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1 Cellulose fibers deconstruction by twin-screw extrusion with *in situ* enzymatic hydrolysis via
2 bioextrusion.

3

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12

13 Abstract

14 The aim of this work was to study the cellulose fibers deconstruction by twin-screw

15 extrusion with *in situ* enzymatic hydrolysis via bioextrusion, for cellulose nanofibrils (CNF)

16 production. Cellulose pulp was extruded with an optimized screw profile, with or without

17 (reference) the addition of an enzymatic solution. An increase of crystallinity index from

18 67.0% to 73.7% and decrease of DP from 1003 to 419 were observed with bioextrusion.

19 Direct activity measurements of the enzyme confirmed its activity during the process (sugar

20 content increasing from 0.07 ± 0.004 to 2.38 ± 0.003 mg/mL) and after the process (specific

21 activities around 0.20 CMCU/mL). Enzymes were not deactivated during bioextrusion and

22 could be recycled. CNF properties were higher with bioextrusion compared to reference
23 (respective quality indices of 55.5 ± 2.7 and 39.8 ± 2.8), with a lower energy consumption.
24 This proof of concept could be optimized for the industrial production of highly concentrated
25 CNF.

26

27 Keywords

28 Cellulose fibers, cellulose nanofibrils, twin-screw extrusion, *in situ* enzymatic hydrolysis,
29 bioextrusion.

30

31 1. Introduction

32 Cellulose fibers have been used since millennia for the production of cotton fabrics in Asia
33 (6000 BC) or the manufacture of the first papers in Egypt (4000 BC). More recent
34 applications were discovered in the 1980's, with the first efficient deconstruction of cellulose
35 fibers into cellulose nanofibrils (Turbak et al., 1983). These cellulose nanofibrils (CNF) have
36 since been proven to be relevant for the substitution of oil-based materials in a wide range
37 of applications, due to their interesting mechanical, optical, barrier and rheological
38 properties. They can be used for energy storage (Kim et al., 2019), barrier layers (Ferrer et
39 al., 2017), pharmaceuticals (Kamel et al., 2020) or as a composite reinforcing phase (Clarkson
40 et al., 2020).

41 Several pretreatments are used for CNF production, involving chemical or biochemical
42 reactions. Among them, enzymatic hydrolysis is one of the most used due to its easy
43 processing and efficiency (Arantes et al., 2020). Its discovery in 2007 was a major

44 breakthrough and enabled to drastically reduce the processes energy consumption
45 (Henriksson et al., 2007; Pääkkö et al., 2007). The use of different types of enzymes has been
46 proposed since, such as xylanase (Long et al., 2017) or lytic polysaccharide monooxygenases
47 (Moreau et al., 2019) for example, to improve the pretreatment efficiency. The major asset
48 of this approach is the absence of toxic chemicals, in contrast with pretreatments involving
49 chemical grafting (Rol, Belgacem, et al., 2018). The industrial production of CNF still needs to
50 overcome several drawbacks for their price to be competitive. Among these drawbacks, the
51 low solid content of cellulose pulp during pretreatments and/or mechanical fibrillation
52 processes is predominant, and causes issues for the transport or final use of the material. To
53 solve this issue, the use of twin-screw extruder (TSE) as a mechanical fibrillation process at
54 high solid content has been proposed, as a substitute for conventional processes such as
55 homogenizer, microfluidizer or grinder (Nechporchuk et al., 2016).

56 The use of twin-screw extruder for CNF production is a rather recent strategy. Ho et al.
57 (2015) reported the efficient fibrillation of bleached kraft pulp in a twin-screw extruder at
58 high solid content (45 wt%), without any pretreatment of the pulp. The addition of a
59 pretreatment before this mechanical process was proven to have a positive impact on CNF
60 properties and energy consumption, a result observed with enzymatic hydrolysis (Rol et al.,
61 2017), TEMPO-mediated oxidation (Baati et al., 2017; Rol et al., 2017) or phosphorylation
62 (Rol et al., 2019). Twin-screw extrusion is nowadays a feasible process for CNF production,
63 with substantial assets compared to other mechanical fibrillation processes, *i.e.* high solid
64 content, high output and low energy consumption. However, the pretreatments still involve
65 the dilution of the pulp to low solid contents (< 5 wt%), with the addition of a time and
66 energy consuming filtration step to go back to a high solid content before extrusion. High-
67 consistency enzymatic fibrillation is an interesting perspective to overcome this issue

68 (Kangas et al., 2016; Rahikainen et al., 2020), which has not yet been combined with another
69 fibrillation process.

70 The combined use of extrusion process and enzymatic hydrolysis for various biomass
71 material deconstruction has been studied for the past two decades (Gatt et al., 2018).
72 Extrusion of lignocellulosic biomass as a pretreatment before fermentation has been proven
73 to greatly increase the cellulose accessibility and glucose yield (Zhang et al., 2012; Zheng et
74 al., 2014). This yield can also be improved by controlling the process temperature (Yoo et al.,
75 2011) or by using alkaline extrusion (Coimbra et al., 2016; Han et al., 2013). Gatt et al. (2019)
76 recently showed that reactive extrusion using enzymes (bioextrusion) led to the
77 improvement of the lignocellulosic biomass hydrolysis at high solid contents (14 - 40 wt%).
78 Several studies have repeatedly shown good *in situ* enzymatic catalysis during the extrusion
79 at high consistencies, on different biomass materials and even with the presence of
80 constraining areas (Duque et al., 2020; Vandebossche et al., 2014, 2016). However, this
81 bioextrusion strategy has not been applied to the production of CNF (Gatt et al., 2018). Such
82 process could be highly innovative by using the assets of twin-screw extrusion (high solid
83 content, increased output) with an *in situ* enzymatic treatment, while maintaining the high
84 solid content throughout the whole process.

85 This study aims at investigating the feasibility of *in situ* enzymatic hydrolysis during a twin-
86 screw extrusion process, for cellulose fibers deconstruction into CNF. In this context, a
87 bleached eucalyptus kraft pulp was refined with a Valley beater (80 SR) and processed four
88 times into a laboratory scale twin-screw extruder with an optimized screw profile (Rol,
89 Vergnes, et al., 2020). On one hand, the extrusion was performed with the addition of an
90 aqueous solution of cellulase enzymes. On the other hand, the extrusion was performed

91 with the addition of an aqueous buffer solution without enzymes, this pulp being used as a
92 reference. Enzymes were efficiently recovered by centrifugation of the pulp, and a
93 carboxymethyl cellulose assay was used (Ghose, 1987) to analyze the influence of the high-
94 shear process on their activity that was not yet proven (Gatt, 2019). Cellulose crystallinity
95 and DP, as well as the production of sugars during the process, were studied. The influence
96 of *in situ* enzymatic hydrolysis on fiber morphology was also investigated by MorFi analysis,
97 optical and scanning electron microscopy. Finally, the mechanical and optical properties of
98 the resulting pulps were determined, in suspension or as films.

99 2. Experimental section

100 2.1 Materials

101 Bleached Eucalyptus Kraft Pulp was purchased as dry pulp sheets from Cenibra, Brazil
102 (Cellulose 83.4 wt%, hemicelluloses 16.2 wt%, lignin 0.4 wt%). Enzyme solution FiberCare[®] R
103 (Novozyme, Denmark) with an endoglucanase activity of 4770 ECU/g was kindly provided by
104 the company Arjowiggins, France. Chemicals were used as received from suppliers: sodium
105 hydroxide (NaOH 50 % in H₂O, Sigma-Aldrich), acetic acid (CH₃COOH ≥ 99.7 %, Sigma-
106 Aldrich), sodium acetate trihydrate (CH₃COONa · 3H₂O ≥ 99.0 %, Sigma-Aldrich),
107 bis(ethylenediamine)copper(II) hydroxide solution (Cu(H₂NCH₂CH₂NH₂)₂(OH)₂ 1.0 M in H₂O,
108 Sigma-Aldrich), 3,5-Dinitrosalicylic acid ((O₂N)₂C₆H₂-2-(OH)CO₂H, 98 %, Sigma-Aldrich),
109 Potassium sodium tartrate tetrahydrate (KOCOCH(OH)CH(OH)COONa · 4H₂O, 99 %, Sigma-
110 Aldrich), total protein kit, micro Lowry, Peterson's modification (Sigma-Aldrich).

111 2.2 Bioextrusion

112 2.2.1 Pulp preparation

113 Cellulose fibers were dispersed in water at room temperature with a laboratory scale pulper
114 for 10 minutes into a 2 wt% suspension. The suspension was refined with a Valley beater
115 (Voith, Germany) until a fibrillation degree of 80 SR as measured with a Shopper Riegler (SR)
116 tester (Paper Testing Association, France) following the ISO 5267-1 standard. This step
117 enables a first weakening of the pulp to avoid clogging at the first pass with the TSE (Rol,
118 Vergnes, et al., 2020). The suspension was then filtered until a concentration of 15 wt% with
119 a nylon sieve of 1 μm mesh size.

120 2.2.2 Fibrillation by twin-screw extrusion

121 Bioextrusion was carried out using a twin-screw extruder with a diameter of 16 mm and a
122 length of 640 mm (Thermo Scientific HAAKE Rheomex OS PTW 16 + HAAKE PolyLab OS
123 RheoDrive 7). Screw configuration selected was previously used and described by Rol et al.,
124 2020 (Fig. 1a). This screw profile alternates between conveying elements and mixing areas
125 with reverse elements, to produce shearing forces for cellulose fibrillation. Extrudates were
126 fed with a force feeder (Thermo Scientific, PolyLab OS 567-4002) commonly used in the food
127 industry. A feeding speed of 2 % of the maximal speed was used, leading to an average dry
128 flow of 115 ± 37 g/h. The screw speed was 400 rpm. Temperature was controlled by a
129 cooling system with a setpoint of 10°C, to avoid heating and clogging of pulp due to friction.
130 The pulp underwent 4 passes in total. Due to processing issues, 80 SR cellulose pulp was first
131 hand fed into the extruder at 15 wt% as a pretreatment step (First pass). *In situ* injection of
132 enzyme or buffer solution (reference) was done during the second pass. Third and fourth
133 passes were used to pursue the bioextrusion or the reference treatments. Samples were
134 recovered after each pass, and the associated energy consumptions were calculated with
135 the following equation:

136 Specific Energy Consumption (kWh/t) = $\frac{N \times C \times P_{\max}}{N_{\max} \times C_{\max} \times Q}$ (1)

137 where N is the screw rotation speed in rpm, N_{\max} the maximal rotation speed (1100 rpm),
138 P_{\max} the motor maximal power (7 kW), C the measured torque in N.m, C_{\max} the maximal
139 torque (130 N.m) and Q the total flow rate in t/h. The specific energy consumption values
140 were cumulated for each pass, and the energy consumption of the refining step was not
141 considered.

142 2.2.3 *In situ* enzymatic hydrolysis

143 The pulp underwent a first pass in the twin-screw extruder at 15 wt%, without the addition
144 of any chemical, in order to homogenize pulp pellets and mechanically pretreat the
145 substrate for further processing with the force feeder. A solution of enzymes was injected
146 during the second pass, to assess the efficiency of *in situ* enzymatic hydrolysis. The total
147 contact time between enzymes and pulp was 90 min. A reference sample was produced for
148 comparison, by injecting a buffer solution without enzymes under the same process
149 conditions. The enzyme solution was composed of FiberCare R cellulase and acetate buffer
150 at pH 5.0 (acetic acid and sodium acetate trihydrate). The enzyme concentration of the
151 solution was 10.3 mg/L. The latter was injected from the second module of the extruder
152 using a peristaltic pump (Thermo Scientific) with a flow of 0.25 kg/h. The protein to cellulose
153 ratio was 2 ‰. The dilution induced by the injection of enzyme and reference solutions was
154 compensated by mild pulp drying during process, leading to pulp solid contents of
155 approximatively 20 wt%. The recovered samples were divided in two parts, one for the
156 measurements of cellulase content and activity, the other one for fiber and nanofibrils
157 characterization. For the latter, enzymes were directly deactivated by heating the
158 suspension to 90 °C in a water bath for 5 minutes.

159 2.3 Cellulase content and activity

160 2.3.1 Sugars analysis

161 Pulp samples were diluted with acetate buffer (pH 5.0) in order to reach a solid content of 18
162 wt% while keeping good pH conditions for the enzymes. They were then centrifuged at
163 10,000 g for 10 min at 4°C. The reducing sugar content in the supernatants was determined
164 using the 3,5-dinitrosalicylic acid (DNS) method (Miller, 1959). This method titrates all the
165 reducing functions of the different sugars in solution (e.g. oligosaccharides, pentoses,
166 hexoses etc.). All determinations were carried out in triplicate.

167 2.3.2 Protein analysis

168 Protein content was measured using a total protein kit, micro Lowry, Peterson's modification
169 (Peterson, 1977). Measurements were conducted on liquid supernatants of extrudates after
170 centrifugation, and all determinations were carried out in duplicate.

171 2.3.3 Cellulase activity measurements

172 Cellulase activity measurements were done using the carboxymethyl cellulase assay
173 according to Ghose (1987). Minimum protein concentration obtained with bioextrudate
174 supernatants was 0.028 ± 0.001 mg/mL. For practical reasons, further activity measurements
175 were done using 0.5 mL enzymatic solutions at a protein concentration of 0.026 mg/mL. The
176 same level of measured protein concentration allows the comparison of the remaining
177 cellulase activity after the bioextrusion process. All determinations were carried out in
178 triplicate.

179 2.4 Cellulose fibers and nanofibrils properties

180 2.4.1 Nanopapers preparation

181 Nanopapers (60 g/m²) were made by filtration with a Rapid Köthen sheet former. 2 g of CNF
182 were dispersed to 0.5 wt% with deionized water, followed by 1 min of homogenization with
183 an Ultra Turrax T-25 disperser (IKA, Germany) at 8,000 rpm. Nanopapers were formed by
184 filtrating the suspension under a vacuum of – 500 mbar, the sheet former being equipped
185 with an additional nylon sieve of 1 µm mesh size. The CNF sheets were further dried
186 between two nylon sieves under vacuum at 85 °C for 20 min. Three nanopapers were made
187 for each CNF suspension. Before characterization, the nanopapers were stored in a
188 conditioned room at 23 °C and 50 % RH for 48 h.

189 2.4.2 MorFi analysis

190 The analysis of fiber morphology was carried out with the image acquisition system MorFi
191 LB-01 fiber analyzer (Techpap, France). 300 mg of pulp were dispersed in 7 L of water with
192 constant recirculation in the apparatus. The fiber / fine limit was set to 200 µm in length, and
193 the number of analyzed fibers was set to 5,000. The resolution of the MorFi is estimated to
194 be around 5 µm. Two analyses were done on each sample, and average fiber length (µm)
195 and fine content (% in area) were determined.

196 2.4.3 Optical microscopy

197 Optical microscopy images were taken with a microscope Axio Imager M1 (Carl Zeiss,
198 Germany) equipped with an AxioCam MRc 5 digital camera. Suspensions were diluted to 0.1
199 wt% and homogenized for 1 min with an Ultra Turrax T-25 disperser at 8,000 rpm before
200 slide preparation. Magnifications of 50 and 100 times were used, at least 8 images were
201 taken for each magnification and the most representative are presented.

202 2.4.4 Degree of polymerization

203 The degree of polymerization (DP) was measured with the ISO 5351:2010 standard. Fibers
204 were dissolved in a bis(ethylenediamine)copper(II) hydroxide solution, and a capillary
205 viscometer was used to determine the intrinsic viscosity $[\eta]$ in mL/g. The viscosity average
206 DP_v was calculated using the Mark-Houwink-Sakurada equation:

$$207 \quad DP_v^{0.905} = 0.75 \times [\eta] \quad (2)$$

208 An average DP value was calculated from triplicates.

209 2.4.5 X-ray diffraction

210 X-ray diffraction (XRD) was performed on samples under the form of nanopapers deposited
211 on a zero-background Si substrate. An amorphous reference (powder form) was produced by
212 cryocrushing 1 g of raw eucalyptus fibers for 20 minutes at an oscillation frequency of 30 Hz,
213 with 2 zirconium balls in a 20 mL chamber cooled with liquid nitrogen. A diffractometer
214 X'Pert Pro MPD (PANalytical, Netherlands) was used, equipped with a Bragg-Brentano
215 geometry and a copper anode ($K\alpha \lambda = 1.5419 \text{ \AA}$). The angle 2θ ranged from 6° to 60° with a
216 0.05° interval. The crystallinity index was calculated by amorphous subtraction with the
217 following formula (Foster et al., 2018):

$$218 \quad CI (\%) = \frac{A_c}{A_c + A_a} \times 100 \quad (3)$$

219 where A_c corresponds to the crystalline area of the XRD pattern, and A_a to the amorphous
220 area of the XRD pattern (amorphous reference). The crystalline area is therefore obtained by
221 subtracting the area of the amorphous reference to the area of the sample's total XRD
222 pattern.

223 2.4.6 Turbidity

224 Turbidity was measured with a turbidity meter AL-250 (Aqualytic, Germany) on 0.1 wt%
225 suspensions homogenized for 1 min with an Ultra Turrax T-25 disperser at 8,000 rpm. The
226 test measures the scattered light at 90° from an incident light ($\lambda = 860$ nm), and the values in
227 Nephelometric Turbidity Units (NTU) are related to the size and shape of the cellulosic
228 fibrous elements of the suspension. Nine measurements were done for each sample and the
229 average value was calculated.

230 2.4.7 Transmittance

231 The transmittance of samples in the form of nanopapers was measured with a UV-
232 spectrophotometer (Shimadzu Manufacturing, USA) in photometric mode at a wavelength of
233 550 nm. Three measurements were done on each nanopaper, 3 nanopapers were analyzed
234 for each sample leading to 9 measurements in total, and the average transmittance value
235 was calculated.

236 2.4.8 Tear resistance

237 Tear resistance of nanopapers was measured with a tear tester equipped with a 4000 mN
238 pendulum (Noviprofibre, France) in a conditioned room (23 °C and 50 % RH). Samples of 50 ×
239 65 mm² were pre-cut, and the force (in mN) to complete the propagation of the cut was
240 measured. Three measurements were done on each nanopaper, 3 nanopapers were
241 analyzed for each sample leading to a total of 9 measurements, and the average value was
242 calculated.

243 2.4.9 Tensile test

244 Tensile properties of nanopapers were measured in a conditioned room (23 °C and 50 % RH),
245 with a dual column testing system 5965 of 50 kN maximum force (Instron, USA), following

246 the NF Q03-004 standard. $15 \times 100 \text{ mm}^2$ samples were tested with a tensile speed of 10
247 mm/min. The Young's modulus of each sample was calculated using the thickness values of
248 the nanopaper as measured for porosity. Three measurements were done on each
249 nanopaper, 3 nanopapers were analyzed for each sample leading to 9 measurements in
250 total, and the average value was calculated.

251 2.4.10 Porosity

252 Nanopapers were stored 48 h in a conditioned room (23 °C and 50 % RH) and weighted for
253 basis weight calculation. A Lhomargy micrometer was used to measure thickness at 8
254 different positions on the nanopaper, and an average value was calculated. The porosity of
255 the nanopaper (%) was then calculated using the following formula:

$$256 \text{ Porosity} = 1 - \frac{\text{BW}}{e \times \rho_c} \times 100 \quad (4)$$

257 where BW is the basis weight (kg/m^2), e the thickness (m) and ρ_c the density of cellulose
258 (1540 kg/m^3).

259 2.4.11 Quality index

260 A quality index, adapted from the publication of Desmaisons et al., 2017, was used for the
261 comparison of CNF suspensions together. This value regroups 5 tests assessing CNF optical
262 and mechanical properties (turbidity, transmittance, tear resistance, Young's modulus and
263 porosity), and is representative of the global quality of CNF suspensions. The adapted
264 equation used for quality index calculation was identical to that used by Banvillet et al.,
265 (2021).

266 3. Results and discussion

267 3.1 Influence of bioextrusion on process parameters

268 The impact of the addition of enzymes on process parameters during extrusion was first
269 assessed, with the measurement of the torque and dry mass flow of pulp (Fig. 1b). The first
270 pass, corresponding to the extrusion of pulp alone, is associated with a dry mass flow of 0.10
271 kg/h and a torque of 41 N.m. The addition of a buffer solution during the second pass
272 (reference) leads first to an increase of the flow to a value of 0.17 kg/h, then to a drastic
273 decrease of this value, with a flow of 0.05 kg/h during the fourth pass. The addition of the
274 enzyme solution leads to a similar increase of flow during the second pass, which decreases
275 during the subsequent passes. However, while the tendency is comparable to the reference,
276 the decrease of the flow is less important and the final value of 0.12 kg/h during the fourth
277 pass remains higher than the initial dry mass flow. The effect of the enzyme solution is
278 clearly visible with the evolution of the torque. Thus, just after the addition of the enzymes,
279 a major decrease of the torque is observed down to a limit value of 15 N.m, which remains
280 stable for the subsequent passes. This major decrease is not observed with the reference
281 solution, for which the torque decreases gradually to reach a final value of 21 N.m. These
282 differences of process parameters are a first indicator that enzymes are indeed active during
283 extrusion. The decrease of the torque and relative increase of the dry mass flow are likely
284 due to the decrease of pulp viscosity during enzymatic hydrolysis (Nguyen et al., 2015). This
285 phenomenon has a positive impact on the output and energy consumption, as the torque is
286 taken into account in the calculation of specific energy consumption (equation 1).

287 3.2 Characterization of enzymatic hydrolysis efficiency

288 3.2.1 *In situ* activity of enzymes

289 The X-ray diffraction patterns were studied for each sample to determine the evolution of
290 cellulose crystallinity during the process. The XRD pattern after 1 pass was typical of
291 cellulose I β crystalline structure, with typical diffraction peaks at 2θ angles of 15.2° , 16.7° ,
292 22.6° and 34.7° , corresponding to the $(1\bar{1}0)$, (110) , (200) and (004) lattice planes respectively
293 (French, 2014; Park et al., 2010). With the addition of the reference solution, further passes
294 through the TSE led to a decrease of the peak intensities, while maintaining the same
295 cellulose I β structure. With the addition of the enzyme solution, an increase of peak
296 intensities was observed during the second and following passes. For this sample, the
297 cellulose I β structure was also maintained. This increase is therefore due to the enzymatic
298 hydrolysis, which occurs preferentially on disordered cellulose (Bansal et al., 2009). The
299 resulting samples contain a relative higher amount of crystalline cellulose, leading to a
300 globally higher crystallinity.

301 The crystallinity index (CI) was calculated with the XRD patterns of the sample and
302 amorphous cellulose by amorphous subtraction (Fig. 2). This method was used for an
303 optimal accuracy, as the peak height method tends to lead to overestimated values
304 (Ahvenainen et al., 2016; Park et al., 2010). The TSE process alone, with the addition of
305 reference solution, leads to a progressive decrease of CI from 70.4 % to 59.3 %, which
306 remains stable at high energy consumptions. This effect has been widely described for TSE
307 (Ho et al., 2015; Rol et al., 2017) and mechanical fibrillation processes in general, and is due
308 to the partial destruction of the cellulose crystalline structure under high shear. This also
309 leads to a progressive decrease of degree of polymerization (Fig. 2), due to the degradation
310 of cellulose chains (Rol, Banvillet, et al., 2018). The initial degree of polymerization (DP) of
311 1086 decreases therefore linearly until a value of 853, associated with a high energy
312 consumption (26.6 MWh/t).

313 The addition of enzymes, however, drastically changes the crystalline structure of the pulp.
314 The CI shifts from 67.0 % to 73.7 % after the addition of enzymes, with a low increase of
315 energy consumption from 7.9 to 9.8 MWh/t. This value stabilizes and decreases during the
316 subsequent passes, until a final CI of 70.2 % associated with an energy consumption of 14.3
317 MWh/t. The effect of enzymes is also clearly visible on the evolution of DP (Fig. 2), with a
318 brutal decrease from 1003 to 419. It should be noted that the DP decrease observed here (-
319 58 %) is in the same order of magnitude or higher than the DP decrease observed with the
320 use of endoglucanases in dilute conditions. This decrease can range from - 25 % (Hu et al.,
321 2018) to - 65 % (Henriksson et al., 2007) depending on the cellulose source and enzyme
322 dosage. The DP stabilizes and slightly decreases with further passes through the TSE, until a
323 final value of 368. The brutal increase of CI and decrease of DP both confirm the efficiency of
324 enzymes on the 15 wt% solid content pulp. The enzymes cause a decrease of the length of
325 cellulose chains by hydrolysis, and the partial removal of disordered cellulose leading to a
326 higher crystallinity. However, the rapid DP stabilization underlines an important hydrolysis
327 kinetics for the first pass with enzymes, which decreases for subsequent passes. This is
328 possibly due to poor mobility of proteins once dispersed in the pulp, due to its high solid
329 content (Gatt et al., 2018). The evolution of cellulose crystallinity also confirms this
330 hypothesis. For the last two passes with enzymes, the negative effect of the process on
331 cellulose crystalline structure becomes prominent again, causing a decrease of the CI.

332 The activity of enzymes was assessed by recovering the supernatant of the extruded pulp
333 after centrifugation. The measured reducing sugar content in the supernatant demonstrates
334 the existing *in situ* enzymatic activity during bioextrusion (Fig. 3). The value obtained without
335 enzymes (pass 1) shows that the physical constraint itself (thermal and mechanical) is not
336 sufficient for reducing sugar production. The presence of enzymes is therefore mandatory

337 for this sugar production, as reported by (Gatt et al., 2019). The increasing sugar content
338 with the increasing number of passes underlines the conservation of this activity throughout
339 the process.

340 3.2.2 *Ex situ* activity of enzymes

341 Maintained activity was furthermore proved by the direct measurement of cellulase *ex situ*
342 activities with a carboxymethyl cellulase assay (Fig. 3). The initial activity of 0.10 CMCU/mL
343 for FiberCare alone shifts to values between 0.17 and 0.24 CMCU/mL depending on the
344 number of passes through the TSE. Despite the high solid content of 15 wt% and shear rate
345 of 215 s^{-1} (Rol, Vergnes, et al., 2020), these results indicate that the free proteins are still
346 active. Post-extrusion slightly higher results could be due to more accessible cellulose
347 fragments produced during bioextrusion and mixed in the supernatants with remaining
348 proteins. These sugars are not part of the CMC substrate solution during the measurement
349 of cellulase activity. It has to be underlined that post-extrusion activities are not significantly
350 different for each pass, considering the standard deviations. Two different hypothesis can be
351 drawn from that observation. First, the physical constraints of the extrusion process do not
352 appear to deactivate the free remaining cellulases, even when repeated. Then, the
353 increasing sugar content after the second or the third pass might also contribute to a higher
354 content of more accessible cellulose fragments, thus falsely increasing the corresponding
355 enzymatic activity.

356 The remaining protein contents into the bioextrudate supernatants were also measured,
357 with values of 4.3×10^{-2} , 2.8×10^{-2} and 3.0×10^{-2} mg/mL after 2, 3 and 4 passes with
358 enzymes, respectively. These values are a significant proof that it is possible to recover these
359 enzymes after the extrusion with a simple procedure, even though the complex process

360 conditions (high solid content and mechanical constraints). Furthermore, these enzymes
361 being active, it would be possible to recycle them and, for example, reduce the amount of
362 required enzymes for a new process. However, there is a clear loss of most of the enzymes
363 at the end of the process, worsened by its repetition. A third of the recovered enzymes in
364 the first bioextrusion pass (pass 2) are lost after the following pass (pass 3). Rates of
365 recovery would probably be improved by a washing and filtration step.

366 3.3 Cellulose fibers and nanofibrils properties

367 3.3.1 Morphological properties

368 A quantitative analysis of the fibers morphological changes was realized with a MorFi tool
369 (Fig. 4). On one hand, the creation of fine elements (length < 200 μm) due to extrusion is
370 visible with the major increase of fine content after 4 passes, from 7.2 % (starting pulp) to
371 54.0 and 49.5 % for reference and enzyme solutions, respectively. On the other hand, the
372 average fiber length decreases rapidly, from 538 μm (starting pulp) to 310 and 334 μm for
373 reference and enzyme solutions, respectively. These values quantitatively indicate the fiber
374 cutting and fiber deconstruction into micro-scale elements due to the high mechanical
375 constraints of the process. However, this analysis does not show any difference between the
376 reference and bioextrusion. In contrast, optical microscopy images reveal several differences
377 in the fiber and fine elements morphology depending on the treatment. For the reference
378 solution, the number of aggregates increases with fibers deconstruction in the process.
379 These aggregates of fiber fragments and cellulose microfibrils are detected as fine elements
380 by the MorFi analysis, but lead to a poor homogeneity of the suspension. This relative
381 heterogeneity is expected to have a negative impact on the mechanical properties, as these
382 aggregates can increase the number and the size of pores in the nanopapers and act as

383 fracture-initiating points (Siró et al., 2011). In contrast, the enzyme solution does not lead to
384 fiber aggregation, and the fine elements are more evenly dispersed. This relative
385 homogeneity indicates the efficiency of enzymatic hydrolysis and its positive impact on the
386 presence of aggregates. The enzymatically weakened pulp seems to be more easily and
387 evenly deconstructed by the TSE. In both cases, residual fibers or fiber fragments are present
388 in the pulp, and negatively affect its mechanical and optical properties. These observations
389 were confirmed by SEM pictures. Aggregates obtained with the reference solution were
390 found to be composed of destroyed fibers and CNF bound together during extrusion, which
391 cannot be easily dispersed even after Ultra Turrax mixing. Such aggregates were absent of
392 the sample treated with the enzyme solution. For both samples, the presence of fibers, fiber
393 fragments, and networks of cellulose micro- and nanofibrils was observed.

394 3.3.2 Mechanical and optical properties

395 The mechanical and optical properties of the different samples are reported in Table 1.
396 Initial refined pulp at 80 SR has a relatively low turbidity (272 NTU) due to well-individualized
397 fibers and the absence of aggregates. On one hand, the size of the fibers and the resulting
398 large pores in the paper lead to a low transmittance (1.24 %). On the other hand, the
399 mechanical properties of the paper are high (376 mN and 7.33 GPa for tear resistance and
400 Young's modulus, respectively) due to the intact fibers reinforced by external fibrillation. The
401 TSE with reference solution leads to a considerable loss of all properties. This effect has been
402 described in the literature (Ho et al., 2015) and results from the destruction of the cellulose
403 and fiber structure previously observed (CI, DP and morphology). The increase of turbidity to
404 a value of 790 NTU and porosity to a value of 62.0 % are due to the important number of
405 aggregates caused by extrusion, as observed with optical and scanning electron microscopy.

406 Such aggregates also lead to a drastic decrease of transmittance (0.51 %), caused by the
407 heterogeneity of the sample. Furthermore, low Young's modulus (4.17 GPa) indicates that
408 fibers are indeed weakened during the process without the creation of a reinforcing CNF
409 network. Further passes lead to unchanged or worsened (*e.g.* turbidity, Young's modulus
410 and porosity after 4 passes) material properties compared to the reference.

411 The bioextrusion leads to significant differences of pulp properties. After one pass with
412 enzymes (second pass), the transmittance increases to a value of 3.00 % and tear resistance
413 decreases to a value of 72 mN, which shows an effective fiber cutting and creation of fine
414 elements. However, the turbidity (588 NTU), porosity (52.1 %) and Young's modulus (6.24
415 GPa) are negatively affected. These values confirm the aggregation phenomenon with the
416 enzyme solution, although less important than with the reference solution. Further passes
417 lead to a final value of 5.96 % for transmittance, 42 mN for tear resistance, and 7.48 GPa for
418 Young's modulus, the latter being comparable to the starting pulp. Turbidity and porosity
419 remain high (525 NTU and 47.4 % respectively), due to the aggregates and fiber fragments
420 present in the sample.

421 The evolution of quality index as a function of specific energy consumption shows a clear
422 difference in the pulp properties for the bioextrusion compared to the reference (Fig. 5). The
423 first pass without any treatment leads to a quality index of 24.6. With the use of reference
424 solution, the mild fibrillation during the process does not compensate the loss of mechanical
425 and optical properties, for a final quality index of 39.8 and energy consumption of 26.6
426 MWh/t. The addition of enzymes leads to a significant increase of quality index to 45.7, and
427 the final quality index (55.5) is obtained for a lower energy consumption, 14.3 MWh/t.

428 Latter quality index places the bioextruded sample among the low grades commercially
429 available CNF (Desmaisons et al., 2017). Globally, its properties are significantly lower than
430 those from recent literature. With an enzymatic pretreatment in dilute conditions, porosities
431 lower than 20 % and Young's moduli between 8 and 15 GPa are usually obtained depending
432 on the fibrillation process (Benítez & Walther, 2017). These results underline that the
433 bioextrusion process presented here is not yet optimized for CNF production, as (i) the
434 extrusion process itself causes a destruction of the cellulose structure and a global fiber
435 weakening, (ii) the CNF network takes time to be created which does not compensate the
436 mechanical and optical properties loss, and (iii) the aggregation of fiber fragments and CNF
437 during extrusion has a negative effect on the pulp homogeneity, even though this
438 aggregation was reduced with the use of enzymes. The energy consumption remains higher
439 than the target for industrial CNF production, which is nowadays around 3 MWh/t with
440 optimized processes like refining (Assis et al., 2018). However, a large part of this energy
441 consumption is due to the first pass without any treatment, which could be removed by a
442 direct addition of enzymes. It should be noted that the cumulative energy consumption of
443 the 3 passes with enzyme solution only is 6.4 MWh/t. With an optimization of the screw
444 profile and process conditions (enzyme/cellulose ratio, pulp solid content, enzyme type), this
445 energy consumption could be reduced even more. The resulting high solid content pulp
446 could be of great interest for applications like molding (Rol, Billot, et al., 2020), while
447 improving the process ease and decreasing the transport costs.

448 4. Conclusions

449 This work proves the feasibility of CNF production with bioextrusion. Enzyme *in situ* activity
450 was proven, with a soluble sugar production, drastic increase of CI, decrease of DP and

451 positive effect on process parameters. Bioextrusion led to the production of CNF with less or
452 no fiber aggregation, resulting in better properties. Furthermore, enzymes were recovered
453 in the bioextrudates by centrifugation. Despite the harsh process conditions, they were
454 proven to be still active. These results open the possibility to recycle enzymes after
455 bioextrusion processes. The high solid content throughout the whole process is a major
456 asset for coating or molding applications.

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466 Appendix

467 E-supplementary data to this article can be found in online version of the paper.

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638

639 Figure captions

640 Fig. 1. (a) screw profile used for the twin-screw extrusion, (b) calculated dry mass flow and
641 measured torque during the extrusion process, as functions of the number of passes through
642 the extruder. The asterisks indicate the first analysis after the addition of enzyme or
643 reference solution. Dashed lines are guides to the eye.

644 Fig. 2. Degree of polymerization and crystallinity index calculated from XRD pattern as
645 functions of the specific energy consumption. The asterisks indicate the first analysis after
646 the addition of enzyme or reference solution.

647 Fig. 3. Sugar content in glucose equivalent concentration and enzymatic activity, as functions
648 of the number of passes through the extruder. The enzyme solution is injected at the
649 beginning of pass 2. The analyses are carried out on the supernatant of bioextrudates after a
650 centrifugation at 10,000 g for 10 min at 4°C. The enzymatic activities are measured for a
651 protein concentration of 0.026 mg/mL.

652 Fig. 4. Fine content and average fiber length obtained with a MorFi analyzer, as functions of
653 the specific energy consumption.

654 Fig. 5. Quality index as a function of the specific energy consumption. The asterisks indicate
655 the first analysis after the addition of enzyme or reference solution. Dashed lines are guides
656 to the eye.

657 Tables and Figures

658

659 Table 1. Optical and mechanical properties of CNF in suspension or as nanopapers, and their

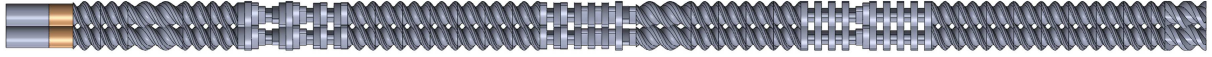
660 associated quality index.

	Turbidity (NTU)	Transmittance (%)	Tear resistance (mN)	Young's modulus (GPa)	Porosity (%)	Quality index
80 SR	272 ± 6.8	1.24 ± 0.24	376 ± 73	7.33 ± 0.71	44.8 ± 8.1	36.9 ± 4.5
1 pass	790 ± 25.9	0.51 ± 0.05	199 ± 23	4.17 ± 0.23	62.0 ± 3.0	24.6 ± 2.9
2 passes reference	771 ± 26.7	0.49 ± 0.01	121 ± 8	3.43 ± 0.16	60.5 ± 2.6	27.4 ± 2.2
3 passes reference	772 ± 25.2	0.59 ± 0.01	97 ± 7	3.69 ± 0.13	57.7 ± 1.9	30.8 ± 1.9
4 passes reference	752 ± 23.5	1.42 ± 0.12	72 ± 4	5.21 ± 0.39	51.2 ± 3.4	39.8 ± 2.8
2 passes enzyme	588 ± 31.9	3.00 ± 0.09	72 ± 8	6.24 ± 0.32	52.1 ± 0.3	45.7 ± 2.1
3 passes enzyme	537 ± 15.7	4.84 ± 0.45	53 ± 9	6.44 ± 1.20	49.4 ± 2.8	50.9 ± 4.5
4 passes enzyme	525 ± 19.6	5.96 ± 0.55	42 ± 8	7.48 ± 0.38	47.4 ± 0.8	55.5 ± 2.7

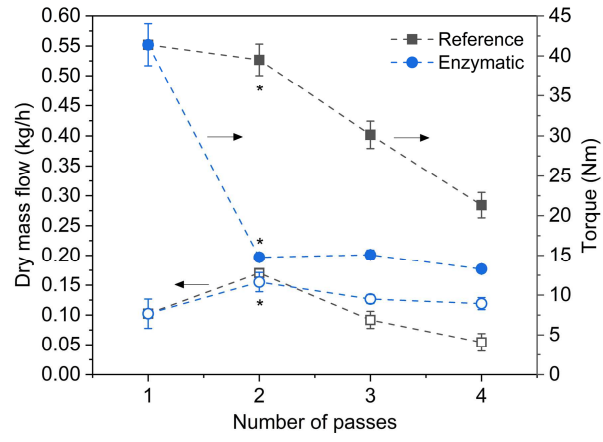
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(a)



(b)

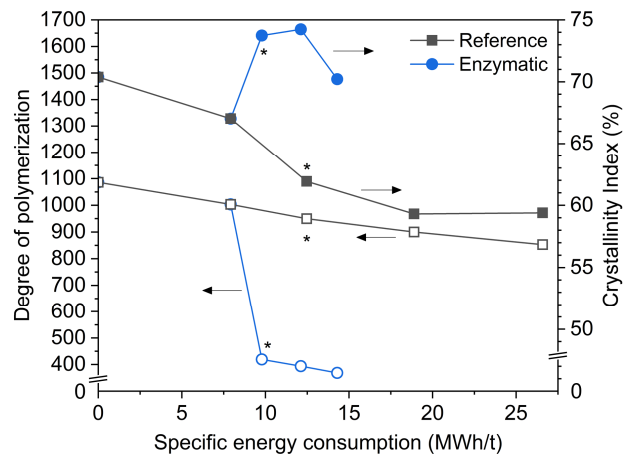


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Fig. 1.

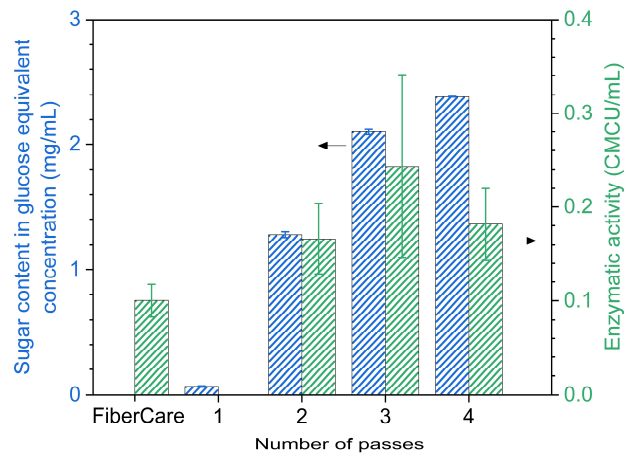
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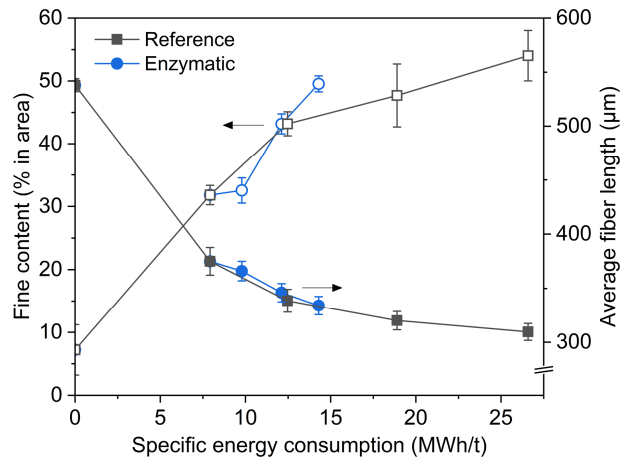
Fig. 2.



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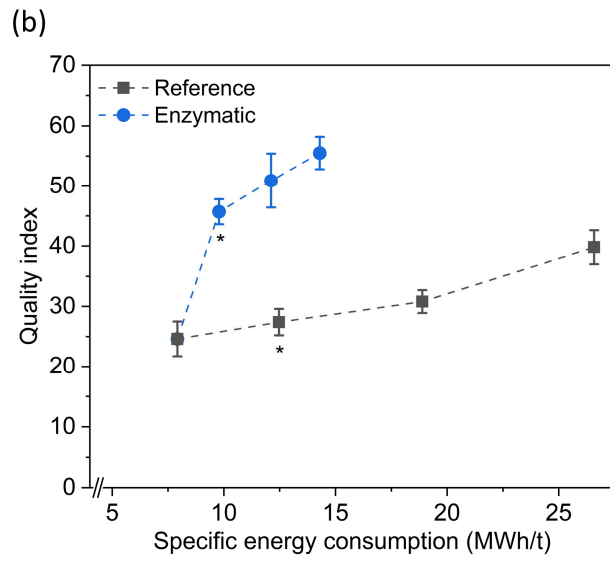
Fig. 3.



670

671

Fig. 4.

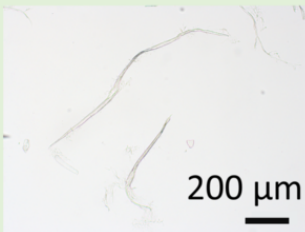


672

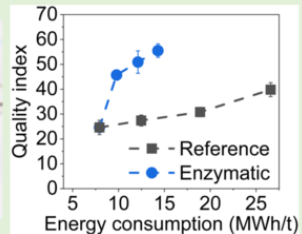
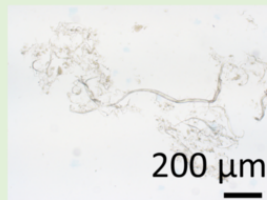
673

Fig. 5.

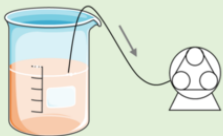
cellulose fibers



efficient fiber deconstruction



endoglucanase



enzymes
recovered and
still active
(0.20 CMCU/mL)