What controls the mesoscale variations in water isotopic composition within tropical cyclones and squall lines? Cloud resolving model simulations in radiative-convective equilibrium

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Key Points: 13 • Cloud resolving model simulations capture robust aspects of mesoscale isotopic 14 variations observed in tropical cyclones and squall lines 15

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- Rain evaporation and rain-vapor diffusive exchanges are the main drivers of isotopic depletion within tropical cyclones and squall lines
- Horizontal advection spreads isotopic anomalies, thus reshaping the stable isotope 18 patterns at the mesoscale. 19

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20 Abstract

Water isotopes are tracers of convective processes and are often used as proxies for 21 past precipitation. These applications require a better understanding of the impact of 22 convective processes on the isotopic composition of water vapor and precipitation. One 23 way to advance this understanding is to analyze the isotopic mesoscale variations dur-24 ing organized convective systems such as tropical cyclones or squall lines. The goal of 25 this study is to understand these isotopic mesoscale variations with particular attention 26 to isotopic signals in near-surface vapor and precipitation that may be present in obser-27 vations and in paleoclimate proxies. With this aim, we run cloud resolving model sim-28 ulations in radiative-convective equilibrium in which rotation or wind shear is added, al-29 lowing us to simulate tropical cyclones or squall lines. The simulations capture the ro-30 bust aspects of mesoscale isotopic variations in observed tropical cyclones and squall lines. 31 We interpret these variations using a simple water budget model for the sub-cloud layer 32 of different parts of the domain. We find that rain evaporation and rain-vapor diffusive 33 exchanges are the main drivers of isotopic depletion within tropical cyclones and squall 34 lines. Horizontal advection spreads isotopic anomalies, thus reshaping the mesoscale iso-35 topic pattern. Variations in near-surface relative humidity and wind speed have a sig-36 nificant impact on d-excess variations within tropical cyclones. This study contributes 37 to our understanding of mesoscale isotopic variability and provides physical arguments 38 supporting the interpretation of paleoclimate isotopic archives in tropical regions in terms 39 of past cyclonic activity. 40

41 Plain Language Summary

Naturally-available, stable water molecules can be light (one oxygen atom and two 42 hydrogen atoms) or heavy (one hydrogen atom is replaced by a deuterium atom). These 43 different molecules are called water isotopes. In large, long-lived, severe storms such as 44 tropical cyclones or squall lines (thunderstorms that organize into lines), the rain is ob-45 served to be more depleted in heavy isotopes. Several studies have exploited this prop-46 erty to reconstruct the past variations in the frequency of occurrence of tropical cyclones 47 or severe thunderstorms based on isotope variations observed in speleothems. The aim 48 of this study is to understand what controls the depletion in heavy isotopes of the rain 49 in tropical cyclones and squall lines. With this aim, for the first time we use high-resolution 50 simulations (2-4 km in horizontal) to simulate the internal dynamics of tropical cyclones 51 and squall lines and their isotope composition. We design a simple model to interpret 52 the results. We show that the rain evaporation and rain-vapor exchanges deplete the wa-53 ter vapor, and the subsequent rainfall, in heavy isotopes. 54

55 1 Introduction

The isotopic composition of water vapor $(HDO \text{ or } H_2^{18}O)$ evolves along the wa-56 ter cycle as phase changes are associated with isotopic fractionation. The isotopic com-57 position of precipitation recorded in paleoclimate archives has significantly contributed 58 to the reconstruction of past hydrological changes across the tropics (Wang et al., 2001; 59 Cruz et al., 2009). Indeed, over tropical oceans, the precipitation is usually more depleted 60 in heavy isotopes as precipitation rate increases, an observation called the amount ef-61 fect (Dansgaard, 1964). In concert with the precipitation, the water vapor over tropi-62 cal oceans is also more depleted as precipitation rate increases according to satellite and 63 in-situ observations (Worden et al., 2007; Kurita, 2013). Over tropical land, both the 64 precipitation and water vapor are generally observed to be more depleted as the precipitation rate increases on average over the previous days before the observation of isotopic 66 depletion and on average over some large-scale domain upstream of the region of deple-67 tion, for example in Western Africa (Risi et al., 2008; Tremoy et al., 2012), Southeast 68 Tibetan Plateau (Gao et al., 2013), Southern India (Lekshmy et al., 2014; Sinha & Chakraborty, 69

2020), Southern tropical America (Vimeux et al., 2005; Vimeux et al., 2011) or the Mar-70 itime Continent (Moerman et al., 2013; Conroy et al., 2016). In the tropics, the impor-71 tance of precipitation rate, either at the local or at the regional scale, in controlling the 72 water isotopic composition of water vapor and precipitation is thus well established. How-73 ever, the relationship between the water isotopic composition and precipitation rate can 74 vary temporally and spatially. For example, it may depend on the proportion of strat-75 iform versus convective rain (Aggarwal et al., 2016), on the organization of convection 76 (Lawrence et al., 2004; Risi et al., 2008; Chakraborty et al., 2016) or on the shape of ver-77 tical velocity profiles (Moore et al., 2014; Torri et al., 2017; Lacour et al., 2017). For a 78 more robust and quantitative interpretation of water isotopic archives in terms of past 79 hydrological changes or cyclonic activity, a better understanding of how the precipita-80 tion rate impacts the isotopic composition of water vapor and precipitation is thus nec-81 essary. 82

In the tropics, most of the precipitation falls under deep convective systems (Houze, 83 2004). It is associated with processes which deplete the water vapor in heavy isotopes. 84 In particular, observational studies have highlighted the role of rain evaporation (Worden 85 et al., 2007), diffusive liquid-vapor exchanges (Lawrence et al., 2004), mesoscale down-86 drafts (Risi et al., 2010; Kurita, 2013) and microphysical processes in stratiform regions 87 of convective systems (Aggarwal et al., 2016). Modeling studies with high resolution sim-88 ulations have confirmed the key role of rain evaporation and diffusive liquid-vapor (Torri, 89 2021, 2022), and of microphysical processes in stratiform regions of convective systems, 90 especially melting of depleted snow that subsequently evaporates (Risi et al., 2021). 91

One way to test the importance of these processes is to investigate the observed 92 evolution of the isotopic composition of precipitation or near-surface water vapor within 93 "organized" convective systems (Risi et al., 2010). By organized, we mean that the con-94 vective system has different parts, characterized by different convective or microphys-95 ical processes, and connected through some mesoscale circulation. For example, trop-96 ical cyclones are a spectacular manifestation of convective organization, usually with an 97 eye at the center, surrounded by convective walls with intense rainfall and spiral rain bands 98 reaching several hundreds of kilometers (Houze, 2010) (Figure 1a). The precipitation and 99 near-surface water vapor collected in the vicinity of tropical cyclones often show stronger 100 depletion towards the cyclone center, more depleted water vapor in spiral bands than 101 in between bands (Gedzelman et al., 2003; Xu et al., 2019; Bhattacharya et al., 2022), 102 and more enriched water vapor in the eye (Fudeyasu et al., 2008). The depletion has been 103 interpreted in terms of progressive rain out towards the center and rain-vapor diffusive 104 exchanges (Lawrence et al., 2002). The enrichment in the eye has been interpreted in 105 terms of sea spray evaporation (Fudeyasu et al., 2008). As another example, squall lines 106 are elongated, propagative convective systems with a gust front, followed by a convec-107 tive region of intense rainfall, a transition region with a paused rainfall, and a trailing 108 stratiform region of light rainfall (Houze, 2004) (Figure 1b). The precipitation collected 109 during squall lines often features a W shape with more depleted rain in convective and 110 stratiform regions (Taupin & Gallaire, 1998; Risi et al., 2010). In the near-surface wa-111 ter vapor, many squall lines show isotopic depletion in the convective and stratiform re-112 gions (Tremoy et al., 2014). This pattern has been interpreted in terms of rain evapo-113 ration and mesoscale downdrafts. 114

Better understanding the evolution of the isotopic composition of precipitation or 115 near-surface water vapor within "organized" convective systems is also useful to better 116 understand how convective organization is recorded in paleoclimate archives. In partic-117 ular, more organized convective systems, such as squall lines (Risi et al., 2008; Tremoy 118 et al., 2014; Maupin et al., 2021) or tropical cyclones (Lawrence & Gedzelman, 1996; Lawrence 119 et al., 2004; Price et al., 2008; Chakraborty et al., 2016; Jackisch et al., 2022), have been 120 observed to be associated with water vapor and precipitation that are more depleted in 121 heavy isotopes than unorganized systems. In particular, the depleted rain of tropical cy-122

clones leaves a depleted imprint in surface waters (Welsh & Sánchez-Murillo, 2020) and 123 can significantly affect long-term averages of the isotopic composition of precipitation 124 or surface waters (Lawrence, 1998; Baldini et al., 2016). This suggests that the annual-125 mean or multi-annual-mean isotopic composition of precipitation recorded in speleothems 126 could be used to reconstruct past cyclonic activity (Lawrence & Gedzelman, 2003; Miller 127 et al., 2006; Frappier et al., 2007; Chen et al., 2021), though this can be challenging (Jackisch 128 et al., 2022). In the past few years, several studies have interpreted speleothems in terms 129 of cyclonic frequency (Nott et al., 2007; Medina-Elizalde & Rohling, 2012; Baldini et al., 130 2016). Similarly, the depletion observed in Texan speleothems has been interpreted as 131 enhanced activity of large, long-lived, organized convective systems (Maupin et al., 2021). 132 In addition to paleoclimate applications, better understanding how convective processes 133 impact the water isotopic composition is also relevant to assess the added value of wa-134 ter isotopic measurements to better quantify convective processes and better evaluate 135 their representation in models (Bony et al., 2008; Field et al., 2014; Diekmann et al., 2021; 136 Ramos et al., 2022). 137

The goal of this paper is to investigate the processes controlling the evolution of 138 near-surface water vapor and precipitation within squall lines and tropical cyclones. So 139 far, this question has often been addressed using observational studies or simple box mod-140 els (Gedzelman et al., 2003; Lawrence et al., 2002; Fudeyasu et al., 2008; Tremoy et al., 141 2014; Xu et al., 2019; Bhattacharya et al., 2022). Here for the first time, we used three-142 dimensional high-resolution simulations, in which convective motions are explicitly rep-143 resented, to simulate the isotopic composition of water vapor and precipitation in trop-144 ical cyclones and squall lines. Using these simulations and a simple box model of the sub-145 cloud layer, we quantified the relative importance of the different processes that have been 146 suggested by previous studies, e.g. progressive rain out, rain-vapor exchange, downdrafts 147 (Lawrence et al., 2002; Risi et al., 2010; Tremoy et al., 2014; Xu et al., 2019; Bhattacharya 148 et al., 2022). Our simulations are run in a radiative-convective equilibrium configura-149 tion. Because of this idealized configuration, no one-to-one comparison can be made with 150 real observed system. Therefore, we focus on robust features that have been observed 151 in most squall lines and tropical cyclones in previous studies. 152

153 2 Methods

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2.1 Isotopic variables

The water content in heavy isotopes (HDO or $H_2^{18}O$) is expressed in ∞ as $\delta D =$ 155 $(R_D/R_{D,SMOW} - 1) \times 1000$ and $\delta^{18}O = (R_{18}O/R_{18}O,SMOW - 1) \times 1000$, where R_D 156 and R_{18O} respectively are the ratio of Deuterium over Hydrogen atoms and of ${}^{18}O$ over 157 ^{16}O atoms in the water, and SMOW is the Standard Mean Ocean Water reference. Here-158 after, when there is a relatively large ratio of heavy over light atoms in some water, we 159 will refer to this water as relatively enriched in heavy atoms, or simply relatively enriched. 160 Conversely, when there is a relatively small ratio of heavy over light atoms in some wa-161 ter, we will refer to this water as relatively depleted in heavy atoms, or simply relatively 162 depleted. 163

To first order, δD variations are 8 times those in $\delta^{18}O$ (Craig, 1961), so we will focus on δD here. However, slight deviations in the $\delta D - \delta^{18}O$ relationship can be quantified by the second-order parameter d-excess: $d = \delta D - 8 \cdot \delta^{18}O$. It reflects kinetic effects associated with diffusivity differences between the different water isotopologues. We will also show some results for d-excess as it can reflect kinetic effects in rain evaporation or surface evaporation.



Figure 1. A schematic of the main structural elements of tropical cyclones (a) and squall lines (b). The observed typical spatial distribution of the surface precipitation is also indicated. The vertical and horizontal scales are approximate and may vary depending on events. The yellow, blue and pink rectangles in (a) respectively represent the eye, eyewall and rain band regions of the cyclone, as will be defined later in the article; the blue, pink and yellow rectangles in (b) respectively represent the convective, stratiform and trail regions of the squall line, as will also be defined later in the article.

170 **2.2** Model and simulations

171 2.2.1 Cloud Resolving Model

We used the same Cloud Resolving Model (CRM) as that used in Risi et al. (2020), 172 namely the System for Atmospheric Modeling (SAM) non-hydrostatic model (Khairoutdinov 173 & Randall, 2003), version 6.10.9, which is enabled with water isotopes (Blossey et al., 174 2010). This model solves an elastic conservation equations for momentum, mass, energy 175 and water, which is present in the model under six phases: water vapor, cloud liquid, cloud 176 ice, precipitating liquid, precipitating snow, and precipitating graupel. We used the bulk, 177 mixed-phase microphysical parameterization from (Thompson et al., 2008) in which wa-178 ter isotopes were implemented (Moore et al., 2016). At the ocean surface, there is no rep-179 resentation of sea spray. Therefore, we did not simulate the possible impact of sea spray 180 on the isotopic composition in the eye (Fudeyasu et al., 2008). 181

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2.2.2 Radiative-convective equilibrium with large-scale forcing

Simulations are three-dimensional, with a doubly-periodic domain. They are run
 in radiative-convective equilibrium over an ocean surface. The sea surface temperature
 (SST) was set at 30°C. We did not prescribe any diurnal cycle.

Organized convection is typically observed in regions of large-scale ascent (Tan et al., 2013; Jakob et al., 2019). Therefore, we impose a large-scale vertical ascent with a cubic shape, reaching -40 hPa/d at 5 km and 0 hPa/d at the surface and above 100 hPa (Risi et al., 2020). Simulations were also run without vertical ascent, and gave similar results except that the convective systems were smaller and with a less well-defined internal structure. For example, the tropical cyclone without ascent does not show any eye at the center. We thus focus on the simulations with large-scale ascent in the following.

The simulations were run for 50 days. The last 10 days of simulation are analyzed with one three-dimensional output file every day. In radiative-convective equilibrium, all snapshots exhibit similar behavior (videos in SI).

¹⁹⁶ 2.2.3 Set-up for the cyclone simulation

We used a domain of $1024 \text{ km} \times 1024 \text{ km}$ with a horizontal resolution of 4 km and 197 96 vertical levels. This horizontal resolution is sufficient to properly simulate the inter-198 nal structure of a cyclone (Gentry & Lackmann, 2010). Cyclones spontaneously develop 199 in radiative-convective equilibrium simulations when some rotation is added (Khairoutdinov 200 & Emanuel, 2013; Muller & Romps, 2018). Here the effect of rotation is added through 201 a Coriolis parameter that corresponds to a latitude of 40°. Although no tropical cyclones are expected to form at such latitudes, a strong rotation allows us to simulate a small 203 cyclone (Chavas & Emanuel, 2014) that can fit our small domain. This allows the sim-204 ulation to remain computationally reasonable. 205

The initial conditions are spatially homogeneous and an unique cyclone develops spontaneously through self-aggregation mechanisms after a few days. This is consistent with the time scale for cyclogenesis in other self-aggregation studies (Muller & Romps, 2018).

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2.2.4 Set-up for the squall line simulation

We used a domain of 256 km×256 km with a horizontal resolution of 2 km and 96 vertical levels. Squall lines spontaneously develop in radiative-convective equilibrium simulations when horizontal wind shear is added (Robe & Emanuel, 2001; Muller, 2013; Abramian et al., 2022). We added a horizontally uniform wind in the x direction that reaches 10 m/s at the surface and linearly decrease to 0 m/s at 1 km. According to Rotunno et al. (1988), the shear with our settings leads to the formation of a strong and long-lived squall line, perpendicular to the background wind. The uniform surface wind is subtracted when calculating surface fluxes, to avoid this simulation from having significantly higher surface fluxes. The radiative fluxes are imposed, because interactive radiation leads to some radiative feedbacks that disfavors the organization into squall lines. The convection quickly organizes into a line, after about one day of simulation.

2.3 Simple box model of the sub-cloud layer

To quantify the relative importance of processes in determining the isotopic com-223 position in the different parts of the domain, we design a simple box model for the sub-224 cloud layer (SCL) (Figure 2a). The model is the same as that in Risi et al. (2020), ex-225 cept that here we account for horizontal advection and non-stationary effects. Account-226 ing for these effects is necessary because the simple model will be applied in the differ-227 ent sub-domains highlighted in Figure 1. Whereas the SCL is in quasi-equilibrium in the 228 domain-mean, the SCL is not in quasi-equilibrium in sub-domains. For example, the eye 229 of the cyclone wanders across the domain and is thus never in quasi-equilibrium. 230

Previous studies have used model tendencies along backward trajectories to quantify the relative importance of processes on local conditions (Dütsch et al., 2018; Attinger et al., 2019). This approach is most relevant when large-scale horizontal transport plays a key role. In radiative-convective equilibrium however, local processes and vertical transport dominate. A simple box model was thus judged more relevant.

2.3.1 Water vapor budget

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The SCL is defined as the atmospheric levels from the surface to the SCL top, where the SCL top is the level just below the lowest level where the domain-mean massic cloud water content exceeds 10% of its maximum value. With this definition, we find that the SCL is approximately well-mixed in our simulations. Therefore, in the box model, we can assume that the specific humidity is vertically uniform throughout the SCL, consistent with previous mixed-layer models (Stevens, 2006; Neggers et al., 2006; Albright et al., 2022). We define W as the water mass in the SCL per area unit (in $kg.m^{-2}$):

$$W = \int_{z=0}^{z_t} \rho \cdot q \cdot dz \tag{1}$$

where q is the specific humidity near the surface, ρ is the air density, z is the altitude above sea level and z_t is the altitude of the SCL top. The water budget of the SCL in a given sub-domain writes (Figure 2a):

$$\frac{dW}{dt} = E_{sfc} + F_d \cdot q \cdot (r_d - 1) - F_u \cdot q \cdot (r_u - 1) + E_{horiz} + E_{ev} - E_c \tag{2}$$

where E_{sfc} is surface evaporation, F_d and F_u are the downward and upward mass fluxes at SCL top, E_{horiz} is the flux of water through horizontal advection, E_{ev} is the rain evaporation, E_c is some condensation that may occur if the SCL top is not horizontally uniform, $r_u = q_u/q$, $r_d = q_d/q$, q_u and q_d are the specific humidity in updrafts and downdrafts. All these variables are directly diagnosed from the simulations for each sub-domains as detailed in (Risi et al., 2021).

253 2.3.2 Isotopic budget

As for the water vapor budget, the budget for heavy water vapor isotopes in the SCL is:

$$\frac{d(R \cdot W)}{dt} = R_{sfc} \cdot E_{sfc} + F_d(R_d \cdot q_d - R \cdot q) - F_u(R_u \cdot q_u - R \cdot q) + R_{horiz} \cdot E_{horiz} + R_{ev} \cdot E_{ev} - R_c \cdot E_c$$
(3)

where R is the isotopic ratio of the near-surface vapor, R_u and R_d are the isotopic ratios in updrafts and downdrafts, R_{sfc} , R_{horiz} , R_{ev} and R_c are the isotopic compositions of the surface evaporation, horizontal advection, rain evaporation and condensation fluxes.

260 We define:

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$$E_{res} = E_{horiz} - \frac{dW}{dt} \tag{4}$$

Similarly, we define the isotopic ratio of the flux R_{res} :

$$R_{res} = \frac{R_{horiz} \cdot E_{horiz} - \frac{d(R \cdot W)}{dt}}{E_{horiz} - \frac{dW}{dt}}$$
(5)

To solve the isotopic budget equation for R, the isotopic ratios R_{sfc} , R_d , R_u , R_{res} , R_{ev} and R_c are all expressed as a function of R. The isotopic ratio of surface evaporation is given by (Craig & Gordon, 1965):

$$R_{sfc} = \frac{R_{oce}/\alpha_{eq}(SST) - h \cdot R}{\alpha_K \cdot (1-h)} \tag{6}$$

where R_{oce} is the isotopic ratio at the ocean surface, $\alpha_{eq}(SST)$ is the equilibrium fractionation coefficient at the sea surface temperature, α_K is kinetic fractionation coefficient (Merlivat & Jouzel, 1979) and h is the relative humidity normalized at the SST and accounting for ocean salinity calculated as follows:

$$h = q/q_{sat}^{surf}(SST) \tag{7}$$

where $q_{sat}^{surf}(SST) = 0.98 \cdot q_{sat}(SST)$ and q_{sat} is the humidity saturation as a function of temperature at the sea level pressure. Variables h and the kinetic fractionation as a function of surface wind speed are diagnosed from the CRM. We assume that $\delta D_{oce} =$ 0%.

The isotopic ratios in updrafts and downdrafts are assumed to follow logarithmic functions: $R_u = R \cdot (r_u)^{\alpha_u - 1}$ and $R_d = R \cdot (r_d)^{\alpha_d - 1}$ where R_u and R_d are isotopic ratios in updrafts and downdrafts, and α_u and α_d are the $q - \delta D_v$ steepness coefficients for updrafts and downdrafts (Risi et al., 2020). We set $R_{res} = \phi_{res} \cdot R$, $R_{ev} = \phi_{ev} \cdot R$ and $R_c = \phi_c \cdot R$. All parameters α_u , α_d , ϕ_{res} , ϕ_{ev} and ϕ_c can be diagnosed from the simulation for each sub-domain.

$$R = \frac{R_{oce}/\alpha_{eq}(SST)}{h + \alpha_K \cdot (1 - h) \cdot A} \tag{8}$$

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where

$$A = \frac{((r_u)^{\alpha_u} - 1) + \frac{F_d}{F_u} \cdot (1 - (r_d)^{\alpha_d}) - \frac{E_{ev}}{qF_u} \cdot \phi_{ev} + \frac{E_c}{qF_u} \cdot \phi_c - \frac{E_{res}}{qF_u} \cdot \phi_{res}}{(r_u - 1) + \frac{F_d}{F_u} \cdot (1 - r_d) - \frac{E_{ev}}{qF_u} + \frac{E_c}{qF_u} - \frac{E_{res}}{qF_u}}$$
(9)

In absence of vertical mixing $(r_u = r_d = 1)$, rain evaporation, condensation, horizontal advection and non-stationary effects $(E_{ev} = E_c = E_{res} = 0)$, then A = 1 and equation 8 reduced to the classical closure (Merlivat & Jouzel, 1979) (hereafter MJ79 closure).

Note that the diagnostic of E_{res} and ϕ_{res} as residuals guarantees that the water 291 and isotopic budgets of the SCL are closed. However, it does not guarantee that equa-292 tion 8 with input parameters diagnosed from the CRM simulations yields exactly the same 293 isotopic ratios as those directly simulated by the CRM. This is because many simplify-294 ing assumptions underlie the simple model. In particular, we neglect spatial and tem-295 poral co-variations between all the different parameters within each sub-domain. In ad-296 dition, we diagnose the parameters related to vertical mixing $(q_u, q_d, \alpha_u, \alpha_d)$ assuming 297 a simple upstream advection scheme (Godunov, 1959), whereas the advection scheme in 298 the SAM model is more sophisticated (Smolarkiewicz & Grabowski, 1990). As will be illustrated in sections 4.3 and 4.4, the simple box model of this study systematically over-300 estimates the δD_v simulated by the CRM by a few %, and systematically underestimates 301 d_v by a few ∞ . While we keep in mind these mismatches, we will show that the simple 302 box model is nevertheless able to simulate the isotopic variations between different sub-303 domains of the cyclone and the squall line, justifying its relevance. 304

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2.3.3 Method to decompose anomalies in isotopic ratios

To decompose the anomaly in the isotopic ratio in each sub-domain relative to that in the environment, we categorize the parameters controlling R in our simple box model into 6 processes:

- Parameter h: near-surface relative humidity, which impacts the kinetic processes during ocean surface evaporation (Merlivat & Jouzel, 1979) (Figure 2b, red).
 - 2. Parameter α_K : kinetic fractionation coefficient, which depends on the surface wind speed (Merlivat & Jouzel, 1979) (Figure 2b, orange).
- 3. Parameters F_d/F_u , r_u , r_d , α_u and α_d : vertical mixing through the SCL top (green 313 in Figure 2b). This includes the effect of the horizontal humidity contrasts (r_u and 314 r_d). When horizontal humidity contrasts between dry and moist zones of a sub-315 domain are larger, dry subsiding regions import drier air and more depleted wa-316 ter vapor into the SCL, while ascending regions export moister air and more en-317 riched water vapor from the SCL. This has thus a depleting effect. This also in-318 cludes the effect of variations in the steepness of the relationship between q and 319 δD_v for updrafts and downdrafts (α_u and α_d). When the $q - \delta D_v$ steepness is 320 larger, downdrafts import more depleted vapor into the SCL and updrafts export 321 more enriched vapor out of the SCL (Risi et al., 2021). The $q - \delta D_v$ steepness 322 depends on the enriching or depleting processes that occur above the SCL. Typ-323 ically, the dominant effect is rain evaporation above the SCL, which depletes the 324 water vapor, especially near the melting level (Risi et al., 2021). While the effects 325 of horizontal humidity contrasts and q- δD_v steepness were separated in (Risi et 326 al., 2021), here we combine these two effects to reduce non-linear effects during 327 the decomposition. 328
 - 4. Parameters $E_{ev}/(qF_u)$ and ϕ_{ev} : rain evaporation in the SCL (purple in Figure 2b).
 - 5. Parameters $E_c/(qF_u)$ and ϕ_c : condensation in the SCL (cyan in Figure 2b).

^{6.} Parameters $E_{res}/(qF_u)$ and ϕ_{res} : horizontal advection and non-stationary effects (dark green in Figure 2b).

We can thus write the isotopic ratio predicted by the simple box model in each sub-domain s as

 $R_{s} = R(p_{s,1}, p_{s,2}, p_{s,3}, p_{s,4}, p_{s,5}, p_{s,6}) = R((p_{s,i})_{i=1,6}), \text{ where } p_{s,i} \text{ is the vector}$ of parameters corresponding to the process *i* and the sub-domain *s*, and *R* is the function defined in equation 8. The difference between the isotopic ratio in the sub-domain and that in the environment thus writes:

$$\Delta R_s = R\left((p_{s,i})_{i=1,6}\right) - R\left((p_{e,i})_{i=1,6}\right)$$
(10)

where $p_{e,i}$ is the vector of parameters corresponding to the process *i* and the environment. For each sub-domain *s* and each process *j*, we estimate the contribution of process *j* to ΔR_s as:

$$c_{s,j} = R\left(\left(\frac{p_{s,i} + p_{e,i}}{2}\right)_{i=1,6,i\neq j}, p_{s,j}\right) - R\left(\left(\frac{p_{s,i} + p_{e,i}}{2}\right)_{i=1,6,i\neq j}, p_{e,j}\right)$$
(11)

Because R is a non-linear function of its parameters, $\sum_{j=1}^{6} c_{s,j}$ does not necessarily equal ΔR_s . We estimate the impact of non-linear effects as a residual:

$$c_{s,non\,linear} = \Delta R_s - \sum_{j=1}^{6} c_{s,j} \tag{12}$$

³⁴⁴ 3 Simulated patterns and qualitative comparison with observations

345 **3.1 Tropical cyclone**

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3.1.1 mesoscale structure

To visualize the mesoscale structure of the tropical cyclone, in Figure 3 we plot maps 347 of the precipitation rate, near-surface air temperature, surface pressure anomaly, near-348 surface relative humidity, near-surface water vapor δD and surface rain δD for an ar-349 bitrary snapshot. The simulated cyclone exhibits features that are typical of observed 350 cyclones (Houze, 2010). It exhibits a small eye with weak precipitation (Figure 3a) and 351 warm air (Figure 3b), consistent with the subsidence in the eye. The eye is surrounded 352 by an eyewall and spiraling rain bands with intense precipitation and strong cyclonic winds. 353 Around the cyclone, strong compensating subsidence develops, leading to a dry environ-354 ment and some scattered, isolated cumulus and cumulonimbus clouds and their cold pools 355 (Figure 3a-b,d). 356

To better document the different parts of the tropical cyclone, we plot composites of meteorological and isotopic variables as a function of the distance r to the storm center (Figure 4 and 5). All 10 snapshots were used to compute the composites. The storm center is defined as the minimum surface pressure over the domain for each snapshot. The typical structure of a tropical cyclone is well captured:

• The eye is associated with minimum pressure (around 50hPa lower than in the en-362 vironment, typical of category 4 cyclones), a local minimum in precipitation, max-363 imum near-surface air temperature and relative humidity and weak winds (Fig-364 ure 4a-c). The eye is, however, too small to see the expected subsidence in Fig 4. 365 The eyewall is associated with maximum precipitation and horizontal winds. The 366 air is strongly ascending, almost saturated throughout the full troposphere (Fig-367 ure 5a), and condensation is intense except in the shallow sub-cloud layer (Fig-368 ure 5c). 369



(b) SCL isotope ratio decomposition



surface evaporation

Figure 2. A description of the simple box model and of the decomposition of the SCL water vapor isotopic ratio into different contributions. (a) Simple model to predict the SCL water vapor composition. It accounts for surface evaporation, rain evaporation, cloud condensation, updrafts and downdrafts at the SCL top, and horizontal advection and non-stationary effects quantified as a water budget residual. (b) The 6 contributions in the decomposition of the isotopic ratio: near-surface relative humidity (#1, red) and surface wind speed (#2, orange), which both contribute to control the isotope composition of the surface evaporation; horizontal humidity contrasts and rain evaporation above the SCL (#3, green), which both contribute to the SCL depletion by vertical mixing; rain evaporation (#4, purple); condensation (#5, cyan) within the SCL; and horizontal and non-stationary effects (#6, dark green).

Rainbands beyond the eyewall are associated with significant but weaker precipitation and winds. In rain band clouds, the condensation rate is large (orange shades in Figure 5c). Below the rain band clouds, the air is dry (Figure 5a), allowing large rates of snow sublimation and rain evaporation (blue shades in Figure 5c).

374 3.1.2 Definition of sub-domains

Based on the previous description of mesoscale structure, we divide all grid points into 5 sub-domains. These sub-domains are defined automatically based on some arbitrary thresholds, to which results are not crucially sensitive. We define:

- the "eye" as grid points with $r \leq r_{wall}$, where r_{wall} is the first r value for which the precipitation exceeds 20 times the domain-average precipitation (yellow rectangles in Figure 4).
- the "eyewall" as grid points with $r_{wall} < r \leq r_{band}$, where r_{band} is the first rvalue greater than r_{wall} and with the precipitation lower than 20 times the domainaverage precipitation (blue rectangles in Figure 4).
 - the "environment" as grid points with $r > r_{env}$, where r_{env} is the first r value greater than r_{band} and with the precipitation lower than 0.8 times the domain-average precipitation (white in Figure 4).

The precipitation thresholds have been adjusted so as to match the simulated cyclone structure to that described in previous studies (Houze, 2010). In between the eyewall and the environment (pink rectangles in Figure 4), rain bands are not radially symmetric. Therefore, we define "rain bands" as grid points with $r_{band} < r \leq r_{env}$ and precipitation greater than 4 times the domain-average precipitation, and "in between rain bands" as the other points.

393 3.1.3 Simulated isotopic evolution

The water vapor is most enriched in the eye and in the dry environment (Figure 395 3e-f, Figure 4e), and most depleted in heavy isotopes in the eyewall and spiraling rain 396 bands. The water vapor d-excess is lower in the eye, and higher in the eyewall and rain 397 bands (4e-f). The water vapor is more depleted and has a higher d-excess within the rain 398 bands than between the rain bands (Figure 4d-e, dashed black).

The precipitation $\delta D \ (\delta D_p)$ varies in concert with the water vapor $\delta D \ (\delta D_v)$ where the precipitation is highest (Figure 4e, dashed black). The precipitation is slightly more depleted than if in equilibrium with the vapor. This suggests that the rain originates from condensation at a higher altitude and undergoes little evaporative enrichment as it falls, consistent with the high relative humidity. In addition, the rain quickly falls to the ground, leaving less time for the rain to isotopically equilibrate with the vapor.

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3.1.4 Comparison with isotopic observations

⁴⁰⁶ Observed isotopic patterns in tropical cyclones can be diverse (Guilpart, 2018). How-⁴⁰⁷ ever, some robust features emerge from the literature.

- There is a local maximum in δD_v and δD_p in the eye (Gedzelman et al., 2003; Fudeyasu et al., 2008). This is what the CRM simulates, with δD_v and δD_p slightly greater in the eye than in the environment (Figure 4e)
- Outside the eye, many studies have observed that the water vapor and precipitation are more depleted towards the storm center (Gedzelman et al., 2003; Fudeyasu et al., 2008; Munksgaard et al., 2015; Skrzypek et al., 2019; Xu et al., 2019; Sanchez-



Figure 3. Snapshots of the cyclone simulation: (a) precipitation rate, (b) near-surface air temperature, (c) surface pressure anomaly relative to the domain-mean, (d) near-surface relative humidity, (e) near-surface δD_v and (f) δD_p . The near surface winds are shown as arrows. Note that due to the doubly-periodic domain, the missing part of the cyclone on the left edge of the domain appears on the right edge of the domain. The snapshot was chosen as the one where the cyclone is the closest to the center of the domain, for easier visualization.



Figure 4. Evolution of surface variables as a function of distance to the cyclone center: precipitation rate (a, black), surface pressure (a, green), near-surface air temperature (b, black), near-surface relative humidity (b, green), tangential (c, black) and radial (c, green) wind, surface precipitation δD (d), near-surface water vapor δD (e, black), water vapor δD that would be in equilibrium with the precipitation (e, green), near-surface water vapor d-excess (f, black) and precipitation d-excess (f, green). The colored rectangles indicate the different sub-domains: the eye (yellow), the eyewall (blue), within and between rain bands (pink). The environment is in white. To distinguish between within rain bands and between rain bands, dashed and dotted black lines indicate the same as black lines but for grid points where the precipitation rate is respectively higher and lower than 4 times the domain-mean precipitation, representing respectively within rain bands.



Variables as a function of altitude and of the distance r to the cyclone center: Figure 5. (a) Relative humidity; (b) cloud water content (cloud condensate and cloud ice); (c) specific humidity tendency due to phase changes (negative and positive values represent condensation/deposition and evaporation/sublimation respectively); (d) water vapor δD anomaly relative to the domain-mean δD_v at each level; (e) $(\phi_{ev} - 1) \cdot 1000$, where $\phi_{ev} = R_{ev}/R_v$ is the relative enrichment of the isotopic ratio of the hydrometeor evaporation/sublimation relative to the water vapor isotopic ratio. ϕ_{ev} is shown only when significant hydrometeor evaporation/sublimation occurs; (f) fraction of the vertically-integrated condensation flux that evaporates before reaching the ground; (g) δD in the near-surface vapor (black), in the vertically-integrated condensation flux (orange) and in the vertically-integrated evaporation flux (purple). The vertically-integrated condensation and evaporation fluxes are calculated as the volumetric-mass weighted integrals of the specific humidity tendency due to phase changes (c) where the tendency is respectively negative and positive. In (a-e), the vectors show the radial and vertical components of the wind, with the vertical wind multiplied by 20 for better readability. The nearly-horizontal black line shows the 0°C isotherm. The black contours highlights the 10^{-3} g/kg contour for cloud water content. The yellow, blue and pink boxes indicate the eye, eyewall and rainbands defined in section 3.2.2.

Murillo et al., 2019; Jackisch et al., 2022). This is what the CRM simulates (black line in the pink rectangle in Figure 4e).

- At a given distance from the storm center, the water vapor or precipitation is often more depleted within rain bands than in between (Munksgaard et al., 2015;
 Guilpart, 2018; Sun et al., 2022). This is also what the CRM simulates (Figure 4e, dashed black line relative to dotted black line).
- The observed d-excess in water vapor or precipitation is lower in the eye (Fudeyasu et al., 2008), higher in the environment and higher in the rain bands than in be tween (Munksgaard et al., 2015). These observations are consistent with our simulation (Figure 4f).

424 **3.2** Squall line

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3.2.1 mesoscale structure

In presence of wind shear, the convection organizes into lines of intense precipitation perpendicular to the imposed surface winds (Figure 6a). The environment is dry, with only a few isolated cumulonimbi. Under the squall line, a cold pool is driven by mesoscale downdrafts (Zipser, 1977; Gamache & Houze, 1981). The cold pool has a sharp edge at the front of the line, corresponding to the gust front, and a long trail due to the imposed rearward horizontal winds near the surface (Figure 6b).

To better document the different parts of the squall line, we plot composites of me-432 teorological and isotopic variables as a function of the along-x distance to the gust front 433 (Figures 7 and 8). For each snapshot and each value of y in the x-y domain, we select 434 the value of x where the along-x gradient in surface pressure is at maximum. If the x-435 mean precipitation rate exceeds the x-y-mean value and if the gradient in surface pres-436 sure exceeds 1.7 Pa/km, we assume that it is a gust front. This procedure was visually 437 defined to optimally detect gust fronts. We define a new x-axis and translate all rows 438 so that all gust fronts of the different rows are aligned at $x_{qust}=30$ km. We arbitrary set 439 $x_{qust}=30$ km so that the squall lines stand in the middle of the composite plots. Rows 440 of the domain where the precipitation is lower than the domain mean, or where a gust 441 front could not be identified, are considered "environment" and are not taken into ac-442 count in the composite. 443

The precipitation rate is maximum just after the gust front (Figure 7a), consistent with observations (Chong, 2010). The precipitation maximum is located where the alongx near-surface surface wind becomes null (Figure 7c), favoring the maintenance of strong updrafts (Rotunno et al., 1988). Elsewhere, the surface wind blows rearward. Near the gust front, the temperature drops and the relative humidity rises (Figure 7b). The recovery to their environment value is slow due to the rearward advection.

Our simulated squall line shows only one precipitation peak. This is at odds with
observations that often show two peaks, one for the convective region and one for the
stratiform region, separated by a transition region (Biggerstaff & Houze Jr, 1991; Chong,
2010). In our simulation, the convective region transitions continuously to the stratiform
region. Increasing the horizontal resolution to 1 km did not help to simulate a transition region.

In spite of this shortcoming, the convective and stratiform regions of the squall line can be identified from water vapor tendencies (Figure 8c). The convective region can be identified by its intense condensation throughout the entire troposphere (Figure 8c, around 50-60 km). The stratiform region can be identified by the condensation restricted to the upper troposphere (the anvil) and evaporation below (mesoscale downdraft) (Figure 8c, around 60-80 km). This pattern of condensation and evaporation is consistent with what we know from the squall line water budgets (Gamache & Houze, 1983; Chong & Hauser, 1990).



Figure 6. Same as Figure 3, except that a snapshot of the squall line simulation is shown. Note that due to the doubly-periodic domain, the trailing region on the right edge of the domain continues on the left edge.



Figure 7. Same as Figure 4, except that variables for the squall line simulation are shown as a function of distance along the x-axis. The colored rectangles indicate the convective (blue), stratiform (pink) and trailing (yellow) sub-domains defined in section 3.2.2. The location of the gust front is indicated by the vertical red dashed line.



Figure 8. Same as Figure 5, except that variables for the squall line simulation are shown as a function of the distance along the x-axis. The blue, pink and yellow boxes indicate the convective, stratiform and trailing regions defined in section 3.2.2.

464 3.2.2 Definition of the sub-domains

Based on the above description of the mesoscale structure, we divide the grid points into 4 sub-domains: convective, stratiform, trailing and environment, as detailed below. Given the continuous transition in our simulations, we define the convective and stratiform sub-domains based on a precipitation threshold. For rows where x_{gust} is defined, we define:

471 x value greater than x_{gust} and where the precipitation is lower than 8 times the 472 domain-average precipitation (yellow rectangle in Figure 7). This precipitation thresh 473 old was adjusted to coincide with the transition from condensation through the 474 entire troposphere to condensation above the melting level and rain evaporation 475 below (Figure 8c), consistent with the transition from the convective to the strat- 476 iform region (Gamache & Houze, 1983; Chong & Hauser, 1990).	470 •	• the convective region with x between x_{gust} and x_{xconv} , where x_{conv} is the lowest
472domain-average precipitation (yellow rectangle in Figure 7). This precipitation thresh473old was adjusted to coincide with the transition from condensation through the474entire troposphere to condensation above the melting level and rain evaporation475below (Figure 8c), consistent with the transition from the convective to the strat-476iform region (Gamache & Houze, 1983; Chong & Hauser, 1990).	471	x value greater than x_{gust} and where the precipitation is lower than 8 times the
 old was adjusted to coincide with the transition from condensation through the entire troposphere to condensation above the melting level and rain evaporation below (Figure 8c), consistent with the transition from the convective to the strat- iform region (Gamache & Houze, 1983; Chong & Hauser, 1990). 	472	domain-average precipitation (yellow rectangle in Figure 7). This precipitation thresh-
entire troposphere to condensation above the melting level and rain evaporation below (Figure 8c), consistent with the transition from the convective to the strat- iform region (Gamache & Houze, 1983; Chong & Hauser, 1990).	473	old was adjusted to coincide with the transition from condensation through the
below (Figure 8c), consistent with the transition from the convective to the strat- iform region (Gamache & Houze, 1983; Chong & Hauser, 1990).	474	entire troposphere to condensation above the melting level and rain evaporation
iform region (Gamache & Houze, 1983; Chong & Hauser, 1990).	475	below (Figure 8c), consistent with the transition from the convective to the strat-
	476	iform region (Gamache & Houze, 1983; Chong & Hauser, 1990).

• the stratiform region with x between x_{conv} and x_{strati} , where x_{strati} is the lowest x value greater than x_{conv} and where the precipitation is below the domainaverage precipitation (blue rectangle in Figure 7). This precipitation threshold was adjusted to coincide with the maximum extension of the anvil clouds, consistent with the typical structure of a squall line (Houze, 1977).

The horizontal winds near the surface spread the cold pool rearward beyond the precipitating region. Therefore, we also define a sub-domain called "trailing", with x between x_{strati} and x_{trail} , where x_{trail} is the x value greater than x_{strati} and $T(x) < T(x_{gust}) -$ 1, where T is the near-surface temperature in K (pink rectangle in Figure 7). All grid points that are not categorized as "convective", "stratiform" or "trailing" are called "environment" (white in Figure 7).

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3.2.3 Simulated isotopic evolution

⁴⁸⁹ Simulated squall lines show a progressive depletion of the vapor in the convective ⁴⁹⁰ region, maximum depletion at the end of the convective region, and a long recovery in ⁴⁹¹ the stratiform and trailing regions (Figure 7e). The δD_v reaches its environment value ⁴⁹² about 100 km after the convective peak.

⁴⁹³ The δD_p varies in concert with δD_v (Figure 7d). In the convective and stratiform ⁴⁹⁴ regions, δD_p is lower than in equilibrium with the vapor (green in Figure 7e), consistent ⁴⁹⁵ with a quick fall and little evaporative enrichment. The weak precipitation that falls up-⁴⁹⁶ wind of the convective region, where the air is dry, has a δD_p higher than that in equi-⁴⁹⁷ librium with vapor, indicating evaporative enrichment during rain evaporation.

The d-excess in the vapor is higher in the convective and stratiform regions, and to a lesser extent in the trailing region, than in the environment (Figure 7f). The low d-excess in the precipitation reflect the effect of evaporative enrichment, especially before the gust front and in the trailing region.

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3.2.4 Comparison with isotopic observations

Isotopic observations during squall lines often show a "W" shape with minimum δD_p in the convective and stratiform regions and a local maximum in the transition region (Taupin & Gallaire, 1998; Risi et al., 2010). Our simulation is consistent with this observation, except that since our simulation does not exhibit any transition region, it shows a "V" shape instead of a "W" shape.

Investigation of the vapor isotopes of the squall lines in the Sahel showed that the isotopic evolution can be diverse, but some robust features emerge (Tremoy et al., 2014).

- In 80% of 74 observed squall lines (Tremov et al., 2014), there is a depletion in 510 the convective region compared to the environment before the squall line. This 511 is consistent with our simulation. 512 More than half of the observed squall lines show additional depletion in the strat-513 iform region (Tremoy et al., 2014). This is also consistent with our simulation. 514 • For squall lines showing an isotopic depletion in the convective or stratiform re-515 gion, the recovery from this depletion takes several hours after the end of the rain 516 (Tremoy et al., 2014). Considering a propagation speed of about 20 m/s, this is 517 consistent with the recovery distance of about 100 km in our simulation. 518 • In 78% of observed squall lines, the "W" shape often observed in the precipita-519 tion is not observed in the vapor (Tremoy et al., 2014). Our simulations are thus 520 consistent with this majority of squall lines 521 Our simulated isotopic evolution during the squall line thus captures the features that 522 are most commonly observed in squall lines. Some squall lines may feature different vari-523 ations, and even enrichment in the convective and stratiform regions (Tremoy et al., 2014). 524 To check whether our simulations could capture such a diversity of isotopic variations, 525 we performed many sensitivity tests, including simulations without large-scale ascent, 526
- with large-scale ascent peaking in the upper troposphere to favor stratiform development 527 (Su et al., 2000), increased horizontal resolution, interactive radiation, reduced sublima-528 tion or reduced rain evaporation to favor the maintenance of the stratiform region (Yang 529 & Houze Jr, 1995; Bryan & Morrison, 2012), bowling alley domain, or prescribed hor-530 izontal wind in the upper troposphere to favor the development of the stratiform region 531 (Caniaux et al., 1994). Depending on the simulations, the stratiform region is more or 532 less extended and the squall lines are more or less organized, but the meteorological and 533 isotopic evolution is always similar. We thus keep in mind that our simulations match 534 the majority of squall lines, but not all of them. In addition, some observed feature in 535 squall lines over land, such as the enrichment of the water vapor in some stratiform re-536 gions due to nearly total evaporation of the rain in dry air (Tremoy et al., 2014), can-537 not be captured by our simulations with an oceanic setting that favors a relatively moist 538 environment. 539

⁵⁴⁰ 4 Understanding mesoscale isotopic variations

Since δD in the precipitation varies in concert with δD in the SCL water vapor, we will focus most of this section on understanding mesoscale isotopic variations in the water vapor.

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4.1 Impact of rain evaporation

Observational and modeling studies highlighted the key role of rain evaporation and 545 rain-vapor exchanges in depleting the water vapor within organized systems (Lawrence 546 et al., 2004; Tremoy et al., 2014; Xu et al., 2019). Around tropical cyclones and down-547 wind of squall lines, dry air (Figures 5a 8a) favors thick layer of rain evaporation in the 548 middle and lower troposphere (orange shade in Figures 5c, 8c). Maximum evaporation 549 occurs in the cold pool under the convective and stratiform regions of the squall line (red 550 shade in Figure 8c). The fraction of the formed condensate that evaporates before reach-551 ing the ground is in the range of 0-30% in the convective and stratiform regions of the 552 squall line and 20-40% in the eyewall of the cyclone. It increases to 40-80% in the rain 553 bands of the cyclone and reaches 100% in the trailing region of the squall line and in the 554 environment of both systems (Figures 5f, 8f). 555

To analyze the isotopic effect of rain evaporation in more detail, we calculate $\phi_{ev} = R_{ev}/R_v$, where R_v and R_{ev} are the isotopic ratios in water vapor and in hydrometeor evaporation/sublimation. Hydrometeor evaporation/sublimation includes the evapora-

tion of rain and cloud droplets (below the 0°C isotherm) and the sublimation of ice, snow 559 or graupel (above the 0°C isotherm. ϕ_{ev} represents the isotope enrichment of hydrom-560 eteor evaporation relative to water vapor: if $(\phi_{ev} - 1) \cdot 1000 > 0\%$, hydrometeor evap-561 oration/sublimation enriches the water vapor; if $(\phi_{ev} - 1) \cdot 1000 < 0\%$, hydrometeor evaporation/sublimation depletes the water vapor. R_{ev} is calculated as $(dq_{HDO}/dt)_{mphy}/(dq/dt)_{mphy}$ 563 where q_{HDO} is the mixing ratio for HDO (i.e. the ratio of the mass of HDO molecules 564 over the mass of dry air), q is the water vapor mixing ratio, and $(dq/dt)_{mphy}$ and $(dq_{HDO}/dt)_{mphy}$ 565 are the water vapor and HDO tendencies associated with phase changes. If hydrome-566 teor evaporation/sublimation occurs, then the $(dq/dt)_{mphy}$ tendency is positive. In con-567 trast, if cloud condensation or deposition onto the snow, cloud ice or graupel occurs, then 568 the $(dq/dt)_{mphy}$ tendency is negative. R_{ev} is calculated only where $(dq/dt)_{mphy} > 0$. 569 We can see that near the rain bands of the cyclone and in the stratiform region of the 570 squall line, there is a strongly depleting effect of rain evaporation just below the melt-571 ing level (purple shade in Figures 5e and 8e, where the nearly horizontal black line in-572 dicates the 0°C isotherm). This is because just below the melting level, most of the rain 573 originates from snow melt, which is more depleted than locally formed droplets because 574 the snow has formed at higher altitudes (Risi et al., 2021). There is also a depleting ef-575 fect in the sub-cloud layer of the cyclone. The depleting effect of rain evaporation is as-576 sociated with negative anomalies in water vapor δD in the rain bands and under the eye-577 wall of the cyclone, and under the stratiform region of the squall line (purple shade in 578 Figures 5d and 8d). 579

Figures 5g and 8g compare the δD in the near-surface vapor, the vertically-integrated 580 condensation flux and the vertically-integrated hydrometeor evaporation flux. The δD 581 in the vertically-integrated condensation flux is most negative in the eyewall and rain bands of the cyclone and in the convective and stratiform regions of the squall line (or-583 ange in Figures 5f and 8f), consistent with the condensation occuring higher in altitude 584 in anvil clouds (Figures 5b-c and 8b-c). Where the formed condensate evaporates totally, 585 or nearly totally, as is the case in the environment, then the δD in the vertically-integrated 586 hydrometeor evaporation flux equals that in the vertically-integrated condensation flux 587 (orange and purple lines are almost identical in Figures 5f and 8f). There, the rain evap-588 oration has an enriching effect (yellow and orange shades in Figures 5e and 8e), consis-589 tent with Tremoy et al. (2014). In contrast, where the evaporated fraction is smaller and 590 the air is moister, the δD in the vertically-integrated hydrometeor evaporation flux is 591 more depleted than in the vertically-integrated condensation flux (orange in Figures 5f 592 and 8f). This contributes to the depleting effect of the rain evaporation in the eyewall 593 and rain bands of the cyclone and in the convective and stratiform regions of the squall 594 line (purple shade in Figures 5e and 8e), and thus to the depleted water vapor in these 595 regions (purple shade in Figures 5d and 8d, black line in Figures 5f and 8f). 596

To summarize, rain evaporation and diffusive exchanges deplete the water vapor under the convective and stratiform regions of the cyclone and of the squall line. This depletion is due to the higher condensation altitude, the lower evaporated fraction of the rain and the moister air in which the evaporation occurs. The depleting effect of rain evaporation and diffusive exchanges in stratiform regions of convective systems has already been highlighted in previous studies (Kurita, 2013; Aggarwal et al., 2016), including in cyclones (Lawrence et al., 2004; Munksgaard et al., 2015).

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4.2 Simulations with de-activated fractionation during rain evaporation

To quantify the effect of rain evaporation and rain-vapor diffusive exchanges, additional simulations in which these effects are de-activated were run, as in Field et al. (2010); Risi et al. (2021). The fractionation coefficients were set to unity during all postcondensational processes. This impacts the simulation of isotopic variables, but not the simulation of meteorological variables.



Figure 9. Results of the simulations with de-activated fractionation during rain evaporation. (a-b) Evolution of near-surface δD_v (solid) and of the water vapor δD in equilibrium with the near-surface precipitation (dashed) as a function of r for tropical cyclones (a) and as a function of x for squall lines (b), in simulations where rain evaporation and rain-vapor diffusive exchanges are activated (black) and de-activated (red). (c-d) Evolution of the near surface δD_v anomaly relative to the environment as a function of r for tropical cyclones (c) and as a function of x for squall lines (d).

Both in the cyclone and squall line simulations, δD_v would be higher without frac-610 tionation during rain evaporation than with fractionation (solid lines in Figure 9a-b), 611 and the rain would be more depleted than if in equilibrium with the vapor (dashed lines 612 in Figure 9a-b). The δD_p would be minimum under the rain bands in the cyclone sim-613 ulation, and under the stratiform region in the squall line simulation, reflecting the higher 614 condensation altitude in these regions (section 4.1). With fractionation during rain evap-615 oration, the rain-vapor diffusive exchanges make the vapor more isotopically enriched 616 and the rain more isotopically depleted, thus bringing the rain and the vapor close to 617 isotopic equilibrium (black lines in Figure 9a-b). This explains why δD_v and δD_p vary 618 in concert. The δD_v is most negative where the condensation forms high in altitude and 619 where the rain rate is strong enough to isotopically impact the water vapor. This explains 620 why the δD_v is most negative near the transition between the eyewall and rainbands for 621 the cyclone, and between the convective and stratiform region for the squall line (black 622 solid lines in Figure 9a-b). 623

⁶²⁴ Without fractionation during rain evaporation, the mesoscale δD_v variations would ⁶²⁵ be strongly reduced (Figure 9c-d). Peak-to-peak variations are respectively about 22% ⁶²⁶ and 8% with and without fractionation in the cyclone case, and respectively 18% and ⁶²⁷ 8% in the squall line case. This confirms the key role of rain evaporation and rain-vapor ⁶²⁸ diffusive exchanges to deplete the low-level water vapor at the mesoscale scale.

4.3 Insights of the simple box model for the tropical cyclone

To quantify the relative importance of different processes in different regions of the domain in the case of the cyclone, a simple box model of the SCL was designed (section 2.3). We quantify the different terms of the water vapor budget in the different regions (section 4.3.1), before evaluating the predictions by the simple box model and decomposing the isotopic differences for water vapor δD (section 4.3.2) and d-excess (section 4.3.3).

4.3.1 SCL water vapor budget

The cyclone in itself (sub-domains eye, eyewall, rain bands and between rain bands) 637 covers less than 10% of the domain (Figure 10a). In all sub-domains, the main source 638 of water vapor is surface evaporation (red in Figure 10b). Surface evaporation is more 639 than twice larger in the eyewall, in rain bands and in-between rain bands than in the en-640 vironment, consistent with the maximum winds. Surface evaporation is half smaller in 641 the eye than in the environment, due to weak winds and moist near-surface air. Rain 642 evaporation is also a significant moistening term in the eyewall and the rain bands (pur-643 ple in Figure 10b). Condensation is insignificant (cyan in Figure 10b). Everywhere ex-644 cept in the eye, updrafts and downdrafts have a drying effect on the SCL (green and blue), 645 because updrafts are preferentially moister and downdrafts are preferentially drier. In 646 the eye, updrafts and downdrafts slightly moisten the SCL because the core of the eye 647 is descending and almost saturated whereas air parcels near the eyewall may be drier and 648 ascending. Horizontal advection and non-stationary effects dry the cyclone and slightly 649 moisten the environment (dark green in Figure 10b). This is because dry air from the 650 environment converge towards to cyclone center (horizontal advection effect). In addi-651 tion, the cyclone wanders across the domain and thus mixes with air that was previously 652 in the dry portions of the domain (non-stationary effect). In turn, in the wake of the cy-653 clone, the environment is left moistened. 654

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4.3.2 Evaluating and decomposing the water vapor δD_v in each sub-domain

In spite of its overestimate of the δD_v simulated by the CRM (green compared to red in Figure 11c), the simple box model is able to simulate the more depleted water vapor in all sub-domains relative to the δD_v simulated by the MJ79 closure (blue in Figure 10c) and to capture the main δD_v differences between the sub-domains: the slightly more enriched vapor in the eye relative to environment, the more depleted vapor in the eyewall, rain bands and between bands relative to the environment, and the more depleted vapor in the bands relative to in-between bands (Figure 10c).

The slightly more enriched vapor in the eye relative to the environment is mainly 663 explained by the higher relative humidity (red in Figure 10d). When the relative humid-664 ity is close to 1, the vapor is nearly in equilibrium with the ocean. In this case, the in-665 fluence of A in equation 8 becomes small. As a consequence, the prediction by the sim-666 ple box model is close to that of the MJ79 closure in the eye (blue in in Figure 10c). In 667 addition, in the eye, the rain evaporation is weak because there is little rain, and the horizontal advection into the eye is weak because the winds are mainly tangential, further 669 limiting the influence of A in equation 8. We note that a relative enrichment inside the 670 eye is simulated in spite of the neglect of sea spray in our simulations. This does not ex-671 clude the possibility for a role of sea spray in nature (Fudeyasu et al., 2008), but this role 672 is not necessary to explain the enrichment in the eye. 673

Figure 10d shows the decomposition of the δD_v anomaly for the different sub-domains relative to the environment. The more depleted vapor in the eyewall relative to the environment is mainly due to the enhanced depletion by vertical mixing trough the SCL top (green in Figure 10d). The steeper isotopic gradient above the SCL, driven by the stronger rain evaporation above the SCL (section 4.1), likely contributes to this term.
The rain evaporation in the SCL also contributes to the more depleted vapor in the eyewall (purple in Figure 10d).

The more depleted vapor in the rain bands relative to the environment (and relative to between rain bands) is mainly due to the enhanced depletion by rain evaporation within the SCL (purple in Figure 10d). Alone, rain evaporation in the SCL would deplete the vapor in the rain bands by nearly 50% relative to the environment. As in the eyewall, the enhanced depletion by vertical mixing trough the SCL top also contributes to the depletion (green in Figure 10d).

The more depleted vapor between rain bands relative to the environment is mainly due to the horizontal advection and non-stationary effects (dark green in Figure 10d). Horizontal winds bring depleted water vapor from the rain bands (Figure 10d). Consistently, horizontal advection has an enriching effect in rain bands, where the isotopic gradients are reversed: horizontal winds bring enriched water vapor from in-between rain bands.

The contributions of the kinetic fractionation during surface evaporation and of condensation in the SCL are marginal. The marginal impact of condensation is consistent with the absence of clouds in the SCL, and confirms that rain-out does not directly impact the SCL isotopic composition.

To summarize, the δD_v differences between the sub-domains are mainly explained by rain evaporation and rain-vapor diffusive exchanges inside the SCL, which deplete the eyewall and rain bands, consistent with previous studies (Gedzelman et al., 2003). Horizontal advection plays a key role to smooth the isotopic patterns, contributing to the progressive depletion as the air converges towards the center of cyclones suggested in previous studies (Gedzelman et al., 2003; Xu et al., 2019).

4.3.3 Evaluating and decomposing the water vapor d-excess in each subdomain

In spite of its underestimate of the d_v simulated by the CRM (green compared to red in Figure 10e), the model is able to capture the higher d_v than predicted by MJ79 closure (blue in Figure 10c) and several features simulated by the CRM: (1) the minimum d_v in the eye, (2) the higher d_v in the rain bands relative to between bands (Figure 10e). We will thus focus on understanding these two features:

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- 1. The minimum d_v in the eye is due to the moist conditions that reduce the kinetic effects during surface evaporation (red in Figure 10f).
 - 2. The higher d_v in the rain bands relative to the environment or between bands is mainly due to the rain evaporation in the SCL (purple in Figure 10f), which yields water vapor with high d-excess because of the relatively larger diffusivity of HDOrelative to that of $H_2^{18}O$. Alone, it would contribute to an increase in d-excess by more than 15% relative to the environment.
- As for δD_v , horizontal advection acts to smooth the d_v patterns, decreasing d_v in the rain bands and increasing it between rain bands (dark green in Figure 10f). The stronger the winds, the larger the kinetic fractionation during surface evaporation (yellow in Figure 10f). The contribution of this effect is however relatively small: alone, it would increase the d-excess by less than 5‰ in the eyewall, bands and in-between bands, relative to the environment (yellow in Figure 10f).



Figure 10. Results for the simple model of the SCL water vapor budget applied to the cyclone simulation. (a) Fraction of the domain, in log scale, covered by the five sub-domains of the cyclone: eye, eyewall ("wall"), rain bands ("bands"), in-between bands ("between") and the environment ("env"). (b) Water fluxes contributing to the water budgets of the different sub-domains of the cyclone: Surface evaporation (red), updrafts (green), downdrafts (blue), rain evaporation (pink), condensation (cyan) and residual term associated with horizontal advection and non-stationary effects (dark green). (c) δD_v simulated by SAM (red), by the simple model (green) and by the MJ79 closure (blue bars). (d) Decomposition of the δD_v anomaly in different sub-domains relative to the environment into 6 contributions: near-surface relative-humidity (red), surface wind speed (yellow), vertical mixing through the SCL top (green), rain evaporation within the SCL (purple), condensation within the SCL (cyan) and horizontal advection and nonstationary effects (dark green). The total is shown in black and non-linear effects are shown in blue. (e-f) Same as (c-d) but for d_v .

4.4 Insights of the simple box model for the squall line

4.4.1 SCL water vapor budget

The convective, stratiform and trailing regions of the squall line cover about 15%725 of the domain (Figure 11a). Surface evaporation is the main source of water in the SCL 726 and is approximately uniform in all sub-domains (red in Figure 11b). The rain evapo-727 ration is a significant source in both the convective and stratiform parts (purple). In the 728 convective part, the main sink of water is the export of moist air through updrafts (green 729 in Figure 11b), consistent with the vigorous updrafts. Horizontal advection and non-stationary 730 effects moisten the convective zone by advecting air from the stratiform region moist-731 ened by rain evaporation, and dries the trailing region by advecting drier air from the 732 environment (dark green in Figure 11b). 733

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4.4.2 Evaluating and decomposing the water vapor δD_v in each sub-domain

The box model performs better for the squall line than for the cyclone simulation. It captures well the relative variations across the sub-domains, in particular the maximum depletion in the stratiform region and the depletion in the convective and trailing regions relative to the environment (Figure 11c). We will thus focus on understanding these differences.

In both the convective and stratiform parts of the squall line, the depletion rela-740 tive to the environment is mainly due to the rain evaporation and rain-vapor diffusive 741 exchanges in the SCL that deplete the water vapor (purple in Figure 11d). Alone, it would 742 deplete the convective and stratiform regions by about 30 and 45 % respectively, far ex-743 ceeding the total difference relative to the environment. In the stratiform region, ver-744 tical mixing, which likely includes the effect of rain evaporation above the SCL, also sig-745 nificantly contributes to the depletion (green in Figure 11d). This is consistent with the 746 depleting effect of rain evaporation both in the SCL and near the melting level (Figure 747 8d), and with the major effect of fractionation during rain evaporation on the isotopic 748 evolution in squall lines (section 4.2). 749

In the trailing region, where the precipitation is weak, the depletion in heavy isotopes of the vapor is explained by horizontal advection and non-stationary effects (dark green in Figure 11d). Alone, it would contribute to a 55% depletion relative to the environment. This reflects the effect of horizontal advection spreading the depleted water vapor from the stratiform region. In contrast, the horizontal advection explains why the convective region is less depleted than the stratiform region: horizontal advection brings enriched water vapor from the environment towards the squall line front.

To summarize, the δD_v differences between the sub-domains are mainly explained by rain evaporation and rain-vapor diffusive exchanges inside the SCL, and also probably above the SCL. This is consistent with previous studies (Risi et al., 2010; Tremoy et al., 2014). Horizontal advection then plays a key role in spreading the isotopic anomaly rearward.

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4.4.3 Evaluating and decomposing the water vapor d-excess in each subdomain

The simple box model is able to capture the fact that d_v is much larger than would be predicted by the MJ79 closure. It also captures the higher d_v in the stratiform region relative to the convective and trailing region.

The higher d_v in the stratiform region is mainly explained by the vertical mixing (green in Figure 11f). Vertical mixing probably brings water vapor with high d_v due to rain evaporation above the SCL. Rain evaporation in the SCL strongly increases d_v in the convective region (purple in Figure 11f), but this is compensated by the advection of vapor (dark green in Figure 11d). Since the environment has a lower d_v than the convective region (red in Figure 11c), the advection of air from the environment to the convective region acts to deplete the convective region. Variations in near-surface relative humidity and winds are smaller than in the cyclone simulations, so the contributions of these effects are marginal.

776 4.5 Discussion

We find many common aspects for the mesoscale isotopic variability between cyclone and squall line simulations.

- In both convective systems, rain evaporation and rain-vapor diffusive exchanges both within and above the SCL (especially near the melting level) are the main drivers of the depletion in heavy isotopes of the vapor in the eyewall and rain bands for the cyclone, and in the convective and stratiform parts of the squall line. This is consistent with previous studies (Gedzelman et al., 2003; Tremoy et al., 2014; Xu et al., 2019). These processes have also a crucial impact on d-excess.
- In both cases, horizontal advection and non-stationary effects act to smooth the isotopic patterns. It leads to the gradual depletion towards the eyewall observed in tropical cyclones, and it spreads the isotopic anomalies rearward in the case of the squall line. This is consistent with previous studies (Xu et al., 2019).
- In both cases, the effect of condensation on the SCL water vapor is indirect. Condensation in the free troposphere maintains the vertical gradient in δD_v that allows the rain evaporation to have a depleting effect on the SCL water vapor. Through this indirect effect, condensation and precipitation are necessary for the depletion of the water vapor SCL (Yoshimura et al., 2003).
- The main difference between the two convective systems is in the effect of kinetic effects during surface evaporation. Strong winds and moist conditions in tropical cyclones significantly impact the d-excess, whereas they have a marginal effect in squall lines.

Some similarities can also be found between processes controlling the isotopic com-797 position in tropical cyclones and those in extra-tropical cyclones and cold fronts, as doc-798 umented by previous studies (Pfahl et al., 2012; Aemisegger et al., 2015; Thurnherr & 799 Aemisegger, 2022). Isotopically depleted water vapor and rain were observed in extra-800 tropical cyclones. This was explained by the interplay between air mass advection and depletion by rain-vapor interaction, consistent with our study, although in extratropi-802 cal cyclones the large-scale advection and large-scale gradients in temperature also con-803 tributed to depleting the vapor (Pfahl et al., 2012; Aemisegger et al., 2015). Low d_v was 804 also observed in the warm sector of extratropical cyclones. As in tropical cyclones, this 805 was explained by ocean evaporation in a moist environment, although in extratropical 806 cyclones dew formation also contributes to lowering d_v (Thurnherr & Aemisegger, 2022). 807

⁸⁰⁸ 5 Conclusion

Using cloud resolving model simulations of cyclones and squall lines, and a sim-809 ple model for the SCL water vapor budget, we investigate how convective processes im-810 pact the isotopic composition of water vapor and precipitation at the mesoscale. We show 811 that the main factors depleting heavy isotopes of the water vapor at the mesoscale is rain 812 evaporation, especially in the sub-cloud layer of rain bands and of the eyewall in trop-813 ical cyclones, and in the mesoscale downdraft of the stratiform region in squall lines (pur-814 ple in Figure 12). The mesoscale δD_v patterns are subsequently reshaped by horizon-815 tal advection (orange in Figure 12). These mechanisms are overall consistent with those 816 suggested in previous studies (Gedzelman et al., 2003; Tremoy et al., 2014; Xu et al., 2019). 817



Figure 11. Results for the simple model of the SCL of the SCL water vapor budget applied to the squall line simulation. Same as Figure 10 but for the four sub-domains of the squall line: convective ("conv"), stratiform ("strati") and trailing ("trail") regions, and the environment ("env"). Note that in (c), the prediction by the MJ79 closure (blue) is nearly -70% in all sub-domains, so it is hard to see.



Figure 12. A schematic of the processes controlling the water vapor composition inside tropical cyclones (a) and squall lines (b). The key driver is rain evaporation, indicated by purple stars. Rain evaporation depletes water vapor in the rain bands and eyewall of tropical cyclones and in the convective and stratiform regions of squall lines. Horizontal advection then reshapes this pattern. Dark orange arrows indicate horizontal advection from depleted regions to less depleted regions, contributing to the spread of the depleted anomalies inward in cyclones and rearward in squall lines. Light orange arrows indicate horizontal advection from less depleted regions to more isotope depleted regions, partially compensating the depletion in most depleted regions.

In contrast to these previous studies however, we highlight that condensation has no direct impact and that the evaporation of sea spray is not necessary to explain the relative enrichment in the cyclone eye.

This study contributes to our understanding of mesoscale isotopic variability. It provides physical arguments for the more depleted rain observed in tropical cyclones and squall lines relative to the rain in small-scale convection. Therefore, this study supports the interpretation of paleoclimate isotopic archives in tropical regions in terms of past cyclonic activity (Nott et al., 2007; Medina-Elizalde & Rohling, 2012; Baldini et al., 2016) or past frequency of large, long-lived, organized convective systems such as squall lines (Maupin et al., 2021).

However, when considering paleoclimate records at the annual scale or larger, the 828 isotopic composition reflects an average over many convective systems of different organ-829 ization types. In our simulations, this is equivalent to the domain-mean δD in simula-830 tions of tropical cyclones or squall lines relative to the domain-mean δD in simulations 831 of isolated cumulonimbi, rather than the δD in tropical cyclone or squall lines relative 832 to their environment in a given simulation. This paper focuses on mesoscale isotopic vari-833 ations and does not discusses domain-mean values, because the realism of simulated mesoscale 834 variations could be more easily assessed than the realism of domain-mean values. In par-835 ticular, we realized that the domain-mean δD in the precipitation or water vapor of our 836 tropical cyclone simulation was more enriched in heavy isotopes than that in simulations 837 of squall lines or even of isolated cumulonimbi (Risi et al., 2020). This is at odds with 838 observations of depleted cyclonic rains in the tropics (Lawrence et al., 2004). This dis-839 crepancy may be due to limitations in the radiative-convective equilibrium configura-840 tion. In radiative-convective equilibrium, the cyclone maintains a strong subsidence in 841 its environment, which favors unrealistically dry conditions that allows enriched water 842 vapor in the SCL to accumulate. In reality, tropical cyclones propagate and are thus not 843 in equilibrium with their environment. In addition, tropical cyclones may occur in spe-844 cific large-scale conditions. To account for the propagation of tropical cyclones and for 845 the interplay with the large-scale circulation, more realistic simulations are necessary, 846 e.g. using regional cloud-resolving models (de Vries et al., 2022). In parallel, the inter-847 play between large-scale circulation and convective organization deserves to be better 848 documented in observations. It is possible that part of the observed depletion associated 849 with tropical cyclones is mediated by large-scale conditions and domain-mean precip-850 itation. To rigorously assess the role of convective organization, we would need to com-851 pare isotopic observations for different kinds of convective organization but for the same 852 precipitation rate and large-scale context, as is now done for humidity (Tobin et al., 2012). This will allow us to rigorously assess the realism of the domain-mean isotopic compo-854 sition in our simulations and will be the subject of a future study. 855

Finally, many other processes need to be investigated before drawing any paleoclimate conclusions from this study, including large-scale horizontal advection (Chen et al., 2021), land-atmosphere interactions along the air mass trajectories, infiltration processes, processes in the karstic systems and during calcite formation (Lases-Hernández et al., 2020). Our study is a first step towards a more comprehensive understanding of water isotopic variations.

⁸⁶² Open Research Section

Information on SAM can be found on this web page:
 http://rossby.msrc.sunysb.edu/~marat/SAM.html. All simulation outputs used
 in this article are archived in the PANGEA data repository: https://doi.pangaea.de/
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