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2	Avian influenza spread and seabird movements between colonies
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4	Email : thierry.boulinier@cefe.cnrs.fr
5	
6	Key-words : spatial disease dynamics, movement ecology, colonial breeding, HPAI H5N1,
7	foraging, migration, prospecting,
8	8 word title, 1206 words, 1 figures, 1 box, Glossary. Teaser of 50 words:
9	Seabirds have recently been experiencing high rates of mortality across broad scales due
10	to Highly Pathogenic Avian Influenza. During breeding, seabird populations are highly
11	spatially structured, while over their life, they spend much time at sea. This makes them
12	unique systems to document how movement and interspecies interactions affect eco-
13	epidemiological dynamics.
14	
15	Highly Pathogenic Avian Influenza as an additional threat to seabirds
16	In the current context of global change, threats to seabirds include pressure from invasive

17 species on islands, bycatch, competition with fisheries and climate change impact on food

18 availability [1](Figure 1). Infectious diseases are also becoming increasingly appreciated as a

19 threat as many breeding **colonies** (see Glossary) were recently hit by **Highly Pathogenic**

20 Avian Influenza (HPAI) in the North Atlantic Ocean [2]. In the Northern Hemisphere summer

of 2022, there was widespread detection of HPAI H5N1 virus in carcasses of dead wild birds

22 and large die offs at seabird colonies [2]. The number of individuals, notably adults, found dead or sick, were very high. For instance, in Scotland, the loss of more than fifty percent of 23 the local population of several of the largest great skua (Stercorarius skua) colonies was 24 reported, and several northern gannet (Morus bassanus) colonies suffered heavy losses [2]. 25 26 Those outbreaks followed a shift in HPAI epidemiology that started in 2021 and lead to the 27 identification of HPAI as a potential threat to wild birds at broad scale [3]. Some great skuas 28 died of HPAI in 2021 [4] and recurrent HPAI outbreaks in wild birds had been identified, with 29 the possibility that the virus became **enzootic** [5].

Waterbirds in general are well-known reservoirs for **Low Pathogenic Avian Influenza (LPAI)** viruses. Work with Anatidae (ducks, geese and swans), shorebirds and gulls [6] on their role in the global circulation of those viruses has contributed to the understanding of the factors affecting avian influenza viral evolution and how the ecological interactions among wild birds along migration flyways promote the maintenance, reassortment, and dispersal of avian influenza viruses [6]. Comparably, little work has been done on pelagic seabirds, which spend a large part of their lives at sea and are seldom affected by HPAI [7].

Seabird share life history traits of concern for HPAI interactions: they breed in colonies that are often very dense (Figure 1) where transmission is expected to be high. They spend a large part of their time at sea, where transmission is likely limited (Box 1). Also, as they are long lived, their population dynamics are sensitive to factors affecting adult survival. A critical issue is to identify where direct and environmental faecal-oral transmission of avian influenza viruses occurs within and between species in seabird communities.

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44 Mechanism of spread between colonies

How does HPAI spreads between colonies? Seabirds are known for their high breeding site 45 46 fidelity, so little mixing is expected to happen via dispersal (Box 1, Figure I). Foraging 47 movements by avian predators and scavengers, such as gulls and skuas, are likely involved in the transmission of the virus within a breeding season. A little-noticed outbreak of avian 48 cholera, another infectious bird disease, was described off Newfoundland in 2006-2007: 49 50 gulls scavenging at sea on conspecifics may have been largely responsible for the burst in 51 cases [8]. At an island-scale, Subantarctic skuas (Stercorarius antarcticus) have also been identified as potential spreaders of the avian cholera bacterium between local breeding 52 53 aggregates, with more than 98% of the breeders having antibodies against the disease [9]. But in the current pan-northern Atlantic outbreak of HPAI, avian predators and scavengers 54 may only be partly responsible for the spread among breeding populations. Some inter-55 56 colony movements have been occasionally documented, notably from birds that are not 57 currently breeding or failed their breeding attempt, however, these movements have been rarely studied. Tracking birds is a massive endeavour done by seabird research teams each 58 59 season, usually focusing on foraging movements during the breeding season or on winter migration [10]. In most cases, this requires recapturing birds to recover loggers and 60 download data, thus the work is focused on successfully breeding individuals. Some, more 61 62 costly, loggers enable recovering data remotely, via UHF antennas, GSM or satellite communication. Their use could broaden the scopes of movement studies to include pre-63 breeders and failed breeders; this could provide key information to assess how infectious 64 65 agents are transmitted between colonies. Such an approach could provide data on contact structure networks within and among species to inform models to explore how those could 66 be related to **phylodynamic** data obtained at the same scales. 67

69 Inferring functional connection among colonies

70 In addition to the foraging and migratory movements of avian predators and scavengers, the 71 movement of individuals prospecting for future breeding sites may be important for the 72 spread of disease agents or parasites [11]. Such movements can occur within a breeding season at a broad range of scales, and often involves failed breeders or pre-breeders [12,13]. 73 74 Given the efforts put by seabird research teams each season for tracking successfully breeding individuals, it may be beneficial to shift some of that focus to the tracking of 75 76 prospecting individuals to infer functional connection among colonies. Such data would be 77 useful to combine with genetic analyses of the viruses detected on individual hosts, as well as with studies of the spatio-temporal patterns of exposure of birds at colonies and 78 79 metapopulation dynamics.

Earlier work on LPAI in densely breeding and widely distributed seabird species like 80 81 guillemots Uria spp (Figure 1) showed that a high diversity of virus could be detected locally, with evidence of rearrangements of gene segments between locations far apart, stressing 82 83 the need to explore the ecology and evolution of the interactions at a broad hierarchy of 84 scales [7]. In parallel to phylodynamic studies, sampling of seabird colonies to conduct 85 serological tests should be informative. It could notably allow determining what proportion of individuals were exposed the previous year and how this varies in space and among 86 87 species, informing us about potential barriers to virus transmission.

88 Need for integrated approaches

4

89 In addition to studying virus evolution, determining contact structure networks within and among species is important for understanding how the risk of transmission is affected by 90 various processes. Evaluating the impact of HPAI on the host individuals and populations is 91 also required. When access to colonies is restricted, drones or field cameras can be used, 92 although detailed data on individuals is often needed. Precise estimates of a key 93 94 demographic parameters such as the annual survival rates of breeders can be obtained by 95 long-term capture-mark-recapture studies. Moreover, capture-mark-recapture studies could 96 be extended to include the monitoring of the exposure of breeding individuals to infectious agents. Surveys conducted on multiple sites are powerful to explore the spatial extent of 97 circulation of infectious agents, while repeated sampling of individuals can provide useful 98 complementary information on local turnover of infection and temporal persistence of 99 100 immunological status.

Such studies may also provide valuable complementary information about the responses of populations to large scale environmental changes, which may involve prospecting followed by dispersal as a key demographic process. In that respect, multisite capture-mark-resighting studies can complement tracking studies using biologing devices, but they require especially strong and coordinated field efforts by teams combining complementary sets of competences.

Seabird species, by their highly spatially structured populations during the breeding season
and their peculiar ecologies linked to the marine environment, not only represent important
communities of species to track the state of the oceans. They also represent unique
biological systems to study how demographic, behavioural and epidemiologic processes may

111 interact at hierarchies of scales to explain the responses of metacommunities of hosts

112 threatened by several effects of global change.

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151 Figure legend:

152 Figure 1: Seabirds aggregate in dense colonies for breeding. Northern gannets (Morus bassanus), common guillemots (Uria aalge) and great skuas (Stercorarius skua) respectively 153 on the islands of Rouzic, France, Hornøya, Norway, and Unst, UK. Massive die-offs related to 154 HPAI H5N1 have been recorded at many seabird colony sites during the 2022 summer in the 155 156 North Atlantic. Mixed species colonies could represent hotspot of transmission of infectious 157 agents with potential dramatic effects on local and regional populations. Integrative approaches, including movement ecology, are required to decipher the transmission 158 159 processes at play between and within colonies (pictures © Thierry Boulinier).

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Box 1. Different types of seabird movements that could lead to spread of HPAI strains. 162 163 Waterbirds are reservoir host of many LPAI strains, but some HPAI strains, that have evolved 164 under particular circumstances, are very pathogenic for poultry and for some wild species. 165 Migration of waterbirds is important for the global circulation of avian influenza viruses, with evidence of asymmetric flux of viruses along some flyways at continental and 166 167 intercontinental scales [6]. The dynamics of outbreaks involve shifts in virus ecology associated with changes in opportunities for spread, host susceptibility and pathogenicity, 168 169 which can be linked to fast evolution of the virus. In particular, recombination among strains 170 can lead to dramatic changes in transmission among hosts. The viruses evolve fast, and 171 studies of their genetic sequences can be used to infer their transmission and the 172 importance of recombination among strains. It is strains of HPAI H5N1 that have been 173 involved in major mortalities of wild and domesticated birds since it emerged in eastern Asia in 1996, but other HPAI exist. gs/GD HPAI H5 is incriminated in the recent seabird die-offs 174

175 [2,4] and more generally in recent large outbreaks in Europe in poultry and wild birds [5], with the suggestion that it may now be endemic to the area. Given the broad geographic 176 scale and relatively synchronous die-offs due to HPAI H5 detected on seabird colonies in 177 2022, a key issue is to determine what types of seabird movement may have been 178 responsible for the transmission of the virus. Different types of movements of seabirds can 179 180 be distinguished in relation to their life cycle, each characterized by different geographical 181 scales, timing relative to the breeding season, and potential opportunities for the 182 transmission of infectious disease agents between individuals of the same or different species (Figure I)[11]. Birds foraging at sea, while in flight or on the water, have been 183 184 suggested to be unlikely to transmit infectious agents between each other [11], although particular types of behaviours warrant consideration in the case of highly transmissible 185 186 agents that can survive in the marine environment such as HPAI H5N1: feeding aggregations behind fishing boats or on shoals of fish, dense rafts on the water, predation or 187 **kleptoparasitism** (Figure II, A and B). 188 189 Figure I: Illustrations of the different types of movements of seabirds and opportunities for virus transmission. Transmission could occur while foraging at sea, winter migration or 190 prospecting between colonies (printed from Boulinier et al. 2016 [11] by permission of 191 Oxford University Press). 192 193 Figure II: Example of at-sea interactions. (A) and (B) great skuas (Stercorarius skua) 194 harassing northern gannets (*Morus bassanus*) to obtain food by kleptoparasitism (pictures ©

195 Thierry Boulinier).

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197 Glossary

Capture-mark-recapture: The capture, marking and recapture or resignthing of individuals is
 used to estimate demographic parameters and conduct behavioural studies. Samples for
 disease investigations can be collected when an individual is captured, such as blood sample
 for detecting antibodies as a sign of past exposure to an infectious agent, or swabs for direct
 detection of the agent. Longitudinal data acquired within such a framework can be very
 informative, especially in long lived species like seabirds.

204 **Colonies:** aggregates of breeding territories.

Contact structure networks: for directly or environmentally transmitted infectious agents
 such as avian influenza viruses, identifying the network of spatio-temporal association and
 contacts between individuals is important to infer transmission risks.

208 **Detectability**: the probability of observing an event that occurred. Detectability is often

209 lower than one in wildlife population or disease ecology studies. Particular sampling designs

and statistical modelling are available to estimate parameters in such cases, notably when

211 capture-mark-recapture data are available.

212 **Dispersal:** an ecological process that involves the movement of an individual or multiple

213 individuals away from the population in which they were born or reproduced to another

location, or population, where they will settle and reproduce.

215 **Enzootic:** an infectious agent is enzootic in a population when the infection is maintained in

the population without the need for external inputs.

217 Failed breeder: individual that attempted breeding the current year, but failed its attempt,

218 either before laying an egg, after laying an egg, or after its eggs hatched.

219 **Foraging**: behaviour associated with the search of food.

220	HPAI : Highly Pathogenic Avian Influenza. HPAI viruses can recombine with LPAI, which can
221	change their properties and can be responsible for increase in transmission or pathogenicity.
222	Kleptoparasitism: a form of feeding in which one animal deliberately takes food from
223	another. This might be an opportunity for the transmission of highly transmissible infectious
224	agents.
225	LPAI: Lowly Pathogenic Avian Influenza. Waterbirds are reservoirs of a broad set of LPAI
226	viruses. LPAI viruses can recombine with HPAI, which can change their properties and can be
227	responsible for increase in transmission or pathogenicity.
228	Phylodynamic: phylodynamic approaches combine evolutionary, demographic and
229	epidemiological concepts to track genetic changes.
230	Pre-breeder : an individual that has not bred yet, but which may attend breeding areas.
231	Seabirds are long lived and in most species first breeding is delayed until several years after
232	hatching and pre-breeders start to visit colonies the years before.
233	Prospecting: visit of a breeding area by an individual that does not currently breed there.
234	Scavenging: Scavengers are animals that consume dead organisms that have died from
235	causes other than predation or have been killed by other predators. Scavenging is an
236	opportunity for the transmission of infectious agents.
237	







