



# **Anomalous Net Biome Exchange Over Amazonian Rainforests Induced by the 2015/16 El Niño: Soil Dryness-Shaped Spatial Pattern but Temperature-dominated Total Flux**

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## RESEARCH LETTER

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### Key Points:

- Net biome exchange (NBE) anomalies over Amazonian rainforests induced by the 2015/16 El Niño were investigated based on multiple atmospheric inversions
- The spatial pattern of NBE anomaly was regulated by soil water with larger anomalies over the eastern and northern Amazonian rainforests
- The total NBE anomaly was estimated at about 0.4 PgC yr<sup>-1</sup> in 2015/16 relative to the average in 2017/18, dominated by higher temperature

### Supporting Information:

Supporting Information may be found in the online version of this article.

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## Anomalous Net Biome Exchange Over Amazonian Rainforests Induced by the 2015/16 El Niño: Soil Dryness-Shaped Spatial Pattern but Temperature-dominated Total Flux

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**Abstract** The magnitude and spatial pattern of anomalous net biome exchange (NBE) induced by the 2015/16 El Niño over Amazonian rainforests remain uncertain. We here investigated them using multi-model posterior NBE products in the Orbiting Carbon Observatory-2 (OCO-2) version 10 modeling intercomparison project. Results suggest that relative to the annual NBE average in 2017/18, larger anomalous carbon release occurred over the eastern and northern Amazonian rainforests in 2015/16, with a total flux of approximately 0.4 PgC yr<sup>-1</sup> after assimilating satellite-observed column CO<sub>2</sub> concentrations (XCO<sub>2</sub>) over land. We further find that this anomalous spatial pattern was predominantly determined by soil dryness, while the total positive NBE anomaly was dominated by higher temperature with its contribution of approximately 68 ~ 70%. We believe that atmospheric inversions assimilating more satellite-observed XCO<sub>2</sub> in future can provide us more comprehensive understanding how Amazonian rainforests cope with the abiotic stresses induced by El Niño events.

**Plain Language Summary** Interannual variability of carbon flux associated with its drivers over Amazonian rainforests are not fully understood. We here used several groups' newly available posterior CO<sub>2</sub> flux estimates to comprehensively investigate the net carbon flux anomaly induced by the 2015/16 extreme El Niño. A total net carbon flux anomaly of approximately 0.4 PgC yr<sup>-1</sup> was estimated, which showed larger carbon release over the eastern and northern Amazonian rainforests. We further suggest that although dry conditions greatly shaped the spatial pattern of the anomalous carbon flux, the total carbon flux anomaly was controlled by the higher temperature, with its contribution of approximately 68~70%.

## 1. Introduction

The interannual variability of tropical and global land-atmosphere carbon flux, linked to the El Niño-Southern Oscillation (ENSO), has been a hot topic (Bowman et al., 2017; Jung et al., 2017; Liu et al., 2017; Palmer et al., 2019; Peylin et al., 2013; Piao et al., 2020; Rodenbeck et al., 2018; J. Wang et al., 2016; J. Wang, Zeng, Wang, Jiang, Chen, et al., 2018; Zeng et al., 2005). In particular, these year-to-year variations reveal how terrestrial ecosystems cope with the abiotic stresses induced by ENSO events, and may shed some light on the future changes of terrestrial carbon cycle under greenhouse warming (Arora et al., 2020; Cox et al., 2018; Friedlingstein et al., 2006). The tropical rainforests, with the largest areas located over Amazonia, make the second largest contribution (approximately 28%) to the interannual variability of global net biome productivity based on the

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TRENDY multi-model simulations (Ahlstrom et al., 2015). Amazonia has been long recognized as a hot spot of carbon cycle research due to its interannual anomalies (Koren et al., 2018; Phillips et al., 2009; van Schaik et al., 2018) and long-term changes (Cox et al., 2004; Gatti et al., 2021; Green et al., 2020).

During the recent 2015/16 extreme El Niño, the gross primary productivity and solar-induced fluorescence (SIF) were suppressed over Amazonia due to anomalously higher temperature and lower soil moisture (Koren et al., 2018; van Schaik et al., 2018); however, forest canopy greenness showed a small increase due to enhanced solar radiation (Yang et al., 2018). As for the net carbon flux to atmosphere, an inverse modeling study suggested approximately 0.5 PgC release anomaly from Amazonia from September 2015 to June 2016, by assimilating in situ observations and vertical profile data in the Amazon (Gloor et al., 2018). Liu et al. (2017) suggested  $0.9 \pm 0.29$  Pg more carbon into atmosphere in 2015 than in the previous La Niña year 2011 over tropical South America, which was estimated by an atmospheric inversion constrained with CO<sub>2</sub> observations from the Orbiting Carbon Observatory-2 (OCO-2), SIF, and carbon monoxide observations from Measurements of Pollution in the Troposphere. However, these two studies did not show the spatial characteristics of anomalous net land-atmosphere carbon flux, and qualitatively discussed the related climate drivers.

Recently, net biome exchange (NBE) from the OCO-2 version 10 modeling intercomparison project (MIP) have become available. The NBE is sum of net ecosystem exchange (NEE) and wildfire-induced carbon emissions ( $F_{\text{fire}}$ ). We expect that these models can better capture the characteristics of regional carbon flux anomalies after assimilating satellite-observed XCO<sub>2</sub> data, compared with the traditional atmospheric inversions used in previous studies (Bastos et al., 2018; J. Wang, Zeng, Wang, Jiang, Wang, & Jiang, 2018). Therefore, based on the posterior NBE optimized by these multiple inversion models, we revisit the magnitude and spatial pattern of anomalous NBE over Amazonian rainforests induced by the extreme 2015/16 El Niño. Further, we will attempt to quantitatively reveal the effects of different climate drivers.

## 2. Materials and Methods

### 2.1. Posterior NBE From Multiple Atmospheric Inversion Models

This study used the NBE products optimized by 13 atmospheric inversion models (Table S1 in Supporting Information S1) from the OCO-2 v10 MIP, which is an international collaboration of CO<sub>2</sub> flux inversion modelers. Modelers performed a standard suit of inversion experiments, constrained by CO<sub>2</sub> observations from OCO-2 and in situ (Byrne et al., 2022; Crowell et al., 2019; Peiro et al., 2022), reported posterior results for years 2015–2020. For each inversion experiment, modelers prescribed a common fossil fuel emission, but independently adopted other prior surface carbon flux estimates (NEE, ocean, and wildfire emissions) (Peiro et al., 2022). The common fossil fuel emission adopted the Open-source Data Inventory for Anthropogenic CO<sub>2</sub> (ODIAC) emission data product with the monthly gridded  $1^\circ \times 1^\circ$  emissions up to 2019 (Oda et al., 2018) and extrapolated emissions in 2020 with additional information from the Carbon Monitor emission product (<https://carbonmonitor.org/>). In this study, we adopted results from three experiments, including: (a) IS: Assimilation of in situ CO<sub>2</sub> measurements from an international observational network; (b) LNLG: Assimilation of OCO-2 ACOS v10 land nadir and land glint XCO<sub>2</sub> retrievals (OCO-2 Science Team/Gunson & Eldering, 2020); (c) LNLGIS: Assimilation of in situ CO<sub>2</sub> measurements and OCO-2 ACOS v10 land nadir and land glint XCO<sub>2</sub> retrievals.

Taking the inter-model spread into account, we made a simple screening of the models over the Amazonian rainforests by using the Kling-Gupta Efficiency (KGE) (Gupta et al., 2009; Kling et al., 2012):

$$\text{KGE}(y, \hat{y}) = 1 - \sqrt{(r(y, \hat{y}) - 1)^2 + (\beta(y, \hat{y}) - 1)^2 + (\gamma(y, \hat{y}) - 1)^2} \quad (1)$$

where  $y$  and  $\hat{y}$  here represent the reference and simulated total NBE fluxes, respectively. The term of  $r(y, \hat{y})$  shows their Pearson's correlation coefficient,  $\beta(y, \hat{y})$  the bias ratio, and  $\gamma(y, \hat{y})$  the variability ratio. We can derive  $\beta(y, \hat{y})$  and  $\gamma(y, \hat{y})$  as follows:

$$\begin{cases} \beta(y, \hat{y}) = \frac{\mu_{\hat{y}}}{\mu_y} \\ \gamma(y, \hat{y}) = \frac{\sigma_{\hat{y}}/\mu_{\hat{y}}}{\sigma_y/\mu_y} \end{cases} \quad (2)$$

where  $\mu$  represents the mean, and  $\sigma$  the standard deviation. Clearly, the range of KGE is  $(-\infty, 1]$ , with the best possible score of 1 when the simulated result is exactly equal to the reference.

The posterior NBE was provided by the OCO-2 v10 MIP community at  $1^\circ \times 1^\circ$ . In order to lower the noise in space to some extent, we in this study performed the analyses at  $2.5^\circ \times 2.5^\circ$  which were interpolated by using the Climate Data Operators tool based on the approach of the first order conservative remapping scheme (Jones, 1999):

$$\overline{F_k} = \frac{1}{A_k} \iint f dA \quad (3)$$

where  $\overline{F_k}$  represents the area-averaged destination terrestrial carbon flux,  $A_k$  the area of grid  $k$ , and  $f$  the original carbon flux.

## 2.2. Meteorological and Land Cover Data Sets

The soil dryness condition here was indicated by the terrestrial water storage (TWS) from a reconstructed product of the Gravity Recovery and Climate Experiment (GRACE-REC) which was generated by a statistical model trained with GRACE observations (Humphrey & Gudmundsson, 2019). The GRACE-REC product was provided in six reconstructed TWS data sets of 100 ensemble members each at both daily and monthly timesteps over the period of 1901 to present with a  $0.5^\circ \times 0.5^\circ$  resolution, based on two different GRACE products (JPL and GSFC mascons) and three meteorological forcing data sets. Considering the time span and product evaluation (Humphrey et al., 2021), this study adopted the ensemble mean of the GSFC-ERA5 monthly product which had the time span from January 1979 to July 2019. In order to independently assess this product, we further compared it with other three soil moisture products, and found that they consistently have high spatial correlation coefficients in pairs over Amazonian rainforests (Table S2 in Supporting Information S1).

We adopted the surface air temperature at 2 m and calculated the vapor pressure deficit (VPD) based on the ERA5 data on single levels at  $0.25^\circ \times 0.25^\circ$  (Hersbach et al., 2020). Specifically, we first calculated VPD hourly according to the Tetens' formula for temperature above  $0^\circ\text{C}$  (Monteith & Unsworth, 2007):

$$\text{VPD} = 0.61078 \times \left( e^{\frac{17.27T_{as}}{T_{as}+237.29}} - e^{\frac{17.27T_d}{T_d+237.29}} \right) \quad (4)$$

where  $T_{as}$  and  $T_d$  are surface air temperature and dew-point temperature in degrees Celsius, respectively. The derived VPD is in kilopascals (kPa). Then we aggregated calculated hourly VPD into monthly and annual averages.

The Oceanic Niño Index (ONI) was adopted here to infer the ENSO conditions, which was the running 3-month area-averaged sea surface temperature anomalies for the Niño3.4 region ( $5^\circ\text{S}$ – $5^\circ\text{N}$ ,  $120^\circ$ – $170^\circ\text{W}$ ).

In order to retrieve the domain of Amazonian rainforests (evergreen broadleaf forests) in this study, we adopted the Terra and Aqua combined Moderate Resolution Imaging Spectroradiometer (MODIS) Land Cover Climate Modeling Grid (MCD12C1) Version 6 data product (Friedl & Sulla-Menashe, 2015), which were also consistently resampled into  $2.5^\circ \times 2.5^\circ$  by the approach of the largest area fraction remapping (Figure S1 in Supporting Information S1).

## 2.3. Calculation of NBE and Climate Anomalies

According to the ONI table, an extreme El Niño occurred in 2015/16 with the maximum ONI of  $2.6^\circ\text{C}$ , followed by two weak La Niña events in 2016/17 and 2017/18. Owing to the short period of posterior NBE products, the climatology cannot be accurately derived which can be greatly influenced by the extreme El Niño event. Hence, we derived the anomalies of NBE and climate factors in each grid over Amazonian rainforests by calculating the difference between the averages during 2015/16 and those during 2017/18. It is similar to the approach of Liu et al. (2017) in which they calculated the anomaly in 2015 relative to the value in 2011.

## 2.4. Contributions of Climate Drivers to NBE Anomalies

Surface air temperature, soil moisture, and VPD are the main climate factors, driving the interannual variability of land-atmosphere carbon flux (He et al., 2022; Humphrey et al., 2018; Jung et al., 2017; J. Wang et al., 2016;

W. Wang et al., 2013). In order to quantitatively derive the contributions of these three climate drivers to NBE anomalies over Amazonian rainforests, we calculated their pattern correlation coefficients and adopted the multiple linear regression model to apparently decompose NBE anomalies which has been widely used in previous studies (Dannenberg et al., 2022; Humphrey et al., 2021; Jung et al., 2017).

Specifically, decomposition of NBE anomalies into individual contributions was achieved as follows:

$$\text{NBE} = \alpha^T T + \alpha^{\text{TWS}} \text{TWS} + \alpha^{\text{VPD}} \text{VPD} + \varepsilon \quad (5)$$

where  $\alpha^T$ ,  $\alpha^{\text{TWS}}$ , and  $\alpha^{\text{VPD}}$  represent the sensitivities of NBE to temperature, TWS, and VPD anomalies, respectively. The term of  $\varepsilon$  represents the residual. We can further rewrite Equation 5 using the more compact symbols:

$$\text{NBE} = \text{NBE}^T + \text{NBE}^{\text{TWS}} + \text{NBE}^{\text{VPD}} + \varepsilon \quad (6)$$

where  $\text{NBE}^T$ ,  $\text{NBE}^{\text{TWS}}$ , and  $\text{NBE}^{\text{VPD}}$  denote the NBE anomalies induced by temperature, TWS, and VPD, respectively. In addition, we randomly combined two of these three climate drivers to linearly decompose NBE anomalies in the same way, so as to comprehensively understand the contributions of climate drivers to the total NBE anomaly.

### 3. Results

#### 3.1. Performance of Inversion Models

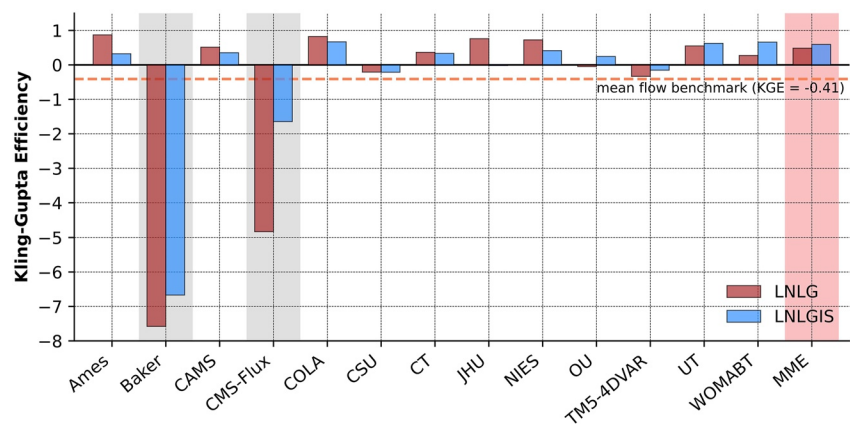
Although these inversion models assimilated the same  $\text{CO}_2$  observations and followed the same protocols, large inter-model spreads still existed in the posterior NBE (Byrne et al., 2022; Crowell et al., 2019; Peiro et al., 2022) which can be caused by the settings for errors in prior surface carbon fluxes, the errors in the atmospheric transport induced by different transport models and meteorological products used, and choices in the optimization techniques (Table S1 in Supporting Information S1) (Basu et al., 2018; Chevallier et al., 2010; Schuh et al., 2019). Hence, we conducted a simple model screening here. First, we calculated the total posterior NBE over the Amazonian rainforests for each inversion model in the period of 2015–2018. Second, taking the inverted total net carbon flux from Gatti et al. (2021) (denoted as “Gatti2021”) which was estimated based on 590 aircraft vertical profiling measurements of lower-tropospheric  $\text{CO}_2$  and CO concentrations at four sites in Amazonia as the reference, we calculated their correlation, bias, and variability in the same period to derive the KGE scores for each model (Equation 1). We can find that most of models show big KGE scores in LNLG and LNLGIS experiments, indicating that the posterior NBE in these models are consistent with the Gatti2021. Taking the mean flow benchmark ( $\text{KGE} = -0.41$ ) (Knoben et al., 2019) as the threshold, we screened out Baker ( $\text{KGE} = -7.58$  in LNLG and  $-6.67$  in LNLGIS) and CMS-Flux ( $\text{KGE} = -4.83$  in LNLG and  $-1.65$  in LNLGIS) models so as to make the multi-model ensemble (MME) to perform the following analyses. And the resulting MME shows the KGE of 0.48 in LNLG and 0.59 in LNLGIS, respectively.

#### 3.2. Anomalous Posterior NBE Over Amazonian Rainforests

The geographical distributions of calculated annual NBE anomalies over Amazonian rainforests related to the extreme 2015/16 El Niño are presented in Figure 2. The ensemble NBE anomalies in OCO-2 v10 MIP Prior were nearly neutral (Figure 2a), which suggested that the obvious posterior NBE anomalies in IS, LNLG, and LNLGIS experiments originated from the information of the in situ and satellite-observed atmospheric  $\text{CO}_2$  concentrations (Figures 2b–2d). In detail, the ensemble NBE anomalies constrained by in situ  $\text{CO}_2$  observations (IS experiment) showed moderate anomalous carbon release (positive values) with the slightly stronger magnitudes over the eastern Amazonia (Figure 2b). Compared to the limited stations of in situ  $\text{CO}_2$  observations (Figure 2b), the OCO-2 XCO<sub>2</sub> product largely increases the coverage of atmospheric  $\text{CO}_2$  observations over the tropical South America (Figure 2c). The ensemble posterior NBE anomalies in LNLG and LNLGIS had the similar spatial patterns, showing the much stronger carbon release over the eastern and northern parts of Amazonian rainforests (Figures 2c and 2d). More detailed spatial characteristics of posterior NBE anomalies for each model in LNLG and LNLGIS can be referred to in Figures S2 and S3 of Supporting Information S1.

More specifically, longitudinal changes of NBE anomalies showed that although large inter-model spread existed, the ensemble posterior NBE anomalies in LNLG and LNLGIS had significantly stronger carbon release





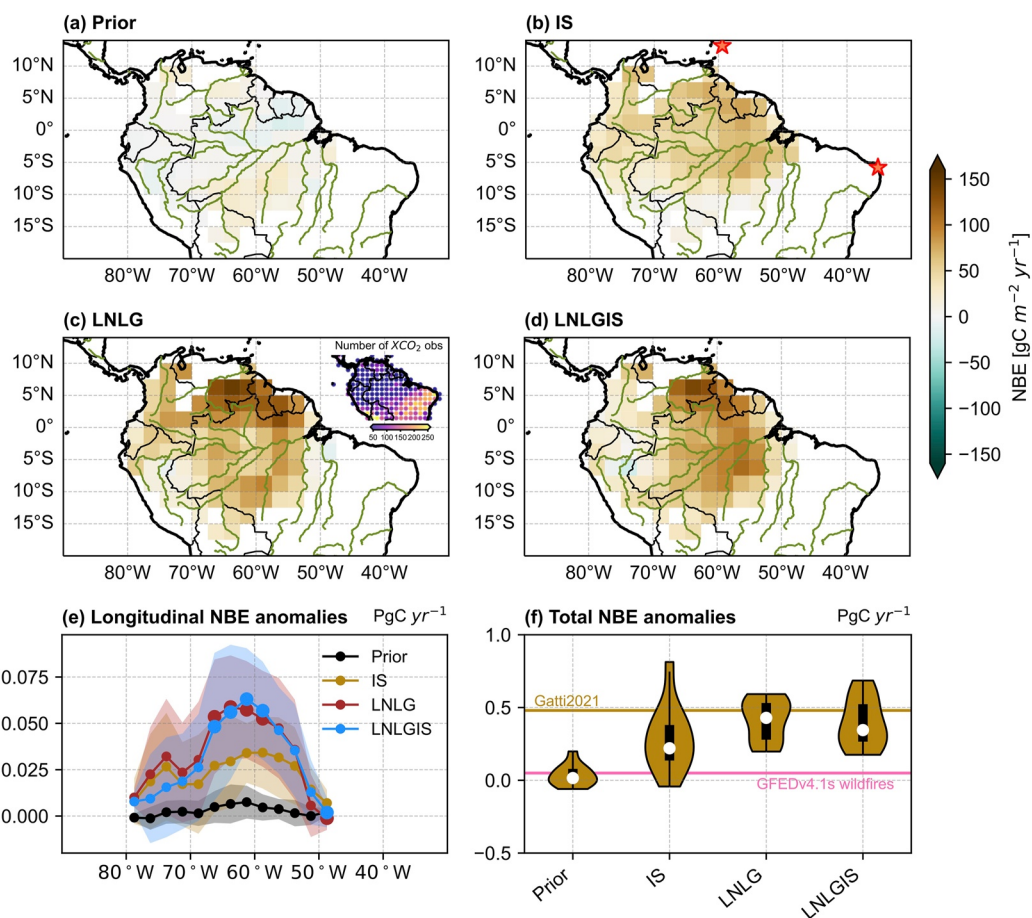
**Figure 1.** Kling-Gupta Efficiency (KGE) for each inversion model involved in the OCO-2 v10 modeling intercomparison project. Because there are no direct large-scale observations for the net biome exchange over Amazonian rainforests, we adopted results from Gatti et al. (2021) as the reference. The KGE was calculated for the period of 2015–2018 with the mean flow benchmark (KGE = −0.41) as the threshold.

(approximately double,  $>0.05 \text{ PgC yr}^{-1}$ ) from  $57.5^\circ\text{W}$  to  $67.5^\circ\text{W}$  than results in IS ( $p < 0.1$ ) (Figure 2e). The ensemble NBE anomalies over the entire Amazonian rainforests were  $0.03 \pm 0.07 \text{ PgC yr}^{-1}$  in Prior and  $0.29 \pm 0.23 \text{ PgC yr}^{-1}$  in IS. After assimilating OCO-2 XCO<sub>2</sub> land nadir and glint observations, the total ensemble NBE anomalies were  $0.43 \pm 0.14 \text{ PgC yr}^{-1}$  in LNLG and  $0.40 \pm 0.16 \text{ PgC yr}^{-1}$  in LNLGIS, showing their enhanced carbon release by approximately 48% and 38%, respectively, relative to the estimated magnitude in IS. The magnitudes of these ensemble NBE anomalies in LNLG and LNLGIS were closer to that of Gatti2021 with its value of  $0.48 \text{ PgC yr}^{-1}$  (Figure 1f). Hence, we will focus on the results in LNLG and LNLGIS in the following text. The NBE anomaly composed of NEE and  $F_{\text{fire}}$  anomalies, in which  $F_{\text{fire}}$  anomaly was estimated at  $0.05 \text{ PgC yr}^{-1}$  based on GFEDv4.1s product (Figure 1f), suggesting that the dominant contributor to the NBE anomaly is NEE. Additionally, the inter-model spread in the total NBE anomalies in IS was larger than that in LNLG, indicating that larger discrepancies existed in assimilating in situ observations in these atmospheric inversion models.

### 3.3. Anomalous Pattern-Related Climate Drivers

Interannual NBE anomalies were predominantly controlled by temperature, soil moisture, and VPD, as suggested by previous studies (He et al., 2022; Humphrey et al., 2018; Jung et al., 2017; J. Wang et al., 2016; W. Wang et al., 2013; Zeng et al., 2005). Regression analysis revealed that 1K increase of ONI in the preceding December can increase the annual temperature by  $0.34 \text{ K}$  ( $R^2 = 0.35$ ,  $p < 0.05$ ), enhance VPD by  $0.02 \text{ kPa}$  ( $R^2 = 0.41$ ,  $p < 0.05$ ), and reduce TWS by  $0.16 \text{ TtH}_2\text{O}$  ( $R^2 = 0.84$ ,  $p < 0.05$ ) over the entire Amazonian rainforests (Figure 3a). Accordingly, the climate condition showed the higher temperature and VPD and drier soil in 2015/16, whereas it had the lower temperature and VPD and wetter soil in 2017/18 (Figure 3a). Relative to the averages in 2017/18, higher temperature occurred over the entire Amazonian rainforests (Figure 3b), which can potentially inhibit the vegetation photosynthesis and enhance soil respiration (Crowther et al., 2016; Zeng et al., 2005). The soil and atmospheric dryness mainly occurred over the northeastern Amazonia albeit with some differences in their spatial patterns (Figures 3c and 3d), which both can reduce vegetation photosynthesis (Lopez et al., 2021; Stocker et al., 2019; Werner et al., 2021; Yuan et al., 2019). Therefore, these three climate drivers simultaneously contributed to the positive NBE anomalies (Figures 2c and 2d).

In space, the stronger positive ensemble NBE anomalies over the eastern and northern parts of Amazonian rainforests (Figures 2c and 2d) were visually more consistent with the pattern of TWS anomaly (Figure 3c). Quantitatively, we find that the gridded NBE anomalies were significantly negatively correlated with TWS with the spatial correlation coefficients of  $-0.51$  (confidence interval, CI from  $-0.65$  to  $-0.33$ ,  $p < 0.05$ ) in LNLG and  $-0.64$  (CI from  $-0.74$  to  $-0.5$ ,  $p < 0.05$ ) in LNLGIS, respectively. In contrast, they were significantly positively correlated with VPD with the coefficients of  $0.48$  (CI from  $0.31$  to  $0.62$ ,  $p < 0.05$ ) in LNLG and  $0.54$  (CI from  $0.38$  to  $0.67$ ,  $p < 0.05$ ) in LNLGIS, respectively (Figure 4a). Although the patterns of TWS and VPD anomalies show similarity ( $-0.70$  with the CI from  $-0.80$  to  $-0.56$ ,  $p < 0.05$ ) (Figures 3c and 3d), the higher coefficients



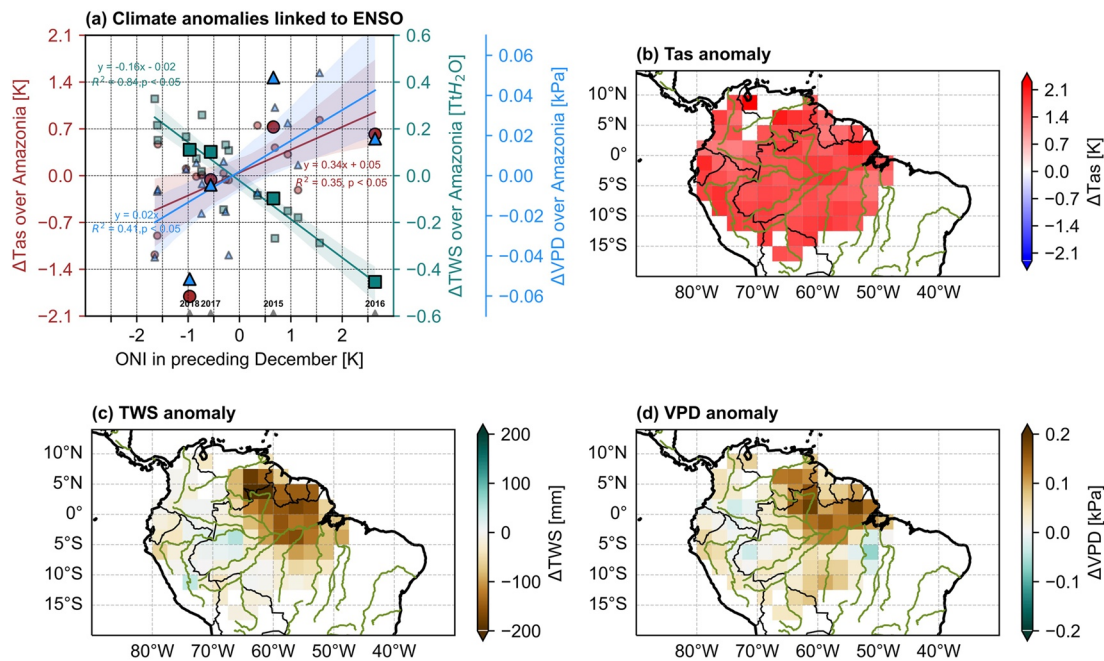
**Figure 2.** Geographical distributions of anomalous annual net biome exchange (NBE) induced by the 2015/16 El Niño over the Amazonian rainforests. Prior (a) and posterior ensemble NBE anomalies at  $2.5^\circ \times 2.5^\circ$  in inversion experiments of IS (b), LNLG (c), and LNLGIS (d) in the OCO-2 v10 modeling intercomparison project. The unit of NBE anomalies in (a–d) is  $\text{gC m}^{-2} \text{yr}^{-1}$ . The red stars in (b) and inserted subplot in (c) show the locations of in situ observations and number of OCO-2 LNLG observations during 2015–2018 in this domain, respectively. (e) Longitudinal total ensemble NBE anomalies in the unit of  $\text{PgC yr}^{-1}$ . The shaded areas represent the standard deviation ( $1-\sigma$ ) of multi-model inversion results in each experiment. The bigger dots in LNLG and LNLGIS represent that they are significantly different from those in IS with statistical significance at  $p < 0.1$  level estimated by the Wilcoxon signed-rank test (Wilcoxon, 1945). (f) Violin and boxplots of multi-model total NBE anomalies over entire Amazonia rainforests. The total NBE is in  $\text{PgC yr}^{-1}$ . The pink and darkgoldenrod lines represent anomalous carbon emissions induced by wildfires estimated by the GFEDv4.1s data set and anomalous NBE estimated by Gatti2021.

between NBE and TWS suggested the dominant role of TWS in shaping the NBE anomalous pattern related to the extreme 2015/16 El Niño.

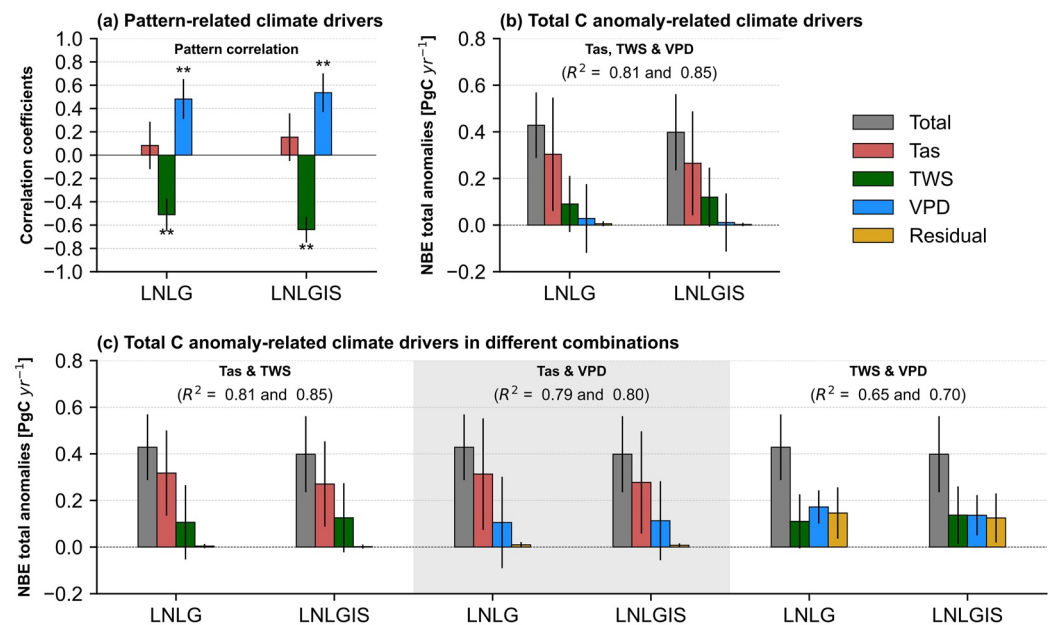
### 3.4. Total NBE Anomaly-Related Climate Drivers

In addition, we conducted the linear decomposition analysis (Equations 5 and 6) to understand which climate driver mostly contributed to the total NBE anomaly over the entire Amazonian rainforests (Figure 4b). We revealed that the total NBE anomaly of  $0.43 \pm 0.14 \text{ PgC yr}^{-1}$  in LNLG composed of  $0.30 \pm 0.24 \text{ PgC yr}^{-1}$  induced by temperature,  $0.10 \pm 0.12 \text{ PgC yr}^{-1}$  induced by TWS, and  $0.03 \pm 0.15 \text{ PgC yr}^{-1}$  induced by VPD, respectively, with the approximately 70% contribution from temperature (Figure 4b). Similarly, the total NBE anomaly of  $0.40 \pm 0.16 \text{ PgC yr}^{-1}$  in LNLGIS was predominantly contributed to by temperature-induced anomaly of  $0.27 \pm 0.22 \text{ PgC yr}^{-1}$  with the approximately 68% contribution.

Furthermore, we randomly combined two of these three climate drivers (three different combinations) to perform the same linear decomposition for NBE anomaly (Figure 4c). When we choose temperature and TWS (or VPD) as



**Figure 3.** Anomalous climate drivers over the Amazonian rainforests. (a) Relationship between detrended annual climate anomalies, including surface air temperature (Tas, red), terrestrial water storage (TWS, green), and vapor pressure deficit (VPD, blue), and Oceanic Niño Index in the preceding December from 2000 to 2018. The bigger markers represent the values in 2015, 2016, 2017, and 2018, which are denoted at x-axis. Anomalous climate patterns of (b) Tas, (c) TWS, and (d) VPD linked to the 2015/16 El Niño were consistently calculated by their differences between annual mean during 2015–2016 and that during 2017–2018.



**Figure 4.** Associations between ensemble annual net biome exchange (NBE) anomalies and climate drivers over Amazonian rainforests. (a) Spatial correlation coefficients between ensemble annual NBE anomalies and individual climate factor. The error bars represent 95% confidence intervals derived by 10,000 bootstrap estimates. The symbol of \*\* denotes the statistical significance at  $p < 0.05$  level. (b and c) Linearly decomposed contributions of individual climate factors to total NBE anomalies induced by the 2015/16 El Niño over entire Amazonian rainforests, based on different combinations. The error bars represent the 1- $\sigma$  of multi-model inversion results.



the two regressors, the regression models show the comparable performance as the linear decomposition in Equations 5 and 6, with the  $R^2$  in the range of 0.79–0.85 (Figure 4c). Importantly, the decomposed NBE anomaly induced by temperature consistently made the larger contribution to the total NBE anomaly, accounting for approximately 73%–74% in LNLG and 68%–70% in LNLGIS, respectively. And TWS and VPD here accounted for nearly the same fraction of contribution (approximately 25% in LNLG and 30% in LNLGIS, respectively), which were caused by their similarity between TWS and VPD anomalous patterns (Figures 3c and 3d). In contrast, when we selected TWS and VPD to decompose the NBE anomaly, the magnitude of  $R^2$  obviously decreased and the residual term showed large values. It laterally indicated the important contribution of temperature to the total NBE anomalies.

#### 4. Discussion

We here find that the anomalous spatial pattern induced by the extreme 2015/16 El Niño was predominantly determined by soil dryness, while the total positive NBE anomaly was dominated by higher temperature. It is worth mentioning that uncertainty may exist when we derived the individual contributions of different climate drivers through the linear decomposition upon the spatial NBE anomalies. For instance, (a) we potentially assumed the homogeneous climate sensitivities of Amazonian rainforests. However, although we focus on the consistent broadleaf forest on the basis of MODIS landcover, the uneven forest composition at the landscape level (topographic highs and lows) and along the precipitation gradient (Fancourt et al., 2022; Wittmann et al., 2006) can to some extent make our assumption inadequate. (b) Different climate drivers are not independent (Figure 3). In order to assess the impact of their interactions on the linear decomposition, we added some interaction terms (Equation S1 in Supporting Information S1) to further decompose their individual contributions (Luo et al., 2021). Although we agree that it is not strictly a nonlinear model yet because the dependence on the individual drivers is still of first order and it does not fully represent the complex soil-plant-atmosphere interactions, the contributions from those interaction terms are small and the derived temperature contributions are approximately 74% in LNLG and 70% in LNLGIS (Figure S4 in Supporting Information S1), which are consistent with the results derived by Equations 5 and 6. (c) Climate products have uncertainties in anomalous pattern and strength (Figure 3 and Figure S5 in Supporting Information S1). To further test the robustness of the results, we conducted the same analysis using the temperature and derived VPD (Equation S2 in Supporting Information S1) from the Climatic Research Unit gridded Time Series (CRU TS) v.4.05 product (Harris et al., 2020), and found that the main conclusion remained the same (Figure S6 in Supporting Information S1). Therefore, we believe that the results here are credible albeit the uncertainties are inevitable.

So what causes the inconsistency in the dominant climate drivers for NBE anomalous pattern and total flux over the rainforests? Actually, we think that this difference greatly links to the spatial scales of anomalous temperature and soil water, as discussed by Jung et al. (2017). Although it does not turn out the compensatory water effect here, the area of higher temperature is much larger than that of soil dryness (Figures 3b and 3c). This difference in spatial scale leads to the fact that the total NBE anomaly was not controlled by soil water availability, but by temperature. Results here suggest that it is necessary to distinguish the climate drivers for anomalous pattern and total flux of carbon in order to comprehensively understand how the abiotic stresses influence the regional carbon cycle.

#### Conflict of Interest

The authors declare no conflicts of interest relevant to this study.

#### Data Availability Statement

The multi-model gridded posterior land-atmosphere carbon flux datasets in OCO-2 v10 MIP are available at [https://gml.noaa.gov/ccgg/OCO2\\_v10mip/index.php](https://gml.noaa.gov/ccgg/OCO2_v10mip/index.php). Land surface air temperature from CRU TS v. 4.05 is available at <https://crudata.uea.ac.uk/cru/data/hrg/>. GRACE-REC datasets can be accessed at <https://doi.org/10.6084/m9.figshare.7670849.v3>. The ERA5 hourly data on single levels are stored at <https://doi.org/10.24381/cds.adbb2d47>. MODIS land cover dataset of MCD12C1v006 is available at <https://doi.org/10.5067/MODIS/MCD12C1.006>. The ONI data are provided at [https://origin.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_v5.php](https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php).

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