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1	Trilayered block copolymer nanostructures formed by an
2	iterative layering strategy
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6	Keywords: block copolymer, thin film layering, 3D hierarchical structures.
7	
8	Abstract
9	Nanostructured block copolymer (BCP) thin films enable the formation on-demand of a variety of
10	periodic patterns at the nanometer scale by tuning the macromolecular BCP characteristics and
11	annealing processes. Significant progress in the control of the self-assembly has been witnessed
12	over the past decade with the implementation of robust directed self-assembly methods. However,
13	the self-assembled structural patterns obtained at equilibrium are limited and methods to expand the
14	range of structural configurations are required to harness additional functionalities. Here, we
15	demonstrate how PS-b-PMMA BCP thin layers can be stacked to produce a library of complex
16	three-dimensional hierarchical heterostructures. In this iterative assembly process based on simple
17	building bricks (i.e.; immobilized BCP patterns forming Holes, Lines and Dots), the stacking
18	configuration (i.e. self-assembly and registration) of a BCP thin film is directed with respect to the
19	previous layer using confinement effects and interfacial energy tuning. This responsive layering can
20	lead to intricate three-dimensional Al <sub>2</sub> O <sub>3</sub> structures and opens the way to a broad variety of structural
21	designs toward functional applications.

#### 23 **1.** Introduction

The self-assembly of block copolymers (BCPs) is the focus of intense research for the bottom-up 24 fabrication of structures and patterns with nanometer-scale periodicity.<sup>[1–4]</sup> Indeed, the 25 manufacturing of ordered nanostructures drives various fields of materials science with the implicit 26 challenges of size reduction and geometrical variety of features. BCPs are formed by the covalent 27 bonding of two or more macromolecular sequences,<sup>[5,6]</sup> and their self-assembly yields an array of 28 phase-separated morphologies that can be selected through the BCP macromolecular characteristics 29 (i.e. block chemical nature and sequence, molecular weight, composition, dispersity, etc.).<sup>[7,8]</sup> For 30 instance, the simplest diblock architecture affords the generation of spherical, cylindrical, or 31 lamellar structures which can be applied in thin film for the definition of well-ordered 2D arrays.<sup>[9–</sup> 32 <sup>11]</sup> As a potential candidate for next-generation nanolithography,<sup>[3,12,13]</sup> nanostructured BCP thin 33 films supplement conventional top-down fabrication methods for the development of masks,<sup>[14,15]</sup> 34 sensors,<sup>[16,17]</sup> membranes,<sup>[18–20]</sup> nanoelectronics,<sup>[21–23]</sup> conductors,<sup>[24,25]</sup> or nanophotonics,<sup>[26]</sup> among 35 36 others. Additionally, the soft matter-based periodic structures can be transferred into a substrate or converted into inorganic features (metal, metal oxide, etc.) through chemical transformation and/or 37 selective removal of one of the BCP domains.<sup>[27–30]</sup> This bottom-up methodology has proven to be 38 39 a versatile fabrication tool for the manufacturing of intricate cost-effective large-scale nanopatterns.<sup>[31,32]</sup> In this context, it is of great interest to achieve more complex geometrical 40 patterns while having access to precise positioning of BCP features. Indeed, finely tailor-made 41 structures can induce additional functionalities. For instance, complex dot arrays of metal 42 nanoclusters patterned via BCP self-assembly were employed to decipher the electrocatalytic 43 processes at an oxide electrode surface.<sup>[33]</sup> Another demonstration of interest is the manufacturing 44 of moiré superstructures formed by stacked layers of dot arrays useful in the design of photonic 45 metasurfaces with tunable optical properties.<sup>[34,35]</sup> However, the segregation behavior inherent to 46 47 BCP self-assembly limits the achievable self-assembled geometrical patterns. Indeed, they are the

result of an energy-minimization process which tends towards the formation of structures 48 minimizing the interface between BCP domains of different chemical nature.<sup>[5]</sup> An increase in the 49 complexity of self-assembled geometrical patterns can be obtained via macromolecular engineering 50 with the development of elaborated BCP architectures in the form of multiblock copolymers with 51 different sequencing configurations (star, comb or cyclic copolymers).<sup>[36,37]</sup> Additional structuring 52 fields such as dewetting,<sup>[38–40]</sup> mechanical or electromagnetic fields,<sup>[41,42]</sup> solvent vapor or thermal 53 annealing,<sup>[43,44]</sup> or chemically or topographically patterned substrates<sup>[45,46]</sup> have also been shown to 54 55 enlarge the span of geometrical features obtained by BCP self-assembly by stabilizing nonequilibrium configurations. Another strategy involves the stacking of nanostructured BCP films in 56 order to create novel patterns.<sup>[47-49]</sup> Stacking of BCP layers were firstly used to induce increased 57 orientational order for lamellar-forming PS-b-PMMA by capitalizing on the higher correlation 58 length of cylinder-forming PS-b-PMMA.<sup>[50]</sup> This methodology leads to the development of three-59 dimensional (3D) nanostructures enabled by the immobilization of a first BCP layer via 60 crosslinking.<sup>[51,52]</sup> Iterative self-assembly strategies were further refined through the development 61 62 of advanced layering methods in order to generate non-native morphologies including mesh arrays or and complex 3D morphologies.<sup>[17,25,53–57]</sup> For instance, Russell et al. have recently demonstrated 63 how "primed" self-assembly states obtained from stratified BCP bilayers can lead to non-native 64 structural motifs by quenching intermediate ordered states during the self-assembly process.<sup>[58]</sup> 65 These multilayered structures can further demonstrated increased functionality as shown by Cheng 66 et al. with the fabrication of super-hydrophobic silica-like coatings obtained by the stacking of 67 cylinder forming polystyrene-*b*-poly(dimethyl siloxane) BCPs with different periodicity.<sup>[57]</sup> 68 Another example of functionality induced by the engineered stratified layers is the nano-69 manufacturing of 3D electroactive ZnO nanomesh arrays obtained by a combination of iterative 70 71 self-assembly and micro-dose infiltration synthesis showing tunable electrical conductance with the number of stacked layers.<sup>[17]</sup> 72

Recently, we have demonstrated how the stacking configuration of PS-b-PMMA lamellar thin films 73 can be controlled using confinement effects and interfacial energy fields.<sup>[59]</sup> We established a unique 74 set of preferential registration relationships by adjusting both parameters, leading to on-demand 75 configurations between superposed line & space arrays derived from out-of-plane lamellar BCP 76 structures. This concept relies on directing the BCP self-assembly using both chemically and 77 topographically fields generated by an immobilized BCP layer previously deposited. Here, we 78 extrapolated this concept to other BCP morphologies with the aim of exploring the formation of 79 trilayered structures. Using a sequential deposition of simple building bricks (i.e.; Holes, Lines and 80 Dots) generated from BCP self-assembly, PS-b-PMMA thin layers were "responsively" stacked<sup>[55]</sup> 81 to generate a library of complex hierarchical heterostructures. 82

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#### 84 2. Results and discussion

Thin film and responsive layering method. The general process flow for sample preparation is 85 86 depicted schematically in Figure 1a. A detailed explanation of the protocol is given in the experimental section. In brief, a thin film of PS-b-PMMA is spin coated and thermally annealed 87 onto a PS-r-PMMA grafted Si substrate that promotes an out-of-plane orientation of the different 88 BCP morphologies (The PS volume fractions,  $f_{PS}^{\nu}$ , of the grafted PS-*r*-PMMA chains resulting in 89 the formation of out-of-plane lamellae, out-of-plane PMMA cylinders, and out-of-plane PS 90 91 cylinders on a flat Si substrate were 0.7, 0.78, and 0.58, respectively). Indeed, the interfacial energy between the substrate and the BCP domains can be modified by grafted PS-r-PMMA chains leading 92 to a controlled orientation of the BCP structure with respect to the substrate plane.<sup>[60,61]</sup> The PMMA 93 94 domains of the patterns are then selectively infiltrated with Al<sub>2</sub>O<sub>3</sub> by Sequential Infiltration Synthesis (SIS).<sup>[62,63]</sup> The obtained layer exhibits a subtle surface topography (amplitude ca. 2-4 95 nm) coincident with the BCP morphology after immobilization (Figure S1a,b). Finally, the PS 96

97 domains are partially etched by  $O_2$  plasma, leading to an increase of the surface topography 98 (amplitude *ca*. 6 nm) between the inorganic features and the PS domains (**Figure S1**c).

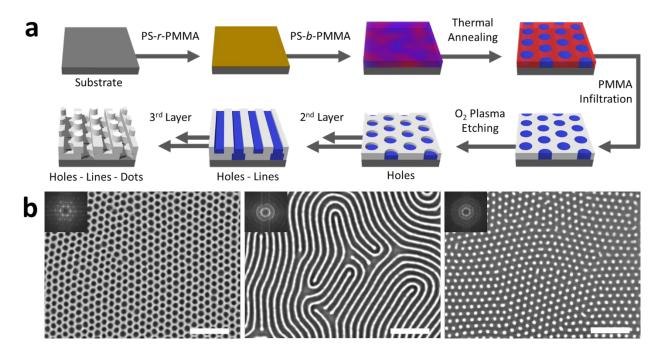


Figure 1. (a) Process flow for the formation of complex 3D structures via iterative self-assembly of
 BCP layers. (b) SEM top-view images of the building blocks after SIS with an intrinsic period of
 32 nm. From left to right: Holes from out-of-plane PS cylinders, Lines from out-of-plane lamellae,
 Dots from out-of-plane PMMA cylinders. Scale bars are 200 nm.

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This protocol was applied to three PS-b-PMMA BCPs of different compositions self-assembling in 105 hexagonally packed PS cylinders ( $f_{PS}^{\nu} = 0.27$ ), lamellae ( $f_{PS}^{\nu} = 0.51$ ) and hexagonally packed 106 PMMA cylinders ( $f_{PS}^{\nu}$  = 0.69). Representative top-view SEM images of the final building blocks 107 (i.e.; Holes, H; Lines, L; and Dots, D) with a common period, L<sub>0</sub>, of 32 nm are given in Figure 1b 108 109 (see Figure S2a for AFM characterizations of the various BCP monolayers after thermal annealing and Figure S2b for low magnification SEM images of the building blocks after SIS and O<sub>2</sub> plasma 110 treatment). A similar protocol is followed for the stacking of the subsequent BCP layers, in which 111 the underneath immobilized layer acts as a chemically and topographically patterned substrate for 112 the following deposited one. In addition to the topographical field, the surface of the immobilized 113 layer is modified by grafting PS-r-PMMA chains. Thereby the fine tuning of both parameters allow 114

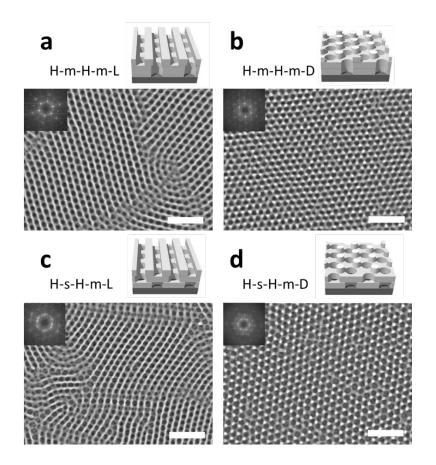
a controlled registration between stacked BCP layers (i.e. a controlled positioning of the upper BCP 115 domains with respect to the underlying pattern immobilized by SIS). Three interfacial configurations 116 for the H, L and D building blocks were probed: a PMMA-Al<sub>2</sub>O<sub>3</sub> affine registration, -m-; a "neutral" 117 118 registration, -n-; and a PS-Al<sub>2</sub>O<sub>3</sub> affine registration, -s- (see Table 2 for the composition of the PS*r*-PMMA grafted layers used to induce the chemical field between the two layers). Significantly, 119 regardless of the chosen interfacial configurations, the out-of-plane orientations of the BCP 120 structures were preserved. Finally, the removal of the PS domains was performed using a prolonged 121 122 O<sub>2</sub> plasma to ease the visualization by SEM of the 3D heterostructures.

3D morphologies. The responsive layering mechanism enables the formation of complex non-123 native patterns that expand the breadth of structures achievable using bottom-up BCP self-assembly. 124 Indeed, the 3D configurations accessible by stacking multiple BCP layers are numerous via the 125 variation of the structural motifs and their periodicity. In this study, we focused on building blocks 126 127 with a periodicity, L<sub>0</sub>, of 32 nm which were designed to assure commensurability between the different stacked patterns, and thus limit chain perturbations. As previously reported for bilayers of 128 129 lamellar structures, we further demonstrate how the stacking configuration of PS-b-PMMA BCPs 130 showing different morphologies can be directed with respect to a previous immobilized BCP layer using confinement effects and interfacial energy tuning. Here we were particularly interested by the 131 formation of 3D trilayered structures for which three different chemical fields can be applied 132 133 between the stacked layers. Indeed, the change of composition of the PS-*r*-PMMA grafted layer inserted between the BCP thin films yields the definition of three types of affinity for each probed 134 BCP morphologies. It is thus possible to define a PMMA-Al<sub>2</sub>O<sub>3</sub> (-m-), a PS-Al<sub>2</sub>O<sub>3</sub> (-s-) or a neutral 135 136 (-n-) affinity in order to study the registration mechanisms between the BCP layers. Notably, not all stacking configurations are accessible with the method described in this study. Indeed, some 137 138 stacking configurations (i.e. L-s-L, D-s-D or L-s-D configurations) were found to be not mechanically stable during the plasma treatment due to the collapse of the top structure inside voidscreated at the time of the plasma etching step (*vide infra*).

Firstly, we examined bilayered structures formed by the superposition of two patterns built with an 141 interfacial chemical field described as PMMA-Al<sub>2</sub>O<sub>3</sub> (-m-). Due to the responsive layering 142 mechanism involving a PMMA-Al<sub>2</sub>O<sub>3</sub> affinity, the PMMA domains of the 2<sup>nd</sup> layer positioned 143 themselves in order to maximize the surface area with the underneath Al<sub>2</sub>O<sub>3</sub> pattern. This behavior 144 results in superimposed patterns for similar BCP structures (i.e., H-m-H, L-m-L or D-m-D) (see 145 146 selected examples in Figure 2a,b, Figure 4a, and Figure S3a) or an "above" registration (i.e., maximizing the contacts between the Al<sub>2</sub>O<sub>3</sub> pattern and the PMMA domains) for dissimilar BCP 147 148 structures (i.e., H-m-L, H-m-D) (see selected examples in Figure 3a-c and Figure S3b). In contrast, when a PS-Al<sub>2</sub>O<sub>3</sub> affine configuration is used, the PMMA domains of the 2<sup>nd</sup> BCP laver are 149 positioned preferably over the pattern voids to favor contact between the Al<sub>2</sub>O<sub>3</sub> and the PS domains 150 151 (see selected examples in Figure 2c,d and Figure S3c). For instance, in this case of H-s-H bilayer, the PS domains of the top BCP layer registered along the vertices of the underneath hexagonal 152 153 pattern in order to maximize contacts between the Al<sub>2</sub>O<sub>3</sub> pattern and the PS domains. Finally, a 154 neutral configuration between the layers leads to a registration mechanism directed by the topography of the underneath Al<sub>2</sub>O<sub>3</sub> pattern. Accordingly, the examined L-n-L bilayered structure 155 is constituted of two line & space patterns arranged in an orthogonal configuration. As previously 156 157 demonstrated by Rahmann et al., such configuration allows to relieve chain perturbations with respect to other stacking types leading to the formation of a grid pattern (see **Figure S3**d).<sup>[55]</sup> 158

These bilayered platforms were further used to examine the registration mechanisms of a third BCP layer as a function of the interfacial configuration. We particularly considered the H-m-H, H-s-H, H-m-L, L-m-L, L-n-L, and H-m-D bilayers as they provided mechanically robust platforms at the time of the ashing step used to reveal the trilayered structures. Indeed, bilayers and trilayers built based on a PS-Al<sub>2</sub>O<sub>3</sub> interfacial field often result in the collapse of the hierarchical layered structures due to the registration mechanisms favoring the positioning of the PMMA domains on top of the
voids of the underneath Al<sub>2</sub>O<sub>3</sub> pattern.

In Figure 2a-d, we used either H-m-H or H-s-H bilayers to stack an additional L or D pattern with 166 a PMMA-Al<sub>2</sub>O<sub>3</sub> interfacial field. For this particular configuration, the PMMA domains of the third 167 layer maximize their coverage with the Al<sub>2</sub>O<sub>3</sub> honeycomb pattern yielding immobilized Al<sub>2</sub>O<sub>3</sub> lines 168 or dots of the third layer positioned either along or above the vertices of the hexagonal pattern. Due 169 to the commensurability between the different building blocks, the underneath pattern acts as a 170 directing field for the lines or dots arrangement, preserving for instance the hexagonal symmetry of 171 the dot pattern (see Figure 2b,d). Accordingly, two different configurations of lines-on-holes (H-172 m-H-m-L and H-m-H-s-L) and dots-on-holes (H-m-H-m-D and H-m-H-s-D) were fabricated 173 depending on the interfacial fields. 174



175

176 Figure 2. SEM top-view images of trilayered configurations based on Holes-on-Holes bilayers (Top

177 left insets are the corresponding FFTs): (a) H-m-H-m-L, (b) H-m-H-m-D, (c) H-s-H-m-L, and (d)

178 H-s-H-m-D. Scale bars: 200 nm.

We then explored trilayered structures based on immobilized H-m-L bilayers as shown in **Figure** 180 **3**a-c. By using a L pattern as a third layer with either a PMMA-Al<sub>2</sub>O<sub>3</sub> or a neutral interfacial field, 181 we respectively obtained a collinear or orthogonal configuration of the lamellar domains with 182 respect to the underneath lines-on-holes structure as shown in Figure 3a,b. It is noteworthy that the 183 H-m-L-n-L configuration generated a grid-on-hole pattern, highlighting the variety of structures 184 185 achievable via iterative stacking. Finally, we used a dot pattern as third layer which was stacked on top of a H-m-L bilayered configuration using a PMMA-Al<sub>2</sub>O<sub>3</sub> interfacial field (Figure 3c). The H-186 m-L-m-D configuration yield a lines-on-holes pattern decorated with dots which are positioned 187 along the vertices of the hexagonal pattern (vide infra). 188

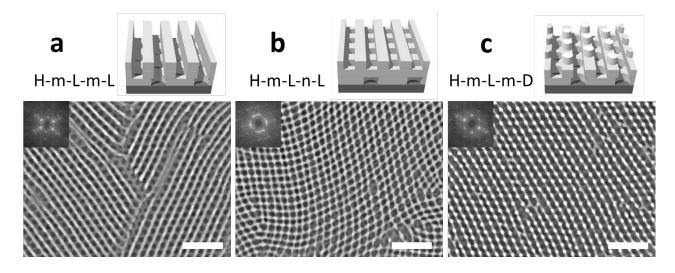


Figure 3. SEM top-view images of trilayered configurations based on Lines-on-Holes bilayers (Top
left insets are the corresponding FFTs): (a) H-m-L-m-L, (b) H-m-L-n-L, and (c) H-m-L-m-D. Scale
bars: 200 nm.

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We further engineered trilayered based on L-m-L or L-n-L patterns as shown in **Figure 4**. The Lm-L-m-D stacking configuration results in a dots-on-lines structure while the L-n-L-m-D configuration produced an orthogonal grid pattern on which Al<sub>2</sub>O<sub>3</sub> dots are positioned along the lines of the 2<sup>nd</sup> immobilized BCP layer. Interestingly, the position of the dots along the line or grid patterns for the H-m-L-m-D (**Figure 3**c) and L-n-L-m-D (**Figure 4**b), respectively, appears to be correlated with the first immobilized layer. Indeed, the dots of both structures are mainly positioned at either the vertices of the hexagonal pattern or the crossing points of the grid pattern. We supposed

201 that this behavior is related to a subtle topography induced by the stacking of the first two layers as the positioning of the dots on top of these bumps would relieve chain stretching.<sup>[55]</sup> Finally, we 202 produced a trilayered mesh pattern using the L-n-L-n-L configuration as shown in Figure 4c. Due 203 204 to the defective nature of BCP self-assembly, the expected mesh configuration depicted in the inset of **Figure 4**c is not fully retrieved and it is difficult to assess from the SEM images if an epitaxial 205 registration is propagated through the stacked layers as in the case of H-m-L-m-D and L-n-L-m-D 206 configurations. Figure S4 shows the configuration of the L-n-L-n-L structure at each step of the 207 208 stacking process (*i.e.* from the first immobilized layer to the final trilayered structure). While the formation of a grid with an orthogonal arrangement between the two first layers is readily visualized, 209 the positioning of the third layer with respect to the first layer does not appear collinear. 210

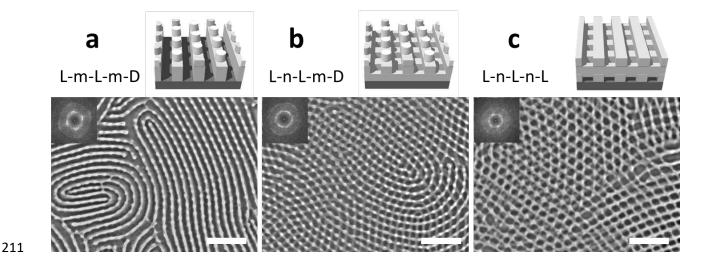


Figure 4. SEM top-view images of trilayered configurations based on Lines-on-Lines bilayers (Top
left insets are the corresponding FFTs): (a) L-m-L-m-D, (b) L-n-L-m-D, and (c) L-n-L-n-L. Scale
bars: 200 nm.

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The aforementioned observations are a critical challenge for the iterative assembly strategy developed in this report. The polycrystalline nature of BCP self-assembly limits the correlation length of the self-assembled structures with the formation of grains with various angular orientations separated by grain boundaries.<sup>[64,65]</sup> The defectivity of a underneath immobilized BCP pattern further transfers to the subsequent stacked BCP structures. Accordingly, it appears important to

implement powerful directed self-assembly strategies (i.e. topographical or chemical templates 221 obtained by photolithography enabling graphoepitaxy or chemiepitaxy) in order to induce long 222 range ordering of the 1<sup>st</sup> immobilized BCP pattern. Indeed, the orientational and translational order 223 of the subsequent BCP layers is strongly correlated with the one of the 1<sup>st</sup> immobilized layer. 224 225 Interestingly, such interdependence can be used advantageously for particular stacking 226 configurations such as H-m-L-m-L, H-m-L-n-L, and H-m-L-m-D. The faster coarsening kinetics of the hexagonally patterned underlying BCP layer can assist the lamellar alignment as demonstrated 227 previously by Bang and coll.<sup>[52,66,67]</sup> This effect is clearly observed by comparing a neat Line pattern 228 over a flat substrate (extracted correlation length of 142 nm) and a H-m-H-m-L stacking 229 configuration (extracted correlation length 602 nm) as shown in Figure S5. 230

Finally, the proposed protocol leading to trilayered structures can be extended to the stacking of additional layers, demonstrating thus the robustness of this iterative strategy. As a proof of concept, the manufacturing of a tertralayered configuration consisting of H-s-H-m-L-m-D was attempted as shown in **Figure S6**. The retrieved configuration follows the same stacking rules as those observed for trilayered configurations with the dots of the fourth layer positioned on top of the lines of the third layer because of the PMMA-Al<sub>2</sub>O<sub>3</sub> interfacial field used between the two layers.

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#### 238 **3.** Conclusions

In this work, we report the successful iterative stacking of various BCP patterns leading to complex 3D hierarchical structures. The tuning of the interfacial energy between the stacked BCP layers leads to the formation of multiple stacked configurations by using only three different BCP building patterns. The trilayered structures described in this study further demonstrated the robustness of the methodology based on the immobilization of BCP patterns by SIS in order to use it as a guiding template for the subsequent BCP layer using a combination of topographical and chemical fields. The commensurability between the line & space, honeycomb and dot patterns further enables

intricate responsive layering mechanisms for which the self-assembly of the top BCP layer ideally 246 accommodated to the underneath immobilized Al<sub>2</sub>O<sub>3</sub> pattern. This study holds promise for the 247 generation of complex non-native structures in order to enrich the structural motifs accessible via 248 249 BCP self-assembly in thin film. Furthermore, while this iterative stacking protocol is demonstrated 250 for BCPs suitable for sequential infiltration synthesis, it could also be extended for BCP systems loaded via aqueous metal reduction (i.e. polystyrene-b-poly(2-vinyl pyridine) or polystyrene-b-251 poly(4-vinyl pyridine)) enabling the generation of oxide/metal patterns, useful for the future design 252 of electronic and optical devices.[34,68] 253

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#### 255 4. Experimental Section

*Polymer Materials.* Polystyrene-*b*-poly(methyl methacrylate) (PS-*b*-PMMA) BCPs were obtained
from Polymer Source and used as received. The macromolecular characteristics of the BCPs were
confirmed by nuclear magnetic resonance spectroscopy and size-exclusion chromatography and the
details are provided in **Table 1**. Throughout this work, BCP layers were denoted by the structural
motif obtained after immobilization of the BCP structure (line & space pattern (L) from PS-*b*PMMA forming lamellae; honeycomb pattern (H) from PS-*b*-PMMA forming PS cylinders; and dot
pattern (D) from PS-*b*-PMMA forming PMMA cylinders).

**Table 1.** Macromolecular characteristics of PS-*b*-PMMA BCPs used in this study.

Pattern features	Holes (H)	Line (L)	Dots (D)
<i>M</i> n [kg/mol]	56	45	48
$f_{PS}^{v}$	0.27	0.51	0.69
Đ	1.18	1.10	1.13

Morphology	Hexagonally packed	Lamellae	Hexagonally packed
Morphology	PS cylinders	Lamenae	PMMA cylinders

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Substrates. Silicon (100) substrates were used for the deposition of the BCP films, and their surface 265 energy was tuned by grafting different PS-r-PMMA random copolymers (RCPs) or blends of RCPs 266 267 provided by Arkema. The RCPs were synthesized by radical polymerization using BlocBuilder® MA-HEA-SG1 alkoxyamine. The PS volume fractions,  $f_{PS}^{\nu}$ , leading to the formation on a flat Si 268 substrate of out-of-plane lamellae, out-of-plane PMMA cylinders, and out-of-plane PS cylinders 269 were 0.7, 0.78, and 0.58, respectively. The grafting of RCP chains was performed by spin coating 270 at 2 wt.% solution in propylene glycol methyl ether acetate (PGMEA) at 1500 rpm, followed by 271 272 annealing at 230 °C for 5 min. The RCP-grafted substrates were subsequently washed in PGEMA before BCP deposition in order to remove ungrafted RCP chains. 273

274 Preparation of the nanostructured BCP films. BCP thin films were prepared by spin coating a 1.5
275 wt.% BCP solution in PGMEA onto the modified Si substrate or the immobilized BCP patterns at
276 2000 rpm. These coating conditions yield a film thickness of 25–35 nm (on flat Si substrate), which
277 is in the monolayer or sub-monolayer regime. The BCP layers were thermally annealed using a
278 rapid thermal annealing (RTA) tool (JetLight, Jipelec) under nitrogen in order to promote the self279 assembly: 10 min at 230 °C out-of-plane lamellae, 15 min at 200 °C for out-of-plane PMMA
280 cylinders, and 5 min at 260 °C for out-of-plane PS cylinders.

Sequential Infiltration Synthesis (SIS). The PMMA domains of the nanostructured BCP films were converted into  $Al_2O_3$  by SIS using an atomic deposition layer (ALD) tool (Savannah G2, Veeco). Trimethyl aluminum (TMA) was used as the metallic gaseous precursor due to its strong selectivity to the PMMA domains.<sup>[69]</sup> Two cycles based on 2 TMA / 2 H<sub>2</sub>O exposures (1 min exposure with a maximum pressure of around 15-20 mTorr for both precursors at 85 °C) and a purging step were used to infiltrate the PMMA domains and convert them into  $Al_2O_3$ .

Formation of multilavered structures. To obtain the 3D hierarchical structures, the underneath BCP 287 layers infiltrated with Al<sub>2</sub>O<sub>3</sub> were exposed to reactive ion etching (FLIRE300C, Plasmionique)) (40 288 W, 40 sccm O<sub>2</sub>, 40 sec) in order to partially remove the PS domains, and thus create a surface 289 topography. The resulting pattern was passivated by the deposition of a thin Al<sub>2</sub>O<sub>3</sub> layer using 1 290 291 ALD cycle based on the sequential exposition of the surface to TMA and H<sub>2</sub>O at 85 °C, leading to an Al<sub>2</sub>O<sub>3</sub> layer thinner than 1 nm.<sup>[70]</sup> The passivated Al<sub>2</sub>O<sub>3</sub> pattern was modified by grafting RCP 292 chains with various PS volume fractions in order to define the pattern affinity with respect to the 293 294 deposition of a subsequent BCP layer (see Table 2 for the detailed compositions of the RCP layers used for the definition of the pattern affinity). Finally, a BCP thin film was deposited on top of the 295 immobilized BCP layer, annealed, and infiltrated as described above. The process was then repeated 296 for the formation of the trilayered structure as a function of the desired assembly of the third self-297 assembled BCP layer. 298

Table 2. Macromolecular characteristics of RCPs used for the definition of the surface affinity with
 respect to the BCP morphology.

Registration with respect to the BCP layer	RCPA	RCP <sub>B</sub>	A:B Blend Ratio
Neutral registration for out-of-plane lamellae (n-L)	$f_{PS}^{v} = 0.69$ Mn = 11.2 kg·mol <sup>-1</sup>	$f_{PS}^{\nu}$ = 0.75 Mn = 13.6 kg·mol <sup>-1</sup>	3:1 $f_{PS}^{v} = 0.7$
PMMA-Al <sub>2</sub> O <sub>3</sub> registration for out-of-plane lamellae (m-L)	$f_{PS}^{v} = 0.63$ Mn = 12.4 kg·mol <sup>-1</sup>	$f_{PS}^{v} = 0.49$ Mn = 13.4 kg·mol <sup>-1</sup>	3:1 $f_{PS}^{\nu} = 0.6$
PMMA-Al <sub>2</sub> O <sub>3</sub> registration for out-of-plane PMMA cylinders (m-D)	$f_{PS}^{\nu} = 0.69$ Mn = 11.2 kg·mol <sup>-1</sup>	_	_

PMMA-Al₂O₃ registration for out-of-plane PS cylinders (m-H)	$f_{PS}^{v} = 0.40$ Mn = 12.3 kg·mol <sup>-1</sup>	_	_
PS-Al <sub>2</sub> O <sub>3</sub> registration for out-of-plane PS cylinders (s-H)	$f_{PS}^{v} = 0.63$ Mn = 12.4 kg·mol <sup>-1</sup>	_	_

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303 *Ashing*. After the formation of the trilayered 3D nanostructure, the residual PS domains were 304 removed by RIE using a prolonged  $O_2$  plasma (40W, 20 sccm  $O_2$ , 9 min). Note that the eventual 305 formation of  $Al_2O_3$  in the RCP layer or through a generic ALD deposition mechanism does not 306 prevent the removal of PS domains during the extended ashing step.

*Imaging*. Samples were characterized using scanning electron microscopy (SEM) and atomic force
microscopy (AFM). SEM images were recorded using a JEOL 7800-E Prime at a 10 kV acceleration
voltage. AFM images were obtained using a Dimension FastScan (Bruker) in tapping mode. Silicon
cantilevers (Fastscan-A) with a tip radius of *ca*. 5 nm were used. The resonance frequency of the
cantilevers was about 1400 kHz.

## 313 Supporting Information

314 Supporting Information is available from the Wiley Online Library or from the author.

315

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### **Table of Contents**

An iterative self-assembly strategy using nanostructured block copolymer layers is used to generate
complex trilayered nanostructures from three different structural motifs (honeycomb, line & space
and dot patterns). Interfacial energy manipulations enable a fine control of the final structure by
dictating the registration rules between the stacked BCP layers.

