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▶ To cite this version:

Malek Khadraoui, Ramzi Khiari, Latifa Bergaoui, Evelyne Mauret. Production of lignin-containing cellulose nanofibrils by the combination of different mechanical processes. Industrial Crops and Products, 2022, 183, pp.114991. 10.1016/j.indcrop.2022.114991. hal-04112299

HAL Id: hal-04112299 https://hal.science/hal-04112299v1

Submitted on 22 Jul 2024

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Version of Record: https://www.sciencedirect.com/science/article/pii/S0926669022004745 Manuscript_37a8bea9bc17be5e0993edb0eb74dc1b

1 Production of lignin-containing cellulose nanofibrils by the combination of different

2 mechanical processes

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13 Abstract

Due to its interesting properties, attention has been oriented to the production of cellulose 14 15 nanofibrils. However, the cellulose isolation requires the combination of several steps which are generally very energy consuming. This is one of the main limitations for the use and 16 industrialisation of such materials. Trying to participate in the resolution of this probleme, 17 lignin-containing cellulose nanofibrils are produced for the first time from Posidonia 18 oceanica waste. Fibres are alkali-extracted by a conventional soda cooking in autoclave or by 19 alkaline steam explosion. As conventional refining of unbleached fibres is difficult, a 20 comparative study is carried out to test alternative processes for fibre fibrillation (twin-screw 21 extrusion (1 pass) or steam explosion (during 3 times)). Actually, these two processes are 22 known to be environmentally friendly and low energy consuming. For microfibrillation, an 23 ultra-fine friction grinder is used to prepare lignin-containing cellulose nanofibrils. The 24 obtained gels are characterized by several properties such as morphological analysis (Morfi, 25

optical microscopy, scanning electron microscopy, transmission electron microscopy) and turbidity measurements. Papers and nanopapers are prepared by filtration to investigate the mechanical properties. All these analysis methods allow discussing the efficiency of the different processcombinations. It is concluded that steam explosion could be an alternative method for *Posidona* fibre extraction, while for refining the process conditions should be optimized. Finally, it is found that LCNF produced from extruded pulps (whatever the fibre extraction method) exhibit promising properties comparing with other unbleached CNF.

Keywords: *Posidonia oceanica*, steam explosion, ultra-fine friction grinder, twin-screw
extrusion.

35 ABBREVIATIONS

- U-POB: Unbleached (U) raw fibres of *Posidonia* balls (POB);
- U-POB-A: Extracted fibres by autoclave (A) from *Posidonia* balls;
- U-POB-SE: Extracted fibres by steam explosion (SE) from *Posidonia* balls;
- U-POB-A-TSE: Extracted (autoclave) and extruded (TSE) fibres from *Posidonia* balls;
- 40 U-POB-SE-TSE: Extracted (steam explosion) and extruded fibres from *Posidonia* balls;
- U-POB-A-SE: Extracted (autoclave) and steam exploded fibres from *Posidonia* balls;
- U-POB-SE-SE: Extracted (steam explosion) and steam exploded fibres from *Posidonia*balls;
- U-POB-A-TSE-M: Extracted (autoclave), extruded and grinded (M) fibres from
 Posidonia balls;
- U-POB-SE-TSE-M: Extracted (steam explosion), extruded and grinded fibres from
 Posidonia balls;
- 48 U-POB-A-SE-M: Extracted (autoclave), steam exploded and grinded fibres from
 49 *Posidonia* balls;

U-POB-SE-SE-M: Extracted (stea explosion), steam exploded and grinded fibres from
 Posidonia balls.

52 1. Introduction

Nowadays, bio-based products are increasingly used in order to replace petroleum-based 53 54 materials. That is why the interest in cellulose and nanocellulose keeps growing. The properties of cellulosic nanomaterials mainly depend on their specific surface area, aspect 55 ratio and chemical composition (Wagner et al., 2020). By using different approaches, 56 nanocellulose can be produced from various raw materials. Generally speaking, cellulose 57 58 nanocrystals (CNC) are produced by acid hydrolysis while intense mechanical disintegration leads to cellulose nanofibrils (CNF), also called cellulose microfibrils (CMF). Nevertheless, 59 in recent years, efforts have been directed to develop lignin-containing cellulose nanofibrils 60 (LCNF). Different feedstocks were used such as empty palm fruit bunches (Ago et al., 2016), 61 wheat straw (Espinosa et al., 2016; Sánchez et al., 2016), triticale straw (Tarrés et al., 2017), 62 63 bamboo chips (Lu et al., 2018) and sunflower stalks (Ewulonu et al., 2019). These nanomaterials, with different lignin contents, can be used in several areas: additives in 64 papermaking (Delgado-Aguilar et al., 2016); composites (Horseman et al., 2017); 3D printing 65 66 (Chinga-Carrasco et al., 2018) or reinforcing fillers in matrices (Ago et al., 2016; Ferrer et al., 2016; Visanko et al., 2017). Solala et al. (2020) suggested to use the term "lignin-containing 67 cellulose nanofibrils" to refer to all cellulose nanofibrils with a width of less than 100 nm and 68 a lignin content above 1%. One of the pioneering research studies (Wang et al., 2012) used an 69 acid hydrolysis treatment followed by homogenization (high pressure of 60 MPa, 20 passes) 70 71 to produce LCNF from unbleached kraft wood pulp. These materials, with 5-10% of lignin, exhibited hydrophobic properties, and thus good compatability to polymer matrix for 72 composite applications. 73

As part of a review on LCNF, Solala et al. (2020) enlightened that lignin can have two 74 75 contradictory effects on pulp microfibrillation. Some of the researchers reported that lignin hinders microfibrillation, for instance in the case of mechanical pulps (Hanhikoski et al., 76 2016; Lahtinen et al., 2014). Having a complex, cross-linked structure, lignin appears to 77 inhibit fibre swelling and microfibrillation by locking individual microfibrils. In other works, 78 it was observed that energy consumption can be reduced by the presence of low amounts of 79 lignin in unbleached chemical pulps (Spence et al., 2010). Furthermore, Rojo et al. (2015) and 80 Solala et al. (2012) reported the formation of finer LCNF at comparable energy consumption 81 with the presence of lignin (content between 2% and 14%). This phenomenon was attributed 82 83 to the amourphous nature of lignin, its capacity to stabilize radicals and the higher electrical charge density of unbleached pulps (Ferrer et al., 2012). 84

Based on literature, LCNF thus present interesting properties in the field of bioproduct 85 86 engineeering, due to their lower cost compared to bleached CNF. Indeed, lignin-containing cellulose nanofibrils are produced with minimal chemical pretreatments by eliminating the 87 bleaching step (Osong et al., 2013) and simplifying the manufacture process. Moreover, 88 compared to lignin-free CNF, LCNF nanopapers have much higher hydrophobicity and 89 thermal stability. Previous studies reported that lignin may help improving the CNF 90 91 nanopapers moisture barrier properties due to lignin hydrophobicity. The hydrophobic character of lignin makes it possible to produce LCNF with good compatibility with 92 hydrophobic matrices leading to a more pronounced reinforcing effect. In addition, as 93 reported by Rojo et al. (2015), nanopapers prepared from LCNF, with high residual lignin 94 content, have smooth surface, with a reduced number and size of micropores leading to a less 95 porous and more compact structure. This may affect their oxygen barrier properties. 96 Therefore, when comparing with bleached CNF, lignin-containing cellulose nanofibrils can be 97

98 interesting bio-based alternatives to oil-derived synthetic polymer materials (Solala et al.,99 2020).

Mainly, two routes are carried out for the production of LCNF. A combination of chemical pretreatment (e.g. TEMPO-oxidation) and mechanical processes (Delgado-Aguilar et al., 2016; Ehman et al., 2020; Herrera et al., 2018; Wen et al., 2019) or a combination of mechanical processes (Rojo et al., 2015; Yousefi et al., 2018).

104 Adapting these strategies to our work, *Posidonia oceanica* balls were used as raw materials to produce cellulose nanofibrils with high lignin content. Posidonia waste, accumulated on the 105 tunisian coasts of the Mediterranean sea, is an abundant and inexpensive raw material and its 106 107 potential is largely under-exploited for value-added products and applications. Currently, it is mainly burned for energy production after cleaning the beaches for tourism reasons. Few 108 articles have studied the valorization of this specific biomass through the production of 109 110 bleached cellulosic nanomaterials (Benito-González et al., 2019; Bettaieb et al., 2015; Fortunati et al., 2015, Khadraoui et al., 2022). Our objective is to use unbleached Posidonia 111 fibres, pretreated mechanically (by twin-screw extrusion (TSE) or steam explosion (SE)), 112 before ultrafine grinding for microfibrillation. 113

Twin-screw extrusion and steam explosion are proposed as alternative processes for replacing
refining (fibrillation step), which was not feasible for unbleached pulp of *Posidonia*.

Actually, TSE is a thermomechanical process based on two spinning screws rotating in a temperature-controlled barrel. Kneading blocks, reverse and conveying screws are the main elements of the extruder. When processed, the material is transported and submitted to shear forces between the screws and the barrel. Previous studies have reported that the design of screw elements is a key paramater to control the effects of extrusion (Duque et al., 2017). When applied to lignocellulosic biomass, TSE can have effects similar to conventional pulp refining. Chen et al. (2014) have thus shown that extrusion mainly leads to a reduction in

particle size and an increase of specific surface area of rice straw. Ämmälä et al. (2019) also 123 124 reported the effectiveness of extrusion in reducing particle size, leading to the separation of fibres bundles, fibre and fines. It was suggested that kneading elements were behind the 125 extensive fibre shortening, concluding that twin-screw extruder can be used for extracting 126 fibres from wood chips (Hietala et al., 2011). Leu and Zhu (2013) classified the mechanical 127 effects on biomass into two classes: refining I and refining II. Refining I has moderate effects, 128 leading to an increase in the outer surface of the elements by cutting, breaking and 129 disintegrating the fibre bundles and by slightly fibrillating the fibre surface. Refining II is 130 more intense and leads to cell wall breaking. At this stage, extrusion may result in the internal 131 132 fibrillation of the vegetal fibres and also reduce their size to the micro- or nanoscale by separating the microfibrils in the cell wall. These phenomena are particularly influenced by 133 the configuration of the screws, as high stresses/shear forces are required for a such intense 134 treatment. In this context, Rol et al. (2017) reported the production of cellulose nanofibrils at 135 high solid contents (20%) by combining enzymatic pretreatment or TEMPO-oxidation and 136 twin-screw extrusion. 137

Regarding steam explosion the main advantage is the low energy consumption, due to short 138 processing time (Sui and Chen, 2014) and it can be considered as green process when it was 139 used without toxic reagent. This thermo-mechano-chemical process allows the destructuration 140 of lignocellulosic material by the combination of heat from water steam, polysacharride 141 hydrolysis due to the formation of organic acids and shear resulting from the vaporisation of 142 water in the fibres during depressurization (Overend et al., 1987). This process comprises two 143 stages: steam cracking and explosive decompression. Steam cracking consists in the 144 penetration of water into the material in the form of steam under high pressure and at a high 145 temperature (Avella and Scoditti, 1998). This action induces the hydrolysis of acetyl groups. 146 Thereafter, there will be a release of organic acids (acetic and uronic) leading to the catalysis 147

of the depolymerization of hemicellulosic fractions (Sun et al., 2005). It has been shown that 148 149 the application of severe conditions leads to hydrolysis of the cellulosic fraction and degradation of the xylans and glucans formed into furfural and 5-hydroxymethylfurfural (Sun 150 et al., 2005). Morover, several chemicals reagents can also be used at this stage to modify the 151 pH and/or to facilitate the biomass processing, etc. Generally, these treatments, when carried 152 out in alkaline or organic solvents, lead to an increase in the solubility of lignin while keeping 153 154 cellulose (solid residue) with reduced degree of polymerization (DP). The literature has shown that the efficiency of the steam explosion is intrinsically related not only to residence 155 time and pressure (severity factor) but also to the explosion power density (EPD) which is 156 157 related to the deflation time during explosion process (Yu et al., 2012). During the second stage called "explosive decompression", the wet saturated lignocellulosic material "bursts" as 158 a result of the sudden release of pressure in the reactor. It was shown that the pressure 159 160 difference between the inside of the reactor and atmospheric pressure is proportional to the intensity of the shear forces applied to the biomass (Sun et al., 2005). At this phase, re-161 evaporation of a part of the water condensed in the biomass takes place and thermal energy is 162 converted into mechanical energy (Yu et al., 2012). Shear forces were induced and applied to 163 the material leading to the breakage of cell wall internal structure (Holtzapple et al., 1989). 164 165 For instance, exploded wood has greater porosity and higher specific surface area (Holtzapple et al., 1989; Qin and Chen, 2015). The study of Tanahashi et al. (1983) proved the appearance 166 of cellulosic fibre substructures, i.e. formation of microfibrils. Increase in fine content 167 coupled with reduction in length of the fibres also occurs for Luo et al. (2018). Some 168 observed fractures are due to degradation of cellulose during the steam explosion. Vignon et 169 al. (1995) treated hemp fibres at 240°C. Apart from some intact fibres, disintegration of the 170 samples into small particles was reported. The scanning electron micrographs show that the 171 higher the temperature, the more the fibres appear separated. Also, Sauvageon et al. (2018) 172

showed that by increasing the severity conditions, a significant decrease of hemp fibre length and a high content in short fibres (< 3 mm) were observed. Boonterm et al. (2016) studied the effect of increasing pressure and concluded that increasing the pressure from 13 to 15 bar significantly reduced the rice straw fibre diameters for a higher aspect ratio. At 17 bar, the aspect ratio decreased due to significant damage of the fibres. Deepa et al. (2011) and Diop et al. (2015) observed similar tendencies.

179 The history of the use of these two processes (TSE and SE) to treat lignocellulosic material, either conventional (wood) or alternative (non-wood and waste materials), lead us to propose 180 their application as pretreatments in the CNF production chain for fibre processing, the 181 objective being to facilitate their further microfibrillation. In fact, the refining of unbleached 182 Posidonia was not feasible. This behaviour was observed before in a previous work in our 183 team (Khiari, 2010) and it was not explained. Probably extracted unbleached fibres present 184 185 lower fibre charge and lower conformability than bleached one. PFI mill seems to be a harsh process for unbleached Posidonia. To resolve this probleme, we suggested to use an 186 alternative process, twin-screw extrusion and steam explosion. A comparative study is 187 realized to compare the efficiency of these two processes in the aim of refining (fibrillation) 188 of unbleached Posidonia pulps. 189

This work first focuses on pulping of unbleached *Posidonia* either by alkaline steam explosion or conventional alkaline cooking in autoclave. Then, it has been proposed to replace the conventional refining operation by twin-screw extrusion or steam explosion (in specific conditions). The obtained alkali-treated pulps are thus pretreated to reduce fibre size and promote fibrillation, before the main mechanical process which is grinding. Finally, to investigate the impact of the different routes, morphological properties of suspensions and mechanical properties of prepared papers and nanopapers (elastic modulus) are discussed.

197 **2. Material and methods**

198 2.1 Fibre extraction: Alkali-treatment by autoclave or steam explosion

Posidonia oceanica balls (POB), a marine biomass, were collected in Monastir, on the Tunisian Mediterranean coast. They were washed, air-dried and ground by a ball mill. For pulping, chemicals were used as received from suppliers: sodium hydroxide (NaOH, \geq 97%, pellets, Sigma-Aldrich), anthraquinone (C₁₄H₈O₂, \geq 97%, powder, Sigma-Aldrich).

Electrically-heated rotating autoclaves, located in LGP2, Grenoble (France), are used for fibre extraction. In each reactor, 60 g of ground seagrass (length around 1.7 mm) are disersed in water with 20% of NaOH (w/w, based on dry material, liquor to solid ratio fixed at 10) and 0.1% (w/w, based on dry material) of anthraquinone. Cooking is performed at 160°C for 120 minutes and the reaction is stopped by sprinkling cold water on reactors and degassing. To recover the extracted fibres (U-POB-A), the resulting suspension is washed and filtered with a nylon sieve of 40 µm mesh size (Khiari et al., 2010, 2011).

The apparatus, (designed by LERMaB and ADF) is composed of three main parts: a steam 210 generator, a reactor, and a discharge tank. 150 g of dry ground Posidonia are dispresed in 211 water with 10% NaOH with a liquid/solid ratio equal to 10 (w/w, based on dry material). The 212 reactor is pre-heated to the target operating temperature/pressure: 210°C/18 bar. Then, the 213 214 soaked biomass is loaded into the reactor (without filtration) and heated to the set temperature by steam injection. After a residence time of 6 minutes, the pressure is suddenly released, the 215 seagrass is then ejected from the reactor and recovered in the discharge tank. To recover the 216 extracted fibres (U-POB-SE), the resulting suspension is washed and filtered with a nylon 217 sieve of 40 µm mesh size. 218

219 2.2 Mechanical pretreatments: twin-screw extrusion and steam explosion

220 The fibres extracted by alkaline pulping in autoclave (U-POB-A) or by alkaline steam 221 explosion (U-POB-SE) are pre-treated either by twin-screw extrusion (speed: 1000 rpm; 1 pass) or steam explosion again (temperature/pressure: 200°C/14.5 bar; residence time: 2
 minutes performed 3 times). TSE and SE are performed at around 20% (w/w) consistency.

Twin-screw extrusion: Twin-screw extrusion is carried out in a Thermo Scientific 224 HAAKE Rheomex OS PTW 16 + HAAKE PolyLab OS RheoDrive 7. This device is 225 equipped with 640 mm long and 16 mm diameter screw. The screw profile was 226 previously optimized by Rol et al. (2020), but for a different purpose i.e. CNF 227 production at high solid content. It comprises 6 kneading zones with reverse rotating 228 elements. The pulp is fed by hand by maintaining a constant flow rate (average dry mass 229 flow of 250 g/h). The screw speed and temperature are maintained at 1000 rpm and 10°C 230 231 (by a water-cooling system), respectively. The pulp is passed only once.

Steam explosion: Steam explosion is also used as a pretreatment. The objective of these
 trials is to study the impact of depressurization and to assess if it induces a sufficient
 breakage of the fibre cell wall to promote the subsequent step, i.e. grinding. For this
 reason, pulps at 10% (w/w) are treated in water for a shorter residence time in the reactor
 (2 min) but at higher pressure (200°C/14.5 bar). As previously mentioned, ejected fibres
 are recovered in the discharge tank and filtered using a nylon sieve of 40 μm mesh size.
 This treatment is repeated 3 times.

239 **2.3** LCNF production by ultrafine grinding

LCNF are produced by using an ultra-fine friction grinder, refered as M, (model MKZA6-2, disc model MKG-C 80, Masuko Sangyo Co., Ltd., Japan). Extracted and pre-treated (TSE ou SE) lignocellulosic fibres are microfibrillated at a concentration of 2%, with a disc rotation velocity between 950 and 1500 rpm. Grinding was first carried out in contact mode (Gap 0 for 5 passes). Then, the gap between the disks is progressively decreased (Gap -5 for 5 passes and -10 for 15 passes) for a total number of 25 passes. To gain a better understanding of the effect of grinding, samples are taken after every 5 passes (called cycle or zone). Specific energy
consumption (kWh/t) is calculated for each cycle as following:

248 Energy consumption of grinding
$$(kWh/t) = \frac{(P_{tot} - P_{tot,0})*t_i}{m_i}$$
 Eq.1

where P_{tot} is the average power consumption (*kW*), $P_{tot,0}$ the no-load power (the power consumption of the empty grinder *kW*), t_i the grinding time (*h*) and m_i the dry mass of fibres (*t*: tons).

252 Figure 1 illustrates the different routes studied in this work.



253

254

Figure 1. Schematic diagram of the proposed experiments.

255 2.4 Chemical composition of pulps

256 The method adopted for the determination of lignin content is the Klason lignin extraction.

257 Prior to the the hyrolysis, the dry biomass is ground and extracted in a toluene/ethanol

mixture with a volume ratio of 2. The extractive free biomass (0.175 g) is treated with 1.5 ml of 72% sulfuric acid at 30°C for one hour. The samples, diluted with 42 mL of deionized water, are heated in the autoclave at 121°C for two hours. After cooling and filtration, the obtained solid fraction is dried (at 105°C for 24 hours) and weighed to calculate Klason lignin content. This mesurement is realized according to the TAPPI standard T-22 om-88 method. The monomer sugar content is determined by the analysis of the filtrate diluted using an ionexchange chromatography (ICS-3000 Dionex).

265 **2.5 Production and characterization of papers and nanopapers**

In order to prepare papers and nanopapers, 2 g (of the dry matter) are dispersed and diluted 266 with deionized water to 0.5% (w/w) and stirred for 30 minutes under magnetic stirring. Then, 267 the suspension is filtered using a Rapid Köthen apparatus with the handsheet former equipped 268 with a nylon sieve of 1 µm mesh size, under vacuum. The resulting wet webs are dried 269 between two nylon sieves under vacuum at 45°C for 3 hours. The papers and nanopapers are 270 produced after each grinding cycle (5 passes) and stored in a conditioned room at 23°C and 271 50% RH for 48 hours before any characterization. Low drying temperatures are selected to 272 avoid the appearance of cracks (above 45°C) which damaged nanopapers and made it 273 274 impossible to characterize them. The causes of this problem, which is not systematically observed, are not elucidated but it is certainly related to the presence of lignin. 275

Thickness is measured by a Lhomargy micrometer at different locations on the paper or nanopaper, and an average value is used for further calculation (m). Young's modulus of the produced materials is measured according to the NF Q03-004 standard by using a vertical extensometer (Instron 5965), with a distance between jaws of 10 cm. The width of the sample is equal to 15 mm and the tensile tests are performed at 10 mm/min. For each specimen, at least three measurements are made.

12

282 **2.6** X-ray diffraction (XRD)

Papers and nanopapers samples are deposited on a zero-bottom Si substrate. X-ray diffraction (XRD) is performed by a diffractometer (X'Pert Pro MPD, PANalytical, The Netherlands) with a Bragg-Brentano geometry and a copper anode (K $\alpha \lambda = 1.5419$ Å). The 2 θ angle was between 6° and 60° with a range of 0.065°. The crystallinity index is calculated by the Segal method, according to the following equation (Segal et al., 1959):

288
$$\operatorname{CrI}(\%) = \frac{I_{002} - I_{am}}{I_{002}} \times 100$$
 Eq.2

289 Where I_{002} is the peak intensity at $2\theta = 22^{\circ}$ and I_{am} is the peak intensity at $2\theta = 16^{\circ}$.

290 2.7 Characterization of fibres and LCNF from suspensions and gels

Scanning Electron Microscopy (SEM): SEM observations allow to witness the
 morphological changes after the different treatments. LCNF gels are diluted to 0.1%
 (w/w), dried under vacuum on a carbon adhesive and coated with a layer of Au/Pd. The
 analyses are performed on a Quanta 200 microscope (FEI, USA) in ETD mode, with an
 acceleration voltage of 10.0 kV. For each sample, at least 10 images are recorded, with
 magnifications between 100 and 6000.

Transmission electron microscopy (TEM): Transmission electron microscopy (TEM) is
 performed on suspensions sampled in the supernatant of the LCNF gels diluated at
 around 0.1wt%, after 24 hours of decantation to remove micrometic fragments. TEM
 grids glow-discharged carbon-coated are used as support, on which droplets of the
 sample are deposited. Afterwards, uranyl acetate is used to negatively stain the sample.
 After drying, the samples are observed by a JEOL JEM-2100-Plus microscope operating
 at 200 kV, equipped with a Gatan Rio 16 digital camera.

Morphological properties: NeoMorFi is a tool for characterizing the morphological
 properties of fibres and fine elements suspended in water. The first device has been

developed by LGP2 in partnership with the technical center of paper CTP and Techpap 306 307 in France (Tourtollet et al., 2003). This analysis is based on the image processing of a very diluted suspension. To this purpose, 40 mg of dry matter are dispersed in 1 L of 308 water, and poured in the equipment. After a second dilution, the suspension flows 309 between two transparent plates, which allows visualizing the elements and their capture 310 by a high resolution camera. These images are analyzed by an image processing 311 software, leading to different parameters such as the fibre length and width, the number 312 of fibres and fine elements, etc. The selected method defines fine elements as elements 313 with length below 80 µm with a fixed analysis time of 5 min. 314

Gel viscosity: Apparent viscosity of the gels at 2% (w/w) is measured with a DV-E
viscometer (Brookfield, USA) using a disc spindle (sp-63) and a rotation speed of 50
min⁻¹.

318 **2.8 Quality index**

The quality index was developed by Desmaisons et al. (2017) to evaluate the quality of cellulose nanofibrils by including properties at different scales (macro, micro and nanometric). The calculation of this index is based on four tests applied either on the nanocellulosic suspension or the nanopapers.

323
$$QI^* = 0.3 x_1 - 0.03 x_2 - 0.072 x_3^2 + 2.54 x_3 - 5.34 Ln(x_4) + 58.62 Eq.3$$

where x_1 is the nanosized fraction (%); x_2 the turbidity (NTU); x_3 the Young's modulus (GPa) and x_4 the macro-size (μ m²) measured from optical microscopy images.

Nanosized fraction is assessed according to a protocol adapted from Naderi et al. (2015). It allows determining the amount of nanoscale particles in the suspension using a gravimetric method. LCNF gels are previously diluted to 0.02% (w/w), to be below the percolation threshold concentration, and then centrifuged at 2500 rpm (1000 g) for 15 minutes to remove the coarse components. The concentrations of the supernatant before and after centrifugation

are measured and the nanoscale fraction of the suspension is calculated using the following 331 332 equation:

NF(%) =
$$\frac{C_{ac}}{C_{bc}} * 100$$
 Eq.4

where Cac and Cbc correspond to the concentration (w/w) after and before centrifugation, 334 respectively.

335

Turbidity of 0.1% (w/w) LCNF suspensions is measured after a dispersion step using Ultra-336 Turrax for one minute. A portable turbidimeter (AL 250 T-IT), with a range between 0.01 and 337 1100 NTU, is used. Turbidity depends on the size, number and refractive index of the visible 338 339 suspended particles. Theoretically, the presence of nanoparticles in a suspension leads to a very low turbidity value. A minimum of 5 measurements are done and averages are 340 calculated. 341

In order to determine the average size of microparticles, containing-lignin cellulose 342 nanoofibrils suspensions, dispersed at 0.5% (w/w) with an Ultra-Turrax for 1 minute, are 343 observed using optical microscopy. An optical microscope (Carl Zeiss Axio Imager M1) 344 equipped with an AxioCam MRc 5 is used to take pictures at 5X magnification. The images 345 are converted to 8-byte and thresholded (Fijii software). The particles analysis is the total area 346 347 divided by the number of particles counted. At least, five pictures are taken and the most representative ones are used. 348

349 3. Results and discussion

3.1 Pulp characterization before mechanical pretreatment 350

Regarding fibre extraction, carried out in alkaline conditions, the conventional treatment (A), 351 performed in electrically-heated rotating autoclaves is compared to the steam explosion (SE). 352 Unbleached fibres of Posidonia oceanica balls (U-POB), extracted by the two different 353 processes (autoclave: U-POB-A and steam explosion: U-POB-SE), were analysed. SEM 354

images (Figure 2, panel A) show that the extracted fibres appear to be individualised, with little damage, a smooth surface and spiral shape. In our case, the imaging technique does not allow to see any differences between the two pulps.

Sample names	U-POB-A	U-POB-SE
Fibre length (µm)	272 ± 2	283 ± 2
Fibre width (µm)	19.0 ± 0.1	17.0 ± 0.5
Fine content (%)	$25,0 \pm 0.5$	$20,0 \pm 0.3$
Water retention value (%)	129 ± 1	101 ± 9

358

Table 1. Fibre properties after alkali-treatment.

359

Morphological analyses carried out by NeoMorfi (Table 1) show that the exploded pulp, U-360 361 POB-SE, has longer fibres compared to autoclave fibres, and contains more fine elements. The fibre width values are quite close (17 and 19 µm). Furthermore, U-POB-A presents a 362 higher water retention value (WRV) than U-POB-SE. This reflects the swelling capacity of 363 fibres. In fact, WRV depends on the number of sites that allow hydrogen bonding with water 364 and it is favoured by a low content in lignin and a high content in hemicelluloses and 365 potentially amplified by the presence of fine elements. Klason lignin contents reported in 366 Table 2, confirm a lower lignin content for autoclave fibres (U-POB-A) than exploded fibres 367 (U-POB-SE). For the two pulps (Posidonia fibres extracted in autoclave or by steam 368 explosion, in alkaline conditions), there is no significant difference in hemicellulose content 369 370 which is ranging between 8 and 10%.



Figure 2. SEM observations after fibre extraction (panel A), fibrillation process (panel B) and
 microfibrillation process (panel C).

To summarize, the steam exploded pulp is characterized by a slightly higher content in lignin, 374 a lower WRV, longer fibres and a lower amount of fine elements. These results reflect a less 375 intense treatment during the steam explosion compared to the autoclave pulping. This is due 376 to the lower percentage of soda (10% (w/w) for steam explosion vs. 20% (w/w) for autoclave) 377 378 and experimental conditions (temperature/pressure and time). The reaction yields are in line with these results (around 60% and 70% after autoclave and steam explosion treatment, 379 respectively). Finally, it should be mentioned that paper preparation from these two pulps was 380 hardly feasible. In case it is successful, it was not possible to characterize them by tensile test, 381 for instance, because of their weakness. Their refining in PFI mill was also quite impossible 382

due to a very poor pulp quality and a low strength of the wet mat. This particular behaviour of *Posidonia* fibres was already observed in a previous study (Khiari, 2010).

	Lignin content		Paper density	Paper Young's
Sample names	(%)	CrI (%)	(kg/ m ⁻³)	modulus (GPa)
U-POB-A	29	n.m	n.d	n.d
U-POB-A-TSE	26	42	587	1.5
U-POB-A-SE	24	41	377	0.4
U-POB-SE	34	n.m	328	0.1
U-POB-SE-TSE	32	40	458	0.6
U-POB-SE-SE	14	35	316	0.1

385	Fable 2. Lignin content, crystallinity index and mechanical properties of papers before and
386	after pretreatments.

387

388 3.2 Pulp characterization after pretreatments

To overcome the difficulties related to refining, extracted fibres (U-POB-A and U-POB-SE) were pre-treated using either twin-screw extrusion (1 pass) or steam explosion (3 times). Extrusion or steam explosion were thus applied instead of a conventional refining. Lignin content, morphological, physical and mechanical properties were then analysed after the pretreatments.

Figure 3 shows that, for U-POB-A-TSE, twin-screw extrusion interestingly reduced fibre length from 272 μ m to 148 μ m, as steam explosion did it but in a less extent (from 272 μ m to 220 μ m).





Figure 3. Morphological properties after pretreatments.

NeoMorFi revealed quite similar fine contents of the extruded pulps, varying between 74% 399 and 85% for U-POB-SE-TSE and U-POB-A-TSE, respectively. It is important to highlight 400 that the thrice-exploded pulp U-POB-SE-SE shows a very low fine content. This result, which 401 402 was not expected, is due to a poorly adapted filtration method after steam explosion (nylon sieve of 40 µm mesh size). Trials were carried out by using a nylon sieve of 1 µm mesh size 403 and a rate of lost fines of 10% was obtained. Unfortunately, filtration with a reduced mesh 404 405 size was time-consuming which did not allow its use. So, when the filtration step after steam explosion is done three times, a significant part of the fines are lost during the washing step. 406 This problem must be kept in mind as it will be affect the obtained results. The SEM images 407 (Figure 2, panel B) show that twin-screw extrusion induces large changes in the fibre 408 morphology and a partial detachment of the macrofibrils (for both kind of extracted fibers). 409 This phenomenon is less visible in the case of steam explosion applied as a fibrillation 410 process, resulting in a lower degree of refining visually. 411

412 Papers are prepared and characterized by determining their density, Young's modulus and 413 crystallinity index. Indeed, the density reflects the effect of the pretreatment. This property is 414 closely related to the external fibrillation and aspect ratio of the fibres, and their flexibility,

which depends on their hydration (internal fibrillation). Fine elements also play an important 415 416 role in clogging the network and making the paper denser (Afra et al., 2013). According to the values reported in Table 2, twin-screw extrusion combined with an autoclave pulping U-POB-417 A-TSE produces the densest paper with the highest Young's modulus. On the other hand, 418 thrice exploded pulp U-POB-SE-SE exhibits the lowest density and the lowest Young's 419 modulus (316 kg m⁻³ and 0.1 GPa). It is important to recall that the loss of fine elements 420 during filtration method may be the main reason. Whatever the considered pretreatment, it 421 appears that the obtained paper have low density and Young's modulus. This is consistent 422 with the poor strength of the paper before the pretreatments. Despite this observation, twin-423 424 screw extrusion as well as steam explosion, even if the effect is less pronounced for the latter, are able to improve the properties of the prepared papers. 425

Concerning the crystallinity index (see Table 2), it is close to 40% for U-POB-SE-TSE, U-POB-A-SE and U-POB-SE-TSE but it is lower for U-POB-SE-SE (around 35%). Indeed, the severe and successive applied steam explosions may degrade the cellulosic fibres. As expected, Klason lignin (see Table 2) was found to be lower for the three-time exploded pulp; even if the time residence was reduced, delignification still occurred in these conditions. As expected, the hemicellulose content remains unchanged after the different mechanical pretreatments.

433 **3.3 LCNF properties**

The obtained pulps, after extrusion or steam explosion, were ground under same conditions. The effect of the combined treatments on the quality of LCNF (viscosity and morphological properties of the gels, mechanical properties of the nanopapers and quality index) and on the energy consumed by the grinding process was then evaluated. To this purpose, the suspensions/gels and the obtained nanopapers were characterized at different stages during grinding.

441 *3.3.1. Morphology*

Figure 4.1 shows optical microscopy images of the gels after TSE or SE and grinding. Neither 442 the combination of SE, nor TSE with grinding totally eliminated residual fibres in the 443 obtained gels: in all cases, residual fibres were still present. Non-fibrillated fibre fragments 444 were also observed, indicating a relatively low degree of microfibrillation. However, these 445 446 processes have a strong impact on the fibre morphology. NeoMorFi analyzer, allowing a more quantitative analysis, show that fine content varies between 96 and 99% for all the samples. 447 As expected, after grinding, the number of residual fibres was slightly lower and their length 448 449 was reduced to be in the range between 113 and 120 µm. Comparing gels, fibre content was higher for U-POB-SE-SE-M reaching 40 millions, while for U-POB-A-TSE-M, fibre content 450 was the lowest to be 5 millions. This highlights the efficiency of the twin-screw extruder as a 451 452 pretreatment. It is an important to note that the low resolution of the optical microscope and NeoMorFi did not allow the detection of finer elements. So, even if same morphologies were 453 454 observed for all the ground samples it does not mean that microfibrillation is equivalent.

Figure 2 (panel C) shows SEM images of the produced LCNF after the ultra-fine friction 455 grinder. Thes images illustrate obivously the presence of partially individualised microfibril 456 457 bundles. It is important to mention, due to the resolution of the SEM, that the actual structure of the cellulose microfibrils bundles cannot be clearly revealed. U-POB-A-TSE-M, U-POB-458 A-SE-M and U-POB-SE-TSE-M present similar scanning electron micrographs. The 459 combination of mechanical processes leads to the production of a high proportion of non-460 nanofibrillated and/or macro/microfibres, as indicated by their low microfibrillation yield (see 461 Table 4). U-POB-SE-SE-M aspect is quite different, no fibril networks beign observed. 462 Generally, all suspensions with a fairly high residual lignin content contained more fibrous, 463 partially fibrillated structures and fibril bundles (Lahtinen et al., 2014; Yuan et al., 2021). 464

	Microfibrillar bundles (nm)	Microfibrils (nm)	Elementary fibrils (nm)
U-POB-A-TSE-M	230	38	9
U-POB-SE-TSE-M	348	39	8
U-POB-A-SE-M	354	40	9
U-POB-SE-SE-M	170	62	8

467

Transmission electron microscopy (TEM) images also show partially desctructered 468 microfibrillated bundles, with more individualized and finer microfibrils at their ends (Figure 469 4.2). In all samples, different units of the hierarchical structure of the fibres are present: 470 microfibrillar bundles with a width of a few hundred nanometers (from 170 to 350 nm), 471 microfibrils with a width of a few dozen nanometers (from 40 to 60 nm) and some 472 nanofibrils with a width of a few nanometers (around 9 nm), see Table 3. The size distribution 473 474 of the elements is thus large, indicating that microfibrillation is partial. However, the obtained bundles are very damaged. 475





477

Figure 4. Microscopic observations, (1) optical, (2) TEM.

478

480 *3.3.2. Viscosity*

During grindind, pulps passing through the gap between the stone discs is subjected to 481 compression and shear forces. It is believed that the shear forces become a dominant factor in 482 mechanical processing as the gap decreases. Grinding action promotes the generation of fines, 483 by peeling-off of the outer surface of the fibres (Kang and Paulapuro, 2006). In fact, the 484 specific energy measured by power monitoring during grinding increases with time and with 485 the change in the gap between the discs and the disc rotation speed (Figure 5.1). In this 486 487 context, Kang and Paulapuro (2006) reported that the specific energy of the ultra-fine grinding increases as the disc gap decreases, in the same line as the development of internal 488 fibrillation and external fibrillation. Moreover, the rheological properties of gels were 489 490 measured using a spindle based on paddle geometry. This method is accepted as a practical way to test a polydispersed viscous system. Actually, this method is particularly advantageous 491 for heterogeneous materials such as microfibrillated cellulose (Lahtinen et al., 2014). In 492 general, the increase in viscosity of a fibrous suspension during microfibrillation is due to the 493 formation of a solid network of entangled microfibres (Pääkkö et al., 2007). 494



Figure 5. Energy consumption during grinding (1) and Viscosity values (2). Zone 1: 5 passes at 0 (contact mode) between 950 and 1200 rpm, Zone 2: (1) + 5 passes at the gap -5 between 1200 and 1500 rpm, Zone 3: (2) + 5 passes at the gap -10 at 1500 rpm, Zone 4: (3) + 5 passes at the gap -10 at 1500 rpm.

The evolution of the suspension viscosity before and after ultra-fine grinding is shown in 500 501 Figure 5.2. It increases as a function of zones/cycles, grinding time, and grinding energy. U-POB-A-TSE-M (alkaline pulping in autoclave) reached the highest viscosity value (705 cP), 502 while the viscosity for U-POB-SE-TSE-M (alkaline pulping by steam explosion) present a 503 504 value of 590 cP. This proves, once again, the effectiveness of TSE as a pretreatment whatever 505 the used method for pulping. For U-POB-A-SE-M and U-POB-SE-SE-M (where steam explosion process was used as a pretreatment), viscosity values were between 484 and 230 cP, 506 507 respectively. These low values can be associated to the presence of a non-negligible percentage of residual fibres or microfibrils bundles. These elements, with a low aspect ratio, 508 are less entangled, which leads to the formation of a weaker microfibres network. As the 509 viscosity of samples pre-treated by steam explosion is significantly lower than those extruded, 510 it can be concluded that (SE) does not lead to an efficient pulp microfibrillation. A possible 511 explanation is the chopping of fibres into smaller elements instead of fibrillating them, which 512 conducts to the production of a heterogeneous material with elements of low aspect ratio. 513

It appears that the samples (apart from U-POB-A-TSE-M) did not reach their maximum viscosities after twenty-five passes through the ultra-fine friction grinder (Figure 6), suggesting that further grinding could still improve their properties. The energy consumption for U-POB-A-TSE-M was around 5400 kWh/t, which was the highest compared to the other samples. Among the produced suspensions, U-POB-SE-TSE-M had the lowest energy consumption, around 3600 kWh/t.





520

Figure 6. The evolution of gel viscosity as function of energy.

522 3.3.3. Physical and mechanical properties

Figure 7 shows how Young's modulus of papers and nanopapers evolves with the grinding energy. As expected, before grinding, papers have low tensile properties. During grinding, microfibrils are more and more released and bonding degree of the nanopapers increases, with a direct impact on their physical properties (Cuberos-Martinez and Park, 2012; Henriksson et al., 2008; Nair et al., 2014; Stelte and Sanadi, 2009). Nanopapers thus show a higher density, which approximately varies from 300 to 1200 kg.m⁻³, and an improvement of the resistance to deformation illustrated by the increase of the Young's modulus (see Figure 7.2). When twin-screw extrusion is used as pretreatment for both alkali pulping in autoclave and steam explosion reactor (U-POB-A-TSE-M and U-POB-SE-TSE-M), the obtained nanopapers present the highest Young's modulus, around 6 and 4 GPa, respectively. When steam explosion is used as pretreatment for alkali pulping in autoclave and steam explosion reactor (U-POB-A-SE-M and U-POB-SE-SE-M), lower elastic modulus of 4 and 2 GPa, respectively, are measured.



536

Figure 7. Evolution of Young's modulus as a function of grinding energy (7.1), as a function of density (7.2) and the evolution of specific Young's modulus as a function of grinding energy (7.3).

Figure 7.3 reports the variation of the specific Young's modulus with grinding energy. It is 540 worth noting that these values significantly evolve at the beginning of the grinding process 541 with increases above 100 %. In contrast, they remain more or less constant or change less 542 importantly when grinding energy increases. This could be attributed to a drastic modification 543 of the porous structure of the samples, when passing from papers to nanopapers, this 544 545 phenomenon occuring for grinding energy less than 2000-3000 kWh/t. Then, even if the bonding degree continues increasing, as it is shown by the increase in density of the 546 547 nanopapers, their structure does not change to the same extent and the specific Young's modulus even seems to reach a plateau value for certain samples. 548

549 3.3.4. Simplified quality index

After grinding, and for extruded pulps, nanosized fraction was around 56% whatever the 550 551 pulping process used (Table 4). In contrast, when steam explosion is used as the main mechanical pretreatment process, nanosized fraction is lower and the values depends on the 552 pulping process: nanosized fraction is equal to 50% for autoclave pulping and 32% for steam 553 explosion pulping (Table 4). These results again prove that TSE is by far more efficient when 554 555 it is used as a mechanical pretreatment before grinding than steam explosion. Indeed, due to 556 its fibrillation capacity, TSE is able to replace, at least partially, a conventional refining step: in the tested operating conditions, this is not the case of the steam explosion process, even it it 557 was thrice done. 558

For all the obtained gels, turbidity and macro-scale fraction measurements were not able to differentiate samples. The large size distribution of the elements present in the gels leads to similar and high values of turbidity (\approx 930 NTU, calculated as an average of all the values). In the same way, the micro-scaled size ranges between 12 and 19 µm² for all the samples.

27

As discussed in the previous section, steam explosion procedure leads to an important loss in fine elements, particularly when this process is repeated three times. This phenomenon can explain the very low Young's modulus measured for the corresponding nanopapers (about 2 GPa). Thus, when SE is used as a pretreatment, its effects are probably underestimated in this work due to the above mentioned reason.

The quality index QI* of Posidonia-LCNF produced from extruded pulps ranges from 45 to 568 569 48, depending on the pulping process. As expected, the values are lower when SE is used (see Table 4). Nader et al. (2022) reported a quality index between 52 and 57 for LCNF obtained 570 from wood (Eucalyptus Globulus barks), refined and ground by ultra-fine friction grinder, 571 572 after autoclave and SE pulping in alkaline conditions. Espinosa et al. (2020) and Taha et al. (2021) produced LCNF from wheat and rice straw with a quality index of 77 and 81, 573 respectively (see Table 4). These higher values can be explained by more severe conditions of 574 575 grinding as well as the higher quality of the raw material. Nevertheless, it is worth noting that the quality index was initially proposed for CNF produced from bleached and pretreated 576 577 fibres (enzymatic or chemical pretreatment). Its use for LCNF gels should be considered with caution and comparison with quality index of conventional CNF is uncertain. 578

Samples	Average micro particle size (μm ²)	Nanosized fraction (%)	Turbidity (NTU)	Young's modulus (GPa)	QI*
U-POB-A-TSE-M	15.2 ± 5.9	56.3 ± 3.4	907	5.8 ± 0.0	48.4 ± 2.9
U-POB-A-SE-M	19.0 ± 7.0	49.7 ± 0.9	907	3.8 ± 0.4	41.5 ± 2.8
U-POB-SE-TSE-M	12.8 ± 6.2	56.3 ± 3.4	907	3.7 ± 0.2	45.5 ± 3.5
U-POB-SE-SE-M	15.7 ± 4.0	31.8 ± 2.1	907	1.5 ± 0.1	31.9 ± 1.5
Rice straw pulp Taha et al. (2021)*	n.m	78.9 ± 3.9	404 ± 9.6	6.3 ± 0.2	81 ± 12
Wheat straw pulp Espinosa et al. (2020)**	19.4 ± 2.5	62.5 ± 6.3	245 ± 6.3	16.7 ± 0.7	76.5 ± 1.1

Table 4. Quality indexes for LCNF obtained in this work and comparison with the literature.

n.m: non-mentioned; * Taha et al. (2021): Production of LCNF (14% of lignin content) from
rice straw, refined by Valley beater and ground by ultra-fine friction grinder.;**Espinosa et al.
(2020): Production of LCNF (9% of lignin content) from wheat straw pulp, refined by PFI
mill and ground by ultra-fine friction grinder.

Finally, an attempt was made to monitor the quality index as a function of the energy consumed during grinding. Figure 8 exhibits important differences between the samples. Both methods (A-SE-M and SE-SE-M) produced gels with less energy consumption but lower quality. The use of steam explosion as a pretreatment before ultra-fine friction grinder does not bring much more in terms of fibrillation, but, for the moment, it is not possible to assert whether this is a limitation of the process or a defect in the adaptation of the filtration method.





591

Figure 8. Evolution of quality index in fuction of grinding energy.

592 **4.** Conclusion

The aim of this study is to propose a solution allowing to treat the non-delignified material resulting from non-conventional biomass from marine waste: *Posidonia oceanica*. The production of lignin-containing cellulose nanofibrils from *Posidonia* was achieved from two different extraction methods using conventional autoclave and steam explosion. The

advantage of the proposed combinations is the elimination of bleaching and chemical 597 598 pretreatment step. It was found that steam explosion could be used for fibre extraction as an alternative of autoclave but its use for a mechanical pretreatment replacing refining should be 599 optimized to avoid the loss in fine elements. Furthermore, twin-screw extrusion showed its 600 ability to promote internal and external fibrillation and replace refining for this raw material. 601 Finally, LCNF production, with an acceptable quality (45 to 50%), was possible by the 602 603 combination of steam explosion or autoclave for fibre extraction, twin-screw extrusion and grinding. These product could be used in different applications, which do not require a high 604 quality of nanomaterials, such as board/papermaking and bionanocomposite. To open new 605 606 perspectives, it could be interesting to apply these procedures to a more conventional raw material. Another interesting approach would be in relation to the decrease in a greater extent 607 the lignin content. Considering all these aspects, the efficiency of the proposed combination 608 609 of processes is then probably underestimated.

610 Acknowledgements

This work was financially supported by the "PHC Utique" program of the French Ministry of 611 Foreign Affairs and Ministry of higher education, research and innovation and the Tunisian 612 Ministry of higher education and scientific research in the CMCU project number TN 613 18G1132// FR 39316VF. LGP2 is part of the LabEx Tec 21 (Investissements d'Avenir - grant 614 agreement n°ANR-11-LABX-0030) and of PolyNat Carnot Institute (Investissements 615 d'Avenir - grant agreement n° ANR-16-CARN-0025-01). This research was made possible 616 617 thanks to the facilities of the TekLiCell platform funded by the Région Rhône-Alpes (ERDF: European regional development fund). In addition, the authors would like to thank Thierry 618 619 Encinas from CMTC - Grenoble, France for the XRD analysis, and Jean-Luc Puteaux from CERMAV Grenoble, France for TEM observations. 620

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