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1 Rock slope failure in the Western Alps: a first comprehensive inventory and spatial analysis 2 3 S. Blondeau^{a,*}, Y. Gunnell^a, D. Jarman^b 4 5 ^{a,*} Sylvain Blondeau (corresponding author), Department of Geography, université Lumière Lyon 2, 6 Environnement, Ville, Société, CNRS UMR 5600, F-69676 Bron, France 7 ^a Yanni Gunnell, Department of Geography, université Lumière Lyon 2, Environnement, Ville, Société, 8 CNRS UMR 5600, F-69676 Bron, France 9 ^b David Jarman, Mountain Landform Research, Ross-shire, Scotland 10 11 Abstract Rock slope failure (RSF) occurs in different contexts but is typically reported either as (i) single-12 category inventories or (ii) single-site geotechnical monographs. Few studies have sought to evaluate 13

14 the spatial incidence of all modes of RSF conjointly, and to infer scenarios of regional landscape 15 evolution from observed patterns of cumulative rock slope overstressing. Here we present the results of a systematic inventory of rock avalanches, rockfalls, rockslides, and gravitational rock slope 16 17 deformations in the Western Alps (France, Italy, Switzerland) conducted using satellite imagery made available in Google Earth as a detection tool, and aided by preliminary ground-truth checks. The 18 19 inventory totals 1446 montane RSFs, impacting 9.1% of the study area. Underpinned by GIS tools, the 20 study further examines the spatial distribution of RSF with consideration for (i) predisposing factors 21 (typically: lithology, geological structure), and (ii) preparatory factors (geomorphological process 22 regimes that drives a given slope segment to the point of failure). The latter encompass slower variables (e.g., long-term crustal stress regime, cumulative residence time above equilibrium line 23 24 altitudes) and faster variables (e.g., short-span glacier-related stresses, permafrost thaw, seismicity). 25 RSF density patterns helped to define seven RSF super-hotspots (large diversity of RSF modes, up to 26 50% of displaced rock masses / unit area), which define the most intensely overstressed areas of the 27 Western Alps. These super-hotspots occur at sites where highly dynamic, thick, warm-based glaciers 28 above the equilibrium line either intersected (middle Maurienne) or followed the strike of (middle 29 Isère) N–S bands of highly susceptible lithologies and structures during the Quaternary. The widespread incidence of rock slope deformation (cumulative area: 1760 km², i.e. nearly 3 times the 30 31 total of the other three RSF categories combined) appears further correlated with the low tectonic 32 activity of the orogen and with its areas dominated by an extensional tectonic regime west of the Penninic Frontal Thrust. This contrasts with seismically active orogens, e.g. New Zealand's Southern 33 34 Alps, where rock slope deformation is scarce compared to rock avalanches and shallow landslides.

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36 Key words: rockfall; rockslide; rock avalanche; rock slope deformation; slope evolution cycle; Alps

37

38 1 Introduction

39 Rock slope failure (RSF) in mountain environments is the sudden or gradual release into the sediment 40 cascade of large masses of bedrock from valley sides, plateau rims or mountain crests as a result of 41 gravity-driven slope rupture. Sometimes referred to as 'mass movement in bedrock' (Brideau and 42 Roberts, 2015), RSF has gained recognition as a prominent erosional process during the Quaternary 43 (e.g. Ballantyne, 2002; Korup, 2005, 2006; McColl, 2012; Pánek and Klimeš, 2016).

The catastrophic collapse of entire mountain sides has been widely recorded also in historical times. 44 45 In the Alps, Eisbacher and Clague (1984) documented 137 such events, including some debris-flow-46 related disasters and glacier-related mass movement not relevant to this study. Famously, the Mt. Granier mountain-side collapse in 1248 CE (Savoie, France) engulfed settlements south of Chambéry 47 48 in 500 M m³ of limestone and marl. Rock avalanches at Valpola (1987, Italy, igneous rocks) and Randa 49 (1991, Switzerland, gneiss and schist) each involved ~30 M m³, with major impacts on infrastructure 50 (Sartori et al., 2003; Crosta et al., 2004). Collapses of La Suche mountain into the eastern end of Lake Geneva in 563 CE, and possibly of another during the Bronze Age, triggered destructive tsunamis 51 52 (Kremer et al., 2012, 2014).

Very large deforming masses may also accelerate and collapse, as with the Sierre (Switzerland) rock avalanche (Pedrazzini et al., 2013). Monitoring of actively creeping sites is thus becoming widespread (e.g., Project Slow2Fast, Oppikofer et al., 2017; https://slow2fast.unimib.it/en/ricerca/). In the Belledonne massif (France, Fig. 1), deformation at Mt. Rognier started before 12 ka and is still active at a few mm/yr (Hippolyte et al., 2012); while Séchilienne, a 50 M m³ mass, has been creeping for ~6 ka, with current slip rates of 20–150 mm/yr and approaching 1 m/yr in the core (Schwartz et al., 2017).

60 These RSF phenomena were first recognised as a distinctive class of geomorphological event in the 61 European Alps (Heim, 1932; Abele, 1974; von Poschinger, 2002). Their slope-deforming and ridge-62 splitting precursors were first described in the Carpathians (Zischinsky, 1966; Nemčok, 1972), and 63 later also in the Alps (Forcella, 1984; Mortara and Sorzana, 1987; Crosta and Zanchi, 2000). Beyond 64 these well-known high-magnitude, low-frequency events, the existence of widespread montane RSF 65 is only now becoming recognised as an endemic response to major system perturbations (McColl, 66 2012), notably erosion pulses (fluvial or glacial) induced by tectonic uplift or climate change. The 67 present RSF stock in the Alps and cognate ranges is thus inferred to be largely inherited from a 68 lateglacial and postglacial peak, albeit capable of reactivation (e.g. Goguel and Pachoud, 1981), 69 including by anthropogenic disturbances (e.g., the Elm disaster; Heim, 1932). These RSF populations 70 have gone largely unnoticed and unmapped because they are often inaccessible, invisible from 71 below, difficult to detect and delimit when at incipient stages, and confusable with other mimicking 72 erosional and depositional landforms. The potential of RSF spatial incidence analysis in studies of 73 glaciation history, climate, or tectonics has scarcely been explored.

Although copious 'landslide inventories' are available for most European countries (e.g., van den Eeckhaut and Hervas, 2012), they are generally unsystematic, and mainly drawn from areas of threat to settlements and infrastructure. They seldom distinguish discrete RSFs—which are by definition in bedrock and above a threshold size—from abundant minor rockfalls, endemic slumping in highplasticity rock types, and failures in regolith (Wood et al., 2020). Being more report-based than

survey-driven, they omit most metastable ('dormant') RSF sites that may undergo reactivation at
some point. Area studies generally focus on landslide hazard zoning (e.g. Guzzetti et al., 2008;
Bălteanu et al., 2010), but systematic identification of RSF over large areas has only recently become
efficiently practicable with the advent of high-resolution, easily-interrogated satellite imagery.

83 Globally, comprehensive montane RSF inventories only exist as yet for a few ranges and uplands, 84 notably Iceland (Whalley et al., 1983; Peras et al., 2016), parts of the Scandes (Braathen et al., 2004; Böhme et al., 2011), the New Zealand Alps (Korup, 2005; Allen et al., 2011), the eastern Pyrenees 85 86 (Jarman et al., 2014), and the British mountains (Jarman and Harrison, 2019). In more elevated 87 ranges, the emphasis has remained on large-scale and catastrophic RSF (e.g. Strom and Korup, 2006; 88 Antinao and Gosse, 2009; Hewitt, 2009). Additionally, very few studies have sought to evaluate the 89 spatial incidence of all modes of RSF in relation to possible causes and to the landscape evolution of 90 the montane area. Within the European Alps, previous inventories (Fig. 1A) have mainly addressed 91 smaller areas (e.g., Malatrait, 1975; Thiéry 2007; Martinotti et al., 2011; Fioraso, 2017), or local 92 events of notable impact in historical time (Eisbacher and Clague, 1984). The groundbreaking wider 93 study of the whole Alpine range by Crosta et al. (2013) addressed the deep-seated gravitational slope 94 deformation (DSGSD) subset of RSF. A notably comprehensive inventory and analysis of the large 95 Upper Rhône catchment also focused on slope deformation (Tonini et al., 2014; Pedrazzini et al., 96 2015).

97 Here presented is the first systematic database of RSF of all major modes, namely rockfall, rock 98 avalanche, rockslide, and rock slope deformation (i.e., DSGSD) across a major sector of the European 99 Alps (Fig. 1B). The primary aim was to map all RSFs that can be identified from remote imagery 100 available chiefly in Google Earth, creating a database with appropriate standard parameters and 101 using low-cost methods. It is beyond the intended scope of the study to determine the age, mode of 102 evolution, or case by case cause of failure, let alone the current rate of slip or hazard potential for 103 which some sites are being monitored (Fig. 1C). Readers motivated by the aforementioned list of 104 topics may nonetheless find advantage in using the new database to conduct future studies at a

105 subset of locations of their choosing. Interactive Map File 1 provides a fully geolocated map of the 106 inventory. Until much more is known about a wide sample of sites, however, the principal purpose of 107 acquiring such a comprehensive regional dataset is aimed at understanding what might have caused 108 RSF in the past, yielding the extant population. The data is subjected to a GIS-based spatial analysis 109 that relates RSF location and RSF polygon size to a wide range of topographic, tectonic, and 110 palaeoclimatic variables in a search to understand predisposing factors and causal relations over a range of geographic scales and spatial resolutions. The heuristic value of this broad-scope approach 111 112 to the slope evolution cycle is tested in the final Discussion, where sharp contrasts in mountain 113 landscape evolution between the European Alps and the Southern Alps of New Zealand are 114 highlighted and explained in the light of the factorial analysis conducted in the Western Alps.

115 2 Study area: the Western Alps

116 **2.1. Study area boundaries**

117 The broad transect through the Western Alps presented here spans a representative gamut of Alpine geology, topography, climate, and intensity of glaciation. The study area (Fig. 1A, B) covers ~27,500 118 119 km² and is bounded on the north by Lake Geneva, Mont Blanc, and the Val d'Aosta, and on the south 120 by a classic geological limit in Alpine geology (note in Fig. 1A the noticeable change in topographic 121 elevation and relief pattern), south of which extensive outcrops of marl and shale (the 'Vocontian 122 Basin', Fig. 2), all highly susceptible to endemic, and usually relatively shallow, landsliding, slumping, 123 and badlands development, make for an entirely separate physiographic environment. Historically 124 treated as such, the excluded southern region has been intensively mapped already (e.g. Thiery, 125 2007; El Bedoui et al., 2011), and widely monitored for active slope hazards (e.g. Malatrait and 126 Sabatier, 1996; Palis et al., 2017). The range is almost 200 km wide, between abrupt mountain fronts 127 of the Prealpine massifs and above the Po basin. The study area includes parts of the upper Rhône 128 and Aosta valleys, within areas previously inventorised by Pedrazzini et al. (2015) and Martinotti et 129 al. (2011), respectively (Fig. 1A).

131 **2.2.** Alpine landscape attributes of potential relevance to RSF

The aim of this study was to investigate the extant population of RSF in the regional landscape as a legacy and symptom of cumulative rock slope overstressing. Mapping the spatial patterns of slope overstressing could then be used as an exploratory tool for interpreting long-term landscape evolution from a perspective, and on a scale, previously unaddressed in this mountain environment.

136 Characteristic physical features of the study area itemised below have been selected for their 137 potential influence on RSF incidence, geographic distribution, and areal density. Hypothesis testing of 138 these possible causal relationships through spatial analysis will be implemented in the Methods 139 section. Given that information on the age of the RSF population in its majority is currently unobtainable, the obvious null hypothesis would postulate that RSF occurrence is entirely random, 140 141 rendering any spatial associations vulnerable to a scenario of RSF having actually responded to 142 entirely different factors over time. The Results and Discussion will show that meaningful inferences 143 can still be made from spatial patterns without prior knowledge of individual RSF age, not least 144 because the most widespread mode of RSF in the study area, namely slope deformation, is most 145 often a diachronous phenomenon that has no unique 'age' (e.g. Schwartz et al., 2017).

146

147 2.2.1 Conceptual framework for investigating factors of RSF in the Alps context

McColl (2015) has provided a causal framework for analysing landslides in general that distinguishes
'preconditions', 'preparatory factors', and 'triggers'.

150 (i) Preconditions, or predisposing factors, are inherent attributes of the failed or failing slope:
151 chiefly the geological variables (structure, lithology, rock mass strength), and topographic
152 features (elevation, aspect). Brideau and Roberts (2015) and Stead and Wolter (2015)
153 provide overviews.

(ii) Preparatory factors refer to a concatenation of circumstances and processes necessary to
bring a given slope segment—in contrast to other adjacent segments—to the point of failure.
(iii) triggers are the 'on-the-day' events provoking actual failure or reactivation.

157 The main difference between triggers (e.g., the individual rainstorm) and preparatory factors is the 158 time frame of reference: triggers are instantaneous events, and for that reason mostly unknowable 159 in the context of individual RSF (particularly of slope deformation) because, unlike many smaller 160 landslides from report-based catalogues, their age is either undocumented, or documented as 161 incremental (Schwartz et al., 2017), or documented as random (Gallach, 2019) throughout the 162 Holocene period. Triggers, therefore, will not be addressed in this study. Preparatory factors, in 163 contrast, operate over a longer period before the slope failure event, building up to state changes 164 such as an increase in slope height or steepness, stress caused by glacial debuttressing, a reduction in 165 inherent rock strength (e.g., by permafrost thaw), loading of the slope, and all forms of 'slope 166 fatigue'.

167 Here we introduce an additional nuance among preparatory factors based on a distinction between 168 slower and faster variables. We thus term (i) 'stressors' the shorter-cycle and shorter-range 169 geomorphological factors acting on a slope or valleyside (e.g., glacier retreat, aspect-related 170 permafrost thaw, the seismic cycle on an active fault, increments of fluvial incision into weak 171 material, etc.); and (ii) 'drivers' the more powerful underlying changes in environmental context, 172 which have a regional and pervasive rather than local and site-specific impact on the behaviour of 173 crustal masses. Drivers would thus encompass slower processes likely to enhance overall 174 gravitational potential such as regional rock uplift (to which river channel incision into bedrock would 175 be a response), crustal stress vectors such as long-term denudation rates (quantified, e.g., by 176 thermochronology), but also radiation-driven processes such as long-term climate change and its 177 effects (e.g., glacio-isostasy, global-change related permafrost thaw). Drivers thus address long-term 178 forcing factors, and in that sense are more uniquely relevant to RSF (particularly massive rock slope 179 deformation) than to shallow landslides or to the blockfall-rockfall spectrum. In the context of a regional-scale study of RSF incidence, they also broaden the scope of factor analysis because 180 181 variations in the relative intensity of drivers are mappable on length scales that exceed the 182 geographical scale of the RSF site or valley side.

183 Stressors are thus, at least in part, local expressions and shorter-term manifestations of drivers. In a 184 glaciated mountain range, for example, glacier-related stressors over the long term will vary 185 depending on where massifs occur in relation to the regional icefield flowline divide (which in the 186 Western Alps roughly coincides with the modern drainage divide along the Italy-France political 187 border: Fig. 1B). This should cumulatively (e.g. over the entire Quaternary) affect the residence times 188 of certain valleys in the ice accumulation zone compared to other valleys that spend longer in the 189 ablation zone or experience earlier deglaciation and overall longer periods of ice-free conditions. 190 Similarly, earthquakes trigger RSFs; but elevated seismicity over a wider area may be associated with 191 high tectonic strain, which in this instance qualifies as a stressor rather than a trigger. Both classes of process, stressors and drivers, will be addressed in this study. 192

193

194 2.2.2 Lithology and structure

The geological structure and evolution of the Western Alps is well known (e.g., de Graciansky et al., 2011; Pfiffner, 2014) and results from underthrusting of the European Plate beneath the overriding Apulian (African) Plate. Although properties of rock mass fabric and strength relevant to RSF incidence vary considerably within and between mapped lithological units, the region consists of three broad lithological groups (Fig. 2):

(i) crystalline basement, mostly orthogneiss and granite displaying brittle behaviour, in two parallel
 bands: the western, or External Crystalline Massifs of Hercynian heritage; and the eastern, or
 Internal Crystalline Massifs, also Hercynian but with Alpine metamorphic overprints;

203 (ii) metasedimentary rocks, mainly of detrital origin, and displaying outcrop-scale ductile behaviour;

204 (iii) a sedimentary fold-and-thrust belt: Mesozoic cover rocks dominated by alternating limestone

and marl, chiefly confined to the Prealpine massifs and ceasing at the southern boundary of the

study area. Occasional overthrust klippen from the internal zones cap these series (Fig. 2).

The tectonic structure comprises a series of arcuate belts made up of palaeogeographic 'Domains'
subdivided into 'Zones' (Fig. 2). To simplify, an external Helvetic nappe system (Helvetic Domain) is

209 overridden from the east by an internal Penninic nappe system—itself embracing the Valais (Lower 210 Penninic), Briançonnais (Middle Penninic), and Piemontais and Austro-alpine (Upper Penninic) 211 domains. Metamorphic intensity increases from west to east, from the low-grade metamorphism of 212 the Helvetic Domain to the high-pressure greenschist facies of the Briançonnais Zone, onward to the 213 low-temperature facies of the Schistes lustrés, and lastly to the high-temperature facies of the 214 Internal Crystalline Massifs.

The external/internal nappe systems are separated by the west-vergent Penninic Frontal Thrust (PFT). The PFT is a lithospheric-scale discontinuity offsetting the Moho, and thus one of the primary tectonic boundaries of the Alps. To its east, within the Penninic system, the east-vergent Briançonnais Backthrust (BB) separates the detrital continental rocks of the Briançonnais Domain from the overridden Piemontais and Austro-Alpine Domains, notably the oceanic flysch and ophiolites of Queyras and Mt. Viso.

Wherever the stratigraphy consists of a sedimentary sequence in which resistant caprock overlies fallible strata, typically carbonate rocks over marl or clay (a prominent situation in the Prealpine massifs), slope undermining in the weaker rocks explains a number of historic RSFs, including the Mt. Granier rock avalanche and the Moucherotte DSGSD (Vercors massif, near Grenoble; Debelmas, 1979).

226

227 2.2.3 Neotectonics

Present tectonic activity in the study area is relatively subdued because crustal convergence between
Europe and Africa has substantially slackened (e.g., Calais et al., 2000; Sue et al., 2007; Nocquet et
al., 2016). A tripartite stress regime has evolved since the late Neogene (Fig. 2 inset):

(i) extensional deformation within the internal (Penninic) domains, where normal faulting has been
 reactivating the synorogenic thrusts and backthrusts (Tricart et al., 2006; Sue et al., 2007);

233 (ii) transpressive stresses and strike-slip faults in broad swathes on either side (Dauphinois Zone,

234 External Crystalline Massifs, most of the Prealps; Thouvenot et al., 2003).

(iii) compressive stress persisting only locally in the Prealpine massifs and along the Po Basin margin,
as convergence continues to propagate outward along the edges of the pro-wedge, into (for
example) the Jura (e.g. Sue et al., 2007).

The prevailing post-orogenic extension along the alpine spine could be attributed to gravitational spreading of the most thickened part of the crust beneath the Middle Penninic Domain (Delacou et al., 2004), and perhaps to displacement or tear of a deeper lithospheric root (Kästle et al., 2019).

241 Current tectonic displacement rates in all these strain modes are low (2-5 mm/yr; Nocquet et al., 242 2016). Rock uplift in the Alps is overall potentially attributable to tectonic shortening, lithospheric 243 delamination, and crustal unloading caused by deglaciation and erosion (Sternai et al., 2019). 244 Although the component of viscoelastic response to deglaciation is strongly model-dependent, 245 reasonable estimates of contributions to total uplift rates by postglacial rebound in the Western Alps 246 are 30–50% (Sternai et al., 2019). Overall, however, maximum surface uplift rates detected during 247 the last 30 years do not exceed a modest 2 mm/yr, peaking along the range axis in the Beaufortain 248 and Vanoise areas (Nocquet et al., 2016; Sternai et al., 2019) and reducing almost to zero in the 249 southern half of the study area.

Long-term Neogene crustal uplift and erosion are quantifiable by thermochronology. Recent data
 compilations suggest two clear tectonic realms with contrasting exhumation histories in the Western
 Alps (Schildgen et al., 2018):

(i) external (Helvetic Domain), with exhumation peaking after 7 Ma for (U–Th)/He, after 10–15 Ma
for apatite fission-track (AFT), and after 20 Ma for zircon fission-track (ZFT) data;

(ii) internal (Penninic Domain), with a pre-20 Ma exhumation peak for both AFT and ZFT ages.

Across the Penninic Frontal Thrust separating these two realms, a steep gradient in thermochronological ages is evident (Agliardi et al., 2013, Fig. 4 therein; Schildgen et al., 2018). In the Penninic Domain, intermediate ages imply slow, steady denudation since early Miocene time after a paroxysm during the Oligocene. In the Helvetic Domain, the younger ages imply rapid unroofing in late Miocene–early Pliocene times followed by slower denudation rates, yielding today's highest

summits. Localised younger exhumation of valley floors is attributed to Pleistocene glacial incision
(Valla et al., 2012).

263

264 2.2.4 Modern seismicity

265 Seismicity is known to generate RSF (Jibson, 2009, 2013), particularly rock avalanches and rockfalls 266 (Gosar, 2017; Roback et al., 2018). Modern seismicity in the Western Alps, however, is low, with no 267 events since 1900 exceeding the M_{L} 6.0 threshold considered necessary to provoke substantial RSF 268 (Tiwari and Ajmera, 2017). Only three earthquakes > M_L 5 occurred in the French sector, the largest 269 (Vercors, 1962) registering M_{L} 5.3 (data from sismalp.osug.fr). Five M_{s} 4.5–5.5 events are reported in 270 the Cottian Alps of Italy (Perrone et al., 2010). The importance of seismicity should nonetheless not 271 be discounted outright because slopes at the threshold of failure could be triggered by small 272 earthquakes.

273

274 2.2.5 Topography and glaciation

275 In glaciated mountains, the principal palaeoclimatic stimulant is cyclical glacial erosion, with valley 276 deepening and hillslope steepening together compromising rock mass stability. In the Western Alps, 277 RSF is thus expected to be predominantly paraglacial (Ballantyne, 2002), although RSF can develop 278 widely in unglaciated mountain areas (Pánek et al., 2015). The study area is dominated by massifs of 279 classic alpine character, with arêtes, cirques, and deep steep-sided troughs in resistant rocks, but 280 areas of more subdued intermediate relief, with broad interfluves persisting above more open 281 valleys, also occur. The study area also embraces the Prealps massifs and Po basin foothills, 282 characterised by fluvially-incised plateaux or dissected terrain and where glacial impact has been 283 more limited. Many of the highest summits lie along the main Rhône-Po drainage divide, where 284 maximum altitudes typically exceed 3000 m. They attain >4000 m in the Mont Blanc, Ecrins, and Gran 285 Paradiso massifs. At over 4800 m, Mont Blanc is a unique pop-up of granite and rhyolite squeezed 286 between reverse faults (Boutoux et al., 2016; Bergemann et al., 2019).

The extent to which these valleys have been excavated fluvially or glacially over the Pleistocene is important for slope stress history. The extant RSF response to the evolution of the alpine relief may thus bear the influence of three timescales: (i) long-term decay from some original glacial resetting of slopes; (ii) propagation or perpetuation of failure at locations where previous RSF has left unstable slopes; or (iii) a fresh response to new increments of incision provoked by some change in ice dispersal or fluvial adjustment.

293 During the Pleistocene maxima, ice cover was predominantly constrained by the topography, only 294 locally overtopping secondary divides to form ice domes and with the pre-existing valley system 295 efficiently conducting ice flow away from the main watershed towards the Rhône and Po piedmonts. 296 Thus, at the time of the Late Pleistocene most extensive glaciation (MEG), independent domes only 297 formed in the Vanoise, Mont Blanc, and Ecrins massifs (Coutterand 2010, 2017). Consequently, local 298 ice diffluences and transfluences shaped only a few, mid-level glaciated cols across the Alpine icefield 299 flowline divide such as Mont Cenis and Petit Saint-Bernard. This contrasts with mountain ranges with extensive glacial breaching (e.g., Patagonian Andes; Norway; Scottish Highlands). 300

The thickest iceways were the Isère and Arc glaciers (Coutterand, 2010). The least glaciated massifs, skirted by the large ice streams, were the Chartreuse and Vercors, which internally possessed mainly short, east-facing cirque glaciers. Today, less than 1% of the study area is glaciated (Gardent et al., 2014).

305

306 2.2.6. Modern precipitation and permafrost

Precipitation patterns in the study area are strongly influenced by the Westerlies and conform to a gradient of decreasing rainfall from the Prealps in the west to the internal massifs in the east. This pattern, which divides the area into two climatic halves roughly coincidental with the trace of the Penninic Frontal Thrust (see Suppl. Fig. 1A), defines a wetter Helvetic Domain and a drier Penninic Domain. This conformation likely prevailed during much of the Holocene, and the inventory aims to

test whether mean annual precipitation has any control over the areal density, diversity or size ofRSF.

Mountain permafrost in the Western Alps today persists at elevations above ~2700 m a.s.l. (Bodin et al., 2015; Marcer et al., 2017). In the Mont Blanc massif, for example, at 10 m depth present-day measured rock temperatures are -4.5 and -1.5 °C on north- and south-facing slopes, respectively (Bodin et al., 2015). The RSF inventory will be examined in relation to records of permafrost occurrence and behaviour, under the intuitive assumption that permafrost countervails or delays RSF.

320 3 Methods

321 **3.1 Google Earth Pro and other RSF identification tools**

The RSF inventory was constructed entirely from satellite imagery provided by the Earth navigation tool Google Earth Pro[™]. Only sites detectable on that imagery were included, with no unsystematic augmentation from field visits, geological maps, or the literature. This ensures consistency for analysis rather than selectively optimising completeness, although any inventory is likely to evolve as new sites are discovered. About 12% of the inventory has been ground-truthed, providing familiarity with RSF forms and contexts, and confirming its general validity without adjusting it for individual cases.

329 Satellite imagery is increasingly favoured over aerial photography and DEM imagery for large-scale 330 RSF reconnaissance (e.g., Crosta et al., 2013; Jarman et al., 2014; Tsou et al., 2015; Pánek et al., 2016, 331 2018). Among its advantages, the imagery provided by Google Earth is open-access and simple to 332 navigate, with full flexibility for angle of view and magnification down to resolutions (in the study 333 area) of roughly 1:2000. Relief-enhancement and sharpening tools can assist in confirming RSF 334 indicators. True-colour representation of vegetation and drainage assists detection of anomalous landforms, not available on DEM imagery. Google Earth also valuably provides a library of 'historical 335 336 imagery' from earlier satellite passes, which can offer alternative sun or snow highlights, or avoid 337 swaths affected by cloud cover or dullness. Aerial imagery resources provided by the Institut

Géographique National (IGN) data portal and the Bing Maps website were also inspected as backup,
but their static nature (no navigation tools) limits their value.

340 Two chief difficulties have been encountered in identifying RSF from Google Earth. Firstly, where 341 they occur or extend beneath tree cover, some under-reporting or uncertainty as to extent is likely, 342 notably with slope deformation features. LiDAR would address this problem (Ortuño et al., 2017). 343 Secondly, there is potential risk of mimicry between RSFs and other landforms, sometimes only 344 resoluble by field inspection. Thus a debris cone may resemble a single-event rockslide lobe; an 345 apparent antiscarp may be a selectively eroded bedrock rib; and rock glaciers may mimic—or grow 346 out of-rock-avalanche deposits. Delicate deformation features may be utilised or obscured by 347 access tracks and ski domain-moulding.

348 For the concept of rock slope failure to have geomorphological utility, a lower RSF threshold size has 349 to be adopted to distinguish RSFs as discrete, infrequent, organised events from abundant minor 350 slips and rockfalls (limiting case: the single fallen block). Most RSF studies and inventories have such 351 a necessarily arbitrary threshold, explicit or implicit. The threshold size necessary to distinguish RSFs 352 on that basis (typically 0.01–0.03 km² in other studies) was found for this study area to be ~0.02 km². 353 Below this it became increasingly difficult to consistently discriminate smaller features. The 354 impracticality of managing a dataset at lower threshold levels is evident from the high frequency of 355 mostly small rockfalls on Mont Blanc alone, for example, with 640 events recorded in just 10 years 356 (Ravanel et al., 2017). Although time-consuming, the procedure followed utilising Google Earth on 357 that basis is considered to yield a comprehensive and consistent coverage of the entire study area.

General topographic variables were extracted from the 1 arcsecond (~30 m ground resolution) ALOS World 3D elevation model (DEM), with a relative vertical accuracy given as \leq 5 m. The ALOS DEM was tested by zooming in on known RSF features: some more obvious ones, such as split ridges, could be recognised, but not in a systematically reliable way. The IGN DEM (25 m ground resolution) was also tested because of recent LiDAR-derived upgrades to 5 m resolution coverage, but only of certain valley floors and their adjacent valley footslopes. This unsystematic 5 m coverage provided an

opportunity to test for RSF indices at elevations coinciding with forest cover. A dozen features suggesting slope antiscarps were observed in total but, for consistency reasons, not included in the inventory. Their small number would indicate that RSF, in its vast majority, departs from areas situated above the modern treeline. Inspection of French geological maps, which provide standard symbols for landslides (all types, undifferentiated), came in further support of this appraisal. This preliminary observation would require to be updated in the future as 5 m DEM coverage becomes more widely available.

371

372 **3.2 RSF typology adopted for this study**

373 RSF is adopted here as an umbrella term spanning the full range of failure modes, from long-travel 374 rockfall to in situ deformation. Classifications devised for engineering geology purposes (notably 375 Varnes, 1978; Hungr et al., 2011) are too complex for large regional inventories. Experience shows 376 that only a few main categories can be usefully discriminated (e.g. Jarman, 2006; McColl, 2012; 377 Pedrazzini et al., 2015; Ortuño et al., 2017). Unfortunately, standardisation of terms and definitions is 378 elusive. A major difficulty is whether the term RSF includes rock slope deformation (RSD), more 379 commonly termed DSGSD. In practice, many sites display elements of both deformation and sliding, 380 which are merely different manifestations of slope response to overstressing. The view adopted here 381 is that failure occurs when the rock mass loses cohesion, regardless of its state or stage along a 382 continuum towards ultimate disintegration or collapse, whether rapid or slow.

383 For the Alps context, this inventory adopts a four-category typology (Fig. 3), retaining rock 384 avalanches in view of their historical prominence in the Alps despite their relative scarcity:

(i) Rock avalanche (RA): this involves the rapid displacement of large rock masses (Evans et al.,
2006), with long runouts and complete fragmentation. Striking historic examples in the study
area are Mt. Granier (Pachoud, 1991; Fig. 3: RA1), Madeleine (Pollet, 2004), Lauvitel (Delunel et
al., 2010; Fig. 3: RA2), and Ciantiplagna (Fioraso and Baggio, 2013). RAs are recognisable from the

imagery as bold cavities with large masses of unsorted debris reaching the slopefoot or filling thevalley floor, sometimes forming landslide dams.

(ii) Rockfall (RF): this is on a continuum with rock avalanches but involves smaller volumes and
shorter travel distance (Ravanel and Deline, 2011), often because rockfall lacks the excess
mobility, and therefore the long runout, of RAs. RF shows up on the imagery as a discrete deposit
of coarse debris (Fig. 3: RF1, RF2). Difficulties may arise when distinguishing (a) single-event
landforms from incremental accumulations; (b) source scars, if planar shears have left no clear
cavities; and (c) older rockfalls blending into rugged terrain, or evolved into rock glaciers (Jarman
et al., 2013).

(iii) Rockslide (RS): this mode is characterised by a clearly defined headscarp (or rupture scars) and
slipped mass (Fig. 3: RS1, RS2), which commonly projects out from adjacent slope profiles. Travel
distance is often short, preserving rock mass coherence with only limited disintegration,
sometimes evolving uphill-facing scarplets during arrested descent, with a bold toe rampart and
bulges. A basal sliding surface is conventionally inferred, which is typically planar (translational
sliding) but may be curved (roto-translational) in less competent rocks (Malatrait, 1975; Hibert et
al., 2012).

405 (iv) Rock slope deformation (RSD). The acronym RSD is adopted here as a neutral, non-genetic (i.e., 406 purely descriptive), and concise term of general application that befits the limitations of imagery-407 based reconnaissance compared to the context of field identification, which would allow to more 408 fully ascertain the deep-seated and gravitational (rather than tectonic) nature of the 409 deformation. RSD entails sagging or creeping of the valleyside, without developing a clearly 410 defined and displaced failed mass. RSDs may display substantial headscarps, with open fissuring, 411 and antiscarps shaping wide trenches (extensional variant); or may have only closed fractures, step-scarps and saw-toothed antiscarps (compressional variant); these variants (Jarman, 2006) 412 413 are not applied here as they require field discrimination. RSDs can be very large, extending for 414 tens of kilometres along valleysides. They can generate spectacular landform assemblages with

415 ridge rents, double or even triple crests where the source fracture daylights behind (creating a 416 false antiscarp), and concavo-convex profiles with bulging mid- to toe-slopes (Fig. 3: RSD1, RSD2; 417 e.g. Martinotti et al., 2011; Agliardi et al., 2012). Other key indicators include lineament swarms (Ustaszewski et al., 2008) and basal springlines (Fig. 3: RSD3, RSD4). RSDs may extend to 418 419 considerable depths (~300 m: Dramis and Sorriso-Valvo, 1994; Crosta et al., 2013), but their deep 420 structure usually lacks documentation. Although discrete basal sliding surfaces are often 421 depicted, rock slopes more plausibly deform over a sequence of deformable layers, a mesh of 422 joints (Jarman and Ballantyne, 2002), or zones of crush (as proven in Jettan boreholes, N. 423 Norway; see Vick et al., 2020, and Fig. 23 therein). The lateral margins of RSD are often diffuse, but accurate boundaries can be delineated with confidence when the detection criteria listed 424 425 above interrupt or fade within the search area. The imagery does not always allow high 426 precision, but the very large size of each individual RSD unit nonetheless compensates overall for 427 any loss of precision that might arise, and has no strong incidence on the aims and scope of this 428 study (seeking precision greater than two decimal places for RSD surface area, in km², is 429 purposeless).

430 The terminology around RSD is not fully settled. Although DSGSD (and its many variants) has gained 431 currency (Crosta and Zanchi, 2000; Pànek and Klimeš, 2015) and is arguably more suitable than 432 'sackungen' (Němčok, 1972), 'slope tectonics', or 'rock mass creep', the binding concept of depth is commonly undefined. Terms such as 'rock instabilities' (Böhme et al., 2011) and 'unstable rock 433 434 slopes', which attempt to identify sites that are 'pre-failure' in the narrow definition of Hungr et al. 435 (2011), are unhelpful, with their implied corollary that 'failed' rockslides and rock avalanches are 436 somehow not unstable or at least metastable. Conversely, Vick et al. (2020) adopt the term 'RSD', but 437 then extend it to include rockslide masses translated on clear foliation surfaces, with all modes of RSF under an umbrella of 'progressive failure'. 438

Readers are thus invited to hold RSD in this study as synonymous with DSGSD, but only provisionallyand tentatively given that the methodology relies on imagery-based reconnaissance criteria. The

detection of RSD really requires additional fieldwork in order to establish whether the landform truly
is a DSGSD or, instead, results from tectonic deformation. As documented above (Sections 2.2.3,
2.2.4), the chances of encountering coseismic scarplets in the Western Alps are low, but choosing to
label as RSD a population of DSGSD-like slope deformations on remote imagery was deemed suitably
neutral, objective, and prudent.

446 The simple four-category scheme employed here has the overall advantage of being on a diminishing 447 scale of (i) travel distance and (ii) degree of mass disintegration. Many compound sites display such 448 transitions (e.g. RS2' in Figure 3), progressing from incipience, via movement to partial collapse, and 449 are here categorised by their primary mode (usually RSD). As uncertainty in RSF detection remains 450 inevitable, including in the field, each site was also probability-rated. Detection uncertainty arises 451 with degradation over time and in weaker rocks, with forest concealment and anthropogenic 452 disturbance, with mimicry and equifinality in landforms of other origins, with diffuse margins, and 453 with the difficulty of distinguishing incipient RS and RSD source scarps and fractures from selectively 454 eroded lineaments. RSF probability rating was based on a relative scale from 1 to 3 (1: highly 455 probable; 2: probable; 3: less probable), and the site catalogue was independently critiqued on that 456 basis by the three co-authors.

457

458 **3.3 GIS database production and spatial analysis methods**

The ALOS DEM was used for computing hillslope gradients and local relief across the raster grid. Altitude, slope angle and local relief values were then binned into statistical classes and plotted against the topographic attributes of the RSF polygons (Pánek et al., 2018). This provides a relative density distribution of RSF within a given class of elevation, slope angle or local relief values on a valley side.

464 The RSFs identified in Google Earth were manually outlined as polygons in the geographical 465 information system ArcGIS[™]. The geographic coordinates of each RSF centroid were obtained 466 directly from the DEM layer in the GIS and checked for consistency in Google Earth. Distribution

maps were produced for each RSF category. RSF location was analysed with respect to topographic,
geological, and palaeoenvironmental variables retrieved from a variety of data sources, enabling
spatial patterns to be identified and possible causes inferred. Attribute tables generated in the GIS
and variables obtained directly from other information sources produced the following primary data:
(i) surface area: calculated from the ALOS DEM using the WGS 1984 / UTM32N spatial reference
frame. Massif areas were calculated from their boundaries depicted in Fig. 1B.

(ii) RSF aspect: obtained directly from Google Earth, thus allowing plots of RSF surface area as a
function of aspect to be generated. Slope aspect maps were also produced from the DEM for the
entire study area, allowing RSF aspect to additionally be compared with the total aspect
distribution of hillslopes.

(iii) RSF headscarp altitudes, and centroid altitudes in the specific case of RSD. The relevance of
distinguishing between the two—essentially in the case of the larger RSD—is that a number of
RSF headscarps and rupture scars occur in the formerly supraglacial zone, whereas their
centroids lie below valley-glacier trimlines.

481 (iv) Lithology: this was recorded for each RSF by headscarp or scar location, except by polygon 482 centroid for RSDs, from BRGM 1:50,000 scale digital maps (Bureau des Recherches Géologiques et 483 Minières), and for the Italian sector from the map by Piana et al. (2017). Consistent regional (and 484 cross-border) overviews are provided by non-digital 1:250,000 BRGM maps that cover the entire 485 study area, and by a simplified 1:250,000 lithological map by Gidon (1977) used as a control. The 486 detailed BRGM maps discriminate 178 bedrock types (Suppl. Fig. 2A), some very minor. For the purpose of this study, these pre-ordained rock types were at first aggregated into 55 classes in 487 488 order to approach the more tractable number of categories shown on the 1:250,000 scale maps 489 (Suppl. Table 1). This shortlist was in turn used for further simplification measures, either into very broad types based on mass mechanical properties (such as brittle vs. ductile) or 490 491 conventional rock type (4 categories: igneous, metamorphic, sedimentary/carbonate, and 492 sedimentary/detrital) for a regional overview; or simplified to a lesser degree into 15 finer

taxonomic classes (Suppl. Table 1; Suppl. Fig. 2B) for closer analysis and trying to capture in
greater detail the influence of RSF 'preconditions' as defined in Section 2.2.1. Note that the
geological map taxonomies in the case of metamorphic rocks tend to emphasise protolith rather
than metamorphic texture, thus a mapped greywacke unit may in fact be weakly metamorphic
semischist.

(v) Structure: major tectonic lineaments were taken from the mid-range 1:250,000 scale BRGM
maps. This reference frame was chosen because it provides the only scale at which mapped
lineaments cover the entire study area in a consistent way. Given the regional scope of this
inventory of RSF, local alpine faults or fractures depicted in 1:50,000 scale maps were only
retained if also present on the 1:250,000 scale maps.

(vi) Variations in regional tectonic stress field: mapped after Delacou et al. (2004) and Sue et al.(2007).

505 (vii) Variations in magnitudes of instrumentally measured surface uplift: after Nocquet et al. (2016).

506 (viii) Seismic activity: Thouvenot and Fréchet (2006) provides epicentre location, local magnitude,

focal depth and date of every earthquake exceeding $M_L 2$ since 1989 from the SISMALP database.

508 This very short time span is not statistically valid for higher-magnitude events with much longer

return periods, and can of course only be treated as a guide to variations in 'background' levels.

(ix) Ice thickness: this was calculated by netting the present DEM topography from the contoured
map of the Late Pleistocene MEG by Coutterand (2010), where ice thickness was reconstructed
from trimlines, moraines and nunataks. Ice thickness and iceway width provide a notional
understanding of relative valley-side stresses and thus the potential intensity of rock slope
damage revealed after deglaciation.

515 (x) Modern rainfall pattern: mapped after Gottardi (2009).

These various thematic layers were entered into the GIS and co-registered and georeferenced, with the RSF data points likewise georeferenced and used as an overlay. RSF spatial incidence was examined in relation to each thematic layer as a means of testing the explanatory power of each

variable listed above. In doing so, the RSFs were analysed from two perspectives: as point distributions, and based on RSF polygon areas, in each case using the kernel density function advocated by Silverman (1998) and readily available in ArcGIS 10.7.1. This spatial clustering metric is expressed in km⁻² and produces smoothed density gradient maps where clumpiness depends on the imposed search radius. Through trial and error, the optimal search radius for this study area and dataset was 10 km.

525

526 4. Results

527 4.1 Spatial incidence of RSF

528 4.1.1 RSF type, size, area affected, and spatial density

The inventory documented by remote imagery comprises 1416 RSFs (Fig. 4; Table 1). Of these, 1306 are rated highly probable, 88 probable, and only 22 less probable. Rockslides (RS) and rock slope deformations (RSD) predominate, with 596 and 558 cases respectively (42% and 39%). Disintegrated failures, i.e., rockfalls (RF) and rock avalanches (RA), together comprise 262 cases (18%), but with only 15 ascertained rock avalanches among them. This three-way split in main types is almost identical to that in the only other comparable study of this size, for the Scottish Highlands (Jarman and Harrison, 2019).

536 Individual RSF sizes range from the 0.02 km² threshold to 43 km². Fig. 5A also indicates 53 RSDs larger than 8 km², and 47 RS larger than 2.5 km². A typical lognormal size–frequency distribution is obtained 537 538 (Fig. 5B; cf. Wood et al., 2020). Sharp fall-offs in the various categories below 0.05 km² (Fig. 5C) 539 indicate sizes below which detecting them on the imagery becomes more difficult. Rockfalls are 540 naturally smallest, with an average extent of 0.22 km². Rockslides and rock avalanches attain 541 averages of 0.99 km² and 2.42 km², respectively. RSDs are typically very much larger, with an average 542 area of 3.15 km². The largest RSD (43 km²) is the Vallée des Belleville (Vanoise), extending over the 543 entire eastern valley side and progressing from in situ fissuring to slumping.

RSF from this inventory impacts 8.8% of the total mountain area (Table 1; but see Section 5.1). Densities within massifs vary widely from <3% in most of the Prealps to a remarkable 21–23% in the Parpaillon, Beaufortain and Escreins massifs. Even higher densities have been mapped at catchment scale from fieldwork and other types of imagery, e.g., 38% in the Susa/Chisone valleys (Fioraso, 2017), but the present regional study does not drill down to that level of resolution. These dramatic variations in spatial incidence are discussed in Section 4.4.

550

551 *4.1.2 RSF in relation to morphometry and topography*

The peak frequency of RSF (by headscarp altitude) lies in the 1500–2300 m a.s.l. belt (Fig. 5D). Rockslides tend to be lower, with a peak at 1700 m, and RSD ~1900 m, both with Gaussian distributions. Rockfall scars are widely distributed but generally higher, with a slight peak at 2700 m (Fig. 5E).

The link between RSF occurrence and valleyside slope is less clear-cut, perhaps partly because prefailure slopes have been relaxed by the actual RSF. The larger types (RS/RSD) range widely between 10 and 40° (Fig. 5F). A secondary peak of 65–80° for RSD can be discounted as an artefact of the DEM mapping routine, where headscarps are extensive enough to register as prevailing 'source area' slopes in their own right—as for example at the Dérochoir (Haut-Giffre massif; Goguel and Pachoud, 1981).

Local available relief (a proxy for magnitude of valley incision) does not appear to be a strong control, with RSF being broadly distributed across intermediate rather than extreme local relief (Fig. 5G). Local relief in the Western Alps can exceed 3000 m, yet there are no RSFs where local relief exceeds 2500 m, probably because the more extreme relief associates with high-strength rock types less vulnerable to RSF (e.g. granite in the still-glaciated Mont Blanc and Ecrins massifs). Differences between RSF modes can, however, be distinguished, with RSD generally falling in the 900–1400 m local relief band, RS slightly higher at 800–1700 m, while RA and RF occur randomly.

Slope aspect is a stronger control, with RSF occurring preferentially (by area) on NW–W–SW exposures (Fig. 5H) and south aspects favouring rockslides (RS). The more northerly aspects support the smaller, disintegrated types (RA, RF; Fig. 5I), partly because the prevalence of more intricate cirque terrain is unconducive to large-scale RSF, and partly because more intense periglaciation (frost-shattering) favours these modes.

574

575 4.2 RSF incidence and geological factors

576 *4.2.1 Lithology*

577 The results here (Figs. 4, 6) confirm that outcrop geology is a strong influence but not a controlling 578 one, as range-wide inventories commonly find (e.g. Jarman and Harrison, 2019): lithology 579 predisposes but does not predetermine. At a secondary level, rock type significantly influences RSF 580 mode and its agency in landscape shaping.

Assigning RSFs regardless of size to the four aggregated lithological classes (Fig. 4) confirms the affinity of RSF with metamorphic rocks (e.g., Ballantyne, 2002; Hewitt, 2009), which may be greater still if some detrital rocks display semischist fabrics as a result of weak metamorphism: metamorphic rocks (n = 809), detrital rocks (n = 353), carbonate rocks (n = 216), plutonic rocks (n = 38).

Figure 6 provides a finer rock classification based on 15 classes aggregated out of the BRGM's 1:50,000 scale digital maps (see also Suppl. Table 1, Suppl. Fig. 2B). The map shows that schist, flysch, and weakly metamorphosed rocks derived from detrital sedimentary protolith (i.e., sandstone, greywacke) are among the most susceptible, whether in the Helvetic or Penninic domains shown in Figure 2. Granite, gneiss, migmatite and limestone are among the least affected by RSF, in conformity with known tendencies reported elsewhere.

In Figure 7, the role of lithology is quantified by relating the percentage of each rock type affected by RSF to the percentage of that rock-type in the region, by area (rock categories are identical to those of Figure 6). RSF lithology ratios show the strength of associations by descending order of the red bars (presented separately for the French and Italian sectors since the lithology databases use different classifications; Fig. 7A, B). For example (in France): 39% of all RSF by area is in schist (green
bar), schist only occupies 16.5% of the region (blue bar), 24% of schist outcrop area is impacted by
RSF (red bar).

The strongest associations by area, in both the Italian and French sectors, are clearly with the various detrital rocks, both sedimentary and metamorphic (once gabbro and basalt are excluded as having tiny outcrops). Conversely (again leaving aside lithologies of limited extent) RSF is substantially underrepresented on limestone and marl, which account for 34% and 13.5% of the French sector respectively: RSF impacts only 2.1% of these lithologies.

The Italian sector (Fig. 7B), displays a broadly similar sequence of relative impacts, with one interesting statistical difference: schist is even more predominant both as a rock-type and as a host for RSF, yet the relative impact (14% affected: red bar in Fig. 7B) is slightly less than west of the drainage divide. This may reflect the fact that schist outcrops in Italy extend to lower altitudes, in perhaps more fluvially-dissected relief where potential for RSF, and especially large-scale RSD, is thus more limited than in the more elevated and glacially dissected terrain of the inner Alps.

609 At massif scale, marked differences in susceptibility emerge within lithological classes. Thus in 610 carbonate rocks, it is unsurprising that RSF densities are low in the Prealpine massifs, with massive 611 reef limestone plateaus (Urgonian facies) mainly exposing perimeter escarpments to RSF. Conversely, 612 other limestone facies, including calcarenite, display moderately higher RSF densities in more 613 dissected massifs such as Cerces, and notably in the valley of Chamonix (Chablais-Haut-Giffre), 614 deeply entrenched amid some of the highest relief of the study area. Meanwhile, granite massifs 615 such as Belledonne display only scattered handfuls of RSFs. RSDs are particularly rare in these 616 crystalline outcrops. Where present, their main distinction compared to many other RSD occurrences 617 is the conspicuousness of slope antiscarps.

The broad-scale correlations presented above should, of course, not understate the importance of the lithological fine grain, which is often critical and can be areally extensive but evades the scale of analysis chosen for this study. For example, massive sandstones usually resist RSF but may be

621 mobilised on intercalations of siltstone or clay (or their metamorphic equivalents). Likewise, flysch is 622 a fine-layered alternation of sandstone and shale, and thus effectively a dual lithology, enhancing 623 propensity to failure at favourable angles of dip. Thus in the extensive 'Zone houillère' of the 624 Western Alps (Fig. 2), with its heterolithic successions of Mesozoic detrital rocks sometimes 625 displaying semischist texture (Gidon, 1977), such internal contrasts will be more relevant to RSF than 626 geological map units suggest at face value. At still finer spatial scales, the internal fabric of bedding 627 planes, cleavage partings, and joint sets of metamorphic rocks are critical in reducing rock mass 628 strength and shaping failure envelopes with sliding and release surfaces. Different modes of RSF will 629 be promoted depending on the angle at which they intersect the topography.

In summary, assigning RSFs to mapped lithologies is only a first-order sorting procedure, with geology becoming more influential at finer scales in controlling mode of failure, local preferential orientations, and degree of disintegration. The full significance of lithology can only be determined at field survey level.

634

635 4.2.2 Geological structure, including faults and thrusts

RSF incidence is routinely assigned to mappable surface lithology, yet the real locus of failure may lie deeper in the stratigraphy or structure, and goes unrecorded in map view. This three-dimensional mapping problem is evident in the Alps. The influential lithology—weaker, deformable, or impervious—often underlies the surface rock type. This also occurs where soluble substrates engender karstic processes promoting subsidence and failure, as with thick evaporites beneath magmatic rocks at Friolin, in Savoie (Rovera, 1993).

The three-dimensional conformations summarised above are exacerbated where folding, faulting and thrusting juxtapose contrasting lithologies, but no useful conclusions could be drawn from the pattern of RSFs in relation to mapped faults, with only 10% of the RSF population (17% by surface area) contacting them (Fig. 8). The intensely faulted Prealps, for example, almost entirely lack RSF. In contrast to faults, however, the major alpine thrust fronts have 17% of the RSF population (36% by

area) touching or intersecting them (Fig. 8). Since thrusts are not noted seismic loci, but are exploited
by valleys, higher RSF incidence responds to thrusts bringing susceptible lithologies into gravitational
juxtaposition. This occurs in two modes:

650 (i) direct structural superimposition: for example, around the Annes klippe (capping the Bornes–
651 Aravis massif; see Figs. 1, 2, 8); or at Valloire, where the PFT brings overriding detrital sediments
652 onto weaker flysch.

(ii) karstic processes: averred subsurface rock solution in thick evaporite layers contributes to
chronic instability in Beaufortain (Rovera, 1993), Aosta (Martinotti et al., 2011), and probably
other areas requiring field-based documentation.

On a regional structural scale, RSF is markedly more abundant in the internal Penninic Domain, east of the PFT, than in the external Helvetic Domain (Table 2). Thus RSF is three times as widespread east of the PFT, with RSD over four times more widespread. However, the study area west of the PFT includes extensive tracts of lower elevation, plateaus, broad troughs, and unconducive lithology, notably in the Prealps.

661

662 4.2.3 Seismicity

663 'Postglacial rebound scarps' at Grands Moulins (Belledonne) and Peisey-Nancroix (Vanoise) 664 (Hippolyte et al., 2006, 2009, 2012), and lineament swarms in the Swiss Alps, have been previously 665 interpreted as seismic features (Sébrier et al., 1997; Persaud and Pfiffner 2004). Ustaszewski et al. 666 (2008), however, preferred to view such 'composite faults' as partly gravitational rebound breakout 667 fractures, thus caused by an RSF-related process not requiring seismicity. Debate about the local 668 influence of seismicity on slope instability in the Western Alps is thus unsettled, but the inventory 669 here, presented in Figure 9 as earthquake magnitude to focal-depth ratio rather than simple 670 earthquake intensity (M_L), shows an almost total lack of correlation between the spatial incidences of 671 RSF and current seismicity on a regional scale. Thus the 'seismic arcs' defined by Thouvenot et al. 672 (2003) and Sue et al. (2007) bear no clear relation to the high-density RSF areas. In the Piemontais

673 arc, the Sampeyre earthquake swarm area (Godano et al., 2013) displays very few RSF occurrences. 674 Associations with seismicity in the Brianconnais arc and along the strike-slip Belledonne boundary 675 fault are barely more compelling, although creep acceleration in the well-monitored Séchilienne 676 active RSF, close to the Belledonne Fault, was reported during the 1999 M_L 3.5 Laffrey earthquake 677 (Pothérat and Effendiantz, 2009). RSF incidence also appears to associate with some earthquake 678 swarms, as in Belleville valley (Vanoise), the Aiguilles Rouges and Haut-Giffre massifs, and upper 679 Ubaye valley (Guyoton et al., 1990; Jenatton et al., 2007), but this could be a coincidence with fault-680 exploiting topography. Likewise, the 2005 and 2017 low-magnitude tremors at Vallorcine and La 681 Chapelle recorded shallow hypocentres and occurred within an area of current landslide activity; but 682 this could also be coincidence rather than cause. Given, finally, that most historic and contemporary 683 RSFs in the Western Alps, whether large or small, have no evident seismic trigger (Eisbacher and 684 Clague, 1984; Frayssines and Hantz, 2006; Tatard et al., 2010), it seems overall that modern 685 seismicity plays a minor role, if any, in the distribution of RSF in the Western Alps.

686

687 4.2.4 Regional tectonics and uplift

RSF distribution bears some spatial association with the three main modes of tectonic strain prevalent since the late Neogene and documented in Section 2.2.3 (Fig. 10): (i) extensional stress occurs across 36% of the study area and hosts 69% of RSF by surface area, whereas (ii) strike-slip to transtensional fault motion affects 56% of the study area but hosts only 30% of the RSF population. The former operates within a broad core astride the main drainage divide, whereas the latter applies to the Prealps and other peripheral massifs. Lastly, (iii) compressive stress only affects 8% of the study area, again in lower-relief peripheral massifs, and a mere 1.2% of the RSF population.

The dome of instrumentally-measured current surface uplift rates (Fig. 11) centres on Beaufortain– Vanoise, which is undoubtedly an RSF concentration (on susceptible schists), but since similar concentrations occur outside the dome, where uplift rates dwindle to negligible values, there is no exclusive or unique causal connection between modern uplift differentials and RSF density.

699

700 4.3 Climatic controls on RSF incidence

701

702 Assigning palaeoclimatic causes is inherently difficult when most RSFs are undated, and because 703 many sites fail progressively over thousands of years. They can be continuing, slow deformations; 704 dormant (metastable) masses; or displacements accelerating to final collapse. Undated extant sites 705 may thus be circumstantially assigned as Lateglacial (Ballantyne, 2013), post-Last Glacial Maximum 706 (LGM) (Augustinus, 1995), post-Little Ice Age (Holm et al., 2004; Oppikofer et al., 2008), or 707 contemporary (Blikra et al., 2006). Yet even where dates are resolved, causation may remain blurred. 708 In the Mont Blanc massif, ~50 supraglacial rockfall scars have ¹⁰Be exposure ages ranging from 7 ka to 709 the LIA (Gallach, 2019), suggesting so far little more than a random succession of events.

Given the almost total absence of correlation found between modern rainfall patterns and RSF distribution in the Western Alps (Suppl. Figure 1A even suggests an inverse relationship: drier massifs host the greatest areal extent of RSF), we dwell here instead on the incidence of other climatic variables: past glaciation, and permafrost.

714

715 4.3.1 Glaciation and deglaciation

716 In this heavily glaciated study area, 77% of RSF-related landforms are located within the boundaries 717 of the most extensive glaciation (MEG) mapped by Coutterand (2010), thus primarily in or on the 718 rims of glaciated valleys (Fig. 12). The largest RSDs are in valleys where ice thicknesses exceeded 1 719 km, notably the Maurienne, Tarentaise, Susa, and Aosta valleys. Physical debuttressing (removal of 720 ice support) undoubtedly plays its part in the timing of RSF, but is probably too simplistic to be 721 defined as a unique cause (McColl, 2015; Grämiger et al., 2017). At Séchilienne, for example, 722 debuttressing by Romanche glacier downwasting was not an immediate cause given the ~6 ka 723 recorded onset of slope deformation, long after valley deglaciation ca. 13 ka (Schwartz et al., 2017). 724 The paraglacial connection with RSF occurrence is thus nominally very widespread, but chronological 725 work on the inventory would be required to take matters further.

726 A more unusual feature of the regional data, however, is the substantial minority of RSFs (23%, n = 727 321) that occur in differing modes either above (supraglacial: n = 270) or beyond (extraglacial: n = 51) 728 the boundaries of the Late Pleistocene MEG (Fig. 12). The majority of supraglacial RSFs (59%, n = 188) 729 are of the RF/RA variety on possible nunatak peaks and crests above the mapped ice limits (Fig. 12B), 730 and are thus responding to periglacial stresses. More unexpectedly, 68 supraglacial RSDs occur as 731 split ridges, ridge rents, and ridgetop grabens. Although these RSDs only have visible expression 732 above the MEG trimlines, some may have propagated upwards from destabilised lower slopes, with 733 inferrable basal failure zones extending down into them (Fig. 13; see also El Bedoui et al., 2011). Such 734 cases are thus still paraglacial. Where, however, RSDs are confined to higher levels, they can 735 associate with broader ridges where slope stress disequilibria are more pronounced (Gerber and 736 Scheidegger, 1973). RSD typically occurs on one flank, but occasionally both as at Mont Rognier 737 (Belledonne massif; Hippolyte et al., 2012) and in the Aiguilles Rouges massif. The widely illustrated 738 'pagoda-shaped ridges' of Beck (1968) can arise thus, with true antiscarp outbreaks on both rims, but 739 are more often false antiscarps where the headscarp daylights behind the crest, as at Croix du 740 Berger, Beaufortain (Fig. 14). Ridge spreading is probably as much a response to long-term uplift and 741 valley incision as to cumulative glacial overstressing, and it remains to be seen whether RSF dating 742 could truly bring clarity to the issue of distinguishing these two causes.

743 The 3.6% of extraglacial RSFs occur in two contrasting contexts. In the unglaciated valleys and 744 foothills of Italy (Chambeyron, Cottian Alps, Mt. Viso; Fig. 1B for location), RS and RSD occur in 745 susceptible detrital and metamorphic rocks, notably the Schistes lustrés (Figs. 2, 6, 7B; Piana et al., 746 2017) and other schists and metavolcanic rocks (e.g., Mt. Viso). This is often in, or above, V-shaped 747 valleys or ravines. Where removed from direct fluvial undercutting, these may be considered a 748 'parafluvial' (rather than 'paraglacial') mode of RSF, as identified in the eastern Pyrenees (Jarman et 749 al., 2014). In the southwestern Prealpine massifs (Vercors, Dévoluy; Fig. 1B for location), RSF occurs 750 primarily on escarpments owing little to glacial or fluvial steepening, and is simply contributing to the 751 longer-term slope recession process endemic to most mountain rockfaces.

752

753 4.3.2 Permafrost thaw

As suggested by Figures 5H and 5I, the greatest influence of periglacial activity may relate to slope 754 755 aspect. Southerly aspects broadly favour RSF (see also Pedrazzini et al., 2015; Jarman and Harrison, 756 2019) perhaps because of greater diurnal and seasonal thermal stressing (heat and frost jacking). The 757 19% of RSFs which are supraglacial clearly fall within the periglacial zone (above at least 2000 m 758 a.s.l.), where freeze-thaw processes have been most intense, but this does not, however, necessarily mean they can be attributed specifically to permafrost degradation (see Fig. 13, for a counter-759 760 example concerning a large RSD). Although this inventory demonstrates a preponderance of 761 relatively smaller RSFs in this zone-59% are rockfalls, and most of the 15 rock avalanches occur in 762 that elevation band-regional mapping of undated events cannot expect to discriminate the 763 influence of permafrost thaw-related stressors. Permafrost thaw is commonly an important cause of 764 minor slope instability (Harris et al., 2009; Matthews et al., 2018; Wood et al., 2020); i.e., it mainly 765 releases abundant minor landslides and rockfalls, usually below the RSF threshold size relevant to 766 this study (Ravanel and Deline, 2011; Ravanel et al., 2017). This is possibly confirmed by the slight 767 frequency peak of RF occurrence ca. 2700 m (Fig. 5E), which is the current lower limit of permafrost 768 in the Western Alps. Its role in mobilising deeper deformations, however, is more speculative.

769

770 4.4 Mapping overstressed slope areas in the Western Alps

Here we take a spatial density approach to explore why RSF should occur intensely in some parts of the Western Alps and sparsely in others, after allowing for certain lithologies being more resistant to failure. Identifying such variations in spatial incidence may illuminate the significance of RSF as an indicator of unusually active landscape evolution processes. For example, Jarman and Harrison (2019) identified eight pronounced clusters of RSF in the Scottish Highlands. They were associated with loci where concentrated erosion of bedrock (CEB) could be inferred to have destabilised adjacent slopes by more than routine debuttressing-related or glacio-isostatic stress release. In that regional study, CEB chiefly impacted glacial breaches of main icesheet flowline divides. Glacial
breaching being rare in the Western Alps, the search here is for comparable drivers and stressors.

780 An immediate problem in defining such clusters is that mapping numbers of sites per unit area will play down the contribution of areally-extensive rockslides and rock slope deformation zones, while 781 782 mapping the area affected by RSF per square kilometre unduly favours them. Cumulatively, 783 numerous small but frequent RSFs may contribute more failed material to the slope and fluvial 784 systems for export, and a larger number of local vulnerabilities for exploitation, thus greatly 785 enhancing future erosion. In tectonically inactive mountains, however, where RF- or RA-triggering by 786 earthquakes is infrequent, this may not be a core consideration. Conversely, large slope 787 deformations may have very long residence times. In terms of delivery to the sediment cascade, or of 788 pinpointing more immediate hazards to human activity, either of these approaches has its relative 789 merits. Both bases were therefore analysed for comparison, and are presented here.

790

791 4.4.1 RSF point-array clusters

792 Treating RSF incidence first as a point array, Fig. 15A clearly demonstrates pronounced density-793 sparsity contrasts across the study area. The top band of point-density (or kernel density) contour 794 values identifies seven clusters (dark blue) encompassing 33.5% of the RSF population and covering 795 9.5% of the study area. When all RSF modes are considered together (Fig. 15A), clusters of highest 796 RSF diversity (i.e., co-occurrence of RA, RF, RS and/or RSD within a small area) occur as two 797 elongated bands along the strike of the Penninic Frontal Thrust in the Beaufortain and Cerces 798 massifs, with likewise high-density polygons in the Grandes Rousses massif, the Parpaillon, and close to the Alpine drainage divide in the eastern Escreins massif and the southwestern Cottian Alps / 799 800 eastern Queyras (Fig. 1B for location).

Some marked differences between RSF types become apparent in Figures 15C–E: the very small rock avalanche (RA) population is rather randomly scattered, whereas the rockfall (RF) population shows a few local concentrations (Fig. 15C). These trends overall suggest no strong predisposition among rock

types to RF and RA, both modes appearing to occur relatively evenly in all of the tectonic zones defined by Fig. 2. Rockslide densities (RS) show strong peaks in most clusters, are fairly evenly distributed between the Helvetic and Penninic domains, but are notably rare in the Prealps and more generally in massifs dominated by brittle lithologies (e.g., Mont Blanc, Grand Paradis, Ecrins, Devoluy). RSDs are prominent in all the clusters, if (naturally, given their size) less intensely. They are overwhelmingly more abundant in the Penninic Domain.

810

811 4.4.2 Area-based RSF hotspots

812 Turning to area impacted by RSF rather than point density, the top band valuably focuses the clusters 813 into six 'RSF hotspots' (Fig. 15B, and numbered 1 to 6 in red in Figure 15F). The term hotspot is 814 borrowed here from ecology to characterise areas of high species richness (as with the clusters 815 defined in Section 4.4.1), but here also emphasising abundance in terms of quantity of rock mass 816 displacement (a substitute for biomass in the hotspot analogy). These hotspots cover 2080 km², i.e., 817 7.5% of the study area and 16% of the overthrust Penninic Domain. RSF by area covers on average half (48%) of the hotspot territory (i.e., in any given hotspot area, only half the rock masses are not 818 819 displaced), and collectively the six hotspots embrace 41% of the study area's RSF inventory by 820 surface area. Almost half the impact is thus concentrated within only 7.5% of the total study area. It 821 can be asserted on that basis that 7.5% of the study area is highly disproportionately overstressed by 822 gravitational rock mass displacement, for which predisposing factors, drivers and stressors may be 823 explored.

While at first glance the clusters (Fig. 15A) and hotspots (Fig. 15B) may appear to be two ways of mapping the same reality, there are in fact significant differences between their patterns, as shown when they are displayed together as in Figures 15F and 15G: whether first- or second-band, the clusters of the Helvetic Domain, for example, fade completely, as do collections of other smaller sites. Because of the weighting of RSF areally in favour of RSD, the strong Beaufortain 'Cluster 7' recentres over Tarentaise (i.e. middle Isère River valley) and becomes 'Hotspot 1', while 'Cluster 9'

(Vanoise) is pulled southward to straddle the Maurienne (i.e. middle to lower Arc River valley). The
extensive eastern 'Cluster 11' splits into 'Hotspot 5' (eastern Escreins and eastern Queyras massifs)
and 'Hotspot 3' (which, like 'Cluster 7', is pulled northward and straddles the valley of the eastflowing Dora Riparia between Mont Cenis and the Cottian Alps).

834 Overall, the most striking features of RSF hotspot distribution in Figures 15B, G, and F are as follows:

(i) they all occur east of the Penninic Frontal Thrust, i.e., within the Penninic Domain (Table 2);

(ii) they are mostly aligned on, or close to the leading edge of, the PFT; or, in the case of 'Hotspot 3',

837 of the Briançonnais Backthrust;

838 (iii) they cluster in the region corresponding to the core of the Pleistocene ice accumulation zone, 839 i.e., to areas within the Penninic Domain which resided longer above the ELA than at many places 840 in the Helvetic Domain, and thus where glacier erosive power exerted the largest stresses on 841 preglacial slopes. The regional ELA during the Late Pleistocene most extensive glaciation (MEG) 842 was located by Coutterand (2010) around 1300±50 m (Fig. 12A)—as opposed to 3000–3100 m at 843 present in the Grandes Rousses and Vanoise massifs (slightly lower in the Mont Blanc: 2900 m; 844 Six and Vincent, 2014). ELAs between MEG periods would rise rapidly, migrating east and most 845 often remaining confined to the Penninic Domain (Séguinot et al., 2018); accordingly, large areas 846 of the icefield ablation zone coincided mostly with the Helvetic Domain.

847 The two most striking differences between clusters and hotspots in Fig. 15F are as follows:

(i) clusters are mostly massif-centered, whereas hotspots straddle some major glacial valleys, where
 typically RSD is prevalent.

(ii) while clusters tend to straddle the PFT and, in the Chambeyron massif, the Briançonnais
Backthrust (Fig. 15A), hotspots are displaced away from these major thrust fronts and,
conspicuously, away from the Helvetic Domain—which, as a result, entirely lacks RSF hotspots.

853 The significance of these various associations is further developed in the Discussion in light of Figures

15F and 15G and other results presented in this Section 4.

855

856 **5 Discussion**

857 **5.1 Comparisons between this RSF inventory and previous datasets**

The inventory presented here can be compared with five existing published datasets covering all or part of the study area, while recognising that their goals and methods were different, and that data sources have improved considerably.

861 The 1:50,000 scale geological maps of France, for example, comprehensively map 'landslides', but 862 unfortunately only as a standard symbol, with no indication of landslide type. About 40% of landslides on maps are not bedrock RSFs; they are often small and seated in regolith. Conversely, this 863 864 study has identified ~250 previously unmapped RSFs in the French sector, some of them very large. 865 This may partly reflect a convention that RSDs are generally not mapped as 'landslides' unless there 866 is tangible displacement of a failed rock mass. For example, a major RSF complex identified in this 867 study is on the SW face of the Grand Coin (Maurienne valley, Vanoise massif; Fig. 4 for location), with 868 impressive deformation progressing to rockslide and rockfall. Within the area covered by that 869 1:50,000 geological sheet (Saint-Jean-de-Maurienne, see Fig. 4), the inventory identifies 55 RSFs, of 870 which 17 cannot be correlated with BRGM landslide symbols (thus a 30% detection increase is gained 871 for that area).

872 Turning to imagery-based studies, the range-wide RSD inventory of Crosta et al. (2013) utilised early 873 versions of Google Earth, sometimes of poorer quality and before historical imagery provided 874 multiple passes. In the French sector of the Western Alps, Crosta et al. (2013) recorded 73 DSGSDs, 875 now augmented by 247 further RSDs from this study (current inventory total: n = 306). These 876 additions include well-documented active sites such as Séchilienne, and other conspicuous examples 877 shown in Fig. 3 (RSD1-RSD4). However, in the Italian sector Crosta et al. (2013) recorded 88 sites, 878 albeit supplemented by sources additional to Google Earth, of which 15 could not be included in the 879 present inventory as they were undetectable from the imagery, even with prior knowledge of their 880 existence. Since the density of RSD in the present inventory (6.3%) is only slightly greater than their 881 Alps-wide figure (5.6%), it suggests Crosta et al. underreported the French side of the study area.

882 The studies by Tonini et al. (2014) and Pedrazzini et al. (2015) of the Upper Rhône valley cover all RSF 883 modes and display limited overlap with the present study area (Fig. 1A), thereby providing narrow 884 scope for comparison. Discrepancies in the overlapping area (south of the Rhône River) are 885 negligible. The present inventory identifies at most four new RSFs (Fig. 16), evidenced by chaotic 886 debris deposits and vegetation contrasts on the imagery, and by highly irregular contours on 887 1:25,000 scale topographic maps. The newly detected RSD, indicated by ridge-splitting on the 888 imagery, is adjacent to a known rockslide (Plancherel and Broquet, 1998). Conversely, remote 889 (LiDAR) and field mapping of the Aosta valley by Martinotti et al. (2011) captures two large RSDs at 890 Hône and Villeneuve, not detectable on the imagery by the present study because they occur below 891 the treeline.

892 A valuable earlier overview by Eisbacher and Clague (1984) of catastrophic RSF in recorded history 893 identifies 15 locations in the Western Alps of France. Since it focuses on events affecting settlements 894 and infrastructure, four were not detectable on the imagery and thus not included in the inventory: 895 the rock avalanches of Tête Noire, Salvan and Pointe d'Autigny (Haut-Giffre; Chablais), and the Lullin 896 rockslide (Chablais) (Fig. 1C for location). Two other cases were retro-included in the inventory 897 because they have geomorphological impacts still visible on the imagery, with recognition criteria 898 widened by experience. The first is Mt. Granier (1248 CE). Despite being the largest rock avalanche 899 by volume in the region (500 M m³), the fertile limestone debris was soon reclaimed and now 900 supports the famed vineyards of Apremont and Les Abymes, no longer resembling RA runout and 901 only leaving unusually convoluted elevation contours on maps as a potential clue. The second is the 902 Bourg d'Oisans palaeolake outburst of 1219 CE (Bailly-Maître et al., 1997), which inundated Grenoble 903 after destroying a rock avalanche dam impounding the Infernet valley (Taillefer massif). Only traces 904 of this event now remain. Both Granier and Infernet have left prominent scars but no obvious 905 cavities, and are not easily readable as RSFs in the absence of a conspicuously related debris mass. 906 Finally, nine RSFs monitored by CEREMA (Fig. 1C) were originally missed for being too small or within
907 forest, but prove detectable on the imagery by zooming in at sufficient magnification to see the 908 fissure-like lineaments.

In summary, the 30 RSFs added here to the inventory as detectable by refined study criteria (but not included in the maps of this publication) are (i) the 9 CEREMA-monitored sites; (ii) the 4 undetected RSFs described by Eisbacher and Clague (1984); (iii) the Hône and Villeneuve sites in Val d'Aosta; and (iv) the 15 additional RSDs reported by Crosta et al. (2013) in Italy. They raise the total RSF inventory for the Western Alps from 1416 to 1446. They comprise 85 km², increasing the total RSF area from 2441 km² to 2526 km² and the RSF footprint from 8.8% to 9.1% (Table 1).

915

916 **5.2 Ranking controls on rock slope susceptibility to failure**

917 While focusing on a much smaller area, but including (as in this study) all modes of slope instability, 918 Malatrait (1975) recognised the intractable aspects and various pitfalls of multivariate analysis, 919 including the difficulty of defining truly independent variables that would avoid autocorrelation and 920 circular reasoning. He opted instead for a more descriptive approach based on chosen case studies. 921 Crosta et al. (2013) later applied discriminant, principal component, and cluster analysis to define 922 predisposing and controlling factors of DSGSD incidence across the European Alps. They identified 923 foliated metamorphic rocks (especially metapelites), Late Pleistocene ice thickness, local relief, slope 924 size, drainage density, and (estimated) river stream power as the local parameters most positively 925 correlated to DSGSD occurrence. Although such analysis might be appropriate for single RSF modes, 926 the four disparate modes (RF, RA, RS and RSD) and scales of this study cannot safely be integrated to 927 explain the highly skewed spatial distribution of RSF revealed in Figure 15. Even with the single-mode 928 RSF study of Crosta et al. (2013), the authors conceded that the predisposing factor of lithology, 929 chiefly foliated metamorphic rocks, was by far the most dominant (and, therefore, once allowed for, 930 explains little else). Crosta et al. (2013) were also careful to acknowledge that the secondary 931 controlling parameters are strongly interrelated and cannot easily be disentangled. Although 932 commonly used for shallow landslides in small geographical areas where the key concern is to link predisposing factors to triggers rather than to drivers and stressors, transposing the methods of
landslide susceptibility mapping to RSF is neither straightforward nor entirely relevant given that (i)
RSF triggers are most often unknown, and (ii) RSF populations are smaller than in the case of small,
shallow landslides—thus making RSF also less amenable to robust statistical inference.

937 Considering that RSF susceptibility mapping and RSF factor ranking mostly end in stalemate, greater 938 insight can instead be gained from the data by mapping finite areas of slope overstressing (Section 939 4.4), i.e., areas where massive strain relaxation by gravitational processes is interpreted as a 940 symptom of spatially focused bedrock fatigue and failure. Such zones of extreme mass wasting are 941 areas where positive feedback caused by various stressors further weakens the deforming region, in 942 turn perpetuating the deformation and susceptibility to slope failure. Mapping such areas on a 943 regional scale is a step towards explaining why they occur where they do, and to this end we focus 944 on the areas of overlap between the previously presented clusters (Section 4.4.1) and hotspots 945 (Section 4.4.2), naming them in this context super-hotpsots.

946

948

947 5.3 RSF super-hotspots

949 Spatial density characteristics answering simultaneously to the criteria of hotspots (Fig. 15B) and 950 band-1 clusters (Fig. 15A), are named here super-hotspots. They are shown as cross-hatched areas of 951 overlap in Figures 15F and 15G, and labelled I to VII (Roman numerals) in Figure 15F. More than just 952 statistical constructs, the super-hotspots define unique spatial arenas that are sufficiently small, and 953 thus suitably tractable, for attempting to interpret RSF occurrence and capture causal patterns on a 954 level that transcends the single-RSF monograph. Super-hotspots are areas where displaced masses of 955 rock, predominantly in the form of RSDs, are the most pervasive, and where contribution of failed 956 material to the sediment cascade and to local rivers is potentially highest within a region. Based on 957 Figures 15F and 15G, the geographic location and other attributes of the seven super-hotspots of the 958 study area are summarised in Table 3.

959 The locations of these seven, exceedingly overstressed slope areas in the Western Alps can be 960 interpreted from the perspective of first-order geological predisposition as well as drivers and 961 stressors. Thus super-hotspot I, for example, encompasses the closely-spaced Lower Penninic and 962 Middle Penninic thrusts and their thrust fronts (visible in Fig. 8), i.e. the Valais, Sub-Briançonnais, and 963 Brianconnais zones (Figs. 2, 15G), juxtaposing structurally stacked, rapid successions of highly 964 susceptible, ductile schist- or semischist-fabric lithologies derived from sedimentary detrital 965 protolith: typically flysch, greywacke, fine sandstone, bedded conglomerate, marl, claystone, and 966 evaporites (Fig. 6). As shown in Fig. 12A, the middle Isère valley (alias Tarentaise) is also a glacial 967 accumulation zone where LGM valley ice was thickest (up to 2 km). Along with super-hotspot II 968 (middle Arc valley, alias Maurienne; Fig. 15F), the pattern suggests that the most intensely 969 overstressed areas of the Western Alps have occurred at sites where highly dynamic, thick, warm-970 based glaciers above the equilibrium line altitude in E-W transverse valleys, such as the Arc and 971 Isère, either intersected (Maurienne) or followed the strike of (Tarentaise) N–S bands of susceptible 972 lithologies and structures (Figs. 5, 15G). The wide and low-gradient valleys of the Helvetic Domain to 973 the west could accommodate large masses of ice, but these lay for relatively much longer periods 974 below the regional ELA, and west of the susceptible lithologies typical of the Penninic Domain.

975 The remaining six super-hotspots *II* to *VII* can be analysed on a similar basis from an inspection of 976 Table 3. The outstanding features of super-hotspots arising from Table 3 are listed as follows:

977 (i) They all occur in the Penninic Domain or along its tectonic boundary, the PFT, where the crust
978 passed its peak of intense denudation somewhat earlier than the Helvetic Domain (Schildgen et
979 al., 2018), and thus finds itself in a state of relative post-orogenic relaxation dominated by an
980 extensional stress regime (Fig. 10). These regional, long-term state changes qualify here as
981 drivers of RSF promotion.

982 (ii) They mostly occur between the Penninic Frontal Thrust and the Briançonnais Backthrust, i.e.,983 where the pre-Alpine continental margins were most intensely squeezed by syntectonic

984 convergence and where the crust is thickest; super-hotspots *V* and *VI*, which lie east of the 985 Briançonnais Backthrust, are relative exceptions.

(iii) Lithology is a constant denominator of RSF susceptibility, from two perspectives: rock type, with
flysch and Schistes lustrés as the two main players by areal extent; and fine-scale outcrop
heterogeneity, where three-dimensional outcrop geometry and juxtaposition of susceptible
lithologies multiply opportunities for bedrock decohesion, slope undercutting, and mass failure
(type areas: Beaufortain, parts of the Vanoise, Arves, and Cerces; Fig. 1B for location). The Lower
and Middle Penninic zones and Ultra-Dauphinois Zone (Fig. 2) focus these characteristics.

(iv) Recent seismicity plays no palpable part in RSF super-hotspot location. The Parpaillon massif, for
 example, is situated outside the adjacent Briançonnais seismic arc as well as the Ubaye seismic
 swarm. Finer analysis of the structural aspects would require different tools and scales.

(v) Massif altitude criteria highlight preferential RSF occurrence at intermediate rather than at
higher or lower elevations. This 'goldilocks' feature is perhaps characteristic of the unit rock-mass
strength intrinsic to the ductile rocks in which the RSFs are most abundant, widespread, and
diverse. This critical attribute is evident, for example, in the Guisane River valley (super-hotspot *V*), where crystalline rocks such as granite are situated outside polygon *V* (i.e., a little further
west in the Ecrins Massif) and uphold summits and ridges that are easily 1000 m higher than
within polygon *V*.

1002 (vi) Relative relief within the super-hotspot area of interest augments gravitational potential for RSD. 1003 It also generates overall longer slopes, thus with greater opportunities for rock failure following 1004 other RSF modes. Large magnitudes of relative relief are clearly not a control on RSF, with thus 1005 another 'goldilocks' effect in the internal massifs of the Upper Penninic Domain (super-hotspots 1006 *IV* to *VII*). RSF, therefore, thrives in areas of intermediate relief. This probably highlights an upper 1007 limit to the capacity for relatively uniform masses of ductile rock to sustain deep valleys 1008 alongside elevated summits without succumbing to relief reduction by mass wasting-a 'rock-1009 mass-strength buzz-saw' effect acting as a critical limit on relief (where changes in rock uplift rate

1010 are expected to influence erosion rates through adjustments in the frequency and magnitude of 1011 slope failure). Areas of maximum local relief in the study area (up to 2500 m in the presence of 1012 RSF, see Fig. 5G), attain 3060 m in the crystalline massifs (Mont Blanc, Ecrins, southern 1013 Belledonne). These External Crystalline Massifs are areas of RSF scarcity, although rockfall below 1014 the detection threshold of this study may be active in such areas. The exceptions in Table 3 are 1015 the middle Maurienne ('twin' super-hotspots // and ///) and middle Tarentaise (super-hotspot /), 1016 where overdeepening of these transverse valleys by stream power and Quaternary glaciers was 1017 clearly substantial.

1018 (vii) Causes of slope overstressing appear unsystematic from one area to the next. Paraglacial 1019 processes would appear most plausible in the middle Tarentaise (I), where ice was thickest; and 1020 RSF prevalence has perhaps been exacerbated by more active surface uplift in the Beaufortain 1021 and Vanoise massifs (in Figure 11, maximum rates of surface uplift encompass hotspots 1 and 2, 1022 and thus also super-hotspots I and II). This spatial coincidence is notionally consistent with more 1023 intense glacial erosion and greater isostatic rebound in these massifs, even though it does not 1024 readily explain other hotspots and clusters. Overstressing by thick and dynamic ice in association 1025 with high relief sets the middle Tarentaise super-hotspot in a similar league to the upper Rhône 1026 (Pedrazzini et al., 2015) and Val d'Aosta (Martinotti et al., 2011), neither fully included in the 1027 present study but equally good candidates to super-hotspot status.

Two smaller, secondary super-hotspots, which coincide with the intersection between a hotspot and a second-band cluster, can also be defined: such is the case for 'Hotspot 1' and 'Cluster 17', and 'Hotspot 3' and 'Cluster 19', respectively (Figs. 15F, G). These small areas are not listed in Table 3 but correspond to: a portion of the north Vanoise, and to the boundary between NE Cerces and western Mont Cenis (Plaistocene icefield flowline divide), respectively.

1033 Detailed analysis of second-band clusters (Figs. 15A, F, G) and first-band clusters that do not intersect 1034 hotspots (e.g. Cluster 8, and parts of Cluster 10 and Cluster 11) would yield meaningful 1035 interpretations only from field studies and inclusion of considerations such as three-dimensional

1036 geology and local structure. Drilling down to such levels of detail is beyond the scope of this 1037 macroscopic regional study, but Figure 12A shows that both these areas share the characteristic of 1038 having hosted the thickest Late Pleistocene ice. Given also their positions close to the drainage divide 1039 and to internal to massifs still hosting glaciers, they resided for long periods above the ELA. 1040 Accordingly, further research into the two small super-hotspots situated on the Alpine drainage 1041 divide could also provide insights into a possible contribution to overstressing by glacial breaching of 1042 the icefield divide, such as reported in the case of the Scottish icesheet by Jarman and Harrison 1043 (2019).

1044

1045 **5.4 The top 100 largest rockslides and slope deformation areas**

The RSF inventory displays a conventional lognormal size distribution (Fig. 5B), with the usual tail-off at threshold sizes for each type. While the RSDs can exceed 40 km², they are not exceptionally large by global standards, or even extreme within the Alps. The largest RSD and rockslide units populate the upper whiskers of the box plots in Fig. 5A (by coincidence: n = 100). They are geologically and geographically located in Figures 6 and 15F, respectively. Their outstanding features are as follows:

- 1051 (i) they are not confined to the Penninic Domain, as about 30% of them occur in the Helvetic1052 Domain;
- 1053 (ii) they only partly concentrate in hotspots (65% of RSDs occur in hotspots) and, in that context
 1054 or otherwise, often associate with large glacial troughs formed by transverse valleys (middle
 1055 Tarentaise, Val d'Aosta, upper Dora Riparia);
- 1056 (iii) they are otherwise mostly quite scattered and, as such, invite a case by case investigation of
 1057 three-dimensional geological constraints and structure best appreciated in the field.
- 1058 (iv) The Helvetic Domain is largely devoid of large RSDs but hosts almost half of the top 100 1059 rockslides. The low RSD numbers are notionally consistent with the fact that the larger 1060 valleys of the Helvetic Domain during the Quaternary most often extended below the 1061 regional ELA (External Crystalline Massifs excepted), thereby restricting their exposure to

intense glacial erosion. Control factors for the large rockslides are difficult to generalise and
 would call for case by case investigation of local predisposing and preparatory factors. The
 much higher annual precipitation in the Helvetic Domain compared to the Penninic Domain
 (see Suppl. Fig. 1B) could be a contributing cause, but the connection seems tenuous.

1066 (v) out of the 47 largest rockslides, 13 are previously unreported, and only four out of the 53
 1067 RSDs are likewise new to this inventory. The 81 remaining biggest sites were thus mostly
 1068 already known, but chiefly in the sense of having been marked by a generic landslide symbol
 1069 on geological maps rather than of having been classified, studied, dated, and monitored.

1070 The size threshold adopted here is clearly an arbitrary statistical derivation of Fig. 5A. Séchilienne, for 1071 example, lies just below the threshold (although field studies have shown that Séchilienne is actually 1072 larger than the conservative figure provided by this study using Google Earth), and is one of the most 1073 threatening RSDs of the Western Alps. The inventory results nonetheless show that RSF size and 1074 recognised RSF hazard intensity are almost entirely uncorrelated: this is apparent in the fact that 1075 none of the 38 dated and/or monitored sites listed in Fig. 1C—apart from Aiguilles de Grives—belong 1076 to the top size category defined here. CEREMA, for example, is monitoring smaller hazardous 1077 occurrences, some of them (see Section 5.1) not detectable by the remote methods used in this 1078 study. Such a result also suggests that a proportion of the hitherto unreported RSFs revealed by this 1079 study may be hazardous regardless of their size—a topic requiring to be investigated in finer detail 1080 but beyond the scope of this work.

1081

1082 5.5 The Western Alps in global context

The overall spatial incidence of RSF in the study area appears to be considerably greater than in the few other mountain ranges for which densities are available, in all cases derived from systematic RSF mapping, and summarised in Table 4. The already high density of 5.6% obtained for DSGSD alone in the European Alps by Crosta et al. (2013) is now further increased to 6.3% in the Western Alps (Table 1), aided by closer scrutiny with better imagery. These contrasts, however, must be viewed with 1088 caution, since the study methods and criteria vary enormously. Thus the result for RSF in the 1089 Southern Alps of New Zealand derives predominantly from traditional field mapping, and almost half 1090 the surveyed area is till-covered or alluvial plains. The glaciation-landsliding cycle has nonetheless 1091 been well studied in New Zealand, where coseismic landsliding is endemic and large (but shallow) 1092 landslides dominate sediment production. The predominant lithologies are susceptible greywackes 1093 and schists, and the mountain range is noted for its intense seismic activity and tectonic 1094 deformation. The slope evolution cycle characteristic of New Zealand has been summarised by 1095 Davies (2017) as follows, and provides a meaningful counterpoint to the features and conditions 1096 highlighted in this study:

1097 (i) seismic shaking is amplified on mountain ridges and peaks (Büch et al., 2010), potentially
1098 initiating large landslides and implying that ridge elevations might be higher if seismicity
1099 were absent. In Europe's Western Alps, such a kind of 'seismic buzz-saw' is inexistent, and
1100 limitation to relief appears instead to be set by the widespread occurrence of susceptible
1101 ductile bedrock being subjected to extensional stresses, of which RSF (particularly RSD) is a
1102 widespread symptom.

(ii) In New Zealand, the generation of deep-seated failure surfaces, probably by resonant response of the upper mountain ridges to the 1–10 Hz frequencies that contain most seismic energy, is believed to result in characteristically more bowl-shaped and deeper landslide source areas than in the case of aseismic landslides. Within the western European Alps, and without detailed field work, this distinction is difficult to appreciate from the remote data acquired for the present study, but could be a topic for further investigation.

1109 (iii) As in any glaciated mountain range, during major glaciations the presence of ice caps and 1110 thick valley glaciers will tend to buttress the higher slopes because, at the high strain rates 1111 associated with seismic shaking, ice behaves as a strong rigid solid. The net effect is the 1112 reduction of coseismic landsliding during glacial periods, which in the New Zealand Alps

allows mean elevations to increase by uplift while valleys are being deepened by glacialerosion, thereby increasing total relief substantially (e.g., the fjords).

1115 Deglaciation is associated with increased coseismic landsliding, perhaps generating a (iv) 1116 prevalence of large Holocene landslide 'events', all occurring within a narrow time band in 1117 the case of New Zealand. Such a pattern seems less likely in the Western Alps, with evidence 1118 of continuous, self-perpetuating displacement on large RSDs such as Séchilienne (Schwartz et 1119 al., 2017), and rockfall occurring on Mont Blanc throughout the Holocene to the Present 1120 (Gallach, 2019). Thus in spite of the fact that New Zealand's Southern Alps were extensively 1121 glaciated during the LGM, limited geomorphological evidence of this remains in the form of characteristic glacial landforms. The reason is that 20,000 years of uplift and landslide-driven 1122 1123 denudation since the LGM (average rate of several mm/yr) have eroded at least 100 m of 1124 land surface and generated a modern landscape dominated by mass-movement 1125 morphologies. The legacy in the Western Alps is clearly more nuanced, hybrid and, as a 1126 result, difficult to interpret in categorical ways.

1127 Until comparable data become available for more ranges spanning orogenic ages and uplift rates, it 1128 will remain difficult to advocate more clear-cut or systematic reasons for the prevalence of RSF in the 1129 Western Alps. Existing candidates for comparison would include the Carpathians, which are 1130 comparably 'alpine' in origin and possess extensive susceptible lithologies. They are only half the 1131 height of the Alps, and often display circue rather than full-scale valley glaciation. The eastern Pyrenees also have less relief and glacial imprint (dry, sunny climate), and additionally rather lack 1132 1133 conducive lithologies (Jarman et al., 2014). The Scottish Highlands are an ancient orogen reduced to 1134 moderate relief, yet with significant glaciation and abundant susceptible geology can attain high local 1135 densities of RSF (Jarman and Harrison, 2019).

1136 In summary, RSD and RS appear to be more widespread in portions of mountain belts (i) that have 1137 attained a post-orogenic stage in which crustal extension has taken over as the dominant regime; (ii) 1138 where seismicity is limited; (iii) where intensities of crustal denudation slackened sooner (Penninic

1139 Domain) rather than later (Helvetic Domain) during the Neogene and Quaternary (Schildgen et al., 1140 2018); and (iv) where current surface uplift magnitudes are low to moderate (Fig. 11). This profile fits 1141 the extensive Penninic Domain of the Western Alps, which hosts a small proportion (<7.5% of the 1142 total study area) of exceptionally overstressed areas controlled by factors summarised in Table 3. In 1143 mountain ranges that are still undergoing high-frequency and high-magnitude seismicity with rapid 1144 increases in relative relief, such as the Fiordland of New Zealand (Schildgen et al., 2018), valley-1145 shaping processes are granted insufficient time to widen out (fluvially and glacially) and thus to 1146 generate slope stresses capable of provoking, for example, RSD. Catastrophic rock avalanches, which 1147 are rare in the Western Alps (n = 15, perhaps slightly more: see Section 5.1), would thus be 1148 signatures of tectonically more active glaciated orogens such as the Himalaya (Hewitt et al., 2008) 1149 and New Zealand's Southern Alps (Allen et al., 2011; Davies, 2017)-where coseismic rockfalls and 1150 shallow landsliding are likewise intense.

1151 In the more outstanding cases of hotspots 1, 2, and 3 (Figs. 15F, G), there may be scope for testing 1152 the hypothesis associating slope failure in these intensely overstressed areas with concentrated 1153 erosion of bedrock (CEB) as propounded by Jarman and Harrison (2019) in the Scottish context. The 1154 concept is based on antecedents addressed from the perspective of rock mechanics (Matheson and 1155 Thomson, 1973) and goes beyond glacio-isostatic recovery (which, in any case, in the Western Alps is pervasive and endemic: Sternai et al., 2019) to geographically-focused bedrock rebound of valley 1156 1157 floors, which can be locally intense and has repercussions on the mechanical response of valley 1158 slopes and rims (Matheson and Thomson, 1973). To achieve differential rebound stresses large 1159 enough to provoke and perpetuate RSF super-hotspots, CEB would have to be intense in both space 1160 and time, and preferably within the last glacial cycle(s). This would require fast rates of Quaternary 1161 valley incision, such as appears to have been the case, for example, in the upper Rhône valley (Valla et al., 2012). If so, this implies that glacial incision can occasionally or locally operate at a 1162 1163 'catastrophic' rate, with the most conspicuous concentrated erosion of bedrock of the study area 1164 occurring along the transverse Alpine valleys of the middle Maurienne and middle Tarentaise, i.e., at

the intersection between areas of peak E–W glacial erosion and N–S bands of the weakest rocks of
the Alpine fabric: typically the 'Zone Houillère' and the tight succession of Middle and Lower Penninic
thrust units.

1168

1169 6 Conclusions

(i) Almost 1450 RSFs were inventoried in the Western Alps study area, impacting about 9% of the
 region's land surface. This ratio is substantially higher than in the few previous comparable
 range-wide studies, and not easy to explain beyond the classic predisposing influence of rock
 susceptibility.

(ii) The remote identification appears comprehensive but would be enlargeable with field mapping,
which would ideally utilise LiDAR imagery in forest areas. The 22 'less probable' RSFs of the
inventory would require ground control, but it can be anticipated that, with improved detection
possibilities in the future, the inventory on average will grow rather than shrink. Overall, the
panoptic approach provided here, i.e., addressing RF, RA, RS and RSD together rather than
separately, forms a comprehensive basis for future local monographs and for undertaking
instrumental monitoring (Blondeau et al., 2019).

(iii) In roughly 40% / 40% / 20% proportions, the RSF population comprises rock slope deformations
(RSD); coherent, shorter-travel rockslides (RS); and disintegrated longer-travel rockfalls (RF, RA).
The surprisingly tiny subset of rock avalanches would suggest a lack of system energy compared
with more active ranges where coseismic landslides are endemic.

(iv) The inventory displays the usual broad association between RSF and the more vulnerable lithologies, which are chiefly metasedimentary phyllites but here equally include all clastic sediments—weakly and un-metamorphosed when present. However, geology really only predisposes and does not account for large spatial variations in overstressing within these rock types. There are significant RSF occurrences on most other lithologies, some of them large, and future studies could focus on conducting field-based analyses within each major lithology.

(v) Three-dimensional geology is influential but hard to assign from two-dimensional geological
 maps. As a result, either the effective underlying strata go unmapped; or intra-rockmass
 lithological and fabric variations driving local RSF incidence occur at scales too small to map other
 than in field reports. Likewise, Quaternary deposits (e.g. talus, moraine) may mask the RSF generating bedrock of interest. Focused field investigations, beyond the scope of this
 reconnaissance study, are required.

(vi) Standard factors including topography, fault and fracture alignments, seismicity, glaciation
extent, and periglaciation have variable influence on RSF spatial distribution, best investigated at
local scale and from the field. Slope aspect has an effect, but also at local, not massif scale.

(vii) Although the RSF population is primarily paraglacial in context, a small minority (n = 51, i.e.
 ~3.6%) occurs beyond the Late Pleistocene maximum ice limits. These occurrences thus belong
 to a fluvial or parafluvial context and, as such, requires further investigation.

(viii) Analysing RSF distribution by density of occurrence yielded twenty-two point-density clusters
containing 0.1 to 0.22 RSF/km² in any of the four RSF modes. These clusters are thus areas of
high landslide geodiversity, of some interest to hazard investigation as well as to geoheritage
concerns (educational value for geotourism when a diversity of landslide types co-occur in a
small area). They are distributed across the Penninic Domain in the east as well as the Helvetic
Domain in the west. Mapping and monitoring each of these in finer detail is work for future local
monographs.

(ix) Analysing RSF distribution by area impacted sharpens RSF clustering into six 'hotspots' (7.5% of
 the study area), all located in the overthrust Penninic Domain and covering 41% by area of the
 total RSF inventory.

(x) The intersection between clusters and hotspots, which overlap in some key areas, defines nine
 RSF super-hotspots (seven major and two subsidiary). Super-hotspots are areas where
 contribution of failed bedrock material to the sediment cascade and to local rivers is potentially
 very high, and where displaced (but temporarily arrested) masses of rock, predominantly in the

form of RSDs, are the most extensive. At the scale of the Western Alps, these overstressed areas are where landscape-scale erosion rates most intensely refresh the gradient of the landslidedominated slopes and release larger-than-average volumes of gravitationally-released debris into the sediment cascade.

1221 (xi) Super-hotspots occur among uniform or highly heterogeneous outcrops of susceptible ductile 1222 lithologies, and exclusively in areas of the Penninic Domain-independently documented as 1223 being in a state of post-orogenic crustal extension. Overstressing by the thickest warm-based 1224 iceways of the region during the LGM (and presumably earlier) is verified for the steeper portions 1225 of the strike-transverse Isère and Arc valleys, which remained longest above the regional equilibrium line altitude (ELA); but less conspicuously elsewhere, and even less so in the Helvetic 1226 1227 Domain where large valley glaciers during the Quaternary were most often in a state of accumulation below the ELA despite being wide and extensive. A finer analysis of these patterns 1228 1229 would gain from being articulated with detailed knowledge about the chronology and spatial 1230 fluctuations of local ELAs on a massif-by-massif basis, thus contributing to test with greater 1231 precision than outlined here the strength of association between ELA position and RSF incidence. (xii) A 'goldilocks' effect applies to super-hotspot areas, whereby the highest area densities of RSF 1232 1233 occur in massifs where elevation and relative relief are intermediate rather than large or small.

(xiii) The contribution from modern seismicity is negligible; but a strong spatial association
between hotspots and the Penninic Frontal Thrust, Briançonnais Backthrust and other subsidiary
Alpine thrust fronts, especially in the case of RSD, is remarkable (~36% of RSF by area intersects a
mapped thrust front), confirming the long-lasting state of stress at these tectonic boundaries also
reported more widely in the rest of the Alps by Crosta et al. (2013).

(xiv) The largest 100 RSFs are scattered across the region according to criteria that only partly
 meet those tentatively relevant to the hotspot approach. Their analysis would require a
 monographic, field-based approach beyond the scope of this study. In terms of natural hazards,
 the link between RSF size and threat intensity is very weak (Fig. 1C): whereas none of the 14

historically catastrophic RSFs inventoried by Eisbacher and Clague (1984) were particularly large,
and while CEREMA currently monitors RSFs of a size falling within the interquartile range of the
inventory, many large RSDs could remain metastable for the next several thousand years.

1246 (xv) Drilling down to massif- or valley-scale monographs on the basis of the broad inventory 1247 presented in this study, it would be fruitful to further evaluate its findings by (1) investigating 1248 tectonic signals and rock mass behaviours within and between all clusters and hotspots; (2) 1249 performing hypsometric and finer-scale morphometric analysis to detect conducive 1250 topographies; and (3) identifying in finer local detail processes and contexts that generate 1251 concentrated erosion of bedrock (CEB), such as: in locally overdeepened troughs; or on the 1252 Alpine drainage divide where glacial breaches at two subsidiary super-hotspots may have 1253 occurred, possibly amplifying rebound stresses sufficiently to promote unusually intense RSF.

1254 (xvi) A comparison between the Western Alps, where rockslides and slope deformation areas are 1255 abundant, and New Zealand's Southern Alps, where instead coseismic rockfalls and rock 1256 avalanches are widespread, highlights the importance of regional tectonic regime in controlling 1257 landscape evolution style and character. It hypothetically suggests two contrasting evolutionary 1258 models of mountain relief under a 'buzz-saw effect' whereby changes in rock uplift rate influence 1259 erosion rates through adjustments in the frequency and magnitude of slope failure: one buzz-1260 saw effect is primarily controlled by limits in rock mass strength, attenuating or cancelling glacio-1261 isostatic or other potential drivers of ongoing uplift (Alps); the other is controlled by active 1262 tectonics and seismicity (Fiordland).

1263

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- 1608

1609 Figure captions

1610

1611 Fig. 1. The Western Alps study area: key characteristics. A: Alps context, with areas covered by 1612 previous work. The whole-range studies by Crosta et al. (2013) and Eisbacher and Clague (1984) were 1613 restricted to DGSGSD and catastrophic historical mass movements, respectively. B: study area with 1614 main river, massif, and other place names mentioned in the text. Elevation data source: ALOS World 1615 3D elevation model. C: additional site identification sources; RSF monitored by CEREMA (Centre 1616 d'Etudes et d'expertise sur les Risques, l'Environnement, la Mobilité et l'Aménagement) for hazard 1617 potential (1–17); recorded by Eisbacher and Clague (1984) (18–31), and documented by radiometric 1618 dating methods (32–38).

1619

Fig. 2. Tectonic zones of the Western Alps, and modern crustal stress regimes (inset). The units correspond to pre-Alpine palaeogeographic subdivisions today crushed into tectonic belts with distinct characteristics. Source: (Gidon, 1977), modified and updated. Note the thin bands of the Ultra-Dauphinois, Sub-Briançonnais and Valais zones forming a closely-spaced, foreland-vergent imbricate system overthrust on the Helvetic domain along the Penninic Frontal Thrust. Dominant 1625 lithologies within each zone are provided in Figure 6. Inset: documented stress field in the Western
1626 Alps; 1: compressive; 2: extensional; 3: strike-slip (after Delacou et al., 2004).

1627

1628 Fig. 3. Illustrations of the RSF classification based on satellite and field images from the study area. 1629 Rock avalanches (RA), rockfalls (RF), rockslides (RS), and rock slope deformation (RSD) are labelled 1630 accordingly in image pairs. RF1 (runout: 650 m) is in highly fractured dolomitic limestone. Note that 1631 RS1 displays hummocky surface topography reminiscent of an earthflow (high plasticity of the 1632 bedrock); RS2 is a compound site involving more displacement than just downhill creep: clear 1633 rupture scars (scarps 3–5 m high) forming a joined-up arc despite limited travel distance, and shallow 1634 secondary landslides. Both RS sites are in highly fissile Jurassic shale and calcareous sand. Satellite 1635 imagery: as provided by Google Earth. Credits: S. Blondeau.

1636

Fig. 4. RSF inventory of the Western Alps in relation to topography. RSF is endemic in the main massifs, but sparser in the highest and Prealpine massifs. Sites are additionally classified by four broad lithological groups. Graphs show relative frequency of RSF types in these groups.

1640

1641 Fig. 5. RSF attributes as a function of spatial and topographic variables. A: box-and-whisker plots of 1642 surface-area distributions by RSF mode. Main boxes define the interquartile range (IQR), showing 1643 median (horizontal line) and mean (cross). Whiskers are positioned at 1.5 IQR, thus leaving 1644 headroom for displaying the distribution of 'outsize' RSF. These are clearly dominated by RS (n = 47) and RSD (n = 53). Note that a single data point on the graph at this resolution may represent several 1645 1646 closely-spaced values. B: RSF size-frequency distribution; long tail of 39 RSDs > 10 km² not shown 1647 (see box plots). Types clearly aggregate to log-normal distribution. Note that numbers fall off rapidly 1648 below 0.4 km² for RS and RSD. C: RSF size detection thresholds. Enlarged first two increments of 1649 graph (B) to 0.2 km². Numbers fall off sharply below 0.04 km² for RF, and RS and RSD numbers 1650 remain 'noisily' low within that size band. D: altitude of RS and RSD headscarps, by percentage of

total area affected. E: RSF headscarp altitude, by numbers of each type. F: slope angle at RS and RSD
headscarps, by percentage of total area affected. G: local relief (computed from the ALOS DEM using
a 3x3 km moving window), by percentage of total area affected (all RSF types). H: RSF aspect (red)
contrasted with overall hillslope aspect for entire study area (blue). I: aspect variation between RSF
types.

1656

1657 Fig. 6. RSF inventory for the Western Alps as a function of lithology. Lithological base map, here 1658 consisting of 15 classes, was elaborated (A) out of the 178 lithological taxa provided by the BRGM 1659 1:50,000-scale digital maps of France, and (B) from the 1:250,000-scale map of Piemonte by Piana et 1660 al. (2017). Given the pre-ordained nomenclature of existing geological maps, lithology polygons 1661 emphasise pre-tectonic protolith rather than metamorphic grade or texture (see text). Massif 1662 outlines as in Fig. 1B. Blank areas are Val d'Aosta and Swiss border area, which lack compatible 1663 geological maps; the far south, where the digital database is corrupted; and Quaternary deposits. 1664 Green and red circles locate the outsize RS and RSD plotted in Figure 5A.

1665

Fig. 7. Lithology as a predisposing factor of RSF incidence in the Western Alps. The association is obtained as a function of the three ratios specified in the keybox. The strength of association is indicated by the red bars, in decreasing order. Blank areas in Fig. 6 are excluded. Sources: A – France, from BRGM 1:50,000 scale geological maps; B – Italy, from 1:250,000 scale geological map by Piana et al. (2017), which also displays RSF locations mapped by Fioraso (2017).

Fig. 8. RSF incidence and tectonic lineaments. Table gives proportions of RSF (by numbers and surface area) that intersect a lineament displayed on the 1:250,000 scale geological BRGM maps of the region.

1674

1675 Fig. 9. RSF and seismic activity. Earthquake magnitude/depth ratio (bigger circles suggest large,
1676 shallow earthquakes). Seismic database after Thouvenot and Fréchet (2006).

1677

1678 Fig. 10. Present-day regional tectonic stress field. Source: Delacou et al. (2004), simplified. Table
1679 showing proportions of RSF in each zone is by RSF surface area.

1680

Fig. 11. Present-day uplift rates (source: Nocquet et al., 2016). The elongated dome of highest values centres on the Beaufortain–Vanoise massifs and Tarentaise (middle Isère) valley, but overall RSF distribution is unrelated.

1684

Fig. 12. RSF incidence in relation to maximum ice thickness during the Late Pleistocene most extensive glaciation (MEG). A: spot ice depths derived from Coutterand's (2010) contour maps of the LGM icefield (glaciated piedmont farther west not shown). Dashed red line: equilibrium line altitude (ELA) during the MEG, ca. 1300 ± 50 m. B: box-and-whisker plots of RSF distribution by type as a function of ice thickness based on map A. Ice thickness was measured at headscarp altitudes for RA and RF (hence the small values), and at altitudes of displaced-mass base for RS and RSD because this is where any glacial debuttressing would focus.

1692

Fig. 13. Example of upslope-directed RSD propagation into the periglacial zone. A: RSD near Pic Ségure (Guil River valley, Queyras massif). B: rockslide at the base of Pointe de la Sana (Vanoise massif). Current permafrost distribution after Boeckli et al. (2012).

1696

Fig. 14. Croix du Berger RSF, 2250 m a.s.l., Beaufortain massif. Primarily a strikingly well-developed
RSD, with 'false antiscarps' where multiple-source scarps daylight behind the crest, it also progresses
to RS and RF modes. Credits: S. Blondeau.

1700

Fig. 15. RSF clusters, hotspots, and super-hotspots in the Western Alps. A: RSF clusters (blue and dark
blue combined), here defined from a point-based (or kernel) density map using RSF centroids as data

1703 points and applying a search radius of 10 km. Massif outlines (black lines) as in Fig. 1B, and major 1704 thrust fronts (BB and PFT) as in other figures. B: RSF hotspots (red and orange combined), here 1705 defined from a density map based on RSF surface area (same conditions as in 15A). C, D, E: point 1706 density clusters mapped for rockfalls and rock avalanches (C), rockslides (D), and RSD (E). F: co-1707 occurrence of highest-density clusters (blue polygons in map A) and hotspots (red and orange 1708 polygons in map B). Intersections between the two categories are termed super-hotspots (labelled 1709 with Roman numerals). G: RSF clusters, hotspots and super-hotspots (as in map F) in relation to main 1710 tectonic units.

1711

Fig. 16. Four new RSF units identified by this study in the Upper Rhône valley, augmenting the
inventory of Pedrazzini et al. (2015). In B, the large Tour de Don rockfall has wide open fissures along
the ridge, suggesting incipient further phases. Note that the feature interpreted as a rockslide in Fig.
16B has been reported as moraine on Swiss maps; field control is required to adjudicate.





Aiguilles rouges Aravis Arv Arves Bauges Beaufortain Belledonne Bornes **Cottian Alps** Cerces Chartreuse Cha Chablais Chambeyron Cham Dévoluy **Ecrins** Escreins Grand Paradis Grandes Rousses Haut-Giffre Lauzière Mont Blanc Mont Cenis Parpaillon Queyras Taillefer Vanoise Vercors Viso



- ① Egratz road (RF)
- ② Combelouvière (RS)
- ③ Madeleine SNCF tunnel (RSD)
- ④ Mont Cenis (RSD)
- ⑤ Granges (RS)
- 6 Tête de Sauve (RSD)
- ⑦ Pte de l'Aiguille (RSD)
- ⑧ Collet (RS)

- 1 Oulles (RF)
- 1 Charmonetier (RF)
- 12 Chambon dam (RS)
- (13) Pilatte refuge (RSD)
- (14) Pic Bunard (RF)
- 15 Mont Chauvet (RS)
- 16 Clot de Pertuis (RF)
- 17 Billan (RS)

Other studies

- 32 Mont Rognier (Hippolyte et al., 2012)
- Biguilles de Grives (Hippolyte et al., 2009)
- 34 Séchilienne (Schwartz et al., 2017)
- 35 Lauvitel (Delunel et al., 2010)
- **36** Toura (Vengeon et al., 1999)
- 37 Pas de l'Ours (Truche, 2019)
- 38 La Valette (Hibert et al., 2012)


































■ All types (n = 1416) ■ RA (n = 15) ■ RF (n = 247) ■ RS (n = 596) ■ RSD (n = 558)

A Pic Ségure

B

Alpine Permafrost Index Map



Blue: Permafrost in nearly all conditions

Purple: Permafrost mostly in cold conditions

Yellow: Permafrost only in very favorable conditions

Glacier

1 km



Pic Ségure

Split ridge





Headscarp



Rockslide

Femma mountain refuge



 \uparrow









Aiguille de Braitaz

-

A

Inferred headscarp

↑ N 100 m

Monthey

Rockslide ?

Inferred headscarp

Trenches

Tour de Don

↑ N 200 m

Morgins

RSD

La Foilleuse

Split ridge





• • • • •			NA 1.1.1	
Table 1.	Aggregate RSF	surface area by massif (ranked by decreasing order of	RSF footprint size)	

 Massif
 Massif size
 RSF surface area
 Mean altitude
 Massif

 name
 of RSF
 area

 headscarps
 affected

 by RSF 1

		Rock	av.	Roc	kfall	Rocks	lide	RSD		All types			
	km²	km²	n	km²	n	km²	n	km²	n	km²	n	m	%
Parpaillon	618	0	0	0.9	2	37.3	40	103.8	29	142	71	2389	22.98
Beaufortain	919	0	0	1.9	10	50.9	61	151.8	36	204.6	107	2111	22.26
Escreins	531	0.7	1	1.7	8	23	36	88.6	21	114	66	2675	21.47
Cerces	628	0.7	1	5.3	17	28.4	31	91.1	24	125.4	73	2465	19.97
Queyras	590	0	0	0.4	7	14	20	100.9	28	115.3	55	2529	19.54
Aig. Rouges	150	0	0	0.4	3	0.7	1	28.4	12	29.2	16	2212	19.44
Vanoise	1704	0	0	4.5	10	66.7	59	244.5	53	315.7	122	2397	18.53
Arves	404	0	0	2	3	32.5	32	34.4	12	69	47	2375	17.08
Mont Cenis	556	0	0	1	5	17.4	15	71.8	14	90.2	34	2574	16.22
Cottian Alps	1420	3.33	1	1.4	8	14.1	23	204.8	46	223.7	78	2505	15.75
Gd. Rousses	365	0	0	0.4	2	29.7	28	14	10	44.2	40	2124	12.11
Lauzière	345	0	0	0.1	1	16.6	8	21.2	7	37.9	16	1991	10.99
Ecrins	2456	2.1	2	6.4	33	49.6	41	170.3	54	228.5	130	2299	9.30
Haut-Giffre	630	0	0	5.3	13	10.2	5	41.9	21	57.3	39	2181	9.10
Viso	737	1.6	1	0.5	3	16.9	16	44.4	24	63.4	44	2309	8.60
Gd. Paradis	2367	7.9	3	5.7	16	22	18	152.2	45	187.8	82	2516	7.93
Chambeyron	1401	0	0	6.3	28	42.6	58	41.3	28	90.2	114	2502	6.44
Belledonne	1206	0	0	1.6	11	24.3	26	47.9	19	73.8	56	1920	6.12
Aravis	453	0	0	1.1	7	9.6	14	8.8	5	19.5	26	1852	4.30
Taillefer	486	1.9	1	0.5	2	11.2	10	5	3	18.6	16	1798	3.83
Graian Alps	1539	6.14	1	2.3	10	14.2	17	35.3	21	57.9	50	2323	3.76
Bornes	611	0	0	1.1	12	12.9	5	5.3	3	19.2	20	1794	3.14
Mont Blanc	715	0	0	0.9	4	1	1	19.3	12	21.3	17	2470	2.98
Chablais	1456	0.6	1	2.1	19	26.9	20	13.2	16	42.9	56	1819	2.95
Dévoluy	938	0	0	0.4	4	9	5	11.3	9	20.7	18	1928	2.21
Chartreuse	727	10.7	1	0.2	1	0	0	2	2	13	4	1723	1.79
Bauges	913	0	0	0.2	3	7.1	4	0	0	7.2	7	1656	0.79
Vercors	2799	0.4	1	0.4	5	1.9	2	6	4	8.8	12	1379	0.31
Total	27664	36.33	15	55.1	247	590.8	596	1759.6	558	2441	1416	2300	8.82

Notes. ¹ When adding to this inventory reports by Eisbacher and Clague (1984), the CEREMA, and Crosta et al. (2013), which each mention RSFs not included in this database because they were not detectable from the imagery (see discussion in section 5.1), massif areas affected by RSF change thus: Arves, 17.2%; Cottian Alps, 17.48%; Ecrins, 9.42%; Haut-Giffre, 9.40%; Viso, 10.65%; Grand Paradis, 8.72%; Chambeyron, 6.75%; Taillefer, 3.95%; Graian Alps, 4.26%; Mont Blanc, 3.01%; Chablais, 3.04%. Other values are unchanged. Augmented thus, the inventory brings the regional total footprint of RSF to 9.1% of the study area, i.e. 1446 RSFs covering a total area of 2526 km².

Location relativ	e to PFT		All RSF ty	pes		RSD)
	1	2	3	4	5	6	7
	Total	No. of	Area	Proportion of	No. of	Area	Proportion of
	area	RSFs	(km²)	total land area	RSDs	(km²)	total land area
	(km²)			impacted by RSF			impacted by RSD
				(col. 3 ÷ col. 1)			(col. 6 ÷ col. 1)
				(%)			(%)
West of PFT	14958	546	691	4.6	180	364	2.4
East of PFT	12706	870	1750	13.7	379	1393	10.9

Table 2. Relative areal concentration of RSFs relative to the Penninic Frontal Thrust (PFT)

	Attribute Super-hotspot (code name in Roman numerals: refer to Figure 15F for location)									
		1	11		IV	V	VI	VII		
	Cluster– Hotspot intersection (<i>refer to Figs.</i> 15F and 15G)	Cluster 7 and Hotspot 1	Cluster 9 and Hotspot 2	Cluster 10 and Hotspot 2	Cluster 10 and Hotspsot 4	Cluster 11 and Hotspot 3	Cluster 11 and Hotspot 5	Cluster 12 and Hotspot 6		
Location	Massif name	S. Beaufortain	SW Vanoise	NE Arves, NE Grandes Rousses	E. Ecrins, SW Cerces	Triple junction between W Cottian Alps, NE Queyras, and SE Cerces (Alpine drainage divide)	Queyras, Escreins	Central Parpaillon (massif core)		
	Bisecting or bounding valley	North flank of middle Isère (Tarentaise)	South flank of middle Arc (Maurienne)	North flank of middle Arc (Maurienne)	Guisane	Upper Dora Riparia and upper Chisone (east)-flowing); upper Durance and Cerveyrette (west- flowing)	Upper Guil	None		
yho	Maximum altitude (m)	2600	3000	2900	2600	3200	3100	2900		
pogral	Minimum altitude (m)	600	550	1000	1100	1300	1400	1600		
Ц Ц	Maximum relief (m)	2000	2450	1900	1500	1900	1700	1300		
Predisposition (preconditions)	Tectonic Domain (<i>refer to Figs. 2,</i> 15G)	Middle and Lower Penninic	Middle Penninic	Middle and Lo Hel	ower Penninic, vetic	l				
	Tectonic Zone (<i>refer to Figs.</i> <i>2, 15G</i>)	Valais, Sub- Briançonnais, Zone Houillère	Sub- Briançonnais, Zone Houillère	Valais, Sub-Bria Houillère, Ultra-I Hel	ançonnais, Zone Dauphinois, Ultra- vetic	Piémon	Helminthoid flysch nappe, Zone Houillère			
	Presence of major tectonic 'Zone' boundary (e.g. thrust front) or Alpine fault (<i>refer to Fig. 8</i>)	Lower and Middle Penninic thrusts and their thrust fronts	Middle Penninic internal thrusts	PFT, Middle Penninic internal thrusts, Ultra- Dauphinois thrust	PFT, some faults	BB (here striking NE– SW), and one NE– SW fault, both focusing large RSD	None of note	Briançonnais (i.e. Zone Houillère) thrust front, a few N– S faults		
	Dominant lithology (<i>refer to Fig. 6</i>)	Detrital sedimentary (semischist fabric), flysch, evaporites	Detrital sedime fabric), flysch, r	ntary (semischist narl, evaporites	Detrital sedimentary (semischist fabric), flysch, schist, some limestone	Schistes lustrés, gabbre serpentinite	o, basalt,	Flysch, marl, schist, evaporites		
(0	Antiquity of syn-orogenic denudation paroxysm (refer to Section 2.2.3)				Substantial (> 2	20 Ma)				
Possible drivers	Late Neogene / Quaternary crustal stress regime (<i>refer to</i> <i>Fig. 10</i>)		Extensional							
	Relative residence time above successive Quaternary ELAs				Long					
Possibl	Reconstructed LGM ice thickness (m)	1500–2000	1–1000 (a few exceptions up to 1500)	1–1000 (a few exceptions up to 1500)	1–1000 (a few exceptions up to 1500)	1–1000 (a few exceptions up to 1500 on Dora Baltea trough flank)	0–1000	< 500		

Table 3. RSF super-hotspots in the Western Alps

(refer to Fig. 12) Recent surface							
uplift rate (mm/yr) (<i>refer</i> <i>to Fig. 11</i>)	> 2	> 2	1.5–2	1–1.5	~1	0.5–1	0.5–1
Modern seismicity (<i>refer to Fig. 9</i>)	None of note	В	iançonnais Seismic	c Arc	None of note		

Mountain range	Proportion of study area affected by RSF (%)	Size of study area (km ²)	Data source
Western Alps (France, Italy, Switzerland)	9.1	27,500	This study
South Carpathians (Romania)	1.1	20,000	Blondeau (unpubl.)
Southern Alps, central area (New Zealand)	2.0	21,000	Allen et al. (2011)
Highlands (Scotland)	0.8	21,000	Jarman and Harrison (2019)
Eastern Pyrenees (France, Spain)	1.8	3,000	Jarman et al. (2014)

Table 4. Spatial incidence of RSF in mountain ranges documented by systematic mapping