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Analytical model of the dynamic behavior of a vibrating mesh nebulizer for optimal atomization efficiency

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Abstract

This work deals with the assessment of optimal working condition of a mesh nebulizer on-demand droplet generation. The purpose of this paper is to introduce a model of vibrating mesh nebulizer to identify optimal working regime from a mechanical point of view. The influence of geometrical parameters and mechanical properties of both the piezoelectric component, vibrating plate and geometry of perforations is investigated and discussed based on an analytical model of stepped plate. This model is validated by 2D-axisymmetric finite element computations. The main trends identified by the model are recovered by the simulation and enable to formulate design rules to maximize the atomization efficiency with respect to a given set of mechanical and geometrical parameters.

Keywords: Ultrasonic atomization, Piezoelectric actuator, Vibrating mesh nebulizer, Perforated plate

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1 **Highlights**

- 2 • Atomization efficiency depends on amount of fluid set in motion above
3 the vibrating mesh and volume variation in apertures,
- 4 • Analytical model describes the pumping effect according to the position
5 of holes and shape, and distribution over the plate,
- 6 • Design rules are suggested to take advantage of kinematics of both
7 vibrating mesh and piezoelectric ring to increase volume variation,
- 8 • Successive finite element validations of theoretical results confirm rele-
9 vancy of the model.

10 Introduction

11 Atomizers for on-demand droplet generation are usually classified into
12 three main categories : air-jet, ultrasonic, and vibrating mesh nebulizers.
13 Air-jet nebulizers (pneumatic nebulizers) atomize the liquid into droplets us-
14 ing a compressed gas traveling through a convergent nozzle. In ultrasonic
15 nebulizers, high frequency acoustic waves are produced by a piezoelectric
16 crystal as an energy source to overcome the capillary stress stabilizing the
17 liquid surface and therefore breaking it into microsized droplets [1]. Vi-
18 brating mesh nebulizers are themselves divided in two categories. In passive
19 devices, the liquid to be atomized is in between a vibrating piezoelectric patch
20 and a fixed perforated mesh having identical tapered holes. As the piezo-
21 electric crystal is driven by a high-frequency vibration, it pushes the fluid
22 through the apertures of the perforated mesh [2]. Active vibrating mesh de-
23 vices consist in a piezoelectric ring clamped on the contour of a perforated
24 plate, as depicted in Fig. 1. When a voltage is applied to the piezoelectric
25 ring, the disc contracts and expands, resulting in a transverse vibration of
26 the perforated plate, and therefore pumping the fluid from one face of the
27 mesh to the other one, resulting in droplet ejection [3].

28 Vibrating mesh nebulizers have several advantages compared to jet neb-
29 ulizers including quiet operation, no heating, stability, low weight, fast re-
30 sponse and energy efficiency. A decisive advantage is to control the droplet
31 size by the ultrasonic frequency unlike jet nebulizers. Such vibrating mesh
32 nebulizers utilize a membrane with multiple apertures and are reported to
33 provide a more uniform droplet size. The only limitation is that these are
34 manufactured through an expensive laser drilling process or micro- electro-
35 plating method. Despite the manufacturing costs, vibrating mesh nebulizers
36 have been introduced in the 1980s for medical purposes. These devices are
37 now used in various fields of application such as medicine, injection engines,
38 agriculture, for various purposes such as disinfection, spray drying, atomiza-
39 tion of liquids or powders [5, 6].

40 Regarding the actuation of vibrating mesh nebulizers, the key component
41 is a plate that is perforated with precisely formed (tapered/cylindrical) holes.
42 A piezoelectric ring clamped on the contour of the plate is driven by a electric
43 excitation so that it vibrations induces downward and upward motion of the
44 plate. The mesh deforms into the liquid side, thus pumping and loading the
45 liquid inside holes. This deformation on the other side of the liquid reservoir
46 ejects droplet through the holes. The specific motion of the apertures of the

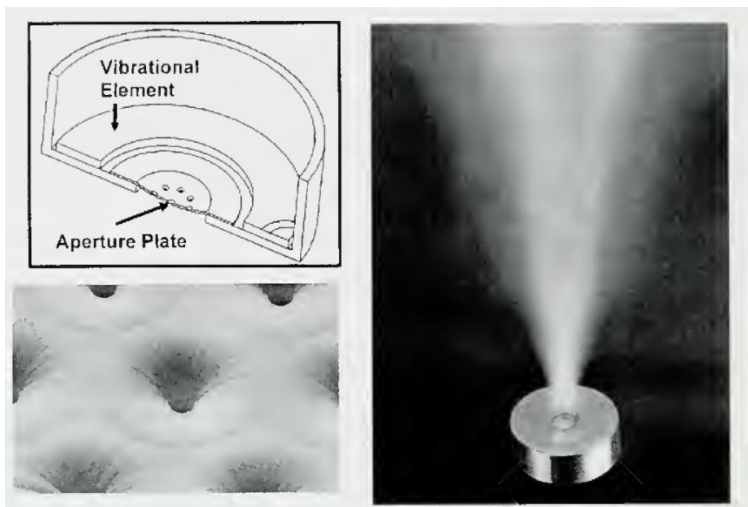


Figure 1: Constituents of a vibrating mesh nebulizer. Top left: the piezoelectric ring is actuated to expand and contract and induces local vibrations of the perforated plate that creates a pumping action. Bottom left: Microscopic view of perforations. There are approximately 1.000 holes on the perforated mesh. Right: mist resulting from atomization process. Picture taken from [4]

47 mesh creates a pumping effect that brings the liquid through the apertures
48 so that it is finally atomized into fine droplets. The specific mechanism of
49 droplet formation and ejection is not addressed in this paper. It is a complex
50 multi-parameter two-phase flow problem and numerous competing theories
51 are available in the literature [7, 8].

52 The huge interest in controlling accurately the size of these droplets and
53 make sure the atomizer consistently generates droplets in a fine range of
54 diameters has motivated numerous experimental studies. These concluded
55 that the size of the droplet produced depends on the diameter of the holes
56 in the mesh and physico-chemical properties of the liquid to be atomized,
57 such as density, surface tension, and viscosity. The typical output droplet
58 diameter is about $5 \mu\text{m}$ [9]. This size of particle is associated with deep lung
59 penetration and explains the huge amount of experimental studies applied
60 to drug delivery.

61 However, only a few research are available on the dynamic characteristics

62 and the underlying mechanism of mechanical vibration of the piezo and the
63 perforated plate.

64 Among the very few works available from the mechanical perspective,
65 one may cite [10] who concluded that the second vibration mode of the inner
66 mesh provides more stable atomization efficiency and that the nodal line of
67 the second mode should be inside the inner radius of the piezoelectric ring.
68 Analytical solutions for the deflection of the plate actuated by a piezoelectric
69 annular plate is fully documented in terms of efforts and moments in [11] and
70 [12] but it resulted in static solutions only with no effect of the perforations.
71 An investigation of the behavior of a piezo ring was suggested by [13] and
72 demonstrated the effect of laminate's thickness, annular radius, and residual
73 stresses on the deflection of the inner plate. An analytical model assessing
74 the pumping effect caused by the perforated mesh was introduced in [14].
75 The cone angle was correlated to the flow resistance coefficient and pressure
76 loss calculation, but it was not investigated in the frequency domain. This
77 was later verified experimentally in [15, 16, 17]. Recently, a modal study of
78 the piezoelectric ring coupled with the plate was achieved by [18, 19] and was
79 correlated to flow rates to demonstrate a better efficiency at the resonance
80 frequency, but no investigation of the holes was conducted. More recently, a
81 vibration-based model for annular-type bimorph transducers was published
82 by [20]. The relationships between the transducer, its boundary conditions,
83 and their influence on ultrasonic drying enabled to optimize the dryer design
84 based on modal considerations.

85 From this critical review, and despite dozens of patents on the topic,
86 published data on the design rules of these actuators are quite limited. Thus,
87 the main purpose of the present paper is to investigate the features of a
88 vibrating mesh nebulizer from the mechanical perspective.

89 The structure under study is described in section 1, the underlying
90 physics and associated mechanisms. A simple analytical model is firstly de-
91 rived in section 2 to evaluate the volume change of fluid that is set in motion
92 by the plate and evaluate how it is affected by the plate's properties. A more
93 specific model describing the volume variation in the mesh is then suggested
94 in section 3 to investigate the effect of the position, number, shape of the
95 holes. This model is extended to that of a stepped plate that takes into
96 account the thickness step associated with the ring. Finally, a finite element
97 simulation is presented in section 4. It summarizes the governing equations
98 related to the coupling between the piezoelectric disc and plate. Frequency
99 domain computations aims at compare the trends from the analytical model

100 and suggest possible ways to enhance the analytical models.

101 1. Structure geometry and governing equation

102 The structure under study is shown in Fig. 2 and consists in a circular steel
 103 plate coupled with a piezoelectric ring. The circular plate is characterized by
 104 its radius r_o , thickness d_p , Young's modulus E_p , density ρ_p , Poisson's ratio
 105 ν_p , corrected Young's modulus $E'_p = E_p/(1 - \nu_p^2)$. The piezoelectric ring
 106 is characterized by its inner radius r_i , outer radius r_o , thickness d_r . The
 107 bonding layer between the piezo ring and the plate is usually less than 10 μm
 108 and such thickness compared to the plate thickness have a negligible effect
 109 on the global behavior. The geometric parameters and mechanical properties
 110 of the plate and ring are detailed in Table 1.

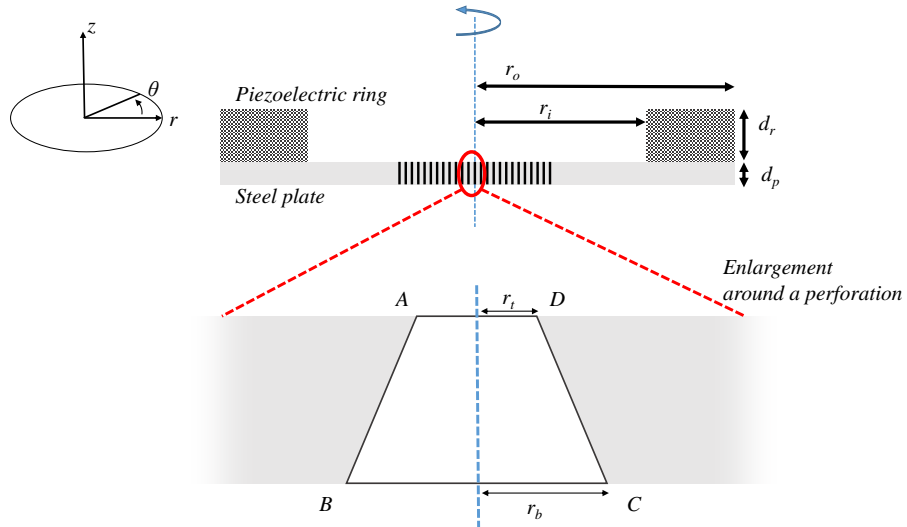


Figure 2: 2-D axisymmetric view of the atomizer : circular plate coupled with piezoelectric ring. Enlarged view of the perforated mesh and notations used to describe the motion of the hole

111 Figure 2 also shows an enlargement of the plate around a perforation. In
 112 the following, holes might have a conical shape where r_t is the top radius and
 113 r_b is the bottom radius. Four points denoted A, B, C, D will be used in the
 114 following to investigate the kinematics of the perforation.

Table 1: Mechanical and geometrical parameters of the circular plate and piezoelectric disc

	Plate	Piezoelectric ring
Mass density [kg.m ⁻³]	$\rho_p = 7850$	$\rho_r = 7500$
Young's Modulus [GPa]	$E_p = 205$	$E_r = 50$
Poisson ratio	$\nu = 0.3$	$\nu = 0.3$
Dimensions [mm]	$d_p = 0.1, r_i = 2.5$	$d_r = 0.3, r_o = 5$

115 Assuming thin plate ($d_p/2r_o \ll 1$), and small deformations, and consid-
 116 ering that the origin of the frame matches with the center of the plate, the
 117 transverse displacement w of

118 the circular plate is $E'_p I_p \nabla^4 w - \Lambda'_p \omega^2 w = 0$ where ∇ is considered in polar
 119 coordinates (r, θ) with r the radial coordinate and θ the angular coordinate.

120 Thus, the complete solution to the fourth-order equation in polar coordi-
 121 nates is

$$w(r, \theta) = [EJ_n(\delta r) + FI_n(\delta r) + GK_n(\delta r) + HY_n(\delta r)] \cos(m\theta) \quad (1)$$

122 where E, F, G, H are the integration constants to be determined from the
 123 boundary conditions, δ the wavenumber such as $\delta = \Lambda_p \omega^2 / EI$, n is the order
 124 of the Bessel and modified Bessel functions and m corresponds to the number
 125 of nodal diameters. In the following, $m = 0$ since the excitation is uniformly
 126 distributed along the contour and circumferential modes cannot be excited.

127 This modeling will be used for the assessment of the effective mass in
 128 section 2, and for the investigation of the volume change in a perforation in
 129 section 3.

130 2. Analytical modeling of the volume change above the perforated 131 mesh

132 This section aims at correlate the variation of fluid above the perforated
 133 mesh to the modal behavior of the plate. The apparent mass of the plate is
 134 introduced analytically as a preliminary tool to evaluate working conditions
 135 of the device based on modal considerations.

136 *2.1. Modeling of the effective mass*

137 For a given distribution of transverse displacement on the plate, the
 138 amount of fluid above the plate is directly related to the effective mass of the
 139 vibrating plate, which is, in this case, given by the integral of the deflection
 140 over the surface of the plate. To avoid any discontinuity during the inte-
 141 gration step, the perforations are temporarily neglected. The plate model
 142 introduced in Section 1 degenerates into the description of the dynamic be-
 143 havior of circular plate clamped its contour and driven by a uniform out-of-
 144 plane unitary motion. Since the amplitude should remain finite at $r = 0$, the
 145 transverse displacement of the plate at any point on its surface is governed
 146 by $w = (EJ_0(\delta r) + FI_0(\delta r))\cos(m\theta)$, where $m = 0$. The boundary conditions
 147 are $w(r = r_i) = 1$ and $d_r w(r = r_i) = 0$, and Fig. 3 shows the modal shapes
 148 that could possibly be excited according to these boundary conditions.

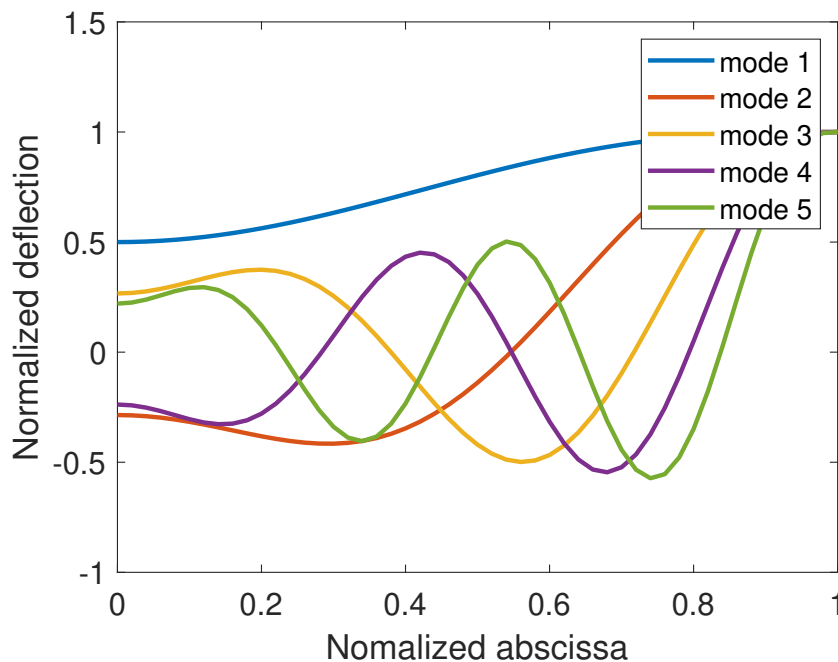


Figure 3: Modal shape of the circular plate clamped all around with dynamic displacement on its outer radius

149 The effective mass of the vibrating plate, which is, given by the inte-
 150 gral of the deflection over the surface of the plate is expressed in cylindrical

151 coordinates is

$$\phi_\omega = \frac{1}{S} \int_0^l w r dr = \frac{4}{\delta r_i} \frac{I_1(\delta r_i) J_1(\delta r_i)}{I_1(\delta r_i) J_0(\delta r_i) + I_0(\delta r_i) J_1(\delta r_i)} \quad (2)$$

152 The roots of

$$J_0(\delta r_i) I_1(\delta r_i) + I_0(\delta r_i) J_1(\delta r_i) = 0 \quad (3)$$

153 are $\delta r_i \approx 3.19; 6.30; 9.43; 12.57; 15.71$, and enable to calculate the circular
154 plate eigen frequencies as $\omega_i^p = \left(\frac{\delta r_i}{r_i}\right)^2 \sqrt{\frac{E_p' I_p}{\Lambda_p}}$

155 This quantity is frequency dependent and exhibits singularities associated
156 with eigenmodes of the clamped plate. Further comments are made in the
157 next subsection, dedicated to the study of this quantity according to some
158 key design parameters that are flexural rigidity, thickness, and surfacic mass.

159 2.2. Parametric study

160 This subsection provides a parametric study over three relevant quantities
161 associated with the plate, namely, its flexural rigidity $E_p' I_p$, thickness d_p , and
162 surfacic mass Λ_p . The effective mass will be compared to that obtained from
163 the set of parameters in Table 1, as a function of the normalized frequency
164 $\tilde{f} = f/f_1$, where f_1 is the first eigenfrequency of the plate.

165 The effect of the variation of flexural rigidity is depicted in Fig. 4. The
166 effective mass term is plotted for a plate whose flexural rigidity EI' varies
167 from $\pm 20\%$ from the flexural rigidity $E_p' I_p$ of the initial setup. This change
168 gives rise to a frequency shift of $\pm 10\%$ of the first eigenfrequency.

169 The effect of the variation of plate's thickness d_p is depicted in Fig. 5.
170 The effective mass term is plotted for a plate whose thickness d_p' increases
171 up to 5% thicker as the initial setup. This change gives rise to a frequency
172 shift of +10% of the first eigenfrequency.

173 The effect of the variation of surfacic mass Λ_p' is depicted in Fig. 6. The
174 effective mass term is plotted for a plate whose surfacic mass Λ_p' varies from
175 $\pm 20\%$ from the surfacic mass Λ_p of the initial setup. This change gives rise
176 respectively to a frequency shift of -11% to $+8\%$ of the first eigenfrequency.

177

178 2.3. Concluding remarks

179 This first modeling based on the effective mass of the plate illustrates
180 the idea that the amount of fluid that is set into motion above the plate is
181 directly related to its effective mass. This is introduced as a first parameter

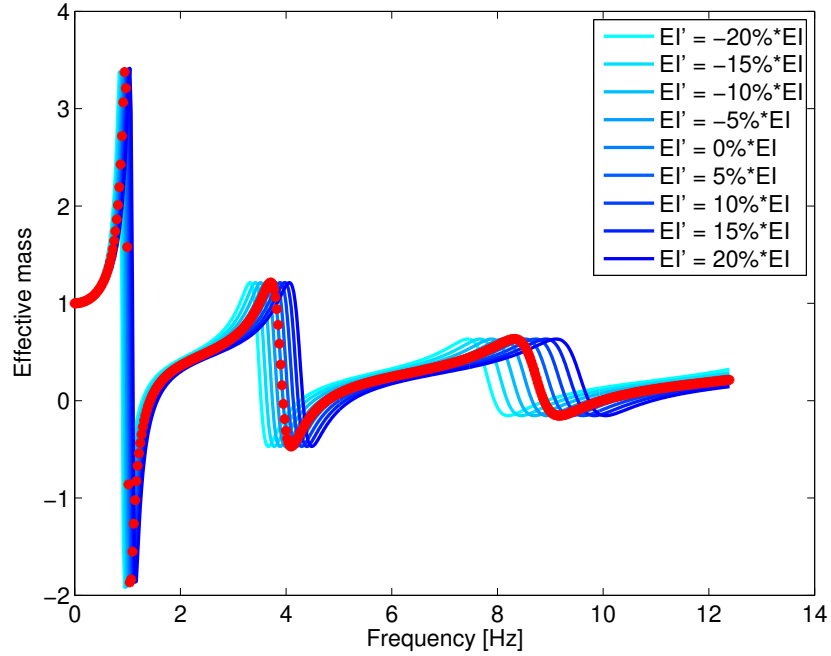


Figure 4: Effective mass of the clamped circular plate versus frequency with increasing flexural rigidity (from light to dark blue) and reference configuration (*)

182 for the optimal design of the nebulizer.

183 The next section focuses on the study of shape and distribution of hole over
 184 the plate to maximize this pumping effect.

185 **3. Modeling of the volume change in the perforated mesh**

186 This section is dedicated to another key factor, which is the volume change
 187 occurring in apertures of the mesh. As the plate is driven by an harmonic
 188 motion, it bends and the over one period of excitation, the volume change
 189 in one hole is cyclic. Indeed, when the volume of the aperture increases, the
 190 pressure decreases, that makes the liquid flowing from upstream the mesh to
 191 inside the aperture. While the volume of the aperture decreases, the pressure
 192 in it increases and pushes the amount of fluid downstream the mesh. This
 193 volume change in the apertures contributes to the pumping effect and results
 194 in the ejection of the droplet.

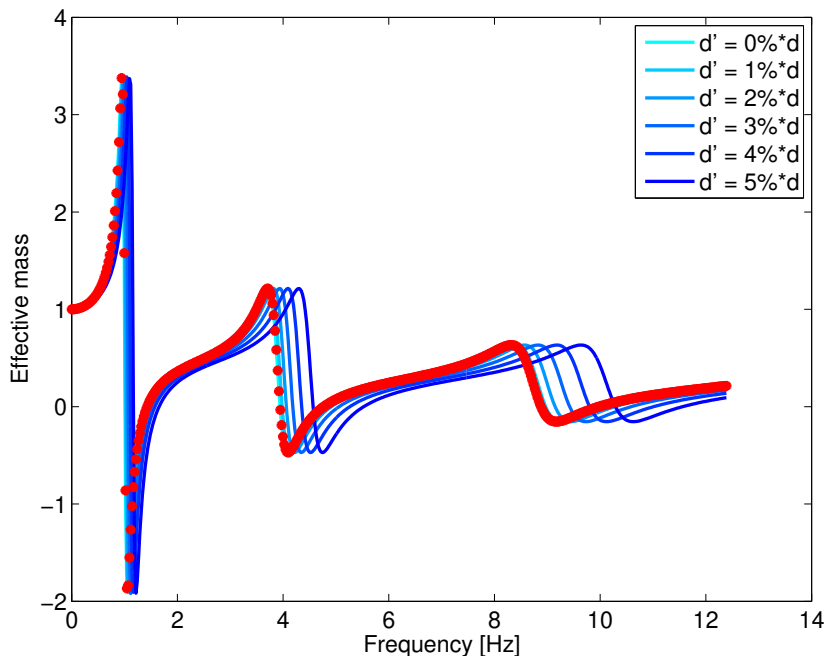


Figure 5: Effective mass of the clamped circular plate versus frequency with increasing d (from light to dark blue) and reference configuration (*)

195 *3.1. Analytical modeling of the volume change in one single perforation*

196 This section provides an insight on the modeling of the volume change
 197 in the apertures based on a plate model. It introduces the calculations for
 198 one single apertures, then generalizes it to multiple apertures. This model is
 199 built in such a way that it enables to assess *i*) the influence of the shape of
 200 the aperture (whose initial cross section is either rectangular or trapezoidal)
 201 and *ii*) the influence of the position of the hole on the change of volume in
 202 it.

203 The flatness of the plate, defined as the ratio $d_p/2r_i \ll 1$ suggests that
 204 the thin plate assumption is valid, and that its transverse motion will be
 205 adequately described by the Kirchhoff formulation.

206 The motion of a wall of a perforation is illustrated in Fig. 7

207 Figure 2 shows the cross section of the plate with one single aperture
 208 whose centroid abscissa is located at x_M . In the following, the volume change
 209 of the aperture is calculated from the volume change of the truncated cone
 210 change of area of the trapezoid formed by points A, B, C, D. Assuming

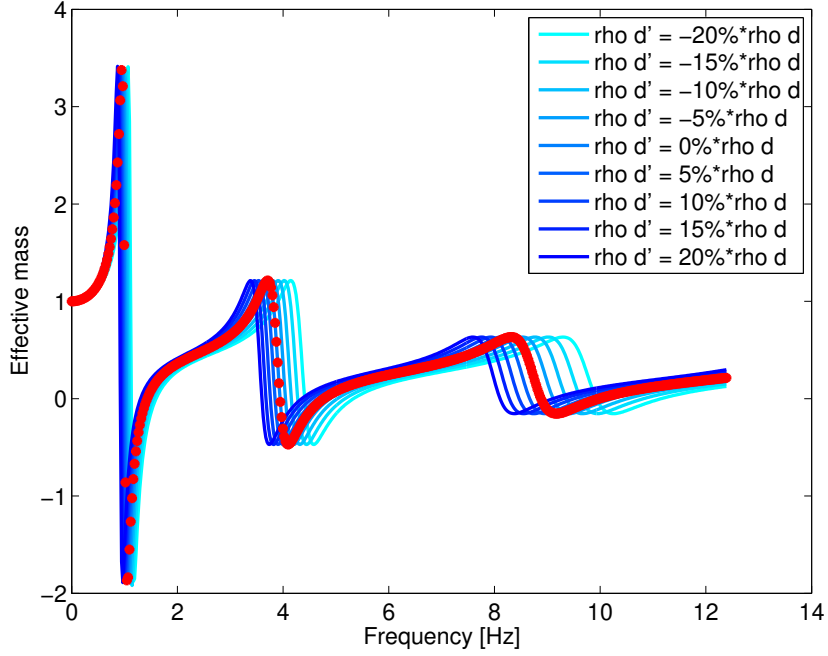


Figure 6: Effective mass of the clamped circular plate versus frequency with increasing surfacic mass (from light to dark blue) and reference configuration (*)

211 Kirchhoff hypothesis, plane sections perpendicular to the neutral axis be-
 212 fore deformation remain plane and perpendicular to the neutral axis after
 213 deformation, deformations are small, and the plate is made of linear elastic
 214 material.

215 The plate is considered to be aligned along the r - axis, and its center
 216 matches with origin of the coordinates. Its deflection is described by a func-
 217 tion $w(r)$ which governs the transverse displacement of the neutral fiber, as
 218 (1). For any point p of coordinates $X = (r_p, z_p)$ before deformation, its
 219 coordinates after deformation are $X' = (r_p - z_p \sin \phi, w + z_p \cos \phi)$, where
 220 ϕ denotes the rotation of the cross-section, expressed as $\phi = dw/dr$. It
 221 is outlined that each point moves according to a transverse and a longitu-
 222 dinal component, and according to the small deformation assumption, the
 223 displacement function may be simplified as $X'' = X' - X = (-z_p \phi, w)$.

224 This approach enables to calculate the displacement and rotation of each
 225 point considered to deduce the in-plane and transverse component of their
 226 respective motion. This will be done in the case of a cylindrical and conical

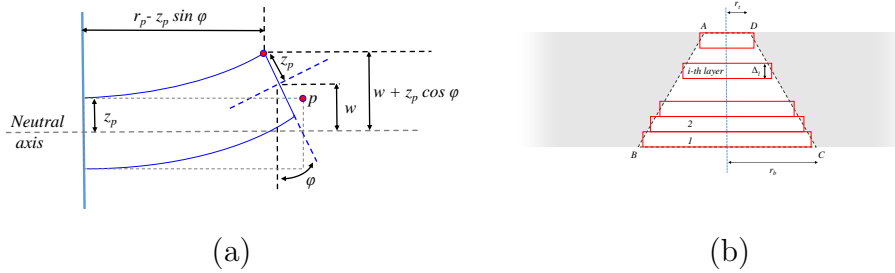


Figure 7: Notations associated with bending kinematics of the plate cross-section, before (dotted line) and after deformation (solid line) (a), and discretization of the variable cross-section perforation (b).

227 perforation, whose centroid abscissa is located either $x_M = 0.2r_i$ and $x_M =$
 228 $0.4r_i$. These four cases illustrate the effect of shape of the perforation, and
 229 the influence of its position. These are presented an compared with finite
 230 element computation in the next next section.

231 3.2. Finite element validation

232 A 2D- axisymmetric model of the circular plate is implemented using the
 233 solid mechanics module. The material is considered as isotropic and pre-
 234 scribed harmonic displacement is specified on the outer edge to mimic the
 235 deflection imposed by the piezo. Although this is a simplified modeling, it is
 236 enough to understand the kinematics of the perforation rather than describ-
 237 ing the nature of the coupling between the piezo ring and the plate. The
 238 complete mesh resulted in 100 domain elements and 110 boundary elements.
 239 Running the frequency study from 0 to 1 Mhz with a frequency step of 2
 240 kHz resulted in a computation time of approximately 30s for 1010 degrees of
 241 freedom.

242

243 From the mechanical properties and geometric parameters of the circular
 244 plate presented in Table 1, the analytical in-plane and transverse compo-
 245 nents of point A are successfully compared to a finite element computation,
 246 as shown is Fig. 8. The frequencies at which there the direction of motion
 247 changes correspond to the eigenfrequencies of the plate. This effect is per-
 248 fectly recovered for two positions.

249 Additional variables describing the dynamic behavior are also introduced
 250 to describe the surface change of the trapezoid formed by ABCD. Denoting

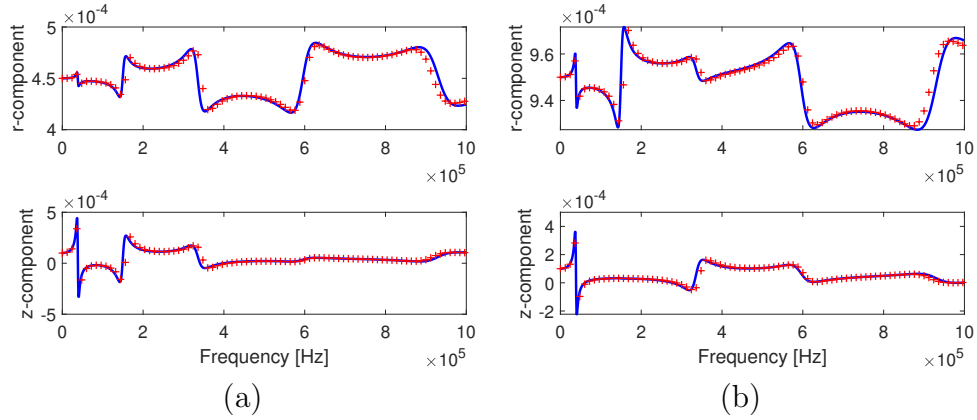


Figure 8: In-plane and out-of-plane components of the motion of point A, analytical (—) and finite element comparison (+), cylindrical perforation located at (a) $x_M = 0.2r_i$, and (b) $x_M = 0.4r_i$

251 r_t^* the dynamic top radius of the hole, and r_b^* the dynamic bottom radius
 252 of the hole, these quantities are compared to a finite element computation
 253 and normalized by the static radii r_t and r_b respectively, as shown in Fig. 9.
 254 Again, significant changes of variation of these parameters occur at each
 255 eigenfrequency, and well recovered in frequency and amplitude.

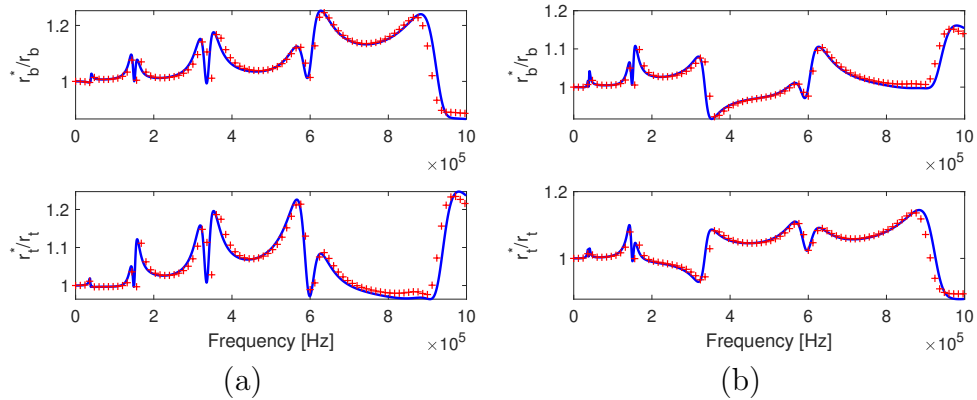


Figure 9: Relative length variation of dynamic bottom radius r_b^*/r_b and top radius r_t^*/r_t , analytical (—), finite element comparison (+), cylindrical perforation located at (a) $x_M = 0.2r_i$, and (b) $x_M = 0.4r_i$

256 We can note that in the case of a perforation in the middle of the plate
 257 ($x_M = 0$), if the plate is subjected to an harmonic excitation, the upper and

258 lower faces of the hole increase and decrease in an opposite way to each other,
 259 so that r_t^* increases while r_b^* decreases and inversely during the second phase
 260 of the cycle.

261 Finally, the surface change ΔS of the trapezoid is frequency dependent
 262 and exhibit significant variations around the eigenmodes of the plate. For the
 263 sake of clarity, we introduce the normalized dynamic surface change $\Delta S =$
 264 S^*/S_0 , where S^* is the frequency dependent surface change and S_0 is the
 265 initial cross-section area of the perforation. This quantity is compared to the
 266 direct integration from the finite element model and illustrated in Fig. 10 for
 267 a cylindrical perforation, and in Fig. 11 for a conical perforation.

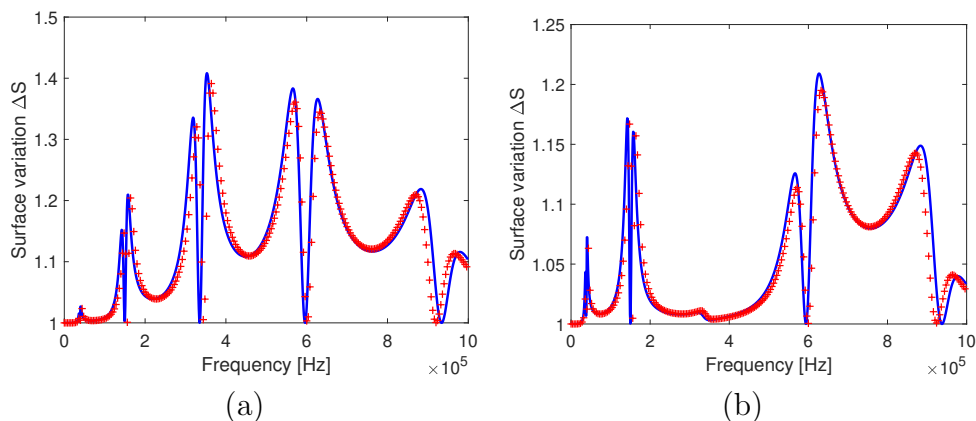


Figure 10: Relative surface variation ΔS , analytical (—), finite element comparison (+), cylindrical perforation located at (a) $x_M = 0.2r_i$, and (b) $x_M = 0.4r_i$

268 Although the calculation for the cylindrical perforation is straightforward
 269 and exact, the one for a conical is approximated. In this approach, the total
 270 height of the perforation is divided into n slices each of constant height
 271 $\Delta h = d_p/n$, and of variable width depending on the altitude of the layer $z =$
 272 $\Delta h(i - 1)$ where i is the layer label ($i = 1, 2, \dots, n$), as shown in Fig. 7. The
 273 total surface change is thus obtained by summation as $S^* = \sum_n S_n(z)$. In
 274 the following, n is chosen as 10.

275 Very good consistency is reached for both cylindrical and conical perforation
 276 versus finite element. From the previous developments, the area is
 277 calculated assuming an isocèle trapezoid, meaning that the two legs have the
 278 same length, and that it is symmetric with respect to the axis passing through
 279 points M_t and M_b . In this way the volume of the cone is easily calculated
 280 by revolving this surface around the symmetry axis. In case of a cylindrical

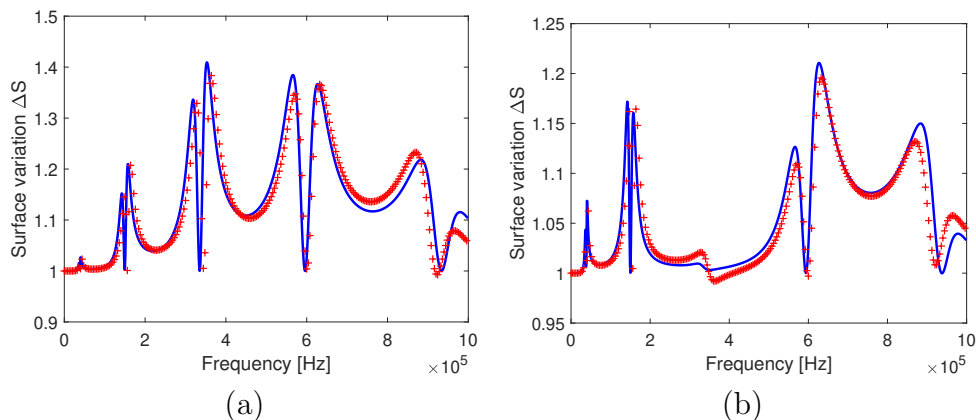


Figure 11: Relative surface variation ΔS , analytical (—), finite element comparison (+), conical perforation located at (a) $x_M = 0.2r_i$, and (b) $x_M = 0.4r_i$

281 perforation, the upward and downward bending of the plate causes the ini-
 282 tial rectangle to deform as a trapezoid with straight edges. However, when
 283 considering a conical perforation, the bending causes the initial trapezoid to
 284 deform in a asymmetric shape whose area cannot be calculated under the
 285 isocle trapezoid assumption. This loss of precision is compensated by the
 286 step of integration over several layers.

287 In addition to these comments, the complex deformation experienced by
 288 the trapezoid when the plate bends is illustrated in Fig. 12

289 The volume change in one single perforation is finally deduced from the
 290 quantities presented above, and illustrated for a cylindrical perforation, and a
 291 conical perforation. The normalized dynamic volume change $\Delta V = V^*/V_0$,
 292 where V^* is the frequency dependent volume change, and V_0 is the initial
 293 volume of the perforation. This dynamic volume change is illustrated in
 294 Fig. 13 in the case of one single cylindrical perforation compared to a conical
 295 one, either located at $x_M = 0.2r_i$, and $x_M = 0.4r_i$. For the two positions, it
 296 is clearly seen that the conical and cylindrical shape offer very similar variation
 297 of volume. This is demonstrated in terms of amplitude of the volume change,
 298 as well as on the frequency range. Actually, the the volume change of the
 299 perforation is located mainly around the eigenfrequencies of the plate.

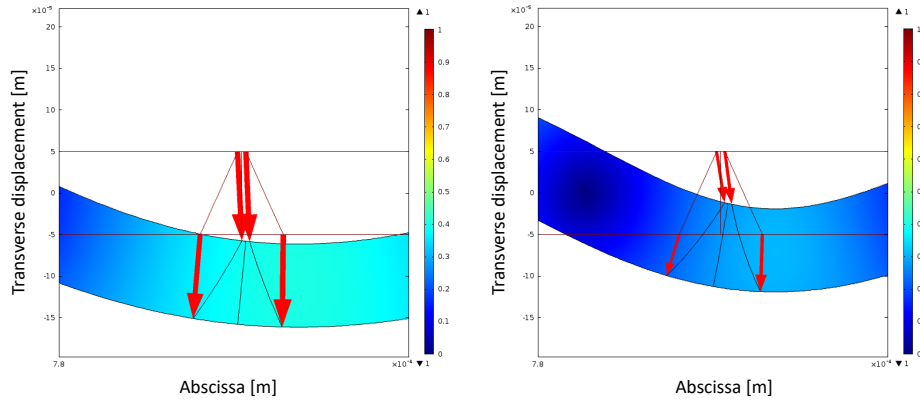


Figure 12: Displacement field associated to the edges of the conical perforation located at $x_M = 0.4r_i$ at 0.32 MHz (left) and 0.97 MHz (right)

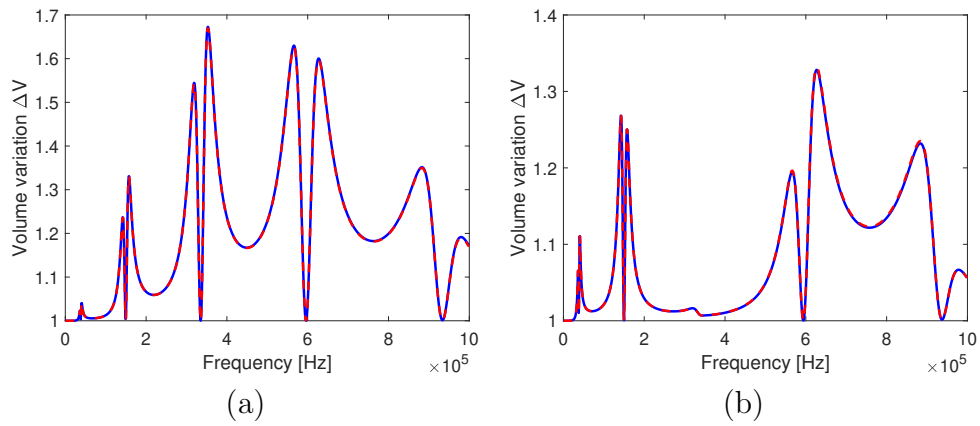


Figure 13: Relative volume variation ΔV in a single perforation located at $x_M = 0.2r_i$ (a) $x_M = 0.4r_i$ (b), conical (—) and cylindrical (---)

300 *3.3. Effect of the position of a single hole on volume variation*

301 For this investigation, the x-coordinate of the center line x_M of the per-
 302 foration is moved from $x_M = 0$ to $x_M = 0.6r_i$. The normalized volume
 303 variation calculated from the analytical model is shown for a cylindrical hole
 304 in Fig. 14 and for a conical hole in Fig. 15.

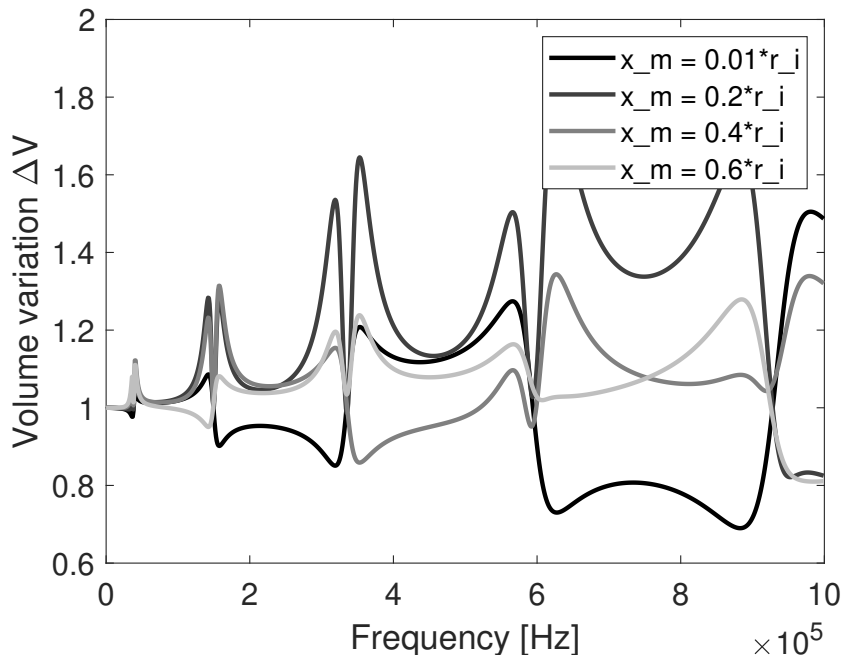


Figure 14: Analytical normalized volume variation ΔV of one single cylindrical perforation versus frequency, for different positions

305 It is observed in Fig. 14 that the volume variation associated with the
 306 different modes exhibit changes of behavior resulting from the combination
 307 of in-plane and out-of plane displacements of the initial trapezoid. Looking
 308 at Fig. 3 helps in commenting these trends. Regarding the first mode, for
 309 which the transverse amplitude of vibration decreases while moving away
 310 from the middle, the volume variation also decreases. The same comment
 311 can be formulated for the second mode, which suggests that the maximum of
 312 volume change diminishes far away from the middle. However, the volume
 313 change around the third mode shows that similar volume changes appear for
 314 $x_M = 0.06r_i$ and $x_M = 0.036r_i$. This could be partially assumed from the
 315 analysis of Fig. 3, and it is confirmed with this model, that takes into account
 316 the dynamic deformation of the hole.

317 Despite the more complex kinematic of the perforation, the same com-
 318 ments apply in the case of a conical hole, for which the volume variation is
 illustrated in Fig. 15.

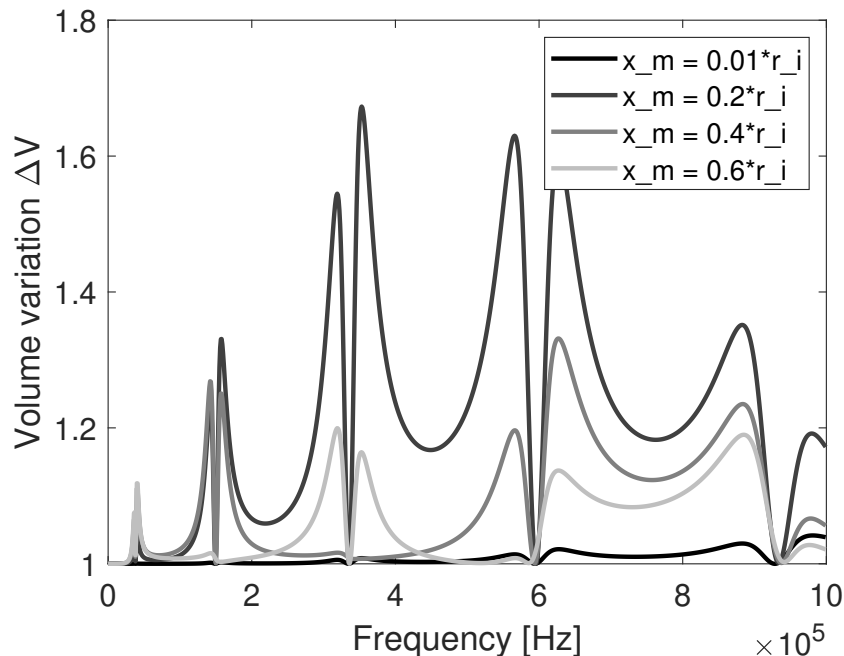


Figure 15: Normalized volume variation ΔV of the conical perforation versus frequency

319

3.4. Effect of the distribution of holes on average volume variation

320 Introducing the average volume variation ΔV_m brings a new indication
 321 about the volume variation provided by several perforations. Two distribu-
 322 tions are considered ; 9 perforations between 0 and $r_i/2$, and 17 perforations
 323 between 0 and r_i . The spacing is regular and the distance between the mid
 324 axis of two neighbouring perforations is chosen as $3r_b$ to avoid overlapping.
 325 The comparison of the average volume variation provided by these two distri-
 326 butions is shown in Fig. 16, for conical and cylindrical perforations. Again,
 327 the shape of the perforation doesn't affect the volume variation. Also, the
 328 comparison suggests that the most favorable configuration is to have perfora-
 329 tions in the center of the plate rather than over the entire surface. This is
 330 predictable since the low frequency modes having their maximum of deflec-
 331 tion in the center of the plate are most likely to contribute to the kinematics
 332 rather than the high frequency mode having multiple nodal lines.
 333

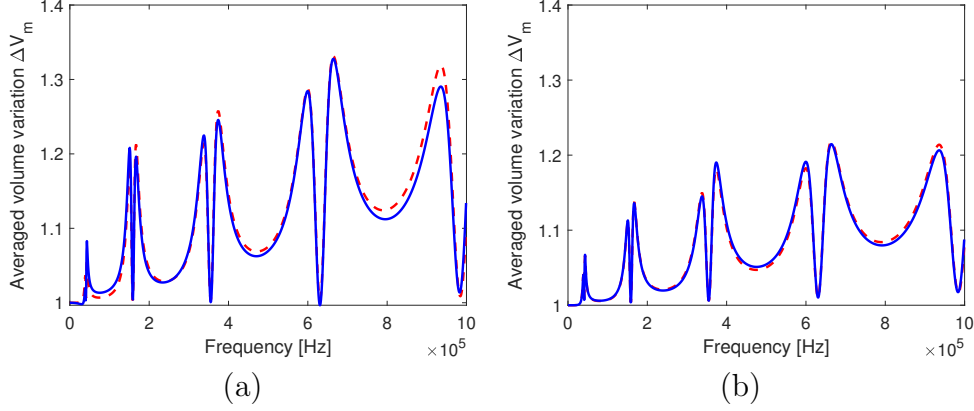


Figure 16: Average volume variation ΔV_m in perforations distributed as $0 \leq x_M \leq 0.5r_i$ (a), and $0 \leq x_M \leq r_i$ (b), conical (—) and cylindrical perforation (---)

3.5. Volume variation experienced by perforation on stepped circular plate

In this section, the investigation introduced in section 3 is extended to a stepped plate. This is done within the assumption of thin plate and small deformation. The circular plate with piezoelectric ring attached on it is modeled as a stepped plate whose cross section is represented in Fig. 2. Two regions are considered: first is associated with the internal plate $0 < r < r_i$ whose surfacic mass is Λ_1 and flexural rigidity is EI_1 , second is associated with the ring $r_i < r < r_o$, whose surfacic mass is Λ_2 and flexural rigidity is EI_2 . According to the solution form given in section 1, the transverse displacement w_1 in region 1 should remain finite in $r = 0$ so it is written

$$w_1(r) = E_1 J_n(\delta_1 r) + F_1 I_n(\delta_1 r) \quad (4)$$

whereas for region 2 associated with the ring, the full form must be considered, so the transverse displacement w_2 is written

$$w_2(r) = E_2 J_n(\delta_2 r) + F_2 I_n(\delta_2 r) + G_2 K_n(\delta_2 r) + H_2 Y_n(\delta_2 r) \quad (5)$$

where $E_1, F_1, E_2, F_2, G_2, H_2$ are the integration constants to be determined from the boundary conditions, δ_1 the wavenumber in region 1 such as $\delta_1 = \Lambda_1 \omega^2 / EI_1$, δ_2 the wavenumber in region 2 such as $\delta_2 = \Lambda_2 \omega^2 / EI_2$. Four boundary conditions are stated at $r = r_i$ and given by the continuity of displacement $w_1(r_i) = w_2(r_i)$, rotation $d_r w_1(r_i) = d_r w_2(r_i)$, bending moment in radial direction $M_1(r_i) = M_2(r_i)$, and transverse shearing force

352 $Q_1(r_i) = Q_2(r_i)$, respectively calculated as $M = -EI(d_r^2 w + \nu/r d_r w)$ and
 353 $Q = EId_r(d_r^2 w + 1/r d_r w)$. Two additional boundary condition on the outer
 354 radius must also be specified.

In the following, region 1 has $\Lambda_1 = \Lambda_p$ and $EI_1 = EI_p$, and region 2 has equivalent properties associated with both plate and ring, that are introduced in the surfacic mass as

$$\Lambda_2 = d_p(\rho_p d_p + \rho_r d_r)/(d_p + d_r)$$

as well as in the flexural rigidity as

$$EI_2 = EI_p(1 + t\gamma^3 + 3(1 + \gamma)^2 t\gamma/1 + t\gamma)$$

355 with $t = E_r/E_p$ and $\gamma = d_r/d_p$. Note that for a given thickness, the flexural
 356 rigidity of the plate is much higher than that of the ring, so the ring is in-
 357 troduced as an additional layer on the plate.

358

359 The consistency of this approach is verified by considering a clamped
 360 boundary condition with static deflection on the outer radius ($w_2(r_o) =$
 361 $1, d_r w_2(r_o) = 0$), and by plotting the frequency response between two points
 362 located at $0.3r_i$ and $0.9r_o$, for two different geometries : $d_r = 0.1$ mm and
 363 $d_r = 0.3$ mm. The analytical result is compared with the finite element
 364 computation in Fig. 17. Although the first case shows excellent agreement
 365 over the whole frequency range, the second case exhibits a validity limit
 366 around 0.5 MHz after which the resonance frequencies are overestimated.
 367 These high frequency discrepancies are understood since *i*) the thin plate
 368 assumption is considered valid as long as the flatness of the plate satisfies
 369 $\varepsilon = (r_o - r_i)/d_r \ll 0.1$, that is $\varepsilon = 0.04$ for $d_r = 0.1$ mm, and $\varepsilon = 0.12$ if
 370 $d_r = 0.3$ mm, and *ii*) the continuity conditions at $r = r_i$ stated above become
 371 questionable when $\gamma = 3$, which corresponds to a step with a thickness ratio
 372 1:4.

373 The two-dimensional frequency responses are given in Fig. 18, as the
 374 amplitude of transverse displacement at different locations along r , and ver-
 375 sus frequency. It is seen that increasing the thickness of the ring stiffens
 376 the whole structure, resulting in a different distribution of eigenfrequencies.
 377 This representation gives a visualization of local maxima of displacement to
 378 conveniently identify frequency ranges versus displacement distribution.

379 From this modeling enriched by the contribution of the ring, the averaged
 380 volume variation ΔV_m is calculated for the two same distributions of holes as

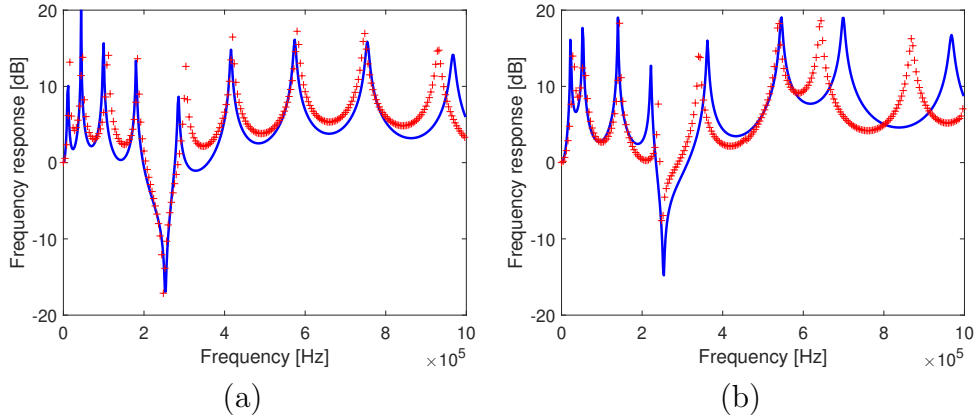


Figure 17: Frequency response of the clamped circular stepped plate $d_r = 0.1$ mm (a), and $d_r = 0.3$ mm (b), analytical (—), finite element comparison (+)

381 previously (9 perforations between 0 and $r_i/2$, and 17 perforations between
 382 0 and r_i). It is shown in Fig. 19. The conical case is not represented.
 383 Again, the comparison suggests that the most favorable configuration is to
 384 have perforations in the center rather than over the entire surface of the
 385 internal plate. It is also seen that increasing the thickness of the ring brings
 386 a significant increase of the volume variation around 0.4 MHz.

387 3.6. Concluding remarks

388 This section provides a parametric study of some key geometrical param-
 389 eters of the mesh itself, namely their shape, and their location along the
 390 radius.

391 A simple analytical model describing the volume variation is presented
 392 and shows excellent agreement with finite element simulation. Investigating
 393 the dynamic volume variation versus frequency for different position and dif-
 394 ferent number of perforations shows that the shape of the perforation, either
 395 cylindrical or conical doesn't bring an increased volume variation. However
 396 the geometry nozzle becomes critically important when considering the na-
 397 ture of the flow downstream the mesh, and has a significant influence on the
 398 flow regime, discharge coefficient, surface tension, frictional effects and finally
 399 influences most the droplet formation, breakup, and ejection. Regarding the
 400 positions of holes over the plate, it is shown that the distribution providing
 401 the highest averaged volume variation is that of holes in the center of the
 402 plate rather than on the whole surface.

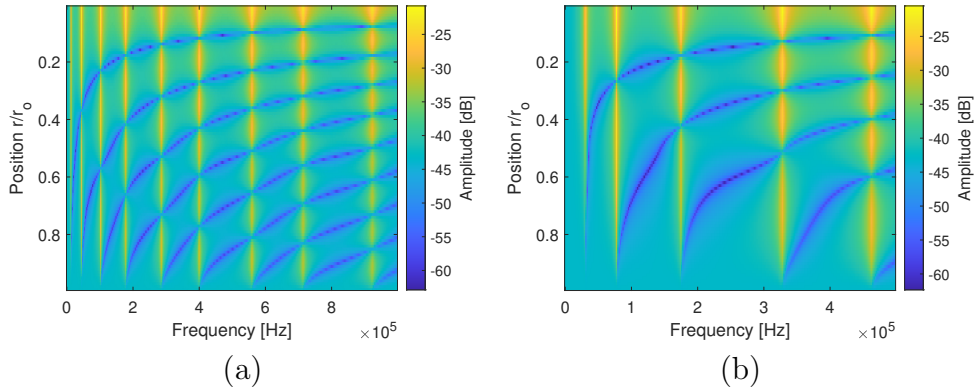


Figure 18: Two-dimensional frequency response of the clamped circular stepped plate $d_r = 0.1$ mm (a), and $d_r = 0.3$ mm (b) in their respective frequency range of validity

403 From this preliminary modeling, it appears that this description of the
 404 volume variation is robust and versatile enough to assess accurately the dy-
 405 namic volume variation occurring in the mesh.

406 4. FEM modeling including piezoelectric component

407 This sections presents a finite element model of the nebulizer. The latter
 408 aims at confirming the trends identified above and suggest possible ways to
 409 enhance the analytical model.

410 4.1. Finite Element model

411 A two-dimensional axisymmetric model of the mesh nebulizer was build.
 412 It consists in one solid domain and one piezoelectric domain, as illustrated in
 413 Fig. 20. The cylindrical coordinate system is such that the origin coincides
 414 with the bottom left corner of the domain. The mechanical properties of
 415 both domains are summarized in Table 1 and Table 2.

416 Regarding the coupling and actuation mechanism, the piezoelectric com-
 417 ponent converts the electrical signal into mechanical energy. This transduc-
 418 tion relating the electric field, electrical displacement, stresses and strains
 419 can be written in the stress-charge representation as:

$$\sigma = c_E \cdot \varepsilon - e^T \cdot \mathbf{E} \quad (6)$$

$$\mathbf{D} = e \cdot \varepsilon + \varepsilon_S \cdot \mathbf{E} \quad (7)$$

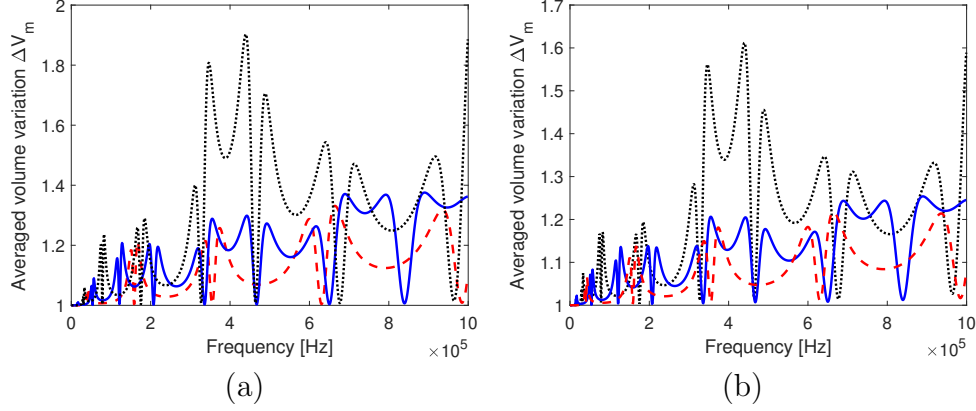


Figure 19: Average volume variation ΔV_m in cylindrical perforations distributed as $0 \leq x_M \leq 0.5r_i$ (a), and $0 \leq x_M \leq r_i$ (b), internal plate only (---), stepped plate with thickness ratio $\gamma = 2$ (—) and $\gamma = 3$ (⋯)

420 where σ is the mechanical stress ($[\text{N}/\text{m}^2]$), ε is the strain ($[1]$), c_E is the
 421 elasticity matrix ($[\text{Pa}]$) in the form of a rank 4 tensor, e is the coupling matrix
 422 ($[\text{C}/\text{m}^2]$) in the form of a rank 3 tensor, E is the electric field defined as the
 423 electric force per unit charge, D is the electrical displacement ($[\text{C}/\text{m}^2]$), and
 424 ε_S is the dielectric matrix ($[\text{F}/\text{m}]$) in the form of a rank 2 tensor.

425 When the piezoelectric component is subjected to a periodic electric po-
 426 tential ($E = -\nabla V$ where $V[V]$ is the electric potential), it vibrates and
 427 transmits the energy to the steel plate. In this way writing the plate equa-
 428 tion coupled to that piezoelectric component yields :

$$\text{div}(\sigma) + F_p e^{i\omega t} = -\rho \omega^2 w \quad (8)$$

$$\text{div}(\sigma) = \rho \frac{\partial^2 w}{\partial t^2} + F_p \quad (9)$$

$$\text{div}(D) = \rho_v \quad (10)$$

429 where ρ is the mass density of the piezoelectric material ($[\text{kg}/\text{m}^3]$), $\omega =$
 430 $2\pi f$ with f the excitation frequency ($[\text{Hz}]$), u is the displacement response
 431 (u, v, w) ($[\text{m}]$), F_p is the volume force ($[\text{N}]$), ρ_v is the volume charge density
 432 ($[\text{C}/\text{m}^2]$).

433 As the analysis involves piezoelectric material and linear elastic mate-
 434 rial, two sets of boundary conditions are specified. Mechanical boundary
 435 conditions consist of a free edge on the plate circumference, while the other

Table 2: Electro-mechanical parameters of the piezoelectric component (PZT-4)

Elasticity	Coupling matrix	Relative permittivity
$C_{11}^E = 1.53e11$; $C_{12}^E = 9.84e10$,	$e_{31} = -4.73$	$\epsilon_{11} = 796.5$
$C_{13}^E = 9.31e10$; $C_{33}^E = 1.28e11$,	$e_{33} = 15.25$	$\epsilon_{33} = 762.9$
$C_{44}^E = 2.38e10$; $C_{12}^E = 2.77e10$	$e_{15} = 13.09$	

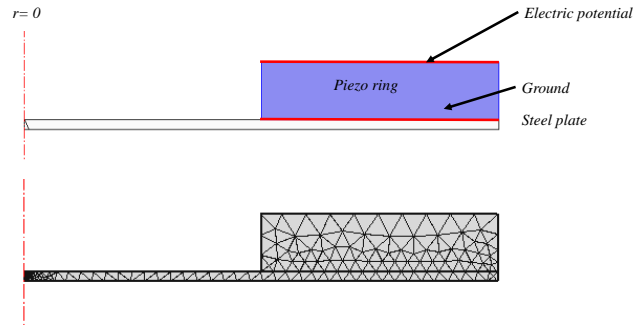


Figure 20: 2D axisymmetric finite element model of the perforated coupled with piezoelectric ring, and associated mesh

436 surfaces are free. For the electrical boundary conditions, the piezoelectric
 437 ring was actuated by applying an electric potential $V_0 = 80V$ of harmonic
 438 signal on its upper face, while its bottom face was specified as ground.

439 In this study, the piezo is polarized along the $+z$ direction. Both the
 440 plate and piezo are meshed using triangular elements and resulted in 307
 441 triangular elements and 1721 degrees of freedom.

442 4.2. Frequency domain computations

443 In order to confirm the trend identified from the analytical model, that
 444 suggests to distribute the holes in the middle of the plate rather than over the
 445 whole surface, a finite element computation is performed to check the average
 446 displacement amplitude over the two regions : either $[0; 0.5r_i]$ and $[0; r_i]$. The

447 result is shown in Fig. 21 and shows that the center region experiences larger
 448 displacement than the whole surface.

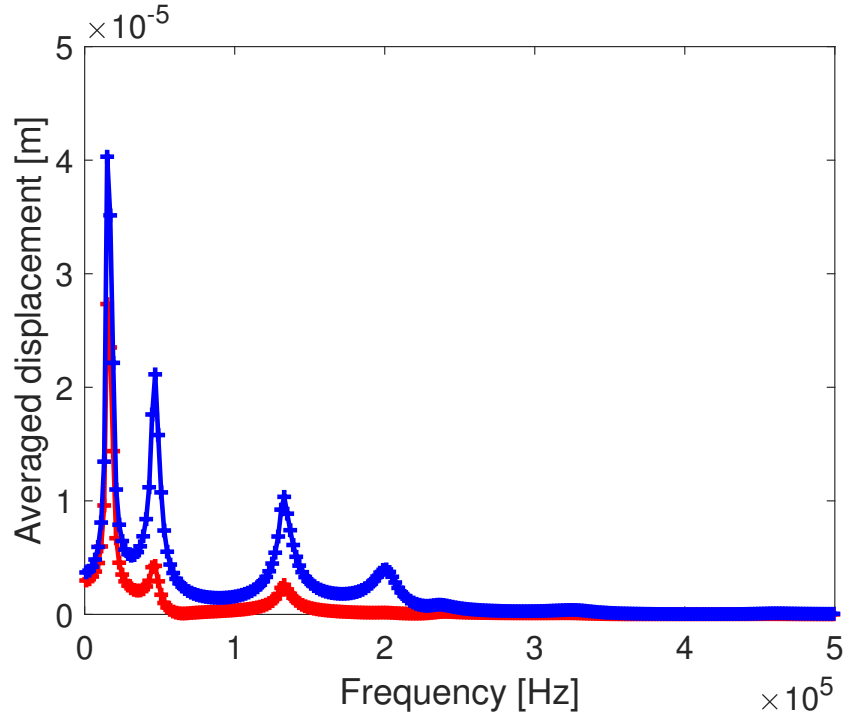


Figure 21: Finite element computation of the averaged transverse displacement over the top surface of the internal plate for two regions : $[0; 0.5r_i]$ ($- + -$) and $[0; r_i]$ ($- + -$)

449 The frequencies associated with maxima of displacement are clearly identified and illustrated in Fig. 22. These are respectively associated with with
 450 the first mode of the coupled structure, first mode of the internal plate, and
 451 higher mode for which the ring starts contributing to the dynamic of the over-
 452 all structure. Although the analytical is illustrated using clamped boundary
 453 conditions, these kinematics are available through the analytical model.
 454

455 The assessment of the volume variation occurring multiple perforations
 456 would require the full three-dimensional modeling of the structure. The result
 457 of such a lengthy computation is not of interest in this paper. The specific
 458 role of the piezoelectric ring is to induce vibrations of the perforated mesh in
 459 the form of bending moment distributed along the bottom face of the ring.
 460 This complex boundary condition could be introduced analytically.

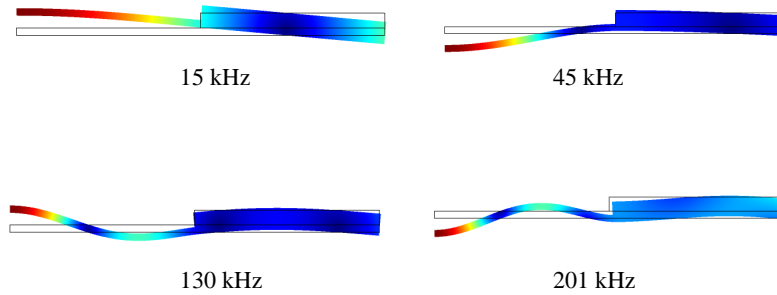


Figure 22: Modal shapes associated with maximum values of volume change in Fig. 21

461 Conclusion

462 This work suggests a comprehensive study of the mechanical behavior
 463 of an ultrasonic mesh atomizer consisting of a circular perforated plate sur-
 464 rounded by a piezoelectric ring. The actuation of the piezoelectric ring in-
 465 duces vibrations in the perforated plate and the variation in volume within
 466 each perforation creates a pumping effect which allows fluid to be pulled into
 467 the holes and then released on the other side as droplets. Therefore this
 468 pumping appears to be a key aspect in the design and working conditions of
 469 such devices. The proposed models are based on linear formulations, small
 470 deformation and thin plate assumptions. Finite element comparisons are sys-
 471 tematically given to show the gives excellent trends for a relatively moderate
 472 level of complication.

473 The first model is that of a plate whose effective mass density in related to
 474 the amount of fluid set into motion downstream the plate. Based on modal
 475 considerations, it is shown that the first an second mode are associated with
 476 the greatest efficiency. Although the volume change above the perforated
 477 mesh is one factor affecting the performance of atomization, the change of
 478 volume in apertures of the mesh is also investigated.

479 In this way, a second model is built and focuses on the kinematics of the
 480 perforations, according to their shape and location along the radius. With-
 481 out going into the details of a micromechanical description, it is shown that
 482 the volume variation is closely related to the mode shapes of the plate. Par-

483 ticularly, the volume variation in a single perforation is increased when it is
484 located on a maximum of curvature. It is shown that the shape of the per-
485 foration, either cylindrical or conical doesn't bring a significant increase in
486 volume variation. However, one should outline that the geometry nozzle has
487 significant influence on the flow regime, discharge coefficient, surface tension,
488 frictional effects and finally influences most the droplet formation, breakup,
489 and ejection, that is not investigated in this paper. It is also shown that
490 distributing the holes in the middle of the plate provides a higher average
491 volume variation than distributing them over the whole surface. Successive
492 finite element comparisons confirm that this description of the volume varia-
493 tion is robust and versatile enough to assess accurately the dynamic volume
494 variation occurring in the mesh.

495 A third model enriches the second model with a stepped plate to mimic the
496 piezo ring as an additional mass and flexural rigidity on the contour of the
497 plate. This model accurately captures the first modes of vibrations for a ring
498 up to four times thicker than the plate, that is a realistic geometry. More
499 sophisticated kinematics are then reached and complete the observations of
500 the second model. The frequency limits of the models are identified to offer
501 finite element validations at each stage of construction. It is emphasized that
502 this model gives excellent trends for a relatively moderate complexity.

503 A fourth model is finally proposed and relies on finite element frequency do-
504 main computation. It illustrates the response of the plate when excited by
505 the piezo actuated by electric potential. The kinematics observed are indeed
506 those described in the stepped plate model and confirms the relevance of the
507 modeling in terms of trends. Formal comparison with analytical model would
508 require a better introduction of the coupling between the ring and plate in
509 the form of a distributed moment along the radius. This study could be
510 supplemented by investigating the contribution of the piezoelectric ring
511 to the overall dynamic of the device, or by optimizing the electromechanical
512 properties of the piezo ring to maximise the deflection of the internal plate.

513 **CRedit author statement**

514 **Pascal Fossat:** Conceptualization, Methodology, Software, Writing -
515 original draft, Writing - review & editing. **Mohamed Ichchou:** Writing -
516 review & editing, Validation, Supervision, Project administration, Funding
517 acquisition. **Olivier Bareille:** Supervision, Project administration, Funding
518 acquisition.

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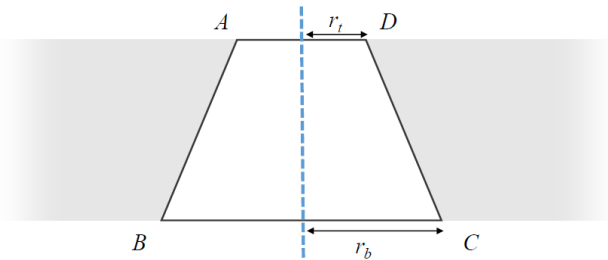
Analytical model of the dynamic behavior of a vibrating mesh nebulizer for optimal atomization efficiency

Local scale

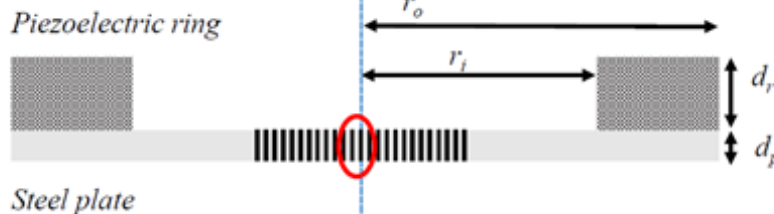
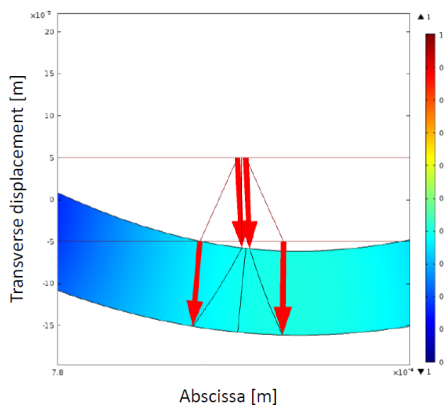
Circular perforated plate and piezoelectric ring

Global scale

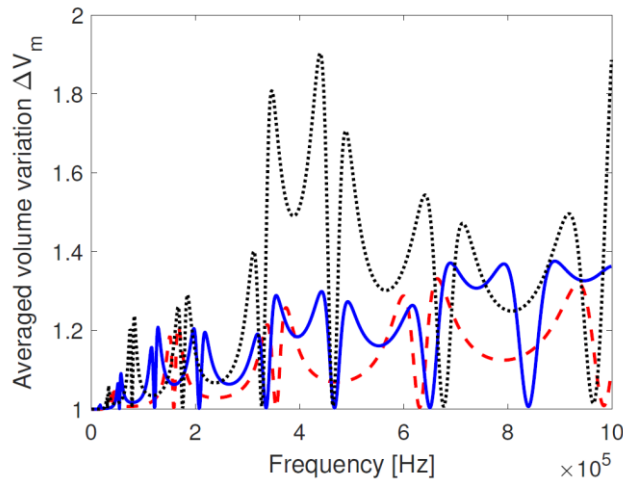
Kinematics of a perforation



Analytical estimation of dynamic volume change in each hole according to the deflection experienced by each hole.

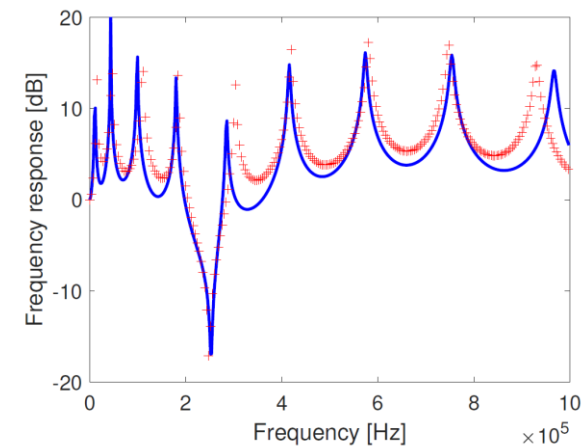


Motivation : volume change in the mesh is a key factor in atomization efficiency



Output : Analytical model providing the dynamic volume change, suitable for parametric study and derivation of design rules.

FEM validation of theoretical model on stepped plate



Maxima of volume change identified with respect to modal shapes

