumetric water content of 5, 10, 20, 30 and 40 % of the effective volumetric water content ($\theta_s - \theta_r$).

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433 Figure 2. S and Ks error functions contours for 0.1 initial degree of saturation, (green, blue and black lines
 434 represent error value of 0.2, 0.1 and 0.01, respectively), and error functions intersection for S and K_s and for
 435 0.005 error value.

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