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Electrostatic interactions regulate the physical properties of gelatin-cellulose
 nanocrystals nanocomposite films intended for biodegradable packaging
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16

17 Abstract

Cellulose nanocrystals (CNCs)-reinforced gelatin (Gel) films are appealing 18 candidates for biodegradable packaging. However, tailoring the physical properties of 19 Gel/CNCs films by control of pH and film-forming drying temperature continues 20 21 unstudied. Here, we described the influence of pH on the physical properties of Gel/CNCs films covering different CNCs contents. The interactions between CNCs and 22 23 Gel were studied by assessing the ζ-potential of Gel/CNCs suspensions under acidic (pH 3), Gel isoelectric point (pI, pH 6) and alkaline (pH 8) conditions. pH 3 promotes 24 25 the electrostatic attraction, while pH 8 favors the electrostatic repulsion in the Gel-CNCs pair, increasing the suspension viscosity in both cases. The addition of 0.5 wt% 26 CNCs decreased the water vapor permeability (WVP) of the Gel/CNCs films by 68% 27 under electrostatic attractive forces and by 39% at the gelatin pI. The addition of 5 wt% 28 29 CNCs at pH 3 resulted in the formation of complex coacervates, which decreased the mechanical properties and increased the WVP of Gel/CNCs films. Increasing pH above 30 the Gel pI remarkedly increased the gelatin renaturation as triple helices, which was 31 found to be key for increasing by 152% and 56% the Young's modulus and tensile 32 strength, respectively, of the Gel/CNCs films with 0.5 wt% CNCsFilm-forming drying 33 temperatures had an inverse effect on the triple helix content, and, consequently, on the 34 physical properties of the Gel/CNCs films. These findings denote that modulating pH, 35 CNCs amount, and drying temperature is a suitable strategy for tailoring the properties 36

of nanocellulose-reinforced gelatin films for an extended range of food packagingapplications.

39

40 Keywords: Gelatin, cellulose nanocrystal, electrostatic interaction, mechanical
41 properties.

43 **1. Introduction**

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42

The growing plastic production has led to a global waste disposal crisis. 45 Nowadays, researches are focused on solving this problem by obtaining biodegradable 46 47 packaging. Biopolymers, including proteins and polysaccharides, are the main natural resources employed to develop biodegradable films (Esteghlal, Niakousari, & Hosseini, 48 49 2018). Proteins are natural polyelectrolytes that have attracted great interest due to their unique properties (Al-Tayyar, Youssef, & Al-hindi, 2020; Moustafa, Youssef, Darwish, 50 & Abou-Kandil, 2019), in particular, their ability to form self-assembled structures, 51 52 such as ionic complexes obtained by the interactions with polysaccharides carrying opposite charges(Derkach, Kuchina, Kolotova, & Voron'ko, 2020). These structures are 53 54 promising for the creation of new materials applicable in pharmacy, medicine 55 (Vuillemin, Michaux, Muniglia, Linder, & Jasniewski, 2019; Zhao, Skwarczynski, & 56 Toth, 2019), and food packaging (da Silva, de Oliveira, Simas, & Riegel-Vidotti, 2020; Hosseini, Rezaei, Zandi, & Farahmandghavi, 2015). 57

58 Gelatin is a protein obtained by the partial hydrolysis of collagen at controlled temperature and pH conditions (Joshi, Rawat, & Bohidar, 2018). This protein is cheap, 59 60 commercially available, biodegradable, in addition to presenting good processability and film-forming properties (Wang et al. 2014). The mechanical behavior of gelatin gels 61 62 and films is related to the regeneration of gelatin as crystalline triple helixes. Gioffrè et 63 al. (2012) investigated the pH effect on the mechanical properties of pigskin type A gelatin films and observed that pH values higher than 9 and lower than 5 considerably 64 65 reduced the triple helix content and, consequently, the mechanical properties of the films. 66

Gelatin films are moisture-sensitive and exhibit low water vapor barrier and mechanical resistance under high relative humidity due to the hydrophilicity of the polypeptide chains (Gómez-Guillén et al. 2009). A suitable approach to overcoming these limitations of gelatin films is the use of cellulose nanocrystals (CNCs) as nanosized fillers (Hosseini & Gómez-Guillén, 2018),. CNCs are one of the most studied polysaccharide-based nanomaterials in polymer nanocomposites (Espino-Pérez,
Domenek, Belgacem, Sillard, & Bras, 2014; Noorbakhsh-Soltani, Zerafat, & Sabbaghi,
2018). CNCs are suitable for such purposes due to their large elastic modulus (~143
GPa) biodegradability and abundance (Dufresne, 2018) (Habibi, Lucia, & Rojas, 2010).

Several reports have described a joint synergistic effect between gelatin and 76 CNCs toward the formation of percolated networks stabilized by hydrogen bonding. 77 George & Siddaramaiah (2012) showed that the use of CNCs, obtained from bacterial 78 cellulose, at a weight fraction of 4%, maximized the tensile strength, tensile modulus, 79 80 and stiffness of gelatin films. Santos et al. (2014) demonstrated that tilapia gelatin films 81 had a high stiffness and good ductility up to a CNCs loading of 5 wt%. Above this 82 concentration, the mechanical properties tended to reach a plateau. Mondragon et al. (2015) showed that cellulose nanofibers (CNFs) and CNCs improved the oxygen gas 83 84 barrier properties of gelatin films and that these nanocelluloses did not significantly improve the tensile properties of the films when used at weight fractions $\leq 10\%$. More 85 86 recently, Leite et al. (2020) demonstrated that adding 0.5 wt% CNCs increased the tensile strength and Young's modulus of gelatin films obtained by continuous casting 87 88 by 77 % and 48 %, respectively.

To the best of our knowledge, no research has been published on the reinforcing 89 effect of CNCs on gelatin films under different types of electrostatic interactions. The 90 91 knowledge of these intermolecular interactions is crucial for understanding the structure of Gel/CNCs films, as well as for the development of packaging films with tunable 92 physical properties. Furthermore, there is no report that examines the structure and 93 mechanical properties of Gel/CNCs films as influenced by the casting temperature. This 94 work aimed to investigate the effect of CNCs, pH, and drying temperature on the triple 95 helix content, mechanical, thermal, and barrier properties of gelatin films obtained by 96 97 bench casting.

98

99 2. Materials and methods

100 2.1. Materials

101

Bovine gelatin (Bloom Strength-190) was kindly supplied by Gelco Gelatinas do Brazil Ltda (Pedreira, SP). CNCs isolated from wood pulp by sulfuric acid hydrolysis and with crystallinity index of 82% (Fig. S1) and delivered as a dried powder were purchased from Celluforce Inc. (Windsor, Québec, Canada).Glycerol and ethanol were purchased from Across Organics (USA). All chemicals were analytical grade and wereused as purchased.

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| 109 | 2.2. Methods |
| 110 | |
| 111 | 2.2.1. Preparation of nanocomposite films |
| 112 | |
| 113 | The nanocomposite films were prepared by solvent casting. The gelatin |
| 114 | (Gel)powder was hydrated in distilled water (10 g/100 g) at 24 °C for 5 min and heated |
| 115 | at 60 °C under stirring for 15 min. The Gel solution pH was adjusted to 3, 6, and 8 with |
| 116 | 3M HCl or NaOH. Glycerol (2 g/100 g of protein) was added to the Gel solution under |
| 117 | stirring for 5 min. The obtained Gel/glycerol solution was mixed with the CNCs |
| 118 | suspension at desired contents (0 wt%, 0.5 wt%, and 5.0 wt%, on a dry gelatin mass |
| 119 | basis) and stirred for additional 5 min. The final film-forming solutions (FFS) (45 mL) |
| 120 | were cast on glass plates (40 cm× 25 cm), covered with a polyester film (Mylar®, |
| 121 | DuPont, Brazil) to facilitate film peeling off after drying in an oven at 25, 35 and 80 $^\circ$ C |
| 122 | for 24 h. Film samples were conditioned at 50% relative humidity (RH) in a ventilated |
| 123 | climatic chamber and 25 °C for at least 24 h prior to any testing. |
| 124 | |
| 125 | 2.3. Characterizations |
| 126 | |
| 127 | 2.3.1. Determination of Zeta potentials (ζ-potentials) |
| 128 | |
| 129 | ζ -potentials of aqueous CNCs dispersion, pure Gel solution and Gel/CNCs FFS |
| 130 | obtained at pH 3, 6 and 8 (0.5 wt% and 5.0 wt% CNCs on a dry gelatin mass basis) |
| 131 | diluted to 0.25 wt% were analyzed at 25 °C using a Zetasizer Nano-ZS (Malvern |
| 132 | Instruments Inc., UK). Each sample was mixed with a sonicator (Branson 450 Sonifier, |
| 133 | USA) for 5 min with a microtip before analysis. The measurements were performed in |
| 134 | triplicate. |

135

136 2.3.2. Rheological study

137

The apparent viscosity and flow behavior of the FFS (pure Gel and Gel/CNCs with 0.5 and 5 wt% CNCs at pH 3, 6 and 8) were evaluated on a Physica MCR101

rheometer (Anton Paar, Austria) using the concentric cylinder geometry at 40 °C. The 140 shear rate was varied from 0 to 150 s⁻¹ in 10 min. Oscillatory shear deformation tests 141 were also carried out to determine the storage modulus (G') and loss modulus (G") of 142 the FFS at a constant strain of 1% and frequency of 1 Hz in the temperature range of 60-143 20 °C using heating/cooling rate of 0.02 °C s⁻¹. Strain values were chosen to be within 144 the linear viscoelastic region based on preliminary measurements. The flow behavior 145 was described by fitting the experimental data to the Power Law model (Eq. 1). 146 147 п 148 σ = kγ (1)149 150 where σ is the shear stress (Pa), $\dot{\gamma}$ is the shear rate (s⁻¹), k is the consistency coefficient 151 (Pa sⁿ) and n is the flow behavior index. 152 153 2.3.3. Polarized light optical microscopy 154 155 Optical images of Gel/CNCs films were taken between crossed polarizers to 156 evaluate the dispersion of the CNCs within the gelatin matrix. The samples were 157 158 imaged by polarized optical microscopy taken at 10-fold magnification using a microscope Zeiss Axio Vert.A1 (Carl Zeiss Microscopy). 159 160 2.3.4. Scanning electron microscopy (SEM) 161 162 163 Film surface and the cross-sectional surface were investigated using a JEOL Scanning Electron Microscope (SEM, model Quanta200, FEI, the Netherlands). The 164 samples were first cryo-fractured in liquid N₂ and then fixed onto 90° specimen mounts 165 to be coated with a ca. 5-nm-thick gold layer in an argon atmosphere. The SEM images 166 were taken at accelerating voltages below 10 kV using the secondary electron mode. 167 168 2.3.5. X-ray diffraction (XRD) analysis 169 170 X-ray diffraction patterns were acquired from 2 $^{\circ}$ to 60 $^{\circ}$ (2 θ) using a Panalytical 171 diffractometer (X'Pert Pro MPD-Ray) with Ni-filtered Cu K α radiation ($\lambda = 1.54$ Å) 172 generated at a voltage of 45 kV and current of 40 mA. The amount of triple helix 173

174 configuration (X_c in %) in the Gel/CNCs films was calculated in duplicate for each 175 sample using Eq. 2.

176

177 $Xc = [A_c/(A_{c+}A_a)] x100$

- 178 (2)
- 179

180 where Ac is the area of the diffraction peak at $\sim 2\theta = 8^{\circ}$, corresponding to the triple helix 181 configuration of gelatin chains, and Aa is the area under the hump located at $\sim 2\theta = 21^{\circ}$, 182 corresponding to the fraction of amorphous domains in the gelatin films. (Díaz-183 Calderón, Flores, Gonz, & Enrione, 2017).

184

185 2.3.7. Tensile tests

186

The tensile properties of the films were determined by the ASTM D882-09 187 188 standard method (2009). The film specimens (17 cm x 1 cm) were prepared and equilibrated in a ventilated climatic chamber at 23 ± 1 °C and $50 \pm 5\%$ RH for 48 h. The 189 190 tests were carried out in an Instron Universal Testing Machine (model 5569, Instron Corp., USA) equipped with a 100 N load cell. The specimens were stretched using 191 crosshead speed of 10 mm/min with clamps initially separated by 100 mm. Tensile 192 strength, Young's modulus, and elongation at break were calculated from the stress-193 strain curves. Thicknesses were measured using a digital micrometer (Adamel 194 195 Lhomargy) to the nearest 0.001 mm with ten random measurements per film. The tensile tests were performed with five replicates per film sample. 196

197

198 2.3.8. Water vapor permeability (WVP)

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WVP was determined using the ASTM E-96-01. The preconditioned film was sealed onto the opening of an aluminum permeation cup (28 mm internal diameter) containing dried calcium chloride. The cup was kept in a ventilated climatic chamber at 23 ± 1 °C and $50 \pm 5\%$ RH. The cup was weighed at least four times for at least 7 days under this controlled environment. For each film, three replicates were performed. The WVP g mm m⁻² h⁻¹ kPa⁻¹ was determined using Eq. 3:

| 207 | $WVP = (\Delta m / \Delta t) x (L / A \Delta P)$ |
|-----|---|
| 208 | (3) |
| 209 | |
| 210 | where $\Delta m/\Delta t$ is the mass gain over time (g h ⁻¹), A is the exposed film area (m ²), L is the |
| 211 | film thickness (mm), and ΔP is the difference of partial pressure. |
| 212 | |
| 213 | 2.3.9. Water solubility (WS) determination |
| 214 | |
| 215 | The gelatin films were cut into rectangular $(1 \text{ cm} \times 1 \text{ cm})$ specimen and pre- |
| 216 | dried at 105 °C for 24 h. The dried specimens were accurately weighed (initial dry |
| 217 | weight, w ₀), placed in distilled water (30 mL), and stirred at 25 \pm 1 °C for 24 h. Filter |
| 218 | paper number 1 was prepared by drying at 105 °C for 24 h. Then, the film was poured |
| 219 | onto the filter paper and dried at 105 $^{\circ}$ C for 24 h and weighed (final dry weight, w ₁). |
| 220 | WS was measured in triplicate following calculated using Eq 4. |
| 221 | |
| 222 | WS (%) = $[(w_0 - w_1)/w_0] \ge 100$ |
| 223 | (4) |
| 224 | |
| 225 | 2.3.10. Thermogravimetric analysis (TGA) |
| 226 | |
| 227 | Thermogravimetric analysis was carried out in a Q500 equipment (TA |
| 228 | Instruments, USA). About 10 mg of each film sample was taken in a standard Ptcrucible |
| 229 | and heated from 25 °C to 600 °C with heating rate of 10 °C min ⁻¹ , under inert |
| 230 | atmosphere of nitrogen (60 mL/min). Samples before the TGA tests were conditioned in |
| 231 | a ventilated climatic chamber at 23 ± 1 °C and $50 \pm 5\%$ RH for 48 h. |
| 232 | |
| 233 | 2.3.11. Statistical analysis |
| 234 | |
| 235 | Data were subject to analysis of variance (ANOVA). The mean values were |
| 236 | compared using the Tukey's test at a confidence level of 95 $\%$ (p<0.05) using the Origin |
| 237 | software (OriginLab, Northampton, USA). |
| 238 | |
| 239 | 2. Results and discussion |
| 240 | |

241 3.1 Physical and rheological properties of Gel/CNCs film-forming solutions

242

 ζ -potentials provide evidence of the net charges on particle surfaces as a function 243 of pH. Fig. 1 illustrates the evolution of the ζ-potential of gelatin solution and CNCs 244 suspension upon pH variation. The isoelectric point (pI) of gelatin solution occurred at 245 246 around pH 6, at which a null ζ -potential was observed. This is in agreement with previously reported data for type B gelatins (pH 4.5-6.0) (Ahmed, 2017). Gelatin has 247 248 the ability to present different charges depending on the pH (Gioffrè et al. 2012). At pH < pI, the gelatin solution showed a net positive charge, which was attributed to the 249 protonation of the amino groups (-NH₃⁺), while at pH > pI the solution showed a net 250 negative charge, due to ionization of the carboxyl groups (-COO⁻). For the CNCs 251 252 suspension, the ζ -potential value was found to be negative regardless of the pH. The ζ potential value of CNCs suspension was around -50 mV indicating a stable suspension 253 254 in water. According to Lin, Gu, & Cui (2019), ζ -potentials (< -30mV and > + 30 mV) 255 could maintain a stable colloidal system due to the repelling forces between particles. 256 This value can be attributed to the sulfate groups (SO_3) grafted on the CNCs surface (Habibi et al. 2010), agreeing with a previous study (Silva et al. 2019). 257

258 In aqueous solutions, electrostatic charges are one of the main driving forces for interactions between charged biopolymers (Behrouzain, Razavi, & Joyner, 2020). For 259 260 the Gel/CNCs FFS at pH < pI, the gelatin and CNCs are expected to form complexes 261 stabilized by electrostatic attractive interactions, while at pH > pI the mixture should 262 exhibit electrostatic repulsive interactions between the negatively charged COO⁻ groups of gelatin and SO₃⁻ groups of CNCs. Similar behaviors for protein-polysaccharides were 263 recently reported elsewhere (Razzak, Kim, & Chung, 2016; Voron'ko, Derkach, 264 Kuchina, & Sokolan, 2016). As expected, the ζ-potential of the Gel/CNCs FFS at pH 6 265 266 and pH 8 decreased with increasing the CNCs concentration (Fig. S2). However, at pH 3, the addition of CNCs did not change significantly the ζ-potential values of the 267 Gel/CNCs FFS compared to the pure Gel solution at the same pH, confirming that 268 269 electrostatic attractive interactions occurred between the positively charged gelatin 270 chains and negatively charged CNCs in acidic medium.



272 273

Fig.1. ζ-Potential values of neat Gel solution and CNCs suspension (0.25 wt%) at 25 °C as a function of pH.

274

The rheological behavior of the Gel/CNCs FFS was evaluated at different pH. 276 The apparent viscosity and parameters calculated by Ostwald de Waele model are 277 presented in Table 1. The flow curves are shown in Fig. S3. Experimental shear stress 278 vs. shear rate curves were fitted to the Ostwald de Waele model ($r^2 > 0.99$), which has 279 been widely used to describe non-Newtonian fluids over the most important shear rate 280 ranges (Ahmed, 2017). All the FFS exhibited a shear-thinning behavior (k > 0; 0 < n <281 1) and their apparent viscosities (η_{ap}) decreased as the shear rate increased. This 282 pseudoplastic behavior of Gel/CNCs FFS became progressively more pronounced at 283 284 high CNCs contents and with attractive (pH 3) and repulsive (pH 8) electrostatic conditions. As expected, the addition of CNCsincreased the apparent viscosity of the 285 286 Gel/CNCs FFS. The electrostatic attraction between the CNCs and gelatin molecules at pH 3 leads to the formation of gel networks through ionic cross-linking (Kwak, Lee, 287 288 Park, Lee, & Jin, 2020). By contrast, the electrostatic repulsive forces between gelatin and CNCs at pH 8 can stretch the gelatin molecules and favor mutual orientation of 289 290 macromolecules and creation of non-covalent interactions (Voron'ko et al. 2016). This could decrease the mobility of protein molecules, thus increasing the resistance between 291 292 gelatin FFS layers. The minimum viscosity was found at the Gel isoelectric point (pH 293 6),

Table 1. Apparent viscosity (η_{ap}) parameters of Ostwald de Waele model 295 (consistency index (K), and flow index (n)) and transition temperatures of Gel/CNCs 296 297 film-forming solution at pH 3, 6, and 8.

| | Gel/CNCs film-forming solution | | | | | | | | |
|--|--------------------------------------|-------|---------------------------|-------|-------|---|-------|-------|-------|
| Electrostatic attractive forces pH 3 | | | Isoelectric point pH 6 | | | Electrostatic repulsive forces pH 8 | | | |
| CNCs (wt%) | 0 | 0.5 | 5.0 | 0 | 0.5 | 5.0 | 0 | 0.5 | 5.0 |
| | Parameters of Ostwald de Waele model | | | | | | | | |
| k (mPa s ⁿ) | 0.01 | 0.03 | 1.03 | 0.01 | 0.03 | 0.01 | 0.01 | 0.02 | 2.25 |
| n | 0.92 | 0.83 | 0.32 | 0.97 | 0.97 | 0.90 | 0.90 | 0.96 | 0.24 |
| r^2 | 0.993 | 0.998 | 0.997 | 0.999 | 0.999 | 0.992 | 0.999 | 0.999 | 0.990 |
| $\eta_{ap} (mPa)$ at 1 s ⁻¹ | 10.1 | 25.0 | 887.3 | 10.9 | 17.5 | 12.7 | 7.79 | 14.0 | 1788 |
| Transition temperature (°C) | | | | | | | | | |
| Gel-sol | 32.1 | 32.0 | Nd | 34.6 | 35.1 | 35.0 | 35.6 | 35.1 | 37.8 |
| Sol-gel | 19.4 | 22.7 | Nd | 22.7 | 22.8 | 22.6 | 22.4 | 22.6 | Nd |

298 Nd: not detected.

299

300 Dynamic rheological measurements can be used to monitor structures in weak 301 gels or viscoelastic fluids (Behrouzain & Razavi, 2018). These measurements provide 302 key information for gel processability in continuous solvent casting or 3D printing. Fig. 303 2 shows the storage modulus (G') and loss modulus (G") of the Gel/CNCs FFS with 0 304 and 5 wt% CNCs at different pH obtained from heating and cooling scans. All the 305 samples presented a similar rheological behavior, regardless of the pH or CNCs content. The viscoelastic modulus (G'or G") were higher at lower temperatures (gel-like 306 307 domain) than at higher temperatures (sol-like domain), with a well visible inflection between both domains (G'=G''). This inflection is typical of physical gels, and is 308 309 defined as the gel-sol transition (Fig. 2a,c) and sol-gel transition (Fig. 2b,d) on the 310 heating and cooling curves, respectively. Similar profiles have been observed in gelatin-311 based systems by several authors (Alexandre, Lourenço, Bittante, Moraes, & Sobral, 2016; Fernando et al. 2014). The gel-sol temperatures (heating curves) of the Gel/CNCs 312

FFS was more affected by the Gel/CNCs electrostatic interactions (Table 1). A 313 remarkable hysteresis, of about 12 °C, was also noticeable (Fig. S3). Furthermore, the 314 315 addition of 5.0 wt% CNCs to the gelatin solution at pH 3 resulted in an elastic gel-like behavior (G' > G'') even above the gel-sol temperature. According to Qiao et al. (2016), 316 317 proteins can form complex coacervates through interactions of oppositely charged particles, as previously indicated by the ζ -potential values of the Gel/CNCs FFS at pH 318 3. Complex coacervation can lead to precipitation of polymer complexes through the 319 formation of a dense media that can be treated as being composed of interconnected gel-320 321 like network structures, consistent with the assumption that the CNCs are electrostatically "cross-linked" along the gelatin chains. Consequently, the gel-sol and 322 sol-gel transitions of the Gel/CNCs FFS were not observed due to Gel/CNCs 323 electrostatic attractive forces. The Gel/CNCs FFS with 5% CNCs at pH 8 also did not 324 325 exhibit the sol-gel transition and presented a solid-like behavior, indicating the existence of physical crosslinks by hydrogen bonding as mentioned before. 326



-- Electrostatic attractive force - pH 3 -- Isoelectric point - pH 6 - Electrostatic repulsive force - pH 8

328

- Fig. 2 Viscoelastic modulus of Gel/CNCs FFS at different pH and CNCs contents. Heating (a and c) and cooling (b and d) at 1 °C min⁻¹.
- 331

332 3.2. Characterization of Gel/CNCs nanocomposite films

334 3.2.1 Morphological and structural characterizations

335 The photographs of the Gel/CNCs films are shown in Fig.3a. All the films displayed good macroscopic homogeneity and transparency. Cracks on their surface 336 337 were also absent. The morphology of the Gel/CNCs films obtained from different pH was investigated by SEM (Fig.3b). The Gel film with 5.0 wt% CNCs at pH 3 showed 338 339 aggregates (white arrows), on both cross-sectional and air-drying surfaces, suggesting the formation of large CNCs-gelatin coacervates. This was also confirmed by polarized 340 light optical microscopy images (Fig. S4). The same effect was observed by Li et al. 341 342 (2018) for gelatin/gum arabic mixtures at pH 3.5. They found that complex coacervates were spontaneously formed between gelatin and gum arabic by effective electrostatic 343 interactions at low pH, where gelatin and gum arabic presented opposite surface 344 345 charges. By contrast, the electrostatic attraction between gelatin and CNCs is weakened 346 by increasing the pH, and the coacervates disappeared at pH 6 and 8 (Fig. 3b). The Gel/CNCs films showed homogeneous and continuous surface with no white dots, 347 348 which suggest that the CNCs were homogeneously distributed throughout the film structures (Leite, Battirola, Escobar da Silva, & Gonçalves, 2016). 349



Fig.3. (a) Photographs of Gel/CNCs films with different CNCs contents, (b) SEM
micrographs of air-drying and cross-sectional surfaces of Gel/CNCs films with 5.0 wt%
CNCs at pH 3, pH 6 and pH 8. White arrows indicate CNCs-gelatin coacervates at pH
3.

356

357 The XRD patterns of the films obtained from different pH and CNCs contents 358 are shown in Figs. 4a-b. The formation of gelatin film is accompanied by the 359 renaturation of triple helical structures of protein molecules (Lin et al. 2019). The XRD 360 diffractograms showed a wide background with a band at $2\theta \sim 21^\circ$, which is typically related to the large amorphous Gel fraction (Bigi, Panzavolta, & Rubini, 2004). The 361 band at $2\theta \sim 8^{\circ}$ has been previously attributed to the presence of triple helix structure 362 present in collagen and renaturated gelatin (Quero et al. 2018). The area of this peak has 363 been found to be directly related to the triple helix content of gelatin-based composites 364 (Badii et al. 2014; Bigi et al. 2004; Yakimets et al. 2005). As seen in the insert in Figs. 365 4a-b, the band area at $2\theta \sim 8^\circ$ changed with the pH and CNCs content. The triple helix 366 content was 9.4, 6.3, and 6.9% for Gel films with 0 wt% CNCs at pH 8, 6 and 3, 367

respectively (Table S1). According to Ahsan & Rao (2017), the triple helix content of 368 type B gelatin decreases with decreasing pH, most likely due to the structural changes in 369 370 gelatin to mild denaturation, which was complete at around pH 2.5. The highest triple helix content (13.5%) was observed in the film with 0.5 wt% CNCs at pH 8. This 371 372 behavior may result from deprotonation of the gelatin molecules at alkaline pH, favoring the formation of hydrogen bonds (Ahmed, 2017), which are essential to 373 stabilize the gelatin triple helices. The formation of hydrogen bonds between CNCs and 374 gelatin chains (both inside and outside helical fragments), also favors the regeneration 375 376 and stabilization of triple helix structures (Bigi et al. 2004; Gioffrè et al. 2012). However, the addition of 5.0 wt% CNCs markedly diminished the triple helix content, 377 378 suggesting an increased random coil conformation of gelatin chains. Similar behavior was observed by Yakimets et al., (2005) who found changes in the structural and 379 380 molecular order of gelatin films induced by water content. This result may also suggest that the folding process from random coil to triple helix is influenced by pH and CNCs 381 382 amount.

383



384

Fig.4. XRD patterns of (a) pure Gel film obtained from FFS with pH 3, 6 and 8 385 386 (b) Gel/CNCs films obtained from pH 8 and different CNCs contents. The magnified view of the 2θ range $3 - 12^{\circ}$ is shown as an insert. 387

- 388
- 3.2.2 Mechanical properties 389
- 390

The Young's modulus, elongation at break, and tensile strength of Gel/CNCs 391 films are presented in Fig.5. The nanocomposite films containing 0.5 wt% CNCs at pH 392

3 and 8 presented significantly increased Young's modulus and tensile strength, and 393 decreased elongation at break compared to the pure Gel films (p < 0.05). This effect was 394 395 more pronounced at pH 8, whose Young's modulus and tensile strength increased by 396 152% and 56%, respectively (p < 0.05). At pH 3, the increases in Young's modulus and 397 tensile strength for the 0.5 wt% CNCs content may be attributed to the electrostatic attractive interaction between gelatin and CNCs. When pH was increased to 6, no 398 significant changes in the tensile properties of the 0.5 wt% Gel/CNCs film were 399 observed (p > 0.05), most likely due to the reduction in the electrostatic interactions 400 401 above the gelatin pI. At pH 8, the increases in Young's modulus and tensile strength are 402 most likely due to the regeneration of triple helixes, which increases the overall 403 crystallinity of the films (Bigi et al. 2004). Gioffrè et al. (2012) observed that pH higher 404 than 9 and lower than 5 considerably reduced the triple-helix content and, consequently, 405 reduced the Young's modulus and elongation at break of pigskin type A gelatin films. Quero et al. (2018) attributed the improved mechanical performance of 15 wt% 406 407 microfibrillated cellulose (MFC)-added salmon gelatin films to the high triple-helix 408 content of the nanocomposites compared to similar nanocomposites obtained from 409 bovine gelatin.

410 When the CNCs content was increased to 5.0 wt%, the Young's modulus and tensile strength of the Gel/CNCs films slightly increased at the Gel pI (pH 6), but 411 drastically decreased at pH 3 and 8 (p < 0.05). For the acidic condition (pH 3), such 412 413 decreases could be attributed to the large aggregates formed by the CNCs-gelatin 414 complex coacervates (Celebi & Kurt, 2015). These aggregates provided a heterogeneous 415 distribution of the CNCs within the gelatin matrix, as revealed by SEM (Fig.3b), acting as defects in the film structure, thus reducing the mechanical resistance of the Gel/CNCs 416 417 nanocomposites (Cao, Zavattieri, Youngblood, Moon, & Weiss, 2016). For the alkaline 418 condition (pH 8), despite the uniform dispersion of the CNCs within the Gel matrix, the triple-helix content decreased, and the electrostatic Gel-CNCs repulsion in the films 419 420 may have increased the free volume in the gelatin matrix (Lin et al. 2019). These 421 behaviors could explain the reductions in Young's modulus and tensile strength, and the significant increase in elongation at break, respectively, for the 5 wt% CNCs-added Gel 422 423 nanocomposite film (p < 0.05) at pH 8. The moderate but gradual increases in Young's modulus and tensile strength at pH 6 may relate to the lower triple helix content 424 425 compared to pH 8. These lowered effects also led the Gel/CNCs nanocomposites 426 obtained from the Gel pI to show a more ductile mechanical behavior, as illustrated in

Fig. 5d. Overall, these results denote that pH and CNCs content significantly regulatethe mechanical properties of gelatin films.





Fig. 5. (a) Young's modulus, (b) elongation at break, (c) tensile strength, and (d) stressstrain curves of Gel/CNCs films as a function of pH and CNCs content. Lowercase letters (a, b, c) - Mean values in the same pH bearing the same letter are not statistically different according to the Tukey's test (p > 0.05). Capital letters (A, B, C) - Mean values in the same CNCs amount bearing the same letter are not statistically different according to the Tukey's test (p > 0.05).

438 3.2.3 Water affinity and barrier properties

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The WVP of the Gel/CNCs films obtained from different pH and CNCs contents are presented in Table 2. No significant differences (p > 0.05) among the WVP for gelatin films with 0% CNCs were observed concerning the pH variations. These results agree with previous findings on fish myofibrillar protein films (Shiku et al. 2003), but

differ from those previously reported for soy protein isolate films, which showed lower 444 WVP at pH values above the soy protein isoelectric point (Gennadios, Brandenburg, 445 Weller, & Testin, 1993). The WVP at 50% RH of the Gel/CNCs films were lower than 446 those observed for other films based on gelatin at 0% RH (B.-S. Chiou et al. 2008); the 447 results found were close to 1.8 g mm/m² h kPa (25 °C at 0% RH) for bovine and porcine 448 gelatin films. The addition of CNCs to gelatin at pH 3 and pH 6 significantly decreased 449 the WVP by 68% and 39% (p < 0.05), respectively, of the resulting films. According to 450 Qiao et al. (2013), the addition of counterions screens the electrostatic repulsions 451 452 between charges in the polymer, which, in turn, allows the chains to collapse and 453 assume a more compact conformation. In a previous study, Santos et al. (2014) reported 454 that the WVP of protein films decreased by increasing the CNCs loading. They found that fish gelatin films with 15 wt% CNCs exhibit WVP values of approximately 2 g 455 mm/m² h kPa (25 °C at 85% RH). George & Siddaramaiah (2012) reported that 4 wt% 456 of bacterial cellulose nanocrystals reduced the WVP of gelatin and attributed this to the 457 458 low hygroscopicity of the highly crystalline cellulose nanocrystals (Fig S3). They found WVP values around 0.175 g mm/m² h kPa (25 °C at 50% RH). By contrast, as expected, 459 460 the addition of CNCs to the gelatin matrix at pH 8 increased the WVP since the electrostatic repulsion in alkaline Gel/CNCs films can increase the free volume, which 461 462 resulted in greater water vapor diffusion. Overall, the WVP values of the gelatin/CNCs films in the present study were lower than those of previous gelatin films reinforced 463 464 with CNCs.

The water solubility results are summarized in Table 2. No film fully dissolved 465 466 or broke apart after 24 h at 25 °C, suggesting that they were formed by stable network. 467 The solubility of the Gel films decreased by increasing the pH since higher pH favors 468 the increase of protein-protein interactions and the exposition of hydrophobic groups 469 that increase surface hydrophobicity gelatin and decrease the water solubility (Díaz, 470 Candia, & Cobos, 2017) The addition of CNCs at pH 6 and pH 8 did not significantly (p 471 < 0.05) change the Gel film solubility. However, a drastic decrease in water solubility 472 was observed at 0.5 wt% CNCs and pH 3. This improvement in the water resistance can 473 be attributed to the formation of the Gel-CNCs complex coacervates, as shown in the 474 polarized light optical microscopy images (Fig. S4). These results are in agreement with Esteghlal et al. (2018), who suggested that the decrease in solubility is due to charge 475 reduction after complexation and formation of crosslinked gelatin-CMC films. Yin et al. 476 477 (2005) also found a similar behavior for chitosan/gelatin polyelectrolyte complexes.

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Table 2. Water vapor permeability at 50% RH and 25 °C and water solubility of 482 the gelatin films with different pH and CNCs content.

| CNCs | WVP (g mm/ m ² h KPa) | | | | | | |
|-------------|-----------------------------------|-------------------------------|----------------------------------|--|--|--|--|
| content (%) | Electrostatic attractive force | Isoelectric point (pI) | Electrostatic repulsive force | | | | |
| | рН 3 | pH 6 | pH 8 | | | | |
| 0 | 0.204 ± 0.085^{aA} | 0.180 ± 0.027^{aA} | 0.131 ± 0.020^{aA} | | | | |
| 0.5 | 0.065 ± 0.013^{bA} | 0.111 ± 0.022^{bB} | 0.158 ± 0.022^{aB} | | | | |
| 5.0 | 0.189 ± 0.009^{aA} | 0.109 ± 0.019^{bB} | 0.262 ± 0.034^{bC} | | | | |
| | | Water solubility (%) | | | | | |
| 0 | 65.3 ± 2.55^{aA} | $31.7 \pm 4.81^{\mathrm{aB}}$ | $15.7 \pm 3.30^{\mathrm{aC}}$ | | | | |
| 0.5 | 14.6 ± 1.24^{bA} | 21.5 ± 6.55^{aA} | 16.9 ± 4.97^{aA} | | | | |
| 5.0 | 42.1 ± 2.52^{cA} | $32.4 \pm 1.30^{\mathrm{aB}}$ | $17.1 \pm 9.46^{\mathrm{aB}}$ | | | | |

483 Mean ± standard deviation. Lowercase letters (a, b, c) - Mean values in the same 484 column bearing the same letter are not statistically different according to the Tukey's test (p > 0.05). Capital letters (A, B, C) - Mean values in the same row bearing the same 485 letter are not statistically different according to the Tukey's test (p > 0.05). 486

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3.2.4 Themo stability 488

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The Gel/CNCs films were further characterized by TGA and the degradation 490 temperatures are reported in Table S2. All TGA curves are presented in Fig. S5. All 491 samples followed a similar decomposition profile. The first mass loss was due to 492 493 moisture and volatiles evaporation (Noorbakhsh-Soltani et al. 2018). Pure gelatin films 494 at pH 3 showed the lowest moisture content (3%) and the addition of 5.0 wt% CNCs 495 increased the weight loss at this stage, with exception of the Gel/CNCs film at pH 6 (Table S2), which may be due to less availability of free hydroxyl groups of the gelatin 496 497 matrix due to hydrogen bonding with CNCs at pH 6. This result is in line with the increase in Young's Modulus and reduction in the WVP of the Gel/CNCs film with 5.0 498 wt% at pH 6. A significant mass loss occurred at temperature (Tonset) above 200 °C, 499 mainly associated with the decomposition of gelatin and glycerol molecules. The 500 501 thermal stability of Gel films increased near the gelatin isoelectric point, which is the 502 result of a more compact form of gelatin (Alberto & Gabriela, 2012). This result is in 503 agreement with Gioffrè et al. (2012), who reported a reduction in the thermal stability of

504 gelatin films prepared at relatively high and low pH. The higher thermal stability was found for the Gel/CNCs films with 0.5% CNCs at pH 3 and pH 8, which showed 505 506 increases of 14.4 and 15.3 °C in the Tonset, respectively, when compared to pure gelatin 507 films at the same pH. This improvement might be attributed to the formation of more 508 rigid structures, like the Gel-CNCs coacervates formed at pH 3. This may also be related to the high concentration of triple helix structures present in Gel/CNCs films at 509 pH 8, as shown by XRD (Fig.4). In addition, the formation of hydrogen bonds between 510 CNCs and gelatin can also influence the protein denaturation process and, as a 511 512 consequence, lead to an increased film thermal stability, as justified by George & 513 Siddaramaiah, (2012). Previous studies have shown that the addition of CNCs increased 514 9 °C T_{onset} for gelatin films with 10 wt% CNCs (Mondragon et al. 2015), 10.6 °C for gelatin films with 2.5 wt% CNCs (Leite, Ferreira, Corrêa, Moreira, & Mattoso, 2020) 515 516 and a decrease of around 6 °C for gelatin films with 1.5 wt% CNCs, when compared to 517 pure gelatin films (Alves, dos Reis, Menezes, Pereira, & Pereira, 2015).

518 The gelatin film with 0.5 wt% CNCs obtained from pH 8, which had the highest 519 triple helix content, was chosen to evaluate the effect of the drying casting temperature 520 on the mechanical, thermal and barrier properties of Gel/CNCs nanocomposites. The obtained results for the film samples dried at 25 °C ("cold-dried"), 35 °C (gelation 521 522 temperature) and 80 °C ("hot-dried") are listed in Table 3. The gelatin films dried at 25 °C showed the highest Young's modulus and tensile strength. This was likely due to the 523 524 fact that cold-dried films had a longer drying time (24 h), i.e. sufficient time for the reorganization of gelatin structures as triple helices than the hot-dried films (6 h). Chiou 525 526 et al. (2009) observed that gelatin films dried below their gelation temperature contained crystallinity triple-helix structures, whereas films dried above their gelation 527 528 temperature were virtually amorphous. Films with helical structures exhibit higher 529 tensile strength and Young's modulus than amorphous films (Badii, MacNaughtan, 530 Mitchell, & Farhat, 2014).

Furthermore, the thermal stability of gelatin films increased when the drying temperature was decreased (Fig. S5). These results might be explained by the larger amount of energy needed to break the hydrogen bonds in the triple helix structures formed in the cold-dried gelatin films (Fig. S6). The WVP results showed no statistical difference concerning the drying temperature (p > 0.05). Bor Sen Chiou et al. (2008) showed that mammalian gelatin films had higher levels of renaturation than fish gelatin films. However, the authors observed that this greater amount of helical structures did not lead to better water barrier properties, proving that WVP is not proportional to the renaturation level in gelatin films. The mechanical, thermal and barrier results suggest that the drying temperature used in the casting process and the addition of CNCs are significant factors that affect the structure and physical properties of Gel/CNCs films.

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Table 3: Tensile, thermal properties, water vapor permeability, and triple-helix content
of gelatin films with 0.5% CNCs at pH 8 as a function of different drying temperatures.

| | Drying tempera ture (°C) | Young's modulus (GPa) | Tensile strength (MPa) | Elongation at break (%) | Tonset (°C) | WVP (g mm/ m² h KPa) | Triple helix content (%) |
|---|-----------------------------------|-----------------------------|------------------------------|-------------------------------|----------------|----------------------------|-----------------------------|
| | 25 | $2.1 \pm 0,2^{a}$ | $51.5 \pm 8,95^{a}$ | 3.31 ± 0.53^{a} | 266.5 | 0.16 ± 0.02^{a} | 13.5 |
| | 35 | 1.0 ± 0.2^{b} | $20.9 \pm 5,01^{b}$ | 3.57 ± 0.71^{a} | 252.6 | 0.23 ± 0.08^{a} | 8.7 |
| _ | 80 | $1.2 \pm 0,1^{b}$ | 19.1 ± 0.45^{b} | 2.98 ± 0.29^{a} | 251.4 | 0.24 ± 0.16^{a} | 0.3 |
| | | | | | | | |

545 Mean \pm standard deviation. Mean values in the same column bearing the same letter are 546 not statistically different according to the Tukey's test (p > 0.05).

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548 Conclusion

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Gelatin films reinforced with CNCs were successfully developed over a broad 550 pH range. A comprehensive understanding of the pH role on the properties of 551 Gel/CNCs films obtained by solution casting was provided by examining the possible 552 553 electrostatic interactions and film structure involved. The different electric charges of gelatin chains attained at different pH led to different gelatin-gelatin and CNCs-gelatin 554 555 interactions. Under electrostatic attractive forces (pH 3), the increase in the CNCs 556 content resulted in the formation of complex coacervates. This behavior was not 557 observed in the Gel/CNCs films above the Gel isoelectric point pH 6)I, The physical 558 properties of gelatin films were significantly enhanced with the use of CNCs at 0.5 wt% 559 CNCs and pH 8 due to the high triple helix content in the films. Furthermore, the drying 560 temperature significantly hindered the gelatin triple helix formation, and consequently, 561 had an inverse effect on the mechanical, thermal, and water barrier properties of the 562 Gel/CNCs nanocomposites. In summary, modulating pH, CNCs amount, and drying 563 temperature is a suitable strategy for tailoring the properties of nanocellulose-reinforced 564 gelatin films to cover a broader range of requirements for food packaging applications. 565 This strategy also illustrates a route for physicochemical control of the properties of 566 polymer nanocomposites based on ionizable nanoparticles and polyelectryoles.

568 Credit Author Statement

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574

575 Declaration of competing interest

576 The authors declare that they have no known competing financial interests or 577 personal relationships that could have appeared to influence the work reported in this 578 paper.

579

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