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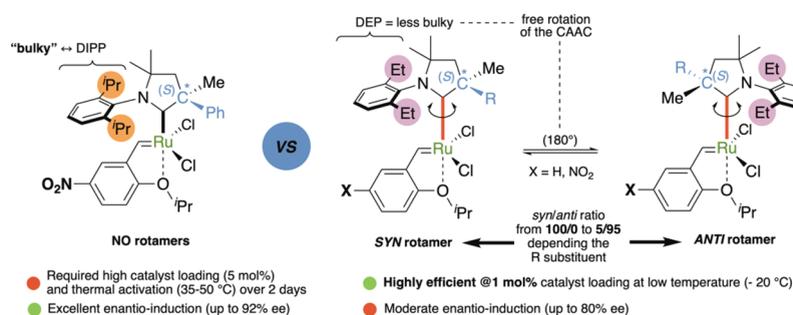
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Ambivalent Role of Rotamers in Cyclic(alkyl)(amino)carbene Ruthenium Complexes for Enantioselective Ring-Opening Cross-Metathesis

Jennifer Morvan, François Vermersch, Ziyun Zhang, Thomas Vives, Thierry Roisnel, Christophe Crévisy, Laura Falivene, Luigi Cavallo, Nicolas Vanthuyne, Guy Bertrand,* Rodolphe Jassar,* and Marc Mauduit*



ABSTRACT: The development of highly efficient enantioselective olefin metathesis catalysts is a significant challenge. Using optically pure chiral cyclic (alkyl)(amino)carbene (ChiCAAC) ligands combined with preliminary mechanistic insights and density functional theory (DFT) computations, we show that catalytic performances in this field can be impaired by the formation of rotamers before the enantio-determining step. Using DFT, we also demonstrate that these results can help accelerate the process of ligand discovery by providing faster methods to discriminate potential candidates.

INTRODUCTION

“Catalysts are the conductors who choreograph the chemical dance that results in the formation of new structures”

R. H. Grubbs—Nobel Lecture—2005.¹

In 2007, Bertrand, Grubbs, and co-workers demonstrated that this symphony could greatly benefit from the use of cyclic(alkyl)(amino)carbenes (CAACs)² as ligands for ruthenium-catalyzed olefin metathesis (Figure 1).³ Since then, CAAC ligands have been shown to afford robust ruthenium precatalysts with remarkable catalytic performances in a number of metathesis transformations.^{4,5} Their growing popularity has been attributed to the highly modular steric environment of the CAAC framework⁶ and their propensity to generate stable, yet very reactive, catalysts. Using a new class of CAACs, namely, chiral cyclic(alkyl)(amino)carbenes (ChiCAACs),⁷ we recently extended their application to asymmetric olefin metathesis.^{8,9} To overcome the synthetic drawback plaguing chiral ligands and catalyst preparation (complex/low-yielding procedures), meanwhile facilitating the screening of CAAC-Ru catalysts, we capitalized on preparative high-performance liquid chromatographic resolution (PrePHPLC), a technology very popular in the industrial setting.¹⁰ Despite this strategy and another recent one,¹¹

streamlining a chiral ligand design to achieve high enantioselectivity across a broad range of substrates remains challenging. Arguably, a great deal of ligand optimization for specific applications lies in our understanding of the various structural features that affect stereo- and/or enantioselectivity.¹² Herein, capitalizing on an experimental and computational approach, we report a comprehensive investigation of the catalytic activity of optically pure diethylphenyl (DEP) *N*-substituted CAAC ruthenium complexes in asymmetric ring-opening cross-metathesis (AROCM) reactions (Figure 2).¹³ This work allowed us to identify the enantio-determining step for this process, which proved critical to discriminate putative ligand frameworks that would not yield significant improvement. It should be noted that these studies remain rare even in well-studied NHC-catalyzed asymmetric olefin metathesis.^{13d,14}

Racemic CAAC-ruthenium olefin metathesis complexes

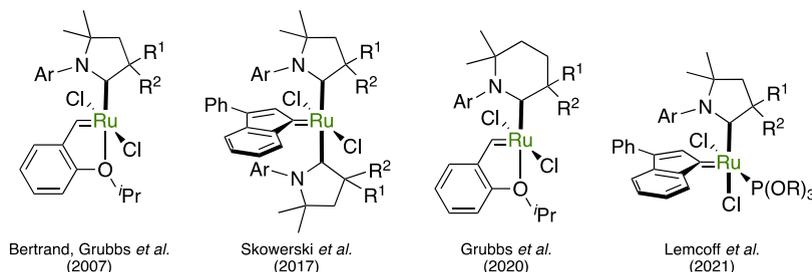


Figure 1. State-of-the-art CAAC-Ru olefin metathesis catalysts.

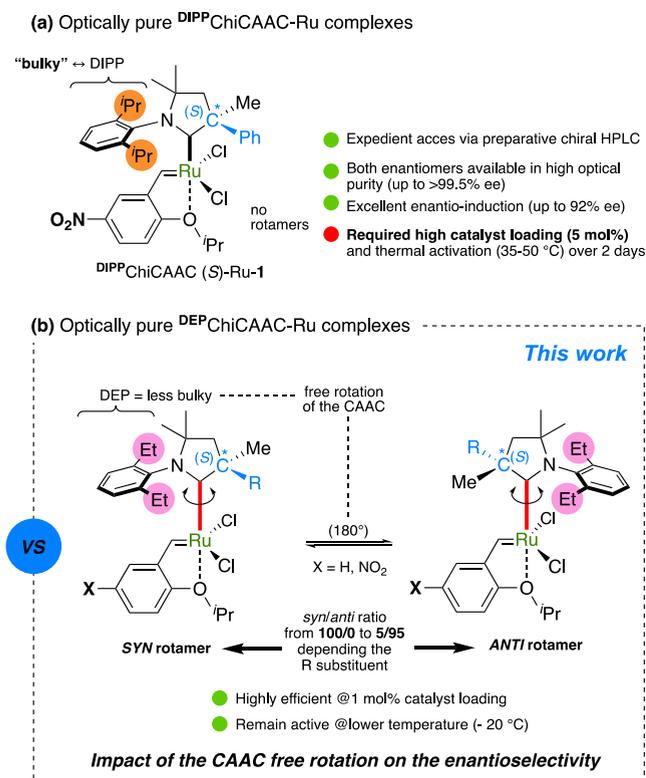
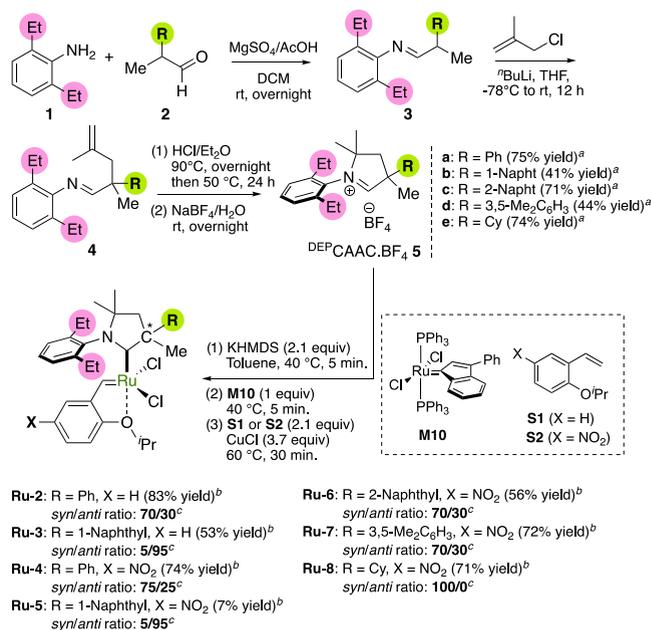


Figure 2. (a) ^{DIPP}ChiCAAC-Ru complexes as ligands for asymmetric olefin metathesis catalysts. (b) Ambivalent catalytic activities of optically pure ^{DEP}ChiCAAC-Ru complexes (this work).

RESULTS AND DISCUSSION

We began our investigation with readily accessible air-stable ^{DEP}ChiCAAC-Ru-2–8 complexes, featuring structural variations through the stereogenic quaternary carbon center substituents (phenyl, 1-naphthyl, 2-naphthyl, 3,5-dimethylphenyl, and cyclohexyl), while considering nitro-substituted Hoveyda–Grubbs metathesis catalysts.¹⁵ As depicted in **Scheme 1**, chiral CAAC precursors were synthesized in good overall yields over four steps, ranging from 41 to 74%. The corresponding complexes were obtained in low-to-good isolated yields after purification over silica gel (7–76% yield).¹⁶ We next studied their resolution by preparative chiral HPLC and found Chiralpak IE or IF to afford the best resolution using a mobile phase consisting of a heptane/ethanol/dichloromethane mixture (70/10/20 ratio).¹⁷ Under these conditions, excellent elution time and good loading capacity allowed for the antipode separation of (*rac*)-Ru-2 on a

Scheme 1. Synthesis of ^{DEP}ChiCAAC Precursors and Related Ruthenium Complexes^{a,b,c}



^aOverall isolated yields over four steps. ^bIsolated yields from ChiCAAC precursors 5. ^cRatio determined by ¹H NMR spectroscopy in CDCl₃.

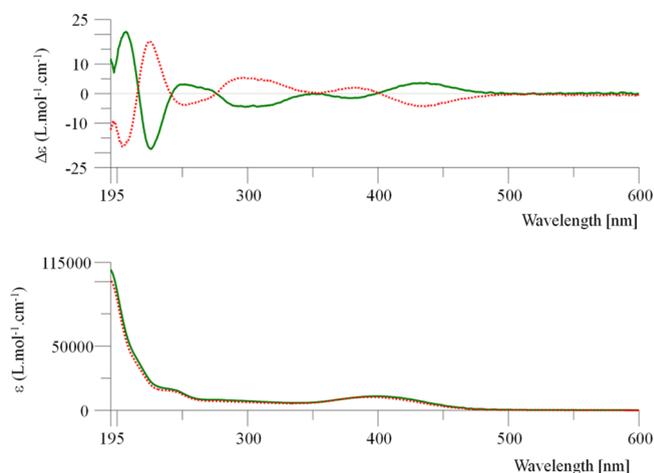


Figure 3. Electronic circular dichroism (ECD) (a) and UV spectra (b) of the first eluted (+)-Ru-2 (solid line) and second eluted (-)-Ru-2 (dotted line).

Scheme 2. (a) Chiral Preparative HPLC Resolution of ^{DEP}ChiCAAC Ruthenium Complexes (*rac*)-Ru-2-8 and (b) Solid-State Structures of ^{DEP}ChiCAAC-Ru Complexes Ru-2,-8^{a,b,c}

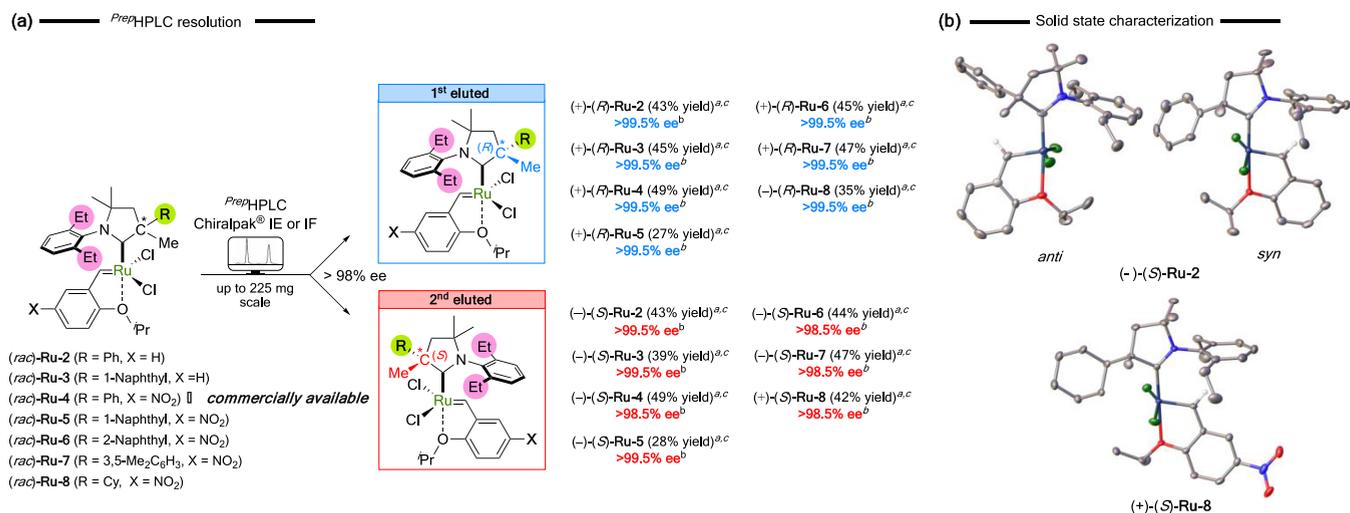
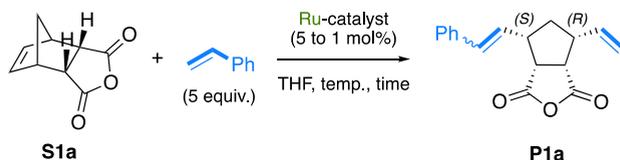


Table 1. Evaluation of Optically Pure Ru-1–9 Complexes in Catalytic AROCM of Norbornene **S1a^a**



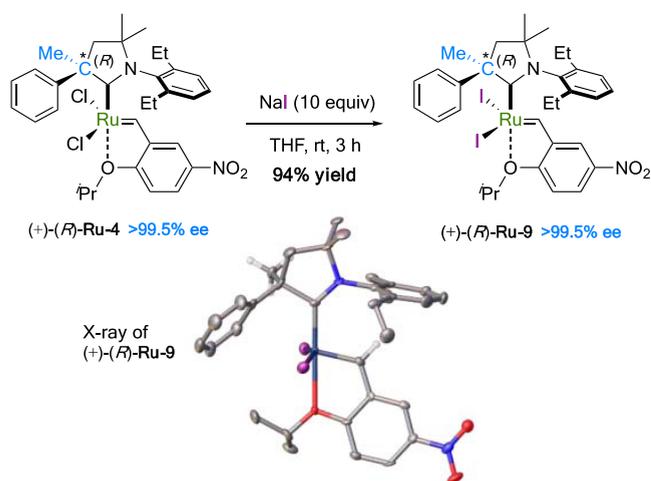
entry	Ru-cat (mol %)	T (°C)/time	conv. ^b (yield) ^c (%)	E/Z ratio ^d	Ee (E)-P1a (%) ^e
1	(S)-1 (5)	35/2d	99 (41)	85/15	90 (S,R)
2	(S)-2 (1)	rt/1 h	99 (64)	85/15	60 (S,R) ^f
3	(R)-2 (1)	rt/1 h	99 (64)	85/15	60 (R,S)
4	(R)-3 (1)	rt/6 h	99 (47)	75/25	60 (R,S)
5	(R)-4 (1)	rt/0.25 h	99 (57)	85/15	61 (R,S)
6	(R)-4 (1)	0/0.75 h	99 (61)	85/15	65 (R,S)
7	(R)-4 (1)	-10/1.7 h	99 (56)	85/15	68 (R,S)
8	(R)-4 (1)	-20/4 h	99 (54)	85/15	70 (R,S)
9 ^g	(R)-4 (2)	-30/1d	99 (52)	80/20	71 (R,S) ^h
10	(R)-5 (1)	rt/1.5 h	99 (37)	75/25	60 (S,R)
11	(R)-6 (1)	rt/0.5 h	99 (66)	90/10	74 (R,S)
12	(R)-7 (1)	rt/0.25 h	99 (70)	90/10	58 (R,S)
13	(R)-8 (1)	rt/1 h	99 (75)	99/1	49 (R,S)
14	(R)-6 (1)	-20/20 h	99 (63)	90/10	80 (R,S)
15	(R)-9 (5)	55/4d	20 (nd)	80/20	66 (R,S)

^aReaction conditions: [Ru] catalyst (5–1 mol %), styrene (5 equiv.), and THF (0.15 M). ^bConversions were monitored by ¹H NMR spectroscopic analysis. ^cIsolated yields after column chromatography (note that **P1a** is prone to decomposition during purification). ^dE/Z ratio determined by SFC on the crude mixture. ^eee determined by SFC on a chiral stationary phase. ^fAbsolute configuration determined on the corresponding diol (see SI for details). ^gReaction performed in 2-MeTHF. ^hee of (Z)-**P1a**: 18%. nd: not determined.

preparative scale (225 mg; flow rate = 5 mL·min⁻¹) (Figure 3; see the Supporting Information (SI) for details). Both (+)-**Ru-2** (first eluted) and (-)-**Ru-2** (second eluted) enantiomers were isolated in excellent 43–45% yields and remarkable enantiomeric purities (>99.5%). To our delight, using the aforementioned conditions, complexes **Ru-3–8** were successfully resolved (up to 225 mg scale), and their respective (+)- and (-)-enantiomers were obtained in good yields (from 24 to 49%) and excellent optical purities (up to >99.5% ee) (Scheme 2)).

Note that the methodology is amenable to the commercially available ^{DEP}ChiCAAC-**Ru-4** complex, which was equally resolved in a nearly quantitative yield and excellent ees.¹⁸ We unambiguously confirmed the absolute configuration of the second eluted **Ru-2,-4,-7,-8** complexes by an X-ray diffraction study (S, Scheme 2), b and SI). We tentatively attributed the same (S) configuration to the second eluted **Ru-3,-5** for which crystals suitable for an X-ray diffraction study could not be obtained. Note that in the case of the complex (S)-**Ru-2**, the rotational isomer (*anti*) featuring the quaternary chiral center

Scheme 3. Synthesis of the Optically Pure Bis-iodo^{DEP}ChiCAAC Ruthenium Complex (*R*)-Ru-9



above the styrenyl-ether moiety was also observed in the solid state.¹⁹

Having obtained a small library of optically pure ChiCAAC–ruthenium complexes with full structural information, we proceeded to investigate their catalytic performances in asymmetric olefin metathesis transformations.⁹

Reaction Optimization. As a benchmark reaction, we considered the asymmetric ring-opening cross-metathesis (AROCM) reaction of himic anhydride, the *meso*-norbornene derivative S1a.¹³ The reaction was performed in THF and in the presence of 5 equiv of styrene (Table 1). Compared with ^{DIPP}Ru-(S)-1 (entry 1), ^{DEP}Ru complexes (S) and (R)-2 demonstrated enhanced reactivity at 1 mol % and decreased enantioselectivity (entries 2 and 3). Switching the phenyl with 1-naphthyl at the quaternary carbon led to a significant loss of reactivity and *E/Z* selectivity (entry 4). We next considered a Grell-type variant (R)-4 bearing an activating *p*-nitro styrenylether, which gratifyingly led to a significant improvement of the reactivity (entry 5). In this case, a lower temperature or longer reaction time did not significantly improve the *E/Z* ratio or the enantioselectivity (entries 6–9).

Probing various substitutions at the α -quaternary carbon allowed us to identify 2-naphthyl (R)-6 as an ideal middle point between reactivity and selectivity despite cyclohexyl (R)-8 yielding excellent *E/Z* selectivity (99:1) (entries 10–13). Using this catalyst and performing the reactivation at a lower temperature, albeit with a longer reaction time, resulted in a good *E/Z* ratio and enantioselectivity (entry 14). Note that we also evaluated the optically pure bis-iodo ruthenium complex (R)-^{DEP}Ru-9, which is conveniently accessible from (R)-^{DEP}Ru-4 (Scheme 3). Unexpectedly this substitution led to a significant loss of catalytic activity without any improvement of enantioselectivity (entry 15).²⁰

Reaction Scope. Having identified Ru-6 as the most efficient catalyst in the AROCM of norbornene, we evaluated its scope across a range of norbornenes S1–2 and cyclopropene S3¹³ (Scheme 4). To our delight, reacting *endo*-norbornene with either electron-rich or electron-poor styrenes led to the formation of AROCM products P1b and P1c with good *E*-selectivity and enantioselectivity, whereas protected diol substrates led to a significant loss of enantioselectivity (P1d–f). In contrast to these results, the *exo*-norbornene S2

resulted in a decrease in the isolated yield and selectivity, with a noticeable switch in the *E/Z* selectivity in favor of the *Z* isomer in most cases (P2a–i).²¹ With diol S2c, we confirmed using Ru-8 that the configuration of the ligand is a determining factor to control both the *E/Z* selectivity and the enantioselectivity. Finally, we diverted our attention to the AROCM of cyclopropene S3 using a range of terminal olefins and ^{DEP}ChiCAAC catalysts. Styrene furnished the desired product P3a in excellent yields with all catalysts tested. Interestingly, good enantioselectivity was obtained for the *Z* isomer despite the lower *E/Z* selectivity of the reaction. In contrast to these results, switching to allyl acetate resulted in a decrease in both the reactivity and the selectivity for P3b irrespective of the catalysts tested.

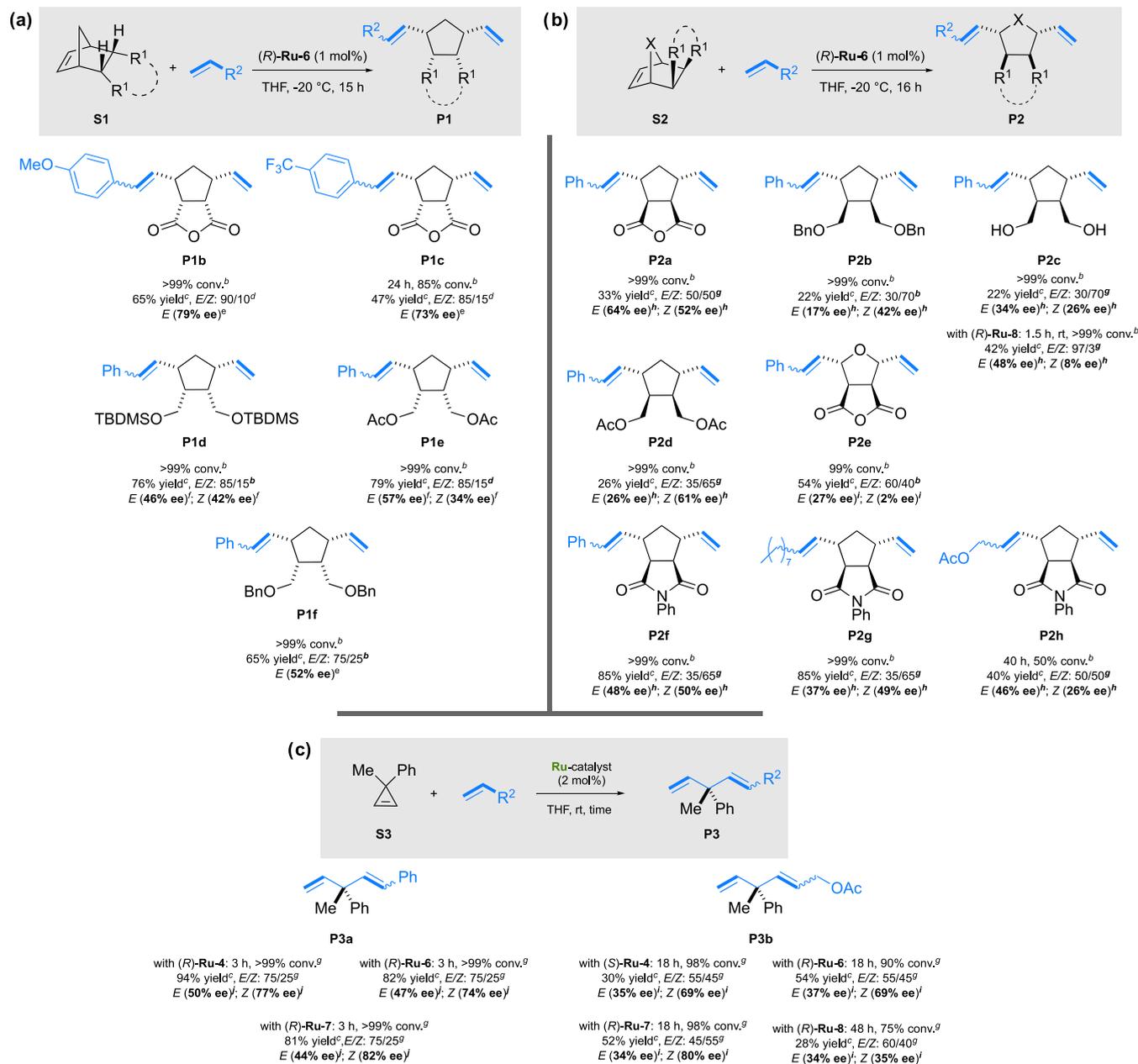
Given the significant loss of enantioselectivity observed between ^{DIPP}Ru-1 and ^{DEP}Ru-2 (Table 1, entries 1 and 2), we next wondered whether the *syn*- and *anti*-rotational isomers observed during the solid-state characterization of the complex (S)-Ru-2 could help rationalize this difference.

Mechanistic Considerations. Using NMR spectroscopy, we first evaluated whether the Ru-2 *syn*- and *anti*-rotational isomers could also be observed in solution. As shown in Figure 4, a 70:30 ratio was observed by ¹H NMR spectroscopy at room temperature.¹⁹ The nuclear Overhauser effect (nOe) between the prominent alkylidene proton H_a and the aryl ethyl groups (Tol-D₈ at –20 °C) unambiguously established *syn*-Ru-2 as the major isomer. Meanwhile, nOe between the alkylidene proton H_b and the methyl group of the stereogenic center confirmed the spatial conformation of the *anti*-Ru-2 minor isomer. To better understand this dynamic conformational behavior, a variable-temperature ¹H-NMR spectroscopy study ranging from –40 to 100 °C was performed. As shown in Figure 4, slow coalescence of benzylidene protons of both *syn* (H_a, 16.4 ppm) and *anti* (H_b, 17.9 ppm) isomers was observed around 60 °C, leading to a broad singlet at 17 ppm. Similarly, at lower temperatures (–10 to –40 °C), a higher refinement of benzylidene protons was observed in line with a slower rotation of the ruthenium–carbene bond.

As summarized in Table 2, the same dynamic behavior was observed in other DEP-substituted complexes Ru-4,6–8 but not with DIPP-substituted complexes Ru-1a,b (Figure 1, and entries 1 and 2) even at low temperatures. Altogether, these observations support a higher rotational barrier of 2,6-diisopropylaniline compared with 2,6-diethylaniline substituents, which likely originates from an increased steric environment.

The same rationale also applies to ^{DEP}ChiCAAC-Ru-3,5 bearing a 1-naphthyl substituent, which showed a *syn/anti* mixture in solution (entries 5 and 6), whereas only the *syn* isomer was observed for the more sterically hindered ^{DEP}Ru-8 bearing the cyclohexyl substituent (entry 9). DFT showed the *anti*-isomer of ^{DIPP}Ru-1a,b to be 3–5 kcal/mol higher in energy than the *syn*-isomer. In contrast, a smaller $\Delta\Delta G_{\text{syn/anti}}$ (less than 2 kcal/mol) was calculated for ^{DEP}Ru-2-7 in line with the equilibrium observed by NMR spectroscopy. For ^{DEP}Ru-8, the *syn*-isomer is about 4.8 kcal/mol more stable, which is in agreement with a more steric environment. Finally, with ^{DEP}Ru-3 and 5, the *anti*-isomer is more accessible by up to 1.4–1.5 kcal/mol, which can be rationalized by the steric clash between the terminal –CH₃ of the ethyl groups on the DEP and the 1-naphthyl moiety (3.4–3.5 Å for the *syn*-isomer and 3.9 Å for the *anti*-isomer). Altogether, DFT calculations and

Scheme 4. Scope of AROCM Catalyzed by Optically Pure ^{DEP}ChiCAAC-Ru Complexes ^{a,b,c,d,e,f,g,h,i,j}



^aReaction conditions: [Ru] catalyst (2 to 1 mol %), terminal olefin (5 equiv), THF (0.1M). ^bDetermined by 1H NMR spectroscopic analysis. ^cIsolated yields after column chromatography (Note that **P2a–e** and **P3b** are prone to rapid decomposition during purification). ^dDetermined by SFC on the crude mixture. ^eDetermined by SFC on a chiral stationary phase (see SI for details). ^fDetermined on the corresponding diol by SFC on a chiral stationary phase. ^gDetermined by GC-MS. ^hDetermined by HPLC on a chiral stationary phase. ⁱDetermined on the corresponding alcohol by HPLC on a chiral stationary phase. ^jDetermined by GC on a chiral stationary phase.

experimental results suggest that the *syn* and *anti* configurations in these complexes are strictly under steric control.

DFT Studies. To rationalize these results, a mechanistic study of AROCM with norbornenes catalyzed by (S)-^{DEP}Ru-4 was performed using DFT (Scheme 5A). Having observed the formation of *p*-nitro styrenylether in the reaction media resulting from the activation of the precatalyst with styrene (see SI for details), we considered the propagation to involve a benzylidene intermediate.^{13a} Because of the chiral environment of ChiCAACs with C₁-symmetry,¹⁹ we also considered two different geometries to account for the formation of a metallacyclobutane with inversion at the Ru center. A_{syn}

results from the *anti*-rotamer (S)-^{DEP}Ru-4 that features the benzylidene in the apical position to the quaternary chiral center, and A_{anti} results from the *syn*-rotamer (S)-^{DEP}Ru-4 in which the benzylidene unit is in the apical position to the N-DEP moiety. With these structural features in mind, two catalytic cycles are proposed, which account for the enantio- and diastereo-determining steps. Starting from the catalytically active propagating Ru-benzylidene species **A**, coordination of the norbornene substrate **S1** occurs trans to the ChiCAAC ligand, leading to transient intermediates **Bn** (*syn*, *n* = 1, 2 and *anti*, *n* = 3, 4) that are approximately 7 and 11 kcal/mol higher in energy with respect to A_{syn} (set as the zero-point energy

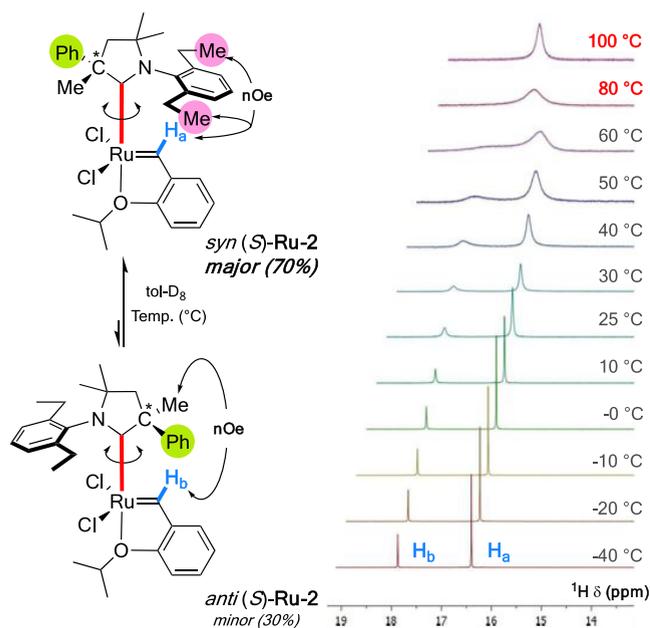
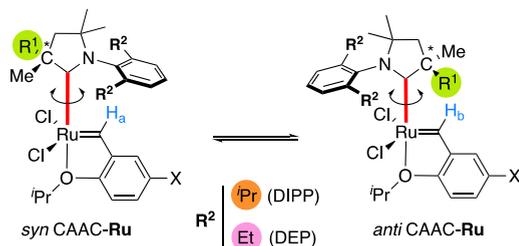


Figure 4. $^1\text{H-NMR}$ of *syn* (major) and *anti* (minor) rotational isomers of (+)-(*S*)-**Ru-2** evidencing the free/frozen rotation of ChiCAAC ligand depending on the temperature.

Table 2. Conformer analysis of ^{DIPP}ChiCAAC- vs ^{DEP}ChiCAAC-containing Ru precatalysts supported by DFT



entry	Ru-complex	R ¹	X	<i>syn/anti</i> ratio ^a	$\Delta\Delta G^b$
1	^{DIPP} Ru-1a	Ph	H	100/0	3.5
2	^{DIPP} Ru-1b	Ph	NO ₂	100/0 ^c	4.5
3	^{DEP} Ru-2	Ph	H	70/30	0.3
4	^{DEP} Ru-4	Ph	NO ₂	75/25	0.8
5	^{DEP} Ru-3	1-naphthyl	H	5/95	-1.4
6	^{DEP} Ru-5	1-naphthyl	NO ₂	5/95	-1.5
7	^{DEP} Ru-6	2-naphthyl	NO ₂	70/30	1.1
8	^{DEP} Ru-7	3,5-Me ₂ C ₆ H ₃	NO ₂	70/30	0.8
9	^{DEP} Ru-8	Cy	NO ₂	100/0	4.8

^aDetermined by $^1\text{H NMR}$ spectroscopy. ^b $\Delta\Delta G$ (*anti-syn*) in THF (kcal/mol). See SI for details.

reference) for the *syn*- and *anti*-pathways, respectively (Scheme 5B). We focused on the metallacycle forming and opening transition states affecting the *E/Z* selectivity and enantioselectivity of the reaction.^{5a} The ensuing ring-opening of the resulting metallacycle **Cn** proceeds via transition states **Cn-Dn**, leading to the opening of the six-membered ring of the norbornene substrate. The energy profiles for the *E*-selective pathways are illustrated in Scheme 5 for both propagating Ru-benzylidene species **A_{anti}** and **A_{syn}** (see SI for reaction *Z*-selective pathways). In the case of **A_{syn}**, the ring-closing step **B** → **C** requires overcoming energy barriers of 10.8 and 11.6 kcal/mol, calculated from the **A_{syn}** species, for the formation of

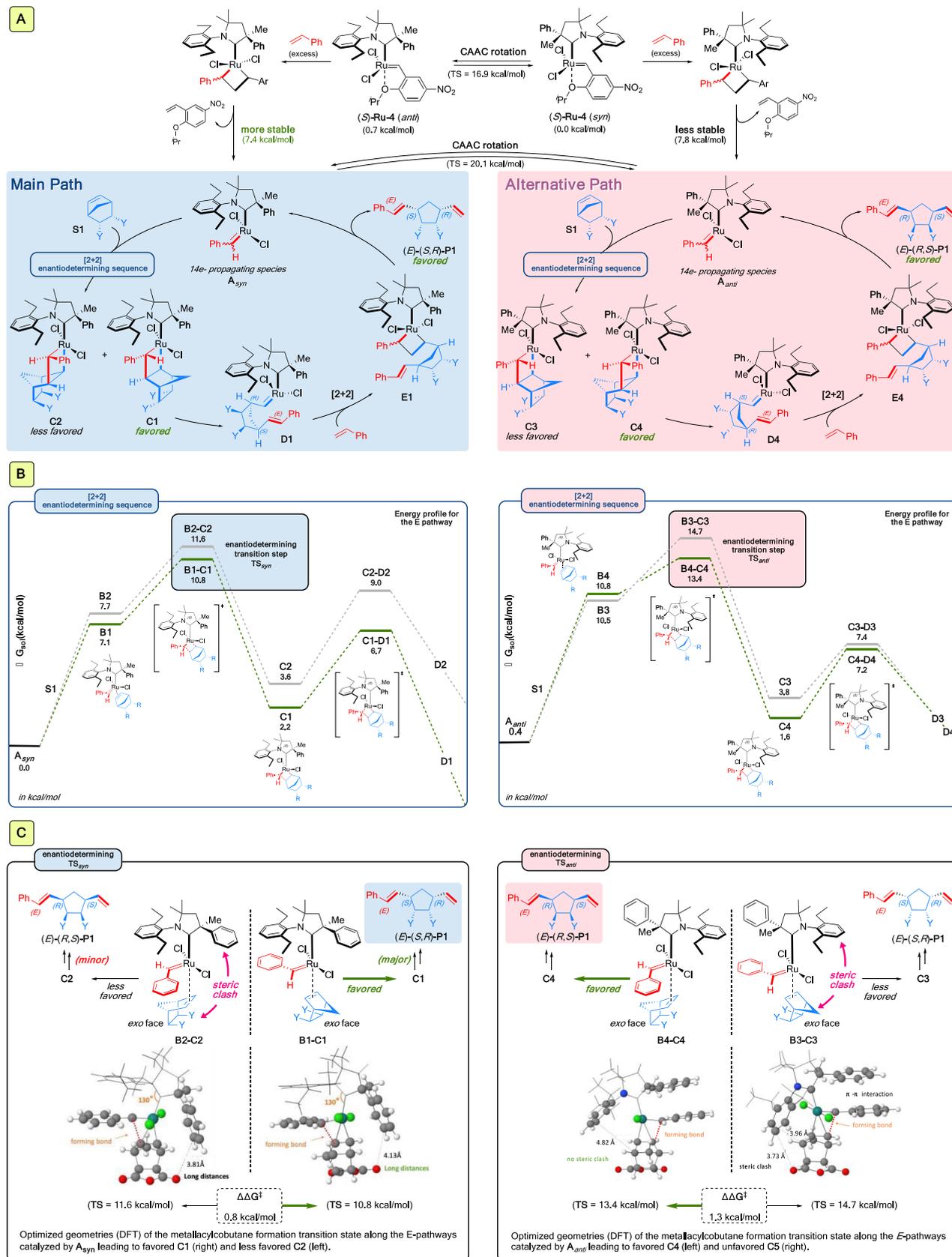
the *S,R* and *R,S* products, respectively. The resulting intermediate **C** would preferentially continue forward to **D** as the ring-opening step **C** → **D** occurs easily (~5 kcal/mol from the corresponding intermediate **C**). This indicates that the enantio-determining step involves the metallacycle-forming transition state **Bn-Cn** (**TS_{syn}**) along *E*-selective pathways. The same is also observed from **A_{anti}**, where the enantio-determining transition states **TS_{anti}** require overcoming energy barriers of 14.7 (**B3-C3**) and 13.4 (**B4-C4**) kcal/mol for the formation of the *S,R* and *R,S* enantiomers, respectively. These results can be rationalized by considering favorable interactions during the respective transition states. As shown in Scheme 5C, the favored transition states **Bn-Cn** (*n* = 1 and 4) mitigate steric clash between the norbornene heterocycle and the carbene ligand located in the apical position. Altogether, our DFT model ascertains that the catalytic cycle proceeds mainly via **A_{syn}**. This is in good agreement with the moderate ee observed for (*E*)-(*S,R*) compounds (as a result of the small energy difference between **B1-C1** and **B2-C2**; 0.8 kcal/mol). Note that the accessible **A_{anti}** and (*E*)-(*R,S*)-selective alternative pathway could likely justify a decrease in ee on increasing the reaction temperature. More interestingly, these results can help rationalize the increased enantio-induction observed with the more sterically hindered 2-naphthyl precursor ^{DEP}**Ru-6** (80% ee; Table 1; entry 14). In this case, we found a slightly higher $\Delta\Delta G^\ddagger = 1.5$ kcal/mol (Figure 5) for the two competing **B1-C1** and **B2-C2** transition states (vs 0.8 kcal/mol and 70% ee for ^{DEP}**Ru-4**; entry 8).

To improve the selectivity further, we used DFT modeling to predict the outcome of ligand modification at the chiral quaternary carbon of the ChiCAAC ligand. As shown in Figure 5, increasing the steric environment further through 3,5-*t*Bu₂C₆H₃ is not predicted to yield any significant improvement in the enantioselectivity with respect to the (*S*)-^{DEP}**Ru-4** catalyst. In fact, the *tert*-butyl groups on the phenyl ring result in a steric clash between the ChiCAAC ligand and the substrate in both key transition states, leading to a $\Delta\Delta G_{(R,S-S,R)}^\ddagger$ of only 0.9 kcal/mol in favor of the *S,R* enantiomer. Similarly, replacing the aryl moiety with a *tert*-butyl group predicts a switch in enantioselectivity in favor of the *R,S* product, although here also without any significant improvement of the overall enantioselectivity (with respect to **Ru-4**). Altogether, these predictions suggest that attaining higher enantioselectivity with these DEP-*N*-substituted RuChiCAAC complexes will not be readily achieved by a simple modification of the steric environment at the quaternary chiral carbon of the ChiCAAC ligand.

CONCLUSIONS

To conclude, a set of 14 chiral Ru-complexes containing various ^{DEP}CAAC ligands was synthesized and separated by preparative HPLC. These complexes (at 1 mol %) were found to outperform their ^{DIPP}CAAC-Ru congeners. This was attributed to their smaller steric demand. However, the presence of *syn/anti* Ru-rotamers was detrimental to the chiral induction as lower enantioselectivities were observed (ranging from 17 to 80% vs 92%). Mechanistic considerations, supported by DFT calculations, highlight the importance of steric factors both during and before the enantio-determining step.

Scheme 5. (A) Proposed Propagating Species A_{syn}/A_{anti} Resulting from *anti* (*S*)-Ru-4 and *syn* (*S*)-Ru-4; (B) Energy Profile for the *E* Pathway Starting from A_{syn}/A_{anti} ; and (C) Proposed Structural Considerations Accounting for the Observed Enantioselectivities⁴



⁴Free energies (in kcal/mol) at the PBE0-D3/TZVP~SDD //PBE0-D3/SVP~SDD level are given in THF (see SI for details).

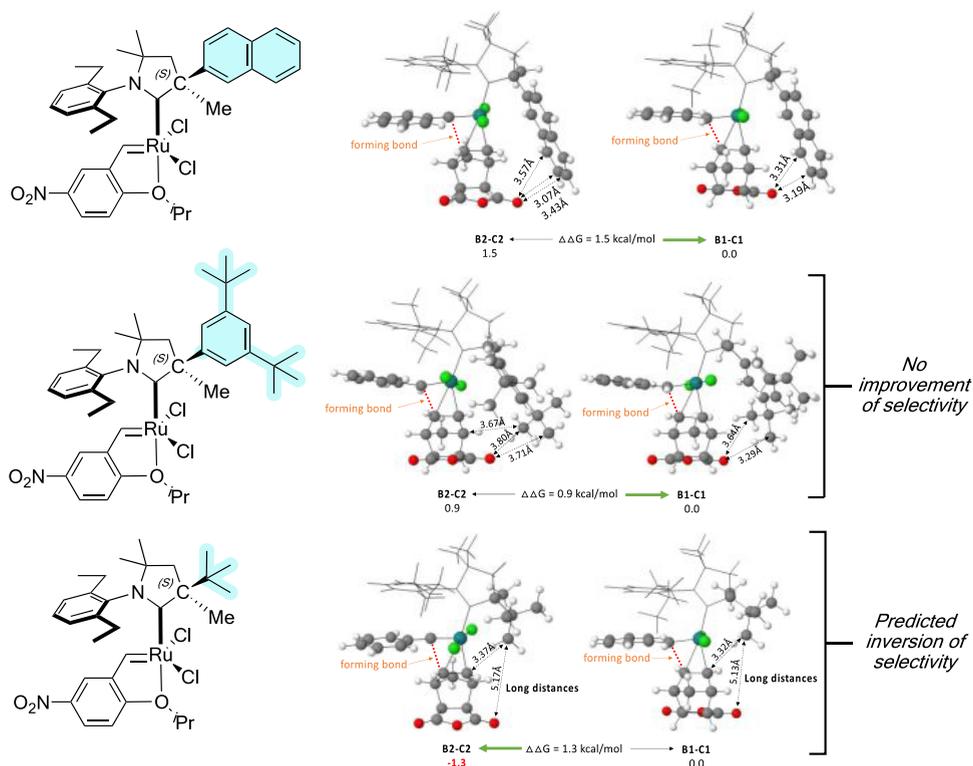


Figure 5. Predicting the effect of ligand modification over the enantio-determining transition step. Free energies (in kcal/mol) at the PBE0-D3/TZVP~SDD //PBE0-D3/SVP~SDD level are given in THF (see SI for details).

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(18) For a larger scale, the Ru-4 complex was synthesized by ourselves (see Scheme 1) following the experimental protocol previously reported in ref. 5c,d.

(19) ((a) The mixture of *syn/anti* isomer was already reported in CAAC-Ru complexes, see ref. 3 and Sg. ((b) The *syn/anti* ratio were unchanged after the HPLC separation. The mixture of *syn/anti* isomer was also reported for C₁-symmetric diaminocarbenes Ru-complexes, see: (c) Fournier, P.-A.; Savoie, J.; Stenne, B.; Bédard, M.; Grandbois, A.; Collins, S. K. Mechanistically Inspired Catalysts for Enantioselective Desymmetrizations by Olefin Metathesis. *Chem.–Eur. J.* **2008**, *14*, 8690–8695. (d) Stenne, B.; Timperio, J.; Savoie, J.; Dudding, T.; Collins, S. K. Desymmetrizations Forming Tetrasubstituted Olefins Using Enantioselective Olefin Metathesis. *Org. Lett.* **2010**, *12*, 2032–2035.

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