### Tonal developments in Baima

### Katia Chirkova

CNRS-CRLAO katia.chirkova@gmail.com

The Sixth Workshop on Sino-Tibetan Languages of Southwest China (STLS-2021) Kobe City University of Foreign Studies, Japan 7-11 September, 2021



### Basic facts

- Previous work
  - Sun Hongkai in Nishida & Sun (1990), Sun et al. (2007)
  - Huang Bufan & Zhang Minghui (1995)
  - Phonological history: Zhang Jichuan (1994a,b)
- Syllable structure: (N)(C)V
- NC < (1) OT voiced + *m*-, '- (e.g. WT '*bu* > <sup>m</sup>bu<sup>53</sup> / <sup>m</sup>bu<sup>53</sup> 'insect');
  (2) OT voiceless aspirated + *m*-, '- (e.g. WT '*phur* > <sup>m</sup>bu<sup>341</sup> / <sup>m</sup>bu<sup>42</sup> 'to fly')
- no nasal vowels (< OT -*m*, -*n*, -*ng*), e.g. 'tree', WT shing >  $\int^{h} e^{13}$  (Sun et al. 2007) /  $\int^{h} e^{35}$  (Huang & Zhang 1995)

## Previous analysis

- a tone language typified by contrastive pitch
- basic contrast between (high) falling and (mid/low) rising tones
- 4 tones
- different tonal categories, complex correspondences between tone in different analyses

Tone	Sun et al. (2007)		Huang & Zhang (1995)	
Falling	53		53	
	(voiceless obstruent,	prenasalized,	(voiceless obstruent, prenasalized, non-breathy	
	sonoran	t)	sonorant)	
	'insect' WT 'bu	nbo <sup>53</sup>	mbu <sup>5</sup>	
	'swallow' WT midni <sup>53</sup>		ni <sup>5</sup> 3	
	341		42	
	(voiced obstruent, p	orenasalized,	(voiced obstruent, prenasalized)	
	sonoran	t)		
	'to fly' WT 'phur	$nbo^{34_1}$	mbu <sup>42</sup>	
			341 (35~354~55)	
			(breathy sonorant, voiced, voiceless obstruent)	
	'two' WT gnyis	рі <sup>341</sup>	nfii <sup>341</sup>	

Sun et al. (2007)	Huang & Zhang (1995)
	341 (35~354~55)
13	(breathy sonorant, voiced, voiceless
(different types of initials)	obstruent)
	35
35	(different types of initials;
(different types of initials; vowel quality differences)	vowel quality differences)
	13 (different types of initials) 35

Gloss	WT	SHK et al. (2007)	H&Z (1995)	
'foot'	rkang	ko <sup>35</sup>	ko <sup>35</sup>	
'nest'	tshang	ts <sup>h</sup> ə <sup>35</sup>	ts <sup>h</sup> ə <sup>35</sup>	
'to pile up'	spung	po <sup>35</sup>	pυ <sup>35</sup>	
'to pound'	rdung	du <sup>35</sup>	du <sup>35</sup>	

## Tonal history

• Huang & Zhang (1995: 99-102), 399 monosyllabic words

OT rhyme type	Baima tone	Example			
(韵母的促舒)		Gloss	WT	Baima	
short < OT -∅ (~ - <i>r</i> ), - <i>b</i> , - <i>d</i> , - <i>g</i>	high falling 高降	illness	nad	ne <sup>53</sup>	
		live; sit	bsdad	ne <sup>53</sup>	
long < OT -m, -n, -ng, -s, -l;	low rising 低升	barley	nas	ne <sup>35</sup>	
coalesced syllables		seven	bdun	de <sup>35</sup>	

Baima initial / Non-nasalized	High falling 高降	Example		
Voiceless obstruent				
OT simplex voiced	53	to itch	za	sa <sup>53</sup>
OT simplex voiceless aspirated		yeast	phab	p <sup>h</sup> 0 <sup>53</sup>
Voiced obstruent	42			
OT voiced with preradicals		leopard	gzig	Zə <sup>42</sup>
Nasalized				
OT voiced $+ m$ -, '-	53	insect	'bu	mbu <sup>53</sup>
OT voiceless aspirated + m-, '-	42	to fly	'phur	mbu <sup>42</sup>
Sonorant				
OT simplex sonorant	53	to swallow	mid	ni <sup>53</sup>
		two	gnyis	nfii <sup>341</sup>
OT sonorant with preradicals	341	to exist	snang	nh3 <sup>341</sup>
	(35~354~55)	to weave	slas	Zæ <sup>341</sup>

#### rising tone (35)?

#### vowel quality differences?

# New analysis

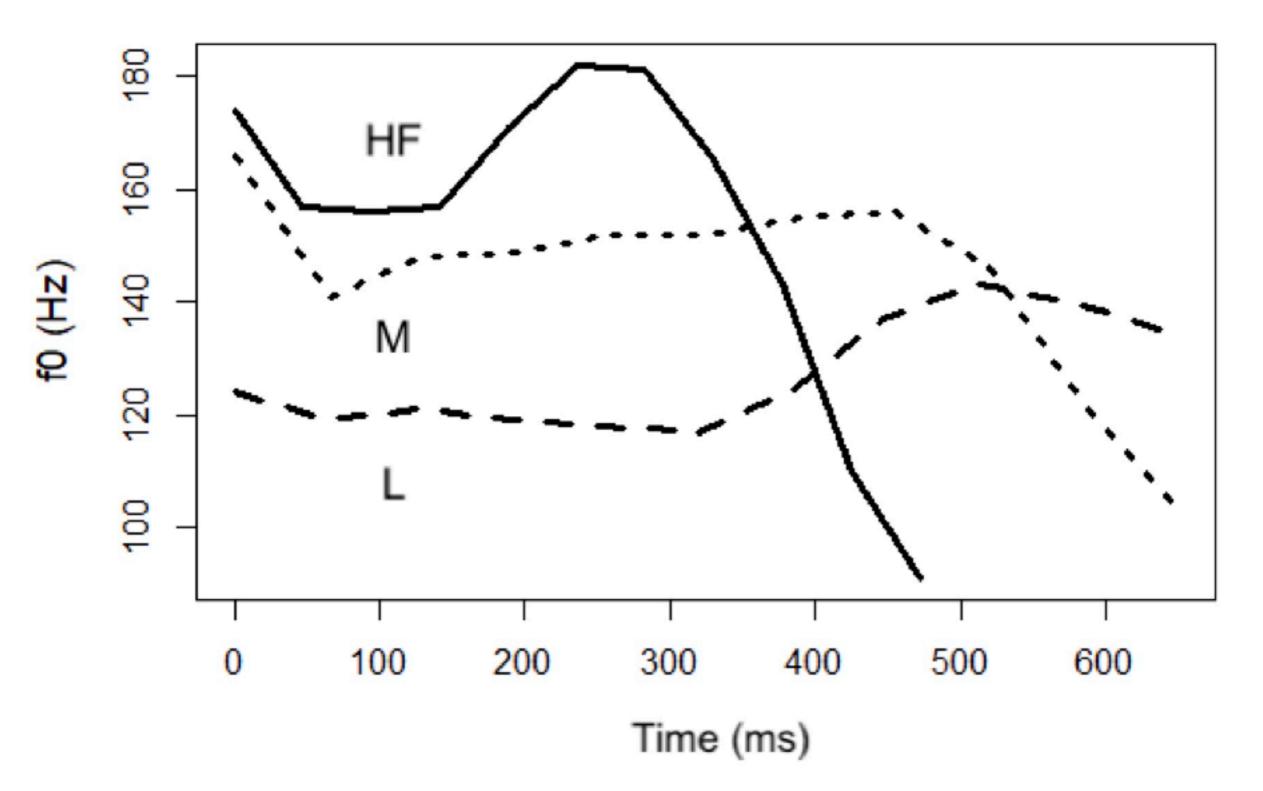
- Chirkova, Katia, Tanja Kocjančič Antolík, & Angélique Amelot.
  2021. Baima: Illustration of the IPA. *Journal of the International Phonetic Association*.
- Non-modal phonation type contrasts in consonants and vowels
- A register language typified by contrastive phonation
  - a collection of syllable-level contrasts, involving the redundant use of pitch, voice quality, vowel length, and initial type cooccurrence patterns

# Phonetic correlates of tone

Tone	Phonation	Length	Initial type	Example		Gloss
				Baima	WT	
high falling	tense	short	T, T <sup>h</sup> , D, $^{N}D^{N}D^{\varsigma}S$ , $S^{\varsigma}$	лê	*mye	fire
mid	modal		T, <sup>N</sup> D, S	ŋĒ	nyal	sleep
low	lax	long	T <sup>h</sup> , D, <sup>N</sup> D, S	ŋè	gnyan	mountain god

T = voiceless unaspirated obstruent,  $T^h$  = voiceless aspirated obstruent, D = voiced obstruent,  $^ND$  = prenasalized stop or affricate, S = sonorant, =  $^{\varsigma}$  epiglottalization

### Pitch and vowel length



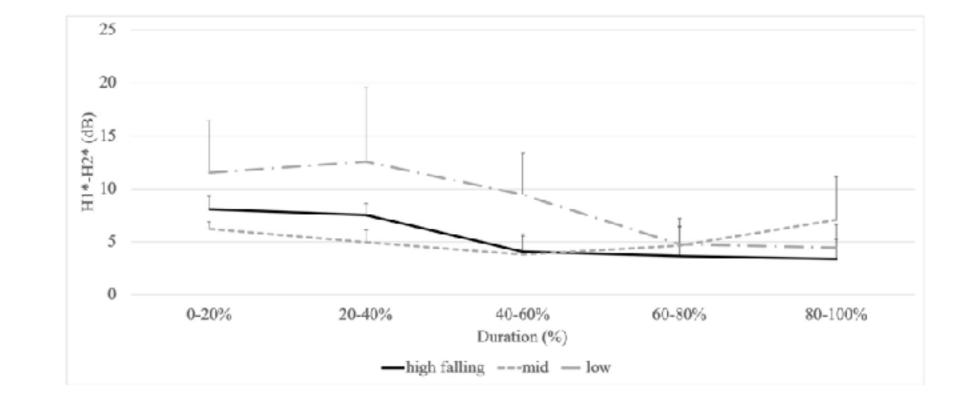
# Voice quality

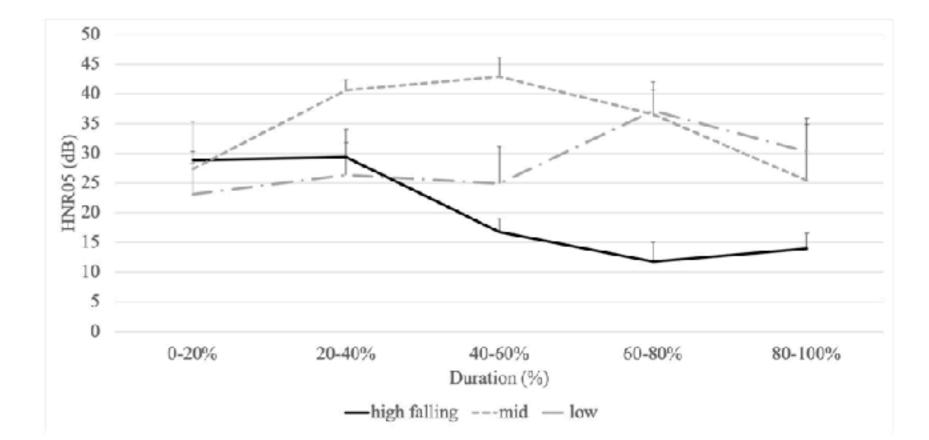
- differences between breathy, modal, and creaky voice qualities can be minimally described in terms of their degree of constriction (or spreading) and noise (e.g. Ladefoged 1971; Gordon & Ladefoged 2001; Garellek & Keating 2011; Keating et al. 2011; Garellek & White 2015; Garellek 2019)
  - Harmonic ratio: difference between the amplitude of the first and second harmonics, corrected for the effects of formants and bandwidths (H1\*-H2\*)
  - Harmonics-to-noise ratio (HNR): the difference in amplitude between the harmonic and inharmonic components of the source spectrum, as measured in the cepstral domain; measured using harmonics-to-noise ratio below 500 Hz (HNR05)
- these parameters have been estimated from the audio signal with the program VoiceSauce (Shue et al. 2011)

## Phonation types

Phonation type	Acoustic correlates	Articulatory correlates			
Modal	High HNR	Negligible noise, periodic voicing			
Breathy	Low HNR	Aspiration noise	noisier		
	High H1*-H2*	Open glottis	more spread		
Creaky	Low HNR < 500 Hz	Irregular voicing	noisier		
	Low H1*-H2*	Glottal constriction	more constricted		

Acoustic measures that are important for distinguishing different phonation types (Garellek 2019: 154)





#### H1\*-H2\* (dB)

HNR05 (dB)

# Phonation contrast in consonants

Consonants	Epiglottalized	Non-epiglottalized
non-nasalized obstruents	voiced	voiceless
(stops, affricates, fricatives)	b d g dz dz dz dz dr z z z y	$p \ p^h \ t \ t^h \ k \ k^h \ \widehat{ts} \ \widehat{ts^h} \ \widehat{tf} \ \widehat{tf^h} \ \widehat{t\varsigma}$
		τ̂ҫʰ τ̂τ τ̂τ t̂τ s sʰ ∫ ∫ʰ ҫ ҫʰ х
prenasalized obstruents	<sup>m</sup> b <sup>ç</sup> <sup>ŋ</sup> g <sup>ç</sup> <sup>n</sup> d͡z <sup>ç</sup> <sup>n</sup> d͡ʒ <sup>ç</sup> <sup>ŋ</sup> d͡ʑ <sup>ç</sup>	<sup>m</sup> b <sup>n</sup> d <sup>ŋ</sup> g <sup>n</sup> d͡z <sup>ŋ</sup> d͡z <sup>ŋ</sup> d͡r
sonorants (nasals, liquids)	m <sup>ទ</sup> n <sup>ទ</sup> ŋ <sup>ទ</sup> յ <sup>ទ</sup> l <sup>ទ</sup>	mnɲŋrjlw

epiglottalized consonants have a harsh voice quality

# Phonation types

Phonation type	Acoustic correlates	Articulatory correlates
Modal	High HNR	Negligible noise, periodic voicing
Harsh	Low HNR < 500 Hz	Irregular voicing
	Low SoE	Weak voicing
	High-to-low H1*-H2*, drop in F0	Open glottis to glottis constriction
	High F1	Laryngeal raising

Acoustic measures that are important for distinguishing different phonation types (Garellek 2019: 154)

SoE = strength of excitation; lower values index a greater constriction in the larynx or vocal tract and weaker voicing

HNR05 (dB)

H1-H2, H1\*-H2\* (dB)

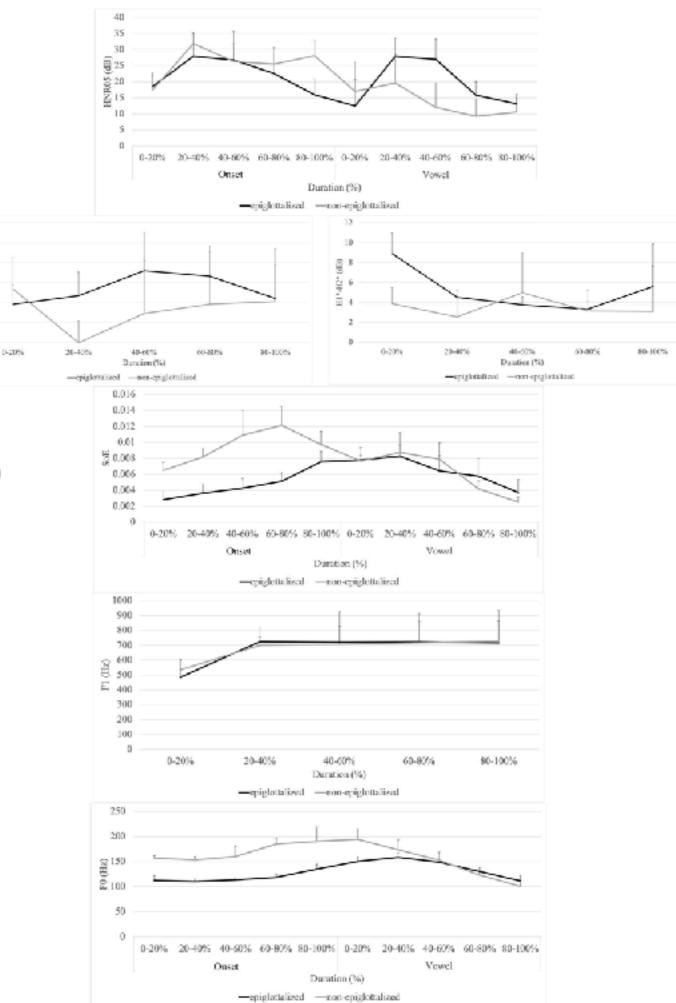
Strength of excitation (SoE)

12

0

F1 (Hz) (vowel)

F0 (Hz)



### Pitch contrasts

our observations are consistent with the data published previously

Initial type Vowel quality Mid **High falling** Tone Low epiglottalized Initial non-epiglottalized nsê rnyed 'to find' nē nyal 'to sleep' nè gnyan 'mountain god' nê \*mye 'fire' յլ mb <sup>m</sup>bû 'bu 'insect' <sup>m</sup>b<sup>s</sup>û 'phur 'to fly' <sup>m</sup>bū 'barn, granary' <sup>m</sup>bù ? 'phral ba 'partition' <sup>ŋ</sup>guê 'to bark' <sup>ŋ</sup>guē 'pan' "guè khang 'og 'downstairs' ŋg <sup>n</sup>d<sub>3</sub><sup>c</sup>û mchu 'snout' <sup>n</sup>dyū 'thrush' "d3 <sup>n</sup>dʒù mchong 'to jump'

Examples of tone contrasts on words with sonorant and prenasalized stop and affricate onsets

# Adjacent Tibetic varieties

- Chos-rje (Huang 1995; J. Sun 2003): \*r-, \*l-, \*s-, \*g-, \*d- before OT voiced obstruents and sonorants > breathy, e.g. ko<sup>fi</sup> sgo 'door' (Baima /gŝ/), ŋe<sup>fi</sup> lnga 'five' (Baima /ŋ<sup>s</sup>â/)
- Shibazi / Kun sngon (Songpan County) (Hua Kan & Gazangta 1997): \*r-, \*/-, \*s-, \*g-, \*d- before OT voiced obstruents and sonorants > h, e.g. hdi<sup>31</sup> bdun 'seven' (Baima /dè/), hdo<sup>55</sup> rdo 'stone' (Baima /dô/)
- 'Brug-chu (Suzuki 2015): \*r-, \*l-, \*s-, \*g-, \*d- before OT voiced obstruents and sonorants > breathy, e.g. <sup>n</sup>du rdo 'stone' (Baima /dŝ/), <sup>n</sup>lo glang 'bull' (Baima /jò/)
- bLabrang (Renzeng Wangmu 1987): \*r-, \*l-, \*s-, \*g-, \*d- before OT sonorants (\*η, \*n, \*n, \*m, \*l, \*r, \*j) > fi, e.g. hmak dmag 'army' (Baima / m<sup>s</sup>â/), hman sman 'medicine' (Baima /mɛ̀/)

## Lax / Low / Long

OT nasal		<i>n</i> , <i>-n</i> , <i>-ng</i> , <i>-s</i> , <i>-l</i> ; ced syllables long
voiced obstruent + <i>r</i> -, <i>l</i> -, <i>s</i> -, <i>b</i> -, <i>d</i> -, <i>g</i> -	D	
sonorant + <i>r</i> -, <i>l</i> -, <i>s</i> -, <i>b</i> -, <i>d</i> -, <i>g</i> -, <i>m</i> -, <i>'</i> -	S	
aspirated obstruent + m-, '- breathy	ND	Lax
simplex voiceless aspirated obstruent,	T <sup>h</sup>	
unprefixed voiceless sonorant aspirated		

Initial	Gloss	ОТ	Baima	SHK	H&Z
T <sup>h</sup>	nest	tshang	tshò	tsho <sup>35</sup>	tsho <sup>35</sup>
	go (PFV)	chas	t⊊ <sup>h</sup> È	tche <sup>35</sup>	tchæ <sup>35</sup>
	tree	shing	ſʰè	∫ <sup>h</sup> e <sup>13</sup>	8 <sup>h</sup> e <sup>35</sup>
	ashes	thal ba	tʰiὲ	t <sup>b</sup> iɛ <sup>35</sup>	t <sup>h</sup> æ <sup>341</sup>
	charcoal	sol ba	s <sup>h</sup> È	she <sup>35</sup>	shæ <sup>341</sup>
D	seven	bdun	dè	de <sup>13</sup>	de <sup>35</sup>
	bottom part	gdan	dè	de <sup>35</sup>	
	pound	rdung	dù	du <sup>35</sup>	du <sup>35</sup>
	exchange	brjes	зè	3e <sup>35</sup>	ze <sup>341</sup>
	roll	sgril	drì	dzي <sup>341</sup>	dzə <sup>35</sup>
	steelyard	rgya ma	dzò	dzo <sup>35</sup>	dzo <sup>35</sup>
ND	jump	mchong	²dʒù	nd3035	ndzu <sup>42</sup>
	sell	'tshong	<sup>n</sup> dzù		ndzu <sup>35</sup>
	drink	'thung	<sup>≞</sup> dù	ndu <sup>35</sup>	ndu <sup>35</sup>
S	exist	snang	nò	no <sup>13</sup>	nho <sup>341</sup>
	mountain god	gnyan	nè		
	bull	glang	jò	io <sup>35</sup>	Z0 <sup>35</sup>

### Phonetic mechanisms

- breathiness and aspiration
  - on common acoustic measures of phonation, vowels tend to be breathier after aspirated consonants (e.g. Lögqvist & McGowan 1992; Ní Chasaide & Gobl 1993; Gobl & Ní Chasaide 1999; Blankenship 2002; Esposito & Khan 2012; Garellek 2010, 2012; Garrelek & Keating 2011)
- breathiness and nasality
  - nasality and breathiness are acoustically similar: nasalized vowels (caused by velum lowering) and breathy vowels (caused by vocal fold spreading during voicing) both have higher spectral tilt (Klatt and Klatt 1990; Simpson 2012; Garellek, Ritchart & Kuang 2016)
  - the listener can misperceive syllables that are both nasal and breathy as only breathy, and then the listener-turned-speaker produces those syllables with only breathy voice

OT	OT -m, -n, -ng, -s, -l;		OT -Ø (~- <i>r</i> ) - <i>b</i> , - <i>d</i> , - <i>g</i>	
		coalesced syllables		rt checked
voiced obstruent + <i>r</i> -, <i>l</i> -, <i>s</i> -, <i>b</i> -, <i>d</i> -, <i>g</i> -	D		$\mathbf{D}^{\mathbf{f}}$	
sonorant + <i>r</i> -, <i>l</i> -, <i>s</i> -, <i>b</i> -, <i>d</i> -, <i>g</i> -, <i>m</i> -, <i>'</i> -	S		Sç	
aspirated obstruent + m-, '- breathy	ND	Lax	<sup>N</sup> D <sup>ç</sup>	Tense
simplex voiceless aspirated obstruent, unprefixed voiceless sonorant aspirated	T <sup>h</sup>		Th	(High) Falling

- rhymes: phonation type > creaky-like (tense)
  - syllable-final obstruent (-*b*, -*d*, -*g*) > syllable-final glottal stop
- initials: cross-linguistically, breathy-creaky and harsh vowels are characterized by high to low H1\*- H2\*; in breathy-creaky vowels, this is due to a transition from an open-glottis configuration to one with a more closed glottis; in harsh vowels, it is a by-product of greater laryngeal constriction (Traill 1985, 1986; Garellek 2020)

ОТ	OT -m, -n, -ng, -s, -l; coalesced syllables		OT -Ø (~-r), -b, -d, -g	
voiced obstruent + r-, l-, s-, b-, d-, g-	D		D <sup>ç</sup>	
sonorant + r-, l-, s-, b-, d-, g-, m-, '-	S		Sc	
aspirated obstruent + $m$ -, '- breathy	ND	Lax	NDS	Tense
		Low		High falling
simplex voiceless aspirated obstruent, unprefixed voiceless fricative aspirate	T <sup>h</sup> C		T <sup>h</sup>	
simplex voiced stop, affricate, voiced fricative				
voiceless unaspirated stop, affricate $+ r$ -, $l$ -, $s$ -,		Tense		
b-, d-, g-	Т	Modal		Tense
fricative + $b$ -, $d$ -, $g$ -		High Falling		High falling
simplex sonorant	S	Level		
voiced obstruent + $m_{-}$ , '-	ND			

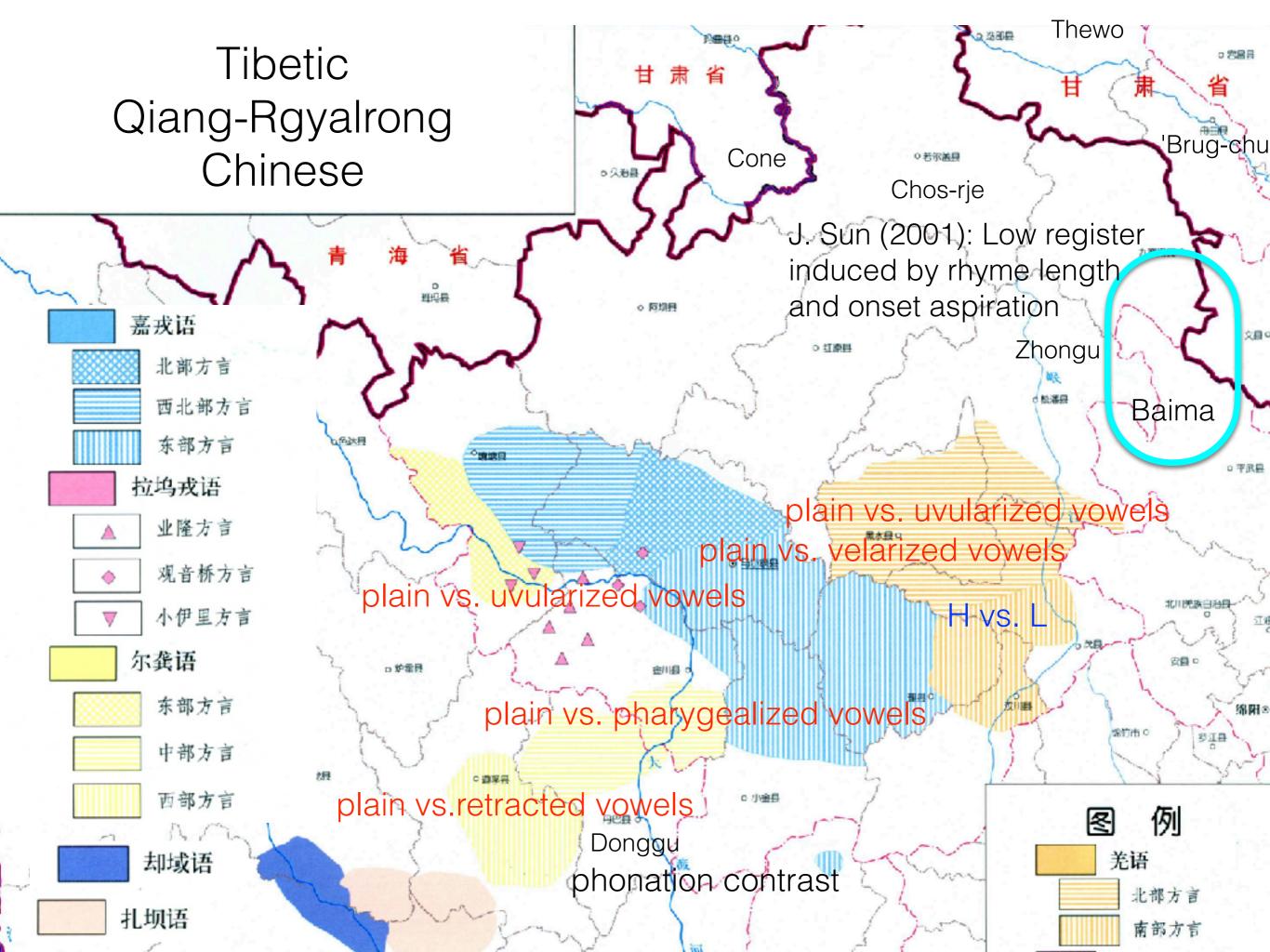
### non-breathy, non-aspirated

Gloss	WT	Baima	Gloss	WT	Baima
year	lo	jê	road	lam	jô
dog	rko	kĝ	be full	gang	kô

# Summary

	Rhyme		
Initial	long > OT -m, -n, -ng, -s, -l; coalesced syllables	short > OT - $\emptyset$ (~ - <i>r</i> ), - <i>b</i> , - <i>d</i> , - <i>g</i>	
breathy / aspirated	[initial + rhyme] > lax	[rhyme] > tense initial > epiglottalized	
non-breathy / non-aspirated	[rhyme] > tense / modal		

- 1. Low lax (breathy-like) register: breathiness, nasality, length
- 2. Non-low (high), non-breathy (tense) register
- 3. Split of the non-low register by rhyme type



### Outlook

- register contrast
  - Thurgood (1999: 213): "the external contact provide[s] both the impetus and the directionality for the changes, while the language internal structures [provide] constraints on the potential paths for the changes"
  - pathway of change: breathiness / aspiration, nasality / length < lax/low</li>
- contrastive phonation categories
  - realized with multiple covarying cues (including tone and vowel quality differences) (e.g. Keating et al. 2010; Garellek 2010; Kuang & Cui 2018)

### Outlook

- phonological analysis
  - Yuen Ren Chao (1934) "The non-uniqueness of phonemic solutions of phonetic systems"
  - there are usually more than one possible way of reducing [the sounds of a language] to a system of phonemes, and that these different systems or solutions are not simply correct or incorrect, but may be regarded only as being good or bad for various purposes (p. 363)
  - because phonemic solutions are not unique, it is necessary, before arriving at solutions, to have recourse to considerations of descriptive phonetics and the use of phonetic transcriptions (p. 396)
  - sound files

### Thank you for your attention!

Sound files available at: https://pangloss.cnrs.fr