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1 **Influence of plastic anisotropy and strain path on strain-induced phase transformation**  
2 **of cobalt**

3

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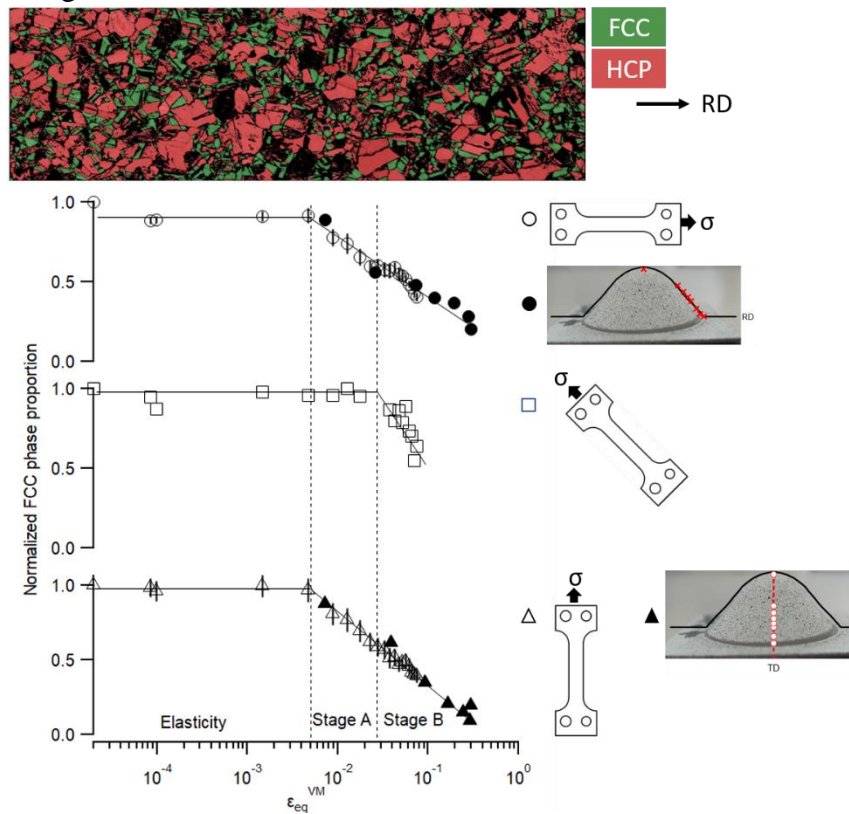
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9

10 On behalf of all authors, the corresponding author states that there is no conflict of interest.

11

12 **Graphical abstract:** Evolution of metastable cobalt FCC volume proportion during  
13 mechanical loading.



14

15 **Abstract**

16 The objective of this paper is to contribute to a better understanding of the deformation  
17 mechanisms of a rolled polycrystalline cobalt sheet. In order to achieve this goal, a particular

18 attention is given to the strain-induced phase transformation and the forming parameters on  
19 which it may depend such as the plastic anisotropy or the loading path.

20 *In situ* phase quantification by X-ray diffraction during tensile tests, on samples cut out from a  
21 cold rolled sheet material in three different directions (at 0°, 45° and 90° from the rolling  
22 direction), has enabled to study the influence of plastic anisotropy on the strain-induced phase  
23 transformation of metastable retained Face Centered Cubic phase to Hexagonal Close Packed  
24 phase. Results show that the martensitic phase transformation can be activated by basal slip in  
25 the first stage of plasticity but it also indicates that the latter is delayed when sample is  
26 strained in tension for an angle of 45° to the rolling direction. *Post mortem* analyses on a  
27 stamped sample by the Nakazima test have revealed that the phase transformation continues  
28 for higher strain levels. A particular interest is paid to the coupling between strain-hardening  
29 mechanisms and phase transformation and is discussed given the plastic anisotropy of cobalt  
30 aggregate.

31

32 **Keywords:** cobalt, mechanical properties, anisotropy, phase transformation, X-ray diffraction

33

## 34 **1. Introduction**

35 Work-hardening mechanisms of Hexagonal Closed-Packed (HCP) cobalt are quite specific  
36 due to the  $c/a$  ratio close to the ideal value, the high melting temperature [1] and the low  
37 stacking fault energy [2]. Basal dislocation glide on the  $\{00.1\}\langle\bar{1}2.0\rangle$  crystallographic  
38 system is the first stage of cobalt work hardening and it has been extensively studied in the  
39 50s and 60s by Seeger *et al.*[3–5]. Due to the limited number of available slip systems, the  
40 plastic deformation is quickly accommodated by the twinning process as the second stage of

41 work hardening. More recently, in the 2010s, Martinez *et al.* [6–9] and Zhu *et al.* [10,11] have  
42 studied more specifically the key-role of twinning to accommodate plastic deformation.

43 HCP cobalt presents an allotropic transformation to Face Centered-Cubic (FCC) for  
44 temperatures higher than 440 °C while the reverse martensitic transformation temperature is  
45 around 330 °C, exhibiting a hysteresis. This transformation is not fully reversible and the  
46 metastable FCC phase remains generally present at room temperature. This allotropic  
47 transformation, caused by temperature, has been extensively studied in the 1970s and from the  
48 1990s to 2010 [12–31]. The retained FCC phase is mechanically unstable under stress unless  
49 it has undergone a specific heat treatment under controlled time and temperature conditions  
50 (long time combined with high temperatures) [8,32]. The properties of cobalt, both  
51 mechanical and magnetic, have also been extensively studied [1,32–39]. Some original effects  
52 such as sensitivity to size effects [8,39,40] or non-linear elasticity [38,41] have been the  
53 subject of recent studies. However, only few studies have focused on two-phase effect with  
54 the exception of one which showed that the presence of the residual FCC phase was beneficial  
55 to the mechanical properties [33]. This brief study does not mention the mechanical stability  
56 of the microstructure with heat treatments and was therefore not interested in the possible  
57 phase transformation induced by the mechanical deformation.

58 The strain-induced FCC to HCP phase transformation in cobalt has rarely been investigated  
59 since Sanderson's work in the 1970s [32]. Only a few recent works on thin films [42] and on  
60 the influence of temperature [43], deal nevertheless with this mechanism [32,42,43].  
61 Concerning the cobalt alloys, the study on a Co-Ni-Cr-Mo alloy shows a correlation between  
62 deformation modes, stacking fault energy and strain-induced phase transformation [44]. On  
63 Co-Cu alloys, a full FCC to HCP transformation has been observed for a severe plastic  
64 deformation process [45]. These investigations agree that the residual FCC phase can be

65 transformed into HCP phase by plastic deformation at room temperature, provided that it has  
66 not been stabilized by a heat treatment.

67 Dubos *et al.* [46,47] have investigated the size effects in complex forming processes of  
68 different high-purity metals. For mechanically stabilized microstructure of polycrystalline  
69 cobalt, first results exhibit a strain path dependence of size effects during stamping tests. This  
70 deformation process can actually be used for the microforming of miniaturized systems in  
71 which cobalt can be a good candidate due to its specific mechanical and magnetic properties.  
72 The fields of application can be varied such as sensors, electronics, micro-mechanics,  
73 medical, *etc.*

74 These previous works clearly show a renewed interest in this material, despite the complexity  
75 of its deformation mechanisms and the little-studied phenomena such as plasticity-induced  
76 phase transformation when the microstructure is not mastered beforehand.

77

78 Plastic anisotropy is an extremely important factor to be considered in sheet metal forming.  
79 The most studied HCP phase metals (i.e. magnesium, zirconium and titanium) display strong  
80 plastic anisotropy which results in a Lankford coefficient varying between 2 and 4 [48]. This  
81 plastic anisotropy directly results from the marked rolling texture of HCP metal sheets [49].  
82 For polycrystalline cobalt, Lankford coefficient is around 0.92 (weak plastic anisotropy).  
83 Nevertheless, it is strongly influenced by the microstructure, notably the grain size [47].

84 The main aim of this experimental study is to contribute to the knowledge and the  
85 understanding of the strain-induced phase transformation in cobalt when the FCC retained  
86 phase is mechanically unstable. After a detailed description of the experimental methods,  
87 focusing on X-Ray Diffraction (XRD) phase quantification and mechanical tests carried out

88 (tensile and stamping tests), results are given to highlight the influence of plastic anisotropy  
89 and the strain path deviation on the FCC to HCP phase transformation induced by plasticity.  
90 *Post mortem* phase quantifications will be presented on a stamped sample which displays a  
91 high strain gradient and will be compared to those obtained by tensile tests in different  
92 directions by considering von Mises equivalent strain. Finally, the plastic anisotropy effect  
93 will be discussed in view of activation and transformation rate. In a second step, the influence  
94 of plastic anisotropy on the main work-hardening mechanisms and on the competition  
95 between twinning and phase transformation will be raised.

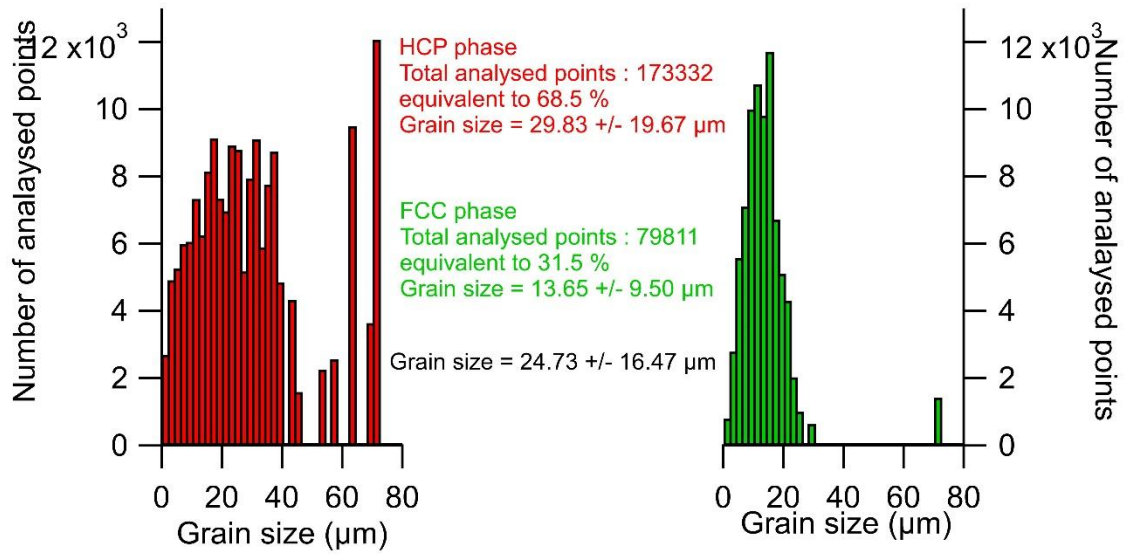
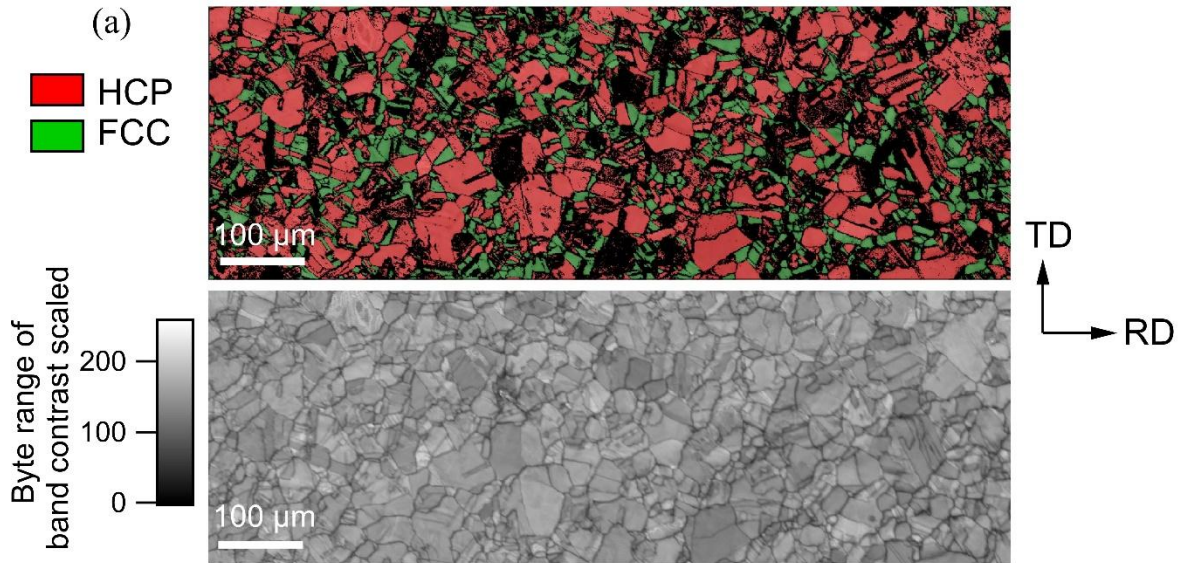
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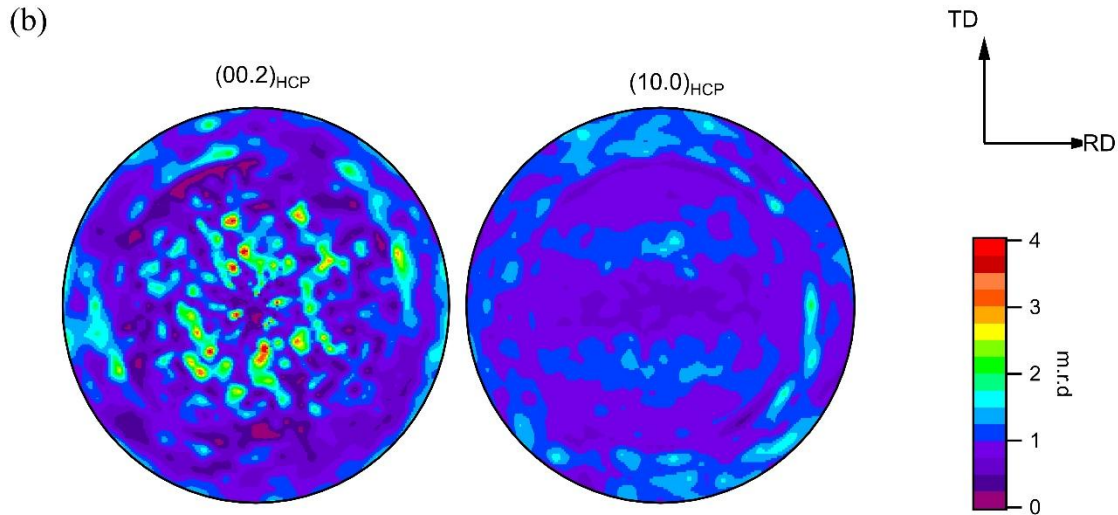
## 97 **2. Material and methods**

98 Commercial polycrystalline cobalt sheets (Goodfellow Company) of high-purity (99.9 wt. %),  
99 cold-rolled to 0.5 mm in thickness in a recrystallized state, were used for the present study.  
100 Electron Back Scattered Diffraction (EBSD) mapping has been performed (**Fig. 1 (a)**) in  
101 order to obtain initial grain size and phase cartographies. The initial ratio between the two  
102 phases is around 70 % HCP / 30 % FCC with corresponding grains sizes of  $30 \pm 20 \mu\text{m}$  and  
103  $14 \pm 10 \mu\text{m}$ .

104 The calculation of the grain size is performed on each phase, only with the indexed pixels.  
105 There seems to be no correspondence between the band contrast and the non-indexed pixels.  
106 This confirms the recrystallized state of the sheet after cold rolling and the absence of  
107 significant residual stresses.

108





110

111 **Figure 1:** Microstructural analysis of the as-received material: (a) EBSD map of phase  
 112 distribution and band contrast. Distribution of grain size for each phase. HCP phase is colored  
 113 in red and FCC phase in green. (b) XRD crystallographic textures of the HCP phase  
 114 determined from the orientation distribution functions calculated by the WIMW method  
 115 implanted in the BEARTEX software.

116

117 Crystallographic textures and retained FCC phase ratios, at room temperature, were  
 118 determined by XRD technique. A four-circle Seifert XRD3003 PTS diffractometer equipped  
 119 with a Euler cradle, a Position Sensitive Detector (PSD) with a  $2\theta$  effective windows of  $8.6^\circ$ ,  
 120 a 1 mm diameter collimator and an IDS camera (for sample positioning in the center of the  
 121 goniometer), was used. A Cr anode ( $\text{CrK}_{\alpha 1+2}$  radiation), operating at 30 kV and 35 mA, was  
 122 used (wavelength  $\lambda = 2.28964 \text{ \AA}$ ). The  $\text{K}_\beta$  component of the Cr radiation was eliminated using  
 123 a vanadium filter installed in front of the detector.

124 Experimental XRD peak profiles were fitted by a Pseudo-Voigt function which takes into  
 125 account the  $\text{K}_{\alpha 1}$ - $\text{K}_{\alpha 2}$  doublet [50]. For each diffraction peak, background was modeled through  
 126 a  $2^{\text{nd}}$  order polynomial function. Prior to peak profile analysis, angular corrections (Lorentz,  
 127 polarization and absorption factors) have also been applied [51].



128 **Fig. 1 (b)** shows recalculated  $\{00.2\}_{\text{HCP}}$  and  $\{10.0\}_{\text{HCP}}$  Pole Figures (PFs) of the as-received  
 129 sample. The crystallographic textures have been determined from the Orientation Distribution  
 130 Functions (ODF) calculated by the WIMV method implemented in the BEARTEX software  
 131 [52], and were obtained from  $\{10.0\}_{\text{HCP}}$ ,  $\{00.2\}_{\text{HCP}}$ ,  $\{10.1\}_{\text{HCP}}$  and  $\{10.2\}_{\text{HCP}}$  experimental  
 132 PFs with an average error coefficient of about 0.08. This initial state displays a slight centered  
 133 basal reinforcement of ( $\{00.2\}_{\text{HCP}}$  on Fig. 1 (b)) with symmetrical intensity distribution of  
 134 ( $\{00.2\}_{\text{HCP}}$  and  $\{10.0\}_{\text{HCP}}$  on Fig. 1 (b)) representative of a rolling texture for hexagonal  
 135 materials with a  $c/a$  ratio close to 1.633. The central pole of  $\{00.2\}_{\text{HCP}}$  in Fig. 1 (b) is  
 136 distributed up to an angle of  $43.1^\circ$  characteristic of the habit plane between the two phases  
 137 considering the Shoji-Nishiyama's relation [10].

138

139 The FCC phase volume fraction (denoted  $f^{\text{FCC}}$ ) has been determined for each measurement  
 140 point using the equation (1) as formulated by Shang *et al.* [53]:

$$f^{\text{FCC}} = \left( 1 + \frac{I_{\text{hkl}}^{\text{HCP}} R_{\text{hkl}}^{\text{FCC}}}{I_{\text{hkl}}^{\text{FCC}} R_{\text{hkl}}^{\text{HCP}}} \right)^{-1} \quad (1)$$

141 where  $I_{\text{hkl}}$  is the integrated intensity of the corresponding  $\{hkl\}$  peak.  $R_{\text{hkl}}$  is the reflectivity of  
 142 the lattice plane  $\{hkl\}$ , and is given by the following equation (2) introduced in the literature  
 143 [54]:

$$R_{\text{hkl}} = \frac{1}{v^2} |F_{\text{hkl}}|^2 \left( \frac{1 + \cos^2(2\theta)}{\sin^2(2\theta)\cos(\theta)} \right) m \frac{e^{-2M}}{\mu} \quad (2)$$

144 where  $v$  is the volume of the crystal unit cell (in  $\text{\AA}^3$ ),  $F_{\text{hkl}}$  is the structure factor,  $2\theta$  is the  
 145 considered Bragg angle (in rad),  $m$  is the  $\{hkl\}$  planes multiplicity factor,  $\mu$  is the linear  
 146 absorption coefficient (in  $\text{cm}^{-1}$ ) and  $e^{-2M}$  is the Debye-Waller factor [1,55].

147 The volume fraction of the phases was calculated from several groups of family planes,  
148 combining  $\{10.0\}_{\text{HCP}}$ ,  $\{00.2\}_{\text{HCP}}$ ,  $\{10.1\}_{\text{HCP}}$ ,  $\{10.2\}_{\text{HCP}}$  and  $\{111\}_{\text{FCC}}$ ,  $\{200\}_{\text{FCC}}$ , and  
149 averaged.

150 *In situ* monotonic uniaxial tensile tests were carried out, at room temperature, to study the  
151 influence of elastoplastic strain on the allotropic transformation. These mechanical tests were  
152 performed on a DEBEN device with a load cell of 5 kN capacity and controlled by the  
153 displacement between clamping jaws. The dog bone shaped tensile specimens were machined  
154 by electrical discharge machining and designed a gauge section of 26 mm in length, 7 mm in  
155 width and 0.5 mm in thickness in three different directions having an angle  $\alpha$  with respect to  
156 the Rolling Direction (RD) of the sheet:  $0^\circ/\text{RD}$ ,  $45^\circ/\text{RD}$  and  $90^\circ/\text{RD}$ , latter corresponding thus  
157 to the Transverse Direction (TD).

158 In order to quantify the evolution of the phase ratio during the tensile loading, the samples  
159 were strained stepwise up to 7.8 % with a strain rate of 0.2 mm/min (quasi-static deformation  
160 hypothesis). At each straining step, and after a stress relaxation of about 20 minutes ensuring  
161 stable mechanical fields over the entire diffracting volume, X-ray diffraction analyses were  
162 carried out at each measurement point.

163 To obtain the macroscopic mechanical response, a second mechanical test series was achieved  
164 where the specimens were continuously strained up to 7.8 % with a strain rate of 0.2 mm/min.  
165 On one side of the sample, longitudinal and transverse deformations were measured with  
166 strain gauges in order to obtain the Young modulus  $E$  and the Poisson's coefficient  $\nu$ . On the  
167 other side of the specimen, a speckle was deposited and images were recorded during the test.  
168 Digital Image Correlation (DIC) has then been processed to obtain the macroscopic total  
169 strain fields during the mechanical tests. Images were recorded with a Point-Grey GS3-U3  
170 camera with  $2448 \times 2048$  px<sup>2</sup> resolution and a lens of 35 mm. DIC has been performed with

171 VIC 2D software using a region of interest of  $155 \times 37$  px<sup>2</sup> (i.e.  $26.35 \times 6.29$  mm<sup>2</sup> with a pixel  
 172 size of 0.17 mm), a subset of  $9 \times 7$  px<sup>2</sup> and a grid step of  $1 \times 1$  px<sup>2</sup>. Planar strain fields were  
 173 computed in order to obtain the  $\varepsilon_{xx}$  and  $\varepsilon_{yy}$  strain components along the tensile and the  
 174 transverse directions, respectively. For each sample, the plastic anisotropy coefficient (also  
 175 called Lankford) was calculated from the monotonic plastic regime with the following Eq. (3),  
 176 considering a plastic incompressibility [56,57]:

$$177 \quad r_{\alpha} = \frac{\varepsilon_{yy}^p}{\varepsilon_{zz}^p} = - \frac{\varepsilon_{yy}^p}{\varepsilon_{xx}^p + \varepsilon_{yy}^p} \quad (3)$$

178  $\alpha$  being the angle between the RD and the specimen axes.

179 With these measurements, corresponding strain paths can be characterized with the parameter  
 180  $\beta$  which can be expressed by:

$$181 \quad \beta_{\alpha} = \frac{\varepsilon_{yy}}{\varepsilon_{xx}} \quad (4)$$

182 Combining equations (3) and (4) the strain path can be directly introduced as a function of the  
 183 Lankford coefficient in the following relationship:

$$184 \quad \beta_{\alpha} = - \frac{r_{\alpha}}{1+r_{\alpha}} \quad (5)$$

185 The mean Lankford coefficient  $r_{mean}$ , relative to the mean anisotropy of the sheet, and  $\Delta r$ ,  
 186 representative of the planar anisotropy, are respectively calculated thanks to equations (6) and  
 187 (7):

$$188 \quad r_{mean} = \frac{r_{0^{\circ}} + 2r_{45^{\circ}} + r_{90^{\circ}}}{4} \quad (6)$$

$$189 \quad \Delta r = \frac{r_{0^{\circ}} - 2r_{45^{\circ}} + r_{90^{\circ}}}{2} \quad (7)$$

190 Table 1 gives these coefficients for the three analyzed directions. In accordance with previous  
 191 results [47], the as-received cobalt displays a mean Lankford coefficient of 0.9 indicating a  
 192 mild plastic anisotropy. In this table,  $|\beta-\beta_{iso}|$  represents the relative deviation of the strain path  
 193 as compared to the isotropic case ( $\beta_{iso} = -0.5$ ). The analysis of these parameters reveals a  
 194 qualitative difference of the material behavior when the sample is strained at 45°/RD.

195

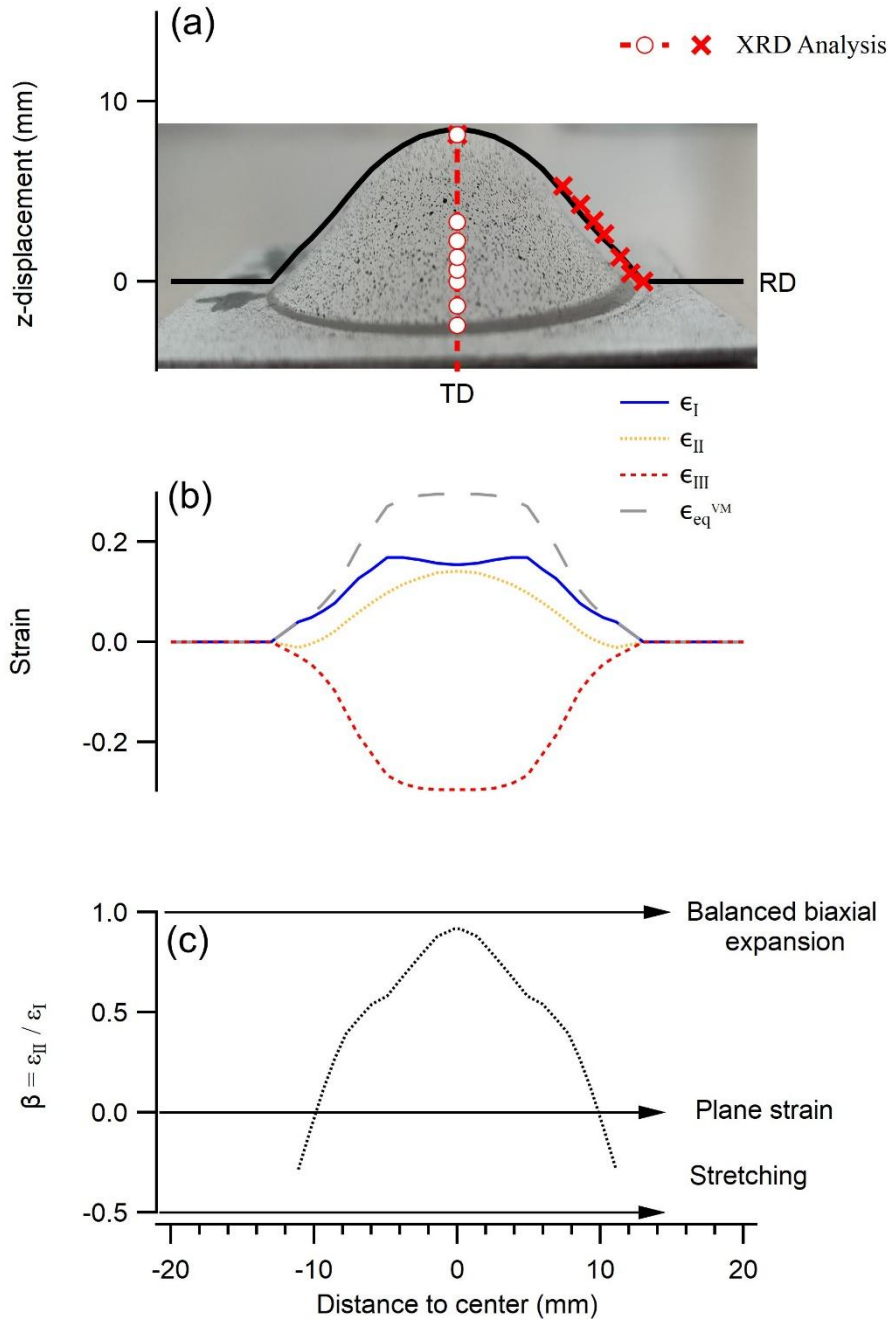
196 **Table 1:** Review of the main coefficients characterizing elastic and plastic anisotropies  
 197 determined for polycrystalline specimens ( $E$ : Young modulus,  $\nu$ : Poisson coefficient,  
 198  $\alpha$ : direction of specimen collection,  $r$ : Lankford coefficient,  $\beta$ : strain path,  $|\beta-\beta_{iso}|$ : strain path  
 199 deviation,  $r_{mean}$ : mean plastic anisotropy coefficient,  $\Delta r$ : planar plastic anisotropy coefficient).

	0°/RD (RD)	45°/RD	90°/RD (TD)
$E$ [GPa]	167	181.3	152
$u(E)$ [GPa]	14	3.8	19
$\nu$	0.32632	0.38573	0.38712
$u(\nu)$	0.00023	0.00069	0.00078
$r_\alpha$	0.8110	0.77344	1.242
$u(r_\alpha)$	0.0034	0.00057	0.022
$\beta$	-0.4491	-0.43617	-0.5579
$u(\beta)$	0.0011	0.00018	0.0090
$ \beta-\beta_{iso} $	0.0509	0.06383	0.0579
$r_{mean}$	0.9000		
$\Delta r$	0.2531		

200

201 Stamping test, also named Nakazima test, is a complex biaxial loading experiment that  
 202 enables to obtain various loading conditions in only one test. Nakazima tests were performed  
 203 with a universal sheet metal testing machine (Zwick Roell BUP 600) on the as-received cobalt

204 sheet using a 20 mm hemispherical punch at a punch speed of 1 mm/s. The ratio of punch  
205 radius on sheet thickness is large enough to assume plane-stress deformations. Blank holder  
206 force was set to 3000 N to ensure no sliding. To reduce friction effect between the punch and  
207 the sample, a grease/polytetrafluoroethylene/grease sandwich was employed. Strain fields  
208 were measured during the tests using 3D DIC. The experimental procedures for these tests are  
209 described with more details in previous works [46,47]. XRD analyses have been performed on  
210 stamped samples along RD and TD in order to follow the retained FCC phase evolution under  
211 a complex loading. For each measurement point, the irradiated area has been reduced (a circle  
212 with a diameter of 1 mm) with a Pb masking to avoid the geometric errors associated to the  
213 curved surface. **Fig. 2** shows the location of the XRD analysis points on the stamped dome  
214 (a). The three principal components of the strain fields are presented along a section of the  
215 stamped dome (b).  $\varepsilon_{III}$  is calculated with the plastic incompressibility assumption ( $\varepsilon_{III} = -\varepsilon_I - \varepsilon_{II}$ ).  
216 The weak plastic anisotropy, combined with residual friction, induces a slight deviation of the  
217 strain path. A strain path gradient along the section of the stamped dome is revealed and must  
218 be considered (c). The equibiaxiality of the loading is increased between the blank holder and  
219 the top of the punch. This test allows to obtain a macroscopic equivalent strain gradient along  
220 a section that could induce a composition gradient in terms of phase proportion. The  
221 equivalent strain level is higher, (around 0.3), than the maximum strain that could be reached  
222 with a tensile test.



223

224 **Figure 2:** Description of the Nakazima test: (a) height of the part after stamping and location  
 225 of the analysis points by XRD (cross and circle marker for measurements in RD and TD,  
 226 respectively), (b) decomposition of the principal components of the macroscopic strain fields  
 227 ( $\epsilon_I$ : in-plane major strain (along RD),  $\epsilon_{II}$ : in-plane minor strain (along TD),  $\epsilon_{III} = -\epsilon_I - \epsilon_{II}$ : in the  
 228 thickness strain and corresponding  $\epsilon_{eq}$  von Mises equivalent strain), (c) evolution of the strain  
 229 path  $\beta$  versus the location on the section.

230

231 **3. Results and discussion**

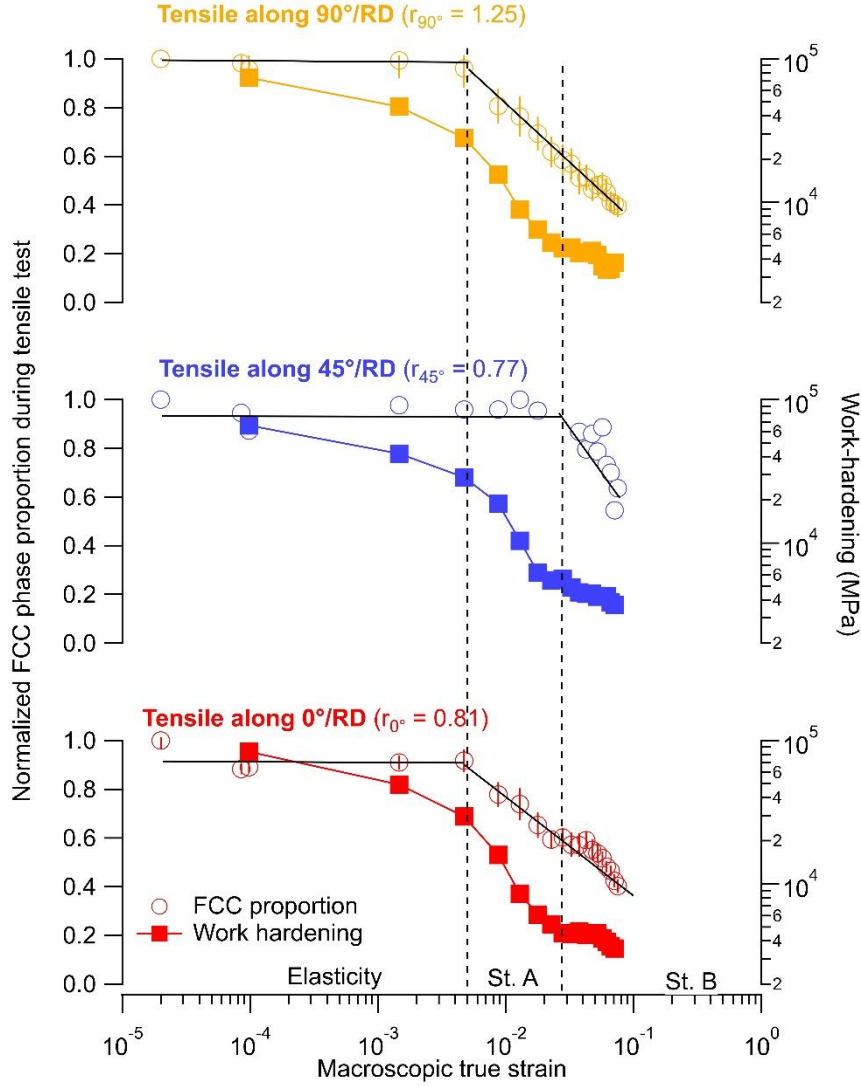
232 3.1. Influence of the plastic anisotropy

233 Retained FCC phase proportion and work-hardening rate ( $\theta = d\sigma/d\varepsilon$ ) evolutions with the  
234 macroscopic strain,  $\varepsilon$ , applied during tensile tests for the three considered directions ( $0^\circ$ /RD,  
235  $45^\circ$ /RD and  $90^\circ$ /RD) are plotted in **Fig. 3**. The calculated value of  $r_\alpha$  is indicated on this  
236 figure.

237 Work-hardening curves exhibit two distinct stages after elasticity. Basal dislocation slip is the  
238 dominant mechanism in the stage A [8] while twinning mechanisms occur in stage B to  
239 accommodate plastic deformation [7]. Results from **Fig. 3** show that anisotropy does not seem  
240 to affect the work-hardening stages. No matter the misorientation set between the loading axis  
241 and the RD, elastic strain does not allow for the phase transformation to be initiated. For  $\alpha =$   
242  $0^\circ$  and  $90^\circ$ , FCC to HCP phase transformation is initiated in stage A of plasticity. Beyond  
243 that, the initial retained FCC phase transforms into HCP phase in a linear way to the logarithm  
244 of the strain. At a macroscopic strain of 7.8 %, approximately 60 % of the initial retained FCC  
245 phase is strain-induced transformed. For  $\alpha = 45^\circ$ , the initiation of the phase transformation is  
246 delayed to the stage B. For this particular direction, twinning mechanisms seem to initiate the  
247 FCC to HCP phase transformation. The plastic deformation transforms about 40 % of the  
248 initially present FCC phase at 7.8 %.

249

250



251

252 **Figure 3:** FCC phase proportion (normalized to the initial retained FCC phase) and work-  
 253 hardening rate evolutions under tensile testing for different angles between the load axis and  
 254 the rolling direction (RD): 0° /RD (RD), 45° /RD and 90° /RD (TD°).

255

256 The evolution of the FCC phase ratio with the macroscopic strain can be summarized by the  
 257 following equation:

$$258 \frac{\%FCC}{\%FCC_{init}} = \begin{cases} cst \approx 1 & \text{if } \varepsilon < \varepsilon_{init}(\alpha) \\ A(\alpha) + m(\alpha) \times \log(\varepsilon) & \text{if } \varepsilon > \varepsilon_{init}(\alpha) \end{cases} \quad (8)$$

259 where  $A(\alpha)$  is a constant which depends on the orientation of the sample regarding the RD and

260  $m(\alpha)$  is the slope of the normalized phase ratio which can be assimilated to a rate of



261 transformation with the strain.  $\varepsilon_{init}(\alpha)$  matches the strain at which the phase transformation  
 262 initiates. These values are presented in **Table 2** for each direction.

263 **Table 2:** Coefficients of equation (8) with standard deviations ( $u$ ) and linear regression  
 264 coefficient ( $R^2$ ) as a function of the loading axis misorientation regarding the RD.

	$\alpha = 0^\circ/\text{RD}^\circ$ (RD)	$\alpha = 45^\circ/\text{RD}$	$\alpha = 90^\circ/\text{RD}^\circ$ (TD)
$m$	-0.373	-0.80	-0.459
$u(m)$	0.022	0.11	0.012
$A$	0.027	-0.25	-0.120
$u(A)$	0.033	0.14	0.019
$R^2$	0.95	0.93	0.99
$\varepsilon_{init}$	0.00247	0.0281	0.00365

265

266 In a recent work [43], it has been shown that, for a particular direction (in this case, RD),  $\varepsilon_{init}$   
 267 increases with temperature. However, the transformation rate is independent for temperatures  
 268 lower than the initiation temperature of austenitic transformation. Sanderson [32] has shown  
 269 that  $m(\alpha)$  is strongly affected by the initial microstructure (grain size and initial FCC  
 270 proportion). Marx *et al.* [42] have highlighted that the integrated area of the  $\{002\}_{\text{FCC}}$   
 271 reflection decreases with macroscopic strain until a plateau is forming for strains higher than  
 272 8 %. These observations tend to highlight a threshold deformation at which the residual FCC  
 273 phase no longer transforms. Unfortunately, the critical macroscopic strain reached in our work  
 274 for tensile tests does not allow us to confirm this phenomenon. In order to track the phase  
 275 transformation for macroscopic equivalent strain higher than 7.8 %, a stamping test, whose  
 276 methodology was described in the previous section, was performed.

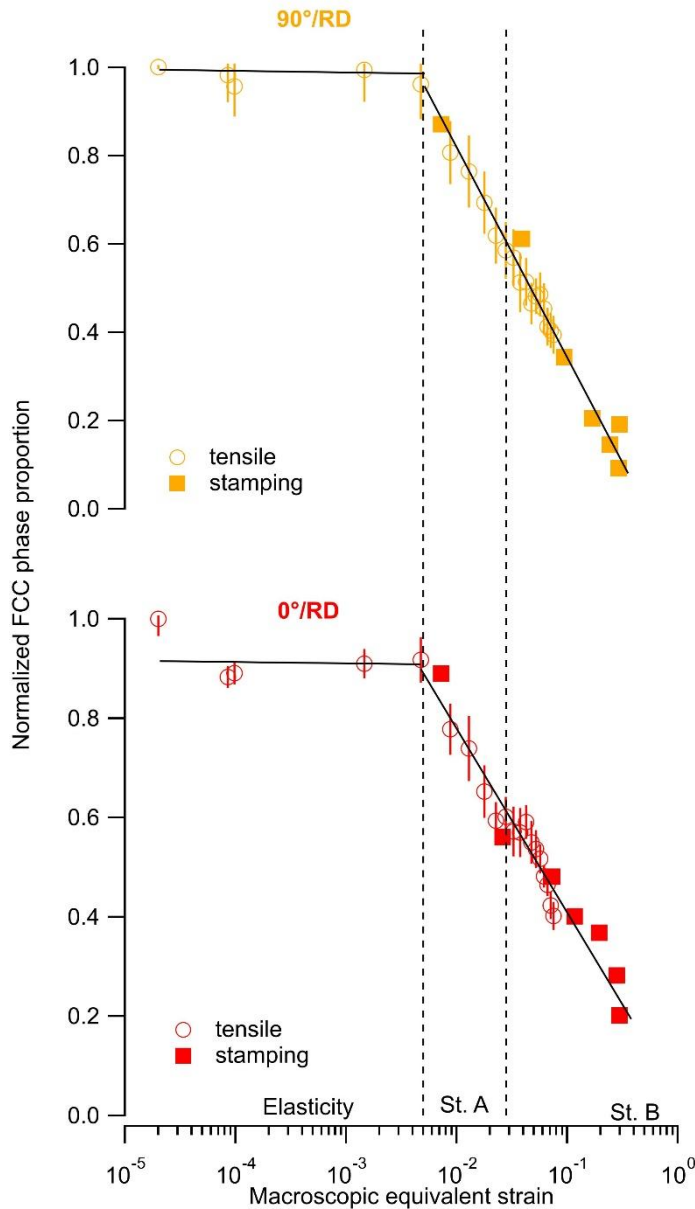
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278 3.2. Influence of the mechanical loading on the allotropic transformation

279 As shown in **Fig. 2**, *post-mortem* XRD analyses have been performed at different locations on  
280 the stamped dome between the blank-holder and the top of the punch. Strain path, and  
281 therefore equivalent strain level, is dependent on the chosen measurement point. In order to  
282 consider the plastic anisotropy effect, phase quantification has been achieved along two  
283 directions (RD and TD).

284 **Fig. 4** displays the evolution of the FCC phase ratio normalized by the initial retained FCC  
285 phase versus the equivalent macroscopic von Mises strain for the two directions RD and TD  
286 on the stamped sample (filled points). These results are superimposed on those obtained in  
287 tension for specimens taken with an angle  $\alpha = 0^\circ$  and  $90^\circ$  (empty points).

288



289

290 **Figure 4:** Influence of different biaxial loading scenarios on the phase transformation.  
 291 Evolution of the FCC phase ratio along the rolling and transverse directions (filled squares) of  
 292 a stamped sample, superposed on the *in situ* tensile test results (circles).  
 293

294 The transformation rate is shown to be independent from the strain path but slightly direction-  
 295 dependent. During stages A and B of plasticity, the FCC phase proportion decreases linearly  
 296 with the logarithm of the von Mises' equivalent strain. The slopes are the same as those  
 297 obtained with tensile tests and therefore always dependent on  $\alpha$  angle. The maximum strain  
 298 reaches about 30 % in the center of the Nakazima specimen, resulting in a transformation of

299 around 70 % of the FCC phase initially retained. Straumal *et al.* showed that a complete  
300 transformation was possible in Co-Cu alloys deformed by severe plastic deformation  
301 processes such as high-pressure torsion (HPT). These authors estimated that a severe plastic  
302 shear strain higher than 35 % could achieve a full FCC to HCP phase transformation [45].  
303 Extrapolating the evolution law obtained from our results with the stamping tests, a complete  
304 transformation of the initially present FCC phase can only be expected for very high levels of  
305 plastic strain, in the order of 100 %. Finally, the main point is that the strain-induced FCC to  
306 HCP phase transformation continues for higher strain level than the one reached by previous  
307 tensile tests.

308

309

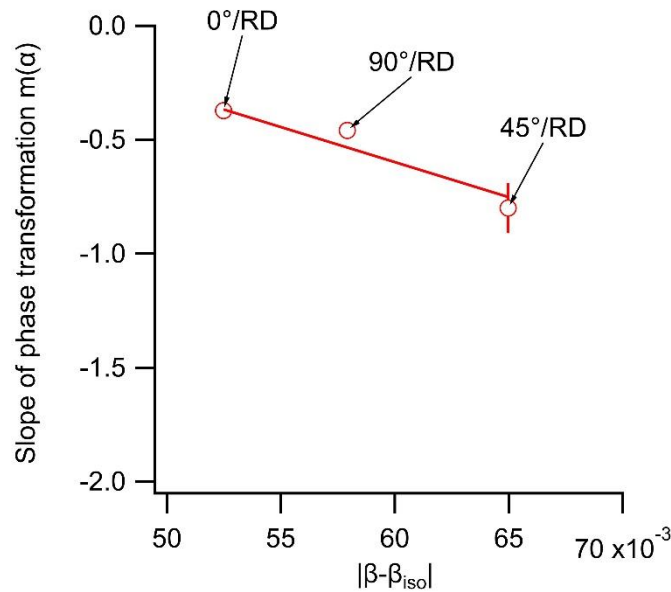
### 310 3.3. Relation between plastic anisotropy, strain path and phase transformation rate.

311 **Fig. 5** displays the slope of the strain-induced transformation,  $m(\alpha)$ , for tensile tests, plotted  
312 for the three considered values of  $\alpha$ , versus the absolute value of  $\beta - \beta_{iso}$ . Latter is representative  
313 of the strain path deviation due to anisotropy as compared to the strain path of the isotopic  
314 case. The deviation of  $r_\alpha$  from 1 significantly affects the strain path: for a tensile loading, if  $r_\alpha$   
315 is higher than 1, the loading conditions is close to plane strain ones, while if  $r_\alpha$  is lower than 1,  
316 the loading conditions tend to shear ones. The strain path seems to be less affected in the case  
317 of a positive  $r_\alpha$  variation (values above the isotropic case i.e.  $r_\alpha = 1$ ) than a negative one ( $r_\alpha$   
318 values below 1). For a value of  $r_\alpha = 1.25$ , the positive variation of 0.25 results in a 11  
319 %deviation of the strain path. For a value of  $r_\alpha = 0.75$ , the deviation is negative and equal to -  
320 0.25 and results in a 14 %deviation of the strain path. These two examples are respectively  
321 representative of the cases with  $\alpha = 90^\circ$  and  $\alpha = 45^\circ$ .

322  $m(\alpha)$  exhibits a linear decrease with increasing strain path deviation. **Fig. 5** illustrates the  
 323 strong impact of  $|\beta-\beta_{iso}|$  value on  $m(\alpha)$ . For  $\alpha = 45^\circ$ , which presents a slightly lower negative  
 324 value of  $r_\alpha$  variation than for  $\alpha = 0^\circ$  and a sign variation from the isotropic case opposite to  
 325  $r_{90^\circ}$ , the transformation rate is almost twice higher. This tends to be in line with the results  
 326 obtained by Straumal *et al.* [45]. Indeed, for shear loading conditions, the deformation level  
 327 required to transform the same volume of FCC phase is much lower.

328 The relation between plastic anisotropy, strain path and phase transformation rate, is now  
 329 established but still, the observed delay in terms of deformation for  $\alpha = 45^\circ$  (see **Fig. 3**) at the  
 330 beginning of the transformation is not yet explained or whether a coupling with the rate does  
 331 exist.

332



333 **Figure 5:** Evolution of the strain-induced FCC to HCP transformation rate in tension with the  
 334 strain path deviation as compared to the isotropic case ( $m(\alpha) = a \times |\beta-\beta_{iso}| + b$  with  $a = -38 \pm 4$ ,  
 335  $b = 1.63 \pm 0.26$  and  $R^2 = 0.99$ ).  
 336

337

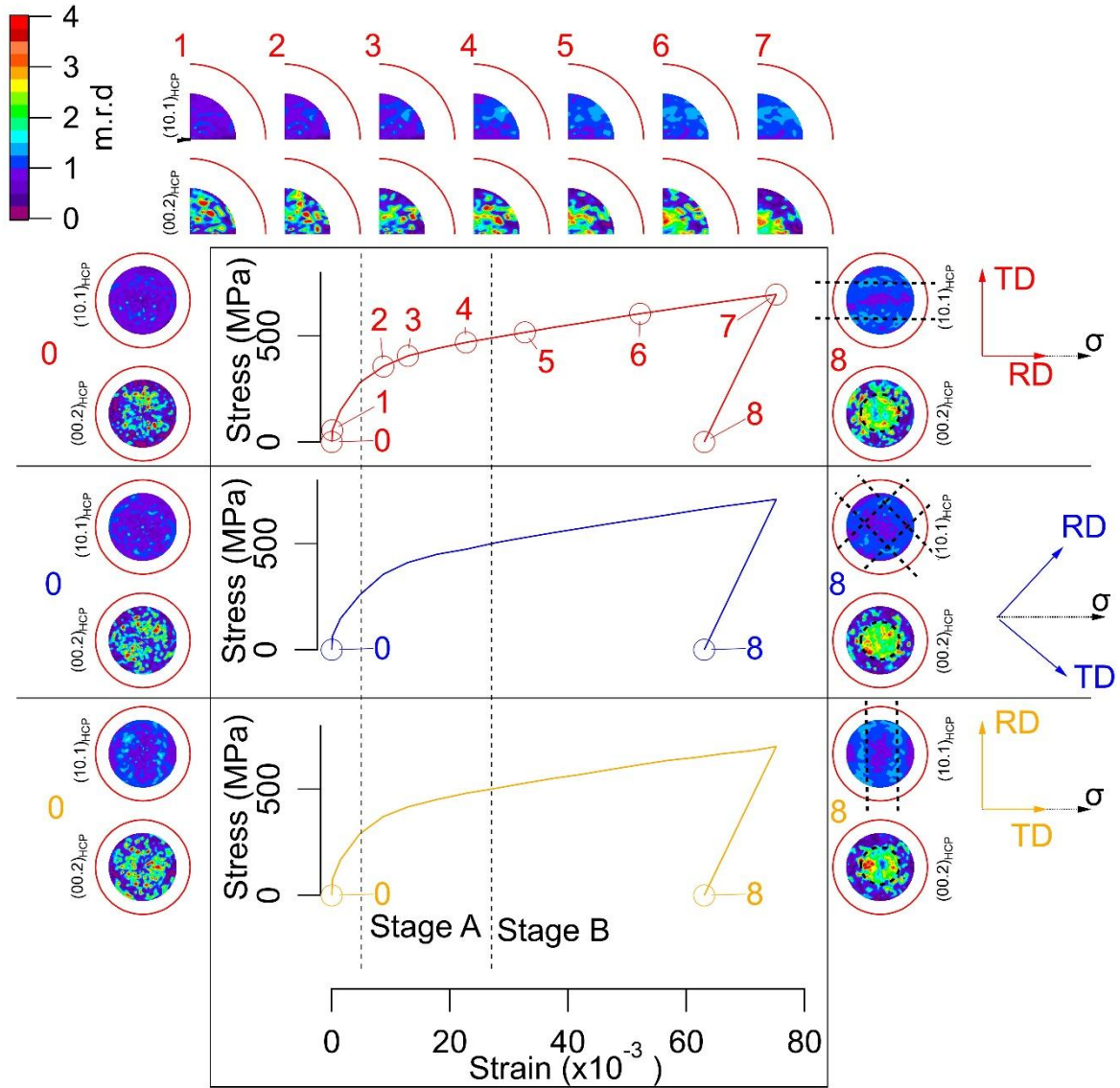
### 338 3.4. In situ texture evolution, work hardening mechanisms and phase transformation

#### 339 initiation

340 *In situ* crystallographic texture was tracked during tensile tests for each sample ( $0^\circ$  /RD (RD),  
341  $45^\circ$  /RD and  $90^\circ$  /RD (TD)). **Fig. 6** illustrates  $\{00.2\}_{\text{HCP}}$  and  $\{10.1\}_{\text{HCP}}$  PFs for some analysed  
342 strain levels. The 0 point represents the unstrained state i.e. as received cobalt sheet, points 1  
343 to 7 match the texture analyses made at different loading steps, and the point 8 corresponds to  
344 the final texture after tensile test. **Fig. 6** also shows macroscopic stress-strain curves obtained  
345 by the tensile tests for the 3 sampling directions ( $0^\circ$  /RD (RD),  $45^\circ$  /RD and  $90^\circ$  /RD (TD $^\circ$ )).  
346 Evolution of  $\{00.2\}_{\text{HCP}}$  PF with macroscopic strain shows, whatever  $\alpha$ , a homogeneization  
347 and a reinforcement of the fiber character of the texture. This basal texture results in an  
348 equiaxed central pole, representative of the normal c-axis on the specimen surface. The  
349 equiaxiality of the pole is representative of cold-rolled HCP materials with a  $c/a$  ratio close to  
350 1.633. Consequently, the texture that develops during a tensile deformation reveals the  
351 reinforcement of the initial rolling texture. Evolution of  $\{10.1\}_{\text{HCP}}$  PF with strain shows,  
352 whatever  $\alpha$ , the development of poles in the rolling direction in accordance with the observed  
353 evolution of intensities recorded on  $\{00.2\}_{\text{HCP}}$  PF, supporting the assumption of a  
354 reinforcement of this type of texture.

355 This qualitative analysis highlights a texture evolution which provides an explanation for the  
356 phase transformation activation delay for the  $45^\circ$ /RD tensile loading.

357



358

359 **Figure 6:**  $\{00.2\}_{\text{HCP}}$  and  $\{10.1\}_{\text{HCP}}$  PF evolution during tensile tests. Points 0 and 8  
 360 correspond to the initial texture and the residual texture after straining, respectively. Points 1  
 361 to 7 correspond to internal texture development during loading. (red color is associated to  
 362 force applied along the RD ( $\alpha = 0^\circ$  /RD), blue for an angle of  $45^\circ$  /RD and green for a  
 363 mechanical loading parallel to TD ( $\alpha = 90^\circ$  /RD)).

364

365 As shown in a previous work [58], the combined study of work-hardening mechanisms and  
 366 phase transformation can be done monitoring the intensity of two diffraction reflections. The  
 367  $\{00.2\}_{\text{HCP}}$  reflection is representative of the main twinning system  $\{10.2\}\langle 10.1 \rangle$ , and the  
 368  $\{10.2\}_{\text{HCP}}$  reflection, averaged over a ring corresponding to a declination angle of  $5^\circ$ , is  
 369 representative of the FCC to HCP phase transformation [7]. For this purpose, the integrated

370 intensities of the  $\{00.2\}_{\text{HCP}}$  and  $\{10.2\}_{\text{HCP}}$  reflections were tracked during the mechanical  
371 loading along the three loading directions (**Fig. 7**).

372 No matter the loading direction, intensity of  $\{00.2\}_{\text{HCP}}$  reflection starts to increase linearly  
373 with the logarithm of the deformation from the beginning of the stage B. This increase reflects  
374 the generalization of twinning in this stage and in particular the reorientation due to the  
375  $\{10.2\}\langle 10.1 \rangle$  system, since it induces an increase of the  $\{00.2\}_{\text{HCP}}$  population parallel to the  
376 surface specimen. The effect of plastic anisotropy on the twinning is clear with a very  
377 pronounced increase in the intensity of the  $\{00.2\}_{\text{HCP}}$  reflection in the case of  $\alpha = 45^\circ$  as  
378 compared to the other directions which show a similar, but less important, evolution. This  
379 may demonstrate an increased twinning activity, favored by the tensile specimen orientation  
380 regarding the loading direction.

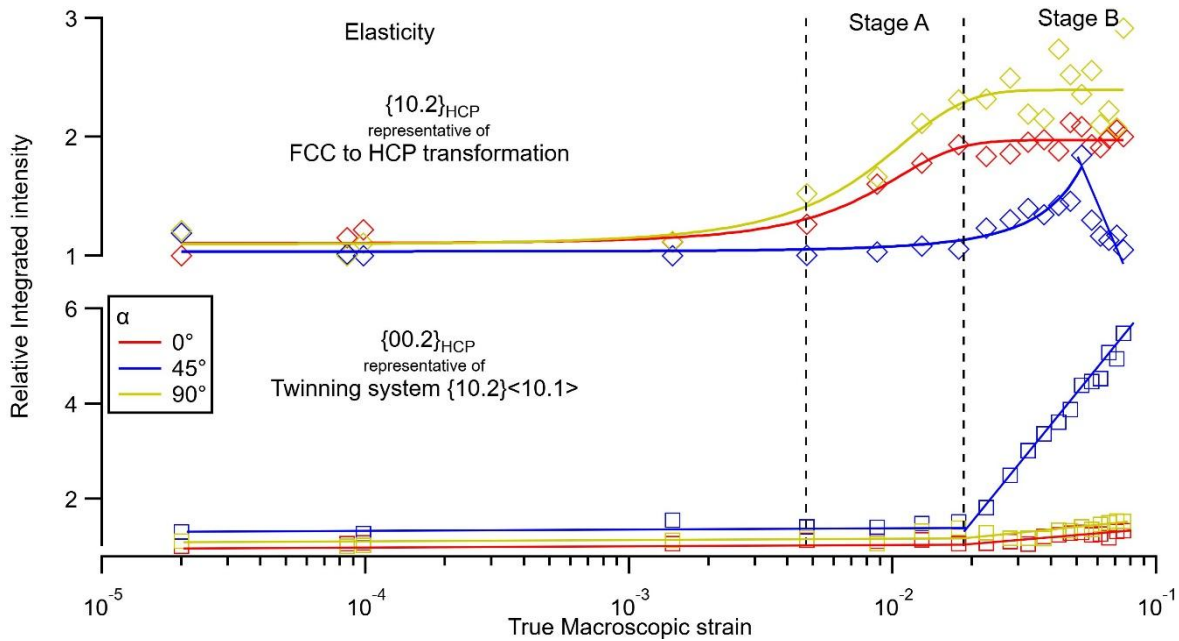
381 For  $0^\circ$  /RD and  $90^\circ$  / RD, intensity of  $\{10.2\}_{\text{HCP}}$  reflection increases at the end of the elastic  
382 domain due to the beginning of the FCC to HCP strain-induced transformation. At the  
383 beginning of stage B, intensity reaches a plateau. This trend is similar to the observations of  
384 Marx *et al.* [42]. However, these authors did not correlate the work-hardening mechanisms  
385 and the intensity evolution. As shown in **Fig. 3**, the overall proportion of FCC continues to  
386 decrease during this stage. The  $\{10.2\}_{\text{HCP}}$  intensity should therefore go on increasing as  
387 regard to the phase transformation. Nevertheless, the reorientation due to the activation of the  
388  $\{10.2\}\langle 10.1 \rangle$  twinning system tends to decrease the  $\{10.2\}_{\text{HCP}}$  intensity. The stabilization  
389 observed is therefore the result of the two competing mechanisms.

390 For  $\alpha = 45^\circ$  /RD, the delayed initiation of the FCC to HCP transformation, identified in **Fig. 3**,  
391 is also visible in **Fig. 7** through a significant increase in integrated intensity of  $\{10.2\}_{\text{HCP}}$  peak  
392 at the beginning of stage B. However, in the middle of the stage B, the effect of the twinning  
393 mode deformation onto intensity overrides those of the phase transformation, leading to a



394 sudden drop in intensity. The initial basal texture is not favorable to the  $\{00.1\}\langle 1\bar{2}.0\rangle$  slip  
 395 system activation when the sheet is deformed at  $45^\circ/\text{RD}$ .

396



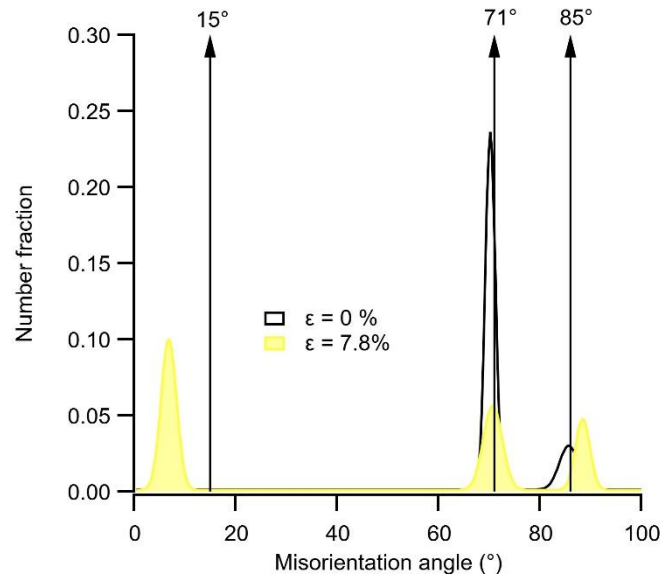
397

398 **Figure 7:** Evolution of the integrated peak intensities of the  $\{10.2\}_{\text{HCP}}$  reflection (at  $\psi = 0^\circ$ )  
 399 and of the  $\{00.2\}_{\text{HCP}}$  reflection (at  $\psi = 5^\circ$ ) during a tensile test ( $\psi$  is the declination angle) for  
 400 all three directions of specimen collection.  
 401

402 Additional *post mortem* analysis of the work-hardening mechanisms was carried out by EBSD  
 403 in the case of the tensile specimen at  $0^\circ/\text{RD}$ . A quantification of the grain misorientation  
 404 angles is presented in **Fig. 8** thanks to the orientation analysis of their distribution in the  
 405 undeformed and deformed states. The as-received cobalt sheet exhibits only two set of  
 406 populations : the first one displays a misorientation angle corresponding to the Shoji-  
 407 Nishiyama orientation relationship ( $71^\circ$ ) between FCC and HCP phases, associated to the  
 408 martensitic transformation [10], while the second one manifests a  $86^\circ$  misorientation angle,  
 409 typical of the initial existence of residual tensile twins  $\{10.2\}\langle 10.1\rangle$  due to the cold-rolling  
 410 process. Above 7.8 % of macroscopic deformation, the twin population increases while the  
 411 peak located at  $71^\circ$  decreases, highlighting the two mechanisms of twinning and strain-

412 induced phase transformation (FCC to HCP). A third population appears for misorientation  
413 angles lower than  $15^\circ$ . It may be associated with the development of intragranular  
414 misorientations due to dislocations and internal stresses [7].

415



416

417 **Figure 8:** Grain boundary misorientation angle distributions for unstrained state (black solid  
418 line) and for a specimen strained in tension at 7.8 % along RD (yellow filled curve).

419

#### 420 4. Conclusion

421 In this work, the strain-induced phase transformation is reported for three sampling directions  
422 of a cold-rolled sheet of pure cobalt and for a complex loading which produces higher strain  
423 levels than those obtained with tensile tests. The effect of anisotropy is demonstrated to be  
424 important for a loading axis at  $45^\circ$  from the RD, with a delayed activation of the FCC to HCP  
425 phase transformation and a rate of transformation twice as high as for the rolling and  
426 transverse directions. This second observation can be associated with a negative deviation of  
427 the strain path as regard to the isotropic case. Moreover, this effect seems to favor twinning  
428 deformation mode that ends up transcending phase transformation. For RD ( $0^\circ$  /RD) and TD

429 (90° /RD) directions, whatever the loading mode (tensile or stamping tests), the phase  
430 transformation starts at the initiation of plasticity (stage A). In general, the deformation of  
431 polycrystalline cobalt is controlled by basal slip and twinning (mainly {10.2}<10.1> tensile  
432 twin) but can be accompanied by a plastic strain-induced transformation if the residual FCC  
433 phase is not stabilized by a heat treatment. The texture developed during deformation is then a  
434 reinforcement of the basal rolling texture.

435

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441

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608



610 **Figures captions:**

611

612 **Figure 1:** Microstructural analysis of the as-received material: (a) EBSD map of phase  
613 distribution and band contrast. Distribution of grain size for each phase. HCP phase is colored  
614 in red and FCC phase in green. (b) XRD crystallographic textures of the HCP phase  
615 determined from the orientation distribution functions calculated by the WIMW method  
616 implanted in the BEARTEX software.

617

618 **Figure 2:** Description of the Nakazima test: (a) height of the part after stamping and location  
619 of the analysis points by XRD (cross and circle marker for measurements in RD and TD,  
620 respectively), (b) decomposition of the principal components of the macroscopic strain fields  
621 ( $\epsilon_I$ : in-plane major strain (along RD),  $\epsilon_{II}$ : in-plane minor strain (along TD),  $\epsilon_{III}=-\epsilon_I-$   $\epsilon_{II}$ : in the  
622 thickness strain and corresponding  $\epsilon_{eq}$  von Mises equivalent strain), (c) evolution of the strain  
623 path  $\beta$  versus the location on the section.

624

625 **Figure 3:** FCC phase proportion (normalized to the initial retained FCC phase) and work-  
626 hardening rate evolutions under tensile testing for different angles between the load axis and  
627 the rolling direction (RD):  $0^\circ$  /RD (RD),  $45^\circ$  /RD and  $90^\circ$  /RD (TD $^\circ$ ).

628

629 **Figure 4:** Influence of different biaxial loading scenarios on the phase transformation.  
630 Evolution of the FCC phase ratio along the rolling and transverse directions (filled squares) of  
631 a stamped sample, superposed on the in situ tensile test results (circles).

632

633 **Figure 5:** Evolution of the strain-induced FCC to HCP transformation rate in tension with the  
634 strain path deviation as compared to the isotropic case ( $m(\alpha) = a \times |\beta - \beta_{iso}| + b$  with  $a = -38 \pm 4$ ,  
635  $b = 1.63 \pm 0.26$  and  $R^2 = 0.99$ ).

636

637 **Figure 6:**  $\{00.2\}_{HCP}$  and  $\{10.1\}_{HCP}$  PF evolution during tensile tests. Points 0 and 8  
638 correspond to the initial texture and the residual texture after straining, respectively. Points 1  
639 to 7 correspond to internal texture development during loading. (red color is associated to  
640 force applied along the RD ( $\alpha = 0^\circ$  /RD), blue for an angle of  $45^\circ$  /RD and green for a  
641 mechanical loading parallel to TD ( $\alpha = 90^\circ$  /RD)).

642

643 **Figure 7:** Evolution of the integrated peak intensities of the  $\{10.2\}_{HCP}$  reflection (at  $\psi = 0^\circ$ )  
644 and of the  $\{00.2\}_{HCP}$  reflection (at  $\psi = 5^\circ$ ) during a tensile test ( $\psi$  is the declination angle) for  
645 all three directions of specimen collection.

646

647 **Figure 8:** Grain boundary misorientation angle distributions for unstrained state (black solid  
648 line) and for a specimen strained in tension at 7.8 % along RD (yellow filled curve).

649



650 **Tables captions:**

651

652 **Table 1:** Review of the main coefficients characterizing elastic and plastic anisotropies  
653 determined for polycrystalline specimens (E: Young modulus,  $\nu$ : Poisson coefficient,  
654  $\alpha$ : direction of specimen collection, r: Lankford coefficient,  $\beta$ : strain path,  $|\beta-\beta_{iso}|$ : strain path  
655 deviation,  $r_{mean}$ : mean plastic anisotropy coefficient,  $\Delta r$ : planar plastic anisotropy coefficient).  
656

657 **Table 2:** Coefficients of equation (8) with standard deviations (u) and linear regression  
658 coefficient ( $R^2$ ) as a function of the loading axis misorientation regarding the RD.

659

660

661