

# K'ahler differentials and Z\_p-extensions

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## KÄHLER DIFFERENTIALS AND $Z_p$ -EXTENSIONS

by

Laurent Berger

**Abstract.** — Let K be a p-adic field, and let  $K_{\infty}/K$  be a Galois extension that is almost totally ramified, and whose Galois group is a p-adic Lie group of dimension 1. We prove that  $K_{\infty}$  is not dense in  $(\mathbf{B}_{dR}^+/\operatorname{Fil}^2 \mathbf{B}_{dR}^+)^{\operatorname{Gal}(\overline{K}/K_{\infty})}$ . Moreover, the restriction of  $\theta$  to the closure of  $K_{\infty}$  is injective, and its image via  $\theta$  is the set of vectors of  $\widehat{K}_{\infty}$  that are  $C^1$  with zero derivative for the action of  $\operatorname{Gal}(K_{\infty}/K)$ . The main ingredient for proving these results is the construction of an explicit lattice of  $\mathcal{O}_{K_{\infty}}$  that is commensurable with  $\mathcal{O}_{K_{\infty}}^{d=0}$ , where  $d: \mathcal{O}_{K_{\infty}} \to \Omega_{\mathcal{O}_{K_{\infty}}/\mathcal{O}_{K}}$  is the differential.

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#### Introduction

Let K be a p-adic field, namely a finite extension of W(k)[1/p] where k is a perfect field of characteristic p. Let **C** be the p-adic completion of an algebraic closure  $\overline{K}$  of K. Let  $K_{\infty}/K$  be a Galois extension that is almost totally ramified, and whose Galois group is a p-adic Lie group of dimension 1. Let  $\mathbf{B}_{\mathrm{dR}}(\widehat{K}_{\infty}) = \mathbf{B}_{\mathrm{dR}}(\mathbf{C})^{\mathrm{Gal}(\overline{K}/K_{\infty})}$  be Fontaine's field of periods attached to  $K_{\infty}/K$ , and for  $n \ge 1$ , let  $\mathbf{B}_n(\widehat{K}_{\infty}) = \mathbf{B}_{\mathrm{dR}}^+(\widehat{K}_{\infty})/\mathrm{Fil}^n \mathbf{B}_{\mathrm{dR}}^+(\widehat{K}_{\infty})$ .

This note is motivated by Ponsinet's paper [**Pon20**], in which he relates the study of universal norms for the extension  $K_{\infty}/K$  to the question of whether  $K_{\infty}$  is dense in

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 $\mathbf{B}_n(\widehat{K}_\infty)$  for  $n \ge 1$ . The density result holds for n = 1 by the Ax-Sen-Tate theorem. Our main result is the following.

# **Theorem A.** — The field $K_{\infty}$ is not dense in $\mathbf{B}_2(\widehat{K}_{\infty})$ .

By the constructions of Fontaine and Colmez (see [Fon94] and [Col12]),  $\mathbf{B}_2(\mathbf{C})$  is the completion of  $\overline{K}$  for a topology defined using the Kähler differentials  $\Omega_{\mathcal{O}_{\overline{K}}/\mathcal{O}_{K}}$ . Some partial results towards theorem A have been proved by Iovita-Zaharescu in [IZ99], by studying these Kähler differentials. Let  $\Omega_{\mathcal{O}_{K_{\infty}}/\mathcal{O}_{K}}$  be the Kähler differentials of  $\mathcal{O}_{K_{\infty}}/\mathcal{O}_{K}$ and let  $d: \mathcal{O}_{K_{\infty}} \to \Omega_{\mathcal{O}_{K_{\infty}}/\mathcal{O}_{K}}$  be the differential. Our main technical result is the construction of a lattice of  $\mathcal{O}_{K_{\infty}}$  that is commensurable with  $\mathcal{O}_{K_{\infty}}^{d=0}$ . Since the inertia subgroup of  $\operatorname{Gal}(K_{\infty}/K)$  is a *p*-adic Lie group of dimension 1, there exists a finite subextension  $K_0/K$  of  $K_{\infty}$  such that  $K_{\infty}/K_0$  is a totally ramified  $\mathbf{Z}_p$ -extension. Let  $K_n$  be the *n*-th layer of this  $\mathbf{Z}_p$ -extension.

# **Theorem B.** — The lattices $\sum_{n \ge 0} p^n \mathcal{O}_{K_n}$ and $\mathcal{O}_{K_\infty}^{d=0}$ are commensurable.

In order to prove this, we use Tate's results on ramification in  $\mathbf{Z}_p$ -extensions. As a corollary of theorem B, we can say more about the completion of  $K_{\infty}$  in  $\mathbf{B}_2(\widehat{K}_{\infty})$ . The field  $\widehat{K}_{\infty}$  is a Banach representation of the *p*-adic Lie group  $\operatorname{Gal}(K_{\infty}/K)$ . Let  $\theta : \mathbf{B}_2(\mathbf{C}) \to \mathbf{C}$  be the usual map from *p*-adic Hodge theory.

**Theorem C.** — The completion of  $K_{\infty}$  in  $\mathbf{B}_2(\widehat{K}_{\infty})$  is isomorphic via  $\theta$  to the set of vectors of  $\widehat{K}_{\infty}$  that are  $C^1$  with zero derivative for the action of  $\operatorname{Gal}(K_{\infty}/K)$ .

This is a field, and it is also the set of  $y \in \widehat{K}_{\infty}$  that can be written as  $y = \sum_{n \ge 0} p^n y_n$ with  $y_n \in K_n$  and  $y_n \to 0$ .

We also prove that  $d(\mathcal{O}_{K_{\infty}})$  contains no nontrivial *p*-divisible element (coro 3.5), and that  $d: \mathcal{O}_{K_{\infty}} \to \Omega_{\mathcal{O}_{K_{\infty}}/\mathcal{O}_{K}}$  is not surjective (coro 3.6). These two statements are equivalent to theorem A by the results of **[IZ99**]; using our computations, we give a short independent proof.

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#### 1. Kähler differentials

Let K be a p-adic field. If L/K is a finite extension, let  $\mathfrak{d}_{L/K} \subset \mathcal{O}_L$  denote its different.

**Proposition 1.1.** — Let K be a p-adic field, and let L/K be an algebraic extension.

- 1. If L/K is a finite extension, then  $\Omega_{\mathcal{O}_L/\mathcal{O}_K} = \mathcal{O}_L/\mathfrak{d}_{L/K}$  as  $\mathcal{O}_L$ -modules.
- 2. If M/L/K are finite extensions, the map  $\Omega_{\mathcal{O}_L/\mathcal{O}_K} \to \Omega_{\mathcal{O}_M/\mathcal{O}_K}$  is injective.
- 3. If L/K is an algebraic extension, and  $\omega_1, \omega_2 \in \Omega_{\mathcal{O}_L/\mathcal{O}_K}$ , then there exists  $x \in \mathcal{O}_L$ such that  $\omega_2 = x\omega_1$  if and only if  $\operatorname{Ann}(\omega_1) \subset \operatorname{Ann}(\omega_2)$ .

*Proof.* — See for instance \$2 of [Fon82].

Recall (see §2 of [CG96]) that an algebraic extension L/K is deeply ramified if the set  $\{\operatorname{val}_p(\mathfrak{d}_{F/K})\}_F$  is unbounded, as F runs through the set of finite extensions of K contained in L. Alternatively (remark 3.3 of [Sch12]), L/K is deeply ramified if and only if  $\hat{L}$  is a perfectoid field. An extension  $K_{\infty}/K$  as in the introduction is deeply ramified.

**Corollary 1.2.** — If L/K is deeply ramified, then  $\Omega_{\mathcal{O}_L/\mathcal{O}_K} = L/\mathcal{O}_L$  as  $\mathcal{O}_L$ -modules.

**Proposition 1.3.** — If L/K is deeply ramified, then  $d : \mathcal{O}_L \to \Omega_{\mathcal{O}_L/\mathcal{O}_K}$  is surjective if and only if  $d(\mathcal{O}_L)$  is p-divisible.

Proof. — Since L/K is deeply ramified,  $\Omega_{\mathcal{O}_L/\mathcal{O}_K}$  is *p*-divisible. This proves one implication. Assume now that  $d(\mathcal{O}_L)$  is *p*-divisible, so that there exists a sequence  $\{\alpha_i\}_{i\geq 1}$  of  $\mathcal{O}_L$ such that  $d\alpha_1 \neq 0$  and  $d\alpha_i = p \cdot d\alpha_{i+1}$  for all  $i \geq 1$ . If  $\omega \in \Omega_{\mathcal{O}_L/\mathcal{O}_K}$ , prop 1.1 implies that there exists  $i \geq 1$  and  $x_i \in \mathcal{O}_L$  such that  $\omega = x_i \cdot d\alpha_i$ . Take  $k \geq 0$  such that  $d(p^k x_i) = 0$ . We then have  $\omega = x_i \cdot d\alpha_i = p^k x_i \cdot d\alpha_{i+k} = d(p^k x_i \alpha_{i+k})$ . Hence *d* is surjective.  $\Box$ 

**Proposition 1.4.** — Let L/K be a deeply ramified extension, and let  $K' \subset L$  be a finite extension of K.

- 1.  $d: \mathcal{O}_L \to \Omega_{\mathcal{O}_L/\mathcal{O}_K}$  is surjective if and only if  $d': \mathcal{O}_L \to \Omega_{\mathcal{O}_L/\mathcal{O}_{K'}}$  is surjective.
- 2.  $\mathcal{O}_L^{d=0}$  and  $\mathcal{O}_L^{d'=0}$  are commensurable.

*Proof.* — We have an exact sequence of  $\mathcal{O}_L$ -modules, compatible with d and d'

$$\mathcal{O}_L \otimes \Omega_{\mathcal{O}_{K'}/\mathcal{O}_K} \xrightarrow{f} \Omega_{\mathcal{O}_L/\mathcal{O}_K} \xrightarrow{g} \Omega_{\mathcal{O}_L/\mathcal{O}_{K'}} \to 0.$$

Let us prove (1). If  $d : \mathcal{O}_L \to \Omega_{\mathcal{O}_L/\mathcal{O}_K}$  is surjective, then clearly  $d' : \mathcal{O}_L \to \Omega_{\mathcal{O}_L/\mathcal{O}_{K'}}$  is surjective. Conversely, there exists  $r \ge 0$  such that  $p^r \cdot \Omega_{\mathcal{O}_{K'}/\mathcal{O}_K} = \{0\}$ . If  $\omega \in \Omega_{\mathcal{O}_L/\mathcal{O}_K}$ , write it as  $\omega = p^r \omega_r$ . By hypothesis, there exists  $\alpha_r \in \mathcal{O}_L$  such that  $\omega_r = d' \alpha_r$  in  $\Omega_{\mathcal{O}_L/\mathcal{O}_{K'}}$ . Hence  $p^r(\omega_r - d\alpha_r) = 0$  in  $\Omega_{\mathcal{O}_L/\mathcal{O}_K}$  so that  $\omega = d(p^r \alpha_r)$ . We now prove (2). The exact sequence above implies that  $\mathcal{O}_L^{d=0} \subset \mathcal{O}_L^{d'=0}$ . Conversely, if  $x \in \mathcal{O}_L^{d'=0}$ , then  $dx \in \ker g = \operatorname{im} f$ , so that  $p^r \cdot dx = 0$ . Hence  $p^r \cdot \mathcal{O}_L^{d=0} \subset \mathcal{O}_L^{d=0}$ .

**Corollary 1.5.** — In order to prove theorem B, we can replace K by any finite subextension K' of K. In particular, we can assume that  $K_{\infty}/K$  is a totally ramified  $\mathbf{Z}_p$ -extension.

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#### 2. Ramification in $Z_p$ -extensions

Let  $K_{\infty}/K$  be a totally ramified  $\mathbb{Z}_p$ -extension. We recall some of the results of §3.1 of [**Tat67**] concerning the ramification of  $K_{\infty}/K$  and the action of  $\text{Gal}(K_{\infty}/K)$  on  $K_{\infty}$ . Let  $K_n$  be the *n*-th layer of  $K_{\infty}/K$ , so that  $[K_n : K] = p^n$ .

**Proposition 2.1.** — There are constants a, b such that  $|val_p(\mathfrak{d}_{K_n/K}) - n - b| \leq p^{-n}a$  for  $n \geq 0$ .

*Proof.* — See §3.1 of [**Tat67**].

The notation  $\sum_{n\geq 0} p^n \mathcal{O}_{K_n}$  denotes the set of elements of  $K_{\infty}$  that are finite sums of elements of  $p^n \mathcal{O}_{K_n}$ .

**Corollary 2.2.** — There exists  $n_0 \ge 0$  such that  $\sum_{n\ge 0} p^{n+n_0} \mathcal{O}_{K_n} \subset \mathcal{O}_{K_\infty}^{d=0}$ .

**Proposition 2.3.** — There exists  $c(K_{\infty}/K) > 0$  such that for all  $n, k \ge 0$  and  $x \in \mathcal{O}_{K_{n+k}}$ , we have  $\operatorname{val}_p(N_{K_{n+k}/K_n}(x)/x^{[K_{n+k}:K_n]}-1) \ge c(K_{\infty}/K)$ .

*Proof.* — The result follows from the fact (see 1.2.2 of [Win83]) that the extension  $K_{\infty}/K$  is strictly APF. One can then apply 1.2.1, 4.2.2 and 1.2.3 of [Win83].

If  $n \ge 0$  and  $x \in K_{\infty}$ , then  $R_n(x) = p^{-k} \cdot \operatorname{Tr}_{K_{n+k}/K_n}(x)$  is independent of  $k \gg 0$  such that  $x \in K_{n+k}$ , and is the normalized trace of x.

**Proposition 2.4.** — There exists  $c_2 \in \mathbb{Z}_{\geq 0}$  such that  $\operatorname{val}_p(R_n(x)) \geq \operatorname{val}_p(x) - c_2$  for all  $n \geq 0$  and  $x \in K_{\infty}$ .

*Proof.* — See §3.1 of [**Tat67**] (including the remark at the bottom of page 172).  $\Box$ 

In particular,  $R_n(\mathcal{O}_{K_{\infty}}) \subset p^{-c_2}\mathcal{O}_{K_n}$  for all  $n \ge 0$ . Let  $K_0^{\perp} = K_0$  and for  $n \ge 1$ , let  $K_n^{\perp}$ be the kernel of  $R_{n-1}: K_n \to K_{n-1}$ , let  $R_n^{\perp} = R_n - R_{n-1}$ , and  $R_0^{\perp} = R_0$ . If  $x \in K_{\infty}$  and  $i \ge 0$ , then  $R_n^{\perp}(x) = 0$  for  $n \gg 0$ , and  $x = (\sum_{n \ge i+1} R_n^{\perp}(x)) + R_i(x)$ . Prop 2.4 implies that  $R_n^{\perp}(\mathcal{O}_{K_{\infty}}) \subset p^{-c_2}\mathcal{O}_{K_n}$  for all  $n \ge 0$ . Let  $\mathcal{O}_{K_n}^{\perp} = \mathcal{O}_{K_n} \cap K_n^{\perp}$ .

**Corollary 2.5.** — For all  $i \ge 0$ , we have  $\mathcal{O}_{K_{\infty}} \subset (\bigoplus_{m \ge i+1} p^{-c_2} \mathcal{O}_{K_m}^{\perp}) \oplus p^{-c_2} \mathcal{O}_{K_i}$ .

*Proof.* — If  $x \in \mathcal{O}_{K_{\infty}}$ , write  $x = \sum_{m \ge i+1} R_m^{\perp}(x) + R_i(x)$ .

For  $n \ge 0$ , let  $g_n$  denote a topological generator of  $\operatorname{Gal}(K_{\infty}/K_n)$ .

**Lemma 2.6**. — There exists a constant  $c_3$  such that for all  $n \ge 1$  and  $x \in K_{n+1}^{\perp}$ , we have  $\operatorname{val}_p(x) \ge \operatorname{val}_p((1-g_n)(x)) - c_3$ .

*Proof.* — See §3.1 of [**Tat67**] (including the remark at the bottom of page 172).  $\Box$ 

### 3. The lattice $\mathcal{O}_{K_{\infty}}^{d=0}$

We now prove theorem B. Thanks to coro 1.5, we assume that  $K_{\infty}/K$  is a totally ramified  $\mathbb{Z}_p$ -extension. Let  $\{\rho_n\}_{n\geq 0}$  be a norm compatible sequence of uniformizers of the  $K_n$ . Let  $m_c \geq 0$  be the smallest integer such that  $p^{m_c} \cdot c(K_{\infty}/K) \geq 1/(p-1)$ .

**Proposition 3.1.** — We have  $\operatorname{val}_p(\rho_{n+1}^{pk} - \rho_n^k) \ge \operatorname{val}_p(k) - m_c$ .

Proof. — Note that if  $x, y \in \mathbf{C}$  with  $\operatorname{val}_p(x-y) \ge v$ , then  $\operatorname{val}_p(x^p-y^p) \ge \min(v+1, pv)$ . Let  $c = c(K_{\infty}/K)$  and  $m = m_c$ . We have  $\operatorname{val}_p(\rho_{n+1}^p - \rho_n) \ge c$  by prop 2.3, so that  $\operatorname{val}_p(\rho_{n+1}^{p^{j+1}} - \rho_n^{p^j}) \ge p^j c$  for all j such that  $p^{j-1}c \le 1/(p-1)$ .

In particular,  $\operatorname{val}_p(\rho_{n+1}^{p^{m+1}} - \rho_n^{p^m}) \ge p^m c \ge 1/(p-1)$ , so that  $\operatorname{val}_p(\rho_{n+1}^{p^{m+j+1}} - \rho_n^{p^{m+j}}) \ge j+1/(p-1)$  if  $j \ge 0$ . This implies the result.

**Theorem 3.2.** — There exists  $n_1 \in \mathbb{Z}_{\geq 0}$  such that  $\mathcal{O}_{K_{\infty}}^{d=0} \subset \sum_{m \geq n_1} p^{m-n_1} \mathcal{O}_{K_m}$ .

*Proof.* — Let  $n_1 = \lceil a - b + m_c + 2 \rceil$ . Take  $x \in \mathcal{O}_{K_n}^{d=0}$  and write  $x = \sum_{i=0}^{p^n-1} x_i \rho_n^i$  with  $x_i \in \mathcal{O}_K$ , so that  $dx = \sum_{i=0}^{p^n-1} ix_i \rho_n^{i-1} \cdot d\rho_n$ . If dx = 0, then  $\sum_{i=0}^{p^n-1} ix_i \rho_n^{i-1} \in \mathfrak{d}_{K_n/K}$  so that by prop 2.1 (and since  $\operatorname{val}_p(\rho_n^{p^n}) \leq 1$ ), for all i we have

$$\operatorname{val}_p(x_i) \ge n - a + b - \operatorname{val}_p(i) - 1.$$

For  $k \ge 1$ , let

$$y_k = \sum_{p \nmid j} x_{p^{k-1}j} \rho_{n-(k-1)}^j + \sum_{\ell} x_{p^k \ell} (\rho_{n-(k-1)}^{p\ell} - \rho_{n-k}^{\ell}).$$

Note that  $y_k \in \mathcal{O}_{K_{n-k+1}}$ . Let us bound  $\operatorname{val}_p(y_k)$ . We have  $\operatorname{val}_p(x_{p^{k-1}j}\rho_{n-(k-1)}^j) \ge n-a+b-k$ . We also have  $\operatorname{val}_p(x_{p^{k}\ell}) \ge n-a+b-k-\operatorname{val}_p(\ell)-1$ , and  $\operatorname{val}_p(\rho_{n-(k-1)}^{p\ell}-\rho_{n-k}^{\ell}) \ge \operatorname{val}_p(\ell) - m_c$  by prop 3.1. Hence  $\operatorname{val}_p(y_k) \ge n-a+b-k-1-m_c$  and therefore  $y_k \in p^{n-k+1-n_1}\mathcal{O}_{K_{n-k+1}}$ . Finally, we have  $x = y_1 + \cdots + y_{n-n_1} + \sum_{\ell} x_{p^{n-n_1}\ell}\rho_{n_1}^{\ell}$ , and  $\sum_{\ell} x_{p^{n-n_1}\ell}\rho_{n_1}^{\ell} \in \mathcal{O}_{K_{n_1}}$ , which implies the result.

**Remark 3.3**. — Compare with lemma 4.3.2 of [Fou05].

**Corollary 3.4.** — We have  $\mathcal{O}_{K_{\infty}}^{d=0} \subset (\bigoplus_{m \ge n_1+1} p^{m-n_1-c_2} \mathcal{O}_{K_m}^{\perp}) \oplus p^{-c_2} \mathcal{O}_{K_{n_1}}$ .

Proof. — By theorem 3.2, it is enough to prove that  $p^n \mathcal{O}_{K_n} \subset (\bigoplus_{m \ge n_1+1} p^{m-c_2} \mathcal{O}_{K_m}^{\perp}) \oplus p^{n_1-c_2} \mathcal{O}_{K_{n_1}}$  for all  $n \ge n_1$ . If  $x \in p^n \mathcal{O}_{K_n}$ , write  $x = R_n^{\perp}(x) + R_{n-1}^{\perp}(x) + \cdots + R_{n_1+1}^{\perp}(x) + R_{n_1}(x)$ . We have  $R_{n-k}^{\perp}(x) \in p^{n-c_2} \mathcal{O}_{K_{n-k}}^{\perp} \subset p^{(n-k)-c_2} \mathcal{O}_{K_{n-k}}^{\perp}$  and likewise  $R_{n_1}(x) \in p^{n-c_2} \mathcal{O}_{K_{n_1}} \subset p^{n_1-c_2} \mathcal{O}_{K_{n_1}}$ .

**Corollary 3.5.** — There are no nontrivial p-divisible elements in  $d(\mathcal{O}_{K_{\infty}})$ .

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Proof. — By props 1.3 and 1.4, we can assume that  $K_{\infty}/K$  is a totally ramified  $\mathbb{Z}_{p}$ extension. Let  $\{\alpha_i\}_{i\geq 1}$  be a sequence of  $\mathcal{O}_{K_{\infty}}$  such that  $d\alpha_i = p \cdot d\alpha_{i+1}$  for all  $i \geq 1$ . Write  $\alpha_i = \sum \alpha_{i,m}$  with  $\alpha_{i,m} \in p^{-c_2} \mathcal{O}_{K_m}^{\perp}$  for  $m \geq n_1 + 1$  and  $\alpha_{i,n_1} \in p^{-c_2} \mathcal{O}_{K_{n_1}}$ . Since  $p^k \alpha_{k+i} - \alpha_i \in \mathcal{O}_{K_{\infty}}^{d=0}$ , coro 3.4 implies that  $p^k \alpha_{k+i,m} - \alpha_{i,m} \in p^{m-n_1-c_2} \mathcal{O}_{K_m}$  for all  $m \geq n_1$ . Taking  $k \gg 0$  now implies that  $\alpha_{i,m} \in p^{m-n_1-c_2} \mathcal{O}_{K_m}$  for all  $m \geq n_1$ . Coro 2.2 gives  $p^{n_0+n_1+c_2}\alpha_i \in \mathcal{O}_{K_{\infty}}^{d=0}$ . Taking  $i = n_0 + n_1 + c_2 + 1$  gives  $d\alpha_1 = 0$ .

**Corollary 3.6**. — The differential  $d : \mathcal{O}_{K_{\infty}} \to \Omega_{\mathcal{O}_{K_{\infty}}/\mathcal{O}_{K}}$  is not surjective.

*Proof.* — This follows from coro 3.5 and prop 1.3.

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### 4. The completion of $K_{\infty}$ in $\mathbf{B}_2$

We now prove theorems A and C. Since we are concerned with the completion of  $K_{\infty}$ , we can once again replace K with a finite subextension of  $K_{\infty}$  and assume that  $K_{\infty}/K$  is a totally ramified  $\mathbf{Z}_p$ -extension. Let  $\widehat{K}_{\infty}^2$  denote the completion of  $K_{\infty}$  in  $\mathbf{B}_2$ , so that  $R = \theta(\widehat{K}_{\infty}^2)$  is a subring of  $\widehat{K}_{\infty}$ . Let  $\Gamma = \operatorname{Gal}(K_{\infty}/K)$ , and let  $c : \Gamma \to \mathbf{Z}_p$  be an isomorphism of p-adic Lie groups. Let  $w_2$  be the valuation on  $K_{\infty}$  defined by  $w_2(x) = \min\{n \in \mathbf{Z} \text{ such that } p^n x \in \mathcal{O}_{K_{\infty}}^{d=0}\}$ . The restriction of the natural valuation of  $\mathbf{B}_2$  to  $K_{\infty}$  is  $w_2$  (see §1.4 and §1.5 of [Fon94], or theorem 3.1 of [Col12]). The map  $\theta : \mathbf{B}_2 \to \mathbf{C}$  has the following property (see §1.4 of [Fon94])

**Lemma 4.1.** — If  $\{x_k\}_{k\geq 1}$  is a sequence of  $K_{\infty}$  that converges to  $x \in \mathbf{B}_2$  for  $w_2$ , then  $\{x_k\}_{k\geq 1}$  is Cauchy for  $\operatorname{val}_p$ , and  $\theta(x) = \lim_{k \to +\infty} x_k$  for the p-adic topology.

Let  $M = \bigoplus_{n \ge 0} p^n \mathcal{O}_{K_n}^{\perp}$ . Coro 2.2 and theo 3.2 imply that M and  $\mathcal{O}_{K_\infty}^{d=0}$  are commensurable. Hence  $\widehat{K}_{\infty}^2$  is the M-adic completion of  $K_{\infty}$ . Let  $w'_2$  be the M-adic valuation on  $K_{\infty}$ , so that  $w'_2$  and  $w_2$  are equivalent.

Lemma 4.2. — If  $x \in K_{\infty}$ , then  $\operatorname{val}_p(R_n^{\perp}(x)) \ge w'_2(x) + n$ .

*Proof.* — Write 
$$x = \sum_{n \ge 0} R_n^{\perp}(x)$$
. If  $x \in p^w M$ , then  $R_n^{\perp}(x) \in p^{n+w} \mathcal{O}_{K_n}$ .

**Proposition 4.3.** — Every element  $x \in \widehat{K}^2_{\infty}$  can be written in one and only one way as  $\sum_{n\geq 0} x_n^{\perp}$  where  $x_n^{\perp} \in K_n^{\perp}$  and  $p^{-n} x_n^{\perp} \to 0$  for val<sub>p</sub>.

*Proof.* — Note that such a series converges for  $w_2$ . The map  $R_n^{\perp} : K_{\infty} \to K_n^{\perp}$  sends  $p^w M \subset K_{\infty}$  to  $p^{w+n} \mathcal{O}_{K_n}^{\perp}$ , so that it is uniformly continuous for the  $w_2$ -adic topology, and therefore extends to a continuous map  $R_n^{\perp} : \widehat{K}_{\infty}^2 \to K_n^{\perp}$ .

Let  $x \in \widehat{K}_{\infty}^2$  be the  $w_2$ -adic limit of  $\{x_k\}_{k \ge 1}$  with  $x_k \in K_{\infty}$ . For a given k, the sequence  $\{p^{-n}R_n^{\perp}(x_k)\}_{n \ge 0} \in \prod_{n \ge 0} K_n^{\perp}$  has finite support. As  $k \to +\infty$ , these sequences converge uniformly in  $\prod_{n \ge 0} K_n^{\perp}$  to  $\{p^{-n}R_n^{\perp}(x)\}_{n \ge 0}$ , so that  $p^{-n}R_n^{\perp}(x) \to 0$  as  $n \to +\infty$ . Hence  $\sum_{n \ge 0} R_n^{\perp}(x)$  converges for  $w_2$ . Since  $x_k = \sum_{n \ge 0} R_n^{\perp}(x_k)$  for all k, we have  $x = \sum_{n \ge 0} R_n^{\perp}(x)$ . Finally, if  $x = \sum_{n \ge 0} x_n^{\perp}$  with  $x_n^{\perp} \in K_n^{\perp}$  and  $p^{-n}x_n^{\perp} \to 0$  for val<sub>p</sub>, then  $x_n^{\perp} = R_n^{\perp}(x)$  which proves unicity.

**Corollary 4.4**. — The map  $\theta : \widehat{K}^2_{\infty} \to \widehat{K}_{\infty}$  is injective.

*Proof.* — If  $x_n^{\perp} \in K_n^{\perp}$  and  $x_n^{\perp} \to 0$  and  $\sum_{n \ge 0} x_n^{\perp} = 0$  in  $\widehat{K}_{\infty}$ , then  $x_n^{\perp} = 0$  for all n.  $\Box$ 

**Corollary 4.5.** — The ring R is the set of  $y \in \widehat{K}_{\infty}$  that can be written as  $y = \sum_{n \ge 0} p^n y_n$ with  $y_n \in K_n$  and  $y_n \to 0$ .

**Proposition 4.6.** — The ring R is a field, and  $R = \{x \in \widehat{K}_{\infty} \text{ such that } g(x) - x = o(c(g)) \text{ as } g \to 1 \text{ in } \Gamma\}.$ 

Proof. — The fact that R is a field results from the second statement, since g(1/x)-1/x = (x - g(x))/(xg(x)). Take  $y = \sum_{n \ge 0} p^n y_n$  with  $y_n \in K_n$  and  $y_n \to 0$ . If  $m \ge 1$ , then for all  $k \gg 0$ , we have  $y_n \in p^{m+n}\mathcal{O}_{K_n}$ . We can write  $y = x_k + \sum_{n \ge k} p^n y_n$  and then  $(g-1)(y) \in p^{k+m}\mathcal{O}_{K_\infty}$  if  $g \in \operatorname{Gal}(K_\infty/K_k)$ . This proves one implication.

Conversely, take  $x \in \widehat{K}_{\infty}$  such that g(x) - x = o(c(g)). Write  $x = \sum_{k \ge 0} x_k$  with  $x_0 = R_0(x) \in K_0$  and  $x_k = R_k^{\perp}(x) \in K_k^{\perp}$  for all  $k \ge 1$ . For  $n \ge 0$ , let  $g_n$  denote a topological generator of  $\operatorname{Gal}(K_{\infty}/K_n)$ . Take  $m \ge 0$ , and  $n \ge 0$  such that we have  $\operatorname{val}_p((g_n - 1)(x)) \ge m + n$ . We have  $(1 - g_n)(x) = \sum_{k \ge n+1} (1 - g_n)x_k$ , so that by lemma 2.6 and prop 2.4:  $\operatorname{val}_p(x_{n+1}) \ge \operatorname{val}_p((1 - g_n)(x_{n+1})) - c_3 \ge \operatorname{val}_p((1 - g_n)(x)) - c_2 - c_3 \ge n + m - c_2 - c_3$ . This implies the result.

**Remark 4.7.** — Prop 4.6 says that R is the set of vectors of  $\widehat{K}_{\infty}$  that are  $C^1$  with zero derivative (flat to order 1) for the action of  $\Gamma$ .

Theorem A follows from coro 4.4 since  $\theta : \mathbf{B}_2(\widehat{K}_{\infty}) \to \widehat{K}_{\infty}$  is not injective. Finally, coro 4.4, coro 4.5, and prop 4.6 imply theorem C.

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